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Evolution in H_2O contents during deformation of polycrystalline quartz: An experimental study

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EVOLUTION IN H₂O CONTENTS DURING DEFORMATION OF POLYCRYSTALLINE QUARTZ: AN EXPERIMENTAL STUDY

3 ABSTRACT

Shear experiments were performed in a Griggs-type apparatus at 800°C and 1.5GPa, at a 4 strain rate of 2.1 x 10^{-5} s⁻¹ using different starting materials: (i) Powder (grain size 6-10µm) of 5 dry Brazil quartz with 0.15wt% added H₂O, (ii) "dry" Brazil quartz porphyroclasts (grain size 6 7 $\sim 100-200 \mu$ m), devoid of fluid inclusions embedded in the same fine grained powder, and (iii) 8 "wet" porphyroclasts (grain size ~100-200µm), containing initially a high density of µm-scale 9 fluid inclusions embedded in the same powder. After hot pressing, samples were deformed to large shear strains (γ ~3 to 4.5), in order for the microstructures and H₂O distribution to 10 11 approach some state of "equilibrium". The H₂O content and speciation in quartz were analyzed by Fourier Transform Infra-Red (FTIR) spectroscopy before and after the 12 experiments. Mechanical peak strength is generally lower in experiments with 100% hydrated 13 14 matrix, intermediate in experiments incorporating wet porphyroclasts (with a proportion of 30 or 70%) and highest in those with dry porphyroclasts. All experiments with porphyroclasts 15 show pronounced strain weakening, and the strengths of most samples converge to similar 16 17 values at large strain. Wet porphyroclasts are pervasively recrystallized during deformation, while dry porphyroclasts recrystallize only at their rims and remain weakly deformed. 18 Recrystallization of the initially fluid-inclusion-rich porphyroclasts results in a decrease in 19 inclusion abundance and total H₂O content, while H₂O content of initially dry clasts increases 20 21 during deformation. H₂O contents of all high strain samples converge to similar values for 22 matrix and recrystallized grains. In samples with wet porphyroclasts, shear bands with high porosity and fluid contents develop and they host the precipitation of euhedral quartz crystals 23 24 surrounded by a free-fluid phase. These high porosity sites are sinks for collecting H₂O in excess of the storage capacity of the grain boundary network of the recrystallized aggregate. 25 26 The H₂O storage capacity of the grain boundary network is determined as a H₂O-boundaryfilm of ~0.7 nm thickness. 27

28 1. INTRODUCTION

29 Quartz weakening by H₂O is well known since the seminal papers by Griggs and Blacic

30 (1965) and Griggs (1967). In natural rocks, it is tacitly assumed that quartz is sufficiently

- 31 "wet", because quartz usually is one of the weakest components in plastically deformed rocks.
- 32 However, some recent observations have described potentially drier natural rocks, which are

deformed (Fitzgerald et al., 2006, Menegon et al., 2011, Kilian et al., 2016). On the other

34 hand, there is clear evidence for shear zones involving material initially rich in H_2O

35 (principally in the form of fluid inclusions), such as metasediments along the subduction plate

36 interface (Palazzin et al., 2016; Raimbourg et al., 2018; Raimbourg et al., 2015, Saffer and

37 Tobin, 2011), and the final deformed rocks do not necessarily indicate high H₂O contents.

38 Thus, the introduction or reduction of H₂O in quartz in natural rock deformation remains one

39 of the great unknown parameters.

40 As pointed out by Griggs (1967), the H₂O weakening effect in quartz may combine different

41 elementary processes, which all contribute to enhance plastic deformation. One process is

42 related to the enhanced intra-crystalline plasticity of quartz. It has been extensively studied in

43 single crystals, most of them synthetic (Griggs, 1967, 1974; Griggs and Blacic, 1965;

44 Kekulawala et al., 1978, 1981; McLaren et al., 1983; McLaren et al., 1989). The microscopic

45 weakening phenomenon may eventually depend on the hydrolysis of Si-O bonds in the cores

46 of dislocations (Griggs, 1974), on enhanced climb of dislocations (Cordier and Doukhan,

47 1989; Mainprice and Jaoul, 2009; Tullis and Yund, 1989), or involve the increased dislocation

48 nucleation at nano-scale H₂O clusters acting as dislocation sources (Fitz Gerald et al., 1991;

49 McLaren et al., 1989). H₂O, in the form of molecular H₂O is most efficient to weaken quartz

50 single crystals (e.g., Paterson, 1989, Kekulawala et al., 1978, 1981). However, the growth of

51 nano-scale clusters of H_2O to form larger fluid inclusions, resulting from heat treatment,

52 increases quartz strength (Kekulawala et al., 1978): milky quartz containing a large

53 concentration of H_2O confined in μ m-scale fluid inclusions is stronger than synthetic crystal

54 with a much lower H_2O concentration. The effect of OH structural defects on deformation is

unclear but appears limited (Doukhan and Trépied, 1985; Paterson, 1989; Kronenberg, 1994;

56 Cordier et al., 1994). However, in a given quartz material, the weakening effect increases with

57 the H₂O content (Griggs, 1967, Blacic and Christie, 1984, Kronenberg and Tullis, 1984,

58 Cordier and Doukhan 1989, Hirth and Tullis 1992, Den Brok et al., 1994, Stunitz et al., 2017).

Another elementary process, proposed by Griggs (1967) as a cause of quartz hydrolytic weakening, is recrystallization, which operates in polycrystals (Jaoul et al., 1984; Tullis and Yund, 1989; Hirth and Tullis, 1992). Similar to single crystals, in quartz aggregates, there is an inverse correlation between the amount of added H₂O and the assemblage strength (Jaoul et al., 1984; Kronenberg and Tullis, 1984). In particular, in H₂O-rich experiments, the small grain sizes decrease strength, suggesting some contribution of grain-boundary mediated process to weakening (Kronenberg and Tullis, 1984).

The case of deformation of polycrystalline material is of prime interest to nature, as it directly 66 applies to the strength of mylonites and ultramylonites. It involves the combination of 67 intracrystalline and grain boundary processes, so that identifying the H₂O-weakening effect is 68 difficult. In addition, natural material may contain H₂O concentrations varying over several 69 70 orders of magnitude (Ito and Nakashima, 2002): microcrystalline cherts, non-metamorphic or 71 of diagenetic grade, contain up to $\sim 40,000 \text{ H}/10^6 \text{Si}$. In contrast, the threshold for the onset of 72 weakening, in other words the boundary between wet and dry quartz in experiments on synthetic quartz, is ~100 H/10⁶ Si (Griggs and Blacic, 1965; Cordier and Doukhan, 1991, Den 73 Brock, 1994; Christie et al., 1964, Kilian et al., 2016). Not all of the H₂O content of natural 74 quartz (primarily in µm-scale fluid inclusions) has a weakening effect (Kekulawala et al., 75 1978), but the mechanical role of large H_2O concentrations is still to be determined. 76 In particular, considering natural quartz as a two-phase-system of crystal and fluid inclusions, 77 the transfer of H₂O from the inclusions into the crystal (and the associated weakening) is 78 79 difficult because of the very low solubility and diffusive flux of H₂O in quartz (Kronenberg et 80 al., 1986, Paterson 1986, Gerretsen et al., 1989). As a consequence, micro-fracturing (Kronenberg et al., 1986, 1990, Gerretsen et al., 1989, FitzGerald et al., 1991, Stunitz et al., 81 82 2017) and diffusion (along subgrain boundaries or dislocation cores; Post and Tullis, 1998)), as well as grain boundary migration (Gleason and DeSisto, 2008), have been proposed as 83 84 mechanisms responsible for H₂O uptake, because oxygen diffusion may be increased along dislocations or grain boundaries. 85 Finally, H₂O concentration is not a fixed quantity and depends itself on deformation, as 86 recrystallization redistributes H₂O within the material. For example, in rock of low 87 88 metamorphic grade from Japanese accretionary complexes, the H₂O concentration decreases with increasing grade, reflecting the progress of recrystallization (Ito and Nakashima, 2002). 89 90 In grains with a large initial H₂O content stored in fluid inclusions, the migration of grain 91 boundaries that accompanies recrystallization leads to the loss of most fluid inclusions and the 92 decrease H₂O concentration (Bakker and Jansen, 1994; Kilian et al., 2016; Palazzin et al., 2016). Therefore, the "steady-state" H₂O concentration (and the associated strength) in a 93 94 deforming and recrystallizing aggregate is poorly known. FTIR measurements in natural mylonites formed at mid-greenschist and amphibolite facies conditions show low H₂O 95 contents, <100 to ~320 H/10⁶ Si at grain boundary regions (Gleason and DeSisto, 2008) and 96 in individual single grains (Kilian et al., 2016). 97 98 Given the wealth of existing experimental and natural studies on H₂O weakening of quartz, this study specifically focuses on the strength of quartz as a function of H₂O-content. Another 99

