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► **To cite this version:**

Fan-Chi Lin, Michael H. Ritzwoller, Nikolai M. Shapiro. Is ambient noise tomography across ocean basins possible?. *Geophysical Research Letters*, 2006, 33 (14), pp.L14304. 10.1029/2006GL026610 . insu-01270073

HAL Id: insu-01270073

<https://insu.hal.science/insu-01270073>

Submitted on 9 Feb 2016

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Is ambient noise tomography across ocean basins possible?

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Received 17 April 2006; revised 31 May 2006; accepted 7 June 2006; published 20 July 2006.

[1] Based on year-long cross-correlations of broad-band seismic records obtained at sixty-six stations within or adjacent to the Pacific Basin, we show that broad-band ambient noise is observed to propagate coherently between island stations and between island and continent stations. For many station pairs, high signal-to-noise ratio (SNR) fundamental mode Rayleigh wave Green functions are observed, which establishes the physical basis for ambient noise tomography across the Pacific. Similar trends for continental and oceanic stations are observed in the relationship between the ambient noise level at a station and the “noise coherence distance” – the longest distance at which a high SNR cross-correlation signal is observed for a station. Because locally generated noise obscures long distance coherent noise, situating stations at quiet locations on islands is necessary for the success of ambient noise tomography. Local noise poses a particular challenge at atoll sites and, on the basis of analysis of data from station H2O, at ocean bottom sites at periods above ~ 25 sec. **Citation:** Lin, F.-C., M. H. Ritzwoller, and N. M. Shapiro (2006), Is ambient noise tomography across ocean basins possible?, *Geophys. Res. Lett.*, 33, L14304, doi:10.1029/2006GL026610.

1. Introduction

[2] On continents around the globe ambient seismic noise has been shown to contain a significant component of broad-band Rayleigh wave energy extending from periods of several seconds to well in excess of 150 sec [e.g., *Shapiro and Campillo*, 2004]. This noise is coherent over long distances and has proven useful to estimate fundamental mode Rayleigh wave Green functions by cross-correlating long noise sequences. Surface wave dispersion is measurable on these records and dispersion maps have been constructed on a variety of length scales and period bands in North America, Europe, and Asia [e.g., *Shapiro et al.*, 2005; *Sabra et al.*, 2005].

[3] The present study addresses whether Rayleigh wave Green functions between pairs of oceanic stations or between continental and oceanic stations can be obtained using the same method. The question reduces to whether noise observed at ocean seismic stations is coherent over long distances, as it is in continental regions. This relates to the partitioning of ambient noise between noise generated near to the ocean station and noise generated further from the station that is coherent between distant stations. Determining the existence of coherent noise between pairs of

stations is based on the observability of high signal-to-noise ratio (SNR) cross-correlations. Such cross-correlations are interpreted as estimated Green functions, on which surface wave dispersion can be measured. Ultimately, this is the information needed for surface wave tomography, but this is beyond the scope of the present paper.

[4] To address whether ambient seismic noise is coherent over large distances across the Pacific we investigate the SNR of year-long cross-correlations observed at and between Pacific Ocean stations with and between stations located near the Pacific Rim. We concentrate on the period band between 10 sec and 150 sec where coherent ambient noise has been observed to exist on continents between distant stations. The study is based on ambient noise observed at 32 Pacific Ocean island stations, one ocean bottom installation (H2O), and 33 continental stations surrounding the Pacific Ocean (Figure 1a).

2. Data

[5] For all 12 months of the year 2003, we obtained the one sample per second long-period vertical component (LHZ) seismograms available from the IRIS Data Management Center for the stations shown in Figure 1a. Most of these data are from the GSN [*Butler et al.*, 2004] or affiliated stations. Data are processed one day at a time. After removing the mean, daily trend and the instrument response, the data are filtered into four period bands: 10–25 sec, 33–67 sec, 50–100 sec, and 70–150 sec. In each band, the data are whitened in frequency and then amplitude normalized in time to suppress temporally localized events such as earthquakes and instrumental irregularities such as automatic mass re-centering. Cross-correlations between stations are computed daily and stacked over a year. The signal-to-noise ratio (SNR) is computed by comparing the peak amplitude of the signal in the group velocity windows defined by the global model of *Shapiro and Ritzwoller* [2002] with the root-mean-square noise trailing the arrival window (see Figure 2). This is done both at positive and negative correlation lag, corresponding to waves traveling in opposite directions between stations. Conclusions about the existence or absence of coherent noise between pairs of stations are made on the basis of the SNR. The SNR reported here is from the “symmetric signal”, the average of the cross-correlations with positive and negative lag, so that a single SNR is reported for each station pair. Cross-correlations between other components (e.g., radial-radial, vertical-radial, transverse-transverse) could also have been performed, but to establish the existence or absence of coherent noise, consideration of vertical-vertical cross-correlations is sufficient and preferable, in fact, due to the better SNR characteristics of the vertical component.

