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1 **Modification in light absorption cross section of**  
2 **laboratory-generated Black Carbon-Brown Carbon**  
3 **particles upon surface reaction and hydration**

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14

15 Key words: black carbon, brown carbon, light absorption, mixing state, surface  
16 reaction

17

18 **Abstract**

19 Recent reports indicate that the absorption enhancement of black carbon (BC) and  
20 brown carbon (BrC) particles is determined by the evolution of morphology and  
21 mixing state during the atmospheric processing. In this study, laboratory-generated  
22 BC-BrC mixture particles (BC-BrC) were exposed to sulfuric acid,  
23 ammonia/triethylamine, and water vapor sequentially to investigate the alteration in  
24 light absorption, morphology and mixing state during simulated atmospheric  
25 processing. Condensation of sulfuric acid and exposure to ammonia or triethylamine  
26 at 5% relative humidity decreased light absorption cross section of BC-BrC by  
27 13%-26%, but subsequent hydration at 85% relative humidity led to an increase by  
28 5%-20%. Our results show that surface reactions and hydration can significantly alter  
29 the light absorption cross section of BC-BrC. Our observation suggests that the  
30 restructuring of BC-BrC induced by neutralization reactions on the BC-BrC surface  
31 was responsible for the reduction in light absorption cross section at low relative  
32 humidity. On the other hand, the formation of an aqueous coating through water  
33 uptake by the hydrophilic inorganic coating at high relative humidity caused an  
34 absorption enhancement.

35 **1. Introduction**

36 Black carbon (BC) has now been accepted as a strong absorber of visible solar  
37 radiation, leading to a positive (warming) radiative forcing that is estimated to be  
38 about one quarter to one half of the magnitude of atmospheric CO<sub>2</sub> (Bond et al., 2013;  
39 IPCC, 2007; Ramanathan and Carmichael, 2008). Recently, another class of  
40 light-absorbing carbon-containing substances (organic carbon, OC) in atmospheric  
41 aerosols, namely brown carbon (BrC), arises much scientific interests because its  
42 intense absorption at the shorter visible and UV wavelength may lead to  
43 underestimation of absorption of solar radiation in the troposphere (Andreae and  
44 Gelencsér, 2006).

45 Annually, 8.0 Tg BC and 33.9 Tg OC are estimated to be emitted at a global scale,  
46 and the contributions of biomass/biofuel burning to BC and OC are estimated to be 62%  
47 and 93%, respectively (Bond et al., 2004). Condensable organic species produced  
48 from either biomass burning (Lewis et al., 2008) or diffusion flames (Schnaiter et al.,  
49 2006), characterized by absorption in the visible light range with an absorption  
50 Ångström exponent (AAE) greater than unity, has been linked to the source of BrC.  
51 The presence of both BC and BrC in particulate matters formed from biomass/biofuel  
52 burning at flaming and smoldering conditions complicates the estimation of their  
53 climate impacts (Chakrabarty et al., 2010; Kirchstetter et al., 2004; Lack et al., 2012).

54 Atmospheric processing poses additional uncertainties in estimating the radiative  
55 forcing of BC particles. Once emitted into the atmosphere, BC particles undergo  
56 aging processes including condensation of low volatility species, coagulation with  
57 pre-existing particles, and potentially, cloud processing. Over a timescale of a few  
58 hours to a few days, the aging processes lead to an internal aerosol mixture.  
59 Nonrefractory coating in the internally mixed BC particle is believed to act like a lens

60 that enhances the light absorption by directing more light towards to the BC core  
61 (“lensing effect”), when compared with an equivalent external mixture (Jacobson,  
62 2001). Theoretically, the extent to which absorption of BC can be enhanced depends  
63 critically on the ratio of the mass of nonrefractory particulate matter ([NR-PM]) to  
64 that of BC ([BC]) in the internally mixed particle ( $R_{BC} = [NR-PM]/[BC]$ ) (Bond et  
65 al., 2006). A number of ambient studies on optical properties of aged BC (which  
66 usually contained amounts of BrC) measured absorption enhancement ( $E_{abs}$ ) that  
67 differed in a large range from 1.06 to 1.7 with a large scale of  $R_{BC}$  (Cappa et al., 2012;  
68 Knox et al., 2009; Lack et al., 2012). The discrepancy can be partly explained by the  
69 different particle configuration caused by various atmospheric aging processes  
70 (Adachi and Buseck, 2013; Schnaiter et al., 2003; Schnaiter et al., 2005).

71 Previous laboratory studies focused on absorption amplification of BC upon  
72 condensation of sulfuric acid and organic materials on BC surface (Cross et al., 2010;  
73 Schnaiter et al., 2005; Shiraiwa et al., 2010; Zhang et al., 2008). However, the  
74 condensed materials are subject to further atmospheric processing, such as surface  
75 reactions with gaseous species (Biskos et al., 2009; Wang et al., 2010) and hydration  
76 and dehydration cycles (Khalizov et al., 2009; Zhang et al., 2008). Water soluble  
77 coating (such as sulfuric acid and glutaric acid) leads to hydration and morphology  
78 modification of BC, both of which further amplify their absorption abilities (Khalizov  
79 et al., 2009; Mikhailov et al., 2006).

80 As one of the most abundant secondary chemical components of atmospheric  
81 aerosols, sulfate is usually found to be internally mixed with BC. They are believed to  
82 alter the morphology, hygroscopicity, and optical properties of BC particles based on  
83 the field observation (Fu et al., 2012; Li et al., 2013). However, there were only a few  
84 studies on BC internally mixed with ammonium sulfate/bisulfate generated either by

85 coagulation (Schnaiter et al., 2003) or by an “evaporation-condensation” procedure  
86 (Stratmann et al., 2010). In the real atmosphere, sulfuric acid efficiently sinks on the  
87 BC surface (Riemer et al., 2004; Zhang et al., 2008) and subsequently  
88 ammonia/amine is transferred to the particulate phase in an effort to neutralize the  
89 acidic components forming ammonium/aminium sulfate (Seinfeld and Pandis, 2006).  
90 Neutralization of the acidic coating by base gaseous species bring additional mass into  
91 the nonrefractory coating (i.e. increase in  $R_{BC}$ ), which can lead to absorption  
92 amplification of BC. On the other hand, neutralization, i.e. transformation of sulfuric  
93 acid to ammonium/aminium sulfate/bisulfate could modify the hygroscopicity, density  
94 and phase of the overall coating (Chan and Chan, 2012; Qiu and Zhang, 2012; Tang  
95 and Munkelwitz, 1994). The influence of neutralization following the condensation of  
96 sulfuric acid on BC surface at different relative humidity (RH) is still unclear.

97 In this study, BC and BrC mixture particles (BC-BrC) were artificially produced  
98 from fuel-rich flames. Polycyclic aromatic hydrocarbons (PAHs), as the main OC  
99 components of our BC-BrC, were assigned to BrC because of their light absorption  
100 nature in line with previous investigations on particles from both fuel-rich flames  
101 (Apicella et al., 2004; Schnaiter et al., 2006) and wood combustion (Chen and Bond,  
102 2010). We exposed our BC-BrC particles to sulfuric acid ( $H_2SO_4$ , SA), ammonia  
103 ( $NH_3$ , AM) or triethylamine ( $(CH_3CH_2)_3N$ , TEA), and water vapor sequentially to  
104 investigate the absorption and morphology alteration. The procedure simulated  
105 transfer of ammonia/amine to the particulate phase by neutralization with condensed  
106 sulfuric acid on the BC-BrC surface and potential hygroscopic growth of internally  
107 mixed BC-BrC due to hydrophilic inorganic coating in the atmosphere. Transmission  
108 electron microscope (TEM), energy dispersive X-ray spectroscopy (EDX),  
109 thermal-evaporation, and Mie calculation using a “core-shell” configuration were

110 employed to investigate mechanisms for observed property variation. In addition,  
111 potential bias on absorption enhancement owing to the instrumental uncertainties was  
112 discussed.

113

## 114 **2. Experimental Methods**

### 115 **2.1. Particle generation and characterization**

116 BC-BrC particles were generated in a laminar diffusion burner by combustion of  
117 methane (99.999%) at a flow rate of  $0.2 \text{ L min}^{-1}$  and air (99.999%) of  $0.5 \text{ L min}^{-1}$ . A  
118 flow of  $0.05 \text{ L min}^{-1}$  was sampled from the chimney and immediately diluted by  
119 20-fold with nitrogen (99.999%), dried to  $< 0.5\% \text{ RH}$  by a silica gel diffusion drier  
120 and a Nafion tube (Perma pure), and then directed to further experimental usage.  
121 Authentic polystyrene latex spheres (PSL, Thermo Scientific) particles were  
122 generated using an atomizer (TSI 3670).

123 The extinction spectra and the chemical composition of BC-BrC were measured  
124 using an UV-Vis spectrophotometer and a gas chromatography–mass spectrometry  
125 (GC-MS), respectively (more details were described in the supplementary information,  
126 SI).

127 Fresh and processed particles were deposited onto a copper grid coated with a  
128 carbon film using a single-stage cascade impactor with a 0.5-mm diameter jet nozzle  
129 (Li and Shao, 2010), and analyzed by a transmission electron microscope (Jeol  
130 JEM-2100F) at an accelerating voltage of 200KV and by an energy-dispersive X-ray  
131 spectrometer (EDX). A collection time of 30 s for each EDX spectrum was employed.

### 132 **2.2. Processing of BC-BrC particles**

133 Size distributions, mass, and hygroscopicity of particles were measured using a

134 system comprising two differential mobility analyzers (DMA, TSI 3081), an aerosol  
135 particle mass analyzer (APM, Kanomax 3601), and a condensation particle counter  
136 (CPC, TSI 3771), as shown in the supplementary scheme. Briefly, polydisperse  
137 particles were brought to charge equilibrium by a Kr-85 neutralizer (TSI 3077), and  
138 then size-selected ( $D_0$ ) by applying a fixed voltage to DMA1 (TSI, 3081). The  
139 obtained monodisperse particles (with a geometric standard deviation of 1.07) were  
140 exposed to sulfuric acid vapor in a 100 ml reservoir containing 20 ml 86 wt%  $H_2SO_4$   
141 solution ( $25 \pm 0.1$  °C), directed to a 50 cm long 10-cm-i.d. residence chamber (growth  
142 reactor), and then through a potassium hydroxide (KOH) scrubber, in which excess  
143  $H_2SO_4$  vapor was removed to terminate its condensation. The sulfuric acid-coated  
144 particles were exposed to 1 ppm  $NH_3$  or  $(C_2H_5)_3N$  in another 100 ml residence  
145 chamber and then to an elevated-RH environment (5%-85% RH) in a multitube  
146 Nafion humidifier (Perma Pure), in which the RH was regulated between 5% and 85%.  
147 In the tandem DMA mode (TDMA), the hygroscopic growth factor ( $G_{f,RH}$ ), defined as  
148 the ratio of the processed particle diameter ( $D_p$ ) to the initial one ( $D_0$ ), was obtained  
149 through the inversion of scanning DMA2 data by the TDMA fit program:

$$150 \quad G_{f,RH} = \frac{D_p}{D_0}.$$

151 The sheath flow in both DMAs was maintained at  $6.5 \text{ L min}^{-1}$ . The aerosol sample  
152 flow through DMAs was kept at  $1.0 \text{ L min}^{-1}$ . The RH was monitored both on the inlet  
153 and outlet of DMA2 by two probes (Vaisala HMM22D). The sheath gas in DMA2  
154 was always humidified to an identical RH to the sample stream to prevent a RH  
155 gradient.