- 100 aspect of this study is the evolution of H₂O-content (i.e. increasing and decreasing H₂O
- 101 contents in deforming polycrystals). "Wet" initial conditions (e.g., applicable to examples to
- 102 sediments in subduction settings) and dry initial crystals are compared and their H₂O-
- 103 evolution studied. We have carried out high strain experiments in order to simulate natural
- 104 shear zones but also to be as close as possible to steady-state conditions, both in terms of
- 105 microstructures and H₂O distribution. We focused on the role of H₂O on recrystallization and
- 106 the effect of H_2O content and speciation.

107 **2. METHODS**

108 2.1 Experimental procedure

109 All experiments were performed in a Griggs-apparatus at 800°C, ~1500 MPa and a constant

110 strain rate of $\sim 2 \times 10^{-5} \text{ s}^{-1}$. These conditions were chosen in order to activate dislocation creep

111 in the samples (cf. Hirth and Tullis, 1992). Pre-cut (at 45°) Al₂O₃ pistons were inserted into a

112 pre-annealed platinum jacket. A ~1mm thick layer of fine quartz matrix powder was placed

between pistons, which contained a fixed proportion of larger grains ("porphyroclasts"). The

- 114 matrix material (Brazil quartz) was the same in all experiments while two distinct types of
- 115 porphyroclasts were used.
- 116 The quartz matrix comes from a dry Brazil single-crystal crushed in a stainless steel mortar.
- 117 After crushing, we applied repeated cycles of sedimentation in a H_2O column to sort grains

118 of a specific size. The powder we obtained consisted of grains with a diameter in the range of

- $-6-20\mu$ m, although a small fraction of the grains (~15% in volume), had larger, up to 110 μ m,
- 120 diameter.
- 121 The first material chosen for the porphyroclast was dry Brazil quartz. A single euhedral

122 crystal of ~4 cm long was cut, trying to avoid optically visible secondary fluid inclusions

123 planes. Porphyroclasts were obtained by crushing the selected part of the crystal in a stainless

steel mortar and separating a size in the range of 200-250 µm by sieving.

125 The second porphyroclast material was milky vein quartz from the Hyuga mélange, a low-

126 grade metamorphic unit from the Shimanto Belt in Japan (Raimbourg et al., 2014; Palazzin et

127 al., 2016 for detailed description). Fragments from mm-wide veins were crushed in an agate

128 mortar and quartz was separated from the remaining matrix by a Frantz magnetic separator

and density (Sodium Polytungstate) techniques. The material was sieved to separate the 200-

- 130 250 µm size fraction. A final hand-picking was carried out to avoid possible clay mineral
- 131 contamination.

The matrix and porphyroclasts were dried at 110°C for 48 hours before preparing the mixture.
Four experimental samples were prepared by mixing 30% and 70% porphyroclasts of either
Hyuga or Brazil quartz with the pure Brazil quartz matrix. In addition, one sample with 100%
pure Brazil matrix was deformed.

To ensure an homogenous distribution of clasts in the matrix, we added acetone to the

137 clasts+matrix mixture in a glass beaker and stirred the slurry obtained in an ultrasonic bath, 138 until complete acetone evaporation (cf. de Ronde et al. (2005). The porphyroclasts and matrix 139 quartz mixtures were dried at 110° C and then placed on the lower Al₂O₃-piston surface after 140 adding distilled water with a micropipette. The material was wrapped in Ni-foil inside the Pt-

141 jacket. The amount of water was adjusted to the proportion of the matrix (100, 70 or 30%),

142 corresponding to an H₂O-content of 0.15 wt.% for matrix (**Table 1**). The second pre-cut

143 piston was placed on top of the sample, and the Pt jacket was welded with a Lambert

144 precision point welder. The sample was then placed in the center of a graphite furnace inside

145 the solid confining medium (NaCl). The sample-piston assembly is illustrated in **Figure 1a**.

146 Pumping and heating were performed slowly to reach the desired temperature and pressure

147 conditions (normally over ~9 hours). Confining pressure was initially increased to 150 MPa

148 before heating. Temperature was increased in steps of 100°C at a rate of 25°C per minute at

149 pressure intervals of several hundreds of MPa. When pressure and temperature were at 1.5

150 GPa and 800°C, axial piston movement was started at a constant displacement rate. The

151 typical time to reach the hit point was ~20 to 24 hrs. During this stage, the sample was

152 effectively subjected to hot isostatic pressing, leading to a denser aggregate.

153 The hit point, marked by a sharp increase in axial force, corresponds to the onset of sample

154 loading and deformation. Axial force then rises rapidly to a maximum value ("peak stress").

155 The subsequent deformation of the sample, up to $\gamma \sim 4-6$, is achieved for near constant, or

156 slowly decreasing axial force.

136

At the end of each experiment, the sample was quenched to a temperature of 200°C within 3 minutes. Subsequently, the confining pressure was lowered to room pressure over a period of several hours, keeping a differential stress of (initially) 100-200 MPa on the sample in order

160 to minimize unloading cracks.

161 Some samples were kept under hydrostatic conditions without deformation to obtain

162 information of the microstructures during the initial steps of the experiments. All samples

were cut along their long axis normal to the shear zone in order to provide thin and thicksections for microscopy and FTIR analysis, respectively.

165 **2.2 FTIR measurements**

To enable analysis of the H₂O-content, slices of the single Brazil quartz crystal (cut in the 166 direction normal to the [c]-axis) and isolated porphyroclasts of Hyuga quartz (both 167 corresponding to the starting material) were firstly embedded in orthodontic acrylic resin 168 169 (Vertex Orthoplast) and then manually doubly polished (thickness 120-140 µm). Deformed samples were cutted and directly polished to 120-140 µm thick sections. All samples were 170 171 then accurately cleaned in ultrasonic bath with acetone to remove remaining resin. The H₂Ocontent was determined by Fourier Transform Infrared (FTIR) spectroscopy with a 172 microscopic FTIR continuum spectrometer (Nicolet-6700, Thermo Scientific) at the Institut 173 174 des Sciences de la Terre d'Orléans (France). All the measurements were carried out at atmospheric temperature, after purging the optical path of the IR beam with dry air in order to 175 avoid measurement of atmospheric water. A sodium chloride window was used to collect and 176 subtract the background for each measurement. A $50 \times 50 \,\mu\text{m}$ aperture window was used for 177 all the analyses. 256 scans per spectrum were collected with 4 cm^{-1} resolution. We estimated 178 the concentration of "molecular" H₂O, using the Paterson (1982) calibration of the integral 179 absorption band between ~ 2800 and 3780 cm⁻¹ using the Beer-Lambert law, 180

181 A = C * t * e

where *C* is the concentration, *t* the thickness, and *e* the integrated molar absorption coefficient (0,8120 L cm⁻² mol⁻¹). Sample thicknesses *t* was obtained from the height of the peak at 1790 cm⁻¹ corresponding to the Si–O band, again making use of the Beer-Lambert law (e.g., Ito and Nakashima, 2002). H₂O concentration (wt. ppm H₂O/SiO₂) was obtained (**Table 1**) by dividing the H/10⁶Si-value of the Paterson (1982) calibration by a factor 6.67 given in Kilian et al. (2016).

