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To cite this version:

Olivier Rozenbaum, Ary Bruand, Emmanuel Le Trong. Soil porosity resulting from the assemblage of silt grains with a clay phase: New perspectives related to utilization of X-ray synchrotron computed microtomography Porosité du sol résultant de l'assemblage de grains de la taille des limons avec une phase argileuse: nouvelles perspectives liées à l'utilisation de la microtomographie utilisant le rayonnement X d'un synchrotron. Comptes Rendus Géoscience, 2012, 344 (10), pp.516-525. 10.1016 /j.crte.2012.09.004. insu-00749850

HAL Id: insu-00749850 <https://insu.hal.science/insu-00749850v1>

Submitted on 16 Nov 2012

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 Soil porosity resulting from the assemblage of silt grains with a clay phase: new perspectives related to utilization of X-ray synchrotron computed microtomography

 Porosité du sol résultant de l'assemblage de grains de la taille des limons avec une phase argileuse : nouvelles perspectives liées à l'utilisation de la microtomographie utilisant le rayonnement X d'un synchrotron

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Abstract

Understanding the assemblage of basic grains is essential for predicting many soil properties. X-ray synchrotron microtomography with a high resolution was used 32 for visualisation and quantification of the assemblage of silt grains with a clay 33 phase containing 20% of clays. The 3D computed image was analysed after appropriate segmentation by using (i) autocorrelation functions which enables anisotropy discussion of the assemblage, (ii) chord distribution which gives information on the size distribution of the pore and solid phases, and (iii) the 37 Hoshen-Kopelman algorithm (6-connectivity) in order to label each pore with a unique number and thus enabling discussion of the connectivity. Our results show 39 that X-ray synchrotron microtomography enables a more accurate analysis of the assemblage of silt grains with a clay phase than with conventional methods. Thus, it showed the isotropy of the silt-clay assemblage in the soil material studied. The void and solid phase volumes were smaller than 80 and 120 μ m in all directions, respectively. The mean distance between two interfaces was 6.9 and 13.4 µm for the void and solid phase, respectively. X-ray synchrotron microtomography of the soil material studied also showed that the voids resulting from the assemblage of 46 the silt grains with the clay phase can be considered as fully connected in the dried materials studied. Finally, analysis of void continuity revealed that the voids resulting from the assemblage of the silt grains with the clay phase could be considered as fully connected in the dried materials studied.

50 *Keywords***:** Image analysis; Image segmentation; Chord distribution; Correlation length; Topology; Microporosity; Texture.

Résumé :

La compréhension du mode d'assemblage des grains élémentaires est essentielle 55 pour la prédiction de nombreuses propriétés des sols. La microtomographie à 56 haute résolution utilisant le rayonnement X d'un synchrotron a été utilisée pour 57 analyser un matériau de sol correspondant à l'assemblage de grains de la taille des limons avec une phase argileuse et contenant 20% d'argile. L'image 3D 59 reconstruite a été analysée après segmentation en utilisant (i) des fonctions 60 d'autocorrélation qui permettent de discuter le caractère anisotrope de l'assemblage, (ii) des distributions de cordes qui donnent des informations sur la 62 distribution de taille des pores et de la phase solide, ainsi (iii) que l'algorithme de Hoshen-Kopelman (connectivité de 6) de telle façon à identifier chaque pore avec 64 un nombre unique et ainsi discuter de la connectivité du réseau poreux. Nos résultats montrent qu'une telle microtomographie permet d'étudier le mode d'assemblage des grains de limon avec la phase argileuse avec plus de précision 67 qu'avec les méthodes conventionnelles. Elle montre ainsi que l'assemblage étudié 68 est isotrope et que les volumes de phase vide et solide sont plus petits que 80 et 120 µm dans toutes les directions, respectivement. La distance moyenne entre deux interfaces est de 6.9 et 13.4 μ m pour la phase vide te la phase solide, respectivement. Enfin, l'analyse de la continuité des vides a révélé que les vides résultant de l'assemblage de grains de la taille des limons avec une phase 73 argileuse pouvait être considérés comme entièrement connectés à l'état sec dans le matériau étudié.

Mots clés : Analyse d'image, Segmentation d'image, Distribution de cordes, Longueur de corrélation, Topologie, Microporosité, Texture.

3

79 **1. Introduction**

Understanding the assemblage of basic grains is essential for predicting many soil properties (Coulon and Bruand, 1989; Lamotte et al., 1997a and b, Bruand and Tessier, 2000) and many studies have been conducted with grain mixtures varying in size distribution and mineralogy (e.g. Fiès and Bruand, 1990; Basile and d'Urso, 1997; Fiès and Bruand, 1998).