156 With the presence of a 250 °C thermal-denuder (TD) placed before DMA2, the  
157 shrink in particle size was quantified as  $D_{p,250^\circ C}/D_0$  (referred as “heating



158 experiment” in the following text). Thermally evaporated organic and inorganic  
159 species might re-condense on the particle surface. In our case, re-condensation was  
160 expected to be negligible because of the dilution of the sample flow by DMA2 sheath  
161 flow and the relatively larger surface areas of the tubing. Additionally, charring of OC  
162 was expected insignificant at this temperature (see details in SI).

163 An APM interfaced with DMA and CPC was used to measure the monodisperse  
164 particle mass ( $m_p$ ) that can be derived from the Gauss fit on the mass distribution  
165 recorded in APM-CPC. The mass-mobility scaling exponent ( $D_m$ ) was derived from  
166 the mass mobility relationship  $m_p \propto d_m^{D_m}$ , where  $d_m$  was the mobility diameter  
167 determined by DMA1. The effective density was derived from the equation

$$168 \rho_{\text{eff}} = \frac{6m_p}{\pi d_m^3}.$$

169 Care was taken to minimize adventitious  $\text{NH}_3$  in the system in order to prevent  
170 uncontrolled formation of ammonium sulfate. Ultrahigh pure  $\text{N}_2$  (99.999%) was  
171 employed for the flow system. The carrier flow of polydisperse aerosol, the sheath  
172 flow in DMAs, and the purge flow in Nafion tubes all passed through  $\text{NH}_3$ -scrubbers,  
173 which consisted of a 5 liter-cylindrical reactor filled with granular citric acid. To avoid  
174 temperature fluctuation, the entire setup was placed in a temperature controlled box  
175 ( $25 \pm 0.1$  °C), and all the connecting tubing was wrapped by thermal-insulated  
176 materials.

### 177 **2.3. Optical properties measurement**

178 Optical properties of fresh and processed monodisperse particles with an initial  
179 diameter of 150 nm were measured using a combination of a self-made cavity  
180 ring-down spectroscopy (CRDS) and a nephelometer (TSI 3563) interfaced to a CPC  
181 (TSI 3776) (Li et al., 2011; Li et al., 2013). Total extinction coefficient ( $\sigma_{\text{ext}}$ ) was

182 determined at 532 nm by the CRDS, and total scattering coefficient was measured at  
183 450, 550, and 700 nm by the nephelometer. The scattering coefficient was corrected  
184 for angular nonidealities using the scheme reported in Anderson and Ogren (1998)  
185 and converted to 532 nm ( $\sigma_{scat}$ ) using the Ångström exponent equation:

$$186 \quad \dot{a} = -\frac{\log(\sigma_{scat,532}/\sigma_{scat,550})}{\log(532/550)}.$$

187 In the present study, the method yielded a correction factor of  $1.00\pm 0.01$ . The  
188 scattering Ångström coefficients ranged from 3.43 to 3.56 for fresh and processed  
189 BC-BrC (details in SI).

190 Particle number concentration measured by CPC was used together with  
191 extinction and scattering coefficient to calculate the corresponding cross section:

$$192 \quad C_{ext/scat} = \frac{\sigma_{ext/scat}}{N}, \text{ where } C \text{ was the cross section and } N \text{ was the particle number}$$

193 concentration corrected with the diffusion loss in either CRDS or nephelometer.

194 The absorption coefficient ( $\sigma_{abs}$ ) at 532 nm was then calculated from the  
195 difference between the extinction and scattering coefficient. By using this  
196 “extinction-minus-scattering” method, the absorption coefficient could be  
197 overestimated at high relative humidity. With up to 2 °C difference between the inlet  
198 and the body of nephelometer, the humidity in the body was estimated to be 78%  
199 when the inlet gave a RH of 85%. Since alteration in complex refractive indices was  
200 expected to be low over this RH range (78%-85%), the scattering coefficient solely  
201 scaled according to the 4<sup>th</sup> or 5<sup>th</sup> power with the particle diameter in this size range.  
202 The sulfate coatings were expected to be aqueous in 78%-85% RH range since the  
203 highest efflorescence RH among the three forms of coating was reported ~35% for  
204 ammonium sulfate (Tang and Munkelwitz, 1994). By estimating the particle size  
205 decrease from the interpolated hygroscopic growth curve measured by TDMA (see

206 section 3.4), measured scattering (78% RH in the body) was corrected by a factor of  
207 1.07-1.09 according to  $\left(\frac{D_{p,85\%}}{D_{p,78\%}}\right)^5$  to derive that at 85% RH. On the other hand, such  
208 temperature difference-induced correction is unnecessary for CRDS measurements.  
209 Hence, absorption cross section and single scattering albedo (SSA) are values at 85%  
210 RH.

211 SSA was calculated according to  $SSA = C_{scat}/C_{ext}$ . Enhancement of absorption

212 during the aging was obtained according to  $E_{abs} = \frac{C_{abs,processed\ BC-BrC}}{C_{abs,fresh\ BC-BrC}}$ .

213 Overestimation of singly charged particles of 150 nm from DMA1 due to doubly  
214 charged particles was ~1% as calculated from the particle size distribution (Figure S1)  
215 and the charging efficiency. Bias from doubly charged large particles was <7% and <3%  
216 in scattering and absorption measurement, respectively. No correction due to multiply  
217 charged particles was applied.

218

### 219 **3. Results and Discussions**

#### 220 **3.1. Basics of BC-BrC particles**

221 BC-BrC particles in the size range of 30-250 nm (a count median diameter at 69  
222 nm and a geometric standard deviation  $\sigma_g$  of 1.24) were generated from a fuel-rich  
223 flame ( $V_{methane}/V_{air} = 0.4$ ) in a laminar diffusion burner. An extinction Ångström  
224 coefficient of 2.9 was determined for the emulsion of BC-BrC in dichloromethane in  
225 the wavelength range of 250-800 nm. This significant spectral dependence was  
226 mainly attributable to the absorption by the dissolved OC fraction assigned to BrC in  
227 accordance to a series of PAHs detected by GC-MS. Similar spectral dependence due

228 to BrC fraction with similar chemical composition has been also observed for wood  
229 combustion aerosol (Chen and Bond, 2010).

230 Mass of monodisperse BC-BrC particles (40, 100 and 150 nm) was measured by  
231 the DMA-APM system. The obtained mass-mobility scaling exponent of 2.9  
232 suggested near-spherical (3.0 for a sphere) rather than fractal-like shape (Slowik et al.,  
233 2004). The effective density was determined to be 1.10-1.27 g/cm<sup>3</sup>, with smaller  
234 values for larger particles. The values are smaller than the recommended BC material  
235 density of 1.8 g/cm<sup>3</sup> (Bond and Bergstrom, 2006) and typical PAHs density of 1.3  
236 g/cm<sup>3</sup>, but larger than 0.8 g/cm<sup>3</sup> for compact soot aggregates obtained through  
237 condensation of sulfuric acid and subsequent heating (Khalizov et al., 2009),  
238 indicating either that internal voids existed or that the particles comprised a great  
239 amount of aliphatics (typical density of 0.8 g/cm<sup>3</sup>). Since combustion particles  
240 produced under similar conditions contains minor amounts (~5%) of aliphatics  
241 (Slowik et al., 2004), which was also evidenced from our GC-MS analysis, we  
242 speculate that internal voids were present in our BC-BrC particles in spite of their  
243 near-spherical shape. By applying and not applying a thermal denuder in front of  
244 APM, organic species in BC-BrC volatilized at 250 °C in a N<sub>2</sub> atmosphere was  
245 determined to be 34%-54%, with smaller values for larger particles.

### 246 **3.2. Alteration in optical properties**

247 We exposed monodisperse BC-BrC particles (150 nm) to H<sub>2</sub>SO<sub>4</sub> vapor,  
248 eliminated excess H<sub>2</sub>SO<sub>4</sub> vapor using a KOH trap, exposed the H<sub>2</sub>SO<sub>4</sub>-coated particles  
249 (BC-BrC-SA) to NH<sub>3</sub> or (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N gas, and modulated the concentration of water  
250 vapor to allow any potential hygroscopic growth. Excess base gases were retained in  
251 the system to ensure base gases were always available for neutralization during the  
252 entire morphology transformation process of BC-BrC-SA. Optical properties of fresh

253 and processed particles were then measured using a combination of nephelometer and  
254 CRDS at dry (5% RH) and wet (85% RH) conditions, respectively (Fig. 1a). Fresh  
255 BC-BrC showed a mass absorption efficiency (the ratio of absorption cross section to  
256 the mass of BC-BrC particle) of  $3.2 \pm 0.5 \text{ m}^2 \text{ g}^{-1}$  at 532 nm, and a mass scattering  
257 efficiency of  $2.7 \pm 0.3 \text{ m}^2 \text{ g}^{-1}$ . The mass absorption efficiency of BC-BrC is much lower  
258 than that of typical fresh soot because of the presence of organic materials (Schnaiter  
259 et al., 2006), resulting in a single scattering albedo of  $0.46 \pm 0.04$  that is significantly  
260 larger than fresh soot (0.2-0.3) (Bond and Bergstrom, 2006).

261 When BC-BrC were coated with  $\text{H}_2\text{SO}_4$ , and subsequently completely or partially  
262 neutralized with  $\text{NH}_3$  or  $(\text{C}_2\text{H}_5)_3\text{N}$ , the fraction of nonrefractory coating led to the  
263 variation in light absorption, which depended on the coating components and the  
264 relative humidity (Fig. 1b, and Table S2). At 5% RH,  $E_{\text{abs}}$  showed values of 0.87, 0.85,  
265 and 0.74 for three coatings, respectively, suggesting that condensation of inorganic  
266 acid and subsequent surface neutralization reactions were able to decrease the light  
267 absorption cross section of BC-BrC at dry conditions. The observation was contrary to  
268 the theoretical prediction that addition of nonrefractory coating would lead to increase  
269 in light absorption. The reduction in light absorption was plausibly attributable to  
270 restructuring of BC-BrC caused by the processing. Restructuring of fractal-like BC  
271 act either to amplify light absorption owing to enhanced interaction between  
272 neighboring spherules, or to reduce absorption due to inner spherules shielded by the  
273 outer ones (Liu et al., 2008). The shielding effect appears to be significant for  
274 particles with volume equivalent diameter  $>180 \text{ nm}$  or  $>80 \text{ nm}$  suggested by  
275 theoretical prediction (Liu et al., 2008) or experimental investigation (Khalizov et al.,  
276 2009), respectively. In the present study, condensed OC components might deprive  
277 the fractal-like shape but not necessarily compressed the BC inclusion into spheres in

278 fresh BC-BrC particles, which accorded with from Slowik et al. (2007) that  
279 anthracene didn't cause measurable restructuring of fractal like soot aggregates.  
280 Restructuring of BC-BrC and BC inclusion was evidenced by heating experiment  
281 (section 3.5) in spite of their near-spherical shape.

