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Benjamin Sultan, Ben Parkes, Marco Gaetani

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1 **Direct and indirect effects of CO₂ increase on crop yield in West** 2 **Africa**

3 Benjamin Sultan (1), Ben Parkes (2) and Marco Gaetani (3)

4 (1) ESPACE-DEV, Univ Montpellier, IRD, Univ Guyane, Univ Reunion, Univ Antilles, Univ Avignon, Maison de la Télédétection,
5 500 rue Jean-François Breton, F-34093 Montpellier Cedex, France

6 (2) MACE – Manchester, United Kingdom

7 (3) LATMOS/IPSL, CNRS, Sorbonne Université, Université Paris-Saclay, Paris, France

8

9

10 **Abstract**

11 Climate change directly threatens food security in West Africa through a negative impact on
12 productivity of the main staple food crops. However, providing consistent future crop yield
13 projections in the region remain challenging because of uncertainty in the response of the regional
14 climate to the CO₂ increase and in the response of the cultivated crop to this altered climate with
15 more CO₂ in the atmosphere. Here, we analyse a set of idealised climate simulations to investigate
16 the effect of CO₂ concentration increase on the West African monsoon and potential impacts on
17 crop yields of maize. On the one hand, simulations with prescribed SST and quadrupled CO₂
18 concentration are analysed to study the atmospheric response to direct radiative forcing induced by
19 increasing CO₂ concentration, not mediated by ocean heat capacity. On the other hand, simulations
20 with prescribed SST augmented by 4 K are analysed to study the atmospheric response to the global
21 ocean warming expected as a consequence of the increasing CO₂ radiative forcing. We show that if
22 CO₂ concentration increase has a positive impact on crop yield due to the fertilisation effect, it also
23 has a direct effect on the monsoon which acts to increase (decrease) rainfall in the Eastern (Western)
24 part of the Sahel and increase (decrease) crop yields consequently. Finally, we show that SST
25 warming acts to reduce rainfall and increase local temperatures leading to strong reduction of crop
26 yield. The reduction of crop yield is more important in the Eastern part of the Sahel where the
27 warming is more intense than in the Western part of the Sahel. Overall, positive effects are weaker
28 and more uncertain than the negative effects in the analysed simulations.

29

30

31 **1. Introduction**

32 Sub-Saharan Africa is particularly vulnerable to climate change which directly threatens food security
33 of the rapidly growing population (IPCC 2014). Here, multiple environmental, political, and socio-
34 economic stressors interact to increase the region's susceptibility and limits its economic and
35 institutional capacity to cope with and adapt to climate variability and change (Connolly-Boutin and
36 Smit 2016; Müller et al. 2010; Challinor et al. 2007). Achieving food security in several African
37 countries will depend partly on the effective adaptation of agriculture to climate change, as crop
38 yields of major staple food crops in the Tropics are expected to decrease in a warmer climate
39 (Challinor et al. 2014). However, one of the limits of adaptation planning — such as breeding more
40 resilient crop varieties or promoting more resistant existing varieties and practices (Barnabás et al
41 2008)— is the high uncertainty in regional scenarios of crop production under climate change.
42 Indeed, although there are robust evidences of a decrease of crop production due to the global
43 warming (Challinor et al. 2014; Knox et al. 2012), the spread of crop yield responses remains very
44 large as found by Müller et al. (2010) which showed that projected impacts relative to current African
45 production levels range from -100% to +168%. Most of the uncertainty is led by the difficulty to
46 estimate the twofold effect of CO₂ concentration increase, i.e. the response of the regional climate
47 to the CO₂ increase and the response of the cultivated crop to this altered climate with more CO₂ in
48 the atmosphere (Berg et al. 2013). Here we investigate those two effects of CO₂ increase in West
49 Africa where crop yield is projected to decrease under global warming (Roudier et al. 2011) but also
50 where there are large discrepancies in future climate scenarios (Sultan and Gaetani 2016). The
51 variability of the West African climate during the 20th century has been deeply tied to the CO₂
52 concentration increase, but the response of the regional atmospheric dynamics is particularly
53 complex and still debated (Gaetani et al. 2017). Indeed, the CO₂ concentration increase has a
54 twofold and conflicting effect on the West African monsoon dynamics. Interestingly, whereas the
55 radiative forcing mediated by the Global Ocean warming weakens the monsoonal circulation, the
56 direct radiative forcing at the land surface acts locally to enhance precipitation (Gaetani et al. 2017).
57 Specifically, the Tropical Ocean warming heats the troposphere and imposes stability, reducing
58 moisture transport and deep convection over land, ultimately weakening the monsoonal circulations
59 (Held et al. 2005). Over land, CO₂ radiative forcing leads to local increased evaporation and vertical
60 instability, resulting in enhanced precipitation (Giannini 2010). Climate variability in West Africa
61 during the 20th century was characterised by large variability, alternating wet periods with droughts
62 (Nicholson et al. 2017). After a devastating drought characterising the 70s and peaking in the mid-80s
63 (Held et al. 2005), West Africa experienced a recovery in summer monsoon precipitation during the
64 90s and at the turn of the 21st century (Fontaine et al. 2011). Dry anomalies were caused by the
65 weakening of the monsoonal circulation in West Africa, driven by the warming of the Tropical Ocean
66 (Giannini et al. 2003). The concomitant negative phase of the Atlantic Multidecadal Oscillation
67 (AMO), which reduced the boreal summer northward migration of the intertropical convergence
68 zone (ITCZ) and its associated rain belt, exacerbated the drying trend, resulting in a long lasting
69 drought (Mohino et al. 2011). Conversely, the recent precipitation recovery has been related to the
70 faster warming of the northern hemisphere, which favoured the northern displacement of the ITCZ
71 (Park et al. 2015), and to the local CO₂ radiative forcing over land (Dong and Sutton 2016). In this
72 context, how the competing effects of ocean-mediated and local CO₂ radiative forcing combine is
73 still unclear, and the likely further future increase in CO₂ concentration casts uncertainties on the
74 rainfall projections for the 21st century (Biasutti 2013).

