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# Multi-model evaluation of short-lived pollutant distributions over East Asia during summer 2008

B. Quennehen<sup>1,\*</sup>, J.-C. Raut<sup>1</sup>, K. S. Law<sup>1</sup>, G. Ancellet<sup>1</sup>, C. Clerbaux<sup>1</sup>, S.-W. Kim<sup>2</sup>, M. T. Lund<sup>3</sup>, G. Myhre<sup>3</sup>, D. J. L. Olivie<sup>4</sup>, S. Safieddine<sup>1</sup>, R. B. Skeie<sup>3</sup>, J. L. Thomas<sup>1</sup>, S. Tsyro<sup>4</sup>, A. Bazureau<sup>1</sup>, N. Bellouin<sup>5</sup>, N. Daskalakis<sup>6,7</sup>, M. Hu<sup>8</sup>, M. Kanakidou<sup>6</sup>, Z. Klimont<sup>9</sup>, K. Kupiainen<sup>9</sup>, S. Myriokefalitakis<sup>6,7</sup>, J. Quaas<sup>10</sup>, S. T. Rumbold<sup>11,\*\*</sup>, M. Schulz<sup>4</sup>, R. Cherian<sup>10</sup>, A. Shimizu<sup>12</sup>, J. Wang<sup>8</sup>, S.-C. Yoon<sup>2</sup>, and T. Zhu<sup>8</sup>

<sup>1</sup>Sorbonne Universités, UPMC Univ. Paris 06, Université Versailles St-Quentin, CNRS/INSU, LATMOS-IPSL, Paris, France

<sup>2</sup>School of Earth and Environmental Sciences, Seoul National University, Seoul, Korea

<sup>3</sup>Center for International Climate and Environmental Research – Oslo (CICERO), Oslo, Norway

<sup>4</sup>Norwegian Meteorological Institute, Oslo, Norway

<sup>5</sup>Department of Meteorology, University of Reading, Reading, RG6 6BB, UK

<sup>6</sup>Environmental Chemical Processes Laboratory, Department of Chemistry, University of Crete, Heraklion, Crete, Greece

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- <sup>7</sup>Institute of Chemical Engineering Sciences (ICE-HT), FORTH, Patra, Greece  
<sup>8</sup>College of Environmental Sciences and Engineering, Peking University, Beijing, China  
<sup>9</sup>International Institute for Applied Systems Analysis (IIASA), Laxenburg, Austria  
<sup>10</sup>Institute for Meteorology, Universität Leipzig, Leipzig, Germany  
<sup>11</sup>Met Office Hadley Centre, Exeter, EX1 3PB, UK  
<sup>12</sup>National Institute for Environmental Studies, Ibaraki, Japan  
\* now at: Univ. Grenoble Alpes/CNRS, Laboratoire de Glaciologie et Géophysique de l'Environnement (LGGE), 38041 Grenoble, France  
\*\* now at: NCAS-Climate, University of Reading, Reading, RG6 6BB, UK

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Correspondence to: B. Quennehen (quennehen@latmos.ipsl.fr)

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## Abstract

The ability of six global and one regional model to reproduce distributions of tropospheric ozone and its precursors, as well as aerosols over Asia in summer 2008 is evaluated using satellite and in-situ observations. Whilst ozone precursors (NO<sub>2</sub> and CO) are generally underestimated by the models in the troposphere, surface NO<sub>2</sub> concentrations are overestimated, suggesting that emissions of NO<sub>x</sub> are too high. Ozone integrated columns and vertical profiles are generally well modeled, but the global models face difficulties simulating the ozone gradient at the surface between urban and rural environments, pointing to the need to increase model resolution. The accuracy of simulated aerosol patterns over eastern China and northern India varies between the models, and although most of the models reproduce the observed pollution features over eastern China, significant biases are noted in the magnitude of optical properties (aerosol optical depth, aerosol backscatter). These results have important implications for accurate prediction of pollution episodes affecting air quality and the radiative effects of these short-lived climate pollutants over Asia.

## 1 Introduction

Short-lived pollutants (SLPs), defined here as tropospheric ozone and aerosols including black carbon (BC) were recently the focus of several important efforts by the scientific community due to their potential role in emerging strategies aiming to mitigate global climate change and improve air quality (Shindell et al., 2012; Anenberg et al., 2012). Due to their relatively short lifetimes (e.g., aerosol lifetime in the troposphere is about one week Pruppacher and Klett, 1978), the impact of SLP (as well as ozone precursors) emission reductions on near-term reductions in the rate of climate warming has been examined in several recent studies (Ramanathan and Carmichael, 2008; Jackson, 2009; Penner et al., 2010; Shoemaker et al., 2013; Smith and Mizrahi, 2013; Rogelj et al., 2014). In particular, due to recent fast increases in SLP and ozone

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precursor emissions (Streets et al., 2003; Richter et al., 2005; Klimont et al., 2013; Wang et al., 2014; Klimont et al., 2015), East Asia is a key region being targeted by mitigation strategies. This region is already highly polluted with elevated near surface pollutant concentrations, especially over eastern China (Wang et al., 2011; Yang et al., 2011), producing severe environmental impacts (Ma et al., 2010). Shao et al. (2006) presented observations from 360 cities in China showing that the national ambient air quality limits were exceeded in 70 % of the cases. More specifically, three areas in eastern China are shown, by several studies, to be major polluted regions (Chan and Yao, 2008; Ma et al., 2012; Boynard et al., 2014): the North China Plain (NCP, which includes Beijing and Tianjin), the Yangtze river delta (YRD, which includes Shanghai), and the Pearl river delta (PRD, which includes Honk-Kong and Guangzhou). It is therefore important to assess the source of this regional pollution and to evaluate the ability of chemistry-aerosol-climate models to simulate the distributions of SLPs over this region.

Ozone is a reactive species impacting both climate and air quality. In the troposphere, it is produced photochemically from the oxidation of carbon monoxide (CO), methane, non-methane volatile organic compounds (VOCs) by OH radicals in the presence of nitrogen oxides (NO<sub>x</sub>). It also has natural sources such as the flux from the stratosphere. Due to photochemical loss, it has a lifetime in the troposphere of a few weeks (Stevenson et al., 2006). It is also removed by dry deposition to the surface. Radiative forcing due to tropospheric ozone over industrial era is estimated to be  $0.40 \pm 0.20 \text{ W m}^{-2}$  (Myhre et al., 2013). Tropospheric ozone pollution over the three most polluted Chinese regions is influenced by the Asian summer monsoon (Liu et al., 2002; Xu et al., 2008; Lin et al., 2009; He et al., 2008; Safieddine et al., 2013) and regional photochemical pollution (Lin et al., 2008). Long-term time series of ozone measurements were reported for Hong-Kong (T. Wang et al., 2009) and Beijing (Ding et al., 2008), showing increasing background concentrations at both locations, whereas seasonal and day-to-day variations over the NCP, YRD, and PRD regions in 2008 were studied by Dufour et al. (2010) using satellite observations. A seasonal maximum is

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found over East Asia in late spring due to increased solar radiation whereas a minimum is found in summer for the PRD and YRD, and in winter for the NCP (Naja and Akimoto, 2004; Li et al., 2007; He et al., 2008; Safieddine et al., 2013). The main mechanism driving the summer minimum is the intrusion of low-ozone marine air masses coming from the tropical Pacific ocean, i.e., the monsoon (Lin et al., 2009).

Several regional model evaluations of trace gases have been carried out with a focus on East Asia. In the frame of the MICS-Asia phase II project, Han et al. (2008) showed good agreement between eight regional models and SO<sub>2</sub> observations from the TRACE-P campaign (Fuelberg et al., 2003), whereas modeled ozone accuracy varied with the region and the season. Model performance regarding NO<sub>x</sub> was relatively poor with a systematic underestimation by all the models. A previous study by Zhang et al. (2006), comparing TRACE-P airborne observations with a regional model simulation, found similar results as Han et al. (2008) but with a more accurate reproduction of NO<sub>x</sub> concentrations. CO concentrations were underestimated by Gao et al. (2009) at two surface stations in Japan whereas ozone seasonal variation and concentration simulated were in agreement with the observations.

