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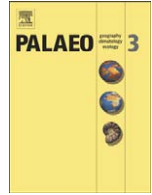
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## Lake Ohrid, Albania, provides an exceptional multi-proxy record of environmental changes during the last glacial–interglacial cycle

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### ABSTRACT

Multi-proxy analyses on core JO2004-1 recovered from Lake Ohrid (40°55.000 N, 20°40.297E, 705 m a.s.l.) provide the first environmental and climate reconstruction in a mountainous area in Southern Europe over the last 140,000 years. The response of both lacustrine and terrestrial environments to climate change has been amplified by the peculiar geomorphological and hydrological setting, with a steep altitudinal gradient in the catchment and a karstic system feeding the lake. The karstic system was active during interglacials, leading to high carbonate production in the lake, and blocked during glacials as a result of extremely cold climate conditions with permafrost in the mountains. At the Riss–Eemian transition (Termination 2) the increase in lacustrine productivity predated forest expansion by about 10,000 years. In contrast, the Late Glacial–Holocene transition (Termination 1) was characterized by the dramatic impact of the Younger Dryas, which initially prevented interglacial carbonate production and delayed its maximum until the mid-Holocene. In contrast, forest expansion was progressive, starting as early as ca. 38,000 ago. The proximity of high mountains and the probable moderating lake effect on local climate conditions promoted forest expansion, and contributed to make the surroundings of Lake Ohrid favourable to forest refugia during the last glacial, usually steppe, period. Our study of sedimentology, mineralogy, geochemistry, magnetics, palynology and isotopes illustrates the non-linear response of terrestrial and lacustrine ecosystems to similar climate events, and demonstrates the potential of Lake Ohrid as an excellent paleoclimatic archive during the Quaternary.

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### 1. Introduction

Lake Ohrid, Albania, is an exceptional site for reconstruction of regional climate history over repeated glacial–interglacial fluctuations and its impact on terrestrial and lacustrine ecosystems. Not only the largest southern-European freshwater body (358 km<sup>2</sup>, 289 m depth), it is likely the oldest in Europe and is renowned for a high level of endemism, with at least 200 lacustrine species described (Stankovic, 1960). The exceptional thickness of sedimentary deposits (Dumurdzanov et al., 2005) makes it

directly comparable to the Mediterranean lowland site of Tenaghi Philippon in NE Greece which provides a continuous 1.35-million-year pollen record (Tzedakis et al., 2006). Its location at middle altitudes in a rift basin surrounded by high escarpments, within a key area at the confluence of central-European and Mediterranean climatic influences, provides a unique opportunity to study the impact of climate changes on middle- to high-altitude forest ecosystems. Particularly, Lake Ohrid is on exceptional location which allows testing of the “glacial refugia” hypothesis for southern Europe bordering the Mediterranean (e.g., Denèfle et al., 2000; Tzedakis et al., 2002a). Previous studies of the Lake Ohrid sediment record focussed on paleolimnological and sedimentological aspects during a 40,000 years period (Roelofs and Kilham, 1983; Wagner et al., 2008a,b). Here we present for the first time a record of

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environmental and climate change over the last 140,000 years. Attention is paid to selected proxies to discuss the influence of climate change on the karstic system and lake hydrology, as well as on regional vegetation response to glacial–interglacial variations.

**2. Modern setting**

Lake Ohrid lies in a strongly asymmetric, N–S oriented half-graben at the Macedonia–Albania border. It is bounded by faults running N to NNE which affect, to the north and east, carbonate rocks of Triassic and Jurassic age, and ophiolitic rocks of Jurassic age to the south-west (Fig. 1).

The southern end of the basin connects with a small graben filled by Pliocene continental mudstones and sandstones, overlain by fluvio-lacustrine sediments of Holocene age (Nicot and Chardon, 1983). The basin is filled by several hundred meters of sediments deposited since around 8.5 Ma (Dumurdzanov et al., 2005). The modern lake is holomictic. Today roughly half of its water is derived from a number of springs located in the SE part of the lake, draining a karstic system which, in turn, is fed by water from nearby Lake Prespa and infiltration of rainwater (700 mm/yr on average) in the Galicica mountain range. The remaining water comes from rivers (e.g., the Sateska River to the north) and direct precipitation. A single outlet (the Black Drin River) to the

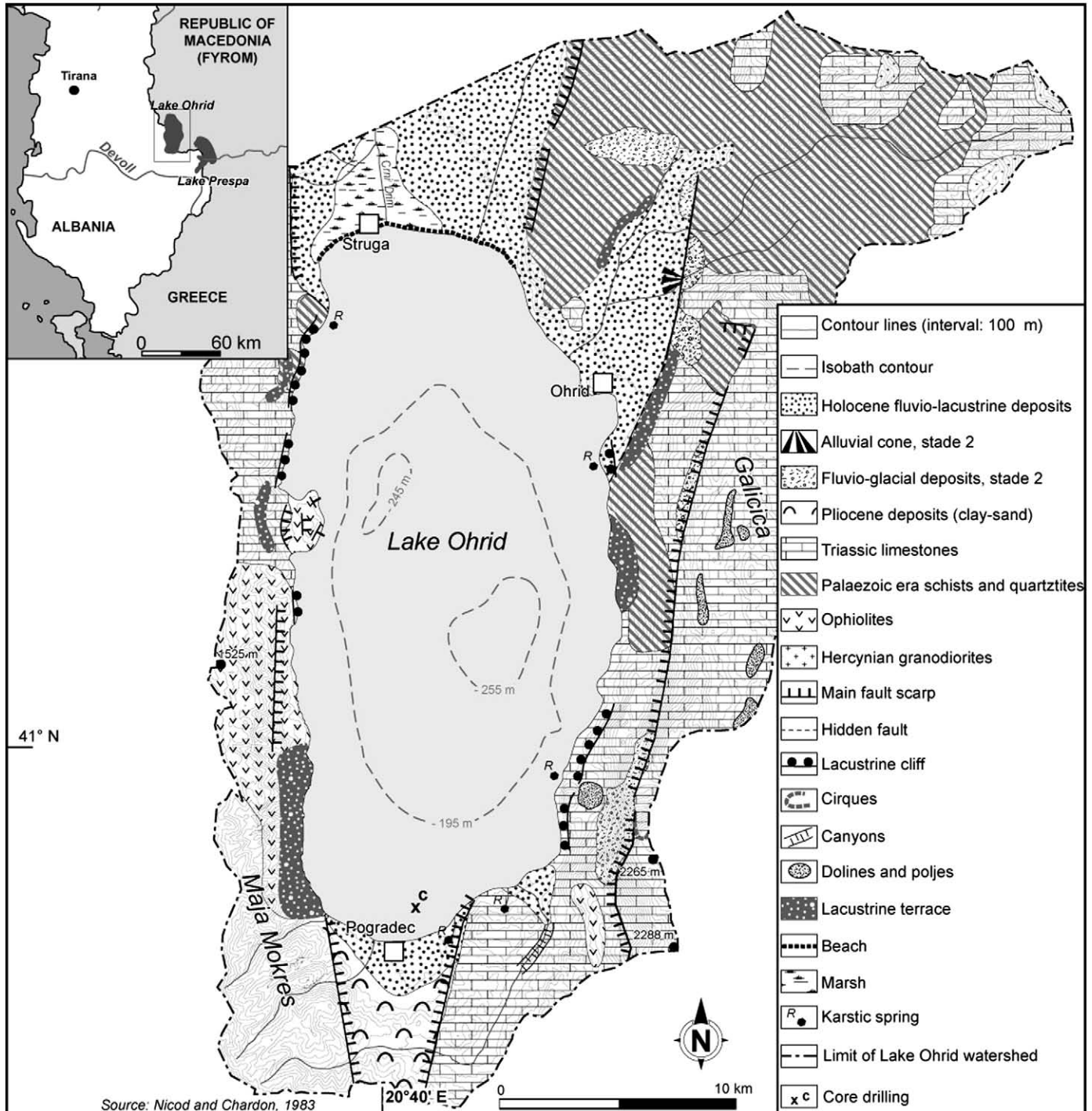


Fig. 1. Geological/geomorphological map of the Lake Ohrid basin, southern Balkan, showing the location of the core site.