75 Rising CO₂ concentration in the atmosphere has an impact of the cultivated crop through a direct
76 and an indirect effect. The direct effect is the potential of atmospheric CO₂ to increase crop water
77 productivity by enhancing photosynthesis and reducing leaf-level transpiration of plants (Tubiello et

78 al. 2007; Leakey 2009; Deryng et al. 2016). Although the amplitude of this effect depends on the
79 region, the scale and the crop, most of the recent modelling studies found significant increases of
80 crop yield in West Africa due to elevated CO₂ (Deryng et al., 2016; 2015; Sultan et al. 2014; Muller et
81 al. 2010). It is particularly true for C3 crops such as cotton (Gerardeaux et al. 2013). C4 crops such as
82 maize, sorghum or millet are less sensitive to atmospheric CO₂ concentration however there are
83 impacts as a result of stomatal closure and soil moisture conservation (Leakey et al. 2009). The
84 indirect effect is the response of the crop to the altered climate due to atmospheric CO₂
85 concentration increase. Most studies find yield losses under future climate scenarios (Sultan and
86 Gaetani 2016; Challinor et al. 2014; Roudier et al. 2011; Knox et al. 2012; Challinor et al. 2007; Kotir
87 2010; Müller et al. 2010), because of the adverse role of higher temperatures which reduce the crop
88 cycle duration and increase evapotranspiration (Schlenker and Lobell 2010; Roudier et al. 2011; Berg
89 et al. 2013; Sultan et al. 2013). Although uncertain, changes in rainfall modulate the spatial
90 distribution of climate change impacts on crop yields (Gaetani and Sultan 2016; Sultan et al. 2014).
91 Indeed, yields losses of millet and sorghum are particularly high in the western Sahel as a result of
92 the combination of warming and decreased precipitation at the beginning of the rainy season (Sultan
93 et al. 2014). In the central Sahel, temperature and precipitation operate in opposite directions—
94 warming causes yield loss whereas increased rainfall at the end of the rainy season is favourable for
95 growing millet and sorghum (Sultan et al. 2014). Thus, direct and indirect effects of rising
96 atmospheric CO₂ act in a competing way with benefits of elevated levels of CO₂ through increased
97 crop water productivity while resulting warmer mean temperatures are likely to lead to crop yield
98 losses.

99 Here, we use a set of idealised climate simulations of five climate models combined with a crop
100 model to investigate the effect of CO₂ concentration increase on the West African monsoon and
101 potential impacts on maize yields. Maize is an important staple crop of West Africa and is grown
102 extensively in Burkina Faso, Mali, Niger and Nigeria. The climate model experimental set-up allows to
103 separate the direct local CO₂ radiative forcing on the West African climate from the indirect forcing
104 mediated by global Sea Surface Temperature (SST). The aim of this study is to investigate how these
105 decoupled climate signals propagate through a crop model rather than producing realistic maize
106 simulations. In addition, the crop model experimental set-up allows to evaluate the potential of the
107 CO₂ fertilisation effect on the crop water productivity in combination with the impacts of regional
108 climate change in West Africa.

109 In the next section we introduce the experimental set-up and the crop model (GLAM model) used in
110 this study. In section 3, we analyse the simulations by separating (i) the direct effect of CO₂ increase
111 on crop and monsoon and (ii) the effect of SST warming on the regional climate and crop
112 productivity. Finally, in section 4, we discuss our conclusions.

113 **2. Materials and Methods**

114 *2.1 AMIP Simulations*

115 The competition between the SST-mediated and direct CO₂ effect on the West African climate is
116 studied by analysing idealised numerical experiments from a set of five climate models selected in
117 the CMIP5 archive (Taylor et al. 2012) (see Table 1 for details on the models). Models are run in
118 atmospheric-only configuration, with observed SST and sea ice prescribed for the period 1979 to the
119 2008. The simulations take into account the observed evolution in the atmospheric composition
120 (including CO₂), due to both anthropogenic and natural influences, and the changes in solar forcing,
121 emissions and concentrations of aerosols, and land use. This experimental set-up is used as the
122 control simulation (CTL) for two sensitivity experiments run either by prescribing uniform 4K increase
123 in global SST (4K experiment), or by quadrupling the CO₂ atmospheric concentration while

124 maintaining the SST unchanged (4xCO₂ experiment). The use of such an idealised design is intended
125 to isolate in a straightforward manner the climate responses to, respectively, the direct local CO₂
126 radiative forcing and to the global SST increase, which would not be possible in ocean-atmosphere
127 coupled simulations. Indeed, in coupled simulations, direct and SST-mediated effects of CO₂ forcing
128 on the climate system are mixed, and the competitive aspects of the CO₂ influence on the West
129 African monsoon cannot be disentangled. Specifically, in the 4xCO₂ experiment, the Global Ocean is
130 not allowed to warm and store heat, so that the climate system only responds to the local radiative
131 forcing on land surface induced by the quadrupling of the CO₂ concentration (Fig.S1-S5 in
132 Supplementary Material). Conversely, by fixing CO₂ concentration at present-day values and
133 increasing SST, the climate system only responds to the ocean surface warming, with no direct
134 forcing from increasing CO₂ concentration (Fig.S6-S10 in Supplementary Material). The experimental
135 setup is described in detail in Taylor et al. (2012). Extreme idealised forcing is imposed in the
136 sensitivity experiments to magnify the response of the climate system to the global SST warming (in
137 4K), and to the local direct CO₂ radiative forcing (in 4xCO₂), respectively. These conditions are
138 comparable with the situation expected in 2100 in the RCP8.5 emission scenario (Riahi et al. 2011),
139 with the CO₂ concentration augmented from 390 ppm in 2011 to more than 1000 ppm (more than
140 +260 %), and more than 3K global SST warming (IPCC 2014). Model selection is based on the
141 experiment availability, thus considering only models for which the three experiments (CTL, 4K and
142 4xCO₂) are available. Moreover, the availability of daily data for the variables used to force the GLAM
143 model (see Section 2.2) represents a further constraint, leading to the final selection of the model
144 ensemble. Availability of multiple realisations is also limited (for the baseline experiment, 6 members
145 are respectively available for HadGEM2-A and IPSL-CM5A-LR, and 2 members for MIROC5; for
146 sensitivity experiments, 2 members are available for IPSL-CM5A-LR and IPSL-CM5B-LR, respectively).
147 Therefore, one realisation of each experiment is used for each model, not to bias the results toward
148 models for which more realisations are available. However, the analysis of precipitation and
149 temperature outputs from HadGEM2-A and IPSL-CM5A-LR shows that idealised perturbations in the
150 sensitivity experiments are large enough to overcome model internal variability (not shown), and
151 choosing different realisations for baseline and sensitivity experiments would not change the
152 conclusions of the paper. The selected models correctly simulate all the main features of the
153 monsoonal dynamics, although the comparison with observational and reanalysis products shows
154 some biases (see Supplementary Material in Gaetani et al. 2017). Particularly, HadGEM2-A and IPSL-
155 CM5B-LR simulate a significant weaker monsoon, while MIROC5 is affected by significant wet biases.
156 Specific analysis of these biases is beyond the scope of the paper. However, biases in model
157 simulation of the West African monsoon are related to the coarse resolution, which limit the model
158 ability in producing intense and organised convective systems (Vellinga et al. 2015), to the poor
159 representation of the global SST teleconnections (Rowell 2013). The differences in grid resolution
160 among models are harmonised by using a first-order conservative remapping to regrid all the
161 datasets to a 1° regular grid. Although caution should be used when regridding the coarse resolution
162 of the IPSL models to 1°, we consider this choice an appropriate compromise to conserve climate
163 model information and respond to the crop model needs. Finally, we highlight that the purpose of
164 this study is to analyse the sensitivity of crop yield to idealised conditions representing the
165 competing effects of the CO₂ concentration increase, rather than a realistic productivity assessment.