Atmospheric aerosol plays a major role in the Earth's radiative balance by scattering (McCormick and Ludwig, 1967) and absorbing solar radiation (Haywood and Shine, 1995). Aerosols also affect the formation, lifetime and albedo of clouds (Albrecht, 1989; Twomey, 1977; Ackerman et al., 2000), causing indirect effects on the radiative balance. According to recent estimates, atmospheric aerosols, emitted by anthropogenic and natural sources (e.g., heating, transportation, biomass burning and dust), have, since pre-industrial times, modified the aerosol direct effect by  $-0.35 \pm 0.50 \text{ W m}^{-2}$  (Myhre et al., 2013) whereas the total (direct and indirect) effects modified the Earth's radiative balance by  $-1.3 \pm 0.4 \text{ W m}^{-2}$  (Cherian et al., 2014). Radiative forcing due to absorbing species (e.g., BC) at the top-of-atmosphere is shown to be positive (Haywood and Shine, 1995). BC is the carbonaceous component of soot, resulting from incomplete combustion. In a recent extensive study, Bond et al. (2013) estimated the BC direct radiative forcing for the industrial era to be  $0.51 \text{ W m}^{-2}$  whereas

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Myhre et al. (2013) reported a forcing of  $0.40 \text{ W m}^{-2}$  (0.05–0.80). 90 % of BC emissions are due to diesel engines, industry, residential burning, and open burning (Bond et al., 2013). Aerosol impacts on air quality are also a serious problem since aerosols reduce visibility (sometimes dramatically, e.g., Zhao et al., 2011; Chen et al., 2012; Li et al., 2013) and cause, together with ozone, serious health deterioration and premature deaths (Nawahda et al., 2012).

Many studies have discussed observations of aerosol properties over East Asia (e.g., Kim et al., 2007) and, more specifically, over the three regions mentioned above. A clear seasonal cycle is observed for organic carbon (OC) and BC with maximum and minimum concentrations in winter and summer, respectively (Cao et al., 2004; Sun et al., 2004; Yang et al., 2005; Huang et al., 2006).

The ability of global models to simulate monthly aerosol optical depths (AODs) was examined by Kinne et al. (2006) over East Asia in summer with comparisons showing important underestimation of observed AODs. Kinne et al. (2006) also pointed out that uncertainties in the direct radiative forcing could be larger than differences in AOD suggest. The aerosol vertical distribution was investigated by Koffi et al. (2012) who compared global model results with aerosol extinction mean profiles from satellite observations over specific regions, including East Asia. They found generally good agreement in the vertical shape of extinction profiles between the models and the observations. However, accuracy depended highly on the model, season and region. Large discrepancies in modeled surface BC concentrations compared to observations were reported by Koch et al. (2009) whereas Samset et al. (2013) and Allen and Landuyt (2014) concluded that BC vertical distributions are a major source of uncertainty in BC radiative forcing estimations.

In this context, the European Union (EU) Evaluating the CLimate and air quality Impacts of Short-livEd pollutants (ECLIPSE) project was launched with the objective to develop and assess effective emission abatement strategies in order to provide sound scientific advice about measures to mitigate climate change and improve air quality. ECLIPSE models versions are more recent than those evaluated in the cited evaluation

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studies, thus one focus of the project is the evaluation of global and regional chemical-aerosol-climate models over two important emission regions (Europe and Asia) and one receptor region (the Arctic). The aim of this paper is to evaluate the performance of six global and one regional model, run using the same ECLIPSE emission dataset, by comparison against observations over East Asia. Diagnoses used in this study allow to control the driving processes affecting both air quality (e.g., surface concentration) and climate (e.g., vertical profiles of optical properties). The East Asia region is a focus because, as noted above, it is a region with high pollution levels where emissions still appear to be increasing but where mitigation options are also being actively considered. East Asian emissions originate from several different sources including anthropogenic emissions, biomass burning, and dust episodes. In addition, pollution from Asia can be transported many thousand kilometers downwind to North America (e.g., Jaffe et al., 1999) as well as to the Arctic (e.g., Roiger et al., 2011). The focus of this study is on summer (August and September) 2008; a period for which the intensive observations from the CAREBEIJING 2008 measurement campaign (Huang et al., 2010; Zhang et al., 2014) are available. The CAREBEIJING 2008 campaign took place in August and September 2008 in the context of the Beijing Olympic and Paralympic games. SLPs emissions mitigation was attempted between 30 June to 20 September 2008 (see the detailed mitigation plan in Wang et al., 2010) and concerned polluting vehicles, as well as the chemical and power plants within the Beijing province. The impacts of this pollutant emission mitigation have been reported in several studies. Wang and Xie (2009) and Zhou et al. (2010) observed reduction of 19 to 57 % in CO and 28 to 52 % in PM<sub>10</sub> on-road emissions, whereas Y. Wang et al. (2009) reported decrease of 21 % in summer 2008 CO observation compared to 2006 and 2007 at a remote site 100 km away from Beijing and concluded, based on a model analysis, that ozone concentrations were reduced by 2–10 ppbv over the NCP region during the mitigation period. At a larger scale, Worden et al. (2012) used satellite observations to deduce a 11 % reduction in CO emissions over Beijing. Although lower pollutant concentrations can be observed, particularly in the south and in coastal regions due to the monsoon influ-



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ences at this time of year (Kim et al., 2007), high pollution episodes still occur (Flowers et al., 2010). Improved estimation of the radiative impact of SLPs requires careful evaluation of model performance, in particular with respect to the vertical distribution of ozone, aerosols and their precursors. This study focuses on evaluating models at the surface and in the free troposphere, where pollutant distributions are important for air quality and climate, respectively.

The models used in this study and datasets used to assess model performance are described in Sect. 2 as well as the meteorological situation during summer 2008. Evaluation of simulated trace gas distributions on different temporal and spatial scales are presented in Sect. 3. Comparisons between observed and modeled aerosol optical properties as well as available surface/aircraft data on aerosol chemical composition, are discussed in Sect. 4. The summary and conclusions are given in Sect. 5.

## 2 Models, evaluation datasets and meteorological conditions

In this section, the global and regional models involved in this study are presented together with the different measurement datasets used to evaluate their performance including satellite data, ground-based, and airborne measurements. The meteorological conditions over East Asia during summer 2008 are also briefly described.

### 2.1 Models description

The main model characteristics are listed in Table 1. Within the ECLIPSE project, a dedicated anthropogenic emission dataset was developed (ECLIPSE V4, Klimont et al., 2015). Whilst ECLIPSE emissions are annual averages for most of the sectors, a seasonal cycle is applied to the domestic sector (Streets et al., 2003). In addition, agricultural waste burning fires are included in the inventory. Emission reductions associated with the mitigation strategies during the Olympic period mentioned in the Introduction are not taken into account in the ECLIPSE anthropogenic emis-



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The second Global Ozone Monitoring Experiment (GOME-2, Munro et al., 2000), also onboard the Metop-A satellite, is a nadir-looking spectrometer covering the spectral range between 240 and 790 nm at 0.2–0.4 nm resolution. With its large swath of 1920 km, GOME-2 provides near global daily coverage. The GOME-2 sensor uses the Differential Optical Absorption Spectroscopy (DOAS) technique to observe the atmosphere and tropospheric NO<sub>2</sub> concentrations are retrieved using the algorithm developed by Boersma et al. (2004).

AOD space-borne observations are collected by the Moderate resolution Imaging Spectrometer (MODIS) instrument onboard 2 satellites, Aqua and Terra, flying opposing orbits, providing global coverage of the Earth every 1–2 days. The MODIS level 3 products used in this study are described by Hubanks et al. (2008).

Vertical distributions of aerosols and clouds are probed with the Cloud-Aerosol Lidar with orthogonal Polarisation (CALIOP) instrument mounted on the CALIPSO satellite, part of the A-train satellite constellation. CALIOP is a two wavelength (532 and 1064 nm) polarisation-sensitive lidar as described by Winker et al. (2007).