north and significant evaporation (estimated at 1145 mm/yr) complete the water budget (Matzinger et al., 2006). The small size of the Lake Ohrid drainage basin (2600 km<sup>2</sup>) and its large volume (55.4 km<sup>3</sup>) lead to a water residence time of about 70 yrs (Matzinger et al., 2006). The catchment area covers a large altitudinal range, from 700 m to more than 2200 m. The mean annual temperature is 11.5 °C, with winter temperatures varying from −2.3 °C to 6.6 °C and summer temperatures from 10.5 °C to 22.3 °C. Precipitation is principally during winter and spring. As a result of the high topography, the vegetation is distributed in altitudinal belts with mixed deciduous forests including *Carpinus orientalis*, *Quercus trojana*, *Q. frainetto*, *Q. cerris* at lake level, followed by *Fagus moesiaca*, *Abies alba*, *A. borisii-regis* at the upper limit of the forest, and a sub-alpine grassland with *Juniperus excelsa* above 1800 m in the Mali i Thate mountains to the south-east.

### 3. Materials and methods

#### 3.1. Core JO2004-1

Core JO2004-1 consists of two series of core sections (each up to 3 m long) recovered ca. 5 m apart from a single site in the southwestern part of the lake (40°55.000 N, 20°40.297 E, 705 m a.s.l.). The uppermost ca. 10 m of sediment were recovered in consecutive 3-m long sections using a hammer-driven Niederreiter piston corer of 63 mm diameter. To obtain a continuous sedimentary record four sections were recovered from the first hole (JO2004-1), and three sections with a planned depth offset of 1.5 m from the second hole (JO2004-1a). The overlapping sections were visually cross-correlated using marker layers clearly identified in both sequences, and the resulting composite profile was checked for consistency using the magnetic susceptibility record. The depth information used in the paper refers to a composite linear master depth scale (MCD). In addition to the core sediments, 15 samples taken from a variety of rocks and sediments outcropping in the catchment basin of Lake Ohrid have been studied for mineralogical investigations of the bulk and clay fractions and geochemical analyses.

#### 3.2. Magnetic susceptibility

Core sections were sub-sampled with U-channels at the core repository of the Laboratoire des Sciences du Climat et de l'Environnement (LSCE) at Gif-sur-Yvette (France). The volume low-field susceptibility ( $\kappa$ ) was measured at a high resolution.  $\kappa$  is determined by the amount of ferro/ferri magnetic grains, but mineralogical changes and grain size variations may also influence the susceptibility signal. Paramagnetic material (clay) and diamagnetism also contribute to  $\kappa$  when the magnetic grain content is low. Measurements of the low field bulk susceptibility ( $\kappa$ ) were performed using a small diameter Bartington sensor loop mounted in line with a track system designed for u-channel.

#### 3.3. Sedimentology, mineralogy and sediment geochemistry

Sediment facies were visually described on bulk samples. In addition, one hundred and ninety samples were analysed for bulk and clay mineral investigations. Each sample was divided into two sub-samples: one sub-sample was crushed in a grinder and pressed into a holder for bulk mineral analyses. The other sub-sample was washed on a 63  $\mu$ m mesh sieve. The <63  $\mu$ m fraction was decalcified using a solution of 10% HCl, rinsed with de-ionized water, and deflocculated through repeated centrifugation. The clay fraction (<2  $\mu$ m) was decanted and deposited onto a glass slide. X-ray diffraction (XRD) analyses were conducted on natural clay slides after ethylene-glycol solvation and heating at 490 °C for 2 h. Determination of percent bulk and clay minerals was based on the respective XRD peak areas, using MacDiff version 3 software (Petschick, 1998); the relative error is ~5%.

Samples subjected to bulk geochemical analyses were processed at UMR 6538 "Domaines Océaniques", IUEM-UBO, Brest, France. Major elements were measured by ICP-AES with an ISA Jobin-Yvon JY 70 Plus apparatus. Calibrations were checked using the GIT-IWG (Groupe International de Travail-International Working Group) BE-N, WS-E, PM-S, AC-E and the CCRMP (Canadian Certified Reference Materials Project) LKSD-1 international standards. Trace element and rare earth element (REE) measurements were conducted by ICP-MS using a Finnigan Element 2 ICP-MS. Results obtained for the CCRMP (Canadian Certified Reference Materials Project) LKSD-1 were reproducible with precisions for trace elements <5%, except for Zr and Hf which were not used to characterize the samples.

### 3.4. Dating procedures

#### 3.4.1. Radiocarbon measurements

Seven AMS radiocarbon measurements were performed on terrestrial organic matter and charcoal remains. The <sup>14</sup>C activity was determined by UMS-ARTEMIS (Pelletron 3MV) AMS Facilities. Raw <sup>14</sup>C dates were converted to calibrated ages using CALIB 5 (Stuiver et al., 2005) except for the oldest radiocarbon age, for which polynomial equations (Bard, 1998) have been used.

#### 3.4.2. Tephrochronology

For tephrochronology, the core was continuously screened at 1-cm intervals for volcanic particles (glass shards, magmatic crystals, volcanic lithics). The sediment was washed and sieved at 125  $\mu$ m and 40  $\mu$ m using distilled water. The sediment fraction less than 40  $\mu$ m was collected using a paper filter and progressively dried through a water pump. The sediment fraction 40–125  $\mu$ m was carefully inspected under stereo microscope. Chemical analyses on glass shards from tephra were performed at the Dipartimento di Scienze della Terra (University of Pisa), using an EDAX-DX micro-analyser (EDS analyses) mounted on a Philips SEM 515 (operating conditions: 20 kV acceleration voltage, 100 s live time counting, 10<sup>−9</sup> A beam current, ZAF correction). Instrument calibration and performance are described in Marianelli and Sbrana (1998). The major-element chemistry of crystal-free glass shards were used to classify the individual tephra layers and correlate them to different archives. SEM back-scattering images were used to describe the texture of the ground mass and to select micro-crystals for micro-analyses.

### 3.5. Pollen analysis

One hundred and fifty five samples were taken at 1 to 15-cm intervals for pollen analyses. Samples were processed using the standard HF method (Faegri and Iversen, 1989) and sieved on a 5- $\mu$ m mesh. Addition of a known amount of exotic makers (*Lycopodium*) allowed calculation of pollen concentrations (grains per cm<sup>3</sup> of dry sediment) and influx values (grains per cm<sup>2</sup> per year). In total 110 different pollen types were identified for a mean pollen sum of 450 grains per sample (maximum count = 1480 grains). Pollen data are expressed as percentages calculated against a sum of all the determined pollen types except aquatics, spores (bryophytes and ferns) and damaged grains. Pollen grains were determined using regional pollen atlases from Europe and the Mediterranean (Reille, 1992; Chester and Raine, 2001).

### 3.6. Ostracod isotope studies

Ostracod shells were extracted from 1-cm sediment slices taken at 5-cm intervals throughout the core, with the exception of a few continuously sampled sections at major lithostratigraphic transitions (Belmecheri et al, 2009). For sample preparation, the sediment was disaggregated with H<sub>2</sub>O<sub>2</sub> and gently wet-sieved. The > 125  $\mu$ m fraction was washed with ethanol and dried at room temperature. Ostracod shells were extracted and cleaned. Ostracod species identification

follows Klie (1939a,b; 1942) and Mikulić (1961). The stable-isotope composition of the shells was analyzed at the Leibniz Laboratory in Kiel using a Finnigan MAT 251 mass spectrometer equipped with an automatic carbo-Kiel CO<sub>2</sub> preparation device. Isotope ratios are given relative to the PDB standard in the usual notation with a total accuracy on the order of 0.05 ‰ and 0.08 ‰ for δ<sup>13</sup>C and δ<sup>18</sup>O, respectively.