166 2.2 GLAM Simulations

167 GLAM is the Global Large Area Model for annual crops (Challinor, et al. 2004). GLAM is a process-
168 based model that was developed for use with climate scale data. GLAM requires soil data, a crop
169 parameter set and meteorological inputs. The soil data is derived from the Digital Soil Map of the
170 World and gridded to the meteorological data grid. The planting dates for the crops were derived
171 from the Global Gridded Crop Model Intercomparison project dates for maize (Elliott et al 2015).

172 GLAM runs are performed at 1° regular grid resolution using climate simulations outputs as
173 meteorological inputs. These inputs are maximum daily temperature, minimum daily temperature,
174 downwelling shortwave radiation at the surface and precipitation. The maize parameter set is
175 identical to the one used in Parkes, et al. (2018) and based on the parameter set used in Vermulen et
176 al. (2013). In this study GLAM was run with an idealised crop where the yield gap parameter is set to
177 1 instead of being calibrated to observed crop yields (Challinor, et al. 2015 Parkes, et al. 2015). We
178 are using a maize parameterisation that is idealised in that we are not attempting to replicate
179 observed yields but instead are simulating the theoretical maximum yield value for a crop in those
180 circumstances. This removes the effects of pest/diseases and management techniques. This method
181 was selected to show the meteorological signals consistently across the domain. GLAM uses a
182 triangular profile to determine growth at a given temperature. For these simulations the base,
183 optimum and maximum temperature were set at 8, 34 and 44 C respectively. If the mean daily
184 temperature is above the optimum temperature then reduced growth is expected. A high
185 temperature stress routine is also used and can further reduce yields if temperatures are above 37 C
186 during flowering. The high temperature stress routine is described fully in Challinor et al (2005).

187

188 Carbon dioxide fertilisation in C4 crops is less significant than in C3 crops. However, C4 crops do
189 respond to carbon dioxide fertilisation due to stomatal closure and conservation of soil moisture. The
190 relationship between transpiration efficiency and carbon dioxide fraction for C4 grasses increases to
191 a maximum before levelling off. The increased transpiration efficiency values are based on response
192 ratio of the transpiration efficiency to carbon dioxide for water limited maize in GLAM generated by
193 Julian Ramirez-Villegas (personal communication, 2015). The curve of this relationship was modelled
194 using a negative square term to find the maximum transpiration efficiency. This maximum is at 850
195 ppm CO₂ and results in a transpiration efficiency of 11.06 pa (from 6.5 pa). In addition, the maximum
196 transpiration efficiency was increased from 9.0 g/kg to 15.31 g/kg where the fractional increase in
197 transpiration efficiency (pa) is maintained for the increase in maximum transpiration efficiency (kg).

198

199

200 2.3 The six scenarios

201 Six scenarios are used in this study to investigate the role of CO₂ concentration increase on crop yield
202 in West Africa (Table 2):

203

- 204 • *The control scenario (t0c1f1)*: Here GLAM runs are performed using climate inputs from the most
205 realistic configuration of a set of climate models forced with observed SST and sea ice prescribed
206 for the period 1979 to the 2008 which takes into account anthropogenic and natural influences.
207 It allows to have a realistic climate forcing as a baseline to further sensitivity experiments.
- 208 • *The scenario with fertilisation effect of CO₂ on the crop (t0c1f4)*: Here GLAM runs are performed
209 using baseline climate but the crop is experiencing four times higher levels of CO₂ concentration
210 which increase transpiration efficiency in the GLAM model and thus increase crop yield. When
211 compared to the control scenario, this simulation gives the fertilising effect of CO₂ increase on
212 crop yield in the GLAM model for unchanged climate conditions.
- 213 • *The warmer climate scenario with no effect of CO₂ on the crop transpiration (t4c1f1)*: Here GLAM
214 runs are performed using the +4K climate conditions and atmospheric CO₂ concentration is
215 similar to the control scenario. When compared to the control scenario, this simulation allows to
216 point out the impact on crop yield of the climate system response to the global SST warming.
- 217 • *The warmer climate scenario with effect of CO₂ on the crop transpiration (t4c1f4)*: Here GLAM
218 runs are performed using the same +4K climate conditions as in *t4c1f1* but the crop is
219 experiencing four times higher levels of CO₂ concentration which increase transpiration
220
221
222

223 efficiency in the GLAM model. When compared to the control scenario, this simulation gives the
224 combined effects of warmer climate and increase of transpiration efficiency on crop yield in the
225 GLAM model.
226

- 227 • *The direct CO₂ effect on the monsoon with no effect of CO₂ on the crop transpiration (t0c4f1):*
228 Here GLAM runs are performed using climate conditions responding to the quadrupling of CO₂
229 concentration while SST is unchanged, and CO₂ concentration remains unchanged compared to
230 the control scenario. When compared to the control scenario, this experiment allows to isolate
231 the impact on crop yield of the response of the monsoon to the local direct CO₂ radiative forcing.
232
- 233 • *The direct CO₂ effect on the monsoon added to the effect of CO₂ on the crop transpiration*
234 *(t0c4f4):* Here GLAM runs are performed using climate conditions responding to quadrupled CO₂
235 concentration and crop is experiencing four times higher levels of CO₂ concentration which
236 increase transpiration efficiency in the GLAM model. When compared to the control scenario,
237 this experiment simulates the combined effects of the CO₂ increase on the monsoons dynamics
238 and on the transpiration efficiency.
239

240 3. Results

241 3.1. The control simulation

242 The control simulation reveals important differences in total rainfall and mean temperatures
243 between the five climate models (Table 3). In particular, HadGEM2 simulates the lowest annual
244 precipitation value (461 mm/year) while the MIROC5 model is the wettest (671 mm/year) and the
245 hottest model (29.1C). Even if climate models are forced with observed SST and are thus likely more
246 realistic than coupled model simulations, there are still important biases compared to observations
247 in the control run. Indeed all models are too dry and too hot compared to annual rainfall and mean
248 temperature computed using the reference WFDEI dataset (707 mm/year and 27.1C respectively). As
249 a result of these important differences between climate models, the simulated yield varies strongly
250 from one model to another since yield is highly sensitive to rainfall and temperature variations
251 (Figure 1). In the GLAM crop model, crop yield increases as total rainfall amount during the growing
252 season increases, following an exponential fit, until reaching values where the water constraint is not
253 limiting anymore. Simulated potential crop yield follows a more linear fit with temperature and
254 shows a decrease of crop yield as temperature decreases. As a result, the HadGEM2 model has the
255 lowest mean yield because of its low annual rainfall compared to the four other models.