### 2.3 Ground-based data

The locations of the measurement stations used in this study are shown in Fig. 1, whereas the station coordinates are given in Table 2. During the CAREBEIJING 2008 campaign, SLP concentrations were measured at the air quality observatory of Peking University (PKU) in Beijing which can be considered as a typical urban environment. Instrumentation deployed at the observatory measured ozone, NO<sub>x</sub> (defined as the sum of NO and NO<sub>2</sub>), and CO (Chou et al., 2011), as well as PMs (PM<sub>2.5</sub> and PM<sub>10</sub>), OC and BC (Huang et al., 2010).

The Gosan observatory (Kim et al., 2005) is a long-term observatory located on the Jeju island, South Korea, measuring OC, BC, aerosol number size distributions (Flow-ers et al., 2010), and NO<sub>x</sub>, SO<sub>2</sub>, CO and ozone concentrations. It is not influenced by local pollutant emissions and experiences continental dust and/or pollution outflow from continental Asia (e.g., Kim et al., 2005).

Aerosol backscatter signals measured by the Japanese National Institute for Environmental Studies (NIES) ground-based lidar network (Shimizu et al., 2004; Sugimoto et al., 2008) are also used to evaluate model results. The spatial resolution of this network covers Japan with 10 lidars calibrated using a similar procedure. Backscatter data are available on-line on a hourly basis, allowing a robust validation of the models.

## 2.4 Airborne dataset

As part of the CAREBEIJING 2008 campaign, 12 scientific flights were performed over the area south of Beijing in the Hebei province. Flights followed linear routes at altitudes in the range 500–2100 m in order to sample both the boundary layer and the free troposphere. The instrumentation on board the aircraft is described by Zhang et al. (2014) and includes ozone, CO, SO<sub>2</sub> and NO<sub>x</sub> samplers. The flight tracks are shown in blue in Fig. 1.

## 2.5 Meteorological context

During summer 2008, East Asia was mostly influenced by the Asian summer monsoon with dominant synoptic winds blowing from the south-eastern Pacific Ocean, as seen in Fig. 1. These winds transport clean marine air to the Chinese coast and thus contribute to reducing pollutant concentrations. He et al. (2008) presented seasonal distributions of ozone and the monsoon index over East Asia, showing how the impact of the monsoon decreases gradually from coastal polluted regions to inland areas and induces transport of high ozone concentrations inland. However, the monsoon also increases relative humidity in coastal regions increasing aerosol sizes and decreasing visibility. The representation of the monsoon transport varies between the models used in this study. This is illustrated in Fig. 1 which highlights the differences between the model meteorological fields, especially over the NCP region where ECMWF (European center for medium-range weather forecast) and NCEP (National centers for environmental predictions) FNL (final) fields show a lower relative humidity (RH) than NorESM. Also,

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NorESM predicts strong winds blowing from the west over the continent in contrast to the ECMWF and NCEP analyses used to force other models. The transport of pollution is partly influenced by the meteorological parameters (e.g., winds) and differences between them can partially explain the variability in the model results.

### 3 Evaluation of modeled trace gas distributions

In this section, model results are first compared with the 0–20 and 0–6 km ozone columns observed by IASI, as well as NO<sub>2</sub> tropospheric columns detected by GOME-2. Secondly, the ability of models to simulate ozone and its precursors (NO<sub>x</sub> and CO) reported by ground-based measurements at various Chinese and Korean stations is evaluated. Thirdly, in order to examine modeled trace gas vertical distributions, comparisons with aircraft observations from the CAREBEIJING campaign are presented.

#### 3.1 Ozone columns

Day and night observations of IASI ozone are used to evaluate the models. Due to the variation of the IASI sensor's sensitivity with altitude, modeled ozone values need to be smoothed using the following equation:

$$\mathbf{X}_{\text{smooth}} = \mathbf{AK} \times \mathbf{X}_{\text{model}} + (\mathbf{I} - \mathbf{AK}) \times \mathbf{X}_{\text{apriori}} \quad (1)$$

where **AK** is the averaging kernels matrix, **I** is the identity matrix and  $\mathbf{X}_{\text{smooth}}$ ,  $\mathbf{X}_{\text{model}}$ , and  $\mathbf{X}_{\text{apriori}}$  are the smoothed, modeled, and a priori ozone profiles, respectively. **AK** and  $\mathbf{X}_{\text{apriori}}$  are obtained when inverting the measured signal. The 0–20 km column is then retrieved by adding the smoothed profiles over all altitudes. **AK** is a 40 × 40 matrix, and when it is multiplied by  $\mathbf{X}_{\text{model}}$ , every layer has an influence on the 39 other layers. Here, the 0–20 km column is defined as the 0–20 km column thus excluding the maximum ozone concentrations in the stratosphere. Nevertheless, an overestimation of the ozone stratospheric maximum by a model could lead to an overestimation

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The IASI 0–6 km columns in Fig. 2 highlight large ozone concentrations over the eastern coast of China, covering the NCP and YRD regions north of 30° N ( $5\text{--}6 \times 10^{17}$  molec cm<sup>-2</sup>). At lower latitudes, and particularly over the PRD region, ozone concentrations are lower ( $3\text{--}4 \times 10^{17}$  molec cm<sup>-2</sup>). The two northerly regions are known for their high emissions of ozone precursors but over the PRD region, as seen in Fig. 1 and discussed in the Introduction, the monsoon flux partially cleans the atmosphere, as well as transporting pollution northward. High concentrations are also observed over Korea, the Sea of Japan, and in the north-eastern part of the evaluation domain which can be attributed to transport of ozone and its precursors from China and Japan (Naja and Akimoto, 2004).

Although the clear separation between high and low concentrations along 30° N and along the Chinese coast is not reproduced by the different models, a significant gradient is nevertheless visible. Moderate ozone concentrations are also observed over the sea of Japan and the north-east part of the domain but this pattern is not reproduced by the models, possibly due to underestimated emissions. Correlation coefficients between the observations and model results reflect the partial restitution of the different patterns by the models. In general, global models tend to overestimate the lower tropospheric ozone columns south of 30° N, and underestimate them slightly to the north (NME around 20 %). Tanimoto et al. (2005) observed a latitudinal gradient in summer due to the Asian monsoon flux. The results presented here suggest that the ECLIPSE models only partially simulate the monsoon flux.

The area delimited in black in Fig. 2 which encompasses regions with high ozone concentrations, namely eastern China, Korea and the Sea of Japan was examined in more detail. Statistical parameters comparing the IASI and smoothed model columns over this region are given in Table 4. The results show that, in general the ECLIPSE models are able to simulate the observed patterns over these regions reasonably well (mean NME of 24 %).

The 0–6 km column provides more precise information than the 0–20 km column about horizontal pollution features. Biases between observations and model results

are similar for 0–20 and 0–6 km ozone columns, suggesting that the models are able to simulate adequately the vertical distribution of tropospheric ozone.

### 3.2 Tropospheric NO<sub>2</sub> columns

NO<sub>2</sub> is a short-lived species produced largely as a result of rapid interconversion of NO emitted from anthropogenic activities and which can be spectroscopically observed. NO<sub>2</sub> photolysis is the primary source of tropospheric ozone. Investigating how models represent NO<sub>2</sub> can help to understand discrepancies between simulated and observed ozone.

Here, tropospheric NO<sub>2</sub> columns observed by GOME-2 are compared with the model results. Monthly mean observed tropospheric NO<sub>2</sub> columns for August and September 2008, averaged on a regular 1° × 1° grid, are shown in Fig. 3, as well as the absolute differences between the simulated and the observed tropospheric NO<sub>2</sub> columns. Absolute differences are shown instead of the tropospheric columns to highlight the significant bias in the remote region. Since NO<sub>2</sub> has lifetime of only about 1–2 days in the lower troposphere, highest concentrations are observed close to emission areas, i.e., around Beijing and the main cities (Shanghai, Hong-Kong, Seoul, Tokyo). Whilst the models reproduce the patterns over the emission regions, HadGEM, WRF-Chem and NorESM overestimate, and OsloCTM2 and TM4-ECPL underestimate the columns with NMBs of 53, 45, 29, –3 and –11 % and NMEs of 65, 64, 51, 40 and 38 %, respectively.