[6] If the SNR > 10 , the cross-correlation is interpreted as an estimated Rayleigh wave Green function for the path

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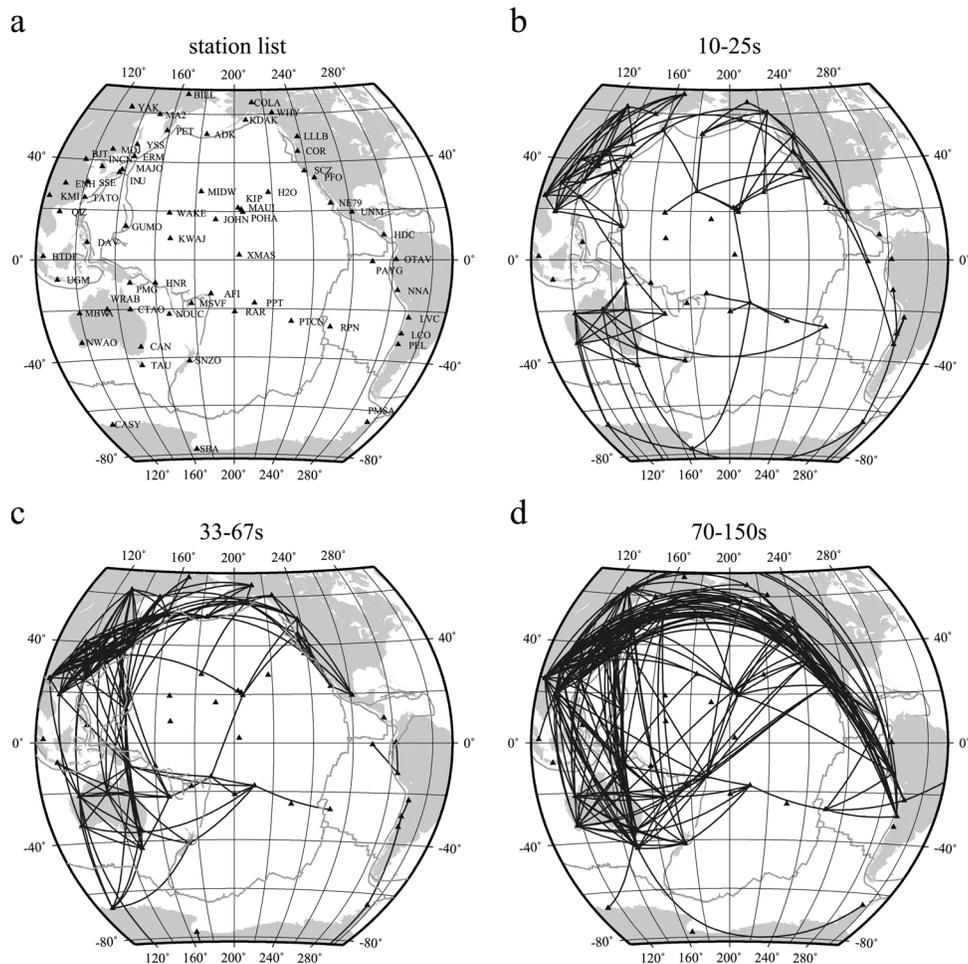


Figure 1. (a) Stations used in this study. (QSPA near the South Pole does not show on this projection). (b–d) Lines link stations whose year-long cross-correlations have a SNR > 10. 10s to 25s period (Figure 1b). 33s to 67s (Figure 1c). 70s to 150s (Figure 1d).

between the station-pair. Estimated Green functions are observed in all frequency bands, as seen in Figure 2. In addition, they are observed between island stations and island – continent pairs as shown in Figure 3a, which presents both the positive and negative lags of the cross-correlation.