#### 4. Data (Fig. 2)

##### 4.1. The sedimentary record

###### 4.1.1. The present-day catchment basin of Lake Ohrid

Mineralogical investigations of the bulk and clay fractions have been conducted on a variety of rocks and sediments outcropping in the catchment basin of Lake Ohrid (Table 1). Lizardite and clinocllore are the main constituents of the ophiolites, which weather primarily into smectite. The bulk composition of molasse deposits, Neogene and Quaternary sediments are largely dominated by quartz, associated with feldspars, clay minerals and, locally, a proportion of ophiolite minerals (lizardite and clinocllore). Clay minerals include irregular mixed-layers of illite–smectite and chlorite–smectite types, illite, smectite and kaolinite. However, the relative proportions of the minerals vary strongly according to the nature of the substrates in the different parts of the drainage basin. In addition, irregular mixed-layered clays of illite–smectite type contain a high proportion of illite layers and probably reflect subsequent weathering of illite in the porous terrigenous sediments. The carbonates that outcrop in the

drainage basin consist of calcite but do not contain other types of minerals and are not weathered into clay minerals. It is remarkable that calcite is absent or occurs in very minor abundances of less than 5% in molasse deposits and other terrigenous sediments of Pliocene and Quaternary age (Fig. 2).

Geochemically, the ophiolitic formations are dominated by SiO<sub>2</sub> and MgO, with minor amounts of Fe<sub>2</sub>O<sub>3</sub>, CaO and Al<sub>2</sub>O<sub>3</sub>. The Triassic–Jurassic carbonates are purely formed by Mg–calcite (56 wt.% CaO and 0.45 wt.% Mg), and the continental mudstones and sandstones of Pliocene age at the southern end of the Ohrid basin are characterized by Al<sub>2</sub>O<sub>3</sub> and CaO, with minor quantities of Fe<sub>2</sub>O<sub>3</sub> and K<sub>2</sub>O.

###### 4.1.2. Core JO2004-1

The sediment series generally includes calcareous and siliciclastic elements. Calcareous elements occur principally in the form of clay-sized to sand-sized aggregates and biogenic remains (ostracods), which are especially abundant from the top of the core to 75 cm (calcareous clay and sand to calcareous mud) and from 470 to 924 cm (calcareous clay to sandy calcareous mud). Siliciclastic elements are especially abundant from 75 to 470 cm (clay, silty clay and sand) and from 924 cm to the bottom of the core (silty clay). Sandy intervals at 188 cm and 240–246 cm are conspicuous tephra layers. The sediment color ranges from beige to grey, the darkest colors being associated with occurrences of organic remains, principally plant debris.

Mineralogical assemblages of the core's bulk sediment are dominated by quartz and calcite. Quartz is associated with smaller proportions of feldspars and clay minerals. Similar, quartz dominated

**Table 1**  
Mineralogical and geochemical composition of the main geological outcrops in the Ohrid–Maliq basin.

Nature of substrate	Clay minerals (%)	Quartz (%)	Feldspars (%)	Pyroxenes (%)	Ophiolite minerals (%)	Calcite (%)	Dolomite (%)	Gypsum (%)
Pliocene fluvialite deposits	10	77	12	1	0	0	0	0
Triassic limestones	0	0	0	0	0	100	0	0
Molasse	25	52	19	0	2	0	0	2
Ophiolite (weathered)	2	0	8	0	67	2	21	0
Middle Miocene claystones and limestones	20	44	8	0	0	24	0	4
Pliocene claystones and sandstones	18	54	16	0	8	4	0	0
Ophiolite	0	0	0	1	99	0	0	0
Triassic limestones	1	0	0	0	0	99	0	0
Late Cretaceous limestones	2	1	0	0	0	97	0	0
ophiolite	2	0	0	1	95	0	2	0
Lower Miocene claystones and limestones	22	38	10	0	0	15	15	0
Ophiolite	0	0	0	0	100	0	0	0
Nature of substrate	Lizardite (%)	Chlorite (%)	Illite (%)	Mixed-layered clays (%)	Smectite (%)	Kaolinite (%)		
Pliocene fluvialite deposits	0	0	17	65	4	14		
Molasse	0	0	7	73	0	20		
Ophiolite (weathered)	81	0	0	0	19	0		
Middle Miocene claystones and limestones	0	11	25	64	0	0		
Pliocene claystones and sandstones	0	0	17	0	40	43		
Late Cretaceous limestones	0	0	51	19	0	10		
Ophiolite	97	0	0	0	3	0		
Lower Miocene claystones and limestones	0	19	34	47	0	0		
Middle Miocene claystones and limestones	5	0	11	84	0	0		
Nature of substrate	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	MgO	CaO	K <sub>2</sub> O		
Pliocene fluvialite deposits	60.6	14.83	8.12	1.38	0.77	2.08		
Triassic limestones	0.75	0.12	0.07	0.54	58.25	0.03		
Molasse	65.8	13.1	5.26	1.16	0.42	1.71		
Ophiolite (weathered)	41.5	0.5	8.3	35.5	0.75	0.01		
Middle Miocene claystones and limestones	47.8	9.7	4.57	3.17	14.1	1.97		
Pliocene claystones and sandstones	67.2	11.25	4.78	1.03	4.88	2.00		
Ophiolite	38.7	0.13	7.4	37.6	0.20	0.01		
Triassic limestones	0.3	0.02	0.04	0.45	56.00	0.03		
Late Cretaceous limestones	1.15	0.24	0.16	0.59	56.00	0.09		
Ophiolite	38.65	0.44	8.2	36.4	0.16	0.00		
Lower Miocene claystones and limestones	44.5	11.99	6.25	5.72	10.50	2.18		
Ophiolite	39	0.26	7.7	36.8	0.15	0.01		
Middle Miocene claystones and limestones	1.35	0.28	0.16	3.84	55.00	0.07		