256 3.2. The direct effect of CO₂ increase on crop and monsoon

257 Elevated concentration of CO₂ in the GLAM crop model under the control climate (t0c1f4 simulation)
258 has a clear positive effect on crop yield (red bars in Figure 2). The multi-model mean shows an
259 increase of +26.79% of simulated potential crop yield compared to the control simulation (t0c1f1
260 simulation) when aggregating results over whole West Africa. This crop yield increase is found using
261 any of the five climate models although the amplitude of the yield gain varies across the models. The
262 yield increase exceeds 40% using the IPSL CM5A model while it less than 23% using the CNRM CM5
263 model. The CO₂ fertilisation effect is linked to the water stress and therefore it can explain why the
264 responses are model dependent.

265 An increase of atmospheric CO₂ concentration has also a direct effect on the monsoon which has in
266 turn an impact on simulated potential crop yields. The t0c4f1 simulation isolates this effect by
267 removing the fertilisation effect on the crop model. When aggregating results over whole West
268 Africa, the multi-model mean shows a very weak response of crop yields (-0.67%) which mainly result
269 from a high dispersion across the response of individual models (grey bars in Figure 2). Indeed, the
270 monsoon effect can lead to yield gain of about 22% using the HadGEM2 model while it results to a

271 yield loss of more than 15% in the MIROC5 model. This yield response is mainly driven by the rainfall
272 change in the model with elevated levels of CO₂ (Figure 3; Table 4). The HadGEM2 model is highly
273 sensitive to atmospheric CO₂ increase which produces more rainfall (+19.82%) while the same CO₂
274 increase lead to a reduction of rainfall of about 2% in the MIROC model. In average over West Africa,
275 there is a positive linear relationship between rainfall changes and yield changes between the *t0c4f1*
276 simulation and the control *t0c1f1* simulation ($R^2=0.98$; Figure 3).

277 The combination of the direct effect of CO₂ increase on the crop and on the monsoon (*t0c4f4*
278 simulation) leads to a large increase of simulated crop yields (+26.79%) over West Africa in the multi-
279 model mean (Figure 2). It indicates that the fertilisation effect on the crop dominates the yield
280 response rather than the effect of the monsoon. However individual model runs show that the
281 monsoon effect can largely modulate the fertilisation effect (blue bars in Figure 2). The benefits of
282 the fertilisation effect are almost cancelled in the MIROC model (24,04% in *t0c1f4* simulation and
283 6,39% in *t0c4f4* simulation) which simulates a decrease of rainfall with the CO₂ increase. On the
284 opposite, the benefits are doubled in the HadGEM2 model (24,45% in *t0c1f4* simulation and 49,68%
285 in *t0c4f4* simulation) which simulates more rains with the CO₂ increase.

286 The spatial patterns of yield change due to CO₂ increase show some important regional disparities
287 (Figure 4). Although the yield increase due to the CO₂ fertilisation effect (Figure 4a) is widespread
288 over the Soudano-Sahelian zone, it is slightly less important in the North where water stress is too
289 high for being compensated by the CO₂ increase. The CO₂ fertilisation effect is also reduced in the
290 wettest areas along the Guinean coast and in southern Atlantic coast where on the opposite there is
291 no water stress and thus where the crop cannot benefit from the reduction of transpiration expected
292 by the CO₂ atmospheric concentration increase. In Figure 4b, yield change is driven by the effect of
293 CO₂ on the monsoon without taking into account the fertilisation effect (*t0c4f0* simulation). The
294 spatial pattern opposes the western part of West Africa and to a less extent the Guinean Coast where
295 potential crop yield losses are expected with the Central Sahel where crop yield gains are expected.
296 When averaging across West Sahel and East Sahel boxes (see Figure 4b for the localisation of the
297 boxes), we can see that the direct effect of the monsoon leads to potential crop yield losses in the
298 West Sahel (-5.27%) and to yield increases in East Sahel (+12.07%). It is highly variable across model
299 and the response depends on rainfall change (Table 5). This spatial pattern is very close to the
300 precipitation change due to CO₂ increase effect on the monsoon (Figure 4c). Gaetani et al. (2017)
301 shows that the response of the WAM precipitation to the quadrupling of the CO₂ concentration is
302 the northward migration of the precipitation belt, driven by the intensification of the meridional
303 energy gradient across West Africa, and resulting in positive (negative) precipitation anomalies in the
304 Sahel (Guinean coast). The positive precipitation anomalies in the Sahel are also modulated along the
305 zonal direction, being stronger to the east than to the west (see Figure 2 in Gaetani et al. 2017). This
306 feature is associated with an anomalous zonal cell triggered by strengthened convection over West
307 Africa, which connects with subsidence over Tropical Atlantic. This results in a quasi-zonal anomaly in
308 the monsoonal flow, which favours moisture convergence in central-eastern Sahel (see Figures 4 and
309 8 in Gaetani et al. 2017). Precipitation patterns in model responses to quadrupled CO₂ concentration
310 are then produced by the combination of the circulation response in the zonal and meridional
311 directions, which is in turn driven by the model regional response to the CO₂ forcing. When we
312 combine the direct effect of CO₂ increase on the crop and on the monsoon (*t0c4f1* simulation), yield
313 is increasing almost everywhere in West Africa (Figure 4d) except in Western part of the Sahel where
314 the reduction of rainfall induced by the CO₂ increase dominates the yield benefit of the fertilisation
315 effect.

316 3.3. The effect of SST warming

317 A warmer ocean (+4K increased SSTs in the *t4c1f1* experiment) leads to particularly detrimental
318 climate conditions for the crop (Figure 5; Table 6). An important warming is simulated in West Africa
319 with annual temperatures changes ranging between 4K and 5K in the coastal areas of the Atlantic
320 Ocean and reaching +6K, up to +7K in the more continental areas (Figure 5a). The multi-model mean
321 shows that the +4K warming of SSTs leads to a +5.54K local growing season warming in average over
322 West Africa (Table 6). A warmer ocean induces a reduction of rainfall all over West Africa (-18.97% in
323 average) except in the North Eastern part of the Sahel (Niger) where rainfall increases. The rainfall
324 deficit is particularly important in South West Sahel in Senegal, Gambia and Guinea-Bissau where a
325 reduction of rainfall greater than 40% is simulated in the *t4c1f1* experiment (see also Gaetani et al.
326 2017).

327 These warm and dry conditions lead to large potential crop yield losses (Figure 6; Table 6)
328 everywhere in West Africa. The multi-model mean shows that a +4K warming of SSTs leads to a
329 reduction of 56% of crop yield in average over West Africa and a shortening of the crop season
330 duration of 9 days. This reduction is only partly (-40.89%) compensated by the fertilisation effect of
331 CO₂.