[7] To illuminate the results on the coherence of ambient noise between station pairs, we compare the observed SNR of the estimated Green functions with the level of local ambient noise at each station. The ambient noise level (ANL) is estimated using the method of *Berger et al.* [2004]. Example ANL estimates are presented in Figure 3b. Peterson’s Standard Low Noise Model (SLNM) is shown for comparison [*Peterson, 1993*].

3. Results

[8] The principal result of the paper appears in Figures 1b–1d. In each of three period bands (the 50–100 sec band is not shown because of similarity to 33–67 sec), lines are drawn between station pairs with SNR > 10 on the symmetric component of the 12 month cross-correlation. These maps show that coherent noise

exists between island-island station-pairs, island-continent station pairs, and, consistent with earlier studies, continent-continent station-pairs. The number of station pairs with high SNR estimated Green functions (SNR>10) increases with period: 120 from 10–25 sec, 212 from 33–67 sec, 215 from 50–100 sec, and 298 from 70–150 sec. The longer periods are, not surprisingly, more coherent over greater distances. Scattering and anelastic attenuation act to de-correlate propagating wave-fields more strongly at the shorter period end of the spectrum.

[9] Closer inspection of the SNR of cross-correlations between island-island station pairs reveals that atolls such as Wake and Kwajalein Islands are relatively unlikely to have coherent ambient noise observed with each other, with larger oceanic islands (e.g., Hawaii, Adak, Tahiti, American Samoa, etc.), or with continental stations. Ambient noise at large oceanic islands tends to cohere more strongly with other large islands or continental stations, as Figures 1b–1d shows. This is consistent with the hypothesis that oceanic island stations suffer from locally generated noise which obscures the observation of the long-distance coherent wavefield which is the basis for ambient noise tomography. Noise conditions at atolls are more likely to be dominated

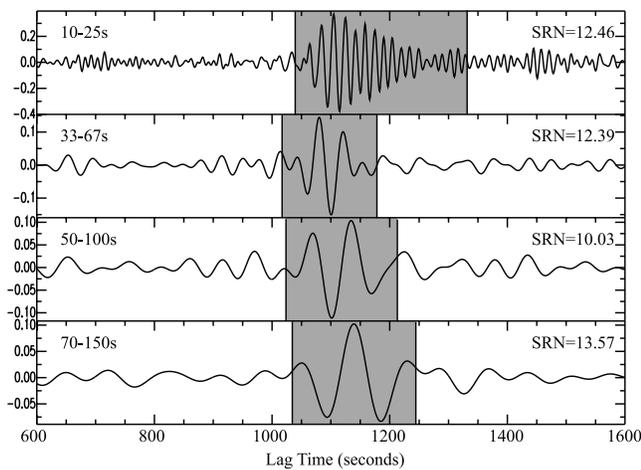


Figure 2. Example year long broad-band symmetric component cross-correlations between two island stations: RPN (Rapanui, Easter Island) and PPT (Papeete, Tahiti). Grey shaded regions mark the group arrival window predicted by the 3-D model of *Shapiro and Ritzwoller* [2002], expanded by 75 sec in both directions. The SNR is defined as the peak amplitude in the window divided by the rms of the trailing noise. The period band and SNR are identified.

by local noise as the stations cannot be isolated from the nearly direct effects of nearby wave action.

[10] To test the hypothesis that local noise obscures the coherent noise observable between many station pairs, the ANL for each station is computed and compared with Peterson's Standard Low Noise Model (SLNM). The SLNM probably provides an upper bound on the long distance coherent noise level, and the difference between the ANL and SLNM derives predominantly from local noise. Figure 4a presents examples of cross-correlations between 33 and 67 sec period and Figure 4b shows ANLs for four stations near to Hawaii: POHA, KIP, MAUI, and H2O, located respectively on the Big Island of Hawaii, Oahu, Maui, and on the ocean bottom off-shore. The H2O station was installed on the retired Hawaii-2 ocean bottom co-axial telephone cable about 2000 km northeast of Oahu. Data flow stopped on May 23, 2003, so all cross-correlations with H2O are less than 5 months in duration. The ANL for H2O is similar to the ocean bottom curve of *Webb* [1998]. The SNR of the cross-correlations (Figure 4a) between these stations on or near Hawaii and the GSN station in Corvallis, OR (COR) is inversely related to the noise level at the stations between 33 and 67 sec period (Figure 4b). The POHA station, for example, has the highest cross-correlation SNR and the lowest ANL. Higher ANLs for KIP, MAUI, and H2O reflect higher local noise that is incoherent with noise at distant stations and, therefore, does not contribute constructively to the cross-correlations. The traditional characteristics sought to site seismic stations for earthquake seismology, namely locally quiet conditions, are also essential to observe coherent noise signals over long distances.