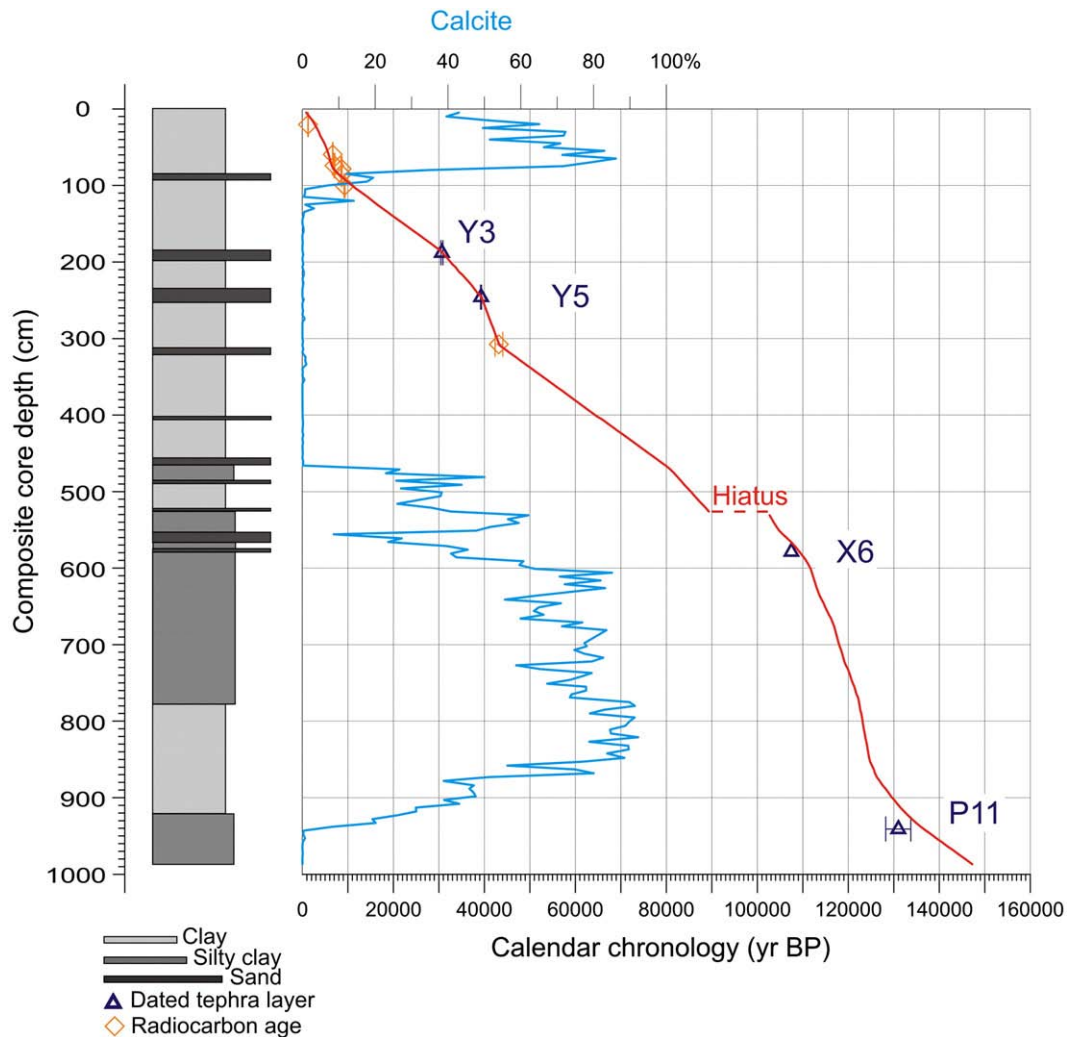


Fig. 2. Age–depth model for core JO2004. The figure shows, from left to right, the main granulometric units reported for the composite section (in grey), the calcite content (in blue), the radiocarbon-dated levels (in red) and the tephra layers (in dark blue) used for the construction of the age-model. The red curve shows our age model which, takes into account the gap in the sedimentary record corresponding to a large part of the St Germain I pollen zone (see Belmecheri et al., 2009 for details).

mineralogical assemblages are also found in alluvial deposits and other Neogene and Quaternary sediments of the region. Mineral particles are typically terrigenous and originate from erosion from the adjacent drainage basin by running waters. However, feldspars significantly increase at several intervals, where they may result from in-situ alteration of tephra layers. Other terrigenous elements include pyroxenes and ophiolite minerals (principally lizardite and clinocllore), derived from ophiolitic formations which largely outcrop in the drainage basin. When present, calcite may represent up to 90% of the bulk sediment. Calcite occurs as biogenic elements (ostracods principally) or silt-sized to clay-sized aggregates.

Clay mineral assemblages are dominated by illite, which occurs in significant amounts in alluvial deposits and other terrigenous sediments of the drainage basin. In both cases, illite is associated to variable percentages of chlorite, kaolinite, and random mixed-layered clays. The association of minerals derived from poorly weathered substrates (illite, chlorite, random mixed-layered clays) and from areas of intense chemical weathering (kaolinite) probably results from intense erosion of soils and substrates in adjacent mountain areas by run-off. It is noteworthy that maximum abundances of illite and associated minerals coincide with occurrences of calcite. Maximum abundances in illite and chlorite alternate with intervals of abundant smectite. In the modern world, abundant smectite is

often found in warm areas of seasonal precipitation (Chamley, 1989; Weaver, 1989). Its formation is strongly enhanced on mafic substrates, and small proportions of the mineral have even been described in the weathering profiles of basaltic substrates of cold areas, for example in modern West Antarctica (Campbell and Claridge, 1998). Ophiolite outcrops of the drainage basin of Lake Ohrid have shown to weather into smectite. Maximum abundances of smectite coincide with maximum contents of clay minerals and quartz in the bulk sediment, indicating significant development of weathering and erosion in the catchment.

#### 4.2. Datations

##### 4.2.1. Radiocarbon measurements

Radiocarbon measurements (Table 2) from the uppermost part of the core are in chronological order from  $8275 \pm 40$  (100, 5 cm) to  $1285 \pm 30$   $^{14}\text{Cyr BP}$  (20.5 cm). However, it was impossible to obtain sufficient organic matter for reliable dating below 100, 5 cm. The age of 39,  $100 \pm 1200$   $^{14}\text{Cyr BP}$  (307,6 cm) is therefore only indicative.

##### 4.2.2. Tephra

Volcanic material was recognised at 188, 240–246, 575–576 cm and 939–941 cm (Table 3). Two of these tephra layers (at 188 cm and

**Table 2**  
Radiocarbon measurements.

Laboratory number (Artemis–Saclay)	Sample	Mean composite depth (cm)	<sup>14</sup> C age	Error	Calendar ages BP (one sigma)	Material
SacA 8010	JO2004-1A020	20.5	1285	± 30	1181–1274	Terrestrial plant remains
SacA 8011	JO2004-1A059	59.5	5840	± 35	6572–6726	Terrestrial plant remains
002653	JO2004-1A074	74	6130	± 80	6937–7158	Terrestrial plant remains
002654	JO2004-1A078	78.5	7800	± 80	8451–8693	Terrestrial plant remains
SacA 8012	JO2004-1A085	85.5	7850	± 40	8585–8700	Terrestrial plant remains
SacA 8013	JO2004-1A100	100.5	8275	± 40	9141–9400	Terrestrial plant remains
002655	JO2004-1B113	307.6	39,100	± 1200	42,000	Terrestrial plant remains

240–246 cm) were visible as discrete sandy–silty layers, whereas the other two are crypto-tephra (575–576 cm and 939–941 cm) mixed with lake and clastic sediments. A detailed description of the tephra layers of the studied core sequence and in-depth discussion of their correlation and origin can be found in Caron et al. (submitted for publication). The chemical composition of glass shards and micro-pumice fragments permitted the correlation of Lake Ohrid samples to other tephra layers already recognised in the Mediterranean basin: the tephra layer at 188 cm has been correlated with the Y3 tephra layer dated at  $30,670 \pm 230$  yr BP (Keller et al., 1978; Zanchetta et al., 2008). The base of the tephra at 240–246 cm has been correlated with the Y5/Campanian Ignimbrite tephra layer, widely recognised in the central-eastern Mediterranean and Eastern Europe mainland (Keller et al., 1978; Pyle et al., 2006; Giaccio et al., 2008; Aksu et al., 2008; Calanchi and Dinelli, 2008) and dated to  $39,280 \pm 110$  yr BP (De Vivo et al., 2001). The crypto-tephra material at 575–576 cm depth is correlated with the X6 tephra layer (Keller et al., 1978) on the basis of the good compositional match with analyses from Lago Grande di Monticchio (Wulf et al., 2006) and the C-31 marine tephra layer (Brauer et al., 2007; Paterne et al., 2008) (Fig. 3). The <sup>40</sup>Ar/<sup>39</sup>Ar age of  $107,000 \pm 2000$  yr BP for the X-6 tephra (Keller et al., 1978) is in good agreement with the suggested age of 108,430 yr BP obtained from the varve-supported Monticchio chronology and with the suggested age of C-31 tephra layer at 107,000 yr BP (Paterne et al., 2008). The volcanic fragments of the crypto-tephra at 939–941 cm depth are a Pantellerite (Civetta et al., 1984, 1988). In marine cores from the Mediterranean (Paterne et al., 2008), two tephra layers match the lithology and composition of this tephra layer, namely P11 (131,000 yr BP) and P12 (164,000 yr BP). Both tephra layers originate from Pantelleria Island, and correspond to the Ignimbrite P (dated at  $133,100 \pm 3300$  yr BP) and to the Welded Tuff S (dated at ca. 163,000 yr BP), respectively (Mahood and Hildreth, 1986). Stratigraphic considerations support correlation of the 943–941 cm Ohrid tephra with the P11 marine tephra layer, and thus with Ignimbrite

P deposits on Pantelleria. The tephra at 943–941 cm is located within the rising calcite content and increasing arboreal pollen values which unequivocally indicate that this tephra was deposited close to the inception of the Last Interglacial. Although the onset of the Last Interglacial may have been recorded at different times in different archives (e.g., Sánchez Goñi et al., 2005), a putative age of 164,000 yr BP (which correspond to P12 tephra layer) is several ten of thousands of years too old for the inception of the Last Interglacial around the Mediterranean (e.g. Sánchez Goñi et al., 1999, 2005).