282 An elevation in relative humidity to 85%, however, led to  $E_{\text{abs}}$  of 1.05, 1.20 and  
283 1.18, respectively, in accordance with previous studies that hydration (Schwarz et al.,  
284 2008) can enhance light absorption of internally-mixed hydrophilic soot (Khalizov et  
285 al., 2009; Mikhailov et al., 2006). Condensed sulfuric acid or its reaction products  
286 with base gases can uptake amounts of water at 85% RH and form transparent shell  
287 over BC-BrC particles, which is expected to enhance the lensing effect that surpassed  
288 the shielding effect of BC-BrC restructuring, resulting in a net absorption increase. On  
289 the other hand, Lewis et al. (2009) reported a reduction in light absorption of biomass  
290 burning aerosol upon humidification, which was hypothesized to arise either from the  
291 shielding effect due to particle collapse induced from water uptake by intrinsic  
292 inorganic components, or from the instrumental artifact due to photoacoustic heat and  
293 mass transfer.

294 The scattering of BC-BrC particles did not change at 5% RH but increased by  
295 ~1.1-fold at 85% RH (Fig. 1a), which was much weaker compared with previous  
296 studies on glutaric acid- or  $\text{H}_2\text{SO}_4$ -coated chain BC agglomerates (Khalizov et al.,  
297 2009; Mikhailov et al., 2006). Fresh BC agglomerates consisted of small primary  
298 spherules (15-25 nm) that weakly scattered light, and the restructuring and volume  
299 growth due to condensation and hydration led to a more compact shape with much  
300 stronger scattering (Khalizov et al., 2009), which did not occur for processed BC-BrC  
301 particles in this study.

302

### 303 3.3. TEM and EDX analysis

304 TEM and EDX were used to study the morphology of BC-BrC. The majority of fresh  
305 BC-BrC indicated a configuration similar to embedded soot that is heavily coated by  
306 condensed organic materials emitted from wildfire (China et al., 2013), while a small  
307 fraction showed partially coated chain agglomerates (Fig. 2b) as aggregates of  
308 primary particles (China et al., 2013). Due to the deformation of particles during the  
309 collection and under TEM vacuum, the internal voids of fresh BC-BrC cannot be  
310 visualized under TEM. The H<sub>2</sub>SO<sub>4</sub> coating did not alter particle morphology  
311 significantly, and the H<sub>2</sub>SO<sub>4</sub> coating itself was not quite visualized in TEM images  
312 because of the evaporation of H<sub>2</sub>SO<sub>4</sub> under vacuum (Fig. 2c). Neutralization by NH<sub>3</sub>  
313 or (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N, however, brought on identifiable coating on BC-BrC particle surface  
314 (Figs. 2d and 2e), which was likely at least partially responsible for the modified  
315 optical properties of particles. Note that the BC-BrC-SA-AM particle in Fig. 2d was  
316 exposed to 85% RH before collection, and hence the circular spot around the particle  
317 was attributable to a residue of ammonium sulfate after the evaporation of most of the  
318 ammonium sulfate solution. The image might reflect an ideal “core-shell”  
319 configuration with BC-BrC located at the center and inorganic coating in the  
320 surrounding. EDX spectra revealed the presence of various elements (Figs. 2f and 2g).  
321 Carbon elements mainly came from BC-BrC, while oxygen, silicon, and copper were  
322 from the Si-O substrate and the impactor grid (Li and Shao, 2010). In contrast to TEM  
323 tests, minor sulfur element was evidenced in EDX spectra for BC-BrC-SA, suggesting  
324 the presence of H<sub>2</sub>SO<sub>4</sub> residue after evaporation of most condensed H<sub>2</sub>SO<sub>4</sub> under  
325 vacuum, or the presence of other sulfur-containing species. Sulfur element was more  
326 abundant in EDX spectra for BC-BrC-SA-AM, since H<sub>2</sub>SO<sub>4</sub> was neutralized to  
327 less-volatile (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. Restricted by detection limit and resolution, N elements from

328 ammonium ions were undetectable by EDX (Li and Shao, 2010).

### 329 **3.4. Physicochemical properties of hydrophilic inorganic coating**

330 In Figure 3, the size variation of 150 nm BC-BrC was plotted, as well as that of  
331 authentic PSL particles as a reference, when processed with H<sub>2</sub>SO<sub>4</sub>, NH<sub>3</sub> or  
332 (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N, and water vapor. Similar figures for 40 and 100 nm particles are  
333 presented in Fig. S4. Except for two cases of 40 nm particles at the highest RH (Fig. 3  
334 and Fig. S4), the mobility diameters of processed BC-BrC were always smaller than  
335 those of PSL, indicating morphology alteration during the processing including  
336 condensation, surface reaction, and hydration. Morphology alteration can be caused  
337 by combined effects of particle restructuring and a decrease in the dynamic shape  
338 factor. The latter was explained by the padding of small cavities by inorganic coating  
339 on the irregular particle surface. However, the actual cause for the decrease in  
340 mobility size of BC-BrC-SA, -SA-AM, and -SA-TEA was concealed because the  
341 alteration in effective density of BC-BrC due to different processing was unavailable.

342 As shown in Fig. 3 and in Fig. S4, BC-BrC-SA, -SA-AM and -SA-TEA have  
343 shown distinctive, i.e., either continuous or deliquescent, hygroscopic growth curves,  
344 which was largely determined by the nature of inorganic coatings. As reported by  
345 Stratmann et al. (2010), evaporation-condensation procedure generates ammonium  
346 bisulfate coating rather than ammonium sulfate. Without a direct measurement, the  
347 chemical composition in the coating was then evaluated by combining the  
348 hygroscopic growth factors of processed PSL and physicochemical properties of  
349 ammonium/ammonium sulfates/bisulfates derived from E-AIM model (see details in SI).

350 The amounts of sulfate ions in the coating of processed PSL were identical for  
351 PSL-SA at the RH range of 30%-85% and PSL-SA-AM/TEA at 85% RH, *i.e.*, the  
352 occurrence of deliquescence and the formation of an ammonium or triethylammonium



353 sulfate (AS or TEAS) solution (Table S3a). The seeming larger number of sulfate ions  
354 for NH<sub>3</sub> exposure at 5%, 30%, and 70% RH was rationalized by the AS coating being  
355 in fact crystalline and nonuniform under dry condition. In this case, the diameter sized  
356 by DMA was larger than that of a sphere equivalent in volume (Gibson et al., 2007),  
357 which resulted in an effective density of AS coating less than that of authentic  
358 standards (Table S3a). Hence, within experimental uncertainty, NH<sub>3</sub> gas was able to  
359 completely neutralize coated H<sub>2</sub>SO<sub>4</sub> at all relative humidity used.

360 In contrast, the calculated number of sulfate ions for (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N exposure at 5%,  
361 30% and 70% RH was smaller than those in the H<sub>2</sub>SO<sub>4</sub> coating if complete  
362 neutralization was assumed (Table S3b and Fig. S5). This discrepancy suggested that  
363 H<sub>2</sub>SO<sub>4</sub> was only partially neutralized by (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N at low humidity, because the  
364 initial reactions between condensed H<sub>2</sub>SO<sub>4</sub> and gaseous (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N led to formation  
365 of triethylaminium bisulfate/sulfate (TEABS/TEAS) on the particle surface and the  
366 steric hindrance of ethyl groups in TEABS/TEAS prevented gaseous (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N,  
367 although still available, from diffusing deep into H<sub>2</sub>SO<sub>4</sub> liquid layer to achieve  
368 complete neutralization. Further uptake gaseous (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N and complete  
369 neutralization did not occur until high relative humidity was reached (Chan and Chan,  
370 2012).

371 Hence, the formation of AS on PSL surface is responsible for the deliquescent  
372 behavior for PSL-SA-AM, whereas the evolving chemical composition and  
373 hydrophobic ethyl group terminal explains the non-growth for PSL-SA-TEA until 85%  
374 RH in contrast to continuous hygroscopic growth of atomized particles (Qiu and  
375 Zhang, 2012), or droplets from TEAS or TEABS solutions (Chan and Chan, 2012).  
376 Once the relative humidity rose to 85%, a solution layer of AS or TEAS was formed  
377 on the BC-BrC particle surface and demonstrated mainly its lensing effect.

### 378 **3.5. Thermal removal of volatile compounds**

379 Fresh and processed BC-BrC particles was heated up to 250 °C in a N<sub>2</sub>  
380 atmosphere and the variation in particle diameter was measured to further investigate  
381 the morphology variation of the refractory core of BC-BrC particles during the aging  
382 process (Fig. 4). Heating led to removal of semi-volatile and/or low volatility  
383 compounds, and the extent of shrinking in size decreased with increasing D<sub>0</sub>. Coating  
384 of H<sub>2</sub>SO<sub>4</sub> followed by heating, *i.e.*, heating of BC-BrC-SA, however, resulted in larger  
385 particles than heated BC-BrC. Since sulfuric acid is expected to evaporate at 250 °C  
386 (Cross et al., 2010), the relative increase in diameter can be explained by formation of  
387 small amounts of refractory sulfur-containing species upon coating of H<sub>2</sub>SO<sub>4</sub> or  
388 during heating, as suggested by our EDX spectra.

389 In addition, heated BC-BrC-SA were generally larger than heated  
390 BC-BrC-SA-AM and BC-BrC-SA-TEA, suggesting BC-BrC collapsed to various  
391 extent owing to the neutralization by NH<sub>3</sub> and (CH<sub>3</sub>CH<sub>2</sub>)<sub>3</sub>N. The extent of reduction  
392 in light absorption cross section for BC-BrC-SA-AM/-TEA coincided with the extent  
393 of shrinking of refractory core, indicating that collapse of refractory core (*i.e.* BC  
394 inclusion) was likely responsible for the reduction in light absorption cross section at  
395 5% RH. Exposure of fresh and coated particles to 85% relative humidity before  
396 heating led to further shrinking of particles, however, the extent is rather small  
397 comparing to that due to coating. Hence, compacting of BC-BrC exerted a more  
398 profound role at the initial stage of our simulated aging, *i.e.*, condensation and surface  
399 reactions.

### 400 **3.6. Mie theory calculation with a core-shell configuration**

401 Mie theory with a core-shell configuration has been widely applied to evaluate  
402 the absorption alteration of internally mixed BC (Bohren and Huffman, 2007; Bond et

403 al., 2006; Schnaiter et al., 2005). In our study, the effect of different sulfate coatings  
404 on absorption enhancement was investigated using this method. Briefly, the model  
405 utilized a volume equivalent diameter of 132.7 nm estimated from the effective  
406 density and OC fraction, a complex refractive index of  $2.0+0.2i$  for the BC-BrC core  
407 retrieved from Mie “closure” calculations using measured absorption and scattering  
408 coefficients (Chakrabarty et al., 2010), and the complex refractive indices of sulfates  
409 coating according to the volume weighted mixing rule (see more details in SI).

410 Our calculation results are presented in Fig. 5. Generally,  $E_{abs}$  was always larger  
411 than 1 and increased monotonously in the entire range of  $R_{BC-BrC}$  in line with Bond et  
412 al. (2006). Hydration can significantly enhance light absorption by increasing the  
413 coating mass; on the other hand, it can narrow the difference in  $E_{abs}$  among different  
414 forms of sulfates because their water uptake ability was close at high humidity.