332 It is interesting that even if the rainfall deficit is the greatest in the Western part of the Sahel, yield
333 loss is more pronounced in the Eastern part of the Sahel when averaged simulations over the same
334 West and East boxes shown in Figure 4 (Table 7). The reduction of the potential yield is the most
335 important in the East Sahel (-69.8%) and slightly hampered by CO₂ fertilisation effect (-54.7% of crop
336 yield loss). The yield loss is less important in the West Sahel reaching -52.6% without taking into
337 account CO₂ effect on crop and -38.6% with the CO₂ fertilisation effect. Simulations show that if the
338 monsoon rains are more affected in the Western Sahel (a reduction of 12 days and more than 25% of
339 the rainfall) than in the Eastern Sahel (a reduction of 3 days and about 16% of rainfall), the warming
340 is more important in the East Sahel (+5.9K against 5.4K in the West Sahel). With such levels of
341 warming, temperatures changes drive the yield variability in the crop model as illustrated by Figure 7
342 which depicts a linear relationship between temperatures and yield changes in the simulations. It
343 might explain why even if the monsoon rainfall is less affected in the East Sahel, the impact on crop
344 yield is more important since the warming is more intense.

345 4. Conclusion

346 Rising CO₂ concentration in the atmosphere leads to two opposite effects on potential crop yield in
347 West Africa. On one hand, benefits could be expected through an increase of rainfall driven by the
348 direct effect of CO₂ radiative forcing on the monsoonal dynamics. Our simulations showed that,
349 without increase of temperature, positive impacts will be more likely in Central and East Sahel where
350 annual rainfall are strongly enhanced by elevated levels of CO₂. Indeed, monsoonal precipitation in
351 West Africa responds to increasing CO₂ concentration migrating northwards to the Sahel, driven by
352 the strengthened energy meridional gradient associated with the CO₂ radiative forcing over land.
353 Enhanced deep convection triggers an anomalous zonal cell which intensifies the westerly moisture
354 flow from the tropical Atlantic, resulting in a wetter response in central-eastern Sahel (Gaetani et al.
355 2017). Yield gains are also expected through the CO₂ fertilisation effect which act to reduce crop
356 transpiration in the crop model and thus increase drought resistance. Although the amplitude of the
357 expected benefits of the CO₂ fertilisation is certainly crop model dependent and still debated in the
358 literature (Deryng et al. 2016), we found that they are far greater than those expected from the
359 direct effect on the monsoon. In the Central East Sahel for instance, our simulations showed an
360 increase of +43.5% of crop yield with the fertilisation effect against a yield gain of +12.1% due to the
361 rainfall increase. On the other hand, negative impacts are expected from the elevation of
362 temperatures. Detrimental conditions for the crop were obtained by warming up the ocean of +4K
363 leading to drought conditions in the Western part of the Sahel and to an increase mean surface

364 temperature of more than +5.5K in West Africa with particularly warm conditions in continental
365 regions. This warming could lead to yield loss of more than 56% which can only be partly hampered
366 by the fertilisation effect (-40.9% of yield loss by taking into account the fertilisation effect).

367 With such competing effects, which are not always additive, providing reliable climate change
368 impacts scenarios on crop yields is challenging. The differences between climate models in the
369 estimation of the effects of direct and SST-mediated effects of CO₂ were found to be very large with
370 for instance the HadGEM2 model simulating an increase of +49.7% of the yield through the increase
371 of rainfall (t0c4f4 simulations) and a decrease of -56.1% with the increase of temperatures (t4c1f4).
372 Overall we found that positive effects in the analysed simulations are weaker and more uncertain
373 than the negative effects. Indeed, simulated positive effects on crop yield range from +6.4% using the
374 MIROC5 model to +49.7% in the HadGEM2 model while the negative effects range from -51.7% to -
375 62.9% using the same two climate models respectively. We also found that temperatures increase
376 will likely have a more important impacts on crop yield than rainfall changes as shown in previous
377 studies (Schlenker and Lobell 2010; Roudier et al. 2011; Berg et al. 2013; Sultan et al. 2013). We
378 highlight that, by construction, the idealised simulations analysed in this paper do not account for
379 climate feedbacks to the increasing CO₂ concentration. In particular, the global SST response to CO₂
380 forcing in past and future climate simulations is far from the homogeneous warming prescribed in
381 the 4K experiment, and this may lead to different results for the monsoonal dynamics and crop
382 productivity. For instance, it has been shown that, in the presence of overall global ocean warming,
383 while the warming of the Tropical belt inhibits precipitation in West Africa, the differential warming
384 of the Northern Hemisphere, and in particular of the North Atlantic and Mediterranean, is favourable
385 to rainfall (Giannini et al. 2013; Park et al. 2014; Park et al. 2016). Ocean-atmosphere coupled
386 simulations of future climate in West Africa include all the climate feedbacks, so that the
387 uncertainties in AMIP idealised simulations discussed in this paper are exacerbated, undermining
388 mitigation and adaptation strategies in the region. Whereby in AMIP simulations the responses to an
389 idealised forcing are concordant, though different in amplitude, coupled model simulations for the
390 end of the 21st century range from dry to very wet projections, characterised by spatial
391 inhomogeneity (Monerie et al. 2017). Coupled climate models are generally skilful in simulating the
392 relationship between the regional atmospheric dynamics and the Sahelian rainfall (Biasutti et al.
393 2009), while SST teleconnections are poorly simulated (Rowell 2013), mainly because of the model
394 biases in simulating ocean dynamics (Roehrig et al. 2013). Moreover, coupled climate simulations are
395 generally performed not considering dynamic vegetation and land use, which are instead key
396 ingredients of the monsoonal dynamics (Koster et al. 2004). Fixing model shortcomings and
397 improving model design should be then prioritised in the next CMIP6 exercise (Eyring et al. 2016).