188 2.3 EBSD - CIP - Grain Size determinations

189 Crystallographic preferred orientation (CPO) of quartz was measured by electron backscatter

- 190 diffraction (EBSD) (Lloyd and Freeman, 1994; Prior et al., 1999) and by computer integrated
- 191 polarized (CIP) microscopy (Heilbronner and Barrett, 2014). EBSD patterns were acquired
- 192 with an Oxford AZTEC system and a Nordlys detector on a FE- Zeiss Merlin compact SEM
- 193 at the University of Tromsø. We used thin sections with a thin carbon coat at 70° tilt angle, 20

kV acceleration voltage, 10 nA beam current, and 11 to 14 mm working distances at a step 194 size of 0.1 µm. Initial noise reduction was performed with CHANNEL 5 software by 195 removing isolated points and replacing non-indexed points with the orientation of their 196 neighbors (interactively filled starting with eight similar neighbors down to six or five similar 197 neighbors). Thin sections were rotated 45° counterclockwise in order to align shear zone 198 199 boundaries (forcing block interface) to reference frame direction. Data were analyzed with MTEX toolbox (Hielscher and Schaeben, 2008). Analysis of the grain boundary fraction was 200 carried out using EBSD maps. Grains were segmented based on c-axis misorientation after 201 202 converting EBSD images to CIP images using a procedure described in Heilbronner and Kilian (2017). 203

204 **3. RESULTS**

205 **3.1 Characterization of the starting material**

206 Hyuga quartz has a milky appearance due to the great abundance of many tiny fluid

- 207 inclusions (Palazzin et al., 2016). These inclusions range from a few to 10µm in diameter
- 208 (Raimbourg et al., 2015) and are heterogeneously distributed in the porphyroclasts which look
- 209 dark in thin section and grain mounts (**Figure 1b-1**). As shown by FTIR spectra, OH content
- 210 is variable as a consequence of the distribution of fluid inclusions (Figure 1b-1). Mean
- measured values (listed in **Table 1**) are of the order of ~23,000 H/10⁶Si, or ~0.34wt% H₂O,
- 212 which is about twice the amount of H_2O added to the sample matrix. After the rise in T and P
- 213 up to 800°C
- and 1.5GPa, Hyuga porphyroclasts show a lower abundance of fluid inclusions than the
- starting material, so that they appear clearer (Figure 1b-2). The measured amount of OH is
- lowered to about half of the initial quantity (~ $12,000 \text{ H}/10^6 \text{Si}$), corresponding to ~0.18 wt%,
- similar to the added H_2O content of 0.15wt% (Table 1, Figure 1b-2).
- 218 Porphyroclasts of dry Brazil quartz are optically strain free and contain no fluid inclusions
- 219 (Figure 1b-3). The mean measured H content in Brazil quartz is about 260 H/10⁶Si and
- 220 confirms that this material can be considered as "dry" quartz for deformation (**Table 1**; Post
- and Tullis, 1998; Paterson, 1989).

222 3.2 Mechanical data

- 223 Moderate peak shear stress and lower flow strength values (Figure 2, Table 2) indicate
- dominant plastic deformation in most samples at the applied strain rate of ~2.1 x 10^{-5} s⁻¹. Only
- the 70% Brazil clasts sample reaches peak stress above the Goetze criterion ($\Delta \sigma = 1600$ MPa

- at gamma ~2; **Figure 2**) for plastic deformation ($\Delta \sigma \approx P_{conf}$; Kohlstedt et al., 1995), all other samples are in the fully plastic regime. All curves are characterized by a first stage of a rapid
- increase in stress up to peak values ($\gamma = 0$ to ~0.5/1.5), followed by a weakening stage that
- 229 may or may not reach steady state stress values.
- 230 Two samples with 100% matrix show a ~50% difference in peak stress (at γ ~0.8) and a
- smaller difference at $\gamma \sim 3.5$ (Figure 2). The sample with 30% Hyuga porphyroclasts shows a
- peak shear stress between that of the two matrix samples (Figure 2) and a final stress value
- that is almost identical to that of the matrix sample (~260 MPa) at γ ~3.2. The sample with
- 234 70% Hyuga porphyroclasts shows a considerably higher peak stress but weakens to a similar
- finite strength as the 30% Hyuga and matrix samples.
- 236 Samples with Brazil porphyroclasts reach higher peak shear stresses than their Hyuga
- porphyroclasts counterparts: up to ~650 MPa for 70% clasts and ~420 MPa for 30% Brazil
- 238 clasts. After the peak stress, all matrix + clasts assemblages display significant weakening
- 239 with a similar slope, irrespective of the nature of the clasts. It is remarkable that the flow
- stresses of three assemblages, containing 70% and 30% of Hyuga porphyroclasts and 30% of
- 241 Brazil porphyroclasts, converge towards a common flow stress of ~250-280 MPa at γ ~3.3
- 242 (Figure 2), similar to the 100% matrix, regardless of their peak stress values.
- 243 **3.3 Microstructural observations**

244 **3.3.1 Hot pressed material**

After hot-pressing, only little porosity is observed, typically at triple junctions of grains
(Figure 3). Pores rarely exceed 1µ diameter, and total porosity is estimated to be ~ 2 to 4 %
of the sample volume. Very few open grain boundaries are present, but these are
approximately normal to the piston axis, so that they probably result from quenching and
unloading (Figure 3).

250 **3.3.2 Pure matrix deformation**

The deformed samples with pure 100% matrix are characterized by pervasive recrystallization of the deformed parts of the samples. Strain is partitioned into narrow regions at the piston ends, extending into a broader region, which occupies almost the full width of the shear zone in the center (**Figure 1a**). The quartz grains in the regions of strain localization show a strong shape fabric, and individual grain boundaries are difficult to detect in the high strain regions in the light microscope because of their strong CPO (see paragraph 3.5.1).

257

258 **3.3.3 Matrix + Brazil porphyroclasts**

259 In these samples, a foliation results from the elongation of matrix domains and recrystallized regions extending from porphyroclasts at an angle of about 18° to the shear zone boundaries 260 (piston interfaces; Figure 4a,b). In the sample with 70% clasts, tails of recrystallized quartz 261 262 (Figure 4b,d) extending from porphyroclasts define σ -type clasts (Hanmer, 1984b; Passchier and Simpson, 1986). Porphyroclasts in the assembly with 30% clasts show a variable shape: 263 264 some are elongated, while others have an equant shape, both of which are mainly inherited 265 from their pre-deformation state (Figure 4a,c). Porphyroclasts contain few or no subgrains. 266 Their rims are commonly sutured and their cores are surrounded by small recrystallized grains (Figure 4c.d). Some undulatory extinction and deformation lamellae are common (Figure 267 268 **5a,b**). These microstructures are characteristic of dislocation creep regime 1 described by Hirth and Tullis (1992). In both Brazil clast samples the extent of recrystallization of 269 270 porphyroclasts is limited, and relict clasts and recrystallized rims are easy to distinguish 271 (Figure 4-5a,b).

272 **3.3.4 Matrix + Hyuga porphyroclasts**

273 Hyuga porphyroclasts (6**a**,**b**) invariably are strongly elongated, up to an aspect ratio of ~10. Their shape fabric defines a foliation at about 10° to the shear zone boundaries. They are 274 extensively recrystallized into elongated grain aggregates, with serrated boundaries, and 275 subgrains, forming a microstructure of dislocation creep regime 2 of Hirth and Tullis (1992). 276 277 Because of the penetrative recrystallization, former porphyroclasts are difficult to distinguish from the surrounding matrix (Figs 5c,d and 6c), except for the fact that porphyroclasts 278 279 recrystallized and grown into larger grains and subgrains than the matrix (Figs 5c,d and 280 6c,d). The clasts in the 70% Hyuga porphyroclasts sample are more pervasively recrystallized 281 than in the 30% clasts sample, possibly due to higher strain.