415 Our measured  $E_{abs}$  is presented in Fig. 5 for comparison. The nonrefractory  
416 coating mass was derived from that of processed PSL (see section 3.4 and Table S3).  
417 The overall uncertainty of  $R_{BC-BrC}$  was estimated to be 30%, taking into account the  
418 surface nature and morphology. The uncertainty of  $E_{abs}$  was estimated to be equal to  
419 the relative errors of  $\sigma_{abs}$  measurement (see more details in SI). Clearly, the  
420 “core-shell” configuration calculation fairly reproduce the  $E_{abs}$  at 85% RH but  
421 overestimates  $E_{abs}$  at 5% RH, likely because the model didn’t involve other key facts  
422 including particle shape alteration and interaction between OC and inorganic coatings.  
423 Schnaiter et al. (2005) also reported less well reproducibility of Mie theory calculation  
424 for SOA-coated BC than for pure SOA, most likely because of the restructuring and  
425 the incomplete enclosure of the porous soot aggregates.

426  
427

#### 428 **4. Conclusion**

429 Our work on the multistep processing of BC-BrC, which utilized condensation of  
430  $\text{H}_2\text{SO}_4$  and subsequent neutralization and hydration, provides an attempt to mimic the  
431 real sequence of atmospheric aging events and to investigate the corresponding  
432 alteration in BC-BrC's light absorption, as shown in Fig. 6. Our study suggests that  
433 surface reactions can modify the mixing state and morphology of BC-BrC. The  
434 restructuring of BC-BrC largely determines the light absorption ability at low  
435 humidity even if neutralization of SA-coating by  $\text{NH}_3$  or  $(\text{CH}_3\text{CH}_2)_3\text{N}$  causes mass  
436 growth of nonrefractory coating. Heating experiments could not verify the  
437 restructuring of BC-BrC induced by condensation of sulfuric acid because of the  
438 formation of refractory sulfur-containing species, while it was evident that  
439 neutralization of  $\text{NH}_3$  or  $(\text{CH}_3\text{CH}_2)_3\text{N}$  with condensed  $\text{H}_2\text{SO}_4$  act to compact the BC  
440 inclusion. Meanwhile, ammonium sulfate coating originated from reaction between  
441 SA and  $\text{NH}_3$  on the surface was evidenced to be nonuniform, which was different  
442 from the core-shell configuration and correspondingly hindered the lensing effect. In  
443 addition, the effect of high relative humidity in the evolution of internally mixed BC  
444 and/or BrC has been investigated in this work. Above the deliquescence relative  
445 humidity, hydrophilic sulfate coating will exert primarily lensing effect that enhances  
446 absorption although shielding effect is also occurring.

447 The presence of OC in BC-BrC particles and their interaction with coating  
448 materials turned to be a source of the complexities. Henning et al. (2012) recently  
449 reported an increase of the hygroscopic growth with increasing OC-content for  
450 CAST-soot internally mixed with sulfuric acid, which arose the hypothesis that  
451 sulfuric acid reacts with PAHs and form hydrophilic products. The speculated reaction  
452 between sulfuric acid and PAHs would modify the light absorption in the UV-Vis

453 range for BC-BrC particles in addition to their hygroscopicity. In our study, the  
454 discrepancy between experimental data and Mie theory calculation with a core-shell  
455 model configuration might originate from the interaction between OC and inorganic  
456 coating under different humidity conditions in addition to the BC-BrC restructuring.

457 It is now accepted that BC and/or BrC, freshly emitted from incomplete  
458 combustion processes, will become eventually internally mixed with secondary  
459 organic aerosols, sulfates, and nitrates (Moffet and Prather, 2009; Posfai et al., 1999).  
460 Our study suggested that various chemical processing largely determined the  
461 alteration in mixing state, morphology and light absorption of BC-BrC. Hence, other  
462 important surface reaction processes, e.g., the formation of ammonium nitrate  
463 following the sulfate coating, should be investigated (Riemer et al., 2004). In addition,  
464 the extent of alteration in light absorption cross section for BC-BrC should be  
465 cautiously extrapolated to their real climate impact because internally mixed BC/BrC  
466 usually contained more nonrefractory materials, i.e. large  $R_{BC}$ . Clearly, the whole  
467 picture of the evolution of BC/BrC is yet to be completely understood.

468

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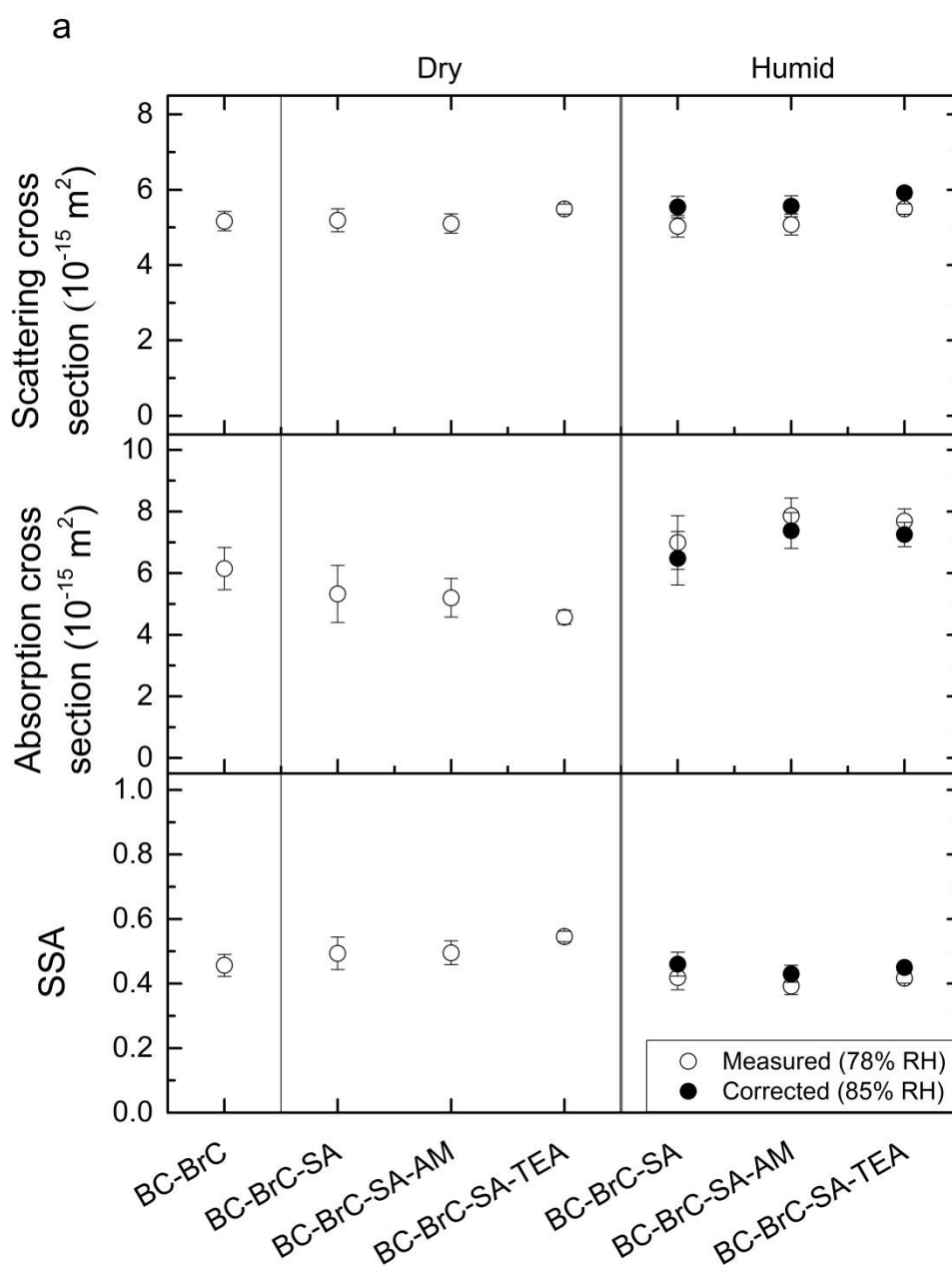
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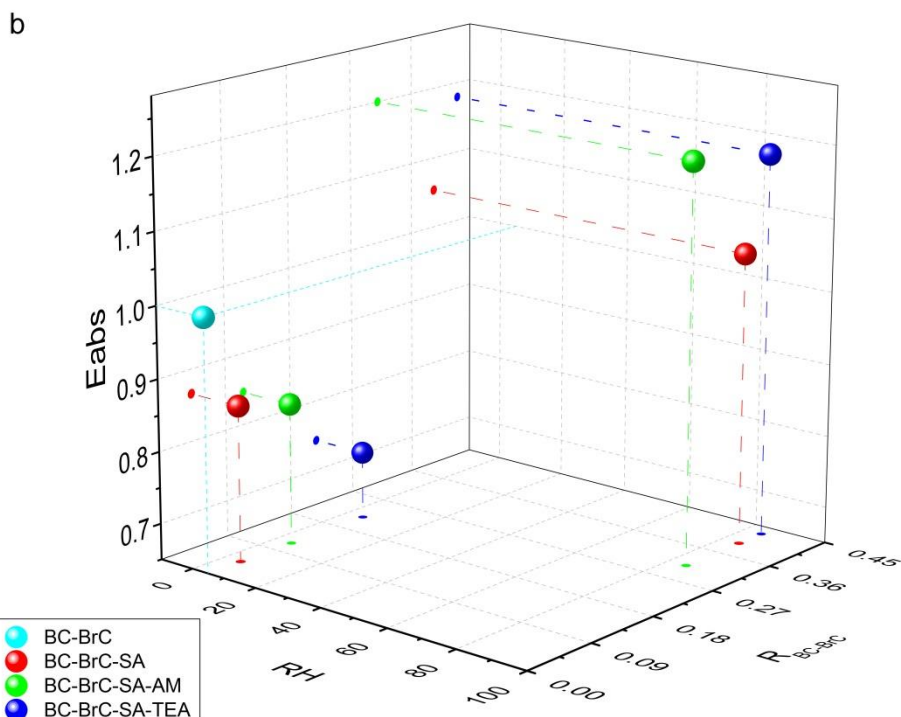
637 **Figure Captions**

638 **Fig. 1.** Optical properties of BC-BrC. (a) scattering and absorption cross sections, and  
 639 single scattering albedo (SSA) of BC-BrC, BC-BrC-SA, BC-BrC-SA-AM,  
 640 BC-BrC-SA-TEA particles at 5 % and 85% RH, respectively. The error bars represent  
 641 one standard deviations ( $\sigma$ ). The open circles represent direct measurement, while the  
 642 solid circles are corrected from 78% to 85% RH. (b) Absorption enhancement ( $E_{abs}$ )  
 643 as a function of RH and  $R_{BC-BrC}$ .