The same biases are seen over the delimited emission area. In general, biases vary between the models which may point to differences in model chemistry or boundary layer exchange/regional transport, rather than emissions, influencing simulated NO<sub>2</sub> lifetimes.

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and Gosan ground-based stations as well as with vertical profiles from aerosol LIDAR observations at 10 stations in the Japanese NIES network (white open triangles in Fig. 1).

## 4.1 Aerosol optical properties

### 4.1.1 Aerosol optical depth

AOD is determined as the aerosol extinction coefficient integrated over the whole atmospheric column. Since the aerosol extinction coefficient is mostly linked to the aerosol surface distribution (and to a lesser extent to the aerosol complex refractive index), large values of AOD can be observed in cases of high concentrations of fine mode aerosol particles, e.g., pollution over cities (S. Wang et al., 2011). MODIS AOD fields at 550 nm were retrieved from daily observations averaged over August and September 2008, and taking into account missing observations primarily due to the presence of clouds within the column. Days with missing observations were removed from the model results at specific locations. The model results are bi-dimensionally interpolated on the  $1^\circ \times 1^\circ$  MODIS grid.

Figure 6 (top panels) shows average maps of observed and simulated AODs at 550 nm. In general, the models correctly represent the main features of the spatial AOD distribution, including the large values over the NCP area and over northern India. However, AODs are not reproduced equally accurately by the models, especially over these two regions. Absolute differences between the models and MODIS are also shown in Fig. 6 (bottom panels). HadGEM and, to a lesser extent, NorESM overestimate AOD background values. In addition, HadGEM and EMEP overestimate AODs over NCP whereas they are underestimated by ECHAM6-HAM2, OsloCTM2, and WRF-Chem.

Several reasons might explain such discrepancies. Different treatments of aerosol emissions within the models can influence the AOD, especially, assumptions about the size distribution of particle emissions. All aerosols, including dust and sea salt particles can affect observed AOD, and biases in the simulated aerosol concentrations and sizes

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impact AOD estimates. Aerosol removal processes (i.e., dry and wet deposition) also play an important role in the aerosol loading and resulting AODs.

In order to further investigate model skill at simulating AOD, two specific regions with high AOD values are selected within the domain and are shown in the top left panel of Fig. 6. The first region is located over northern India and is well known for the significant accumulation of pollutants at this time of the year, driven by the Indian monsoon. This accumulation is due to large local emissions, and the effect of dominant southerly winds causing the transport of pollution up to the Himalayas which acts as a natural barrier (Lawrence and Lelieveld, 2010). The second region encompasses the main emission areas in eastern China, including several megacities such as Beijing, Shanghai, and Hong-Kong. Whilst this region is influenced by dust episodes coming from the north-eastern Asian deserts (e.g., Huang et al., 2013) in spring, the monsoon flux inhibits such events in summer.

Figure 7 compares MODIS and model mean/percentile AODs over these regions and the rest of the domain during August and September 2008. In terms of AOD variability, the agreement is generally better over eastern China than over northern India. More specifically, over eastern China, NorESM and TM4-ECPL capture the observed variability with a deviation of less than 10 % whereas it is somewhat overestimated by HadGEM and EMEP and underestimated by ECHAM6-HAM2 and WRF-Chem. Over northern India, observed variability is reproduced by the HadGEM, OsloCTM2 and WRF-Chem models with deviations from the MODIS observations of less than 25 %, whereas it is underestimated by the other models. The corresponding statistical parameters are summarized in Table 6 for the two regions and in Table 7 for the entire domain.

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## 4.1.2 Aerosol backscatter coefficient

### Evaluation against satellite observations

In this section, the ECLIPSE models are evaluated against vertical distributions of attenuated backscatter at 532 nm from CALIOP, averaged over a  $3^\circ \times 5^\circ$  grid over Asia for August and September 2008. As indicated in Table 1, most of the models calculated the aerosol extinction coefficient ( $\alpha$ ) rather than the aerosol backscatter ( $\beta$ ). Though CALIPSO level 3 data from the operational algorithm includes  $\alpha$ , important uncertainties are associated with these retrievals. This is because  $\alpha$  retrievals rely on inversion of lidar signals, which requires knowledge of the so-called Lidar ratio  $S = \frac{\alpha_{\text{aer}}}{\beta_{\text{aer}}}$ , dependent on the aerosol type. Omar et al. (2010) showed that a low SNR (Signal to Noise Ratio) can lead to mis-classification and lack of aerosol layer identification, especially close to the surface. Liu et al. (2009) noted cloud contamination in backscatter and  $\alpha$  profiles, whereas Young and Vaughan (2009) pointed out potentially erroneous assumptions in the lidar ratio  $S$  used in  $\alpha$  retrievals. Finally, Winker et al. (2009) highlighted calibration coefficient biases in the daytime attenuated backscatter profiles.

To verify the possible aerosol mis-classification, an alternative product based on the CALIOP level 1 data and presented by Ancellet et al. (2014) is used. This product is based on level 1 backscatter signals filtered for clouds using CALIPSO level 2 cloud masks. In this retrieval, 3 brightness temperatures (8, 10, 12  $\mu\text{m}$ ), measured by the infrared interferometer on CALIPSO, the cloud layer depolarization ratio and the color ratio are used as additional requirements. The final product described in Ancellet et al. (2014) is unitless and is called the apparent (or attenuated) scattering ratio ( $R_{\text{app}}$ ). This product is not affected by errors associated with the lidar signal inversion. To allow a fair comparison, model results must be converted to  $R_{\text{app}}$  using:

$$R_{\text{app}}(z) = \frac{\beta(z)}{\beta_{\text{mol}}(z)} \times \exp\left(-2 \int_z^{z_{\text{ref}}} \alpha_{\text{aer}}(z') dz'\right). \quad (2)$$

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$\beta$  and  $\alpha$  can be described as the sum of molecular ( $\beta_{\text{mol}}$  and  $\alpha_{\text{mol}}$ ) and aerosol ( $\beta_{\text{aer}}$  and  $\alpha_{\text{aer}}$ ) signals which describe the backscatter and extinction associated with trace gases and aerosols, respectively.  $z_{\text{ref}}$  is an altitude where only the molecular signal is observed. For some models,  $\alpha$  only is provided. In this case,  $R_{\text{app}}$  is calculated using:

$$R_{\text{app}}(z) = \frac{\alpha_{\text{aer, model}}(z) \times \text{BER} + \beta_{\text{mol}}(z)}{\beta_{\text{mol}}(z)} \times \exp\left(-2 \int_z^{z_{\text{ref}}} \alpha_{\text{mol}}(z') dz'\right), \quad (3)$$

where BER is the backscatter to extinction ratio ( $\text{BER} = \frac{\beta_{\text{aer}}}{\alpha_{\text{aer}}}$ ) and is fixed to  $0.02 \text{ sr}^{-1}$  which is a common value observed over east-Asia (Catrall et al., 2005; Xie et al., 2008; Chiang et al., 2008). BER is the only assumption made in the  $R_{\text{app}}$  calculation.

The distribution pattern of CALIPSO-derived  $R_{\text{app}}$  between 0 and 2 km (Fig. 8a) highlights three major features over Asia, consistent with the MODIS observations presented in Fig. 6. They are associated with polluted regions over eastern China and northern India where anthropogenic emissions are significant, and background pollution over the desert region, north-west of the Himalayas. The ECLIPSE models reproduce the location of anthropogenic plumes over eastern China but underestimate the magnitude by 5–50%. One exception is EMEP which overestimates the backscatter signal by more than 50%. Only OsloCTM2 and EMEP simulate the observed pattern over northern India, albeit with a slight overestimation (20–40%). The signal over the Tibetan plateau desert which is mostly due to dust particles is not simulated by the models.

