

Transferability of continuous- and class-pedotransfer functions to predict water retention properties of semiarid Syrian soils

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18 Abstract

19 Hydraulic properties of soils, particularly water retention, are key for appropriate management 20 of semiarid soils. Very few pedotransfer functions (PTFs) have been developed to predict 21 these properties for soils of Mediterranean regions, where data are particularly scarce. We 22 investigated the transferability of PTFs to semiarid soils. The quality of the prediction was 23 compared to that for soils originating from temperate regions for which most PTFs were 24 developed. We used two soil datasets, one from the Paris basin (French dataset, n = 30), and a 25 Syrian dataset (n = 30). Soil samples were collected in winter when the water content was 26 near field capacity. Composition and water content of the samples were determined at seven 27 water potentials. Continuous- and class-PTFs developed using different predictors were tested 28 using the two datasets and their performance compared to those developed using artificial 29 neural networks (ANN). The best performance and transferability of the PTFs for both 30 datasets used soil water content at field capacity as predictor after stratification by texture. 31 The quality of prediction was similar to that for ANN-PTFs. Continuous- and class-PTFs may 32 be transferable to other countries with performances that vary according to their ability to 33 account for variation in soil composition and structure. Taking into account predictors of 34 composition (particle size distribution, texture, organic carbon content) and structure (bulk 35 density, porosity, field capacity) did not lead to a better performance and best transferability 36 potential.

37 Keywords: Pedotransfer functions, Soil water retention, Syrian soils, Field capacity, Texture,
38 Bulk density.

39

40 Introduction

The hydraulic properties of soils, particularly soil water retention properties, are key data forthe appropriate management of soils. These properties, which are generally unavailable and

expensive to measure, can be predicted from other more easily measured properties using
prediction tools called "pedotransfer functions (PTFs)" (Bouma, 1989). PTFs have been
applied in a large number of studies in recent decades (e.g. Cornelis *et al.*, 2001; Wösten *et al.*, 2001; Al Majou *et al.*, 2007; Nasta *et al.*, 2009; Haghverdi *et al.*, 2015; Nemes, 2015;
Khlosi *et al.*, 2016; Nguyen *et al.*, 2017).

48 PTFs can be grouped into two categories, continuous-PTFs and class-PTFs (Wösten et al., 49 1999). Continuous-PTFs enable the continuous prediction of the water retention curve (WRC) 50 over the whole soil water content range, i.e. from water saturation to residual water content 51 (e.g. Vereecken et al., 1989; Wösten et al., 1999; Hodnett & Tomasella, 2002; Al Majou et 52 al., 2008a; Ghorbani et al., 2010; Haghverdi et al., 2012; Medrado & Lima, 2014) (Table 1). 53 The class-PTFs predict the water retention curve discontinuously by grouping the data 54 according to the functional behaviour of different horizons but may also be grouped according 55 to some other criteria, such as texture or bulk density (Wösten et al., 1990; Baker, 2008). 56 Thus, a single mean value or several mean values of the hydraulic properties are selected to 57 represent each class (Wösten et al., 1999; Schaap et al., 2001; Hodnett & Tomasella, 2002; 58 Nemes, 2002; Bruand et al., 2003; Pachepsky et al., 2006; Al Majou et al., 2007). Class-PTFs are often easy to use because they usually require little information about the soil compared 59 60 with most continuous-PTFs that are more demanding of soil characteristics (Lilly et al., 1999; 61 Nemes et al., 2003). However, they are often regarded as leading to poorer quality predictions than continuous-PTFs (Wösten et al., 1995). The issue of whether WRC prediction is best 62 63 carried out with continuous-PTFs or with class-PTFs is still debated (Wösten et al., 1999; 64 Medeiros et al., 2014) as is the issue of their transferability to other regions than those from which the soils originated to establish the PTFs considered (Cresswell et al., 2006; Touil et 65 66 al., 2016). Few studies relate to the prediction of the WRC of soils in the Mediterranean basin 67 (Dridi & Dilmi, 2011; Mohawesh, 2013; Wösten et al, 2013). Thus, in Syria and in other countries of the Mediterranean basin, there is still little information available on hydraulic
properties of soils and establishment of national soil databases is only just beginning
(Sommer *et al.*, 2012; Khlosi *et al.*, 2013).

71 Other approaches, such as artificial neural networks (ANN) (Haykin, 1994; Schaap et al., 72 2001), support vector machines (SVM) (Twarakavi et al., 2009) or the k-nearest neighbor 73 technique (k-NN) (Nemes et al., 2006a) have also been developed in recent decades to predict 74 the water retention properties of soils. The approach using artificial neural networks (ANN) use one or more hidden layers or hidden units and are based on a self-learning process by 75 76 using a set of soils for which the water retention properties and the basic properties are known 77 (Table 1). Artificial neural network-based PTFs were introduced by Pachepsky et al. (1996) 78 and Schaap and Bouten (1996). Unlike continuous- and class-PTFs, they do not require an a 79 priori model (e.g. linear or exponential functions) (Nemes et al., 2002). During the last two 80 decades, ANNs have been used extensively to predict soil water retention and their 81 performance has been compared with PTFs based on other approaches (Minasny et al., 2004; 82 Twarakavi et al., 2009; Nguyen et al., 2017).