398 Every modelling study has its limitations and we recognize some caveats in our experiments. First of
399 all, we use a limited number of GCMs (only five) within the full list of models participating to the
400 CMIP5 exercise (more than 30). If different results with different or with more models are still
401 possible, Gaetani et al. (2017) showed a general agreement among models in their response to the
402 idealized conditions, which demonstrates the robustness of the mechanisms linking the WAM
403 dynamics to the SST and CO₂ idealized forcings, whatever the model physics or performance.
404 Another limitation is the use of only one ensemble member from each GCM which does not ensure
405 that most of the plausible scenarios are captured. However, we are here critically limited by the
406 availability of ensemble members in the CMIP5 archive, which does not allow to perform a full
407 exhaustive analysis of the internal variability within each GCM. Finally, a caution is necessary when
408 interpreting the crop simulation results presented in this study. Crop yields results have to be
409 interpreted as potential crop yield response to two aspects of climate change on the crops grown in
410 West Africa, i.e. the increase in temperatures and the increase in atmospheric carbon dioxide levels,
411 and not as a realistic crop yield prediction for the future. The crop model is simulating potential

412 yields, without calibration. The parameter set is the same as the one used in Parkes et al. (2018), this
413 includes the high temperature stress routine. This routine reduces crop yields as a result of high
414 temperature stress during flowering. The potential yields are much higher than real yields and
415 therefore the magnitude of reductions in yield as a result of high temperature stress is expected to
416 be higher than for calibrated crops. This is expected to reduce the yields in the *t4c1f1* and *t4c1f4*
417 experiments and may lead to an overestimate of the impact of increased temperatures.

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455 [economies/](http://prise.odi.org/research/small-grants-programme-climate-change-impacts-on-crop-productivity-in-global-semi-arid-areas-and-selected-semi-arid-economies/)
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Table 1: Models analysed. CMIP5 model information and outputs are available through the Earth System Grid Federation archive (<http://cmip-pcmdi.llnl.gov/cmip5>)

Country	Modelling centre	Model	Resolution
France	Centre National de Recherches Météorologiques/Centre Européen de Recherche et Formation Avancée en Calcul Scientifique	CNRM-CM5	T127 (~1.4°)
United Kingdom	Met Office Hadley Centre	HadGEM2-A	1.25 × 1.875°
France	Institut Pierre Simon Laplace	IPSL-CM5A-LR	1.875° × 3.75°
France	Institut Pierre Simon Laplace	IPSL-CM5B-LR	1.875° × 3.75°
Japan	Atmosphere and Ocean Research Institute (The University of Tokyo), National Institute for Environmental Studies, and Japan Agency for Marine Earth Science and Technology	MIROC5	T127 (~1.4°)

Table 2: The experiments with GLAM and AMIP runs. In control climate (ctl), SST and CO₂ are prescribed at the 1979-2008 observed values (Taylor et al. 2012).

		Sea Surface Temperature in AGCM	CO ₂ atmospheric concentration in AGCM	CO ₂ concentration in GLAM crop model	Short description of the scenario
Control climate	<i>t0c1f1</i>	ctl	ctl	ctl	<i>The control scenario</i>
	<i>t0c1f4</i>	ctl	ctl	ctl x4	<i>The scenario of direct effect on CO₂ on the crop</i>
Altered climate with +4K warmer SST but control CO ₂ concentration	<i>t4c1f1</i>	ctl +4K	ctl	ctl	<i>The warmer climate scenario with no effect of CO₂ on the crop transpiration</i>
	<i>t4c1f4</i>	ctl +4K	ctl	ctl x4	<i>The warmer climate scenario with direct effect of CO₂ on the crop transpiration</i>
Altered climate with 4 times higher levels of CO ₂ concentration but control SST	<i>t0c4f1</i>	ctl	ctl x4	ctl	<i>The direct CO₂ effect of the monsoon with no effect of CO₂ on the crop transpiration</i>
	<i>t0c4f4</i>	ctl	ctl x4	ctl x4	<i>The direct CO₂ effect of the monsoon with no effect of CO₂ on the crop transpiration</i>

Table 3: Simulated yield (kg/ha), annual rainfall (mm/year) and mean surface temperature (degC) in West Africa in the *t0c1f1* control simulations. The values are averaged over the domain: Longitude 15W to 20E and latitude 4N to 15N

Climate model	Mean yield (kg/ha)	Total precipitation (mm/year)	Mean temperature (degC)
CNRM CM5	3994,0	650	27,2
HadGEM2	2921,5	461	28,7
IPSL CM5A	3706,6	645	27,6
IPSL CM5B	3342,6	569	27,4
MIROC5	3480,3	671	29,1

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Table 4: Yield and annual precipitation change (%) in West Africa (20W-15E ; 4N-15N) in the *t0c4f1* simulations comparing to CTL simulation. MMM is the multi-model mean.

Climate models	Mean yield change (%)	Total precipitation change (%)
CNRM CM5	-0,57	6,59
HadGEM2	21,91	19,82
IPSL CM5A	1,42	5,43
IPSL CM5B	-7,68	1,60
MIROC5	-15,26	-2,08
MMM	-0,67	5,49

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Table 5: Yield and annual precipitation change (%) in West Sahel and East Sahel (see boxes on Figure 4) in the *t0c4f1* simulations comparing to the control CTL simulation. MMM is the multi-model mean.

Domain	Climate models	Total precipitation change (%)	Mean yield change (%)
West Sahel	CNRM CM5	4,29	-6,79
	HadGEM2	16,07	9,21
	IPSL CM5A	6,78	-1,02
	IPSL CM5B	-2,07	-11,94
	MIROC5	-1,81	-14,34
	MMM	4,01	-5,27
East Sahel	CNRM CM5	10,34	8,11
	HadGEM2	33,45	90,31
	IPSL CM5A	13,23	10,09
	IPSL CM5B	12,27	0,86
	MIROC5	1,60	-20,64
	MMM	12,75	12,07

Table 6: Crop season duration (day), annual precipitation (%), mean temperature (K) and yield change (%) in the *t4c1f1* and *t4c1f4* simulations (only yield differs) in West Africa comparing to the control CTL simulation. MMM is the multi-model mean.

Climate models	Growing season duration change (day)	Total precipitation change (%)	Mean temperature change (K)	t4c1f1 Mean yield change (%)	t4c1f4 Mean yield change (%)
CNRM CM5	-14,3	-15,3	5,7	-54,7	-43,1
HadGEM2	-2,9	-16,6	6,0	-62,9	-52,6
IPSL CM5A	-12,7	-18,6	5,3	-55,4	-32,3
IPSL CM5B	-10,9	-27,2	5,5	-57,0	-39,9
MIROC5	-3,5	-17,5	5,2	-51,7	-38,6
MMM	-8,9	-19,0	5,5	-56,1	-40,9

Table 7: Crop season duration (day), annual precipitation (%), mean temperature (K) and yield change (%) in the *t4c1f1* and *t4c1f4* simulations (only yield differs) in West and East Sahel comparing to control CTL simulation. MMM is the multi-model mean.