Between 2 and 4 km (Fig. 8b), CALIOP detected elevated aerosols over the Tibetan plateau and eastern China. Again, none of the models are able to reproduce the signal over the desert region. However, the models (except NorESM) are able to capture a higher  $R_{\text{app}}$  over eastern China. Whereas most models overestimate the observed signals, it is slightly under predicted by WRF-Chem (10%). Two models (WRF-Chem and ECHAM6-HAM2) simulate aerosols at this altitude range over northern India, which probably corresponding to those detected by CALIOP between 0 and 2 km.



Above 4 km (not shown), CALIOP only observes a significant signal over the Tibetan plateau region North of the Himalayas, whereas WRF-Chem and ECHAM6-HAM2 simulate backscatter signal over the northern India and all the models, with the exception of NorESM, simulate some aerosols in the middle troposphere over eastern China.

This suggests that these models are overestimating transport of pollution into the free troposphere or that loss by wet scavenging is insufficient. This finding is in agreement with previous work noting overestimation of observed aerosol concentrations in the free troposphere (Koffi et al., 2012; Samset et al., 2014). We also note that, compared to CALIPSO, some of the models (NorESM, and to a lesser extent EMEP and OsloCTM2), simulate high amounts of aerosols below 2 km in the northeast of the domain (south of the Kamtchatka peninsula). This is due to elevated sulphate in these models (not shown).

### Evaluation against ground-based observations

Good model skill in simulating aerosol vertical distributions is essential for reliable aerosol radiative forcing estimations (Boucher et al., 2013). Whilst evaluation against CALIOP is made with the data averaged over large grid boxes due to the scarcity of satellite overpasses, simulated optical properties are also compared with aerosol lidar measurements collected at sites in the Japanese NIES network. In order to allow a fair comparison, the simulated extinction and observed backscatter are converted to  $R_{app}$ , as described in Sect. 4.1.2. All the NIES stations are at urban locations and the  $R_{app}$  profiles calculated from observations reveal large aerosol loads in the boundary layer and up to 3 km at certain stations.

The  $R_{app}$  mean profiles at each station are shown in Fig. 9. In general, ECHAM6-HAM2 and NorESM underestimate the  $R_{app}$  between the surface and 2 km whereas average profiles (shape and intensity) from EMEP, OsloCTM2 and WRF-Chem are in a fair agreement with the observations. Over 2 km, the models adequately simulate  $R_{app}$  values. These results are not always in agreement with the model comparison against MODIS and CALIOP observations. For example, the EMEP model overes-

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5 estimates the spaceborne derived backscatter signal over Japan (see Fig. 8a and b) whereas it is in agreement with the ground-based lidar observations. ECHAM6-HAM2 and NorESM also show ambiguous results since the backscatter signal observed by CALIOP is overestimated by both models whereas they underestimate the signals provided by the ground-based lidars. These discrepancies may be due to (i) CALIOP uncertainties at lower altitudes, especially over complex topography, and (ii) low model resolution making it difficult for models to capture lidar profiles obtained in urban areas (NIES). On the other hand, CALIOP signals are averaged over urban, rural and marine regions.

10 The mean altitude  $Z_{\text{mean}}$  of the NIES lidar profiles, which provides information on the profile shape, is calculated for each profile by a weighting function following:

$$Z_{\text{mean}} = \frac{\sum_{\text{level}=1}^n (R_{\text{app},i} - 1)^2 \times Z_i}{\sum_{i=1}^n (R_{\text{app},i} - 1)^2}. \quad (4)$$

15 Modeled overestimation of this quantity implies an underestimation of low altitude signals and/or an overestimation of signals at higher altitudes. In general,  $Z_{\text{mean}}$  is overestimated by the models. EMEP and ECHAM6-HAM2 simulate  $Z_{\text{mean}}$  with an error of less than 300 m, OsloCTM2 and WRF-Chem errors are around 1 km, and finally the NorESM mean error is 2 km. Such biases are likely to be due to coarse model resolutions that are unable to adequately describe variations between rural, maritime, and peri-urban areas, leading to an underestimation of the high backscatter value usually observed in the boundary layer in the urban area where the NIES lidar are operating.

## 4.2 Aerosol composition

20 In this section, modeled aerosol components which are important for estimation of anthropogenic radiative forcing (BC, OC, and sulphate) are compared with the ground-

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based observations at Beijing and Gosan as shown in Fig. 10. As noted earlier, pollutant concentrations in Beijing are mainly influenced by local emissions, whereas pollution at Gosan is transported from the Asian continent (Kim et al., 2007). In addition, as noted earlier, anthropogenic emissions in the Beijing area were reduced during summer 2008, with probable impacts on observed pollutant amounts (Y. Wang et al., 2009; Wang et al., 2010). BC originates from primary emissions due to incomplete combustion, whereas OC and sulphate are emitted from primary sources or formed as secondary products following oxidation of precursors. BC concentrations are mainly influenced by emissions and deposition. On the other hand, OC and sulphate aerosols are more hydrophilic and may react with gaseous species. Their mass therefore evolves as a function of gas condensation at their surface, in addition to primary emissions, oxidation and deposition.

Mean observed concentrations of BC, OC and sulphate during August and September 2008 are 2.0, 15.4, and  $12.1 \mu\text{g m}^{-3}$  at the Beijing site compared to 0.18, 1.3 and  $7.4 \mu\text{g m}^{-3}$ , respectively at Gosan, the latter being consistent with observations from this site reported by Sun et al. (2004). In general, the models capture the observed BC mass concentrations at Gosan but most models overpredict BC over Beijing, possibly a result of emission measures in place from mid-August to mid-September 2008. OsloCTM2 simulates well the gradient in concentrations between polluted and downwind locations whereas NorESM, which simulates polluted concentrations reasonably well, has too little BC downwind at Gosan.

ECHAM6-HAM2, TM4-ECPL, and WRF-Chem capture observed OC concentrations fairly well in Beijing but they are underestimated at Gosan. NorESM and OsloCTM2 have very low (factor  $\times 3$ ) OC over polluted Beijing and downwind over Gosan whereas EMEP largely overestimates OC in Gosan. These discrepancies, whilst based on comparisons with rather limited data, support global model evaluations (e.g., Tsigaridis et al., 2014) showing that models have problems simulating OC and has implications with regard to estimates of radiative forcing from these aerosols.



their surroundings and downwind. This has implications for the use of global models to assess regional air quality as well as climate impacts of tropospheric ozone.

Model results are compared to aerosol optical depths, as well as aerosol scattering ratios observed by MODIS and CALIOP, respectively, showing enhanced aerosol concentrations over eastern China and northern India. Over both regions, AOD predictions are model-dependent: only certain models are able to adequately reproduce summertime average MODIS AODs over eastern China (NorESM, TM4-ECPL and WRF-Chem), and over northern India (HadGEM, OsloCTM2 and WRF-Chem). In general, similar results are found when comparing to CALIOP aerosol scattering ratios. Aerosol vertical distributions between 0 and 4 km over the desert regions are not really captured at all, whereas the spatial distributions over eastern China and northern India are reasonably well simulated by most models. However, important model biases are seen in the signal intensity, suggesting that models are unable to simulate the correct amount of aerosol species with high scattering efficiency like sulphate and to a lesser extent OC. Evaluation against ground-based aerosol lidar profiles at urban locations over Japan show inconsistencies with the CALIOP comparison which can be attributed to differences in spatial representativeness of the different measurement types.

Overall, the ECLIPSE model evaluation highlights significant differences between the models and observations, even when models are run using the same emissions. Better general agreement is found for trace gases compared to aerosols, for which model simulations are very variable. For both trace gases and aerosols, models have difficulties reproducing gradients between urban and rural (downwind) locations. Improved model resolution as well as improved understanding and model treatments of processes affecting pollutant lifetimes are needed. Model evaluations using a variety of observation types are required so that difference aspects of model behavior can be tested. Results from this study suggest that significant uncertainties still exist in chemistry-climate simulations which has implications for the use of such models in the assessment of radiative effects of short-lived climate forcers on climate and regional/global air quality.

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**Table 1.** ECLIPSE model description including meteorological fields used to nudge simulations (where applicable), spatial resolution, aerosol schemes, and biogenic emissions. Trace gases, aerosol species and optical parameters output by the models are provided together with references for the different models. Institutes responsible for each model are indicated with the indices of the author affiliations.