[11] As a closing note on the sub-oceanic borehole station H2O, Figure 1b shows that coherent ambient noise is observed between H2O and several other stations at periods

from 10–25 sec. In this period range, the ANL for H2O is comparable to other stations, as Figure 4b demonstrates. At longer periods, however, local noise at H2O is higher, overcomes the background coherent noise, and vitiates the cross-correlation. Such long period local noise is probably caused by tilting under fluid flow and seafloor deformation under surface gravity waves which can raise deep seafloor vertical component noise by 35–40 db and 5–15 db, respectively [*Webb and Crawford, 1999; Crawford et al., 2006*] *Crawford and Webb* [2000] and *Crawford et al.* [2006] describe a method by which data from the horizontal seismometer channel and a co-located differential seafloor pressure gauge can be used to remove most of the tilt and deformation signal from the local noise. *Crawford et al.* [2006] argue that this step will be crucial for ambient noise tomography to be applied successfully to ocean bottom seismometers (OBS) at periods above about 20 sec.

[12] Figure 5 further quantifies the relation between local noise level at the station and the coherence of ambient noise over long distances. For each station, we plot the ANL of

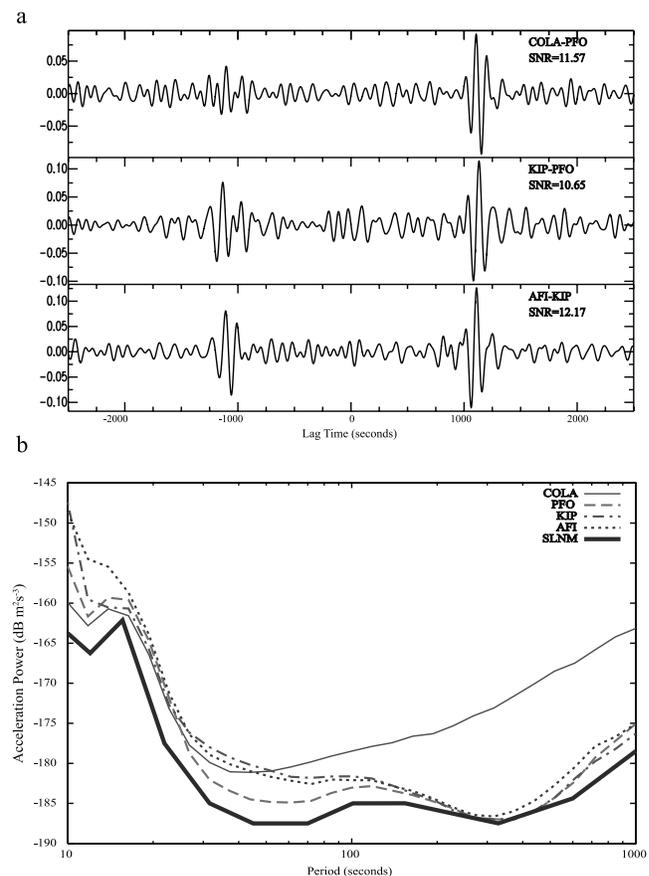


Figure 3. (a) Year-long cross-correlations between station pairs between periods of 70 and 150 sec. From top to bottom: continent station (COLA, College, AK) – continent station (PFO, Pinyon Flat, CA); ocean island station (KIP, Kipapa, Oahu, HI) – continent station (PFO); ocean island station (AFI, Afiamalu, Samoa Is.) – ocean island station (KIP). The SNR is reported for the symmetric component. (b) Ambient noise level (ANL) computed for the stations COLA, PFO, KIP, and AFI compared with Peterson's Standard Low Noise Model (SLNM).