Domain	Climate models	Growing season duration change (day)	Total precipitation change (%)	Mean temperature change (K)	t4c1f1 Mean yield change (%)	t4c1f4 Mean yield change (%)
West Sahel	CNRM CM5	-15,7	-24,9	5,4	-50,9	-41,6
	HadGEM2	-6,9	-25,8	5,8	-60,9	-51,5
	IPSL CM5A	-14,2	-18,1	5,2	-50,2	-26,0
	IPSL CM5B	-13,8	-28,1	5,3	-51,8	-35,2
	MIROC5	-9,6	-30,6	5,2	-50,4	-40,6
	MMM	-12,0	-25,5	5,4	-52,6	-38,6
East Sahel	CNRM CM5	-10,3	-10,5	6,1	-62,7	-47,8
	HadGEM2	5,4	-10,4	6,4	-85,9	-78,0
	IPSL CM5A	-10,1	-28,0	5,5	-69,2	-50,8
	IPSL CM5B	-5,6	-39,8	5,9	-81,1	-69,7
	MIROC5	6,1	1,6	5,3	-63,4	-44,0
	MMM	-2,9	-16,3	5,9	-69,8	-54,7

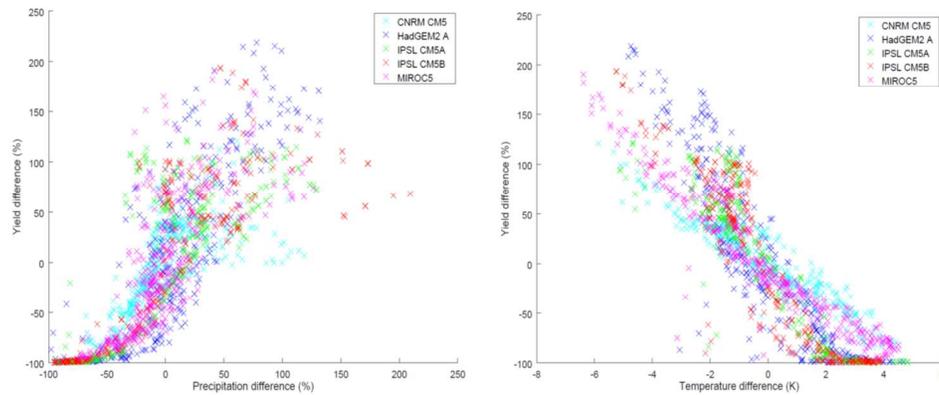


Figure 1: Crop yield response to rainfall and temperature variations in the GLAM model. Pixel by pixel difference against the domain average for mean yield and total growing season rainfall (left) and mean temperature (right). Values are then averaged over the 30 years of the control experiment to give more than 400 values expressed in percentage.

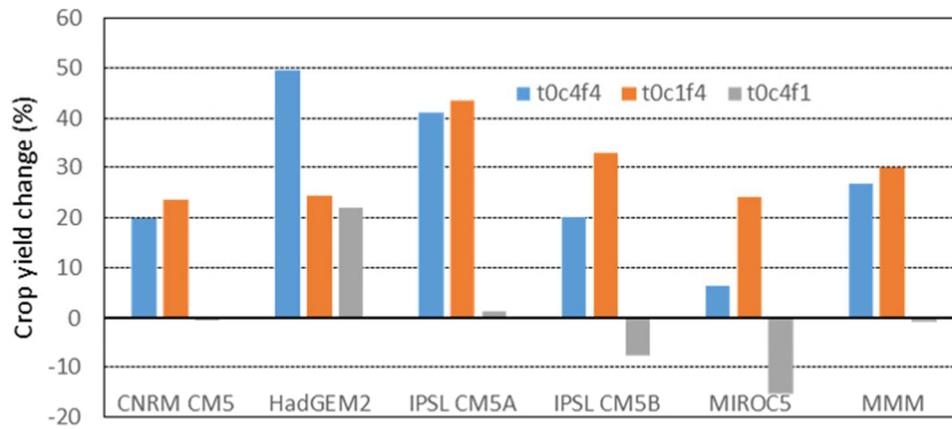


Figure 2: Crop yield response to increased CO₂ concentration. Simulated yield change (%) are shown as differences with the control run in average over West Africa (20W-15E ; 4N-15N) for the t0c4f4, t0c1f4 and t0c4f1 simulations. MMM is the multi-model mean.

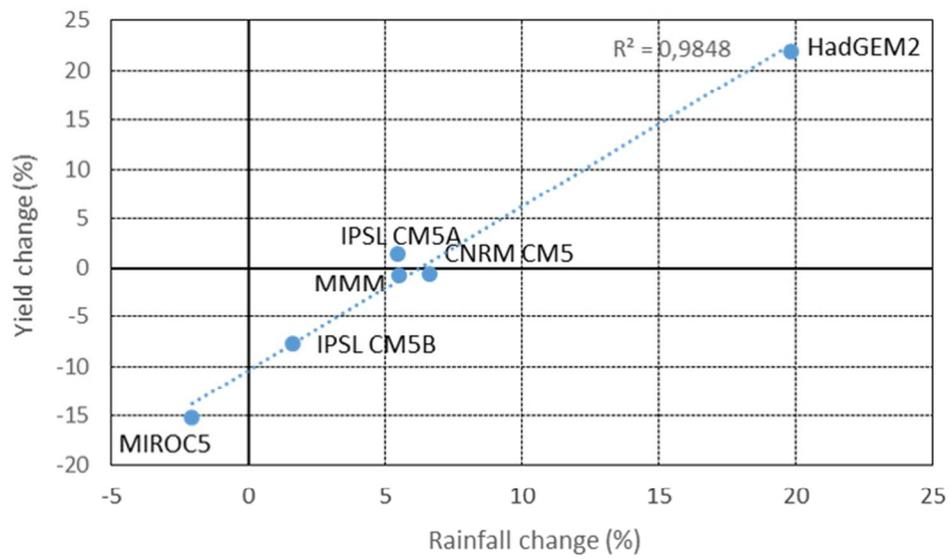


Figure 3: Crop yield response to rainfall variations in the t0c4f1 simulation. Simulated yield and rainfall changes (%) are shown as differences with the control run in average over West Africa for the t0c4f1 simulation. MMM is the multi-model mean.