Models	Met. fields	Horizontal Res.	Vertical levels	Aerosol parameterisation	Biogenic emissions
ECHAM6-HAM2 <sup>10</sup>	Nudged to ECMWF	1.8° × 1.8°	31	7 aerosol modes (HAM2)	Guenther 1990 (Guenther et al., 1995)
EMEP <sup>4</sup>	ECMWF	1° × 1°	20	fine and coarse mode	Guenther 1990 (Guenther et al., 1995)
HadGEM3 <sup>5,11</sup>	Nudged to ECMWF	1.25° × 1.875°	63	GLOMAP-mode scheme	Guenther 1995 (Guenther et al., 1995)
NorESM <sup>3,4</sup>	online	1.9° × 2.5°	26	mass/species (13 modes)	MEGAN v2 (Guenther et al., 2006)
OsloCTM2 <sup>3</sup>	ECMWF-IFS	2.81° × 2.81°	60	bulk aerosol scheme	Guenther 1990 (Guenther et al., 1995)
TM4-ECPL <sup>6,7</sup>	ECMWF-ERA INTERIM	2° × 3°	34	fine and coarse modes	MEGAN-MACC (Sindelarova et al., 2014)
WRF-Chem <sup>1</sup>	NCEP FNL	50 km × 50 km	49	8 bins/species 40–10 000 nm	MEGAN v2 (Guenther et al., 2006)

Models	Trace gases	Aerosol comp.	Optical param.	References
ECHAM6-HAM2	SO <sub>2</sub>	BC, OC, SO <sub>4</sub>	AOD, $\alpha_{550}$	Stevens et al. (2013), Zhang et al. (2012)
EMEP	CO, O <sub>3</sub> , NO <sub>2</sub> , SO <sub>2</sub>	BC, OC, SO <sub>4</sub>	AOD, $\alpha_{550}$	Simpson et al. (2012)
HadGEM3	CO, O <sub>3</sub> , NO <sub>2</sub> , SO <sub>2</sub>	BC, SO <sub>4</sub>	AOD	Mann et al. (2010), Hewitt et al. (2011)
NorESM	CO, O <sub>3</sub> , NO <sub>2</sub> , SO <sub>2</sub>	BC, OC, SO <sub>4</sub>	AOD, $\beta_{550}$	Kirkevåg et al. (2013), Iversen et al. (2013), Bentsen et al. (2013)
OsloCTM2	CO, O <sub>3</sub> , NO <sub>2</sub>	BC, OC, SO <sub>4</sub>	AOD, $\alpha_{550}$	Myhre et al. (2009), Skeie et al. (2011)
TM4-ECPL	CO, O <sub>3</sub> , NO <sub>2</sub> , SO <sub>2</sub>	BC, OC, SO <sub>4</sub>	AOD	Myriokefalitakis et al. (2011), Kanakidou et al. (2012), Daskalakis et al. (2015)
WRF-Chem	CO, O <sub>3</sub> , NO <sub>2</sub> , SO <sub>2</sub>	BC, OC, SO <sub>4</sub>	AOD, $\beta_{550}$	Grell et al. (2005)

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**Table 2.** Coordinates of stations used in this study and available parameters.

Station	Country	longitude (° E)	latitude (° N)	Available parameters
Beijing	China	116.3	40.0	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub> , BC, OC, SO <sub>4</sub>
Gosan	South Korea	126.2	33.3	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub> , BC, OC, SO <sub>4</sub>
Inchon		126.6	37.5	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub>
Kangwha		126.3	37.7	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub>
Kunsan		126.7	36.0	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub>
Mokpo		126.4	34.8	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub>
Seoul		127.0	37.6	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub>
Taeon	126.4	39.7	CO, NO <sub>2</sub> , O <sub>3</sub> , SO <sub>2</sub>	
Chiba	Japan	140.1	36.0	$\beta_{532}$
Fukue		128.7	32.7	$\beta_{532}$
Matsue		133.0	35.5	$\beta_{532}$
Nagasaki		130.0	32.9	$\beta_{532}$
Niigata		138.9	37.8	$\beta_{532}$
Osaka		135.6	34.6	$\beta_{532}$
Sapporo		141.3	43.1	$\beta_{532}$
Tokyo		139.7	35.7	$\beta_{532}$
Toyama		137.1	36.7	$\beta_{532}$
Tsukuba		140.1	36.0	$\beta_{532}$

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**Table 3.** Statistical parameters (correlation coefficient  $R$ , normalized mean bias NMB, root mean square error RMSE, and normalized mean error NME) for model simulations of 0–20 km ozone column over Asia in August 2008 compared to the IASI ozone-FORLI observations.

Models	$R$	NMB (%)	RMSE (molec cm <sup>-2</sup> )	NME (%)
HadGEM	0.95	9.4	$2.50 \times 10^{17}$	10.4
NorESM	0.93	10.1	$2.86 \times 10^{17}$	11.8
OsloCTM2	0.96	-0.01	$1.48 \times 10^{17}$	5.4
TM4-ECPL	0.98	9.6	$2.90 \times 10^{17}$	10.7
WRF-Chem	0.80	-17.5	$5.36 \times 10^{17}$	18.1
Model mean	0.97	25.0	$1.30 \times 10^{17}$	5.2

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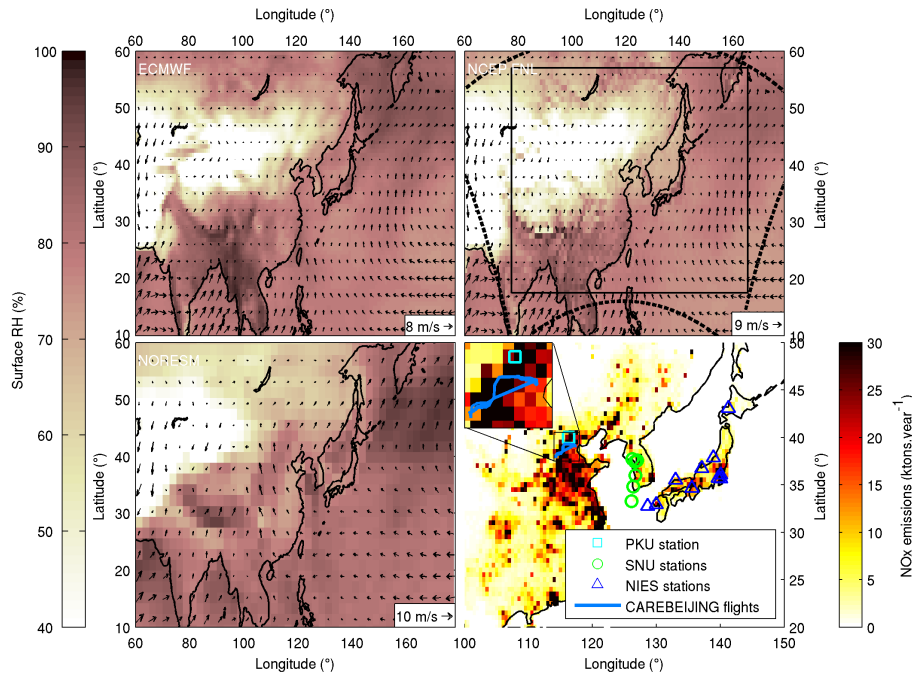












**Figure 1.** Map of the Asian region showing mean surface relative humidity (%) and surface wind speed ( $\text{ms}^{-1}$ ) and direction ( $^{\circ}$ ) for August and September 2008 from ECMWF (top left panel), NCEP (National Centers for Environmental Prediction) FNL (final, top right panel), and NorESM (bottom left panel), and the  $\text{NO}_x$  emissions over east-Asia (bottom right panel). The WRF-Chem domain (dashed line) and the satellite data comparison domain (thick black line) are shown in the top right panel whereas the ground-based stations (cyan square, green circles, blue triangles), and the CAREBEIJING flight tracks (blue lines) used in this study are shown in the bottom right panel.