The objective of the present study was to select continuous- and class-PTFs from the literature and to analyze the quality of prediction when used for a set of French soils and a set of Syrian soils originating from temperate and Mediterranean regions, respectively. Prediction performance results for the selected continuous- and class-PTFs were also compared with those for ANN-PTFs. Additionally, their transferability to soil in France and Syria were assessed, given that the compared PTFs were established with soil samples from different origins.

90

91 Materials and methods

92 Soil data sets

A first set of soil samples was collected in France. Thus, 30 horizons (12 horizons A or Ap
and 18 horizons E, B or C) originating from soils developed on sedimentary rocks in the Paris
basin were sampled in winter. The climate of the Paris basin is temperate and influenced by
the Atlantic Ocean, according to the distance from the coast. The soils were Cambisols,
Luvisols and Fluvisols (ISSS Working Group R.B., 1998) (Bruand & Tessier, 2000).

98 Another set of soil samples was collected in Syria. The soil samples were also collected in winter between latitudes 32 and 37° N and longitudes 35 to 42° E, an area with a 99 100 Mediterranean or degraded Mediterranean climate (Rigot, 2006). Along the coast, the climate 101 is Mediterranean but continental influences and aridity contribute to a rapid degradation of the 102 Mediterranean climate as the distance from the coast increases. A set of 30 horizons (16 103 horizons A or Ap and 14 horizons E, B or C) resulting from four sites were chosen as being 104 representative of the main soil types. They were developed on calcareous and volcano-105 sedimentary (basaltic) parent materials and collected in Aridisols, Inceptisols and Vertisols 106 (Ilaiwi, 1980; Yuksel, 1982; Land Classification and Soil Survey of the Syrian Arab Republic, 107 1982) or Calcisols, Gypsisols, Inceptisols and Vertisols (van Liere, 1995).

108 For each horizon, the particle size distribution without decarbonation (Robert & Tessier, 109 1974), the bulk density of clods and horizons (Bruand & Tessier, 2000), the organic carbon 110 content by oxidation using an excess amount of potassium dichromate in a sulphuric acid 111 controlled at 135°C (Baize, 2000), the CaCO₃ content (Dupuis, 1969) and cation exchange 112 capacity (CEC) (Ciesielski & Sterckeman, 1997) were determined. The volumetric water 113 content was determined for the 60 soil samples from the two datasets at the water potential 114 values $-10 \text{ hPa}(\theta_{1.0})$, $-33 \text{ hPa}(\theta_{1.5})$, $-100 \text{ hPa}(\theta_{2.0})$, $-330 \text{ hPa}(\theta_{2.5})$, $-1000 \text{ hPa}(\theta_{3.0})$, -3300115 hPa ($\theta_{3,5}$), -15000 hPa ($\theta_{4,2}$) using the pressure plate extractor method (Bruand & Tessier, 116 2000).

117 The continuous- and class-PTFs selected

118 Among continuous-PTFs, some enable the direct prediction of the parameters of a WRC 119 model (Table 1). In most studies, these continuous-PTFs are multiple linear regressions or 120 non linear regressions providing the parameters of the van Genuchten model (1980) as output 121 variables by using the particle size distribution, the organic carbon content and the bulk 122 density as input soil properties (e.g. Tomasella et al., 2003; Schaap et al., 2001) (Fig. 1a). 123 Among this group of continuous-PTFs, those established with a large number of European soils (Wösten-continuous-PTFs, see Table 5 in Wösten et al. 1999), with Belgian soils 124 125 (Vereecken-continuous-PTFs, see Table 7 in Vereecken et al., 1989), and with French soils 126 (VG-continuous-PTFs, see Table 6 in Al Majou et al., 2008a) were selected for this study 127 (Table 1). They all estimate the parameters of the van Genuchten model (1980).

128 Other continuous-PTFs do not directly predict the parameters of a WRC model but rather the 129 water content at several matric potentials as output variables, generally by using the particle 130 size distribution, the organic carbon content and the bulk density as input data (e.g. Pachepsky 131 & Rawls, 1999; Reichert et al., 2009; Ghanbarian & Millán, 2010; Minasny & Hartemink, 132 2011) (Fig. 1b). Then, knowing the water content at different water potentials, a WRC model 133 is adjusted to the predicted water contents. Among this group of continuous-PTFs, those 134 established with Brazilian soils (Reichert-continuous-PTFs, see Table 4 in Reichert et al., 135 2009), with French soils (PSD-continuous-PTFs and FC-continuous-PTFs, see Table 4 & 5 in 136 Al Majou et al., 2008a), with soils originating from the USA (Ghanberian-continuous-PTFs, 137 see Table 5 model 1 in Ghanbarian & Millán, 2010) and with soils originating from tropical 138 regions (Minasny-continuous-PTFs, see section 5.1 in Minasny & Hartemink., 2011) were 139 selected for this study (Table 1). They estimate water contents at three to seven values of 140 water potential and concern a large range of soil types.

On the other hand, some of the class-PTFs directly predict the parameters of a WRC model
after stratification according to soil characteristics such as texture, bulk density, the type of

horizon or type of soil, as input data (Table 1, Fig. 1c). Among this group of class-PTFs, those
established after stratification by texture alone, and by both the type of horizon and texture
with soils originating from North America (Schaap-class-PTFs, see Table 1 in Schaap *et al.*,
2001), Europe (Wösten-class-PTFs, see Table 4 in Wösten *et al.*, 1999) and France (T-H-VGclass-PTFs, see Table 3 in Al Majou *et al.*, 2008a), respectively, were selected for this study
(Table 1).