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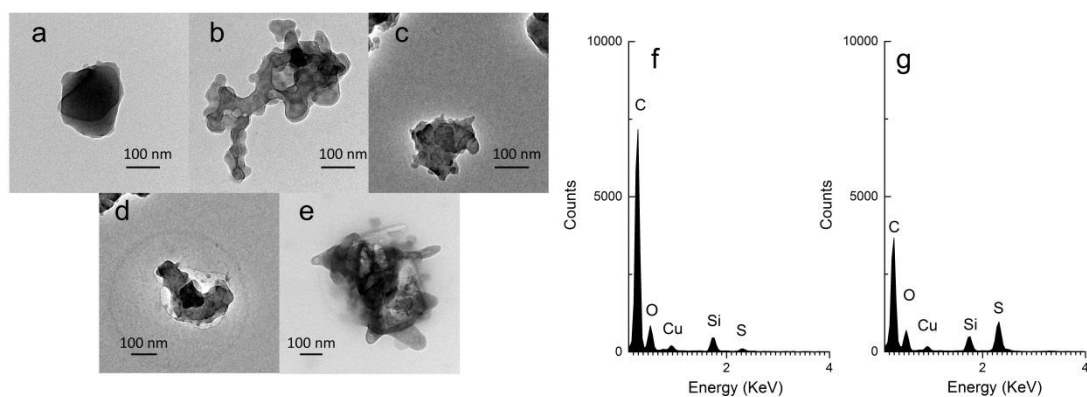


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648 **Fig. 2.** TEM images of different types of BC-BrC: (a) embedded/heavily coated fresh  
 649 BC-BrC that represented the majority of collected particles; (b) partially coated chain  
 650 agglomerates that were a minor fraction of collected particles; (c) BC-BrC-SA;  
 651 BC-BrC-SA-AM; (e) BC-BrC-SA-TEA. EDX spectra of (f) BC-BrC-SA and (g)  
 652 BC-BrC-SA-AM. BC-BrC-SA-AM particles were exposed to 85% RH before  
 653 collection, while other particles were sampled at 5% RH.

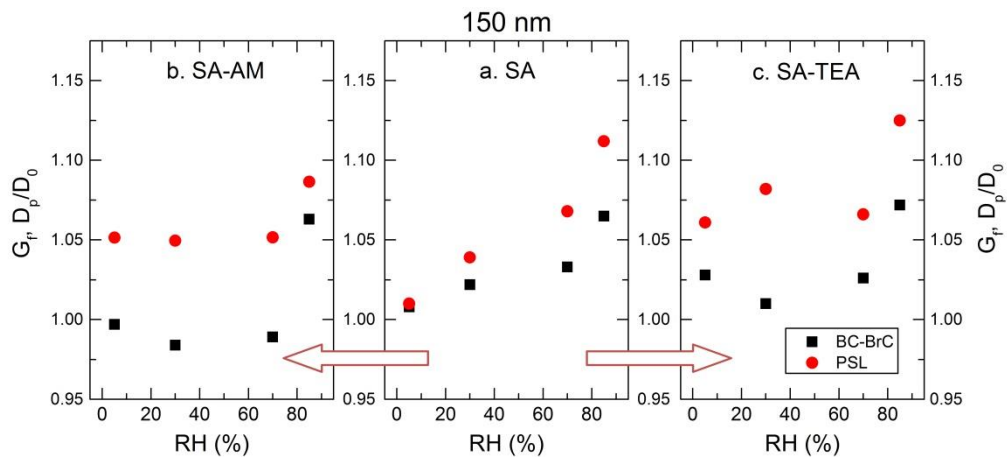
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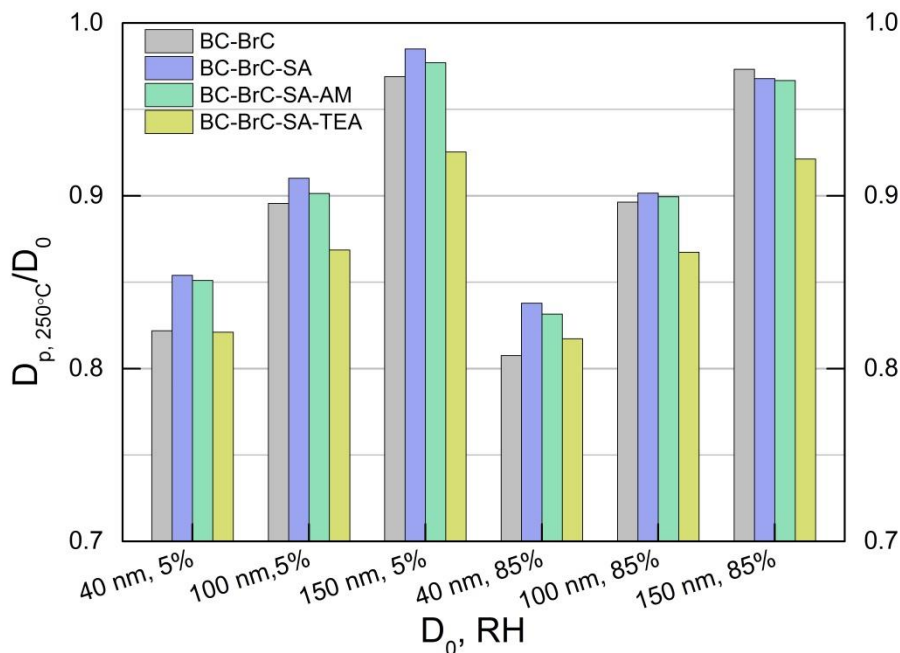
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657 **Fig. 3.** Hygroscopic growth factor ( $G_f$ ) of 150-nm BC-BrC. (a), SA, upon  
 658 condensation of  $H_2SO_4$ ; (b), SA-AM, exposure of  $H_2SO_4$ -coated BC-BrC with  $NH_3$ ;  
 659 (c), SA-TEA, exposure of  $H_2SO_4$ -coated BC-BrC with  $(CH_3CH_2)_3N$ .  
 660

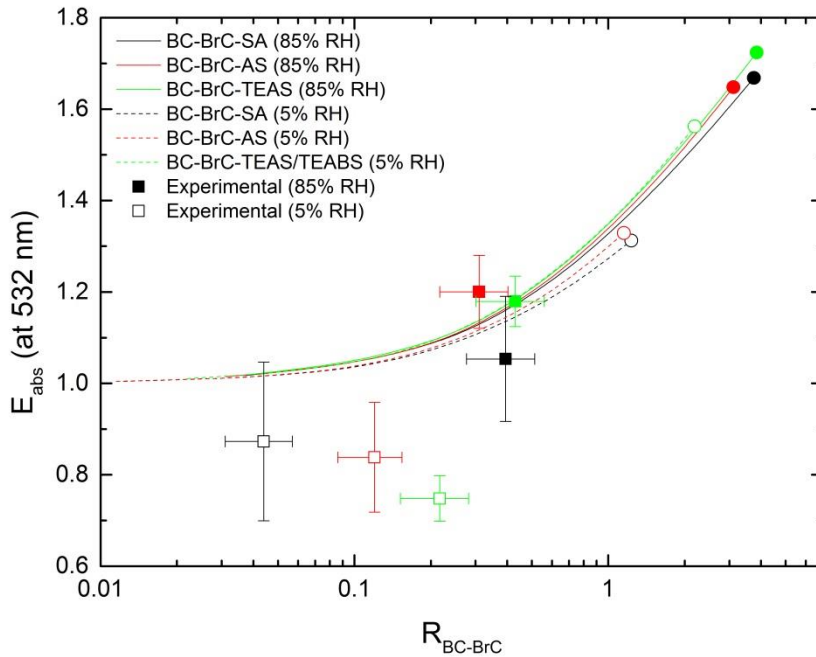


661  
 662 **Fig. 4.** Shrink in size ( $D_{p,250^\circ C}/D_0$ ) measured by DMA-TD-DMA at  $250^\circ C$ . The TD  
 663 was set at  $250^\circ C$  to allow complete evaporation of non-refractory components. For  
 664 high RH experiments, a humidifier was placed between the first DMA and TD to  
 665 elevate RH up to 85%.

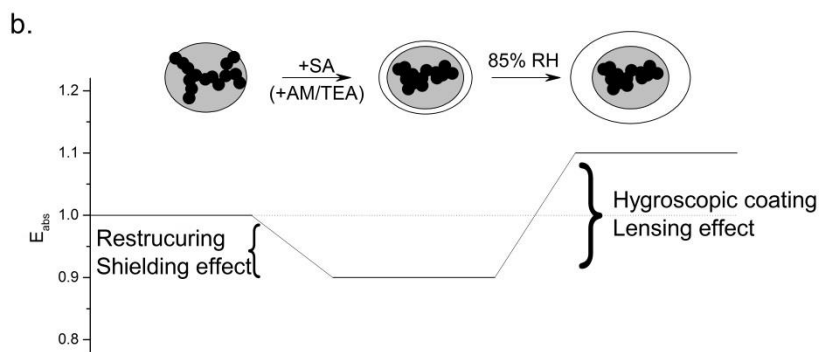
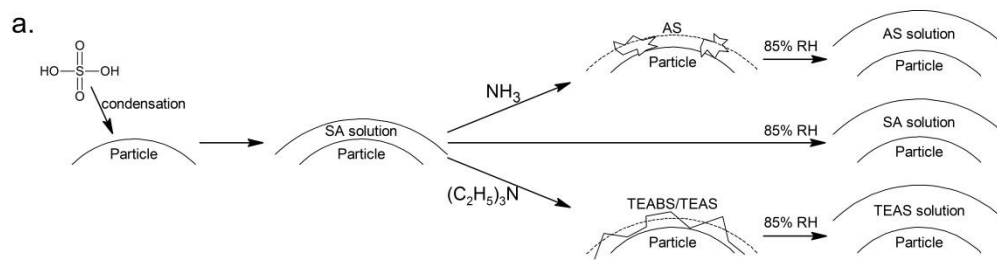


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670 **Fig. 5.**  $E_{\text{abs}}$  for processed BC-BrC under dry (dash line) or wet (solid line) conditions  
 671 calculated using Mie theory, and experimentally observed  $E_{\text{abs}}$  for BC-BrC-SA (black  
 672 squares), BC-BrC -SA-AM (red squares) and BC-BrC-SA-TEA (green squares). The  
 673 circles at the end of curves correspond to  $R_{\text{BC-BrC}}$  with  $\sim 10$ -fold sulfate ions of the  
 674 experimental data in this study.  
 675



676  
 677 **Fig.6.** (a), Processing processes for generation of SA, SA-AM and SA-TEA coatings  
 678 on BC-BrC or PSL particles. (b), Proposed mechanism for alteration in light  
 679 absorption for internally mixed BC-BrC upon surface reaction and hydration. The  
 680 black aggregates represent refractory BC inclusion, and the gray and clear circles  
 681 represent organic and inorganic materials, respectively.



682

Highlights:

- Condensation of  $\text{H}_2\text{SO}_4$ , surface neutralization reactions and subsequent hydration of BC-BrC particles have been simulated.
- Restructuring of BC-BrC particles due to surface neutralization reactions causes reduction in light absorption at low relative humidity.
- Hygroscopic growth of processed BC-BrC particles enhances light absorption at high relative humidity.

