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# Springtime aerosol load as observed from ground-based and airborne lidars over northern Norway

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**Abstract.** To investigate the origin of springtime aerosols in the Arctic region we performed ground-based and airborne 355 nm Raman lidar observations in the north of Norway (Hammerfest). Two lidars were embedded (i) on an ultralight aircraft for vertical (nadir) or horizontal line-of-sight measurements and (ii) in an air-conditioned van on the ground for vertical (zenith) measurements. This field experiment was designed as part of the Pollution in the ARctic System (PARCS) project of the French Arctic Initiative and took place from 13 to 26 May 2016. The consistency among lidar measurements is verified by comparing nadir, horizontal line of sight, and ground-based Raman lidar profiles. Dispersion of the order of  $0.01 \text{ km}^{-1}$  is obtained among lidar-derived aerosol extinction coefficients at 355 nm. The aerosol load measured in the first 3 km of the troposphere remains low throughout the campaign, with aerosol optical thickness (AOT) of 0.1 at 355 nm ( $\sim 0.05$  at 550 nm). The main contributors to the evolution of the aerosol load at low altitude prove to be one of the flares of the nearby Melkøya gas processing facility, the oceanic source, and the transport of aerosols from industrial sites in Russia. Moreover, ground-based lidar measurements allowed us to identify three cases of long-range aerosol transport (between 3 and 8 km above the mean sea level). Using back trajectories computed with the Lagrangian model FLEXPART-WRF, these aerosol plumes are shown to be the result of the strong forest fires that occurred in the area of Fort McMurray, in Canada. They can at most double the AOT value over the Arctic area, with an anomaly of 0.1 on the AOT at 355 nm.

## 1 Introduction

The pristine Arctic environment is very sensitive and can be easily disturbed by anthropogenic activities, with irreparable consequences. Anthropogenic aerosols play a major role in the evolution of the Arctic radiative balance, as pointed out by the IPCC (2014), and have to be better quantified. Moreover, the Arctic region is exposed to thin but persistent haze (Breider et al., 2014; Shaw, 1995), as well as episodic events of carbonaceous aerosol plumes in the free troposphere (Brock et al., 2011; Quinn et al., 2008; Warneke et al., 2010) since the industrial era. This environmental challenge posed by tropospheric aerosols in the Arctic has already been pointed out by Barrie (1986) and, even more recently, by authors such as Law et al. (2017) or Yang et al. (2014), who analysed the climatic impact and showed that aerosols induce a warming of about  $0.6 \text{ K decade}^{-1}$ .

Following these observations, the French Arctic Initiative project Pollution in the ARctic System (PARCS) was performed to improve our understanding of aerosols in the Arctic troposphere. A point of focus was the long-range transport of anthropogenic and biomass burning aerosols over the Arctic region. This innovative field campaign took place from 13 to 26 May 2016 in the region of Hammerfest ( $70^{\circ}39'45'' \text{ N}$ ,  $23^{\circ}41'00'' \text{ E}$ , Norway), 90 km southwest of the North Cape, within the Arctic Circle. It involved ground-based and airborne Raman lidar observations. The mesoscale dynamic modelling was performed using the Weather Research and Forecasting (WRF) model (Skamarock et al., 2008).

The PARCS experiment follows several international initiatives such as the recent Arctic Climate Change, Economy and Society (ACCESS) project over northern Norway in July

2012 (Raut et al., 2017). ACCESS itself followed the international Polar Study using Aircraft, Remote Sensing, Surface Measurements and Models of Climate, Chemistry, Aerosols, and Transport (POLARCAT) in 2008 (Ancellet et al., 2014) and the Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) in 2008 (Jacob et al., 2010).

Obviously, the PARCS experiment is a snapshot of the aerosol situation in northern Norway. As in all field campaigns, the atmospheric environment is sampled over a short period of time and is not necessarily representative of the local and seasonal meteorological conditions. The PARCS experiment took place during large-scale weather conditions disturbed by the strong El Niño of 2015–2016 (Hu and Fedorov, 2017), which led to temperatures in the Arctic planetary boundary layer (PBL) 3 to 4 °C above the 10-year normal climatic conditions. Also associated with such exceptional atmospheric conditions, transport in the high troposphere favoured the presence of air masses from North America. Spring 2016 was marked by extreme wildfires in Canada's Alberta territory, close to Fort McMurray (Kochtubajda et al., 2017; Landis et al., 2018). The coupling between pyro-convection (Fromm et al., 2005; Peterson et al., 2015) and large-scale atmospheric transport may inject large quantities of aerosols, whose lifetime greatly exceeds a week in the absence of precipitation throughout their transport, into the upper troposphere (Ancellet et al., 2016). Part of these aerosol layers were sampled by a ground-based Raman lidar, which made it possible to describe both the vertical structure and the optical properties of the aerosol plumes (Chazette et al., 2014) as well as the history of their transport using the synergy among the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) (Winker et al., 2003), the Moderate Resolution Imaging Spectroradiometer (MODIS) (King et al., 1992) space-borne instruments, and mesoscale modelling. The observation of biomass fire aerosol transported at high altitude over long distances has already been reported by several authors for different regions of the Earth (Ancellet et al., 2016; Formenti et al., 2002; Forster et al., 2001; Paris et al., 2009; Quennehen et al., 2011; Sitnov and Mokhov, 2017). During the POLARCAT summer campaign in 2008, Schmale et al. (2011) and Thomas et al. (2013) characterized aerosol and gas pollution from fire plumes transported from North America to Greenland. Franklin et al. (2014) and Taylor et al. (2014) documented a case study of aerosol removal in a biomass burning plume over eastern Canada in 2011. More recently, the long-range transport of aerosols from Siberia has also already been evidenced (Marelle et al., 2015; Sitnov and Mokhov, 2017). During the ACCESS airborne campaign in summer 2012 (Roiger et al., 2015), extensive boreal forest fires resulted in significant aerosol transport to the Arctic (Raut et al., 2017). These plumes originating from Siberian wildfires are very common during late spring and summer, and they may be mixed with aerosols coming from highly polluting in-

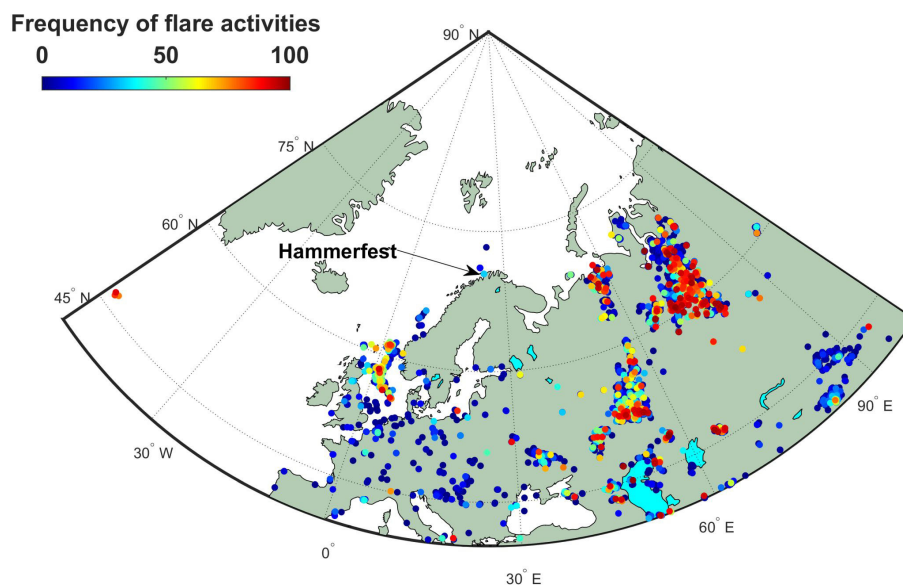
dustrial sources such as oil and gas rigs or petroleum refineries. Vaughan et al. (2018) describe the transport of biomass burning aerosols over the United Kingdom originating from extensive and intense forest fires over Canada in spring 2016. It should be noted that all previous authors only reported isolated long-distance transport events and that this type of phenomenon is rare; the probability of observing one during the short duration of the PARCS campaign was low. The chosen period for PARCS associated with a strong El Niño certainly favoured long-range transport of aerosols and offered an opportunity to sample three different tropospheric plumes.

This paper focuses on the long-range-transported aerosols observed during the PARCS campaign as well as the evolution of the aerosol load in the low troposphere. The field experiment is presented in Sect. 2, in which ground-based and airborne measurements are described. The large-scale observations derived from space-borne instruments and mesoscale modelling are presented in Sect. 3. Section 4 is devoted to the description of the aerosol structures observed during the field campaign, with a spotlight on the low troposphere. Section 5 is dedicated to the identification of the origins of the high-altitude aerosol plumes. The data coherence is discussed in Sect. 6 and the conclusion is presented in Sect. 7.

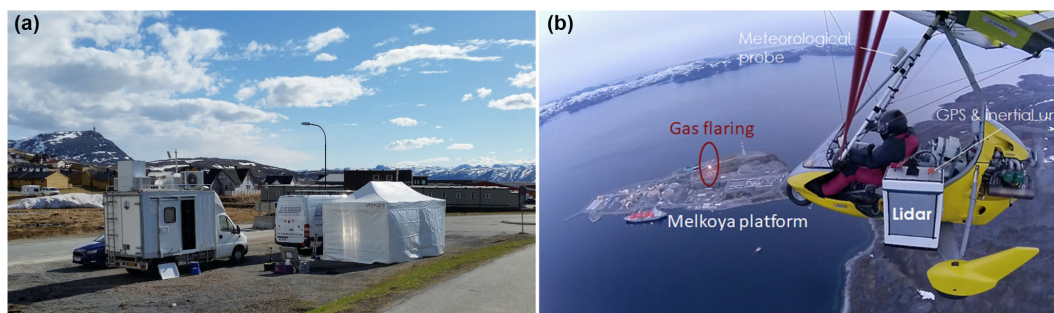
## 2 Field experiment

The aerosol load is investigated using observations gathered from 13 to 26 May 2016, during the PARCS field campaign held in northern Norway, over 70° N (Fig. 1). The ground-based van MAS (mobile atmospheric station; Raut and Chazette, 2009) and an ultralight aircraft (ULA) were mainly equipped with active remote-sensing instruments (Fig. 2): the Weather Atmospheric Lidar (WALI) and the Lidar for Automatic Atmospheric Survey Using Raman Scattering (LAASURS), respectively.

We selected an experimental site near Hammerfest, next to the Airport. The main reason for this is that the Melkøya gas processing facility, which is the northernmost coastal installation and uses the latest techniques of LNG (liquefied natural gas), has two potentially active flares that could significantly influence atmospheric aerosol concentrations: a high-pressure flare from processing and a low-pressure flare from loading and storing LNG. In addition, with the local and shipping activities, the region may be subject to the advection of air masses from the Murmansk area, which has a large concentration of oil and gas industries. We benefited from the help of the Avinor crew of Hammerfest Airport in order to have a suitable operating base and the necessary power supply. They also helped us navigate the ULA, freely lent their hangar at the airport, and offered staff support.