149 Finally, other class-PTFs are sets of water contents at different values of water potential, these 150 water contents being related also to classes of soil characteristics such as texture, bulk density, 151 the type of horizon or type of soil, as input data (Table 1, Fig. 1d). Among this group of class-152 PTFs, those established with French soils after stratification by either texture alone, by both 153 texture and bulk density or by all the three predictors texture, bulk density and type of horizon 154 (T-FC-class-PTFs, see Tables 5 Al Majou et al., 2008a; T-class-PTFs, T-BD-class-PTFs, T-155 BD-H-class-PTFs, see Tables 2, 4 and 5 in Al Majou et al., 2008b) and providing sets of 156 water content at seven values of water potential were selected for this study.

157 The Artificial Neural Networks-PTFs

The type of ANN selected was the one most commonly used to predict the hydraulic properties of soils (Børgesen & Schaap, 2005; Fashi, 2014). It usually consists of a three-layer feed forward back propagation network using, for each model, input layers (basic soil properties), hidden layers, and output layers (soil hydraulic properties), (Fig. 1e). Each neuron of the hidden layer calculates the sum *s*, of a weighted combination w_i , of its input signals x_i , and a bias term w_0 , and passes the result through the activation functions that were tangent hyperbolic and linear in the hidden and output layers, respectively.

165
$$s = \sum_{i=1}^{n} w_i x_i + w_0$$
(1)

166 The Levenberg-Marquardt algorithm (Demuth & Beale, 2000) was implemented to speed up 167 the training of the multi-layer feed-forward neural network. The number of neurons in the 168 hidden layer has to be found through trial and error; the number tested here varied from 1 to 169 10 neurons. The feed-forward process stops once the output is predicted. Back-propagation 170 algorithms try to minimize the error (minimize the sum of squares of the residuals between 171 the measured and predicted outputs) of the mathematical system represented by the neural 172 network's weights. The error is estimated as the difference between the actual and computed 173 outputs.

The French national database used by Al Majou *et al.* (2008b) to develop continuous- and class-PTFs, including those discussed in this study, and which consists of 456 samples was used to train and evaluate the predictive performance of the ANN developed (Table 2). To continue to test the ANN method, the ANN developed was then applied to the French and Syrian datasets. The ANN simulations were performed by using the neural network toolbox provided by Matlab (R2014b).

180 Criteria used to evaluate the performance of the continuous- and class-PTFs

181 To assess the continuous and class-PTFs performance, we used the mean error of prediction 182 (MEP) and the standard deviation of prediction (SDP) which provide information on the 183 estimation bias and precision, respectively, as follows:

184
$$MEP = \frac{1}{l' \cdot l} \sum_{j=1}^{l'} \sum_{i=1}^{l} (\theta_{p,j,i} - \theta_{m,j,i})$$
(2)

185
$$SDP = \left\{ \frac{1}{l! \cdot l} \sum_{j=1}^{l'} \sum_{i=1}^{l} \left[(\theta_{p,j,i} - \theta_{m,j,i}) - MEP \right]^2 \right\}^{1/2}$$
(3)

187 where $\theta_{p,j,i}$ is the predicted water content at matric potential *i* for the horizon *j*, $\theta_{m,i,j}$ is the 188 measured water content at matric potential *i* for the horizon *j*, and *l* is the number of matric 189 potentials for each horizon (*l*=7 in this study) and *l*' is the number of horizons studied.

The root mean square error (*RMSE*) which is commonly used to test PTFs (e.g. Wösten *et al.*,
2001; Schaap, 2004; Lamorski *et al.*, 2008) and which varies according to both the overall
prediction bias and the overall prediction precision was also computed:

193

194
$$RMSE = \left\{ \frac{1}{l' \cdot l} \sum_{j=1}^{l'} \sum_{i=1}^{l} \left(\theta_{p,j,i} - \theta_{m,j,i} \right)^2 \right\}^{1/2}$$
(4)

195 Beside these three statistical criteria, the coefficient of determination (R^2) was computed:

196
$$R^{2} = 1 - \frac{\sum_{j=1}^{l'} \sum_{i=1}^{l} (\theta_{p,j,i} - \theta_{m,j,i})^{2}}{\sum_{j=1}^{l'} \sum_{i=1}^{l} (\theta_{m,j,i} - \overline{\theta}_{m,i})^{2}}$$
(5)

197 where $\overline{\theta}_{m,i}$ represents the average of the measured water content at the matric potential *i*. The 198 value of the coefficient of determination (R^2) measures the strength of the linear relation 199 between the predicted and measured values.

200 **Results and discussion**

201 Characteristics of the studied soils

The studied horizons showed Fine, Very Fine or Medium texture in the European triangle of texture (Commission of the European Communities, 1985) and no coarse elements (Table 2, Fig. 2a). Particle size distribution mean values for the datasets from France and Syria were close (Fig. 2b–c). However, the Syrian dataset showed a smaller mean OC content because of the higher temperatures, limited precipitation and tillage systems that rapidly oxidize organic matter (Mrabet, 2011). As for the smaller mean bulk density of the Syrian soils, it can be attributed to a larger macroporosity in most horizons as observed in the field (data not shown). The mean $CaCO_3$ content and CEC were greater in the Syrian dataset.