## Supplementary Information

for

# Modification in light absorption cross section of laboratory-generated Black Carbon-Brown Carbon particles upon surface reaction and hydration

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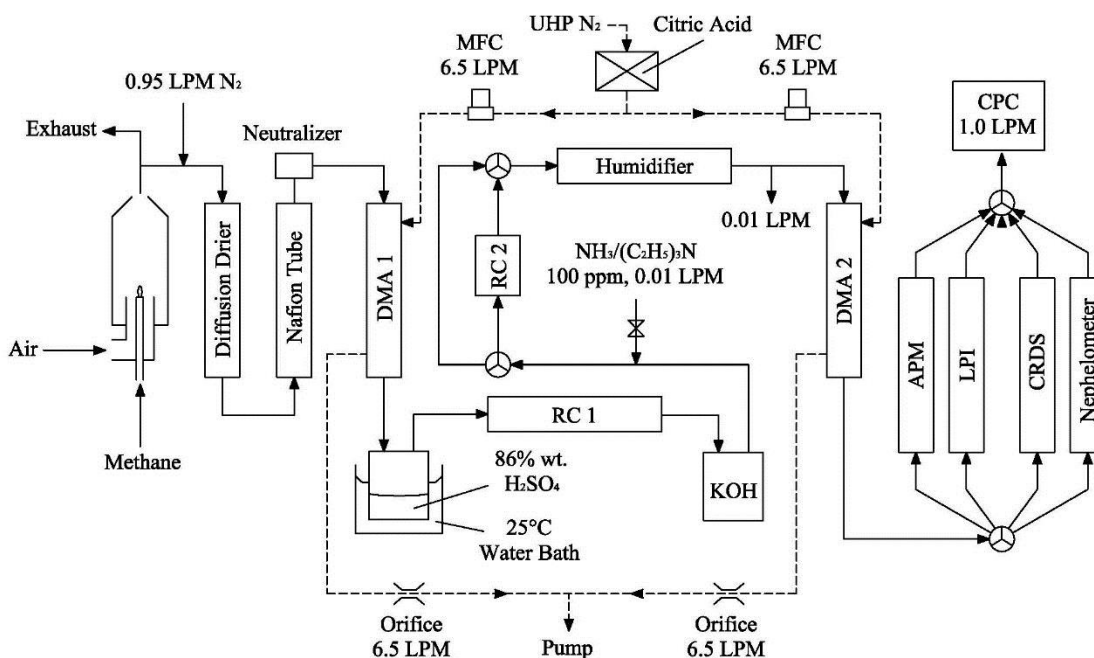
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## 1. Experimental setup

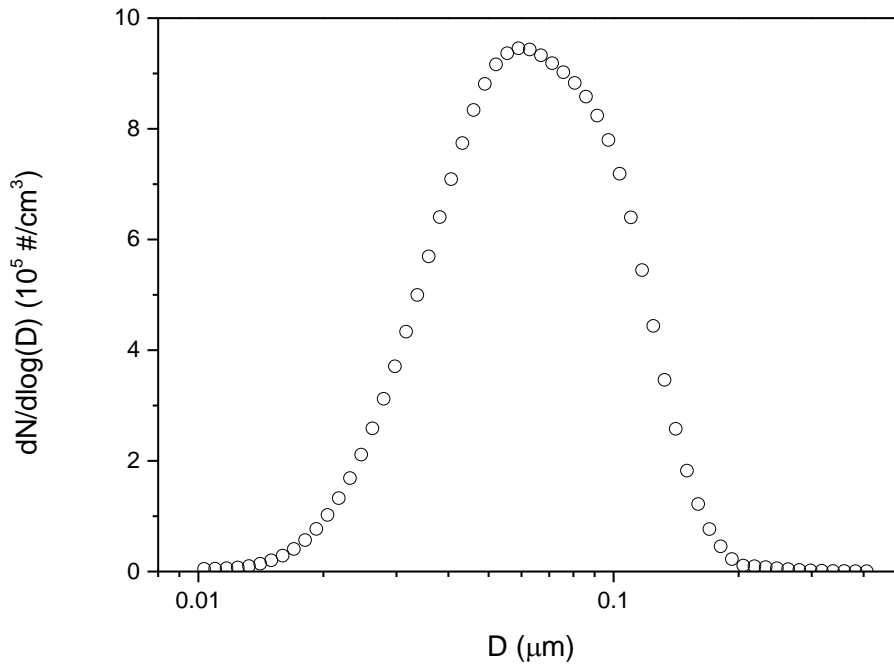
**Supplementary Scheme.** Schematic layout of BC-BrC generator and the tandem DMA setup in coupled with aerosol particle mass (APM) analyzer, low pressure impactor (LPI), cavity ring-down spectrometer (CRDS), and nephelometer. The two DMAs were performed by a “open loop” setting, whose inlets and outlets of sheath flow were controlled by mass flow controllers (MFC) and orifices respectively. Condensation particle counter (CPC) provided a constant sample flow rate of 1.0 LPM in all applications. Extended exposure of BC-BrC or PSL to sulfuric acid vapor was carried out in the first residence chamber (RC 1), and excess sulfuric acid was eliminated by a KOH trap. Ammonia ( $\text{NH}_3$ ) or triethylamine ( $(\text{C}_2\text{H}_5)_3\text{N}$ ) was introduced downstream to interact with  $\text{H}_2\text{SO}_4$ -coated particles. While a 10-cm-long and 6-mm-i.d. tubing worked for  $\text{NH}_3$  experiments, a 100 ml residence chamber (RC 2) was used for  $(\text{C}_2\text{H}_5)_3\text{N}$  experiments.



## 2. BC-BrC particle characterization

**Supplementary Figure S1.** Size distribution of a polydisperse fresh BC-BrC population. It was characterized by a count median diameter of 69 nm and a geometric standard deviation of 1.24.

The fraction of doubly charged particles was estimated based on the particle size distribution and the bipolar charge distribution (Wiedensohler, 1988). The charge efficiency for singly charged 150 nm and doubly charged 225 nm particles is predicted to be 23% and 8%, respectively (Wiedensohler, 1988). On the other hand, the particle size distribution showed a very narrow peak shape with a median diameter at 69 nm and a geometric standard deviation of 1.24. We benefit from the narrow size distribution so that the ratio of number concentration of 150 nm and 225 nm particles was 23:1. Hence, doubly charged particle with a diameter of 225 nm contributed ~1% to singly charged particle with a diameter of 150 nm classified by DMA. This would lead to an overestimation of 7% and 3% for scattering and absorption coefficients for singly charged BC-BrC particles according to  $\sigma_{scat} \propto D_p^5$  and  $\sigma_{abs} \propto D_p^3$ , respectively, assuming an identical refractive index for 150 nm and 225 nm BC-BrC.

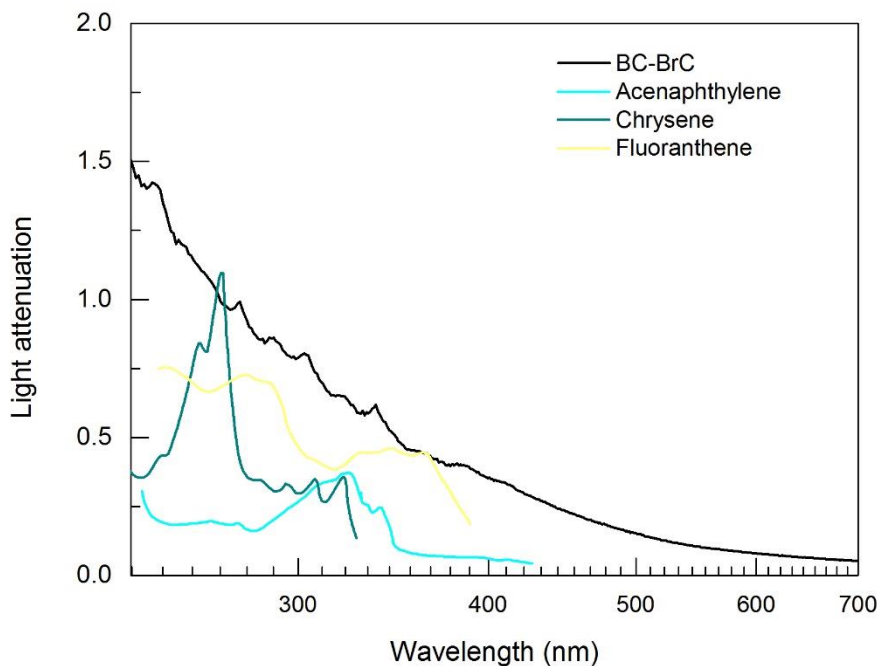


**Supplementary Figure S2.** UV-Vis spectra of BC-BrC in dichloromethane ( $\text{CH}_2\text{Cl}_2$ , HPLC grade) emulsion. UV-Vis spectra of three polycyclic aromatic hydrocarbons (PAHs, acenaphthylene, chrysene and fluoranthene) detected by GC-MS are plotted as references (Linstrom and Mallard, 2014).

The BC-BrC particles were deposited on a glass slide placed above the chimney exhaust, and then were dissolved and/or dispersed by placing the glass slide into dichloromethane in an ultrasonic bath for 30 min. The light attenuation of the emulsion was measured by a photodiode array spectrophotometer (Scinco s-3150). An extinction Ångström exponent (EAE) of 2.9 was derived from the light attenuation in the wavelength range of 250-800 nm.

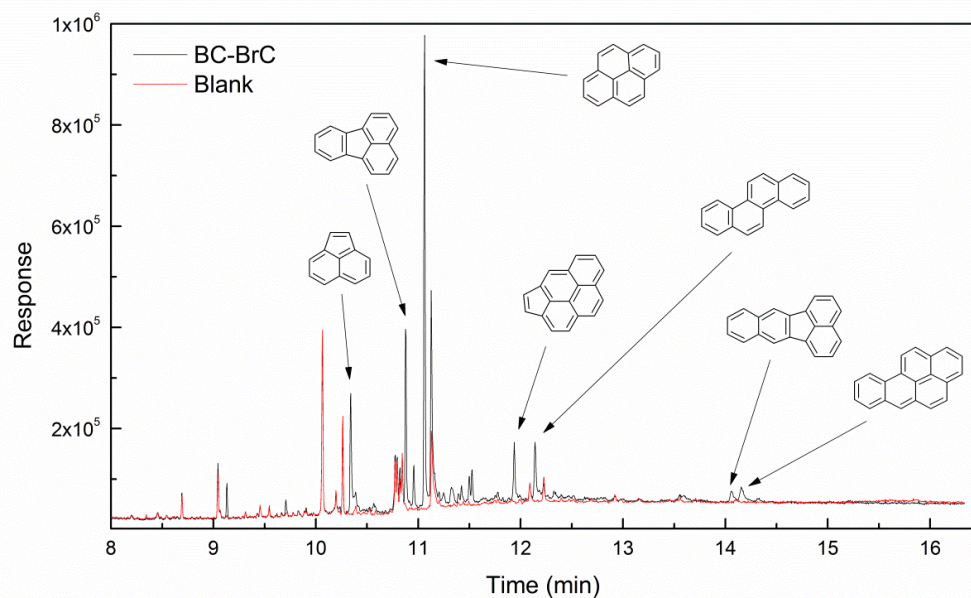
The obtained light attenuation should be assigned to light extinction because scattering of suspended BC in dichloromethane also contributed. Hence, the light attenuation should be considered as a sum of light absorption by dissolved OC (mostly PAHs up to 600 Da molecule weight) (Michela et al., 2008) and extinction by dispersed BC primary spheres.