**Figure 1.** Location of the ground-based measurement site, close to Hammerfest (Norway). The frequencies of the main flare activities for both oil and gas rigs are given following Elvidge et al. (2016) for 2016.



**Figure 2.** (a) Mobile atmospheric station (MAS) located near the Hammerfest Airport, equipped with the WALI Raman lidar. (b)  $N_2$  Raman lidar LAASURS embedded on a ULA. The ULA is flying over the Melkøya platform where a gas flaring is active.

## 2.1 Ground-based measurements

Figure 2 shows the MAS, located close to the Hammerfest Airport. A schematic representation of the MAS and its on-board instruments is given in Fig. 3. It was equipped with the 354.7 nm water vapour Raman lidar WALI (Chazette et al., 2014). These instruments carried out continuous measurements from 13 to 26 May 2016, with a final vertical resolution of 15 m and 1 min integration ( $\sim 1000$  laser shots). The main characteristics of WALI are summarized in Table 1.

## 2.2 Airborne measurements

In order to sample the low troposphere around the ground-based lidar, the ULA and Tanarg 912 XS-embedded Raman lidar system LAASURS was used (Chazette and Totems, 2017). Lidar containment enabled operation for temperatures down to  $\sim -17^\circ\text{C}$ , but with a loss of nearly 40% of the emitted energy. This has greatly limited the altitude explo-

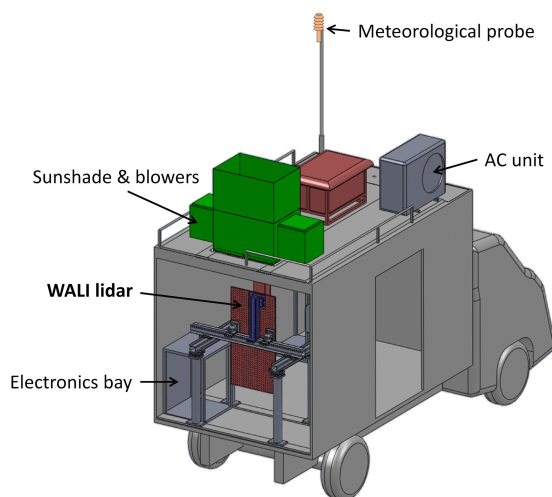
rations above 1 km above the mean sea level (a.m.s.l.) and we have essentially worked just above the PBL. The lidar and the ULA's flights close to the Melkøya platform are represented in Fig. 4.

The aircraft, Tanarg 912 XS, was built by the company Air Création (<http://www.aircreation.fr/>, last access: 29 August 2018) and offers a maximum total payload of  $\sim 250$  kg (Table 2). Flight durations were between 1 and 2 h, depending on flight conditions, with a cruise speed of around  $85\text{--}90\text{ km h}^{-1}$ . The ULA is also equipped with (i) a Vaisala 300 meteorological probe for temperature, pressure and relative humidity and (ii) a Global Positioning System (GPS) and an attitude and heading reference system (AHRS), which are part of the MTi-G components by XSens. The lidar, whose characteristics are given in Table 1, is designed to fulfill eye-safety standards (EN 60825-1). The wide field of view (FOV) of  $\sim 2.3$  mrad allows a 90% overlap of the transmission and reception paths beyond  $\sim 200$  m with the desired setting for



**Table 1.** Raman lidar WALI and LAASURS main characteristics. In the third column the corresponding characteristics of the space-borne CALIOP lidar are also presented.

	WALI	LAASURS
Carrier	Ground-based (truck)	Airborne
Laser	Nd:YAG, flash-pumped, Q-switched Q-smart Quantel	Nd:YAG, flash-pumped, Q-switched Ultra Quantel
Pulse length	< 10 ns	6 ns
Emitted energy	120 mJ at 355 nm	30 mJ at 355 nm
Frequency	20 Hz	
Reception channels	// 355 nm $\perp$ 355 nm N <sub>2</sub> Raman 387 nm H <sub>2</sub> O Raman 407 nm	// 355 nm $\perp$ 355 nm N <sub>2</sub> Raman 387 nm
Reception diameter	15 cm	
Field of view	$\sim$ 2.3 mrad	
Full overlap	$\sim$ 200 m	
Filter bandwidth	0.2 nm	
Detector	Photomultiplier tubes	
Post-processing vertical resolution	15–30 m	



**Figure 3.** Schematic representation of the MAS equipped with the Raman lidar WALI.

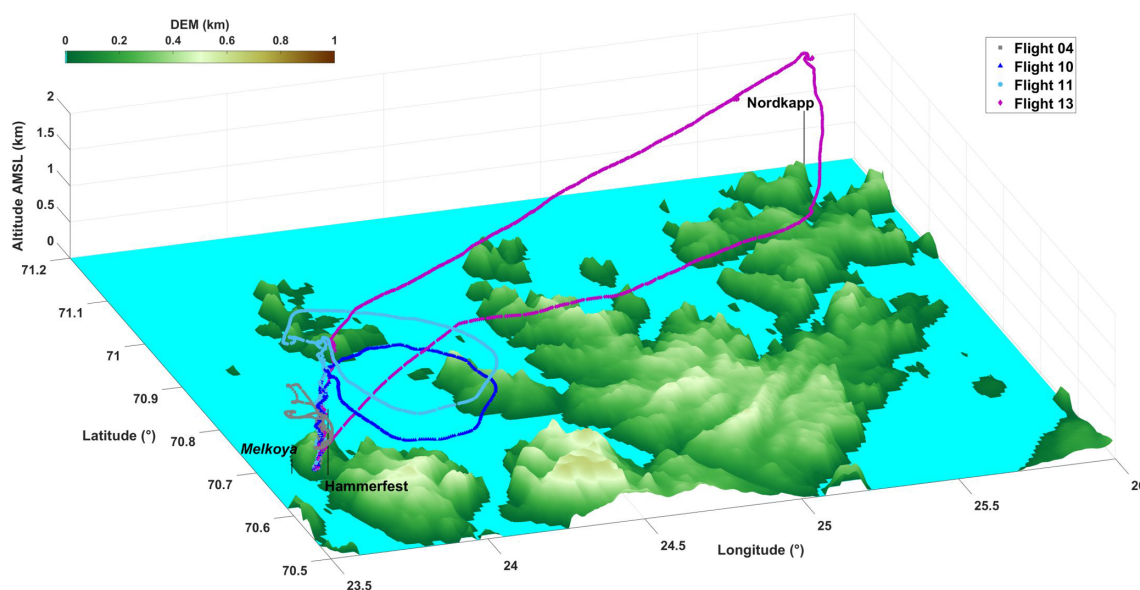
the experiment. After correction of the overlap function, the data can be used from 150 m with a negligible error compared with the one due to signal noise. The acquisition was performed by averaging 400 laser shots leading to a temporal sampling close to 25 s.

**Table 2.** Tanarg 912 XS ULA main flight characteristics.

ULA flight characteristics	
True airspeed	17 to 40 m s <sup>-1</sup> (60 to 145 km h <sup>-1</sup> )
Ascent speed	up to 365 ft min <sup>-1</sup> (110 m min <sup>-1</sup> )
Descent speed	825 ft min <sup>-1</sup> (250 m min <sup>-1</sup> )
Endurance	3 h (max 4 h at 20 m s <sup>-1</sup> )
Maximum scientific payload	120 kg
Maximum altitude	5.8 km

### 2.3 Strategy and flight plans

We performed a total of 14 flights during the field campaign. The majority of flights were performed near the airport, around the Hammerfest peninsula. Four flights were particularly interesting for aerosol layer detection (Table 3). Three flights were not successful because of technical difficulties and the other ones were performed in low-cloud conditions, with condensation at the ceiling altitude. Only one day out of three was not very cloudy over the period of measurements. The more exploitable flights were performed during nighttime. Note that during the field campaign, the sun did not go down under the horizon. Each flight included a slow spiral ascent or descent during which the lidar was aiming horizontally, and once at the ceiling altitude the lidar was rotated to aim at the nadir.



**Figure 4.** Flight plans used for this study: flight 4 on 16 May, flights 10 and 11 on 20–21 May, and flight 13 on 22 May (see Table 3). The flight plans are drawn over the 30 arcsec digital elevation model (DEM) GTOPO30 (<https://lta.cr.usgs.gov/GTOPO30>, last access: 29 August 2018).

**Table 3.** Flight information includes identification, date, and description.

Flight identification	Date and hour (UTC)	Description
4	16 May, 22:39–23:24	Flight along the west coast of the Hammerfest peninsula overflying the Melkøya platform in cloudy conditions.
10	20 May, 18:56–20:00	Flight around the Hammerfest peninsula in cloud-free conditions.
11	20 May, 23:02–21 May, 00:26	Flight around the Hammerfest peninsula in cloud-free conditions.
13	22 May, 21:38–23:58	Flight towards North Cape in cloud-free conditions.

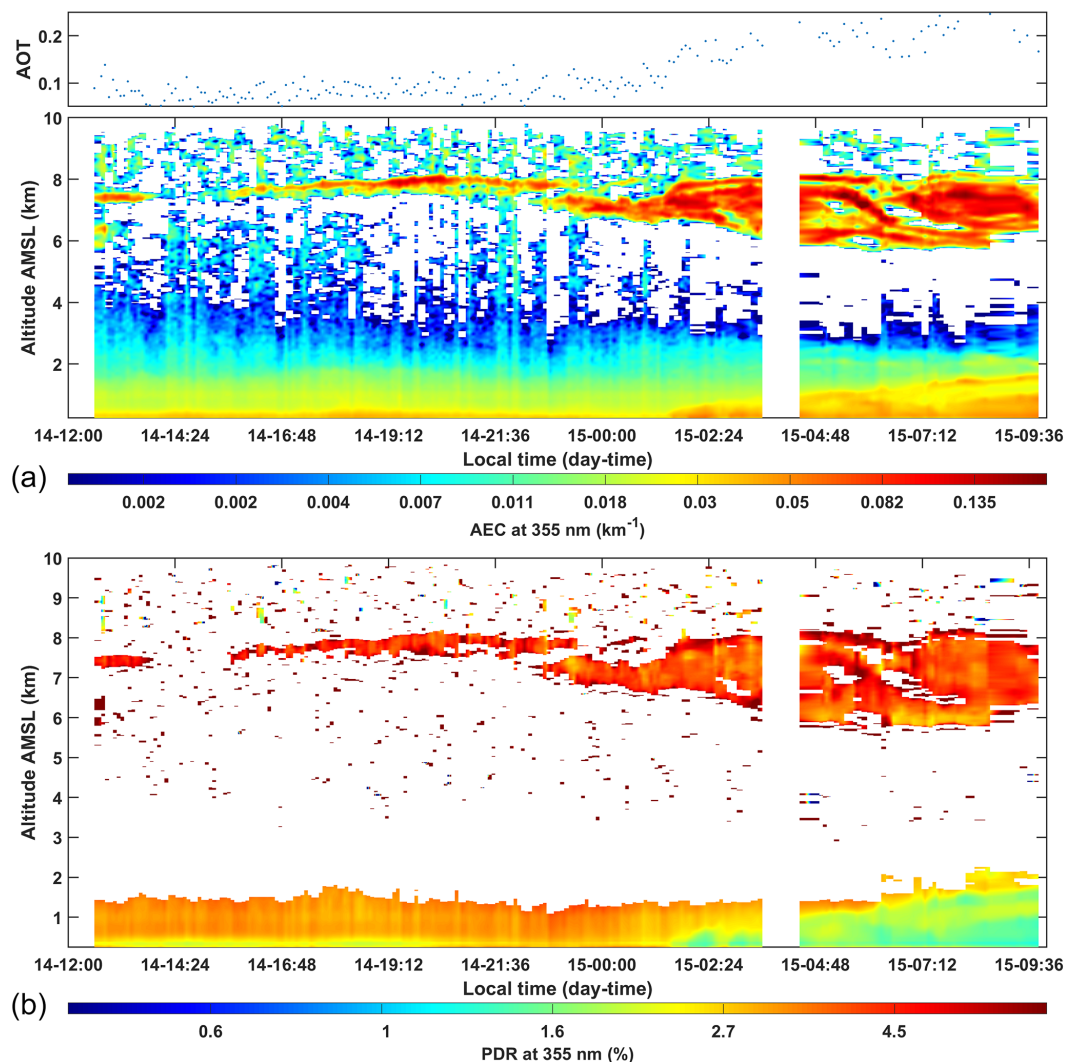
Flight 4 passed very close to the Melkøya platform and permitted the sampling of one active flare. Flights 10 and 11 were around the Hammerfest peninsula for two non-consecutive hours to check the representativeness of the site for aerosols trapped within the PBL. For flight 13, the ULA took off from Hammerfest Airport at 21:38 UTC (universal time count) and headed towards North Cape at the ceiling altitude of  $\sim 1.8$  km a.m.s.l. Before reaching North Cape, the ULA changed heading and flew parallel to the coastline before veering towards the airport, where it landed at 23:58 UTC.