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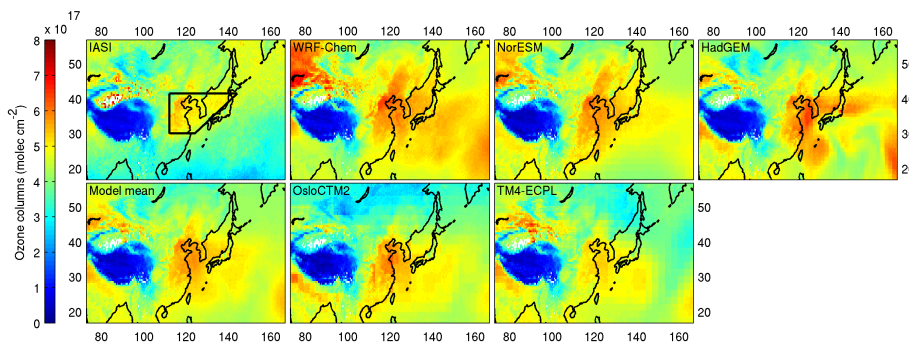
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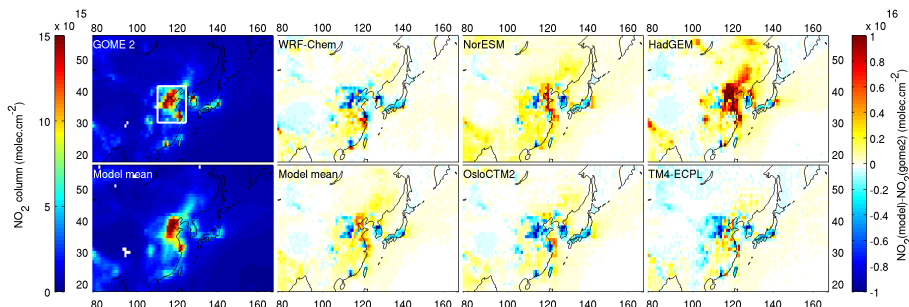


**Figure 2.** Average 0–6 km ozone columns (molec cm<sup>-2</sup>) over Asia in August 2008 observed by the IASI satellite (left panel) and simulated by the models (models names are given in each relevant panel). The black polygon delimits the region discussed in detail in the text.

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**Figure 3.** Mean tropospheric  $\text{NO}_2$  columns in  $\text{molec}\cdot\text{cm}^{-2}$  (between the ground and the tropopause height given in the GOME-2 product) in August and September 2008 over Asia as observed by the GOME-2 satellite and absolute differences between GOME-2 and model simulations (model names are given in the panels). Model mean tropospheric columns are also presented in the bottom left panel. The white square denotes the emission region discussed in the text.

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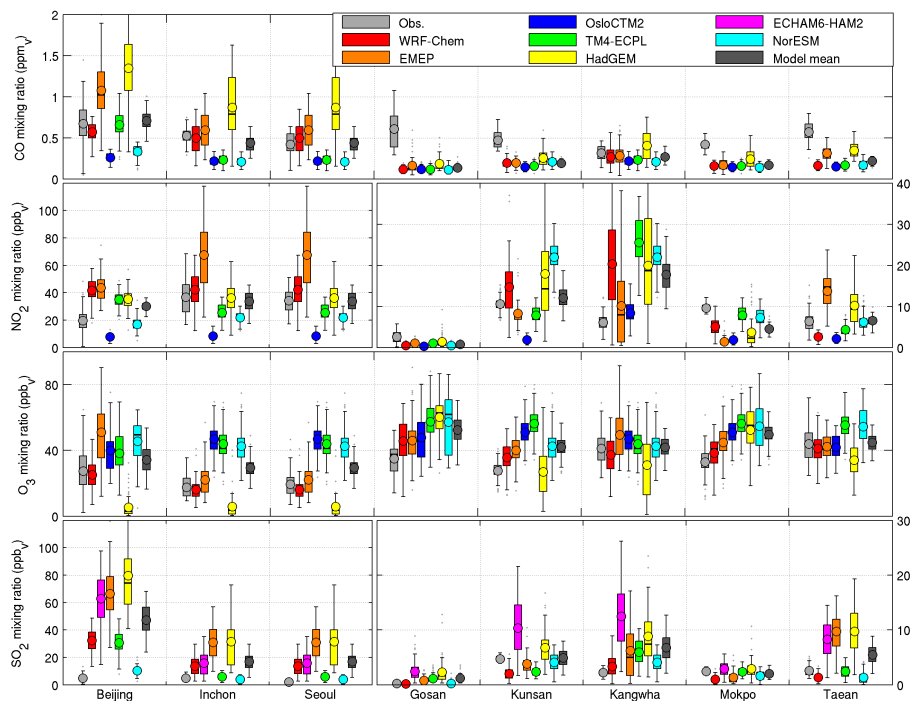
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**Figure 4.** Box and whisker plots showing (from top to bottom) CO, NO<sub>2</sub>, ozone, and SO<sub>2</sub> mean (circle), median (central line), 25th and 75th percentile (box edges) concentrations in volume mixing ratio (ppbv), during August and September 2008 as observed and simulated at eight surface sites in East Asia. The whiskers encompass values from 25th – 1.5 · (75th – 25th) to the 75th + 1.5 · (75th – 25th). This range covers more than 99% of a normally distributed dataset. Note the different scales between urban (3 left) and rural (5 right) stations for NO<sub>2</sub> and SO<sub>2</sub> panels.

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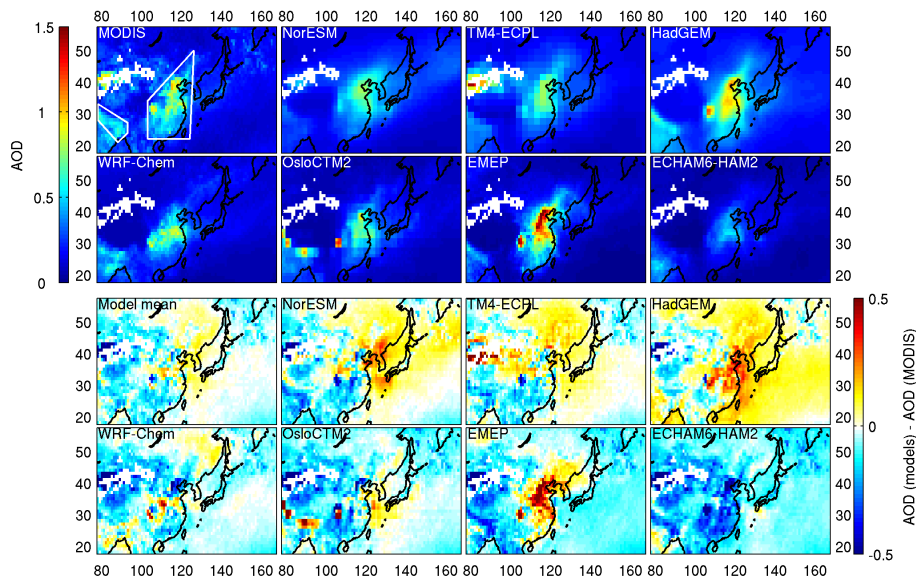






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**Figure 6.** (8 top panels) Mean AODs observed by MODIS and simulated by the ECLIPSE models for August and September 2008, and (8 bottom panels) absolute differences between the simulated and the MODIS AODs (models names are given in each relevant panel). White polygons mark out the two regions discussed in the text.