Results also showed a greater water content for matric potential ranging from -10 hPa ($\theta_{1.0}$) to -330 hPa ($\theta_{2.5}$) for the Syrian dataset. These greater water contents are consistent with the smaller mean bulk density recorded for the Syrian dataset and a greater proportion of swelling clays in the Syrian dataset as indicated by the greater mean CEC (36.5 cmol_c/kg), the OC content being smaller in Syrian soils and the clay content similar in the two data sets.

215 Evaluation of the continuous- and class-PTFs developed with the French national database

216 The performance of the continuous- and class-PTFs developed with the French national 217 database showed that the best prediction was recorded for the French dataset with the class-218 PTFs using the water content at field capacity as predictor after stratification by texture (T-219 FC-class-PTFs). These class-PTFs are the only ones that perform best for three statistical criteria (Table 3): the root mean square error (RMSE = $0.023 \text{ cm}^3/\text{cm}^3$) was the smallest and 220 the precision (SDP = $0.023 \text{ cm}^3/\text{cm}^3$) and coefficient of determination ($R^2 = 0.81$), the greatest 221 (Table 3) (Fig. 3d). The worst prediction was recorded with the class-PTFs after successive 222 stratification by texture and bulk density (T-BD-class-PTFs) with the smallest precision (SDP 223 = 0.036 cm³/cm³) and coefficient of correlation ($R^2 = 0.52$) and the largest root mean square 224 error (RMSE = $0.036 \text{ cm}^3/\text{cm}^3$) (Table 3) (Fig. 3f). The continuous-PTFs developed for the 225 226 parameters of the van Genuchten model (1980) (VG-continuous-PTFs) led to similar results to those obtained with the T-BD-class-PTFs, with small precision (SDP = $0.036 \text{ cm}^3/\text{cm}^3$) and 227 high root mean square error (RMSE = $0.036 \text{ cm}^3/\text{cm}^3$) (Table 3) (Fig. 3a). 228

With the Syrian dataset, the best performance was also recorded with the class-PTFs using water content at field capacity as predictor after stratification by texture (T-FC-class-PTFs) (Table 3). The estimation bias (MEP = $-0.001 \text{ cm}^3/\text{cm}^3$) and the root mean square error

 $(RMSE = 0.029 \text{ cm}^3/\text{cm}^3)$ were the smallest and the precision $(SDP = 0.029 \text{ cm}^3/\text{cm}^3)$ the 232 greatest, the coefficient of determination ($R^2 = 0.75$) being the second largest with the T-FC-233 class-PTFs (Table 3) (Fig. 4d). The worst prediction was recorded with the continuous-PTFs 234 developed for the parameters of the van Genuchten model (1980) (VG-continuous-PTFs) with 235 the smallest precision (SDP = $0.046 \text{ cm}^3/\text{cm}^3$), a high estimation bias (MEP = $0.022 \text{ cm}^3/\text{cm}^3$) 236 and the highest root mean square error (RMSE = $0.048 \text{ cm}^3/\text{cm}^3$) (Table 3) (Fig. 4a). The 237 238 class-PTFs after successive stratification by texture and bulk density (T-BD-class-PTFs) gave 239 results that had rather poor precision and root mean square error, though the estimation bias was very small (MEP = $0.001 \text{ cm}^3/\text{cm}^3$) and the coefficient of determination was much 240 smaller ($R^2 = 0.51$) (Fig. 4f) than with the VG-continuous-PTFs. 241

242 In accordance with the results published by Cresswell et al. (2006), the rather good results 243 recorded with the class-PTFs that used the water content at field capacity as predictor are 244 likely related to the fact that the field capacity corresponds to a point on the water retention 245 curve corresponding to a water potential close to -100 hPa (Al Majou et al., 2008a). 246 Preliminary stratification by texture increases the prediction quality (Table 3) since the shape 247 of the WRC and the relative location of the water content corresponding to field capacity on 248 that curve vary according to texture. Comparison of the performance recorded with the French 249 and Syrian datasets using the averaged criteria show that the continuous- and class-PTFs 250 perform better when used for the French dataset (Table 3) which can be considered to be 251 related to the origin of the soils used to develop the tested PTFs.

Evaluation of the selected continuous- and class-PTFs from the literature and not using French soils exclusively

Results recorded with the French dataset show that there was no PTF that performed best for all four criteria (Table 4). The smallest estimation bias was recorded with the Wöstencontinuous-PTFs (MEP = $-0.002 \text{ cm}^3/\text{cm}^3$), the best precision with the Vereeckencontinuous-PTFs (SDP = $0.040 \text{ cm}^3/\text{cm}^3$), the smallest root mean square error with the Ghanbarian-continuous-PTFs (RMSE = $0.046 \text{ cm}^3/\text{cm}^3$) and the greatest correlation coefficient with the Wösten-class-PTFs (R² = $0.83 \text{ cm}^3/\text{cm}^3$) (Fig. 5a, b, d, g). However, the performance of the Ghanbarian-continuous-PTFs is among the best except for the correlation coefficient (R² = $0.26 \text{ cm}^3/\text{cm}^3$) (Table 4) (Fig. 5d).