## 2.4 Data processing for lidar measurements

Lidar data analyses are not presented in detail hereafter since the methods used have already been published (e.g. Chazette et al., 2016, and references therein). The aerosol extinction coefficient (AEC) and the backscatter-to-extinction ratio (BER, inverse of the lidar ratio (LR)) are derived following Chazette et al. (2012) and references therein. The calibration process to retrieve the particle depolarization ratio (PDR) is

given in Chazette et al. (2012). The absolute uncertainties on the AEC are  $\sim 0.01$  km $^{-1}$  and the ones on the PDR are  $\sim 1\%$ – $2\%$  for AEC  $> 0.03$  km $^{-1}$ . For smaller AECs, the error on the PDR is too high and we do not compute it. An example on different aerosol types is given in Appendix A of Dieudonné et al. (2017). The absolute uncertainty on the BER (LR) is  $\sim 0.004$  sr $^{-1}$  ( $\sim 10$  sr) for a mean BER (LR) of  $0.020$  sr $^{-1}$  (50 sr). It decreases when the BER decreases.

The inversion of nadir lidar profiles acquired from the ULA is more difficult due to the noise level. For this reason, we have limited altitude excursions between 1 and 2 km a.m.s.l. The horizontal measurements of the elastic channel are inverted to retrieve the AEC within an absolute uncertainty of  $0.01$  km $^{-1}$  following Chazette and Totems (2017) and references therein. We consider a distance from the ULA between  $\sim 0.3$  and  $1.5$  km after correction of the overlap function for the calculations. The nadir measurements are inverted using the constraint brought by the horizontal laser shots and the BER derived from the ground-based lidar. We therefore assume that the aerosol typing does



**Figure 5.** Temporal evolutions of (a) the lidar-derived aerosol extinction coefficient (AEC) and the aerosol optical thickness (AOT); (b) the particle depolarization ratio (PDR), at the wavelength of 355 nm, from 14 to 15 May 2016.

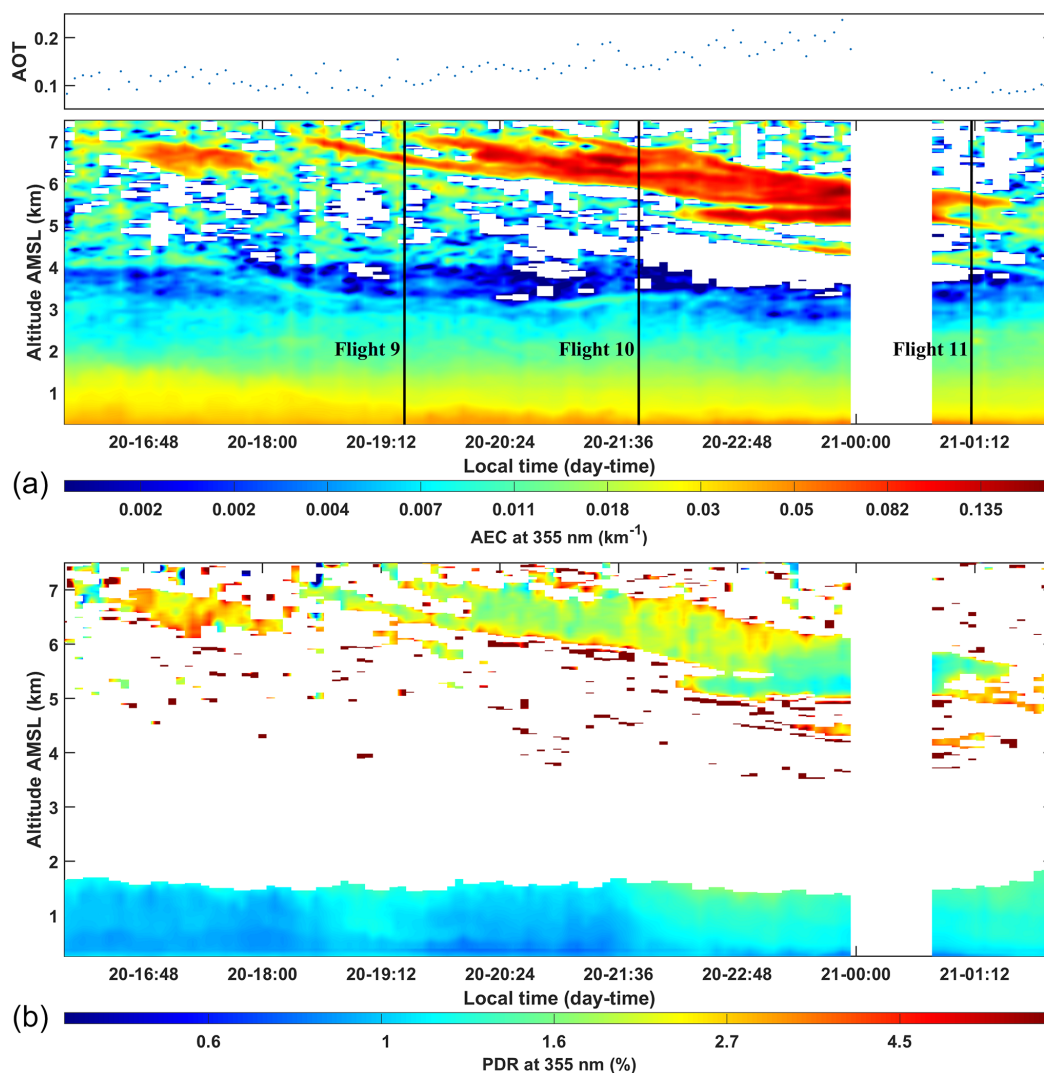
not change during the flight. Note that the N<sub>2</sub> Raman channel of the airborne lidar is too noisy to be relevant, mainly due to the loss of emitted energy at low ambient temperature.

### 3 Large-scale data

#### 3.1 Space-borne observations

Active and passive space-borne measurements were used to follow the aerosol plume transport. The horizontal dispersion of the aerosol plume and its progression along the transport are highlighted with MODIS (King et al., 1992; Salmonson et al., 1989) onboard the polar-orbiting platforms Terra and Aqua. We used a combination of the aerosol optical thickness (AOT) at 550 nm derived from the two satellites. The level 2 products are provided with a spatial horizontal res-

olution of 10 km<sup>2</sup> × 10 km<sup>2</sup> (<http://modis.gsfc.nasa.gov>, last access: 29 August 2018). The uncertainty on the AOT is  $\pm 0.15 \pm 0.05$  AOT over land and  $\pm 0.05 \pm 0.03$  AOT over ocean (Chu et al., 2002). The vertical structures of the aerosol layers over their sources are derived from Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) aboard Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO, <http://www-calipso.larc.nasa.gov>, last access: 29 August 2018; Winker et al., 2007). We have used the 4.10 version of CALIOP level 2 data. We mainly took into consideration the aerosol typing of Burton et al. (2015).



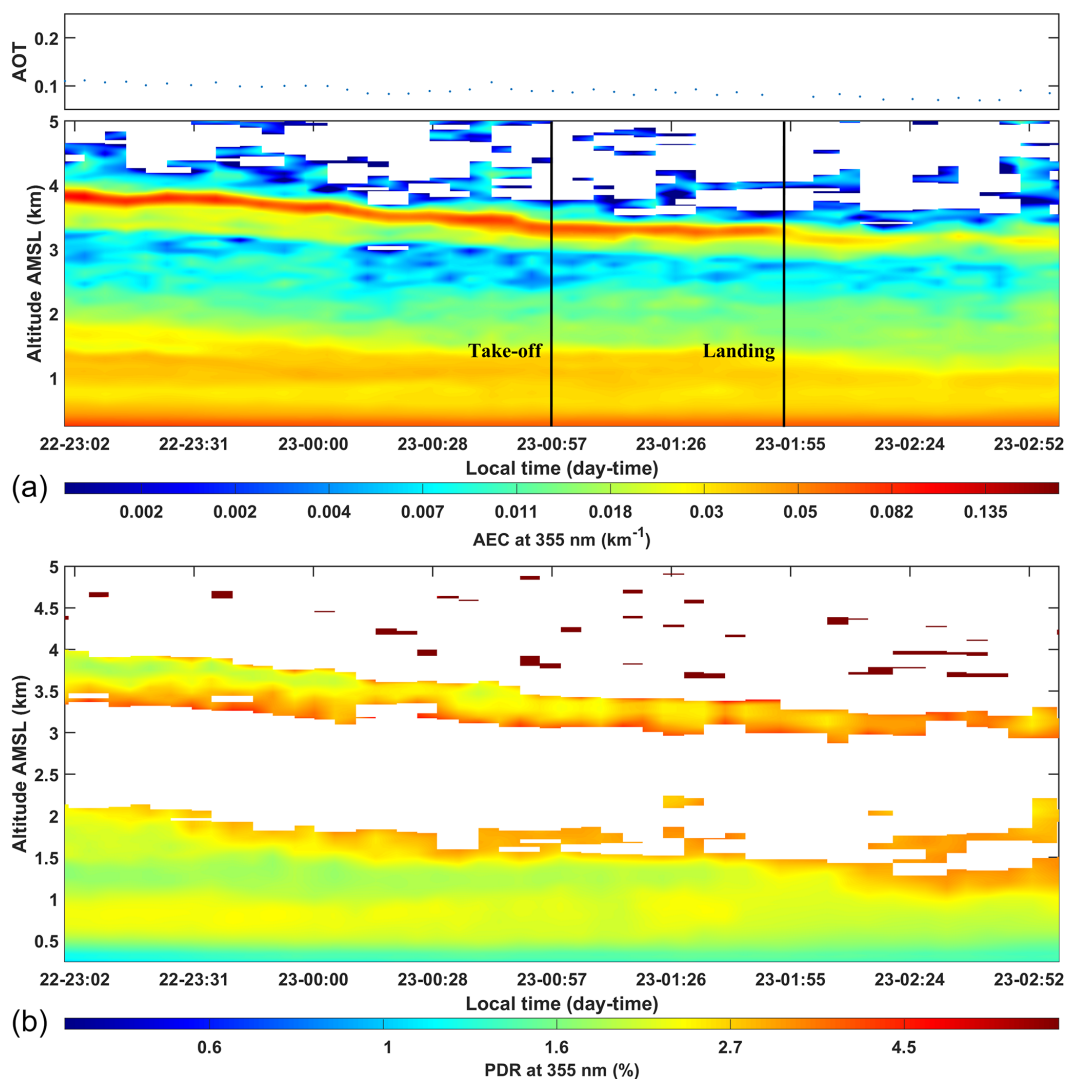
**Figure 6.** As in Fig. 5 but from 20 to 21 May 2016.