85 For decades, direct analysis of the assemblage of basic grains has been limited to 2D images of the assemblage taken with light and scanning electron microscopy. Recent developments of X-ray tomography have provided the possibility of starting 3D analysis of the assemblage of basic grains, but early images of the soil matrix remained limited by low resolution (Taina et al., 2008). Thus, X-ray tomography analysis of the elementary grain assemblage has long been limited to determine the attenuation of X-rays through the soil without the possibility of separating basic grains from the voids resulting from their assemblage. Recently, Schrader et al. (2007) studied by using X-ray tomography the differentiation of the assemblage of elementary particles around earthworm burrows but since the volume of the basic voxel was $3\times0.39\times0.39$ mm³, the basic particles were not separated. With a much higher resolution of about $100 \mu m$, Macedo et al. (1998) studied thin layers of soil clods but their discussion was also restricted to the variation of X-ray attenuation without the possibility of separating the solid phase and the related voids at the scale of the basic grains. Viggiani et al. (2004) studied deformation in fine grained geomaterials under triaxal compression with a resolution of $14 \mu m$ and showed the development of cracks in the course of

deformation. Because of both the fine texture and $14 \mu m$ resolution, they were not able to study the assemblage of basic particles. Using high-resolution computed tomography whose volume of voxels was $14.8 \times 13.5 \times 13.5 \mu m^3$, Lee et al. (2008) examined the size distribution of voids ranging from 10^3 to 10^9 μ m³ in volume within seals of silt loam soil. Although the silt grains were recognisable on the images, their outlines and more generally the solid phase distribution, were highly fuzzy. Papadopoulos et al. (2009) used computed X-ray microtomography with a resolution of 8 µm to investigate the effects of organic and conventional management on soil aggregate stability and showed that intra-aggregate porosity would not affect stability. Peth et al. (2008) studied intra-aggregate porosity using X-ray synchrotron microtomography with a voxel volume of $3.2 \times 3.2 \times 3.2 \mu m^3$ or $5.4 \times 5.4 \times 5.4$ µm³. The voids analysed were partly those resulting from the assemblage of the silt and sand grains with the clay phase, but resolution restricted their study. Sleutel et al. (2008) compared nano- and micro-focus X-ray computed tomography for the visualisation of soil microstructure and soil organic matter. They discussed the pore size distribution for equivalent diameters down to $1.7 \mu m$ but the analysis of pore geometry remained limited. Using microfocus X-ray tube computerized tomography, Tippkötter et al. (2009) reported a resolution down to 1 µm and investigated the presence of water in the macropores of undisturbed soils but did not study the voids related to the assemblage of the basic grains. Finally, Thieme et al. (2003) used nanotomography based on X-ray microscopy images with a resolution of about 0.045 µm in order to examine the microhabitat 124 of bacteria and soil colloids. Their study was limited to colloidal flocculates extracted from soils and approximately only $6 \mu m$ in size.

5

The objective of this study was to examine how X-ray synchrotron microtomography can lead to a better description of the void geometry resulting from the assemblage of the clay phase with silt grains. The resulting porosity was examined for a silt-clay mixture with 20% clay and the results show how X-ray microtomography provides new information on the 3-D assemblage of basic 131 grains and resulting pore geometry in soils which are usually discussed using data provided by indirect methods such as mercury intrusion curves, gas adsorptiondesorption isotherms, and shrinkage curves (e.g. Bruand and Prost, 1987; Braudeau and Bruand, 1993; Bruand and Tessier, 2010, Santos et al., 2012).

136 **2. Material and methods**

2.1. Sample preparation

The silt (10-50 μ m) and clay (<2 μ m) fractions used in this study originated from the B horizon of a fine loamy, mixed, mesic, typic eutrochrept (Ould Mohamed et al., 1997). They were extracted from a suspension of the soil obtained by mechanical shaking in water. The silt fraction was air-dried and the clay suspension was centrifuged to obtain a slurry of 0.7 g of water per g of ovendried clay (Attou et al., 1998). The clay fraction was primarily interlayered illitesmectite and secondary kaolinite, quartz and secondarily feldspars (Attou and Bruand, 1998). A soil material corresponding to a silt-clay mixture was obtained with 20% clay by manually mixing the clay paste and silt particles with a spatula. The silt-clay mixtures was placed in plastic rings 4 cm in diameter and 1 cm high, then equilibrated with a -10 hPa matric water potential using a pressure plate apparatus and finally air-dried (Attou et al., 1998).