On the other hand, results recorded with the Syrian dataset show that the Wösten-class-PTFs led to the best results for precision (SDP = $0.045 \text{ cm}^3/\text{cm}^3$), the smallest root mean squared error (RMSE = $0.047 \text{ cm}^3/\text{cm}^3$), the greatest correlation coefficient (R² = 0.83) but a rather intermediate prediction bias (MEP = $0.019 \text{ cm}^3/\text{cm}^3$) (Table 4) (Fig. 6g).

266 Comparison of the results recorded with the French and Syrian datasets using the averaged 267 criteria show similar performances but much smaller than those recorded with the continuous-268 and class-PTFs derived from the French national database (Tables 3 and 4).

269 Evaluation of the performance of the Artificial Neural Networks

270 The ANN-PTFs developed with the French national database (Fig. 7a) led to a prediction 271 quality for the French dataset used in this study (Table 5) that was close to the prediction 272 quality recorded with the best PTFs tested (T-FC-class-PTFs, Table 3) (Fig. 7c). The RMSE 273 which varies inversely with the overall prediction quality was slightly greater with the ANN than the one recorded with the T-FC-class-PTFs (0.030 and 0.023 cm³/cm³, respectively) 274 275 (Tables 3 and 5). The correlation coefficient, which measures the strength of the linear 276 relation between the predicted and measured water content, was slightly greater with the 277 ANN-PTFs than with the T-FC-class-PTFs (0.85 and 0.81, respectively). It should also be 278 mentioned that the prediction quality recorded with the T-FC-class-PTFs was not so far from 279 the one observed with the ANN-PTFs when applied to the test dataset (20% of the original data, i.e. 91 samples belonging to the French national database) since the RMSE were 0.023 and 0.029 cm^3/cm^3 , respectively, and the R², 0.81 and 0.89, respectively (Tables 3 and 5).

On the other hand, the ANN-PTFs developed with the French national database led to a smaller prediction quality for the Syrian dataset than with the T-FC-class-PTFs developed by Al Majou *et al.* (2008a). The RMSE recorded with the ANN-PTFs and the T-FC-class-PTFs was much greater (0.049 and 0.029 cm^3/cm^3 , respectively) (Tables 3 and 5) and the correlation coefficients recorded with the ANN-PTFs slightly greater than with the T-FCclass-PTFs (0.78 and 0.75, respectively) (Fig. 7b).

288 The analysis of the results also showed that the PTFs selected in the literature and not using 289 French soils performed less well than the ANN-PTFs, as already reported in the literature (Nguyen *et al.*, 2017) (Tables 4 and 5). The RMSE and R^2 were respectively greater and 290 smaller than with the ANN-PTFs, using the PTFs selected in the literature and not using 291 French soils. The Wösten-class-PTFs had however a much higher correlation coefficient (R^2 292 293 = 0.83 for the French and Syrian datasets) than that recorded for the other PTFs selected in the 294 literature and fairly similar to the correlation coefficient recorded with the ANN (Tables 4 and 295 5).

296

297 Conclusion

Our results show that PTFs developed for French soils are transferable to the Syrian soils selected for this study of semiarid Mediterranean soils. With the class-PTFs which use the soil water content at field capacity as predictor after stratification by texture, the quality of prediction is similar to that recorded with ANNs which are nowadays recognized as leading to a quality of prediction better or similar to those recorded with the PTFs published in the literature. The performance of these PTFs can be explained by the fact that a point on the water retention curve is actually used as a predictor even if the attribution of an accurate water 305 potential value to the field capacity state is not possible. Stratification by texture prior to the 306 development of these PTFs then increases their ability to predict the water retention properties 307 properly, as the shape of the water retention curve is closely related to the soil texture. In 308 other words, the performance of the class-PTFs which use the field water content as predictor 309 after stratification by texture is likely related to using information about both the elementary 310 particle size distribution (texture) and their assemblage (structure and related porosity) which 311 are known to be major basic soil properties responsible for variability in water retention 312 properties. When the soil water content at field capacity is not available, the best performance 313 recorded remains less clear. If the coefficient of determination recorded with continuous-PTFs 314 is large when using texture information as predictor, the bias is large when applied to Syrian 315 soils. With a lower coefficient of determination but the other statistical criteria close to those 316 recorded when using water content at field capacity as predictor, our results show that worthy 317 results in terms of transferability potential were recorded with class-PTFs using bulk density 318 as predictor after stratification by texture.

319 Analysis of the performance recorded with the continuous- and class-PTFs selected in the 320 literature shows a poorer quality of prediction than PTFs developed with French soils. This 321 probably has nothing to do with the origin of the soils but with a more appropriate 322 consideration of soil characteristics related to soil composition and structure. The high 323 correlation coefficients recorded with the class-PTFs developed by Wösten et al. (1999) and 324 Shaap et al. (2001) are therefore likely related to their use of stratification by texture, thus 325 leading to a high consistency of the water content variation according to water potential even 326 if the prediction bias and prediction accuracy are high and low, as shown by the MEP and 327 SDP, respectively.

Finally, our results show that continuous- or class-PTFs could be considered as transferablewith satisfactory performance to other countries where database of hydraulic soil properties

330 are not available to establish their own PTFs. The expected prediction quality of the PTFs 331 transferred appeared to be mainly related to their ability to take into account predictors related 332 to the characteristics of the elementary particles and their assemblage, since the best results 333 were recorded with PTFs which combine predictors related to both soil composition and 334 structure. Our results also showed that taking into account several predictors related to soil 335 composition together (particle size distribution, texture, organic carbon content) and soil 336 structure together (bulk density, porosity, field capacity) does not lead to a better performance 337 and subsequently to the best transferability potential. It is noteworthy that the best 338 performance were recorded by the class-PTFs that use texture as stratification criteria and 339 then the water content at field capacity as structure information.