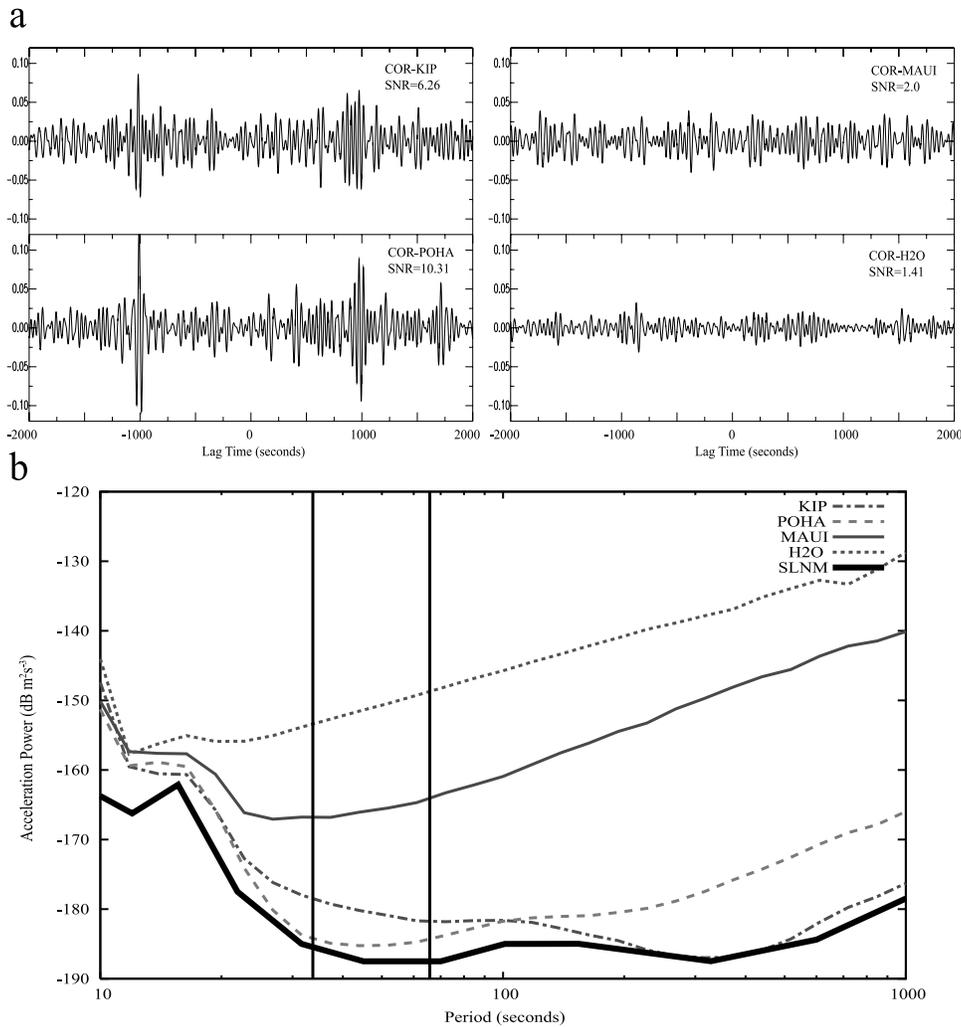


Figure 4. (a) Year-long 33–67s period cross-correlations between station COR, Corvallis Oregon, and four stations near to or on Hawaii (KIP, Kipapa, Oahu, HI; MAUI, Maui, HI; POHA, Big Island of Hawaii; H2O, ocean bottom borehole stations about 2000 km northeast of Oahu). The SNR on the symmetric signal is indicated on each graph. (b) Ambient noise level (ANL) computed for the stations KIP, POHA, MAUI, and H2O compared with Peterson's Standard Low Noise Model (SLNM). The 33s to 67s window is shown on the graph.

the station versus the longest distance at which a high SNR cross-correlation signal is observed for that station, called the “noise coherence distance” (NCD). At periods larger than about 25 sec, there is a cut-off noise level of about -170 db above which no high SNR cross-correlation is observed. In addition, the NCD increases if local noise decreases. This appears in Figure 5 as a trend that the lower the ANL the greater the distance at which high SNR Green functions can be observed. Above 20 sec period the inverse slope is about 1700 km/dB at 51 and 71 sec and about 2800 km/dB at 100 sec period. At 19 sec period, there is no distance trend, probably due to strong attenuation and scattering in this period band and the large inter-station distances considered in this study. The similar trends for island and continental stations indicate that there is no intrinsic difference between island and continental stations in terms of the relationship between ANL and noise coherence distance.