#### 4.3. Pollen

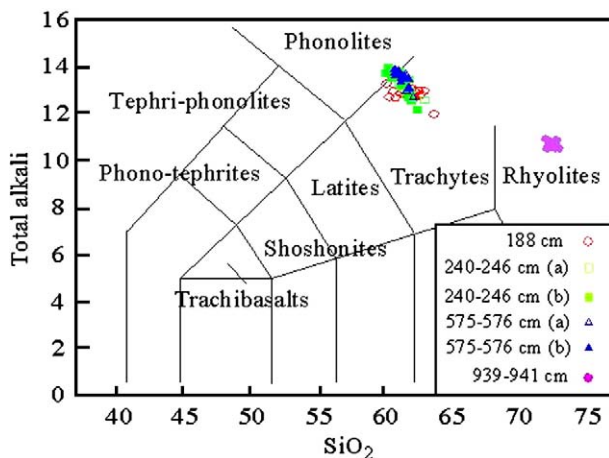
The Ohrid pollen record shows the classical opposition between Arboreal Pollen (AP) and Non Arboreal Pollen (NAP) percentages throughout the last climatic cycle (Bordon, 2009). AP pollen dominate with percentages higher than 80% between 879 and 597 cm, 566 and 537 cm, at 477 cm, and then from 100.5 cm to the top of the sequence. A minor peak of 77 % is recorded between 335 and 280 cm. Trees and shrubs are mainly *Pinus* (maximum = 73%), *Abies* (54%), *Quercus robur* (36%), *Carpinus* (14%), *Juniperus* (13%), *Quercus ilex* (12%), *Hippophae rhamnoides* (8.6%), *Tilia* (7.7%), *Fagus* (7%), *Betula* (4.6%), *Olea* (3%) and *Corylus* (1.7%) recording distinct influences from Mediterranean and temperate middle- and high-elevation forests. Three pollen types of steppic origin dominate the herbaceous plant communities (*Artemisia*, Poaceae and Chenopodiaceae) with maximum percentages of 46% (228 cm), 30% (213 cm) and 15% (516.9 cm), respectively.

In detail, changes in forest vegetation record the spread of *Pinus* and *Quercus robur*, associated, to a lesser extent, with *Quercus ilex* and *Tilia* during the first phase of forest expansion (878.9–791 cm). These taxa strongly decline (*Pinus*, *Q. robur*, *Q. ilex*) or disappear (*Tilia*) to the benefit of *Abies* which massively expands (791–596.9 cm), in association with *Carpinus* (peak reached at 737.9 cm) then with *Picea* (peak reached at 666.9 cm). *Abies* and *Pinus* strongly increase during the following forest phases at levels centred at 556.9 cm and 476.9 cm, then between 125.5 and 50.5 cm in association with *Q. robur*. The forest phase recorded between 329.6 and 279.6 cm displays a slightly different pattern with *Pinus* dominating the pollen assemblage and *Q. robur*, *Picea* and *Abies* reaching 10% or less, only.

#### 4.4. Ostracods

The lowermost part of the core, from the base to 940 cm, is devoid of ostracod valves. At 940 cm the ostracod valves appear and reach a maximum of high species diversity at 880 cm. Ostracod valves are well preserved and taxonomically diversified during the hole the interval from 940 cm to 470 cm. No ostracod valves are found during the following interval from 470 cm to 120 cm, apart from some broken valves found in a short 10-cm-thick interval between 320 cm and 340 cm. At 120 cm, we record the re-occurrence of the ostracod valves which are present until the top of the core.

The mean candonid species  $\delta^{13}\text{C}$  record starts with values around 0.5‰ at 940 cm followed by an increasing trend which continues until 890 cm reaching a maximum of 2‰. After 890 cm,  $\delta^{13}\text{C}$  decreases rapidly to –0.5‰ and drop to a minimum of –2‰ around 780 cm. The



**Fig. 3.** Total alkali vs. silica diagram of glass composition of the recognised tephra layers. (a) and (b) indicate two different compositional groups within the same tephra layer.



period between 750 cm and 550 cm is marked by two consecutive positive excursions, with a first maximum of 2‰ at 570 cm and a second maximum of 0.5‰ at 550.5 cm. The period after the hiatus is marked by a single positive excursion starting with –1‰ at 530 cm, increasing to 1.2‰ between 520 cm and 490 cm to end with –1‰ just after 480 cm.

The candonid valve fragments found in the short 10-cm interval at 340 cm show high  $\delta^{13}\text{C}$  values around 1 ‰ close to the values before 890 cm and the positive excursion around 750 cm and 550.5 cm.

In the upper most part of the record,  $\delta^{13}\text{C}$  values of the candonid valves start with moderately low values between 0‰ and –1‰ at 110 cm. However, during this period ostracod mean  $\delta^{13}\text{C}$  values scatter around –0.5‰, interrupted by a very short excursion to very low  $\delta^{13}\text{C}$  values below –3‰ around 70 cm.

## 5. Environmental reconstruction

### 5.1. A sedimentological record controlled by a karstic system

The most striking characteristic of the Lake Ohrid sediment sequence is the strong variation in calcite, which dominates from 870 to 590 cm and from 80 to 0 cm, and is absent from other intervals (Fig. 2). When present, calcite may represent up to 90% of the bulk sediment. Besides biogenic remains such as ostracod shells (not exceeding more than 0.1% of the sample mass), calcite principally occurs as angular to sub-angular, generally silt-sized particles. Calcite is either absent, or only occurs as trace amounts of less than 5%, in alluvial and molasse sediments that outcrop in the adjacent drainage basin. In addition, sandy intervals of the core which may indicate some degree of reworking from shallower, nearshore areas of the lake which are closer to limestone outcrops, generally contain smaller proportions of calcite than adjacent silt and clay sediments. Therefore, we consider the contribution of terrigenous calcite as limited. Calcite aggregates of similar morphology have been described in modern low salinity to fresh water environments of temperate and tropical latitudes (Stabel, 1986; Talbot and Allen, 1996) saturated in  $\text{CO}_3^{2-}$  (Eugster and Kelts, 1983) where they are considered of authigenic origin. Similar calcite aggregates also characterize Holocene paleolake deposits of Yemen where matching carbon isotope distribution in bivalve shells and bulk sediment suggest coeval, in-situ formation of biogenic and abiotic calcite (Lézine et al., 1998). Based on identical mineralogy, morphology, and occurrence of the latter calcite aggregates, we consider calcite particles in Lake Ohrid sediments to be of predominantly authigenic origin. Limestone outcrops in the drainage basin of Lake Ohrid are probably dissolved by rain water and soil acids. Calcium and carbonate ions are transported to the lake by run-off and via the karst aquifer. There, carbonate/bicarbonate reactions and photosynthesis progressively decrease the availability of free hydrogen ions and raise the pH. Dissolved carbonate and calcium ions are used by aquatic biota (ostracods, molluscs) to build their mineral parts, or precipitate directly in the epilimnion when at high concentrations, for example during spring and summer algae blooms. At long time scales, calcite formation may either occur during intervals of high precipitation when transport of dissolved calcium and carbonate ions to the lake increase; high evaporation, which increases their concentration in the water; and/or elevated temperature, which lowers the saturation threshold for calcite. These conditions most likely occur during interglacials. Conversely, calcite deposition is hampered under dry/cold climatic conditions due to decreased influence of running waters and the cessation of the karstic flow. In addition, cold conditions increase the capacity of lake waters to absorb carbon dioxide and reduce photosynthesis. This in turn fosters the concentration of hydrogen ions and decreases the pH of the lake waters. Such conditions likely correspond to glacial intervals.