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345

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Table 1 List of continuous- and class-PTFs according to the output variables and relationship between input and output variables.

Output variables	Relationship between input and output variables (continuous-PTFs) and stratification type (class-PTFs)	Input variables	Geographical domain or country	Reference
		Continuous-PTF		
Parameters of a water	MLR	PSD, BD, OC	Belgium	Vereecken et al. (1989)
retention curve model		PSD, BD, OM	Europe	Wösten et al. (1999)
		PSD, BD, OC, pH, CEC	Tropics	Hodnett & Tomasella (2002)
		PSD, BD, Me	Brazil	Tomasella et al. (2003)
		PSD	India	Adhikary et al. (2008)
		PSD, BD, OC	France	Al Majou <i>et al.</i> (2008a)
		PSD, OC, pH	India	Santra & Das (2008)
		PSD, BD, d _σ , σ _σ	Iran	Ghorbani <i>et al.</i> (2010)
		PSD, BD, OC	India	Patil <i>et al.</i> (2012)
		PSD, BD, OM	Brazil	Medrado & Lima, (2014)
	ANN	PSD. BD. θ_{33} . θ_{1500}	North America, Europe	Schaap <i>et al.</i> (2001)
		PSD, BD, OM, $\theta_1, \theta_{10}, \theta_{100}, \theta_{1500}$	Denmark	Børgesen & Schaap (2005)
		PSD, BD, OC, $log(h)$	Iran, Australia	Haghverdi et al. (2012)
	SVM	PSD, BD, $log(h)$	USA	Twarakavi et al. (2009)
Set of θ at different	MLR and LR	PSD, BD, Me	Brazil	Tomasella et al. (2003)
water potentials		θ_{FC}	France	Al Majou <i>et al.</i> (2008a)
-		PSD, BD, OM	Brazil	Reichert et al. (2009)
		PSD, BD, d_{g} , σ_{g} , $\theta(h)$	USA, France	Ghanbarian & Millán (2010)
		PSD. BD. d _g , σ_{g}	Iran	Ghorbani et al. (2010)
		PSD. BD. OC	Tropics, USA	Minasny & Hartemink (2011)
		PSD, BD, OM, PL	Syria	Khlosi et al. (2016)
		PSD, BD, OC, $\log(h)$	Vietnam	Nguyen et al. (2017)
	ANN	PSD, BD, OM, θ_1 , θ_{10} , θ_{100} , θ_{1500}	Denmark	Børgesen & Schaap (2005)
		PSD. BD	Poland	Lamorski <i>et al.</i> (2008)
		PSD, BD, OC, $\log(h)$	Iran, Australia	Haghverdi et al. (2012)
		PSD, BD, OC	India	Patil <i>et al.</i> (2012)
		PSD, BD, OM, PL	Syria	Khlosi <i>et al.</i> (2016)
		PSD, BD, OC, $\log(h)$	Vietnamese	Nguyen <i>et al.</i> (2017)
	SVM	PSD, BD	Poland	Lamorski et al. (2008)

		PSD, BD, OC	Vietnam	Nguyen et al. (2015)
		PSD, BD, OM, PL	Syria	Khlosi et al. (2016)
		PSD, BD, OC, $log(h)$	Vietnam	Nguyen et al. (2017)
	k-NN	PSD, BD, OM	USA	Nemes et al. (2006a)
		PSD, BD, OC	India	Patil et al. (2012)
		PSD, BD	USA, Belgium	Haghverdi et al. (2015)
		PSD, BD, OC	Vietnam	Nguyen et al. (2015)
		PSD, BD, OC, $\log(h)$	Vietnam	Nguyen et al. (2017)
		Class-PTF		
Parameters of a water	Multiple parameters class distribution	T, Hor.	Europe	Wösten et al. (1999)
retention curve model		T, Hor.	Tropics	Hodnett & Tomasella (2002)
		T, Hor.	France	Al Majou <i>et al.</i> (2007)
		T, Hor.	France	Al Majou <i>et al.</i> (2008a)
	Mono parameter class distribution	Т	North America, Europe	Schaap <i>et al.</i> (2001)
Set of θ at different	Multiple parameter class distribution	T, BD	France	Bruand et al. (2003)
water potentials		T, BD, Hor.	France	Al Majou <i>et al.</i> (2008b)
		Statistical distribution of		
		dataset (texture, horizon)	Europe	Baker (2008)
		T, BD, Hor.	Portugal	Ramos et al. (2013)
	Mono parameter class distribution	T, FC	France	Al Majou et al. (2008a)
		Т	France	Al Majou et al. (2008b)

539 540 541 542 543 MLR : multiple linear regression, LR: linear regression, ANN: artificial neural networks, SVM: support vector machine, k-NN: k nearest neighbor technique, PSD: particle size distribution, BD: bulk density, OC: organic carbon, OM: organic matter, CEC: cation exchange capacity, Me: moisture equivalent, d_g : geometric mean particle size diameter, σ_g : geometric standard deviation, PL: plastic limit, θ_{FC} : volumetric water content at field capacity, $\theta_{(1, 10, 33, 100, 1500)}$ volumetric water content at matric potential (kPa), log(h): logarithm of the absolute value of matric head in cm water, T:

texture class, Hor: type of horizon (topsoil or subsoil).