282 **3.3.5 Shear Bands**

In both samples containing Hyuga porphyroclasts, shear bands develop oblique to the shear zone boundaries in a synthetic orientation (c⁻-orientation) with the imposed shear sense (**Figs 6c,d and 7**). The angle between these planes and the shear zone boundary varies between ~25 and ~35°. The stretched porphyroclasts define the S-planes of a SC' fabric (C-planes are absent). Plane polarized light images show the shear bands as trails of small fluid inclusions (**Figure 7a**). The shear bands are most ubiquitous in the sample containing 70% of Hyuga porphyroclasts, where the bands cut across the matrix and the stretched porphyroclasts,

290 making an average angle of 27 degrees with porphyroclast long axes. SEM images (Figure

- 291 **7c**) show that the C' bands are zones of high porosity, composed of cube-shaped, idiomorphic
- 292 grains, of size \sim 2-5 µm, surrounded by voids.
- 293 3.4 H₂O content/distribution

294 3.4.1 Matrix

- 295 The original Brazil quartz crystal, from which the matrix was produced, contains a very low
- proportion of H₂O, of the order of ~250 H/10⁶Si (**Table 1 and Figure 1b-3**). In each
- 297 experiment, H₂O had been initially added to the dry Brazil quartz matrix, with a proportion of
- 298 0.15wt%, or equivalently 10 000 $H/10^6$ Si.
- 299 After the experiments, the matrix displays a broad absorption band in the region between
- 300 3000 and 3800 cm⁻¹ (Figure 8a), representing the molecular H_2O in quartz and in the grain
- 301 boundaries. From this broad absorption band, the H₂O content after experiments can be
- 302 estimated as ~900 to 2900 H/10⁶Si in deformed samples and ~4200 H/10⁶Si in an undeformed
- 303 sample (464GP, **Table 1**). The water initially added has been retained to variable extent in the
- 304 matrix during the application of pressure and temperature and later deformation.
- 305 The same initial material (Brazil quartz matrix + 30% Hyuga porphyroclasts) was brought to
- 306 high P and T in one experiment and then retrieved (464GP). In another experiment it was
- deformed to high strain (428GP). The Brazil matrix in the deformed sample contains ~1500
- $H/10^6$ Si, i.e. 2 to 3 times less than the corresponding experiment without deformation (~4200
- 309 $H/10^6$ Si; **Table 1**).
- 310 Comparing the different experimental materials, the H₂O content of the matrix is
- 311 significantly higher for experiments containing initially "wet" Hyuga porphyroclasts (~1500
- for 30% and ~2900 H/ 10^6 Si for 70% porphyroclasts) than in experiments containing initially
- 313 "dry" Brazil porphyroclasts (~950 and ~1300 H/10⁶Si for 30% and 70% of porphyroclasts) or
- experiments with 100% matrix (~800 H/10⁶Si) (Figure 8a, Table 1). Additionally, in
- 315 experiments containing Hyuga porphyroclasts, the H₂O -content of the quartz matrix and that
- 316 of the recrystallized Hyuga clasts are similar after deformation, considering the large standard
- deviation: with 30% of clasts, the matrix contains \sim 1500 H/10⁶Si and porphyroclasts \sim 2300
- 318 $H/10^6$ Si, while for 70% clasts, the matrix contains ~2900 $H/10^6$ Si and porphyroclasts ~2350
- 319 $H/10^6$ Si (**Table 1**).
- All spectra show discrete absorption bands at 3363, 3382, and 3595 cm⁻¹, which were not
- 321 present in the original Brazil quartz spectra (cf. Figures 1D and 8). The most prominent
- 322 discrete absorption band in the deformed matrix measurements is at 3595 cm⁻¹.

323 3.4.2 Porphyroclasts

- Hyuga porphyroclasts display a broad absorption band in the region between 3000 and 3800
- 325 cm⁻¹ (Figures 1b,c,d and 10a), which is related to molecular H₂O contained in the large
- 326 number of fluid inclusions initially present. During hot pressing the broad band of molecular
- 327 H₂O shows a general decrease with respect to the as-is material. This reduction in water
- 328 content is enhanced with deformation, as Hyuga porphyroclasts in deformed samples contain
- 329 ~1/10 of their initial water content (**Table 1, Figure 9a**).
- 330 In addition to this evolution of the H_2O content, the shape of the H_2O spectrum is also
- 331 modified by the application of P-T conditions and deformation from a broad triangular
- absorption centered around around 3400 cm^{-1} that is characteristic of fluid H₂O to a very broad
- flat flat shape absorption (**Figure 9a**) that is unlike absorption spectra of liquid H_2O . The
- 334 position of discrete absorption bands, related to structurally-bound H₂O, are also modified.
- 335 The initial spectrum displays only a discrete absorption band at 3382 cm⁻¹. Application of P-T
- condition results in the development of a band at 3585cm⁻¹, while after deformation a discrete
- band around 3595cm⁻¹ appears as well. As a result of this evolution in shape and integrated
- area, recrystallized Hyuga porphyroclasts and the fine grained matrix converge towards a
- 339 similar IR spectrum (Figure 9b).
- Initially, Brazil porphyroclasts contain virtually no H_2O (~250 H/10⁶Si), resulting in a flat 340 absorption spectrum (Figure 8b), where only one secondary absorption band at 3485 cm⁻¹ is 341 visible. The application of P-T conditions and deformation (Figure 10, Table 1) results first 342 in the increase in H₂O content in the cores of the porphyroclasts (~650 H/10⁶Si) and in their 343 recrystallized rims (~1500 for 30% and ~900 H/10⁶Si for 70% of porphyroclasts). The very 344 weakly deformed cores, showing only undulatory extinction, contain a smaller amount of H₂O 345 346 (Figure 10b,c,f) than observed for the recrystallized regions with their grain boundaries (Figure 10d,e,f). Furthermore, new discrete absorption bands appear at 3595 cm⁻¹ and at 3363 347 cm^{-1} in the recrystallized region, whereas the core shows a discrete band at 3585 cm^{-1} , which 348 is absent in the recrystallized region (Figure 10a,f). 349

350 **3.4.3 Shear bands**

351 In the experimental sample containing 30% of Hyuga porphyroclasts, the shear bands that cut

- across porphyroclasts and matrix contain a high H_2O concentration of ~3700 H/10⁶Si (**Table**
- 353 1). This H₂O content is significantly higher than either porphyroclasts (~2300 H/10⁶Si) or the
- matrix ($\sim 1500 \text{ H}/10^6 \text{Si}$) that hosts these bands. It corresponds to trails of fluid inclusions

355 (optical observations) and the presence of abundant voids (SEM observations) along the shear
356 bands (Figure 7a,c).

357 **3.5 Crystallographic fabrics**

- 358 Grain boundary maps, orientation images and pole figures are derived from EBSD maps and
- shown for a pure matrix sample and for one sample containing 70% Hyuga and one with 70%
- 360 Brazil clasts. For easier interpretation, the orientation images are recalculated as c-axis
- 361 orientation images (see color look-up table on the right of Figs 11b-12b and 13b).

362 3.5.1 100% Matrix

- 363 In the 100% matrix experiment, the central region of pervasive recrystallization of the sample
- 364 shows elongated grains, whose long axes are oriented at ~25 degrees (on average) from the
- 365 shear plane (**Figure 11a,b**). Their CPO shows a well-defined maximum rotated synthetically
- 366 with the sense of shear, and all crystallographic axes are concentrated in or near the X-Z plane
- 367 (**Figure 11c**).
- 368 3.5.2 Recrystallized Hyuga porphyroclasts CPO
- 369 EBSD map shows that a large proportion of initial Hyuga porphyroclasts, strongly elongated
- after deformation, consists of recrystallized grains with small grain size (light blue domain,
- 371 Figure 12a,b).
- 372 The CPO shows a similar asymmetry with respect to the shear plane as the 100% matrix.
- 373 Parts of the recrystallized regions show many subgrain boundaries in a rather uniform CPO
- domain (Figure 12b).
- 375

376 3.5.3 Recrystallized tail of a Brazil porphyroclast

- In the experiment with 70% Brazil quartz, the crystallographic fabric of the recrystallized tail
 is qualitatively similar as that of the 100% matrix, but the grain size distribution is broader
- 379 (Figure 13a,b). The c-axis maxima are slightly weaker (Figure 13c). The recrystallized tail
- appears to have a better developed CPO than the matrix (orange domain, Figure 13b).