[13] The symbols plotted at zero distance in Figure 5 are for stations that do not produce a high SNR on any cross-correlation. Among these, a few stations (XMAS, JOHN, HNR and DAV) with unusual ANLs probably suffer from instrumental problems. Others are mainly stations on atolls, the ocean bottom station H2O, and a few continental stations near the edges of our coverage where inter-station spacings are all exceptionally long.

[14] In conclusion, similar to continental paths, ambient seismic noise is coherent over long distances along oceanic paths. The physical foundation is established, on the basis of this coherent signal, for ambient noise tomography to be performed across the Pacific Basin and by implication across other oceanic basins. The practical requirement for retrieving useful Green functions from ambient noise is for the stations to be locally quiet or local noise to be removed or reduced in some fashion. Strong local noise obscures the coherent ambient noise observable between pairs of distant

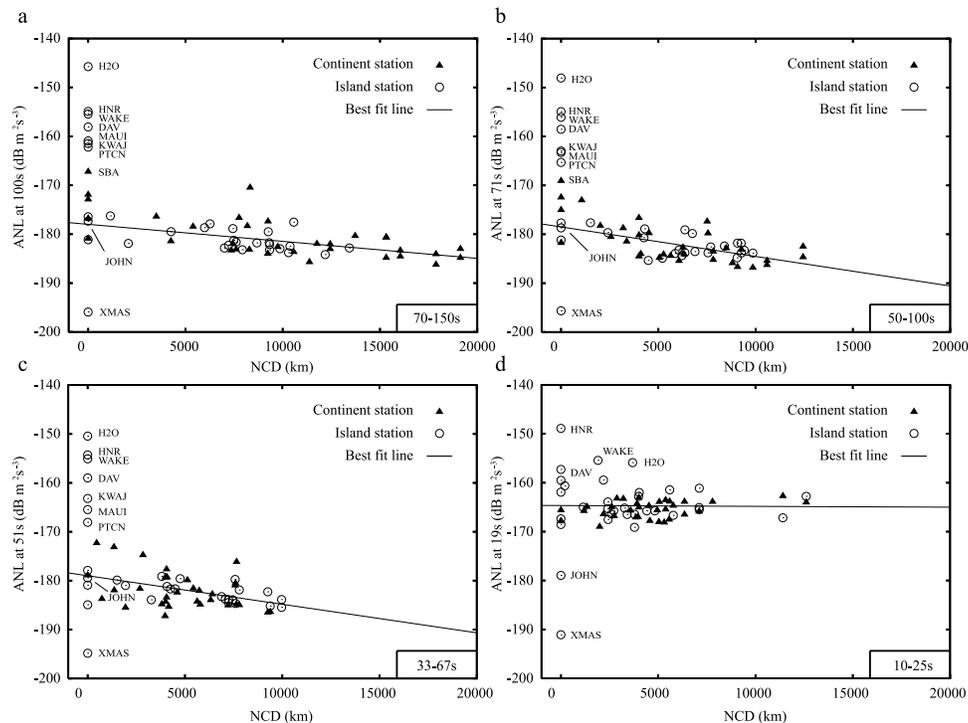


Figure 5. The ambient noise level (ANL) of each station plotted versus the noise coherence distance (NCD), the longest distance at which a high SNR cross-correlation signal is observed for that station. (a) 100 sec period. (b) 71 sec, (c) 51 sec, and (d) 19 sec. The best fit line is calculated using all nonzero distance points. Symbol types discriminate between continent and ocean stations (including station H2O). Names of some noisy stations and the stations with likely instrumental problem are listed on the graphs.

stations. The existence of strong local noise at many oceanic sites provides a major challenge for ambient noise tomography, particularly at atoll sites and at ocean bottom sites at long periods. The demonstration by Crawford *et al.* [2006] of the ability to use horizontal component seismometer data and seafloor pressure gauge data to correct vertical component seafloor data for local tilt and crustal deformation makes us optimistic that ambient noise tomography can be applied successfully to accruing ocean bottom seismic data.

[15] **Acknowledgments.** The authors would like to thank two anonymous reviewers for constructive criticism, Spahr Webb for helpful discussions of the removal of tilt noise from ocean bottom vertical components, and Wayne Crawford for a preprint. All data used in this paper were obtained from the IRIS-DMC. This work has been supported by NSF grant EAR-0409217.

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