545 Descriptive statistics of main characteristics of the soil dataset used in this study.

	Coarse elements (%)	Particl	e size di (%)	stribution	OC g/kg	CaCO ₃ g/kg	CEC Cmol _c /kg	D _b g/cm ³	Volume	etric wate	r content ((cm ³ /cm ³) hPa	at matric	potential	$h(\theta_h)$ in
	>2000	<2	2-50	50-2000		00			θ_{10}	θ_{33}	θ_{100}	θ ₃₃₀	θ_{1000}	θ_{3300}	θ_{15000}
	μm	μm	μm	μm					10	55	100	220	1000	2200	15000
				French	National	database us	ed to train ar	nd test th	e PTFs (n = 456)					
mean	<1	29.3	43.8	26.9	6.0	54.2	14.8	1.52	0.354	0.335	0.315	0.289	0.259	0.221	0.187
s.d.	_	15.4	21.8	25.6	5.1	171.3	9.0	0.15	0.068	0.070	0.075	0.076	0.079	0.076	0.073
min.	_	1.9	1.6	0.1	0.0	0.0	0.6	0.95	0.134	0.100	0.080	0.056	0.045	0.033	0.013
max.	_	92.9	82.1	95.4	28.8	982	52.8	1.98	0.605	0.596	0.586	0.557	0.510	0.462	0.370
					French s	oil samples	used to test t	he PTFs	n = 30)					
mean	<1	42.1	32.0	25.9	8.58	34.30	22.03	1.45	0.387	0.365	0.347	0.326	0.307	0.274	0.244
s.d.	_	14.4	13.7	15.1	6.8	98.5	10.1	0.16	0.049	0.050	0.051	0.054	0.055	0.050	0.058
min.	_	20.1	10.5	3.1	0.0	0.0	5.30	1.10	0.310	0.295	0.275	0.242	0.203	0.167	0.142
max.	_	68.9	53.5	59.8	28.8	424	45.90	1.77	0.496	0.489	0.469	0.446	0.415	0.366	0.363
					Syrian s	oil samples	used to test t	he PTFs	(n = 30)	I					
mean	<1	41.4	32.8	27.8	1.18	63.7	36.5	1.22	0.436	0.417	0.388	0.344	0.307	0.277	0.239
s.d.	_	16.0	9.8	11.7	0.96	77.9	7.3	0.10	0.061	0.058	0.055	0.050	0.051	0.062	0.067
min.	_	12.2	11.6	8,0	0.36	7.2	23.7	1.04	0.352	0.341	0.324	0.285	0.242	0.198	0.149
max.	_	691	533	53.0	39	310	49.2	1 4 1	0 577	0 559	0 507	0 474	0 444	0 435	0.404

554 Validation criteria of the continuous-PTFs and class-PTFs developed with the French national

PTFs type	Descriptive statistics of	the relationship between a	measured and predicted w	ater content
	MEP (cm ³ /cm ³)	SDP (cm ³ /cm ³)	RMSE (cm ³ /cm ³)	<i>R</i> ²
		French dataset (n=30)		
VG-continuous-PTFs	-3*10 ⁻⁴	0.036	0.036	0.77
PSD-continuous-PTFs	-0.003	0.033	0.033	0.81
FC-continuous-PTFs	-0.012	0.028	0.031	0.66
T-FC-class-PTFs	-0.001	0.023	0.023	0.81
T-class-PTFs	-0.002	0.028	0.028	0.71
T-BD-class-PTFs	0.003	0.036	0.036	0.52
T-BD-H-class-PTFs	-0.002	0.029	0.029	0.69
T-H-VG-class-PTFs	-0.003	0.030	0.030	0.68
Average	0.003*	0.030	0.031	0.71
		Syrian dataset (n=30)		
VG-continuous-PTFs	0.022	0.046	0.048	0.74
PSD-continuous-PTFs	-0.021	0.033	0.035	0.85
FC-continuous-PTFs	-0.005	0.033	0.034	0.66
T-FC-class-PTFs	-0.001	0.029	0.029	0.75
T-class-PTFs	-0.025	0.039	0.047	0.36
T-BD-class-PTFs	0.001	0.041	0.041	0.51
T-BD-H-class-PTFs	0.002	0.039	0.039	0.56
T-H-VG-class-PTFs	-0.024	0.037	0.044	0.43
Average	0.013*	0.037	0.040	0.61

555 database when applied to the French and Syrian datasets.

*: Computed using the absolute value of every MEP. VG-continuous-PTFs are the continuous pedotransfer functions developed for the parameters of the van Genuchten model using multiple regression equations, PSD-continuous-PTFs are the continuous pedotransfer functions developed by multiple regression equations using particle-size distribution (PSD), organic carbon and bulk density, FC-continuous-PTFs are the continuous pedotransfer functions developed by using the water content at field capacity, T-FC-class-PTFs are the class pedotransfer functions developed by using the water content at field capacity as predictor after stratification by texture, T-class-PTFs are the pedotransfer functions developed after stratification by texture, T-BD-class-PTFs are the pedotransfer functions developed after stratification by texture and bulk density, T-BD-H-class-PTFs are the pedotransfer functions developed after stratification the type of horizon, T-H-VG-class-PTFs are the pedotransfer functions developed after stratification by texture and type of horizon (topsoil and subsoil) for the parameters of the van Genuchten model (1980).