## 3.2 Modelling strategy

### 3.2.1 Weather model

The 3.5.1 version of the regional non-hydrostatic WRF model (Skamarock et al., 2008) has been used for weather simulations along the field campaign. The model was run from 7 to 28 May 2016, with a dynamical time step of 3 min on a polar stereographic grid almost encompassing the Northern Hemisphere ( $> 7^\circ$  N). The domain has  $300 \times 300$  grid points with a horizontal resolution of 50 km and 50 vertical levels up to 50 hPa, considered as the top-of-atmosphere pressure. The initial and boundary meteorological conditions for this hemispheric domain are provided by the 6-hourly operational analyses of the ECMWF IFS (Integrated Forecasting System) NWP (numerical weather prediction) model (Dee et al., 2011) from the European Centre for Medium-range Weather Forecasts

(ECMWF), with the support of the ESPRI (Ensemble de Services Pour la Recherche à l'IPSL, <https://www.ipsl.fr/Organisation/Les-structures-federatives/ESPRI>, last access: 29 August 2018) team. Nudging has been applied above the PBL to wind, temperature, and humidity fields, with an update time of 6 h. The parameterizations used are described in Raut et al. (2017) and Marelle et al. (2017). Briefly, the prognostic turbulent kinetic energy scheme of Mellor–Yamada–Janjić (MYJ) is used for the boundary layer, with the associated Janjić eta surface layer module (Janjić, 1994). Land surface processes are resolved using the Noah LSM (unified Noah land surface model; Chen and Dudhia, 2001). We have used the Morrison two-moment scheme (Morrison et al., 2009) to calculate cloud microphysical properties and grid-scale precipitation. Subgrid clouds are represented using the Kain–Fritsch with cumulus potential parameterization developed by Berg et al. (2013). The shortwave and longwave ra-



**Figure 7.** As in Fig. 5 but between 22 and 23 May 2016.

diation calculations are performed using the RRTMG scheme (Rapid Radiative Transfer Model for Global applications; Iacono et al., 2008).

### 3.2.2 Back trajectories

The Lagrangian particle dispersion model FLEXPART-WRF (Brioude et al., 2013) derived from the FLEXPART model (Stohl et al., 2005) is run in this study to investigate the origin and transport pathways of air masses bringing aerosols to Hammerfest. Three backward simulations are performed on 15 May, 05:00 UTC; 20 May, 20:00 UTC; and 22 May, 21:00 UTC to provide insight into the representation of aerosol transport to Scandinavia. In each of them, a total of 10 000 particles are released at Hammerfest in a volume of 50 km × 50 km and 1 km (200 m) thick for 15 and 20 May (22 May) centred on the aerosol plumes detected aloft. The

origin of each air parcel is then established using the meteorological fields simulated by WRF (Sect. 3.2.1). As transport durations are typically less than 9 days, this approach finally allows us to track the air mass origin over the source regions of interest. As a proxy to represent the source–receptor relationships, we use the PESs (potential emission sensitivities) that quantify the amount of time spent by the particles in every grid cell.

## 4 Aerosol observed in the Arctic troposphere

There are few clear-sky periods during the campaign, as is often the case over the studied area. The interesting periods are given in terms of AEC and PDR in Figs. 5–7 (14–15, 20–21, and 22–23 May 2016), in which outstanding high-altitude features are highlighted. The temporal evolutions of the AEC profile are given in local time (LT) corresponding to UTC+2.



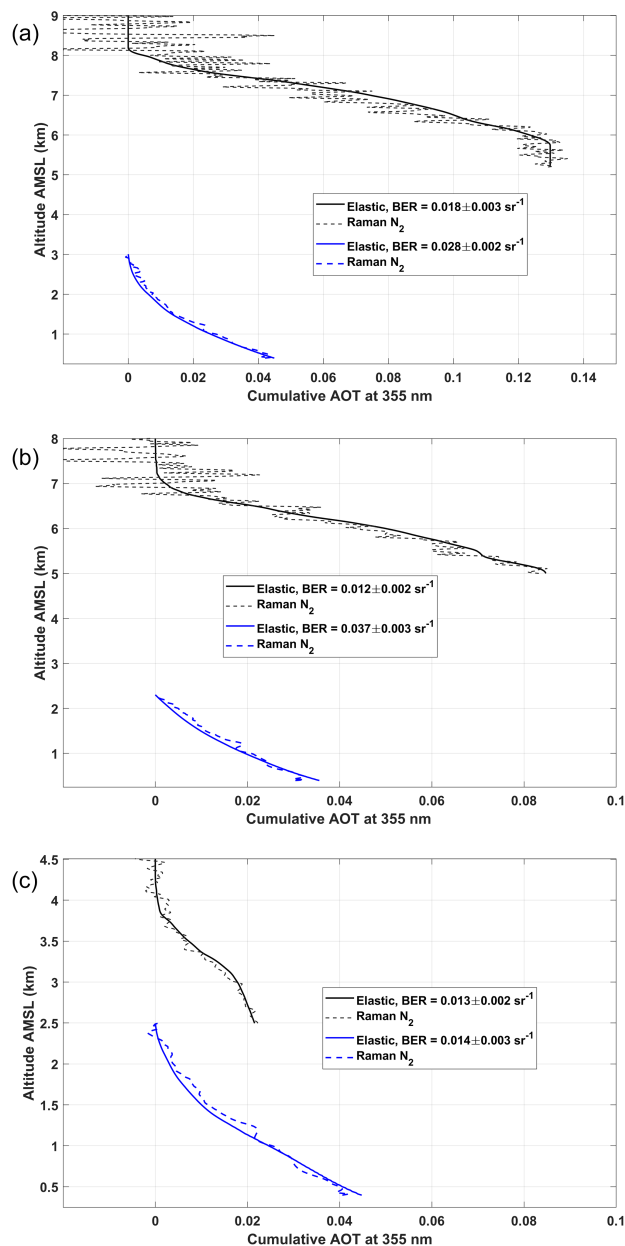
#### 4.1 Optical properties of aerosol layers derived from the ground-based lidar

The coupling between the elastic and the N<sub>2</sub> Raman channels is used to derive the BER for the different aerosol layers. The molecular contribution is corrected using the hourly vertical profiles of temperature derived from WRF and a classical modelling of the Rayleigh scattering (Bodhaine et al., 1999). The troposphere has been divided into two altitude ranges, as the lower and upper layers are not necessarily composed of the same aerosol types. The first aerosol layer is located between the ground level and  $\sim 2.5$ – $3$  km a.m.s.l. and the second one is above 3 km a.m.s.l. The retrieval of the BER for each layer and each measurement period is given in Figure 8. The correct estimate of the BER is obtained when the optical thickness derived from the elastic channel of the lidar is very close to that deduced from the N<sub>2</sub> Raman channel (Chazette et al., 2017).

On 14–15 May, the mean BER is  $\sim 0.018$  sr<sup>-1</sup> for the upper layer with a standard deviation of  $0.002$  sr<sup>-1</sup>, now noted  $\sim 0.018 \pm 0.002$  sr<sup>-1</sup> (LR  $\sim 55 \pm 6$  sr), whereas BER is  $\sim 0.028 \pm 0.003$  sr<sup>-1</sup> (LR  $\sim 36 \pm 4$  sr) in the lower troposphere (Fig. 8a). Due to the uncertainty linked to the overlap function, the sensitivity of the first 200 m, to which marine aerosols may significantly contribute, is less. Nevertheless, the higher value observed in the vicinity of the PBL is likely to be associated with a contribution of marine aerosols (BER  $\sim 0.04$  sr<sup>-1</sup> or LR  $\sim 25$  sr; Flamant et al., 1998a). The bottom layer depolarizes very slightly the lidar signal, with PDR  $< 3\%$ , and even highlights a lower signature ( $\sim 1.5\%$ ) after 02:30 LT. It may be due to a larger oceanic contribution, which leads to an increase in the AEC in the PBL ( $\sim 0.04$  km<sup>-1</sup>). The upper layer has slightly higher PDR values, of the order of 5%–6%. Within this range of PDR, the particles cannot be dust-like aerosols. Nonetheless, they are likely to be pollution or biomass burning particles transported toward the measurement site. The total AOT, without the upper layer, is close to 0.08 at 355 nm and increases up to  $\sim 0.2$  in the presence of the higher aerosol plume (Fig. 5).