381 **3.5.3 Shear bands and strain shadows**

- 382 Shear bands are developed only in Hyuga clast samples. CIP analysis of these samples reveals
- that shear bands inside larger porphyroclasts have a completely different c-axis orientation
- 384 from that of the surrounding material, as seen in light microscope images with the
- 385 compensation plate inserted (Figure 7b). Strain shadows around Brazil porphyroclasts

386 constitute other high-porosity domains where small idiomorphic grains are surrounded by 387 voids, and the crystallographic orientation of these small grains, similar to that of shear bands, 388 is very different from surrounding quartz. The strong contrast in orientation between the 389 grains in shear bands and pressure shadows and the surrounding material, the high-porosity, 390 and the grain shapes suggest that these grains formed as a result of precipitation in a fluid 391 filled porosity.

392 **4. DISCUSSION**

393 4.1 Mechanical Data

After exhibiting an initial peak stress, all samples deform at flow stresses well below the 394 395 values of the confining pressure (Figure 2; Goetze Criterion: Kohlstedt et al., 1995). The inference of the Goetze Criterion that fractures opening and extension are suppressed when Pc 396 397 > differential stress is consistent with the fact that none of our samples contained 398 thoroughgoing fractures. The 100% Brazil quartz matrix samples show a higher flow stress 399 than that of wet polycrystalline quartz of Hirth and Tullis (1992) in coaxial experiments at the same temperature and confining pressure. The shear strain rate of our experiments is faster 400 than the coaxial strain rate of Hirth and Tullis (1992), which may explain the differences. 401 402 From the mechanical data it is clear that the originally dry Brazil quartz matrix material has become fully "wet" during pressurization and heating, as it has been achieved in experiments 403 previously by Post and Tullis (1998) and Rutter and Brodie (2004a). 404

405 All samples with porphyroclasts show a pronounced peak stress and subsequent weakening

406 behavior, consistent with a higher initial strength of the large porphyroclasts, which

407 subsequently weaken during recrystallization. Even the Hyuga quartz, which contains a lot of

408 H_2O in the form of aqueous fluid inclusions and thus may be expected to be weaker than the

409 pure Brazil quartz matrix, shows this behavior. The mechanical evolution of the sample raises

410 two points: (1) Porphyroclast material shows pronounced strain weakening, probably caused

411 by recrystallization (2) Fine grained quartz material tends to be weaker than that with coarse

412 grained porphyroclasts. The former point has already been addressed by Hirth and Tullis

413 (1992), who have observed strain weakening behavior for dislocation creep regime 1. The

414 weakening is attributed to local grain boundary migration (bulging recrystallization) of more

415 or less undeformed porphyroclasts. In our examples here, dynamic recrystallization takes

416 place by progressive subgrain rotation (see below), so that the recrystallization mechanism

417 does not seem to be the only controlling factor for the weakening.

418 Steady state is reached by the 30% Hyuga material (428GP) after $\gamma \approx 1.5$ (Figure 2). The 70%

- 419 Hyuga sample (456GP) reaches similar stresses as the 30% sample, but at higher gamma
- 420 values and after higher peak stress. The grain sizes of the 70% Hyuga sample are smaller,
- 421 even though the finite stress values of the samples are identical. The findings are consistent
- 422 with the results by Kidder et al. (2016), who find that the recrystallized grain size is
- 423 dependent on stress history.
- 424 Samples with dry Brazil porphyroclasts do not reach steady state after $\gamma = 3.5$ or 4 is
- 425 consistent with Brazil clasts behaving as more rigid particles, and their recrystallization takes
- 426 more strain than does recrystallization of the Hyuga clasts. The high peak strength of the 70%
- 427 Brazil clast sample can be attributed to the high strength of the Brazil clasts themselves with
- 428 low H₂O content. The fact that they survive deformation as more or less rigid particles implies
- 429 that they have not become sufficiently hydrated. Only limited recrystallization takes place at
- 430 their margins, where tails of recrystallized quartz grains develop (Figs. 5-6a,b and 14). The
- 431 CPO of their recrystallized grains is oriented synthetically with respect to the imposed shear
- 432 sense (dextral; Figure 14), i.e. similar to that of weaker material of other samples.
- 433 Applying the empirical quartz flow law by Hirth et al. (2001), and the Paterson & Luan
- 434 (1990) flow law (for silicic acid), we estimated the differential stress of our quartz matrix for
- 435 strain rate values of $2*10^{-5}$ s⁻¹. Both flow laws predict lower shear stresses ($\Delta\sigma/2$) than our
- 436 experimental values for pure matrix (111 MPa and 178 MPa versus observed ~200 MPa) but
- 437 the values are not far off (yellow star and red dot in **Figure 2**).

438 **4.2 Effect of H₂O on deformation**

- 439 Our samples are deformed by crystal-plastic processes, so that they can be compared with the
- 440 different deformation regime defined in Hirth and Tullis (1992). Even though Brazil and
- 441 Hyuga porphyroclasts were deformed at the same P and T, the degree and mechanism of
- 442 recrystallization was quite different in the two materials.
- 443 Dry Brazil porphyroclasts show undulatory extinction and abundant deformation lamellae as
- 444 typical features for dislocation glide with limited recovery or recrystallization in quartz. These
- 445 features usually occur together with small scale fractures, kinks or short wavelength
- 446 misorientation bands, and dislocation tangles (Hirth and Tullis, 1992, Trepmann and
- 447 Stoeckhert, 2013, Stunitz et al., 2017) and can be compared to microstructures observed in the
- dislocation creep regime 1 of Hirth and Tullis (1992). The presence of small recrystallized
- 449 grains limited to prophyroclasts rims suggests the onset of subgrain rotation recrystallization.
- 450 Recrystallized tails at porphyroclasts form a CPO similar to the matrix CPO (**Figure 13**),

451 consistent with activation of slip on the basal <a> system (Schmidt and Casey, 1986; Law,
452 1990).

453 Deformed milky Hyuga quartz shows very different microstructures. The shape of highly elongated Hyuga porphyroclasts can be compared with natural quartz aggregates deformed at 454 455 mid-greenschist conditions (Law, 1984) or with experimentally deformed Black Hill Quarzite (BHQ) described in regime 2 by Hirth and Tullis (1992) or by Hirth et al., (2001). These 456 457 elongated grain aggregates are recrystallized to a great extent (Figs. 6 and 12). Similar microstructures, and corresponding activated slip systems, are reported also for BHQ 458 459 (Heilbronner and Tullis, 2006) deformed at higher temperatures and higher strain rates. According to our microstructural observations and CPO, recrystallization took place by 460 461 subgrain rotation. The development of a common CPO for recrystallized porphyroclasts and

462 matrix indicates that deformation is rather homogenously accommodated within the whole

463 system and dominated by basal <a> slip.

464 The difference between Brazil porphyroclasts, deforming with limited recrystallization, and

465 Hyuga porphyroclasts, pervasively recrystallized by subgrain rotation, can only be explained

466 by their original difference in H_2O content. Our observations further support the effect of H_2O

467 on enhancing microstructural development and recrystallization proposed by Jaoul et al.

468 (1984), Tullis and Yund (1989) and Hirth and Tullis (1992).

469 Most samples converge to a similar strength after a shear strain of ~3 and recrystallization of

470 large volume fractions of the samples (**Figure 2**), even though the initial concentrations of

471 H₂O differed (**Figs. 9 and 11, Table 1**). Some of the main parameters controlling strength are

472 therefore grain size and H₂O distribution, and we can deduce that weakening in experiments

473 with Hyuga porphyroclasts is due to grain size reduction and fluid redistribution as a

474 consequence of dynamic recrystallization.

475 **4.3 Evolution of H₂O with deformation**

476 **4.3.1 H₂O speciation**

477 In addition to the "broad" band of molecular H_2O in the range 2800-3800 cm⁻¹, our samples

478 show a large set of secondary, discrete absorption bands distributed between 3660 and 3300

479 cm⁻¹ (**Figs 8-9 and 10 and Table 3**). Most of these bands were not present in the original

480 material and appear after deformation.