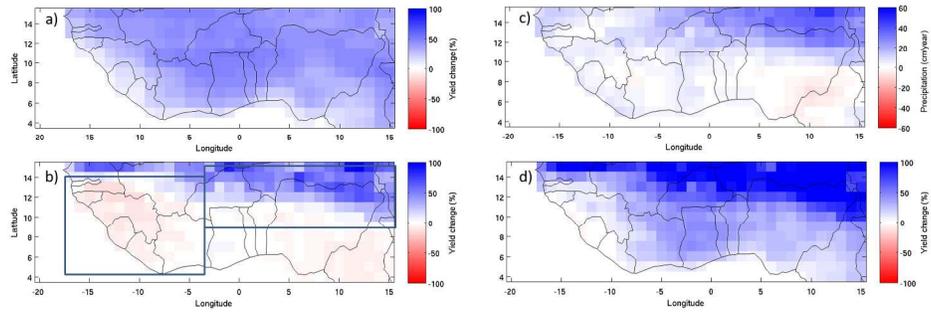


Figure 4: Mean yield and rainfall changes in West Africa in the t0c1f4, t0c4f1 and t0c4f4 simulations. Multi-model mean changes (%) are shown as differences with the control run. Simulated yield change are shown for simulations t0c1f4 (a), t0c4f1 (b) and t0c4f4 (d). Total rainfall change is shown in (c) for the t0c4f1 simulation. A similar map would be obtained for t0c4f4 simulation

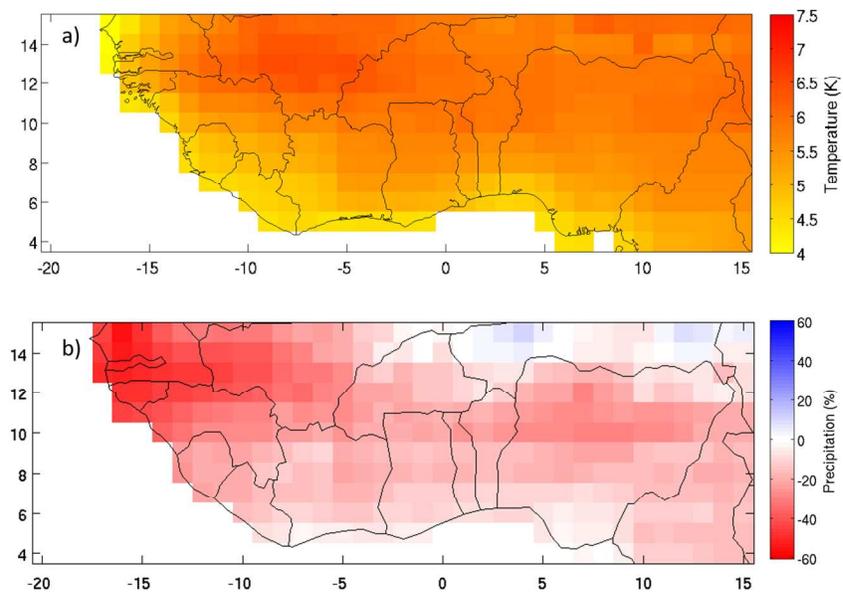


Figure 5: Mean temperature and rainfall response to a SST warming of +4K. Multi-model mean changes of temperature (a) and rainfall (b) are shown as differences between the t4c1f1 simulation and the control run.

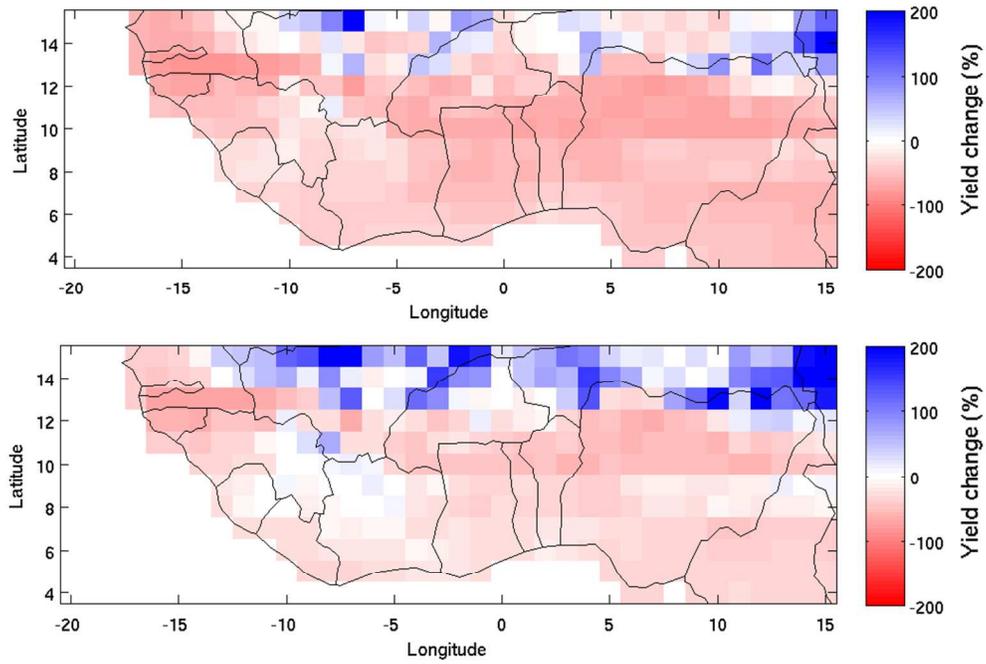


Figure 6: Crop yield response to a SST warming of +4K. Multi-model mean yield changes in West Africa (%) in the t4c1f1 (a) and t4c1f4 (b) simulations.

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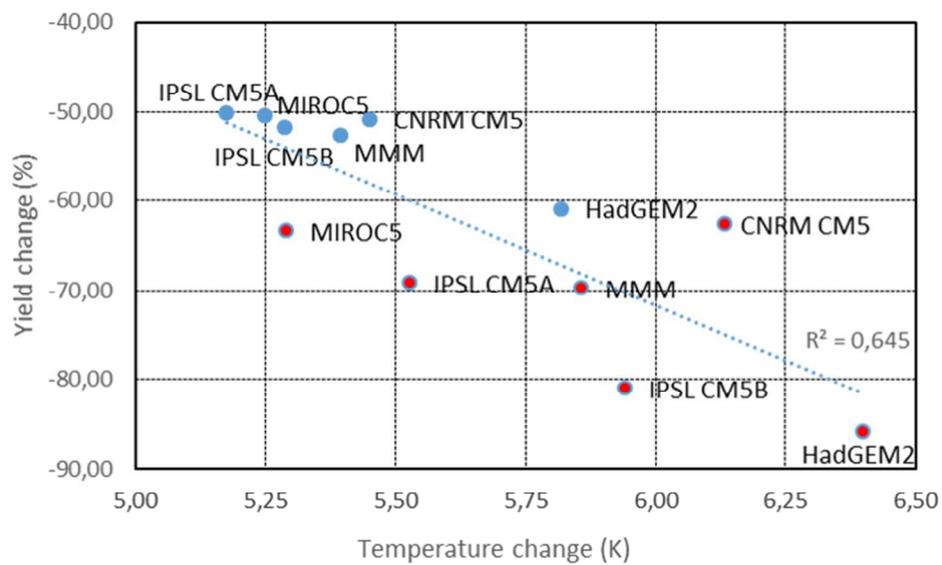


Figure 7: Crop yield response to temperature variations in the t4c1f1 simulation. Simulated yield (%) and temperature (K) changes are shown as differences with the control run in average over West Sahel (blue dots) and East Sahel (red dots) for the t4c1f1 simulation. MMM is the multi-model mean.