A Mie theory calculation using a volume equivalent diameter of 100 nm and a complex refractive index of  $1.22+0.31i$  yielded an extinction Ångström exponent of  $\sim 1.05$ . The complex refractive index was the refractive index of BC as  $1.74+0.44i$  (Bond and Bergstrom, 2006) normalized by that of dichloromethane as  $1.424+0.00i$ . If the BC particles break up into primary spheres with a smaller size (e.g., 20 nm), the EAE can be equal to unity. Therefore, we draw the conclusion that an EAE of  $\sim 2.9$  suggests the presence of BrC, in line with the GC-MS analysis.



**Supplementary Figure S3.** GC-MS analysis of chemical composition of BC-BrC.

The emulsion of deposited BC-BrC was sonicated for 10 min and filtered, and  $\text{CH}_2\text{Cl}_2$ -soluble organic components were analyzed using an Agilent 6890 series GC system with a HP-5 MS capillary column (30 m  $\times$  0.32 mm  $\times$  0.25  $\mu\text{m}$ ), coupled to a HP 5973 mass selective detector. The  $\text{CH}_2\text{Cl}_2$  extracts indicates the presence of a series of PAHs including acenaphthylene, fluoranthene, pyrene, cyclopenta[cd]pyrene, chrysene, benzo[K]fluoranthene, and benzo[a]pyrene.



### 3. Scattering Ångström exponents

Scattering Ångström exponents of fresh and processed BC-BrC with an initial diameter of 150 nm ranged from 3.43 to 3.56. Since scattering Ångström exponents are largely determined by the particle size, no significant alteration was observed for fresh and processed BC-BrC upon surface reaction and hydration. In addition, inter-comparison between our measurement and theoretical calculation has been performed. For 150 nm PSL particles with a complex radiative index of  $1.598 + 0.00i$ , the measured Ångstrom scattering coefficient in the 450-700 nm wavelength range by a nephelometer was 3.76, in good agreement with the theoretical prediction of 3.75

**Supplementary Table S1.** Scattering Ångström exponents of fresh and processed BC-BrC and authentic PSL.

	5% RH	85% RH
BC-BrC	3.56	
BC-BrC-SA	3.53	3.52
BC-BrC-SA-AM	3.45	3.53
BC-BrC-SA-TEA	3.44	3.43
PSL	3.76	

#### 4. Uncertainty analysis

The CRDS system measured the extinction coefficient of aerosol particles with an uncertainty of 3% under laboratory experimental conditions (Li et al., 2011). Following the procedures recommended by Anderson and Ogren (1998), the precision uncertainty for scattering coefficient measurement using nephelometer (TSI, 3563) during our experiment was within 1%, while the accuracy was within 7%. Therefore, the uncertainty of absorption coefficient and single scattering albedo (SSA) was estimated to be 7%-9% and 8%, respectively, according to the following equations:

$$\frac{\Delta\sigma_{abs}}{\sigma_{abs}} = \sqrt{(\Delta\sigma_{ext})^2 + (\Delta\sigma_{scat})^2}$$
$$\frac{\Delta SSA}{SSA} = \sqrt{\left(\frac{\Delta\sigma_{ext}}{\sigma_{ext}}\right)^2 + \left(\frac{\Delta\sigma_{scat}}{\sigma_{scat}}\right)^2}$$

where  $\Delta\sigma_{abs}$ ,  $\Delta\sigma_{ext}$ ,  $\Delta\sigma_{scat}$  and  $\Delta SSA$  are one standard deviation of absorption coefficient, extinction coefficient, scattering coefficient and single scattering albedo, respectively. Note that the uncertainty of absorption/scattering/extinction cross sections partially originated from the fluctuation of particle concentration monitored by CPC.

$$\frac{\Delta C_{abs/scat/ext}}{C_{abs/scat/ext}} = \sqrt{\left(\frac{\Delta\sigma_{abs/scat/ext}}{\sigma_{abs/scat/ext}}\right)^2 + \left(\frac{\Delta N}{N}\right)^2}$$

where  $\Delta C_{abs/scat/ext}$  is the uncertainty of absorption/scattering/extinction cross section and  $\Delta N$  represents one standard deviation of the particle concentration.

5.  $R_{BC-BrC}$

We define  $R_{BC-BrC}$  as the ratio between the mass of nonrefractory inorganic coatings ( $m_{NR-I}$ ) and the mass of BC-BrC particle ( $m_{BC-BrC}$ ):

$$R_{BC-BrC} = \frac{m_{NR-I}}{m_{BC-BrC}} = \frac{\pi\rho_{eff} \times D_0^3 \times (G_f^3 - 1)}{6m_{BC-BrC}}$$

where  $\rho_{eff}$  is the effective density of the nonrefractory coating,  $D_0$  is the initial particle diameter,  $G_f$  is the measured growth factor using TDMA.

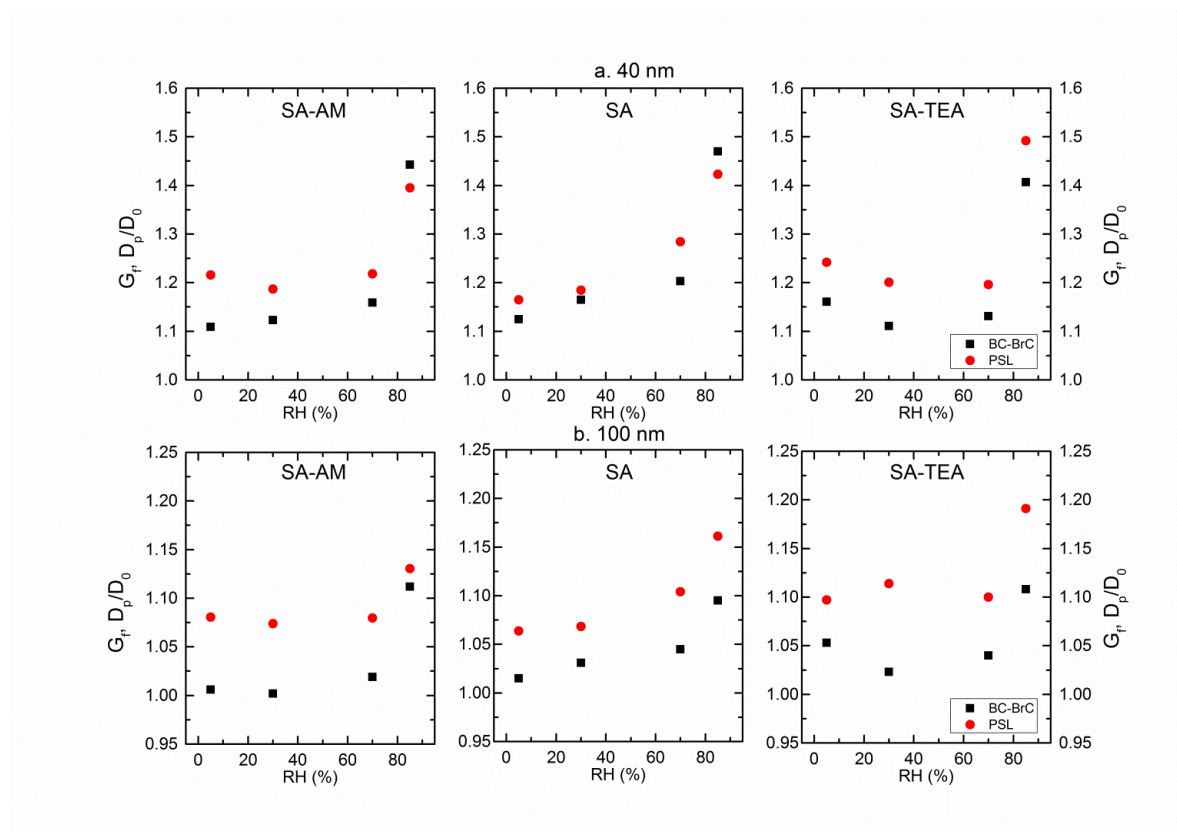
**Supplementary Table S2.** Mass and densities of the nonrefractory coating, and  $R_{BC-BrC}$ .

	RH	$\rho_{eff}$ (g/cm <sup>3</sup> )*	$m_{NR-I}$ (fg)	$R_{BC-BrC}$
BC-BrC-SA	5%	1.60	0.09	0.04
BC-BrC-SA-AM	5%	0.77	0.22	0.11
BC-BrC-SA-TEA	5%	1.23	0.42	0.22
BC-BrC-SA	85%	1.16	0.77	0.39
BC-BrC-SA-AM	85%	1.21	0.60	0.31
BC-BrC-SA-TEA	85%	1.12	0.84	0.43

\*  $\rho_{eff}$  is determined by the physicochemical properties of nonrefractory coating dependent on their chemical composition and morphology (see Supplementary Tables 3a and 3b).

## 6. Hygroscopicity of processed particles

**Supplementary Figure S4.** Hygroscopic growth factors ( $G_f$ ) for BC-BrC (square) and PSL (circle) with initial diameter of (a) 40 nm and (b) 100 nm for three different sulfate coatings: SA, SA-AM and SA-TEA.





7. Moles of sulfuric ions ( $SO_4^{2-}$ ) and effective density  $\rho_{eff}$

The moles of  $SO_4^{2-}$  at variable relative humidity have been derived from  $G_f$  for PSL-SA and PSL-SA-AM and physicochemical properties of corresponding compounds.

$$mole_{SO_4^{2-},RH} = \frac{\pi\rho_{RH} \times wt_{RH} \times D_0^3 \times (G_{f,RH}^3 - 1)}{6M_s}$$

where  $\rho_{RH}$  is the density of solution,  $wt_{RH}$  is the weight percentage of solute, and  $M_s$  is the molar mass of solute.  $\rho_{RH}$  and  $wt_{RH}$  are calculated from the method recommended by Biskos et al. (2009) and E-AIM (<http://www.aim.env.uea.ac.uk>, Wexler and Clegg (2002)).

The calculated results exhibited good agreement among PSL-SA at the RH range of 30-85% and PSL-SA-AM at 85% RH, which suggested no sulfuric acid loss or accumulation during the humidity elevation or neutralization processes (Table S3a). The derived moles of  $SO_4^{2-}$  for PSL-SA at 5% RH didn't agree well with the values at 30%-85% RH, which might be explained by the uncertainties in measuring  $G_f$  when coating thickness was close to the detection limit of TDMA. Calculated molecules of  $SO_4^{2-}$  in the PSL-SA-AM coating at 5%-70% RH varied in a narrow range, but were higher than those in the solution coating. It inferred an overestimated coating volume (thickness) derived from the  $G_f$  measurements, which can be rationalized by the formation of nonuniform solid crystalline of ammonium sulfate on the particle surface (Gibson et al., 2007). The effective densities ( $\rho_{eff}$ ) of those AS coating below deliquescence relative humidity were calculated by the equation:

$$\rho_{eff,AS} = \frac{6M_{AS} \times \overline{mole_{SA}}}{\pi D_0^3 (G_{f,RH}^3 - 1)}$$

where  $\overline{mole_{SA}}$  represents the average derived from data sets at 30%-85% RH with  $\pm 1\sigma$  uncertainty.

