

Thinning mechanisms of heterogeneous continental lithosphere

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1 Thinning mechanisms of heterogeneous continental lithosphere

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21 HIGHLIGHTS

22	• Pre-rift architecture of the continental lithosphere is important during rifting
23	• Initial mechanical heterogeneities control strain partitioning
24	• Extraction tectonics is an efficient thinning mechanism
25	• Various crustal levels can be exhumed in distal margins
26	KEYWORDS
27	• Rifting
28	Rifted margin
29	Lithospheric thinning
30	• Extraction tectonics
31	• Inheritance

32 ABSTRACT

33 The mechanisms responsible for the formation of extremely thinned continental crust 34 (< 10 km thick) and lithosphere during rifting remains debated. Observations from present-35 day and fossil passive margins highlight the role of deep-seated deformation, likely controlled by heterogeneities within the continental lithosphere, such as changing lithologies, 36 37 mechanical anisotropies and inherited structures. We investigate the mechanisms of 38 lithospheric thinning by exploring the role of pre-existing heterogeneities on the architecture 39 and evolution of rifted margins. We estimate pre-rift pressure conditions (P_0) vs. depth 40 diagrams of crustal to lithospheric sections, to quantify rift-related modifications on inherited 41 lithostatic pressure gradients. Two field examples from the Alpine Tethys margins in the 42 Eastern and Southern Alps (SE Switzerland and N Italy) were selected to characterize: (1) the 43 pre-rift architecture of the continental lithosphere; (2) the localization of rift-related 44 deformation in distinct portions of the lithosphere; and (3) the interaction between pre45 existing heterogeneities of the lithosphere and rift-related structures. These observations are compared with high-resolution, two-dimensional thermo-mechanical numerical models. The 46 47 design of the models takes into account pre-existing mechanical heterogeneities representing 48 the initial pre-rift architecture of the continental lithosphere. Extensional structures consist of 49 high-angle and low-angle normal faults, anastomosing shear-zones and decoupling horizons. 50 Such structures accommodate the lateral extraction of mechanically stronger levels derived 51 from the middle to lower crust. As a result, the extremely thinned continental crust in Tethyan 52 passive margins represents the juxtaposition and amalgamation of distinct strong levels of the 53 crust separated by major extensional structures identified by sharp pressure gradients. Future 54 work should determine the applicability of these results to other present-day and fossil rifted 55 margins.

56 GRAPHICAL ABSTRACT



58 **1. INTRODUCTION**

59 The increased number of high-quality geophysical surveys across continental rifted margins has allowed to recognize the characteristic first-order architecture of margins. 60 61 Commonly, rifted margins that approach break-up are the result of extreme crustal and lithospheric thinning. The crustal thickness typically passes from 30-35 km to less than 10 km 62 over a distance of a hundred kilometers (e.g. Osmundsen and Ebbing, 2008). Reflection 63 64 seismic data indicate that the deformation of upper crustal levels is achieved through high-65 angle and low-angle normal faulting (e.g. Whitmarsh et al., 2000), but attempts to restore displacements accommodated along these brittle, upper-crustal extensional structures fail in 66 67 explaining the observed amount of lithospheric thinning (e.g., Kusznir and Karner, 2007; 68 Reston, 2007a). Deep, intra-basement deformation likely plays a critical role in such extreme 69 crustal and lithospheric thinning (Huismans and Beaumont, 2014; Karner et al., 2003). The 70 direct characterization of this deeper deformation remains elusive in present-day rifted 71 margins and has only been described for a small number of margins (Clerc et al., 2018, 2015; Reston, 1988). In contrast, many conceptual models have been proposed for a wide range of 72 73 deformation styles involving, among others, oceanward or continentward lower crustal "flow" 74 and crustal necking (Reston, 2007b).

Indeed, contrary to the upper crustal deformation, the localization, partitioning, and deformation style of extensional structures at depth may be controlled by the lithological, structural and thermal state of the continental lithosphere (e.g. Duretz et al., 2016b). The spatial and temporal heterogeneity of the lithosphere, having various petrological, chemical, mechanical and thermal characteristics, has been noted in some places (Rader et al., 2015; Thybo and Artemieva, 2013) as well as its impact on the architecture and evolution of rifted margins (e.g. Tommasi and Vauchez, 2001; Zastrozhnov et al., 2018). However, their detailed role and influence on the mechanisms of crustal and lithospheric stretching and thinningremain elusive.

84 The objective of this contribution is to investigate the mechanisms of extreme 85 lithospheric thinning by exploring the role of initial or pre-existing mechanical heterogeneities 86 on the architecture and evolution of passive margins by comparing field-derived observations 87 and quantification to numerical models. In contrast to present-day rifted margins, deep 88 extensional, exposed rift-related structures have been mapped and can be accessed in fossil 89 equivalents preserved in present-day orogens. Field observations from the Alps in Western 90 Europe preserving the Alpine Tethys remnants enable us to characterize and describe the first-91 order relations between rift-related structures and the initial heterogeneities of the continental 92 lithosphere. These observations are subsequently incorporated into high-resolution, two-93 dimensional thermo-mechanical numerical models of lithospheric extensional deformation.

94 **2. APPROACH**

95 The characterization of rift-related, intra-basement deformation relies on being able to 96 sample rocks of the extended continental lithosphere. In particular, exposure of distinct 97 portions of the continental lithosphere is possible when rifted margins have been thrusted and 98 uplifted in orogens (Beltrando et al., 2014; Dewey and Bird, 1970). There, under certain 99 conditions, the pre-, syn- and post-rift history can be determined (Beltrando et al., 2013; 100 Frasca et al., 2016; Mohn et al., 2010) from both the stratigraphic and basement evolution 101 (Fig. 1). However, investigating the role of initial heterogeneities during rifting requires key 102 prerequisites: (1) recognition of rift-related structures from pre- and post-rift (i.e. orogenic, 103 post-orogenic) structures; and (2) detailed pressure - temperature - time - deformation (P-T-104 *t-d*) evolution of distinct portions of the continental lithosphere.