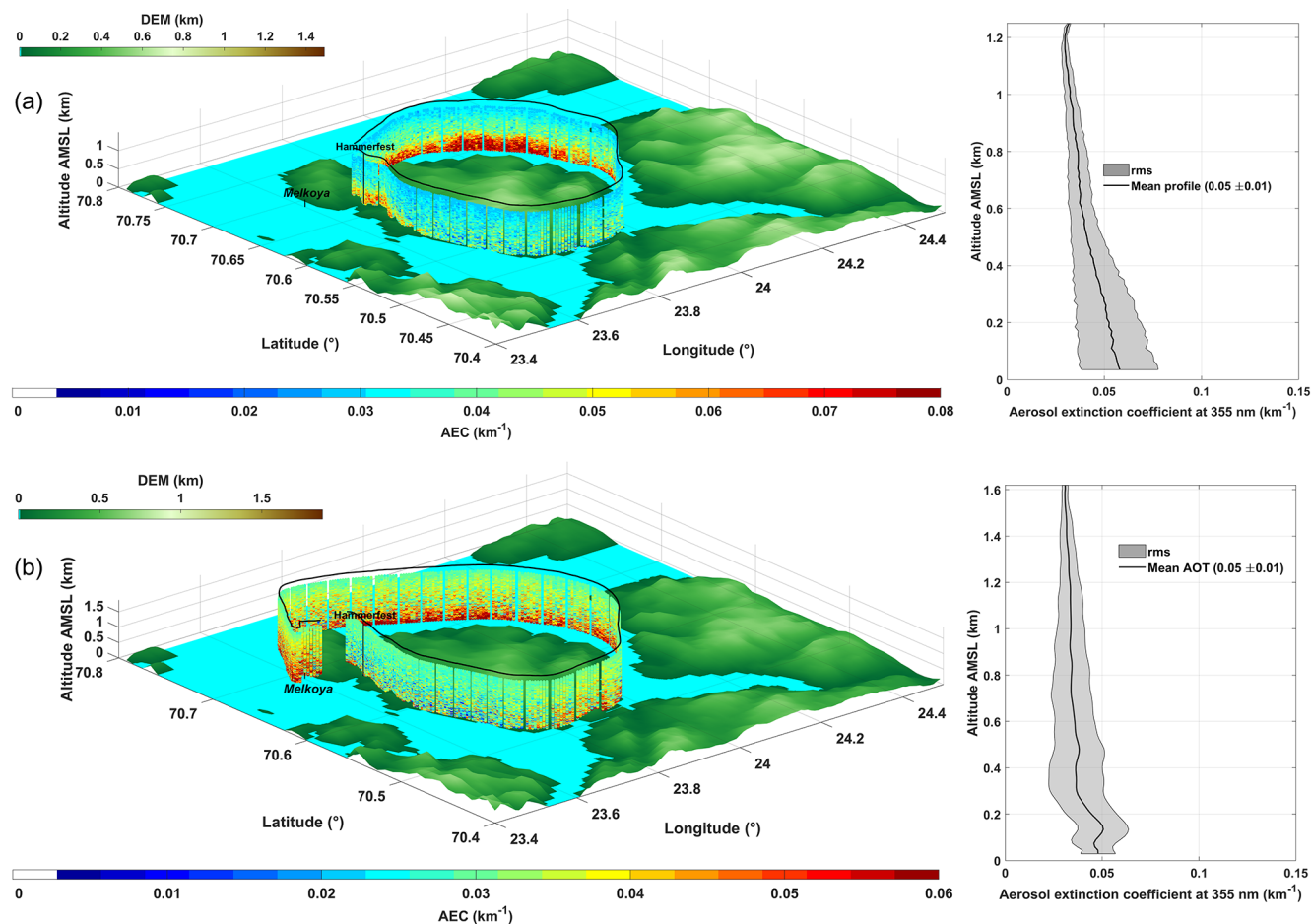
The BER is smaller,  $0.012 \pm 0.002$  sr<sup>-1</sup> (LR  $\sim 83 \pm 13$  sr) (Fig. 8b), for the upper layer on 20–21 May, a typical value expected for pollution and/or biomass burning aerosols. The PDR is also smaller with a mean value close to 1.5%. The aerosols in the lower troposphere exhibit a larger BER of  $0.037 \pm 0.003$  sr<sup>-1</sup> (LR  $\sim 27 \pm 2$  sr), demonstrating a strong influence of the oceanic sources. They are also associated with a small PDR,  $\sim 1\%$ . The AOT in the lower atmosphere is similar to the one on 14–15 May. The elevated aerosol plume presents an excess AOT close to 0.1 at its maximum (Fig. 6).

The third period of interest (22–23 May) shows a tiny plume in the middle troposphere, between 3 and 4 km a.m.s.l. (Fig. 7), with a very small AOT excess ( $\sim 0.03$ ). The BER (Fig. 8c) and PDR are similar to the ones of 20–21 May,  $0.013 \pm 0.002$  sr<sup>-1</sup> (LR  $\sim 77 \pm 12$  sr) and  $\sim 2\%$ , respectively.

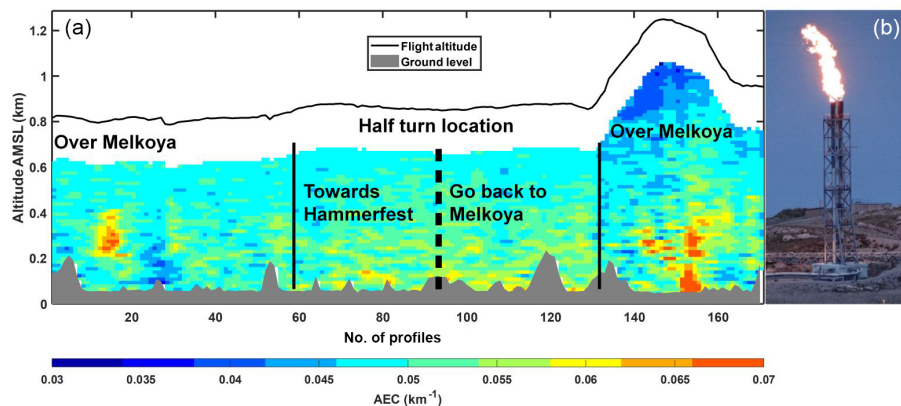


**Figure 8.** Cumulative aerosol optical thickness (AOT) derived from both the N<sub>2</sub> Raman (dashed line) and the elastic (continuous lines) channels for the upper (black lines) and the lower (blue line) aerosol layers at 355 nm: (a) 14–15 May, (b) 20–21 May, and (c) 22–23 May.

The layer underneath is less influenced by marine aerosol and shows a BER close to  $0.014 \pm 0.003$  sr<sup>-1</sup> (LR  $\sim 71 \pm 15$  sr), more characteristic of polluted particles. Nonetheless, the layer under 400 m a.m.s.l. is more difficult to sample by the lidar and may contain a significant contribution of marine aerosols, as suggested by the slight decrease in PDR (Fig. 7b).



**Figure 9.** Vertical profiles of the aerosol extinction coefficient (AEC) derived from the lidar onboard the ULA: (a) flight 10 and (b) flight 11. The mean AEC vertical profiles and their dispersions are given in the graphs on the right. As in Fig. 4, the flights are plotted over the digital elevation model (DEM) GTOPO30.



**Figure 10.** (a) Vertical profile of the aerosol extinction coefficient (AEC) during flight 4 (16 May, 22:39–23:24 LT) dedicated to the sampling of the Melkøya flare at 355 nm. (b) Flare sampled by the airborne lidar over the Melkøya platform.

## 4.2 Homogeneity of aerosol layers within the lower troposphere

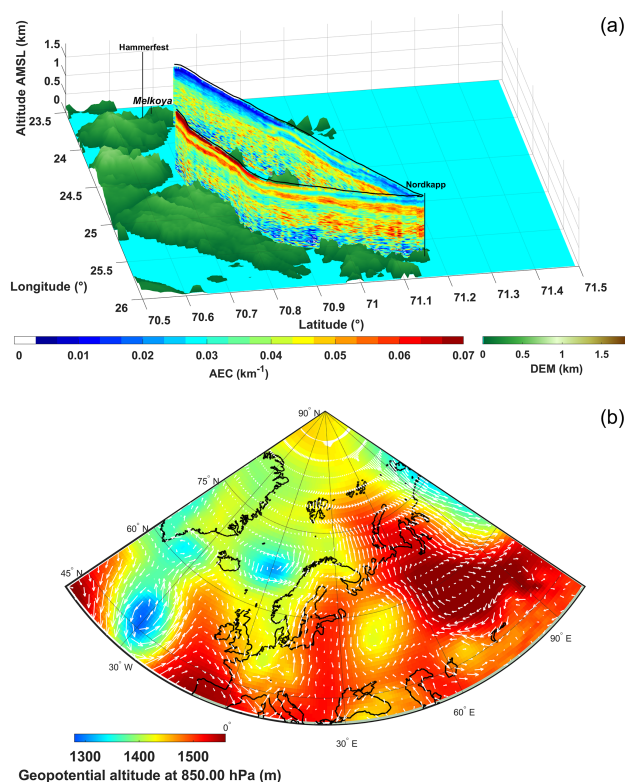
The lidar-derived aerosol optical properties in the lower troposphere look like homogeneous structures that can be related to the specific situation of the ground-based site. Different sources of aerosols may influence the PBL, the main ones being marine aerosols and anthropogenic aerosols generated in the Hammerfest region (domestic combustion, industrial activity, shipping emissions). To verify the representativeness of the local measurements, we used lidar measurements from the ULA.

### 4.2.1 Marine contribution

The AECs retrieved for flights 10 and 11 are given in Fig. 9 with the mean vertical profiles between the ground level and the ceiling flight altitude in both cases; AOTs are low with a small variability of the order of  $0.05 \pm 0.01$ . Higher AECs are observed in the northeastern part of the flights (red areas). Because we did not detect many ships in this area, those AEC enhancements are probably due to sea salts. They may be transported over the nearby coast as the result of the interactions between wind surface and sea (Blanchard and Woodcock, 1980; Flamant et al., 1998b). We note that local pollution is missing altogether.

### 4.2.2 Gas flaring contribution

The proximity of the gas rig from the Melkøya facility suggests the presence of an industrial source of aerosol and needed to be quantified. The lowest chimney ( $\sim 46$  m,  $70^{\circ}41'20''$  N,  $23^{\circ}35'59''$  E) of the Melkøya site used for the low-pressure flare was regularly active during the field experiment and more especially on 16 May (flight 4). The flare (Fig. 10b) at the time of sampling was  $\sim 20$  m above the chimney, with a width of  $\sim 5$  m. On that day, flare smoke presented a blackish colour because some hard hydrocarbons (condensate) were present in flare gas. The flight pattern shown in Fig. 4 is elongated in Fig. 10 using the profile number for the sake of clarity. The locations of the ULA when it was close to the flare are highlighted (profile nos.  $\sim 18$  and  $\sim 154$ ) and correspond to the higher AEC of  $\sim 0.07$  km $^{-1}$ . For the second pass, the flare plume is detected from its emission source. The contribution of this flare emission to the AOT is low,  $\sim 0.02$  at 355 nm for a total AOT between the ground level and 1 km a.m.s.l. of  $\sim 0.04$ . The calculation has been performed with a BER of  $\sim 0.037$  sr $^{-1}$  (LR  $\sim 27$  sr) and may be underestimated by a factor of 2, as experimental means for a better constraint do not exist. The aerosol particles may age in different ways. These processes depend on the initial chemical composition, which will lead to the coagulation and/or the adsorption of gaseous molecules on the surface of the existing aerosols. In general, this process is quite fast and occurs when relaxing in the atmosphere, i.e.