In the absence of dilution by calcite particles, siliciclastics dominate during cold/dry intervals. Siliciclastic assemblages are diversified. They

include typically terrigenous elements such as quartz, feldspars, clay minerals (mainly illite, associated with variable percentages of chlorite, kaolinite, random mixed-layered clays and smectite), pyroxenes, and ophiolite minerals (lizardite and clinocllore). Lacustrine siliciclastic assemblages are very similar in composition to those found in molasse and alluvial deposits, suggesting that they are derived from the erosion of the catchment basin by running waters. The presence of clay minerals of diverse origins indicates concomitant erosion of soils, sediments, and substrates. It is remarkable that maximum abundances in illite and chlorite coincide with intervals of highest calcite contents, indicating that strong precipitation also favoured an intense erosion of poorly weathered substrates in the drainage basin of the lake. Intervals of increased smectite contents (which coincide with low calcite and high quartz contents) suggest that dry/cold climatic conditions nevertheless allowed some degree of chemical weathering of the ophiolitic substrates. Such a transient interval of chemical weathering with smectite formation and erosion, is recognized around 490–530 cm. However, climate improvement (in terms of precipitation and/or temperature) was insufficient during this interval to allow an extensive precipitation of authigenic calcite in the lake.

### 5.2. A complex chronological framework

The age model (see discussion in Belmecheri et al., 2009) (Fig. 4) shows that the Ohrid sequence extends back to about 140,000 yr BP. It fits remarkably well with the orbitally-tuned environmental history of southern Europe (Tzedakis, 2005; Sirocko et al., 2007; Sánchez Goñi, 2007) (Fig. 5) from terrestrial pollen records in Greece (e.g., Tenaghi Philippon) and marine isotope records along the southern European margin (e.g., ODP 980). It also fits with the chronology of pollen events at Monticchio in Italy (Brauer et al., 2007) (Fig. 6). According to this, the Eemian interglacial started at 127,000 yr BP and lasted roughly 17,000 yr which corroborates earlier estimations in southern Europe (Tzedakis et al. 2002b; Brauer et al., 2007; Brewer et al., 2008).

A major hiatus of roughly 12,000 years corresponds to a large part of the St. Germain I period. The stratigraphical and chronological position of this hiatus is confirmed by the absence in our record of tephra layer X5, dated at  $105,000 \pm 2000$  yr BP (Keller et al., 1978; Kraml, 1997; Wulf et al., 2006; Di Vito et al., 2008; Paterne et al., 2008). This tephra has been found in marine sediments from the Ionian Sea (Paterne et al., 2008) and in the lower part of the St. Germain I period at Monticchio (Brauer et al., 2007). Dating uncertainties remain for the Melisey 2 interstadial, which is dated to ca. 87,000 yr BP at Ohrid and ca. 89,000 yr BP at Monticchio. The phase of forest expansion within the last glacial period, which is dated to around 50–45,000 yrs ago at Ohrid, closely corresponds to a warm event recorded in speleothem data from Villars in Southern France (Genty et al., 2003, 2005). This likely corresponds to the forest phase dated slightly earlier at Monticchio (Brauer et al., 2007), Ioannina (Tzedakis et al., 2002a), and Kopais (Okuda et al., 2001). At Villars, this event has been correlated with Dansgaard–Oeschger event 12 with exceptionally high speleothem growth rate and very low  $\delta^{13}\text{C}$  values (as low as –11‰) attributed to dense vegetation growth. Based on 12 TIMS U-series dates, the optimum recorded by  $\delta^{13}\text{C}$  values is dated to  $45,300 \pm 400$  cal yr BP. The climate improvement responsible for forest expansion at Ohrid at that time is also reflected in the temporary increase of dissolved calcium concentrations that can be inferred from the discrete occurrence of good ostracod preservation (Fig. 4). It was however not sufficient to significantly revive the formation of authigenic calcite.

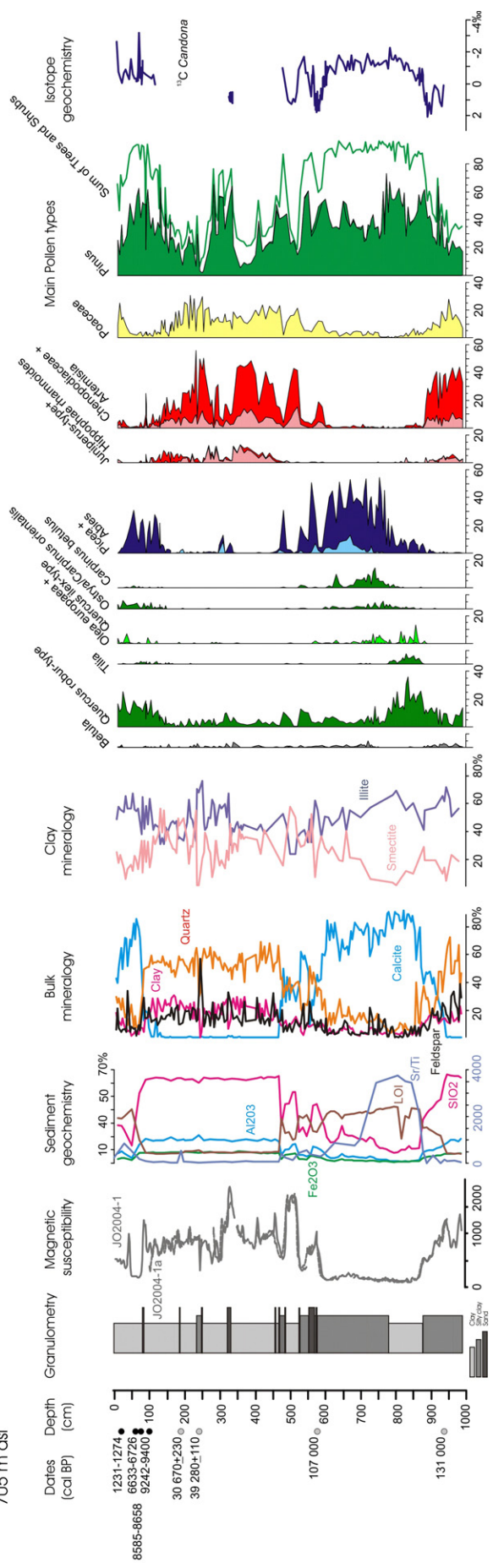
### 5.3. Regional environmental changes

Our multi-proxy record suggests that in response to an improvement of climate conditions, Lake Ohrid switched from a less to more productive ecosystem as early as 136,000 cal yr BP. The predominance of steppic herbaceous pollen types (mainly *Artemisia* and *Chenopodiaceae*) before that time indicates that steppe vegetation had dominated