576 Criteria enabling assessment of the prediction quality for the continuous- and class-PTFs 577 selected in the literature and not using the French national database.

PTFs type	Descriptive statistics	of the relationship between	measured and predicted	water conte
	MEP (cm ³ /cm ³)	SDP (cm ³ /cm ³)	RMSE (cm ³ /cm ³)	<i>R</i> ²
		French dataset $(n-20)$		
Wösten-continuous-PTFs	-0.002	0.051	0.051	0.30
Vereecken-continuous-PTFs	0.038	0.040	0.055	0.21
Reichert-continuous-PTFs	0.031	0.042	0.052	0.12
Ghanbarian-continuous-PTFs	0.005	0.045	0.046	0.26
Minasny-continuous-PTFs	0.016	0.051	0.054	0.20
Schaap-class-PTFs	-0.019	0.072	0.075	0.60
Wösten-class-PTFs	0.040	0.050	0.064	0.83
Average	0.022*	0.050	0.057	0.36
		Syrian dataset (n=30)		
Wösten-continuous-PTFs	0.005	0.059	0.059	0.43
Vereecken-continuous-PTFs	0.022	0.052	0.052	0.20
Reichert-continuous-PTFs	-0.020	0.044	0.048	0.42
Ghanbarian-continuous-PTFs	-0.006	0.051	0.051	0.21
Minasny-continuous-PTFs	0.003	0.050	0.050	0.30
Schaap-class-PTFs	-0.048	0.056	0.074	0.76
Wösten-class-PTFs	0.019	0.043	0.047	0.83
Average	0.018*	0.051	0.054	0.45
•. Computed using the absolute value	of every will			

592 Descriptive statistics of the relationship between measured and predicted water content593 developed by artificial neural networks (ANN).

	Trainin	ig data	Test data		
_	RMSE (cm ³ /cm ³)	<i>R</i> ²	RMSE (cm ³ /cm ³)	<i>R</i> ²	
French National database	0.030	0.90	0.029	0.89	
Syrian dataset	-	-	0.049	0.78	
French dataset	-	-	0.030	0.85	





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Figure 1: The typical topologies of the continuous and class-PTFs used in this study. (a): continuous-PTFs providing the parameters of the van Genuchten model (1980), (b): continuous-PTFs providing the water content at several matric potentials, (c): class-PTFs providing the parameters of the van Genuchten model (1980), (d): class-PTFs providing the water content at several matric potentials, and (e): artificial neural networks (ANN)-PTFs. $\theta_1, \theta_{1.5}, \theta_{4.2}$: volumetric water content in cm³ cm⁻³ at seven different matric potentials, $\theta_r, \theta_s, \alpha$ and *n* are the parameters of the van Genuchten equation, T: texture, BD: bulk density, OC: organic carbon, H: type of horizon (topsoil or subsoil).



Figure 2: FAO triangle (FAO, 1990) of texture used (a), distribution of soil texture from samples used in this
 study to test the validity of the continuous- and class-PTFs selected, Syrian soil samples (b) and French soil
 samples (c).





639 Figure 3: Evaluation of the continuous-PTFs (a, b, c, d) and class-PTFs (e, f, g, h) developed with the French 640 national database and applied to the French dataset. Continuous-PTFs developed for the parameters of the van 641 Genuchten model (1980) (a), continuous-PTFs developed by multiple regression equations (b), continuous-PTFs 642 with the volumetric water content at field capacity (c), class-PTFs with the volumetric water content at field 643 capacity after stratification by class of texture (d), class-PFTs by class of texture (e), by class of texture and bulk 644 density (f), by class of texture and bulk density after grouping according to the type of horizon (g) and finally by 645 class of texture for the parameters of the van Genuchten model (1980) (h). 646





649 Figure 4: Evaluation of the continuous-PTFs (a, b, c, d) and class-PTFs (e, f, g, h) developed with the French 650 national database and applied to the Syrian dataset. Continuous-PTFs developed for the parameters of the van 651 Genuchten model (1980) (a), continuous-PTFs developed by multiple regression equations (b), continuous-PTFs 652 with the volumetric water content at field capacity (c), class-PTFs with the volumetric water content at field 653 capacity after stratification by class of texture (d), class-PFTs by class of texture (e), by class of texture and bulk 654 density (f), by class of texture and bulk density after grouping according to the type of horizon (g) and finally by 655 class of texture for the parameters of the van Genuchten model (1980) (h). 656



Figure 5: Evaluation of the continuous-PTFs (a, b, c, d, e), and class-PTFs (f, g) selected in the literature when applied to the French dataset. R^2 were computed for all matric potential values together.



Figure 6: Evaluation of the continuous-PTFs (a, b, c, d, e), and class-PTFs (f, g) selected in the literature when applied to the Syrian dataset. R² were computed for all matric potential values together.



670 671 672 673 Figure 7: Measured and predicted water content (cm³/cm³) recorded with the artificial neural networks using soil properties (clay, silt, sand, organic carbon and bulk density) for the French national database (Al Majou et al., 2008b) (a), Syrian dataset (b) and the French dataset (c).