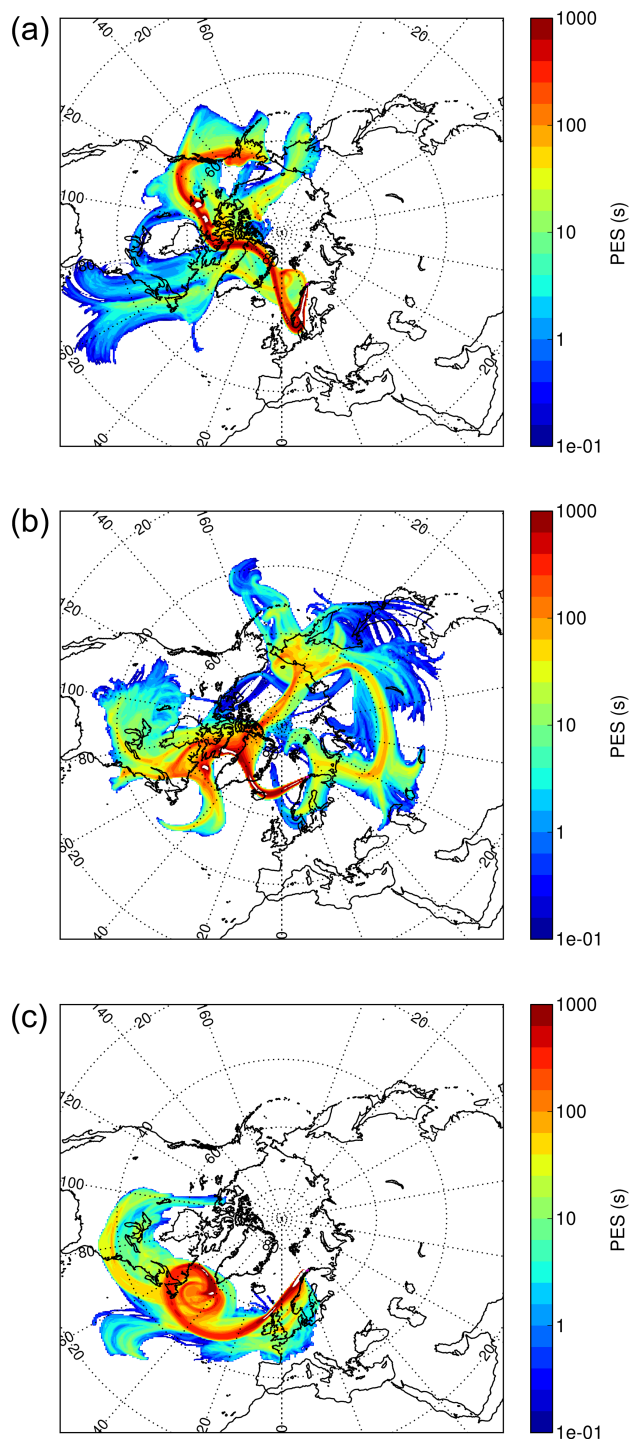
**Figure 11.** (a) Vertical profiles of the aerosol extinction coefficient (AEC) derived from the lidar onboard the ULA for flight 13 on 22 May, 21:38–23:58 UTC. As in Fig. 4, the flights are plotted over the digital elevation model (DEM) GTOPO30. (b) Geopotential altitude for the pressure level of 850 hPa ( $\sim 1.6$  km a.m.s.l.) at 00:00 UTC on 23 May 2016. The wind field at 850 hPa is also indicated in white arrows.

at the exit of the chimney. The particles thus formed may be more or less reactive and more or less hygroscopic. Their size distribution, as well as their complex refractive index, can change, especially in the presence of relative humidity greater than 50–60 (Randriamiarisoa et al., 2006). They can therefore become more scattered and generally less absorbent. The AOT may thus increase during aging in the atmosphere. We cannot provide more insight about this phenomenon because of the lack of in situ chemical analysis during the field experiment. Nevertheless, we note that taken individually, this phenomenon is a small contribution to the local pollution (representing half of the aerosol background in the first kilometre) and it is very localized in space.

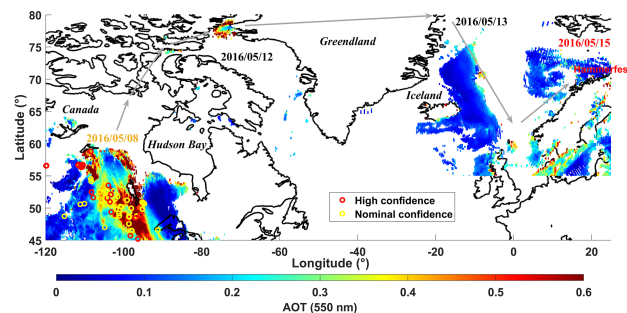
### 4.2.3 Northern contribution

During the duration of the experiment, we did not observe any specific contribution to the aerosol load in the lowest troposphere above the PBL. An exception was for flight 13 on 22 May, 21:38–23:58 UTC, which was the longest flight we performed. The vertical profiles of the derived AEC follow-





**Figure 12.** The 9-day back trajectories for the upper aerosol plume observed over Hammerfest on (a) 14–15 May, (b) 20–21 May, and (c) 22–23 May 2016. The back trajectories are given in terms of potential emission sensitivity (PES).



**Figure 13.** MODIS-derived aerosol optical thickness (AOT) at 550 nm for three different days and locations. The dates are indicated in the figure. The thermal anomalies derived from the MODIS fire product are also given on 8 May 2016, corresponding to the origin of the studied aerosol plume. The route followed by the biomass burning plume is represented by grey arrows. It begins on 8 May and finishes on 15 May.

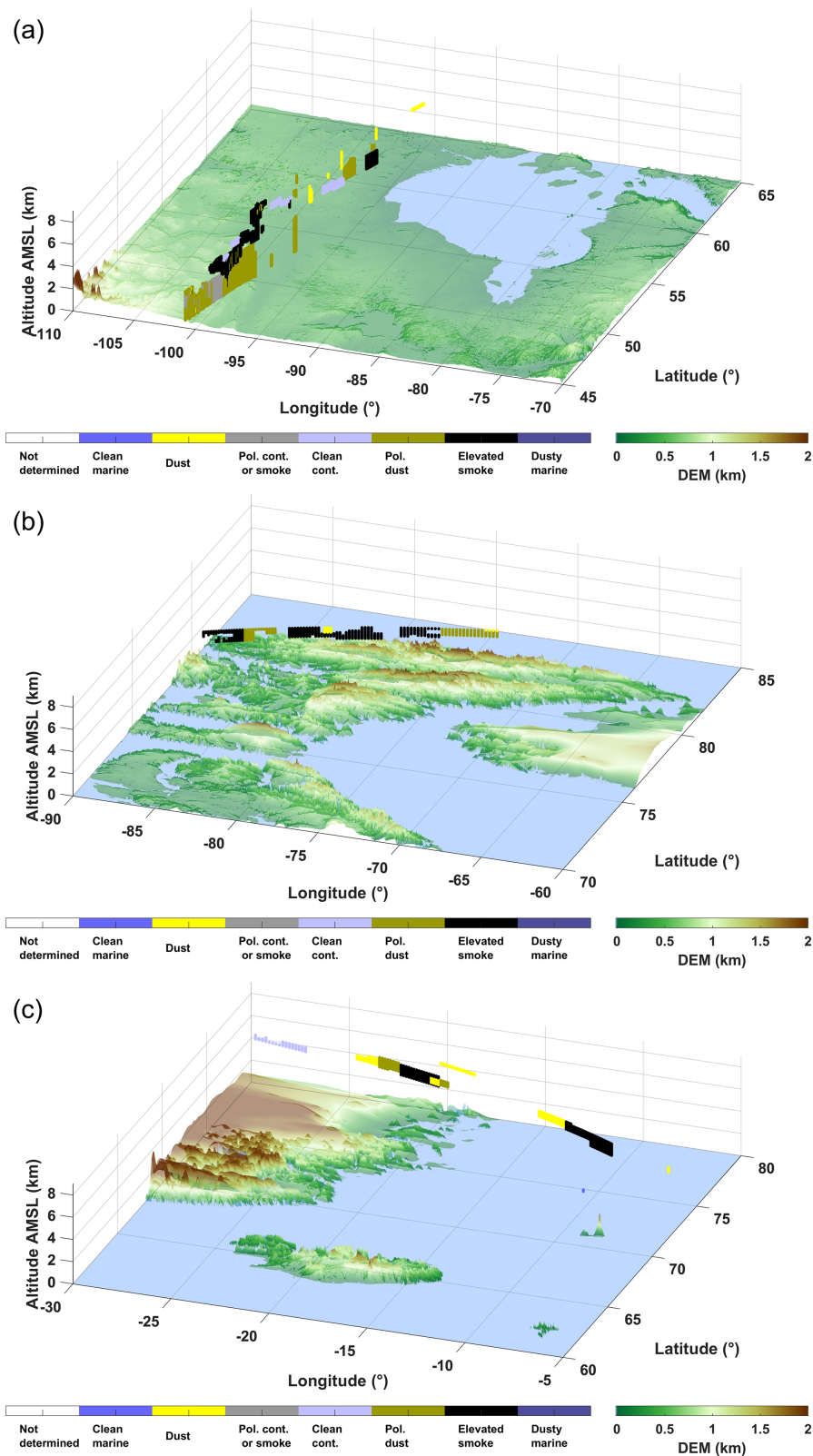
ing this flight are plotted in Fig. 11a. In the first part of the flight, we note an increase in the AEC close to the ceiling altitude of  $\sim 1.7$  km a.m.s.l. with values of over  $0.07 \text{ km}^{-1}$ . Similar values are measured throughout the flight above the PBL (in red in Fig. 11a). The AOT is  $\sim 0.06$  above the continent and decreases above the ocean ( $\sim 0.04$ ). The means of constraint are also limited in this case because the signal-to-noise ratio for the  $\text{N}_2$  Raman channel was not high enough and a BER of  $0.014 \text{ sr}^{-1}$  ( $\text{LR} \sim 71 \text{ sr}$ ), initially derived from the ground-based lidar, has been used. The measurements performed during the flight whilst aiming horizontally are also used as constraints. The aerosol layer has been identified as coming from the Murmansk region, Russia. The air mass moves along the coast from east to west, drawn by a low off the Norway coast along the Greenwich meridian. This low is clearly visible in the Fig. 11b and is responsible for the air mass curvature before its northward motion towards Hammerfest and the North Cape.

## 5 Origin of the upper tropospheric aerosol plumes

To investigate the origin of the three upper aerosol layers, 9-day back trajectories have been performed using FLEXPART-WRF and constrained by the meteorological fields simulated by WRF over the Arctic region. The results are given in terms of PES in Fig. 12. These simulations are compared, where possible, with the MODIS and CALIOP space observations to confirm the result.