The moles of  $SO_4^{2-}$  derived from PSL-SA-TEA as a triethylaminium sulfate (TEAS) solution at 85% RH was identical to  $\overline{mole_{SA}}$ . However, the calculated moles of  $SO_4^{2-}$  for PSL-SA-TEA at 5%, 30% and 70% RH were generally smaller when physicochemical properties of TEAS used, which indicated that the PSL-SA was partially neutralized leading to the formation of a mixture of triethylaminium bisulfate/sulfate (TEASBS/TEAS) (Supplementary Fig. S5). Because the ethyl group terminal restricted the hygroscopic growth until 85%, the physicochemical properties of TEABS or TEAS from solution (Clegg et al., 2013) are likely to be unsuitable at intermediate humidity environment in our study.

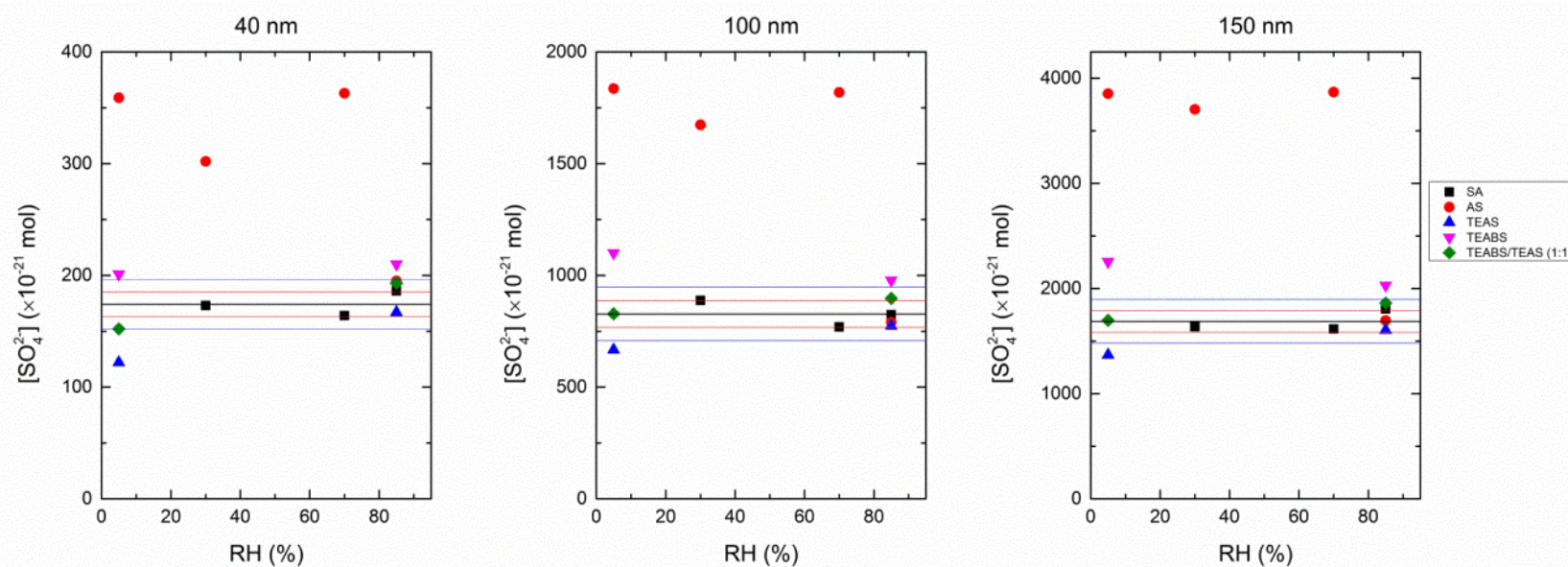
**Supplementary Table S3a.** Moles of  $SO_4^{2-}$  calculated for PSL-SA and PSL-SA-AM.

	RH	$D_0$ nm	$G_f$	$\rho_{RH}$ g/cm <sup>3</sup>	$wt$	$[SO_4^{2-}]$ $\times 10^{-21}$ mol	$\rho_{eff}$ g/cm <sup>3</sup>	
PSL-SA	5%	40	1.16	1.60	0.69	200		
		100	1.06	1.60	0.69	1209		
		150	1.01	1.60	0.69	606		
	30%	40	1.18	1.43	0.53	173		
		100	1.07	1.42	0.53	888		
		150	1.04	1.42	0.53	1637		
	70%	40	1.28	1.25	0.34	164		
		100	1.10	1.24	0.34	769		
		150	1.07	1.24	0.33	1616		
	85%	40	1.42	1.17	0.25	186		
		100	1.16	1.16	0.23	824		
		150	1.11	1.16	0.23	1807		
	$\overline{mole}_{SA}$	30%- 85%	40				$174 \pm 11$	
			100				$827 \pm 60$	
			150				$1687 \pm 104$	
PSL-SA-AM	5%	40	1.22	1.77	1.00	359	0.86	
		100	1.08	1.77	1.00	1836	0.80	
		150	1.05	1.77	1.00	3853	0.77	
	30%	40	1.19	1.77	1.00	302	1.02	
		100	1.07	1.77	1.00	1674	0.87	
		150	1.05	1.77	1.00	3704	0.81	
	70%	40	1.22	1.77	1.00	363	0.85	
		100	1.08	1.77	1.00	1819	0.80	
		150	1.05	1.77	1.00	3869	0.77	
	85%	40	1.40	1.21	0.37	195		
		100	1.13	1.21	0.37	790		
		150	1.09	1.21	0.37	1696		

**Supplementary Table S3b.** Moles of  $SO_4^{2-}$  calculated for PSL-SA and PSL-SA-TEA.

	RH	$D_0$ nm	$G_f$	$\rho_{RH}$ g/cm <sup>3</sup>	$wt$	$[SO_4^{2-}]$ $\times 10^{-21}$ mol	SA:TEA assumed
PSL-SA- TEA	5%	40	1.24	1.19	1.00	122	} 1:2
		100	1.10	1.19	1.00	668	
		150	1.06	1.19	1.00	1368	
	5%	40	1.24	1.23	0.99	152	} 1:1.5
		100	1.10	1.23	0.99	828	
		150	1.06	1.23	0.99	1697	
	30%	40	1.20	1.18	0.92	90	} 1:2
		100	1.11	1.18	0.92	733	
		150	1.08	1.18	0.92	1725	
	70%	40	1.20	1.15	0.72	68	} 1:2
		100	1.10	1.15	0.72	556	
		150	1.07	1.15	0.72	1308	
	85%	40	1.49	1.12	0.57	167	} 1:2
		100	1.19	1.12	0.57	774	
		150	1.13	1.12	0.57	1605	
	85%	40	1.49	1.12	0.54	193	} 1:1.5
		100	1.19	1.12	0.54	897	
		150	1.13	1.12	0.54	1861	

**Supplementary Figure S5.** Moles of  $SO_4^{2-}$  calculated for PSL-SA, PSL-SA-AM, and PSL-SA-TEA, as listed in Supplementary Tables S3a and S3b. The black line represents mean values for moles of  $SO_4^{2-}$  derived from hygroscopic growth of PSL-SA between 30%-85% RH with  $\pm 1\sigma$  (red line) and  $\pm 2\sigma$  (blue line) uncertainty. For PSL-SA and PSL-SA-AM particles, the  $[SO_4^{2-}]$  for SA (square) and AS (circle) coating, respectively, is plotted. For PSL-SA-TEA particles, the  $[SO_4^{2-}]$  with assumptions of various coating material, including TEAS (up triangle), TEABS (down triangle), and TEABS/TEAS (1:1, diamond), is plotted. It was indicated that TEA can only partially neutralize coated  $H_2SO_4$ , *i.e.*, TEABS/TEAS coating at 5% RH and TEAS solution at 85% RH.



## 8. Charring of OC in the TDMA-TD system

There is a concern that heating of BC-BrC can lead to charring of OC. BrC (mainly PAHs) can be the main OC for carbonaceous particles produced in fuel-rich (oxygen-deficient) flames (Slowik et al., 2004). Hence, charring would have occurred, if ever, during the particle generation where temperature was higher than 250 °C, which is not evidenced in our morphology analysis.

Charring of OCs from particulate matters collected on the quartz filter has been well studied, and it mainly happens when the temperature is raised to >250 °C (Chow et al., 2004). Kondo et al. (2011) reported that interferences in absorption measurements due to charring after heating particles to 300 °C or 400 °C were 1.7% and 4.6% in maximum and 0.4% and 1.8% on average, respectively. Also, constant relative intensities of C1<sup>+</sup> to C3<sup>+</sup> ions (which dominant the BC signal) were measured by AMS in CalNex and CARES studies using a thermal denuder at 245 °C, indicating that charring did not occur (Cappa et al., 2012).

On the other hand, refractory sulfur-containing species was formed during the condensation of H<sub>2</sub>SO<sub>4</sub> as evidenced in our EDX results. However, the amount of “refractory sulfur-containing species” was minor.

Hence, given the heating temperature of 250 °C, we don't expect any significant charring of our BC-BrC particles.

## 9. Mie theory calculation with a core-shell configuration

The estimation of absorption and scattering cross section were performed by a MATLAB functions based on Mie theory (Mätzler, 2002).

The volume equivalent diameter was derived according to the equation:

$$\frac{\pi}{6} d_{ve}^3 \rho_p = \frac{\pi}{6} d_m^3 \rho_{eff}$$

where  $d_{ve}$  is the volume equivalent diameter,  $d_m$  is the mobility diameter,  $\rho_p$  is the particle density, and  $\rho_{eff}$  is the effective particle density (DeCarlo et al., 2004). The particle density was derived from the mass fraction (34% and 66%) and density ( $1.3 \text{ g/cm}^3$  and  $1.8 \text{ g/cm}^3$ ) of OC and EC, respectively. The volume equivalent diameter was then determined to be 132.7 nm for BC-BrC particles with a mobility diameter of 150 nm. The shell thickness was defined as the difference between the volume equivalent diameters of fresh and processed BC-BrC particles.

The complex refractive indices of fresh BC-BrC particles were retrieved to be  $2.00+0.20i$  from Mie “closure” calculations based on particle size, scattering, and absorption measurements. The shell refractive indices of pure sulfuric acid, ammonium sulfate and triethylaminium sulfate are 1.42, 1.50 and 1.42, respectively (Lavi et al., 2013). Under high humidity, the shell refractive indices of the corresponding solution are calculated according to the volume weighted mixing rule (Michel Flores et al., 2012). The water refractive index is 1.335, and the volume of solvent and water are derived using the E-AIM model. The absorption cross section under variable relative humidity conditions for different forms of coating was ready to be obtained using a core-shell configuration. Note that uniform coating of sulfate ammonium was assumed here, which would lead to overestimation of absorption below deliquescent relative humidity.  $E_{abs}$  was derived from the measured absorption cross section of fresh BC-BrC and the calculated one of processed BC-BrC according to:

$$E_{abs} = \frac{C_{abs,processed\ BC-BrC}}{C_{abs,fresh\ BC-BrC}} .$$

To investigate the effect of  $R_{BC-BrC}$  over a large span, i.e. variable mass of coating, the model was performed in the range of  $[SO_4^{2-}]$  10-fold lower or higher than that in this study.

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