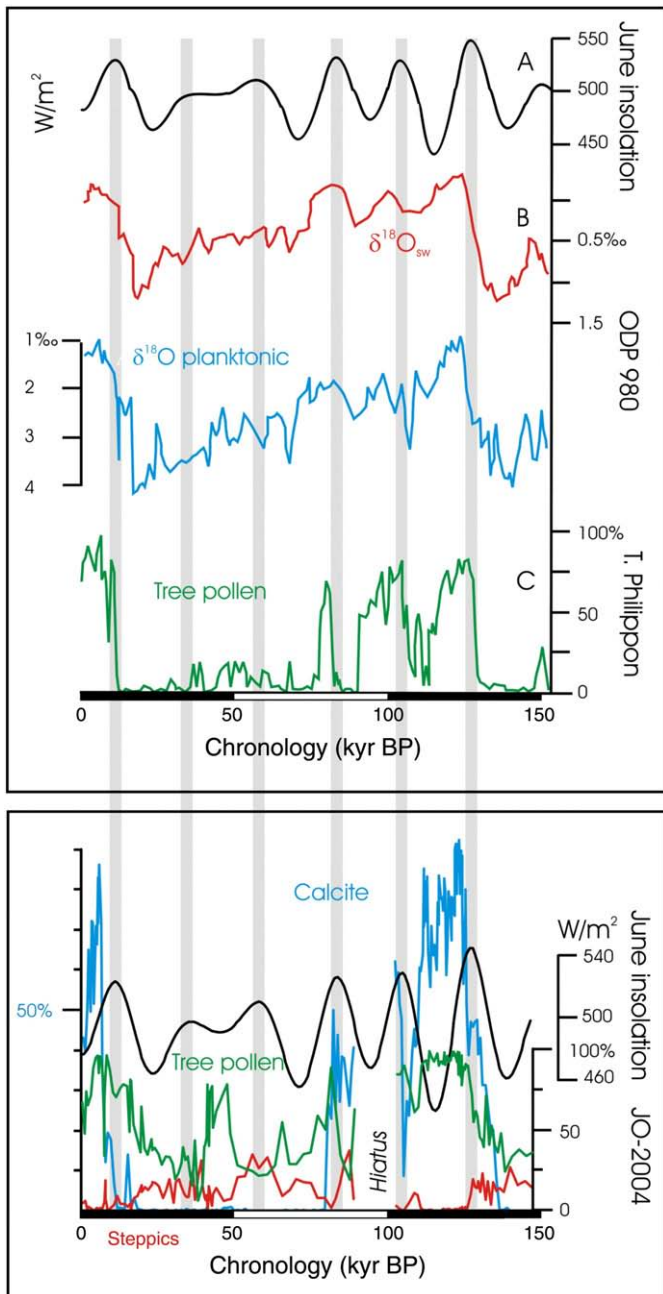


JO2004-1 (OHRID LAKE - ALBANIA)

40°55.002 N - 20°40.297 E; 98 m water depth  
705 m asl



**Fig. 4.** Lake Ohrid core JO2004-1 multiproxy record showing from left to right: radiocarbon ages, main granulometric units, magnetic susceptibility (on both cores JO2004-1 and JO2004-1a); sediment geochemistry with Sr/Ti (dark blue) ratio and major elements Al<sub>2</sub>O<sub>3</sub> (light blue), SiO<sub>2</sub> (purple), Fe<sub>2</sub>O<sub>3</sub> (light green) and LOI (brown); bulk mineralogy with clays (purple), quartz (orange), calcite (light blue), and feldspar (black); main clay minerals with illite (dark blue), and smectite (pink); the main pollen types and the sum of arboreal pollen percentages; δ<sup>13</sup>C of Candonid ostracodes (dark blue). Note the lack of ostracod isotope records during phases of calcite absence.



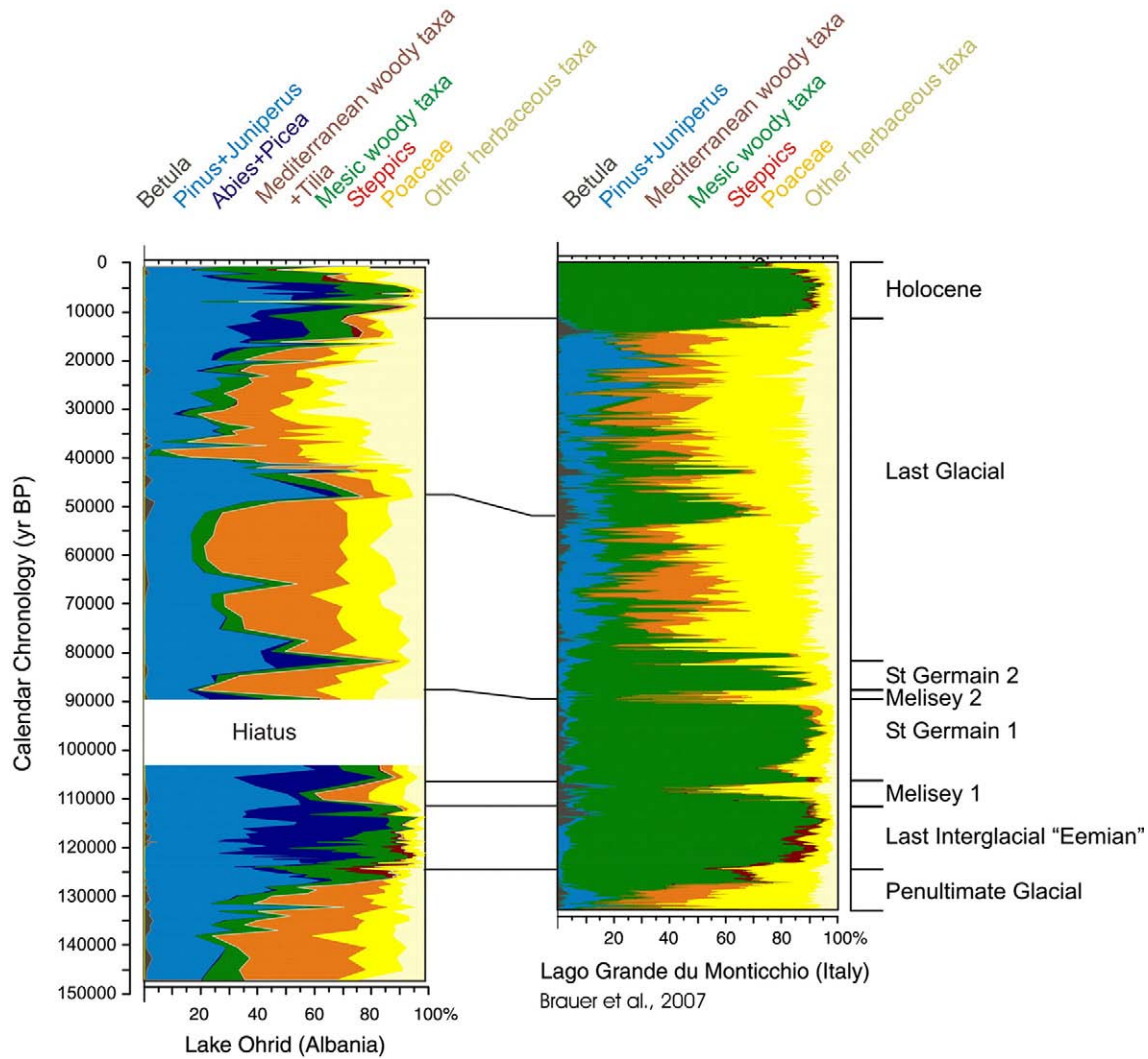
**Fig. 5.** Comparison between two independent chronologies of environmental changes in southern Europe. Upper panel: (A) June insolation curves for 65°N, (B) benthic foraminiferal  $\delta^{18}\text{O}$  from ODP 980 (latitude: 55°29.1'N longitude: 14°42.1'W), representing the ice volume/sea-level component of sea-water  $\delta^{18}\text{O}$ , planktonic-foraminiferal  $\delta^{18}\text{O}$  from core ODP 980, and (C) the tree-pollen percentage curve from Tenaghi Philippon plotted on the time scale of Tzedakis et al. (2003) (redrawn from Tzedakis (2005); see references therein). Lower panel: June insolation curves for 65°N (Berger, 1978), pollen percentage curves for steppe elements and trees, and the percent calcite content of core JO-2004 1 (this study) plotted on the same time scale. Grey vertical bars show the correspondence between the two independent data sets.

the immediate surroundings of the lake under a climate characterized by strong seasonal moisture deficiency. Marked seasonality in precipitation and runoff was responsible for the erosion of regional substrates (abundant illite, chlorite,  $\text{SiO}_2$  and  $\text{Al}_2\text{O}_3$ ) as well as the development and removal of ophiolitic weathering profiles (smectite). From 136,000 to 127,000 yr BP a first prominent increase in calcite reflects the intensification of regional karst activity. The concomitant decrease in steppe vegetation elements, with mesic trees and smectite increase, suggests that climate conditions became progressively warmer and wetter.