481 **4.3.1.1 – Absorption band at 3595 cm⁻¹.**

The band 3595 cm⁻¹ has been detected in different kinds of natural clear quartz and
natural/synthetic amethyst (e.g. (Kats, 1962; Aines and Rossman, 1984; Rovetta, 1989;
Kronenberg, 1994), and in natural deformed and then annealed quartzite (Niimi et al., 1999).
The common interpretation is that H⁺ charge compensates for Al³⁺ substituting for Si⁴⁺.
Gleason and DeSisto (2008) described this band in pegmatitic quartz and quartz ribbons,
giving the same interpretation.

In our experiments, the band at 3595 cm⁻¹ is not detected in all samples but it is strictly
correlated with attaining P and T and most importantly with deformation. This band is first

490 identified in all matrix analyses (**Figure 8a**), while it is absent in the IR spectra of

491 undeformed Brazil quartz (Figure 8b). In Hyuga porphyroclasts, it is not observed at hot-

492 pressed conditions, but it develops after deformation, when porphyroclasts are pervasively

recrystallized (Figure 9. The band is also observed in Brazil porphyroclasts, but exclusively
in their recrystallized tail (Figure 10b,f). The 3595 cm⁻¹ band is therefore closely associated

- 495 with recrystallized aggregates of grains, suggesting that the OH species of this band are
- 496 associated with grain or perhaps subgrain boundaries.

Several studies on quartz IR spectra in naturally-deformed rocks further substantiate the 497 connection between a discrete absorption band near 3600 cm⁻¹ and recrystallization 498 microstructures. Quartz in mylonites from Sambagawa metamorphic rocks in Japan 499 (Nakashima et al., 1995) and the western Adirondack in the USA (Gleason and DeSisto, 500 2008) show a discrete absorption band around 3600 cm⁻¹. Such a peak is absent in other 501 mylonites, such as granite mylonite in the vicinity of the Median Tectonic Line in Japan 502 503 (Nakashima et al., 1995; Niimi et al., 1999). However, in these samples it is not clear whether grain boundaries were analyzed by FTIR in addition to grain interiors. The speciation of OH 504 505 in quartz at subgrain/grain boundaries may be related to silanols at the quartz surface, although the corresponding absorption bands are reported at slightly higher wavenumbers 506 (e.g. 3627 and 3649 cm-1, see the review in (Kronenberg, 1994)) than the \sim 3595 cm⁻¹ peak 507 observed in our study. In summary, a strong connection between increased surface area by 508 recrystallization microstructure and 3595 cm⁻¹ absorption band is clear from this study, but 509 the nature of this OH absorption band requires further work. 510

511 **4.3.1.2 – Absorption band at 3585 cm⁻¹**

512 The absorption band at 3585 cm⁻¹ exists in both, Hyuga and Brazil porphyroclasts after 513 deformation (**Figs. 9a,b and 10**). This band has been observed in different materials as

amethyst (Kronenberg, 1994, Chakraborty and Lehmann 1976), metamorphic cherts (Ito and 514 Nakashima, 2002), chalcedony (Frondel, 1982; Graetsch, 1985, 1987), flint (Graetsch, 1987), 515 agate (Yamagishii et al., 1997) and synthetic quartz (Stalder and Konzett, 2012) Wood, 1960, 516 Kats, 1962; Aines and Rossman, 1984; Cordier and Doukhan, 1989; Chakraborty and 517 518 Lehmann, 1976; Paterson, 1986; Rovetta, 1989). In experiments of hydrothermal growth of 519 quartz, the intensity of the band increases with pressure and H₂O activity in the surrounding fluid phase (Stalder and Konzett, 2012). A connection of this 3585 cm⁻¹ absorption band to 520 deformation was established in deformation experiments (Stünitz et al., 2017), where the 521 development of 3585 cm⁻¹ band is confined to deformed parts of single quartz crystals. In 522 addition, a band at 3580 cm⁻¹ was observed to develop after annealing of quartz at high 523 temperature (Cordier and Doukhan, 1991; Rovetta et al., 1986). In the latter set of 524 experiments, it was correlated with the formation and healing of cracks during annealing, 525 while no cracks were reported in the former study. Stünitz et al. (2017) suggest that the 3585 526 cm⁻¹ band may record OH-defects associated with dislocations. The systematic association 527 528 between this band and deformation microstructures is also apparent in our samples. This 529 band, absent in "as-is" Hyuga milky quartz samples, appears after both, hot-pressing and deformation (Figure 10a), while in Brazil quartz it is observed only in unrecrystallized but 530 deformed (e.g. lamellae) porphyroclasts cores (Figure 10f). This close connection to 531 microstructures supports the conclusion by (Stünitz et al., 2017) that the band 3585 cm⁻¹ is 532 caused by structurally bond OH at dislocations. 533

- 534 **4.3.1.3** Absorption bands at 3382 cm⁻¹ and at 3363 cm⁻¹:
- The sharp absorption bands at 3382 cm^{-1} and at 3363 cm^{-1} are specific to the nature of the experimental material, either Hyuga or Brazil quartz.
- 537 The absorption band at \sim 3380 cm⁻¹ is systematically observed in Hyuga milky quartz before
- and after deformation. It has been detected in quartz grains of gneiss (Gleason and DeSisto,
- 539 2008) and in cherts (Ito and Nakashima, 2002) and is attributed by several authors to OH
- 540 groups bonded with Al⁺³ substituting for Si (Pankrath, 1991; Stalder and Konzett, 2012)
- 541 Kronenberg, 1994; Suzuki and Nakashima, 1999; Gleason and DeSisto, 2008). This
- 542 interpretation is supported in our case by the high content of aluminum in Hyuga quartz
- 543 (Raimbourg et al., 2015).
- 544 The band at 3363 cm⁻¹ is observed only in deformed and recrystallized Brazil quartz, either in
- 545 the matrix (Figure 9a) or at recrystallized tails of porphyroclasts (e.g. Figure 11). While it is

546 generally assigned to surface Si-OH groups (Yamagishi et al., 1997), our study does not yield
547 further clues to this attribution.

548 To summarize, we infer that three different species of H_2O are observed after deformation.

549 The first one is molecular H_2O incorporated in porphyroclasts on the form of fluid inclusions,

represented by the broad absorption band between 2800 and 3800 cm⁻¹. The second type of

- 551 H_2O is stored in grain boundaries as a result of recrystallization processes. It is represented by
- the discrete band at 3595 cm^{-1} corresponding to structurally bond OH-defects. The third type

of H_2O is characterized by the discrete band at 3585 cm⁻¹ and is probably due to OH-defects

on dislocations.

555 4.3.2 Mechanisms of H₂O incorporation and expulsion

H₂O content in "as-is" Hyuga clasts is estimated at $\sim 22,900 \text{ H}/10^6 \text{Si}$. It decreases first with 556 the application of temperature and pressure to $\sim 12,000 \text{ H}/10^6 \text{Si}$ (hot-pressed conditions), and 557 then further decrease to ~2,300 H/10⁶Si after deformation of γ ~4 (Table 1 and figs. 1b,c,d 558 and 10). The expulsion of H_2O during the application of pressure and temperature does not 559 proceed through recrystallization, as the corresponding new grains were not observed (Figure 560 **1c**). In the absence of significant deformation and formation of grain boundaries through 561 recrystallization, the expulsion of H₂O is probably caused by micro-fractures draining fluids 562 from inclusions. One driving force for fracturing during the rise in P and T conditions is the 563 ΔP between the fluid pressure in the inclusions initially present (imposed by the fluid 564 565 isochoric line) and the confining pressure (experimentally imposed). Decrepitation of fluid inclusions is observed for relatively low ΔP of less than a couple of 100 MPa in experiments 566 567 (Hall and Sterner, 1993; Vityk and Bodnar, 1995). Furthermore, the initial assembly has a relatively large porosity and heterogeneous grain size distribution (clast + matrix). The 568 569 application of P and T results in compaction, hence in local stress concentration, which may 570 result in fracturing that may drain fluid inclusions. These fractures are not easily observable 571 because they are systematically healed at high pressure and temperature (Tarantola et al., 2010). 572

573 During subsequent plastic deformation, dynamic recrystallization (**Figs. 6-7-13**) involving 574 formation and mobility of grain boundaries, provides an efficient process to further decrease 575 the H₂O concentration in the quartz porphyroclasts (**Figure 14a**). Grain boundary mobility 576 has an important effect on modification of volume and distribution of fluid inclusions in 577 experiments (Olgaard et FitzGerald, 1993; Schmatz et Urai, 2010). Observations in natural