After air-drying, the soil material was impregnated with a polyester resin as described by Bruand et al. (1996). A 700 μ m thick section was prepared by grinding the resulting impregnated soil material. A sample in the shape of a matchstick $10,000 \times 700 \times 700 \mu m^3$ was prepared by cutting the 700 µm thick section with a diamond saw. It was then ground manually with sandpaper to blunt the edges and thus to obtain practically a cylindrical sample 700 µm in diameter and $10,000 \mu m$ high.

158 *2.2. Image acquisition*

An image recorded by X-ray synchrotron microtomography was collected at 12 keV on the MS-X04SA-Tomo beam line of Swiss Light Sources 161 (http://sls.web.psi.ch/, Stampanoni et al., 2002). The cylindrical sample 700 µm in diameter was mounted on a vertical rotator on a goniometric cradle. Using successive rotations of the sample, 1500 projections corresponding to 1500 angular positions ranging from 0° to 180° were acquired by a 1024×1024 pixel digital camera. The outstanding quality of the beams enabled acquisition of images with a pixel resolution of $0.7 \mu m$. The 700 μm diameter of the sample enabled to remain inside the field of view of the detector regardless of the angular position of the sample and thus to avoid reconstruction artefacts.

Acquisition required approximately 120 minutes. A filtered back-projection algorithm was used to reconstruct horizontal slices $0.7 \mu m$ thick from the projections. These slices were apposed together to produce a 3D volume. Every pixel originating from a tomographic slice corresponds to a $0.7 \times 0.7 \times 0.7$ μ m³ voxel of the 3D image. The approximate size of the entire dataset corresponding to a single 3D image was 1 Gbyte $(1024³$ voxels). Each voxel was characterised

7

by its grey level which ranged from 0 to 255 for an 8-bit image, depending on the X-ray attenuation coefficient of the element. Thus, voids were rather black or dark and the solid phase was grey or white depending on their chemical composition $(Figure 1)$. The 3D visualisation of the solid and void phases was then possible at the scale of the assemblage of the basic grains thus enabling both the silt grains and clay phase together and the voids resulting from their assemblage to be visualised.

183 *2.3 Image segmentation*

Image quantification requires proper segmentation of the solid and void phases. Although the images were well contrasted and the histogram was composed up of two principal grey level domains, a direct threshold of the raw image could not be obtained (Figure 2). This was related to the presence of noise that restricted the selection of a grey level as the limit between the solid and void phases. Thus, after histogram equalisation (Russ, 2002; Acharya and Ray, 2005) to enhance their contrast, the signal-to-noise ratio (SNR) of the 8-bit images produced by the back-projection algorithm implemented at the SLS was 3.67. The SNR of an image is defined here as the ratio of its mean value to its standard deviation (Bushberg et al., 2006). Despite the two peaks associated with the grey values of the objects and the background being visible, noise still prevented correct segmentation (Figure 2). After the application of a 3D mean filter with a kernel of $3\times3\times3$ voxels, the SNR reached 4.52. An optimum thresholding value of 112 was obtained using the classical method of Otsu (Otsu, 1979) which minimises the intra-class variance of the two populations of voxels. Comparison of ten segmented 2D images located approximately regularly along the 3D image

with their respective raw 2D images showed that segmentation could be considered as satisfactory (Figure 3). In the solid phase, however, it was not possible to separate silt grains and the clay phase forming the bridges and partially coating the silt grains.

The 3D segmented image recorded with the algorithms developed by Le 205 Trong et al. (2008) was visualized by using VG-Studio software [\(http://www.volumegraphics.com/\)](http://www.volumegraphics.com/). It corresponded to the largest volume extractable from the 3D image obtained in grey levels. It had the shape of rod 588 µm in diameter and 716.8 µm high, i.e. 840 pixels in diameter and 1024 pixels in height, thus corresponding to a total of 5.7×10^8 voxels. This 3D rod-shaped volume was used to analyse the distribution of the solid and void phases in the sample.