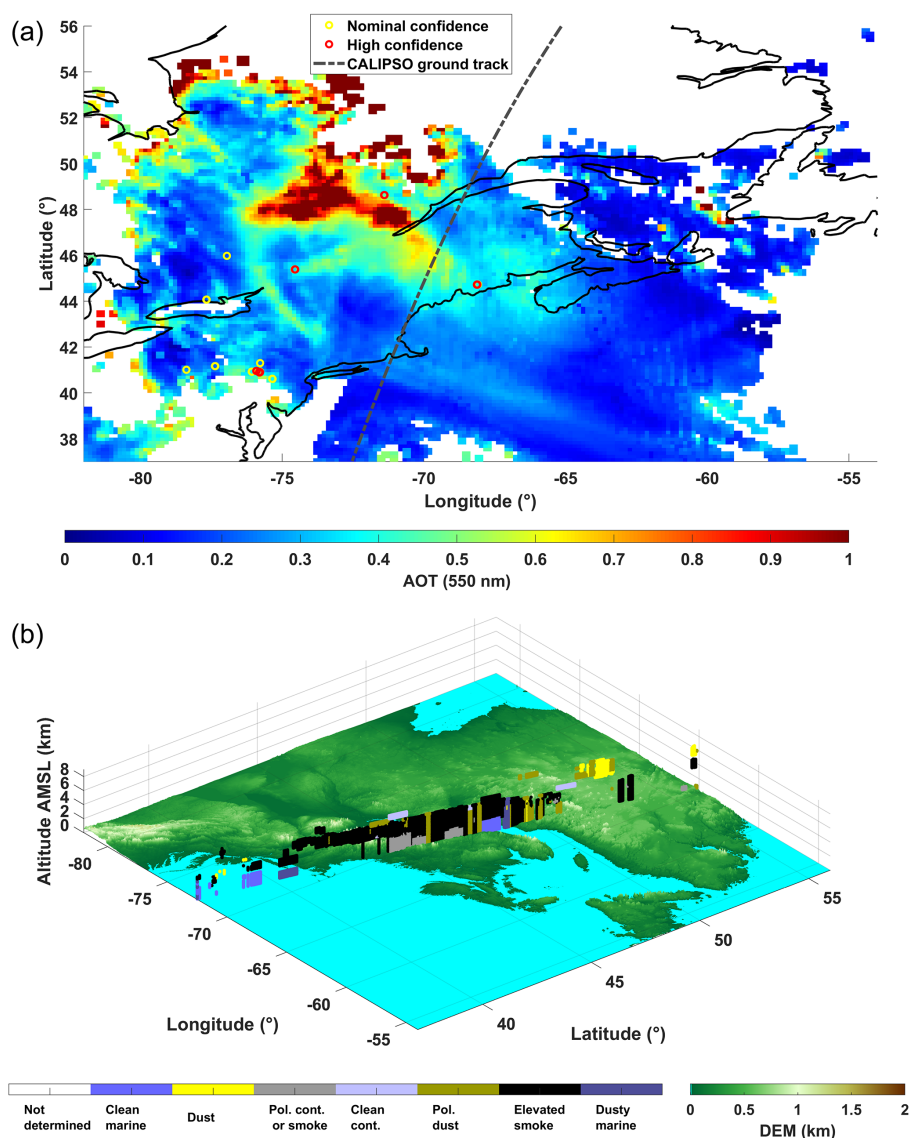
### 5.1 Aerosol plume on 14–15 May

On 8–9 May, an aerosol plume was injected in the higher troposphere following the strong forest fires which occurred close to Fort McMurray ( $56.72^\circ \text{ N}$ ,  $111.38^\circ \text{ W}$ , northeastern Alberta, Canada). As shown in Fig. 13, the aerosol plume



**Figure 14.** CALIOP-derived aerosol typing for (a) Fort McMurray on 8 May (orbit 2016-05-08T08-56-27ZN), (b) the Baffin Sea on 12 May (orbit 2016-05-12T09-18-30ZD), and (c) the Greenland Sea on 13 May (orbit 2016-05-13T11-40-43ZD), corresponding to the plume identified by MODIS in Fig. 13.

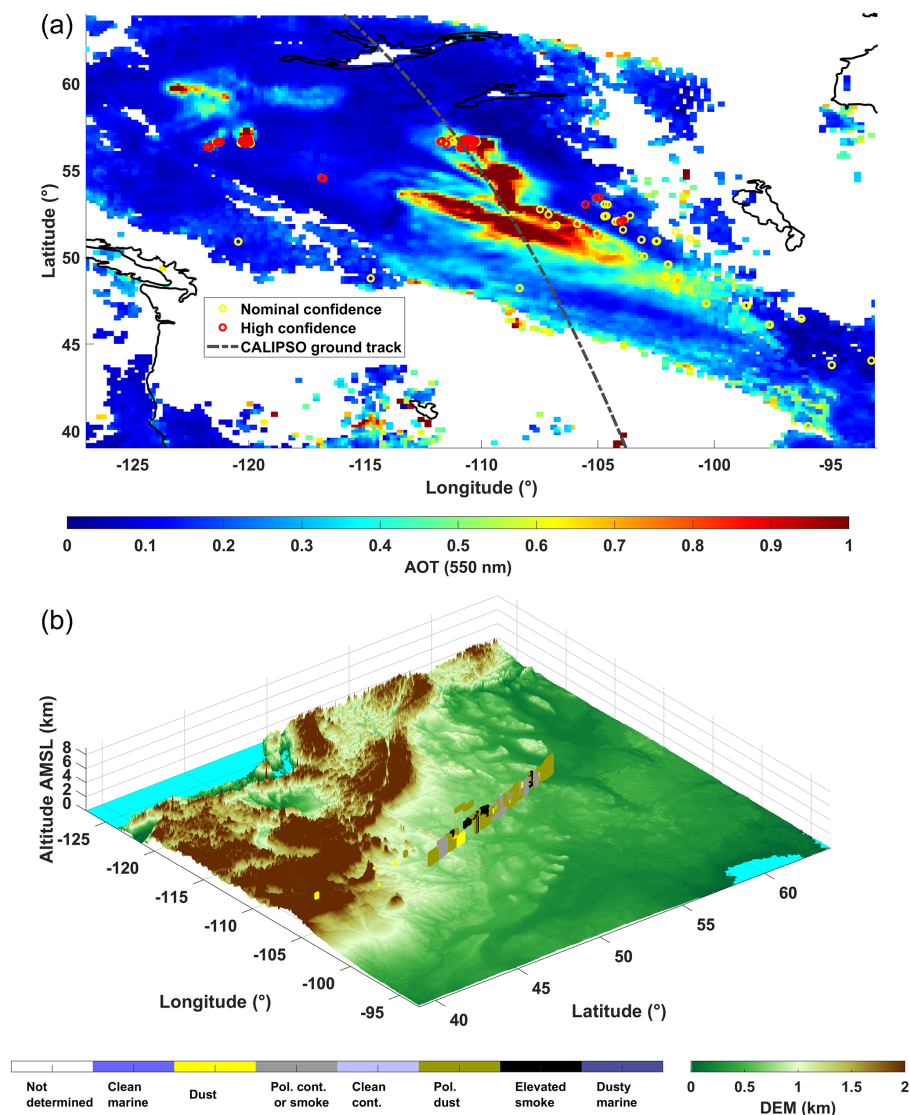




**Figure 15.** (a) MODIS-derived aerosol optical thickness (AOT) at 550 nm and thermal anomalies on 8 May 2016; (b) CALIOP-derived aerosol typing (orbit 2016-05-12T06-53-10ZN). The CALIPSO ground track is indicated in (a).

has been sampled by MODIS on 8 May, with an AOT larger than 0.4 at 550 nm. In the same figure, the thermal anomalies derived from MODIS are also given for both the nominal and the high confidence levels. The aerosol typing derived from CALIOP is plotted in Fig. 14a. It confirms the injection of biomass burning aerosols between 6 and 7 km a.m.s.l. The plume then moves northwest of Hudson Bay and reaches Baffin Sea on 12 May. It then crosses northern Greenland and goes on to cross the Greenland Sea on 13 May. A pronounced northerly flow finally brings the plume to Hammerfest, bypassing the low-pressure system located off Norway and responsible for the plume curvature. Elevated smoke aerosols are identified by CALIOP over the Baffin Sea and Greenland Sea as shown in Fig. 14b and c, respectively.

We observed a similar transport of biomass burning aerosol over the Mediterranean Sea, leading to a BER of  $0.025 \text{ sr}^{-1}$  ( $\text{LR} \sim 40 \text{ sr}$ ) (Chazette et al., 2016) higher than the one retrieved here ( $\sim 0.018 \pm 0.002 \text{ sr}^{-1}$ ,  $\text{LR} \sim 56 \pm 6 \text{ sr}$ ). There is no reason for a typical BER value for biomass burning aerosols. Indeed, the BER is highly dependent on the chemical composition of aerosols via the complex refractive index but also on their size distribution. Furthermore, both size distribution and chemical composition of biomass burning aerosols depend on the type of combustion and the intensity of the fire. Moreover, aerosols age during transport. Hence, a wide range of BER values is likely for biomass burning aerosol after long-range transport (Amiridis et al., 2009).



**Figure 16.** (a) MODIS-derived aerosol optical thickness (AOT) at 550 nm and thermal anomalies on 15 May 2016; (b) CALIOP-derived aerosol typing (orbit 2016-05-15T19-42-56ZD). The CALIPSO ground track is indicated in (a).