- 578 samples (e.g. Kilian et al., 2016) are consistent with experimental ones. In domains where the
- 579 Hyuga quartz recrystallized in nature as a result of deformation, the number of fluid
- 580 inclusions, hence the H₂O content, is strongly decreased (Palazzin et al., 2016). Similarly, Ito
- and Nakashima (2002) show a strong decrease in the total H₂O content with increasing
- 582 recrystallization. This implies that extensively recrystallized "dry" porphyroclasts in natural
- shear zones might have been initially wet.
- 584 In contrast to Hyuga porphyroclasts, "as-is" Brazil porphyroclasts have a very low initial H₂O
- 585 content (~260 H/10⁶Si). After deformation, both, slightly deformed cores and strongly
- recrystallized domains, show a significant increase in H_2O : up to 640 and 950-1530 H/10⁶Si,
- 587 respectively (**Table 1**, **Figures 11-14a**). Their final content is similar to the hydrated matrix,
- 588 which indicates a transfer of H_2O from the matrix to the porphyroclasts during deformation.
- 589 The process responsible for the increased H₂O content of recrystallized tails of Brazil
- 590 porphyroclasts is probably identical to that of Hyuga clasts inferred above. The transfer of
- 591 H₂O to the unrecrystallized cores of Brazil porphyroclasts is probably caused by
- 592 microcracking as inferred by Kronenberg et al., (1986); Rovetta et al., (1986); Gerretsen et al.,
- 593 (1989) and Cordier and Doukhan (1989, 1991). The H₂O uptake by non-recrystallized cores
- of Brazil porphyroclasts, has a limited weakening effect, as attested by the higher strength of
- samples with a high content of Brazil porphyroclasts (Figure 2). Their final H₂O content,
- $\sim 640 \text{H}/10^6 \text{ Si}$, is nevertheless higher than the minimum amount of H₂O in wet synthetic
- 597 quartz ($\sim 200 \text{ H}/10^6 \text{ Si}$) necessary for weakening of these crystals (Kekulawala et al., 1978,
- 598 1981).

599 **4.3.3 H₂O budget**

600 4.3.3.1 Concentration and distribution of H₂O

- 601 We measured relatively large and variable (from \sim 810 to \sim 3710 H/10⁶Si) concentrations of
- 602 H₂O in the deformed quartz assemblies (**Table 1**). The large variability reflects first the
- 603 difference in initial H₂O content: in deformation experiments with Hyuga porphyroclasts, the
- final H₂O content is between ~1470 and 3710 H/10⁶Si, while in experiments with Brazil
- porphyroclasts, or pure matrix, the final H_2O content is between ~640 and 1530 H/10⁶Si.
- 606 Hyuga porphyroclasts, with their stored high H₂O content in fluid inclusions, act as an
- 607 additional source of H_2O .
- 608 The experiments were carried out to large shear strain in an attempt to reach some steady-state
- of the microstructure and H₂O concentration. The flat portions of σ -E curves do not reach

- 610 perfect steady-state, but the convergence of strengths of most samples indicates that some
- 611 final stage of the microstructural development has been reached. In order to find a physical
- $heat meaning of the H_2O$ concentration in experiments with Hyuga porphyroclasts, we need to
- 613 consider where the H_2O is stored, as the IR analysis window size (50x50µm) is larger than the
- 614 grain size, so that the analyses include grain interiors and grain boundaries.
- 615 In experiments in quartzite of a large grain size, carried out at the same P-T conditions as
- 616 ours, a strain rate of 10^{-6} s⁻¹ and with 0.3 wt% H₂O (Post and Tullis, 1998), the H₂O content in
- 617 quartz interiors is $\sim 800 \text{ H}/10^6 \text{Si}$, i.e. considerably lower than that in the finely recrystallized
- 618 Hyuga material, in spite of the very large amount of initially added H₂O (0.3 wt% H₂O \approx
- 619 20,000H/10⁶Si). In natural mylonite deformed at 800°C and 800MPa, the intracrystalline H₂O
- 620 content is \sim 320 H/10⁶Si (Gleason and DeSisto, 2008)). A compilation of data of natural
- 621 quartz deformed in nature and in experiments, shows that intragranular H₂O is significantly
- 622 lower than bulk measurements, which include the contribution of H_2O at grain boundaries
- 623 (Kilian et al., 2016). This hypothesis is supported by direct FTIR transects across grains and
- their boundaries (Post and Tullis, 1998), or by the inverse relationship, in various
- 625 metamorphic rocks, between the grain size of a rock and the H₂O volume that can be stored in
- 626 the grain aggregate (Ito and Nakashima, 2002).
- 627 The concentration of H₂O stored in the grain boundaries of the recrystallized material varies
- 628 systematically; in experiments with 30% or 70% of Hyuga porphyroclasts, the recrystallized
- porphyroclasts yield an approximately uniform content of $\sim 2300 \text{ H}/10^6 \text{Si}$. The matrix
- 630 contains similar amounts of H_2O (~1500 and 2900 H/10⁶Si). These matrix H_2O contents are
- 631 systematically larger than in the 100% matrix experiment (\sim 800 H/10⁶Si), despite the same
- amount of initially matrix-added water. Thus, H₂O is redistributed from Hyuga porphyroclasts
- to the matrix producing a uniform range of H_2O contents in Hyuga porphyroclasts and matrix.
- 634 The H₂O concentration tends to some "equilibrium" concentration, similar to the
- 635 microstructural evolution which tends to some final "equilibrium" state (Figure 14a).

636 4.3.3.2 Shear bands: evidence for excess H₂O

- 637 Shear bands are primarily observed in experiments with Hyuga porphyroclasts and are
- 638 composed of small faceted quartz grains surrounded by void space (Figure 7c). IR
- 639 measurements show that they contain a significantly larger amount of H_2O than the
- 640 surrounding matrix (**Table 1**). Their CPO is also different from the surrounding quartz matrix
- 641 (Figure 12). The grain shapes, presence of voids between crystals, and the different crystal
- orientations from host quartz suggest growth of these quartz grains in a pore space filled with

fluid during deformation at high P and T. These shear bands appear to be the locations whereexcess free fluid phase is stored.

645 **4.3.4 H₂O stored in the grain boundary region**

We observed that the deformed quartz aggregates develop towards a common microstructure 646 (Figs. 11-12 and 13), strength (Figure 2), and H₂O content of the matrix, clasts, and 647 recrystallized material regardless of the initial starting material and relative clast contents 648 (Figure 14a). The redistribution of H₂O mainly takes place by recrystallization and formation 649 of new grain boundary area. If it is assumed that the largest part of the H₂O is distributed 650 651 along the grain boundary regions (the grain interiors cannot store a large amount of H₂O as fluid inclusions because the grain size is below 10 μ m), then the volume fraction of H₂O in 652 the quartz aggregate can be plotted against the grain boundary area per analyzed volume 653 (Figure 14b). The volume fraction of H₂O is measured by FTIR (Table 1), and the grain 654 655 boundary density (length per surface) is determined by EBSD in the deformed microstructures (Figs. 11-12 and 13, Table 4). A similar approach was taken by (Ito and Nakashima, 2002), 656 657 who used modeled average grain sizes instead of measured boundary fractions. As a result, the pure matrix and the matrix with Brazil clasts have the same nominal grain boundary 658 659 widths of ~0.7 nm, whereas the matrix with Hyuga clasts has a grain boundary width which is more than twice this width (~1.7 nm; Figure 14b). Realistic grain boundary widths have been 660 determined to be ~0.5 nm for feldspar (Hiraga et al., 2004) and ~1nm for pyroxene 661 (Raimbourg et al., 2011), of similar magnitude to those determined here for quartz. The 662 physical state of H₂O stored at grain boundaries is not established, nor the precise nature of 663 the grain boundary itself (Raimbourg et al., 2011). Two types of models are usually proposed 664 for H₂O along the grain boundary: (1) A thin molecular film or (2) A "channel-island" 665 structure, where domains of thin film ("islands") are separated by channels where the 666 thickness of H₂O is larger (e.g. (Den Brok, 1998; Nakashima, 1995)). Our results cannot 667 resolve the details of H₂O distribution along grain boundary, and the method employed here is 668 neither appropriate nor intended for an exact determination of a grain boundary width. The 669 "nominal boundary width" obtained here is merely a measure of the H₂O storage in the grain 670 671 boundary region under the assumption that the H₂O is evenly distributed along the grain boundaries with a common grain boundary width (Figure 14a). 672 673 The thicker nominal grain boundary width of the sample with Hyuga clasts and higher H₂O