2.4. Image analysis

The autocorrelation function, a spatial dependency of a porous medium 215 (Levitz and Tchoubar, 1992; Rozenbaum et al., 2007), can be interpreted as the probability of finding two randomly selected points that are both in the same phase. Let \vec{x} be the position vector from an arbitrary origin and $\Psi(\vec{x})$ a density function defined as:

$$
\Psi(\vec{x}) = 1 \tag{1}
$$

if \vec{x} belongs to the pore space, and

$$
\Psi(\vec{x}) = 0 \tag{2}
$$

if \vec{x} belongs to the solid space. The autocorrelation function $R_z(\vec{r})$ \rightarrow if \vec{x} belongs to the solid space. The autocorrelation function $R_z(\vec{r})$ can be defined in order to have a normalised function (Rozenbaum et al., 2007):

$$
R_{Z}(\vec{r}) = \frac{<(\Psi(\vec{x}) - \phi)(\Psi(\vec{r} + \vec{x}) - \phi) >}{\phi(1 - \phi)}
$$
(3)

with ϕ porosity. The angle brackets mean average volume over the spatial coordinates \vec{x} . For an isotropic medium with $r = |\vec{r}|$:

$$
R_Z(\vec{r}) = R_Z(r),\tag{4}
$$

which indicates that the autocorrelation function depends on distance alone. Otherwise, the one-dimension autocorrelation functions for \vec{r} parallel to the Ox, Oy or Oz axis (Ox, Oy, Oz defining an orthogonal reference), respectively denoted R_{Z_x} , R_{Z_y} and R_{Z_z} provides information on anisotropy. Pore space anisotropy is in fact revealed as a disparity between the one-dimensional autocorrelation functions along different directions (Frykman and Rogon, 1993; Biswall et al., 1999).

Another characteristic length scale is the correlation length (L) , defined as 236 the integral of the autocorrelation function and represents a length scale 237 characteristic of the spatial structure (Ioannidis et al., 1996):

$$
L = \int_{0}^{\infty} R_Z(r) dr
$$
 (5)

For anisotropic materials, L_x , L_y and L_z are defined according to R_{zx} , R_{zy} and R_{Z_z} .

Chord distributions are stereological tools used to describe the interface between pore and solid phases (Rozenbaum et al., 2007). Chord distribution gives the probability to have a chord length between r and $r+dr$. Chord distribution can be calculated either for pores $(f_p(r))$ is called the pore chord distribution) or for solids $(f_s(r))$ is called the solid chord distribution). Furthermore, the first moment of $f_p(r)$ (called l_p) and the first moment of $f_s(r)$ (called l_s) are defined as:

$$
l_p(r) = \int r f_p(r) dr
$$
 (6)

and.

$$
l_s(r) = \int r f_s(r) dr \tag{7}
$$

The values of l_p and l_s can be used as estimators of the mean size of the pore and solid phases.

 $\int r f_p(r) dr$
= $\int r f_s(r) dr$
d as estingular estingular extending a position of Hoshen and algorithm
Aharon explicit estingular extending a position of the Euler-
N - C + E dia such extracted a particle
external volume sizes, Finally, topology of the voids can be analyzed for the 3D image of the void 253 distribution. The Hoshen-Kopelman algorithm (6-connectivity) was used to label each pore with a unique number (Hoshen and Kopelman, 1976). It is a simple and 255 efficient cluster identification algorithm enabling identification of connected clusters on a lattice (Stauffer and Aharony, 1992). We determined the number of voxels for each cluster and the basic topological properties that are: the number of isolated pores (N) , the number of redundant connections (C) within the void space and the number of completely enclosed cavities H (Vogel et al., 2002). In other words, C represents the number of bonds that can be removed without creating an additional isolated cluster. The Euler-Poincaré characteristic ε_V was then computed as:

$$
\varepsilon_{\rm V} = \rm N - C + H \tag{8}
$$

For the topology of porous media such as soils, the quantity H is of minor importance since solid grains or particles completely surrounded by pores are infrequent, thus H is set to be zero in (8) (Vogel et al., 2002). As this characteristic is related to the total volume $(V,$ in units of voxels) and in order to compare images with different sizes, the specific Euler-Poincare number was defined as:

$$
\chi_{\rm v} = \varepsilon_{\rm v} / \mathbf{V} \tag{9}
$$

Thus, the specific Euler-Poincaré number can be considered as a measure of connectivity; the higher this number, the less connectivity would be expected.