However, soils were still poorly developed as indicated by the relatively high values of  $\delta^{13}\text{C}$  in ostracod calcite. At 128,000 yr BP, a slight decrease in total arboreal pollen percentages together with an abrupt positive shift in ostracod  $\delta^{13}\text{C}$  of more than 1‰ and a plateau in calcite content suggest a short episode of climate deterioration interrupting the long-term warming trend. This pattern of environmental change has been recorded in several other climate-proxy records from southern Europe (e.g., Tzedakis et al., 2002a; Brauer et al., 2007; Gouzy et al., 2004). An abrupt, simultaneous shift in most proxies at 127,000 yr BP marks the true beginning of the Last Interglacial period. The episode between 126,000 and 122,000 yr BP is characterized by increased calcite precipitation within the lake as recorded by peak  $\text{CaCO}_3$  content (>85%) and the large positive excursion of Sr/Ti. At that same time, Mediterranean trees expanded at the altitude of Lake Ohrid whereas cold steppes disappeared. Mesic forests developed on the adjacent slopes and conifer forest at higher altitude. This indicates that this period was unambiguously the warmest of the entire last interglacial. According to our data the thermal maximum was reached around 124,700 yr BP when Mediterranean vegetation elements widely expanding in southern European lowlands (e.g., Rousseau et al., 2006) reached the Lake Ohrid area at 800 m altitude. The end of this climatic optimum at 122,000 yr BP is marked by a dramatic shift in forest composition. Temperate and Mediterranean forest trees declined, whereas *Carpinus*- and *Abies*-dominated mixed forests, then *Abies*- and *Picea*-dominated conifer forests widely expanded with *Abies* proportion higher than those recorded anywhere in southern Europe (e.g., Reille et al., 2000; Tzedakis et al., 2002a; Pini et al., 2009; Allen and Huntley 2009), indicating the incursion of mountain environments into the lake basin and a progressive cooling. This is supported by the decreasing trend of calcite and increasing trend of detrital quartz during this interval. However, remarkably stable ostracod  $\delta^{13}\text{C}$  values around  $-2\text{‰}$  throughout the period 127,000–110,000 yr BP indicate that tree cover and related soil stability in this mountain environment were not affected by climate variations within the Last Interglacial.

Calcite minima and significant expansion of herbaceous steppe plant types at the expense of forest signal significant regional climate deterioration from 110,000 to 107,000 yr BP and around 87,000 yr BP, i.e. coeval with the Melisey 1 and 2 stadials, respectively. The cold climate conditions during stadials were responsible for poor soil development and enhanced erosion as recorded by the ostracod-isotope and mineralogical records. The Saint Germain 1 and 2 interstadials are only partly recorded in Core JO2004-1 (Fig. 6). Although all proxies record climate improvement around 106,000 and 82,000 yr BP, continued predominance of conifers at the expense of mesic trees in regional forests, and lower calcite content compared to that attained during the Last Interglacial suggest less favourable climate conditions and lower winter temperatures. This differs from what is observed at Ioannina (470 m altitude) in Northern Greece (Tzedakis et al., 2002a) where the two interstadials appear to have been remarkably similar in vegetation and climate conditions.

The abrupt fall in calcite content at the end of Saint Germain 2 (around 79,000 yr BP) shows that a lower temperature threshold was crossed, leading to at least seasonally frozen soil conditions at the elevation of the lake and probably permafrost in a large part of the mountain system. This is supported by the occurrence of periglacial landforms observed near the top of the Galicica mountain range (Belmecheri et al., 2009). Cold-tolerant trees such as *Juniperus* and *Betula* expanded together with steppe herbaceous plant types, similar to what occurred widely in southern Europe during the last glacial period (e.g., Allen et al., 1999; Allen and Huntley, 2009). However, the continuous presence of deciduous trees (albeit in low percentages) suggests that the forest refugia for mesic trees present at lower elevation at Ioannina (Tzedakis et al., 2002a) and in the nearby Maliq Basin (Denèfle et al., 2000) expanded up to 700–800 m in altitude to the shores of Lake Ohrid. The moderating lake effect on regional climatic conditions probably favoured their development at middle



**Fig. 6.** Comparison between Ohrid (this study) and Monticchio (Brauer et al., 2007) pollen data. The synthetic pollen diagrams show the main vegetation types encountered in the two pollen sites. The overall development of vegetation (e.g., tree percentages variations, changes in Mediterranean vegetation types) fits to a high degree, and has been used to validate our age-model. This figure highlights the near-continuous dominance of mountain vegetation types at Ohrid due to the steep altitudinal gradient in the catchment area. Note the gradual increase in tree pollen percentages at glacial–interglacial transitions.

altitudes in southern Albania. High-amplitude variation in the mineralogical composition of Ohrid sediments deposited between 50,000 and 18,000 cal yr BP indicate unstable environmental conditions during the glacial period. For example, alternating maxima of illite and smectite in the clay-mineral assemblages suggest variable erosion and weathering rates due to fluctuation of precipitation and/or temperature, with illite maxima corresponding to intervals of enhanced precipitation and erosion.

The presence of refugia for mesic trees originating from the forest phase developed around 50–45,000 yr likely explain the surprisingly progressive last glacial–interglacial transition (Termination I) observed at Ohrid and Ioannina (Tzedakis, 2005) with mesic tree pollen types increasing regularly from 38,000 BP to the Holocene (8800 cal yr BP). Authigenic calcite precipitation started to increase only at 17,000 cal yr BP, illustrating the delayed response of the lacustrine system to late-glacial warming. The increase of calcite was interrupted during the Younger Dryas, and then restarted from ca. 11,000 cal yr BP to reach a maximum at 6000 cal yr BP. This rising trend was interrupted by an abrupt event of forest degradation probably coeval with the “8.2 kyr” cold event already recorded at Maliq (Bordon et al., 2009), corresponding to a  $-2^{\circ}\text{C}$  cooling of annual temperature compared to mean Holocene values.

**6. Concluding remarks**

The most prominent feature in the recorded history of Lake Ohrid is the contrast between the responses of lacustrine and terrestrial environments to climate change throughout the last climatic cycle. Abrupt hydrological changes were controlled by the karstic system, which was active during interglacials and completely blocked during glacials in response to drastic deterioration of climate conditions. The abundance and strontium content of authigenic calcite and the  $\delta^{13}\text{C}$  of ostracod calcite appear to be sensitive proxies of temperature change during interglacials and help to detect and characterize the main fluctuations of climate within the interglacials and at the glacial–interglacial transitions. In contrast, the terrestrial environment recorded a more gradual evolution, particularly at the last glacial–interglacial transition. The Riss–Eemian (Termination II) and Würm–Holocene (Termination I) climate transitions significantly differ from one another, with the lacustrine system reacting well before (by about 10,000 years) local vegetation change at the Riss–Eemian transition, and peak lake productivity lagging forest expansion by several thousand years at the Würm–Holocene transition. The interruption of the Würm glaciation by a moderate forest phase around 50–45 kyr, is probably one of the main causes of the presence of forest refugia



which enabled, together with probable moderating effect of a large lake on local climate, the early expansion of forests during the Würm–Holocene transition. We suggest that during the Riss glaciation no such moderate forest phase existed. The Riss glaciation is well known to have developed larger glaciated areas around the Alpes than the Würm (van Husen, 2004) and there is some evidence of larger, prewürm, glaciations in the area of Lake Ohrid. However, the corresponding moraines are not yet dated and continuous pollen records for the Riss glaciation are still lacking. In contrast, the delayed lake response to global warming at the last glacial–interglacial transition, shown by calcite production peaking at 6000 cal yr BP, illustrate the threshold response of the geochemical system which was completely blocked during the late Würm glaciation. The succession of abrupt climate changes – especially the Bölling/Alleröd–Younger Dryas sequence and the “8.2 kyr cold event” – in this mountainous area probably accentuated this delay.

By using a large range of paleoenvironmental proxies we were able to detect climate changes even during glacial phases when calcite and the associated stable isotope records are lacking. This study illustrates the great potential of Lake Ohrid as an archive of southern European/Mediterranean climate and environment over the entire Quaternary.

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