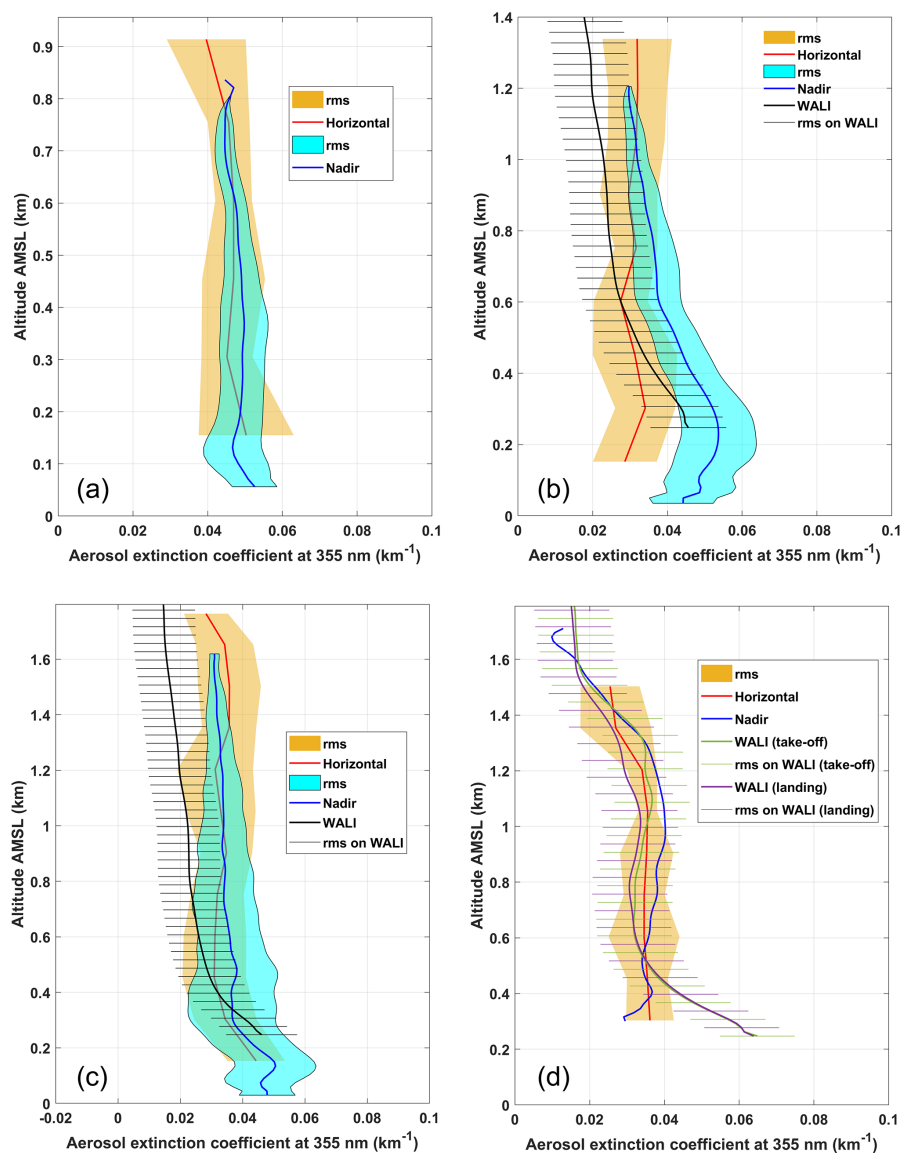
## 5.2 Aerosol plume on 20–21 May

As for the previous aerosol plume, the origin seems to be from Canada. The back trajectories show potential contributions from Russia, but checking the space-borne observations corresponding with the potential plume location, we do not identify any forest fires or anthropogenic emissions. The Canadian origin could not be clearly established from MODIS observation due to strong cloud cover. A large plume ( $AOT > 0.8$ ) is found over the St. Lawrence region on 12 May (Fig. 15a) and corresponds to the transport of air masses along the back trajectories. Continuing the back trajectories, the Fort McMurray area, where forest fires have persisted, also appears to be the main source. An orbit of CALIPSO passes over the eastern part of the plume on

12 May and shows that it is mainly composed of elevated smoke aerosols from Canada (Fig. 15b). The BER that has been found ( $0.012 \text{ sr}^{-1}$ ,  $LR \sim 73 \text{ sr}$ ) can also be attributed to biomass burning aerosols. However, given the possible values, it is not a criterion.

## 5.3 Aerosol plume on 22–23 May

The origin of this last aerosol plume is more easily identified to be Canada, also in the area of Fort McMurray, on 15 May. The aerosol plume emitted by the forest fires is well circumscribed by MODIS with AOTs greater than 1 (Fig. 16a). The locations of the fires are also indicated by the thermal anomaly. The CALIPSO orbit passes just above the plume and offers the possibility to characterize the aerosols as el-



**Figure 17.** Vertical profiles of the AEC derived from the airborne and ground-based lidars for times corresponding to (a) flight 4, (b) flights 10 and 11, and (d) flight 13.

evated smoke, polluted continental particles, or smoke and polluted dust (Fig. 16b). As for the aerosol plume on 20–21 May, the same remark can be made for the derived BER of  $0.013 \text{ sr}^{-1}$  ( $\text{LR} \sim 77 \text{ sr}$ ).

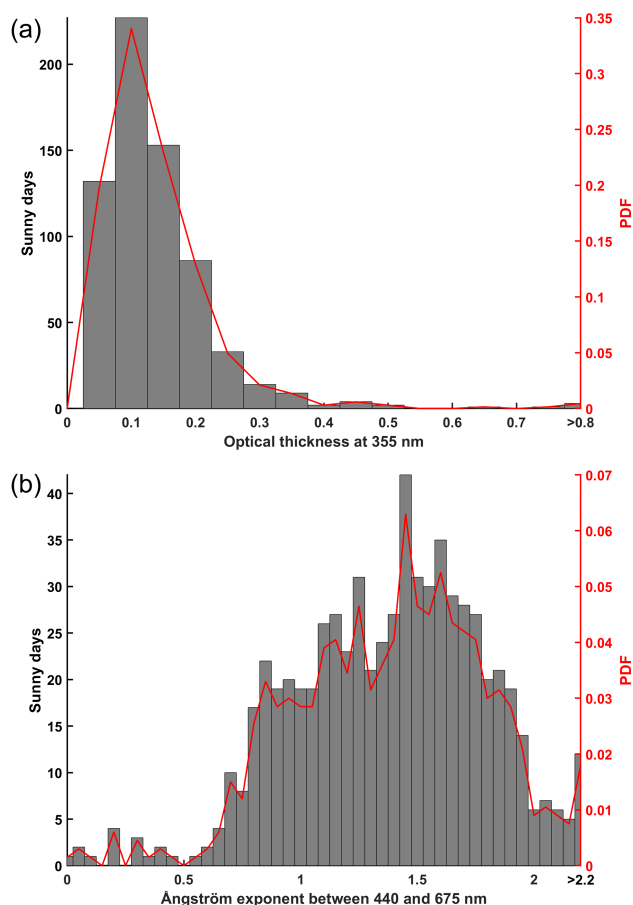
## 6 Data coherence

### 6.1 Coherence on the vertical profiles

For higher altitude aerosol layers, we do not have any airborne observations to check the consistency of the results with the lidar embedded on the ULA. Nonetheless, we have that possibility for the lower troposphere. Figure 17 shows

the comparison between different approaches to retrieve the AEC vertical profile within the first 2 km of the atmosphere. Horizontal and nadir line-of-sight measurements performed from the ULA are compared for the four flights considered. We consider the closer 10 nadir profiles from the location of the spiral ascent (or descent). In all the cases, the AEC profiles derived from the different approaches are all in agreement within  $0.01 \text{ km}^{-1}$  of uncertainty.

On 16 May, ground-based lidar data are not available due to low cloud cover. For the three other days, the 20 profiles closer in time to the airborne lidar profiles are considered. They are plotted with a solid line, together with their error bars in Fig. 17b–d. For flights 10 and 11 a slight underestimation is noted, but error bars overlap (within  $\sim 0.01 \text{ km}^{-1}$ ).



**Figure 18.** Histograms of (a) the aerosol optical thickness at 355 nm and (b) the Ångström exponent between 440 and 675 nm for the AERONET station of Andenes (69° N, 16° E). The data are for the clear days between 2008 and 2016. The probability density functions (PDFs) are also given.

The WALI-derived AEC profile is a better match with the ones derived from the airborne lidar for flight 13, except in the PBL where they highlight a larger AEC. Such a discrepancy may be due to the fact that measurements from the ULA were mainly performed over the ocean (Fig. 11a). Note that the AEC profile derived from nadir measurement is not drawn with its RMS to lighten the figure, knowing that it is like that of other flights.

## 6.2 Coherence on the aerosol optical thickness

Lidar-derived AOTs are checked against a SOLAR Light<sup>®</sup> Microtops II manual sun photometer. The measurements were performed in clear-sky conditions during the three observation periods presented in Table 3. Measurements have not been continuous since they have been carried out alternatively with lidar observations. On 13 and 14 May, mean AOT at 355 nm of  $0.059 \pm 0.005$  is derived and matches very well the value retrieved from lidar measurement outside the upper

aerosol plume. In the same conditions, we report AOTs of  $0.084 \pm 0.005$  and  $0.073 \pm 0.005$  on 19 and 20 May, respectively. Note that manual solar targeting induces an additional non-systematic bias, which leads to an absolute uncertainty assessed to be of the order of 0.03 when comparing with simultaneous measurements by an automated sun photometer before the field campaign.

We note a low background AOT over Hammerfest, which is between 0.06 and 0.08 at 355 nm ( $\sim 0.04 \pm 0.01$  at 550 nm). Such a value appears to match the one derived from the available MODIS data leading to  $\sim 0.05 \pm 0.06$  during the entire field campaign. To consider a longer time frame, we give the histograms of AOT and Ångström exponent from 2008 to 2016 for the closer AERONET station of Andenes (69° N 16° E,  $\sim 320$  km southwest of Hammerfest) in Fig. 18. The mean AOT at 355 nm is lower than 0.1 with a standard deviation of  $\sim 0.5$ . The Ångström exponent is very variable, mainly between 0.5 and 2, due to long-range-transport aerosol (anthropogenic pollution, biomass burning, and Saharan dust) originating in central and eastern Europe (Rodríguez et al., 2012). Note that the Ångström exponent derived from the manual sun photometer is between 1.2 and 1.7, when considering the wavelengths of 380 and 500 nm.

## 7 Conclusion

This work sheds light on the abundance of aerosols in late spring over the European Arctic. During the PARCS field campaign, from 13 to 26 May 2016, we collected an original dataset of remote-sensing measurements performed with ground-based and airborne (ULA) lidars. We evidenced three cases of aerosol long-range transport over 2 weeks, originating from the Fort McMurray area, where strong forest fires occurred. They followed different pathways to reach northern Norway, but they significantly increased the AOT by a factor of up to  $\sim 2$ . The AOT was enhanced from a background value of  $\sim 0.08$  ( $\sim 0.05$ ), if not less, to  $\sim 0.2$  (0.12) at the wavelength of 355 nm (550 nm). This may imply a strong influence of long range transport of biomass burning aerosols on the radiative budget over the Arctic area.

In the lower troposphere, below 3 km a.m.s.l., the aerosol load is weak and corresponds to the previously observed background value. In Hammerfest, airborne lidar measurements have shown a strong homogeneity of the PBL. The main causes inducing a heterogeneity are (i) the marine aerosol production, which is a function of the surface wind speed, (ii) the advection of northern air masses from industrial sites in Russia (Murmansk region), and (iii) the contribution of the Melkøya facility flares. We noted a very local effect of the active low-pressure flare, with an enhancement close to 0.02 of the AOT at 355 nm. The effect on the environment therefore appears to be weak. Because this plant is rather isolated, extending the conclusions to larger oil and

gas rigs like those identified in Fig. 1 is hardly possible and would be purely speculative.

From an experimental perspective, the coupling between ground-based and airborne lidar measurements proved to be essential for data analysis. The lidar systems are complementary and the coupled approach allows confirmation of the results. With ULA flights, however, we remain in the vicinity of the ground station and flights with larger carriers would be more suited to the regional scale. Nevertheless, one would lose in flexibility of execution and in repetitiveness of measurement, inevitably limited by the cost of the flights.

*Data availability.* Data from the PARCS Hammerfest campaign can be downloaded from the <https://www4.obs-mip.fr/parcs/database/database> upon request to the first author of the paper.

*Author contributions.* PC conceived, managed, and participated in the experiment, analysed the Raman lidar data, developed the algorithm, and wrote the paper; JCR conceived and performed the experiment, computed the back trajectories, and participated in the paper editing; JT performed the experiment and participated in the paper editing.

*Competing interests.* The authors declare that they have no conflict of interest.

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