674 content coincides with the occurrence of shear bands (absent in other samples), which are

sites of porosity and thus H_2O storage. It is likely that the nominal boundary width of ~1.7 nm

676 corresponds to the H₂O storage capacity of the grain boundary region. If this storage capacity

is exceeded, shear bands may develop. This process accounts for the fact that shear bands develop principally in the material with the highest initial H_2O content (i.e. in the samples with Hyuga porphyroclasts). Riedel shear bands, formed during deformation and acting as drains to collect the H_2O from the surrounding matrix, have been similarly described in wet (1.3 wt% H_2O) Dover flint experiments (Schmocker et al., 2003).

The shear bands do not have a weakening effect on the bulk sample: The sample with 70%

683 Hyuga clasts and the highest H_2O content is not weaker than others (Figure 2). The lack of

684 weakening by shear bands can be explained by a lack of simple connectivity of these bands on

the sample scale (Pec et al., 2016; Marti et al., 2017).

686 If H₂O is principally stored at grain boundaries, the storage capacity of the grain aggregate is

687 controlled by the grain size distribution and evolution. The process of redistribution of H_2O

takes place by different processes of microcracking (including fluid inclusion decrepitation)

and formation of grain boundaries by recrystallization. These processes can be observed in

- 690 natural samples and the H_2O storage capacity will be an important parameter to be determined
- 691 for deformation in natural samples, too.

692 **5. Conclusions**

In this experimental study the mechanical behavior, microstructural evolution, and H_2O content of different starting materials of "wet" quartz converge at higher shear strain at T=800°C, strain rate=5*10⁻⁵ s⁻¹ and Pc=1.5GPa. From these results we draw several conclusions:

- The high crystalline H₂O content, initially present in the form of fluid inclusions in
 wet quartz, is responsible for enhancing the recrystallization rate, in contrast to
 initially dry crystals that do not recrystallize extensively.
- Sharp absorption bands at 3585 cm⁻¹ and 3595 cm⁻¹ develop during deformation. The occurrence of the 3585 cm⁻¹ band is consistent with dislocation-bound OH, whereas the 3595 cm⁻¹ band most likely indicates OH adsorbed at grain boundaries.
- Recrystallization results in a clear decrease in H₂O concentration in quartz crystals
 initially rich in fluid inclusions, whereas H₂O uptake in initially dry crystals takes
 place by microcracking.
- In the deformed and recrystallized assemblies, most H₂O is stored in the grain
 boundary region. The amount that can be stored in the grain boundary (i.e. the storage
 capacity) is limited and corresponds to a nominally □1.7 nm thick grain boundary

- region. H₂O in excess of this nominal thickness is drained into micro-veins, formed as
 dilatant synthetic shear bands.
- These dilatant shear bands do not control the strength of samples as they are not
 simply connected.

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Table 1 - Water content

							<u> </u>				
Sample number	Composition of sample	Condition of sample	Measured material	Thickness (cm)	Abs (cm²)	SD	wt. ppm H ₂ O	SD	wt. ppm H/10 ⁶ Si	SD	Number of analyses
457GP	100% matrix	deformed	Matrix	0.0120	11.1	2.90	121	28	807	189	31
starting material	Hyuga porphyroclasts	as-is	Porphyroclast	0.0140	383.5	164.4	3446	1234	22973	8224	16
464GP	Hyuga porphyroclasts	attained P-T conditions	Core of porphyroclast	0.0133	191.2	56.2	1809	516	12058	3448	16
464GP	Matrix with Hyuga porphyroclasts	attained P-T conditions	Matrix	0.0127	63.9	34.7	633	344	4219	2296	10
428GP	Hyuga porphyroclasts	deformed	Porphyroclast	0.005	13.6	5.61	342	141	2278	941	8
428GP	Matrix with Hyuga porphyroclasts	deformed	Matrix	0.005	8.76	1.31	220	33	1469	220	9
428GP	Matrix with Hyuga porphyroclasts	deformed	Shear Bands	0.005	22.1	5.66	556	142	3710	949	12
456GP	Hyuga porphyroclasts	deformed	Recrystallized porphyroclast	0.0141	39,6	7.77	353	76	2355	509	15
456GP	Matrix with Hyuga porphyroclasts	deformed	Matrix	0.013	44.8	14.8	430	129	2868	863	9
starting material	Brazil porphyroclasts	as-is	Porphyroclast	0.0136	4.19	0.9	39	10	258	68	7
426GP	Brazil porphyroclasts	deformed	Core of porphyroclast	0.0123	9.36	6.18	96	68	638	455	13
426GP	Brazil porphyroclasts	deformed	Recrystallized porphyroclast	0.0118	21.5	14.9	229	131	1526	873	11
426GP	Matrix in Brazil sample	deformed	Matrix	0.0131	14.8	5.03	142	45	947	299	12
465GP	Brazil porphyroclasts	deformed	Recrystallized porphyroclast	0.0201	21.5	8.35	135	52	898	348	16
465GP	Matrix in Brazil sample	deformed	Matrix	0.0201	31.9	9.39	200	59	1332	391	24

Table 2-Mechanical Data

Sample number	Composition of sample	% water added	Ý [s⁻¹]	Peak τ [MPa]	រ at peak shear stress	τ at γ = 3 [MPa]	τ at end [MPa]	¥final
						/		
457GP	100% matrix	0.15	2.21 x 10 ⁻⁵	192	0.61	164	201	4.59
462GP	u	u	2.19 x 10 ⁻⁵	307	0.78	247	256	3.54
426GP	30% Brazil p.	0.10	2.12 x 10 ⁻⁵	421	0.81	286	271	3.30
428GP	30% Hyuga p.	0.10	2.08 x 10 ⁻⁵	245	0.80	247	256	3.14
465GP	70% Brazil p.	0.045	2.17 x 10 ⁻⁵	645	1.55	553	445	4.31
456GP	70% Hyuga p.	0.045	2.07 x 10 ⁻⁵	398	0.69	277	292	3.87
464GP	30% Hyuga p.	0.10	0.5		-		-	-
459GP	100% matrix	0.15	18	-	-		-	-

Table 3 - Absorption bands

				Abso	rption [cm ⁻¹]		
Material	Conditions	3363	3385	3430	3485	3585	3595	3625
Hyuga porphyroclasts	as-is		x	x	х			х
Hyuga porphyroclasts	attained P-T cond.		x	x	х	х		х
Hyuga porphyroclasts	deformed	2	x			х	х	
Brazil porphyroclasts	as-is		\mathbf{Q}		х			
Brazil porphyroclasts	deformed	x)			х	х	
Matrix in Hyuga assembly	attained P-T cond.		х				х	
Matrix in Hyuga assembly	deformed		х				х	
Matrix in Brazil assembly	deformed	x					х	
	CERTEN							
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Table 4- Grain boundary density

Experiment	Composition of sample	Grain boundary density (1/m)
457GP	100% matrix	4.7 10 ⁵
456GP	70% Hyuga porphyroclasts	6.7 10 ⁵
426GP	30% Brazil porphyroclasts	6.09 10 ⁵
465GP	70% Brazil porphyroclasts	7.75 10 ⁵
		CERTER









30 % BRAZIL porphyroclasts

70 % BRAZIL porphyroclasts

BRAZIL porphyroclast



HYUGA porphyroclast







30 % HYUGA porphyroclasts



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- Long-term creep of crustal shear zones is governed by H2O changes
- H20 content is not a static value during deformation and metamorphism
- H2O absorption bands correspond to specific structural positions in quartz