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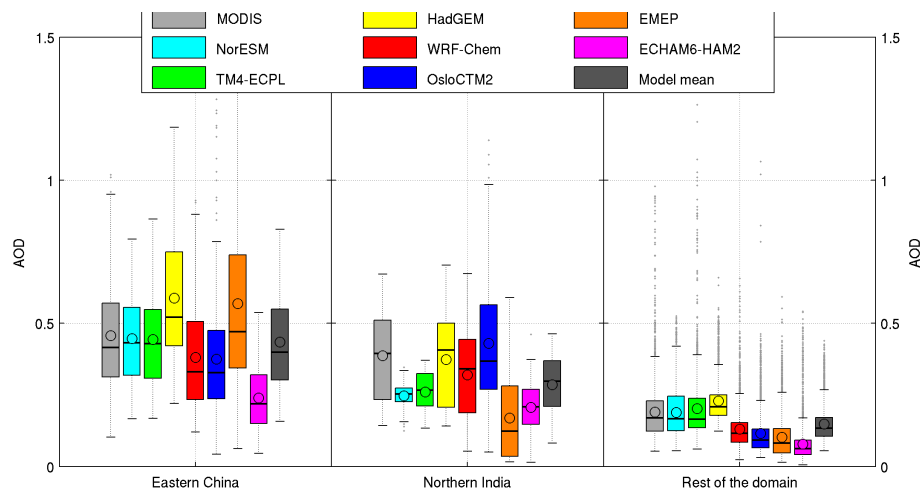
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**Figure 7.** Box plots showing the mean AODs (circle), median (central line), 25th and 75th percentiles (box edges), and the extreme data not considered as outliers (whiskers) during August and September 2008 as observed by MODIS and simulated by the ECLIPSE models over northern India, eastern China and the rest of the domain.

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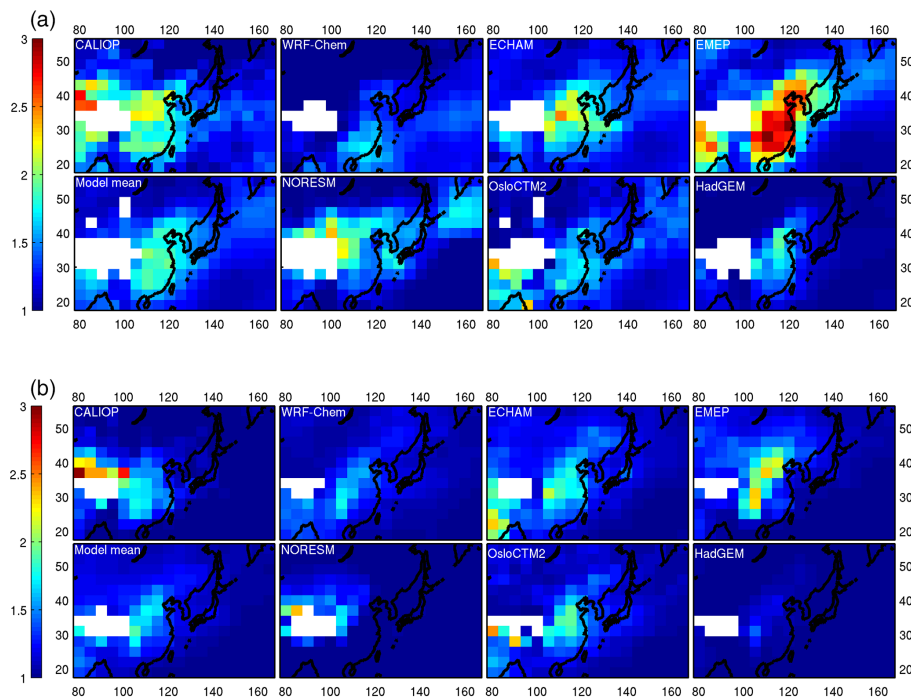
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**Figure 8.** (a) Comparison between  $R_{\text{app}}$  over Asia in August and September 2008 averaged over a 0–2 km layer derived from CALIOP data and simulated by the ECLIPSE models. White boxes indicate missing observations due to ground elevation. (b) Comparison between  $R_{\text{app}}$  over Asia in August and September 2008 averaged over a 2–4 km layer derived from CALIOP data and simulated by the ECLIPSE models. White boxes indicate missing observations due to ground elevation.

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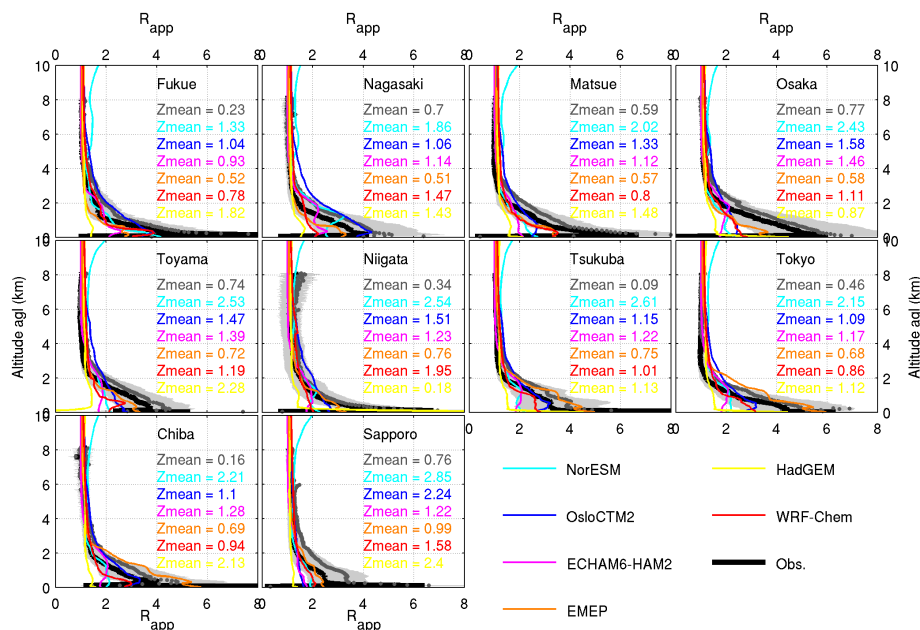
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**Figure 9.** Comparison of mean (grey dots), median (black line), 25th and 75th percentiles (grey area) of  $R_{app}$  profiles observed at 10 NIES aerosol lidar stations over Japan (location shown in Fig. 1) and mean  $R_{app}$  (colored lines) simulated by the ECLIPSE models.

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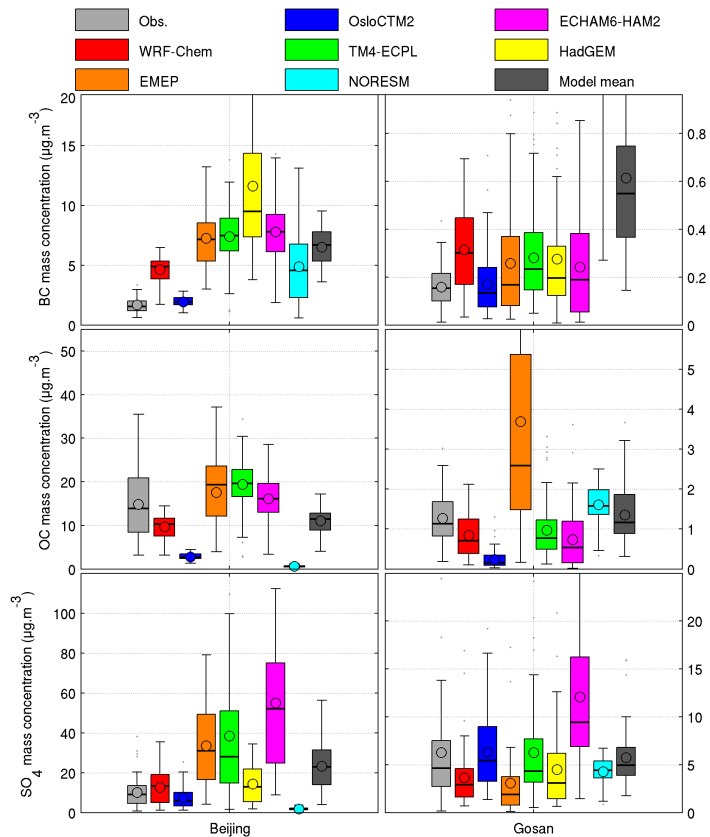
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**Figure 10.** Comparison of observed and simulated box and whisker plots for BC, OC and sulphate: mean (circle), median (central line), 25th and 75th percentiles (box edges). The whiskers encompass values from 25th – 1.5·(75th – 25th) to the 75th + 1.5·(75th – 25th). This range covers more than 99 % of a normally distributed dataset. Note the different scales between urban and rural stations for NO<sub>2</sub> and SO<sub>2</sub> panels.