3. Results and discussion

275 *3.1. Morphology of the assemblage*

The 2D images in grey levels extracted from the 3D image showed a close packing of the silt grains and the presence of bridges between them (Figure 3a). These bridges are $5-20 \mu m$ long and $2-5 \mu m$ thick correspond to the bridges composed of clay particles shown by Attou et al. (1998) on backscattered electron scanning images. These bridges are responsible for the hardness increase of soils in the field when they dry (Attou et al., 1998; Lamotte et al., 1997a and b). The voids between silt grains were described as lacunar voids by Fiès and Bruand (1998) . According to the results of Attou et al. (1998) which were recorded by using mercury porosimetry, they are mainly accessible to void necks with equivalent pore diameters ranging from 0.5 to 5 μ m.

After segmentation, the 2D tomographic images clearly showed the 2D geometry of voids (Figure 3b and c). Accurate comparison of the solid phase distribution on 2D grey scale images (Figure 3a), their corresponding 2D filtered images (Figure 3b) and finally binary 2D images (Figure 3c), showed that the clay bridges $2-5 \mu m$ thick are still present on the 3D binary images after image segmentation. The segmented reconstructed images of the void and solid phases 292 corresponding each to a cylinder 294 µm in radius and 717 µm long are shown on Figure 4. The 3D-distribution of the solid and void phases in the soil material studied can be seen more easily on smaller cubic 3D-images 200×200 voxel cubes (i.e. $140\times140\times140$ µm³) in volume, as shown in Figure 5.

Detailed observation of the void morphology enabled identification of the voids which correspond to the elementary lacunar voids described by Attou et al. (1998) in the same soil material and more widely in soils by Fiès and Bruand 299 (1998). However, 3D-images showed that the lacunar voids are far from the roughly ovoid voids resulting from the packing of the silt particles with the clay phase and networked thanks to void necks as earlier indicated by both 2D backscattered scanning electron images and mercury porosimetry (Attou et al., 303 1998, Fiès and Bruand, 1998). Indeed, morphological observation of the connections of the elementary lacunar voids with the surrounding elementary lacunar voids showed a much more continuous void size distribution than in a lacunar pore usually described (Fiès and Bruand, 1998) (Figure 6).

3.2 Porosity

Porosity (ϕ) was computed according to the following relationship:

$$
\phi = \frac{V_{\nu}}{V_{\nu} + V_{s}}\tag{1}
$$

with V_v and V_s , the volume of the void and solid phases, respectively. For the 3D image studied, V_v and V_s were the numbers of voxels corresponding to the void and solid phases, respectively. We computed ϕ for 3D cubic image sizes ranging from 79×10^2 to 50×10^7 voxels (Figure 7). For a given cubic image size, the 315 porosity was computed, the volume of measure was shifted (non overlapping cubes) and the porosity was computed again. This was repeated until the cubic image size scanned the whole image. For the smallest 3D image size, ϕ varied considerably and thus converged toward a limit when the 3D image size increased. This limit was reached when the 3D image size was about 10^7 voxels

 $(343\times10^5 \text{ }\mu\text{m}^3)$. This image size can be considered as the representative 321 elementary volume to investigate porosity for the material studied, the representative elementary volume being the sample volume over which the porosity can be considered as a constant.

Porosity computed for the entire rod volume studied was 0.337 (Table 1) and smaller than the porosity determined using bulk density measurements (0.359) by Attou and Bruand (1998) on the same soil material. This difference was related to pores resulting from the assemblage of the clay particles within clay coatings and bridges (Fiès and Bruand, 1998) and not taken into account in image analysis because they were smaller than the voxel size $(0.7\times0.7\times0.7 \,\mu m^3)$. The porosity determined by 3D-image analysis in this study (i.e. 0.337) 331 corresponded actually to the voids between the silt grains and described as lacunar voids by Fiès and Bruand (1998) and was similar to its value determined by using mercury porosimetry (0.335) by Attou and Bruand (1998) on the same material.

335 *3.3. Void geometry*

The autocorrelation functions R_{zx} , R_{zy} and R_{zz} and the average value R_{z} 337 recorded showed a decreasing behaviour without any oscillation, corresponding to a non-correlated medium (Figure 8). In addition, no particular disparity was 339 observed on the images for the one-dimensional autocorrelation functions along the orthogonal x-, y-, z-directions (confirmed by the correlation lengths: $L \approx L_x \approx L_y \approx L_z$). Thus, the soil material studied (Figure 7a) can be considered as an isotropic material which is consistent with the method used to prepare the soil material studied. The opportunity to discuss the anisotropy along particular directions across the basic grains assemblage should enable the study of how soil processes affect porosity at the scale of these basic grains.

Due to digitising, the smallest voids (or solids) described were poorly defined and did not correspond to real objects. Thus, for chord lengths (r) such as $r < 3$ pixels, chord distributions exhibited a linear increase that had no basis in reality and thus did not have to be taken into account (Levitz and Tchoubar, 1992; Rozenbaum et al., 2007). As shown in Figure 9, pore and solid chord distributions exhibited an exponential decrease. This type of porous medium, in which both the 352 void and solid chord distributions are exponential, is called a long-range random medium (Levitz and Tchoubar, 1992). Thus, the void and solid phases in the image were randomly distributed for chord length ranging from 3 to $80 \mu m$ and from 3 to 120 μ m, respectively (Figure 9). The lack of longer chord within the 3D image 588 µm in diameter and 716.8 µm high indicates the lack of void and solid volume with a size higher than 80 and 120 μ m in all directions, respectively. The lack of correlation peaks demonstrates that the size distribution of void and solid 359 phases were homogeneously distributed, thus indicating the lack of a particular size for the void and solid phase volumes within the range of chord length recorded. In the case of such an exponential decay, l_v is the persistence length for the void phase and l_s is the persistence length for the solid phase. These two 363 persistence lengths correspond to mean distances between two interfaces across 364 the void and solid phases, respectively. The mean first moments of the chord distributions were $l_v = 6.9 \mu m$ and $l_s = 13.4 \mu m$. Cousin et al. (1996) considered that a 3D cubic image is a representative volume when its side is greater than 4 times the longest persistence length (i.e. $53.6 \mu m$). On the other hand, we found a representative elementary volume of about $10⁷$ voxels for the porosity. This

volume corresponds to a cube with a side of 215 pixels (151 μ m), thus indicating that the side of the representative elementary volume would correspond to about 371 10 times the longest persistence length instead of 4 times as proposed by Cousin et al. (1996).

The percolating void cluster represented 99.4% $(1.6\times10^8 \text{ voxels})$ of the total void phase. This shows that the entire void volume investigated at the resolution of voxels of $0.7 \times 0.7 \times 0.7$ μ m³ can be considered as connected. This is consistent with the results recorded in mercury porosimetry and confirms that the high pressure used for mercury intrusion do not brake the clay bridges, thus creating 378 connections between voids which are not present in the soil material (Bruand and Prost, 1987). This is confirmed by the negative values of the specific Euler-Poincaré number χ_V (Table 1). The remaining unconnected voids (0.6%) consisted of approximately 8900 small clusters with sizes from several voxels up to 6000 voxels. These small clusters would be mostly located along the rod sides and probably connected externally to the volume studied to the percolating cluster.

386 **4. Conclusion**

Our results show that high-resolution X-ray synchrotron microtomography enables visualisation and quantification of the pore phase and the solid phase resulting from the assemblage of silt grains with a clay phase. X-ray synchrotron microtomography showed the isotropy of the silt-clay assemblage in the soil material studied. The void and solid phase volumes were smaller than 80 and $120 \mu m$ in all directions, respectively. The mean distance between two interfaces was 6.9 and 13.4 µm for the void and solid phase, respectively. X-ray synchrotron microtomography of the soil material studied also showed that the voids resulting from the assemblage of the silt grains with the clay phase can be considered as fully connected in the dried materials studied. Future work will be focussed on natural soil materials at different water potentials and the data recorded will be 398 compared with those provided by indirect methods such as the data originating from the water retention curve. The X-ray micotomography does not enable only the study of wet soil material but will enable also the discussion of the location of water in the lacunar pores such as those analysed in this study and in larger pores such as biological pores or inter-aggregates pores.

Acknowledgements: The authors are grateful to Marco Stampanoni and Amela Grosso (PSI, SLS, Villingen) for their scientific support with the tomography experiments. The authors are also grateful to Sylvain Janiec (ISTO, Universiy of 407 Orléans) and Christian Lelay (INRA, Sciences du Sol, Orléans) for their excellent sample preparations.

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Table 1. Characteristics of the silt-clay material studied determined by analyzing the 3D image: l_v and l_s , first moment of the chord distribution recorded for the void and solid phase, respectively; L, correlation length; χ_v , specific Euler-Poincaré number.

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Figure 2. Greylevel distributions corresponding to the 3D image recorded for the soil material studied.

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Figure 7. Porosity, averaged porosity and standard deviation according to the size 550 of the 3D region of interest in the entire 3D image.

Figure 8. Autocorrelation functions recorded for the soil material studied.

Figure 9. Chord distributions recorded for the soil material studied.

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