105 The Alps, preserving the remnants of the Alpine Tethys margins, satisfy these 106 requirements. More than a century of research in these areas permits the recognition of rift structures related to the opening of the Alpine Tethys and its evolution (see Mohn et al., 2010
for a review). Here, we focus on the remnants of the Alpine Tethys preserved in the Eastern
Alps (SE Switzerland and N Italy, Fig. 2ab) and in the Southern Alps (N Italy, Fig. 2ac).
These portions of the fossil Adriatic margin have been reactivated and incorporated into the
Alpine orogen during the Cretaceous to Oligocene/Miocene but escaping significant Alpine
deformation and metamorphic overprint (Fig. 2bc; see Mohn et al., 2011 for the Central Alps;
Schmid et al., 1987; Wolff et al., 2012 for the Southern Alps).

114 The Paleozoic basement sampled in both the Eastern and Southern Alps records a 115 protracted, polyphase tectonic history. After crustal amalgamation and accretion during the 116 Devonian and Carboniferous Variscan phases (von Raumer et al., 2013), the continental 117 lithosphere underwent a major reorganization during the late Carboniferous and early Permian 118 (e.g. Petri et al., 2017) during which the continental crust returned back to an equilibrated 119 thickness (30-35 km). Extensional tectonics, together with mantle-derived magmatic under-120 and intra-plating, caused differentiation of the continental crust with granulite, amphibolite 121 and greenschist facies rocks in the lower, middle and upper crust, respectively (Schuster and 122 Stüwe, 2008). Although diffuse and low-magnitude Triassic rifting is locally documented (e.g. Bertotti et al., 1993), no evidence for major exhumation and thinning of the continental 123 124 lithosphere from the Permian post-orogenic event until the Jurassic rifting has been described. 125 This conclusion is based on two key arguments: (1) isobaric cooling was reported for Permian 126 magmatism and associated contact metamorphism (Müntener et al., 2000; Petri et al., 2016); 127 and (2) the Triassic stratigraphic record, consisting of fluvial to shallow-marine deposits, 128 precludes any major crustal thinning during this time. Therefore, we consider the late 129 Carboniferous and early Permian magmatic intrusions and associated metamorphism as points 130 of reference in terms of their exhumation history during Jurassic rifting.

131 The depth of these magmatic intrusions, and the associated contact metamorphism, 132 have been determined by many studies across the Alps (see Spalla et al., 2014 for a review). 133 Such datasets provide approximate pre-rift pressure conditions (P_0) for the magmatic and 134 metamorphic rocks prior to Jurassic exhumation. Using an average continental crust density (2.7-3.0 g.cm⁻³; Fountain and Salisbury, 1981), the pre-rift architecture of the Alpine 135 136 basement can be locally reconstructed (A-A' on Fig. 1b) and rift-related pressure effects in the 137 crust can be tracked: in a pre-rift section, data are aligned along an average lithostatic gradient 138 $(0.26-0.29 \text{ kbar.km}^{-1})$ while rift-related extension modifies the inherited P_0 -depth gradients generating either jumps in P_0 with depth (sharp gradient, e.g., missing middle crust) or an 139 140 increased gradient if deformation is "homogeneously" distributed during thinning (e.g. 141 "ductile flow"; B-B' on Fig. 1b). Such information on pressure gradients can be extracted 142 from both field observations and numerical models. These approaches will be applied to two 143 sections across the reconstructed Jurassic Adriatic rift margin from the proximal to the distal 144 domains. The field observations and associated P_0 -depth gradients (see Tables S1 and S2 for 145 full P_0 dataset) will be compared with results of numerical simulations in order to propose a 146 model for the evolution and mechanisms of continental lithosphere thinning of the Alpine 147 Tethys rifted margins.

148 **3. THE EASTERN ALPS CASE STUDY**

The Eastern Alps preserved the remnants of the Adriatic rifted margin that has been variably reactivated during the Alpine orogeny from Late Cretaceous to Tertiary (Figs. 2ab-4; Froitzheim et al., 1994; Mohn et al., 2011). Two distinct Alpine nappe stacks have been identified and are separated by the Lunghin-Mortirolo Movement Zone (LMMZ). To the north, the Err - Platta and Campo - Grosina nappe stacks underwent minor to moderate Alpine overprint with metamorphic conditions that did not exceed greenschist facies conditions (Froitzheim et al., 1994; Mohn et al., 2011). To the south the Bernina - Margna - Sella - Malenco nappe stack was pervasively deformed and metamorphosed reaching amphibolitefacies conditions during the Alpine orogeny (Figs. 2ab, 3a; see Mohn et al., 2011).

Previous studies (Mohn et al., 2012, 2011) recognized, from northeast to west, the proximal domain, the necking zone and the distal domain of the former Adriatic margin (Figs. 2ab, 3) in these two distinct Alpine nappe stacks. Despite variable Alpine metamorphic overprints, rift-related basement deformation has been documented in both the necking zone and distal domain (Fig. 3bc) and are described below.

163 **3.1. Necking Zone: the Campo - Grosina section**

The former necking zone (section A-A' on Fig. 3) is composed of pre-rift upper to 164 middle crust, which is represented by the Campo and Grosina units separated by the Eita 165 166 Shear Zone (ESZ, Fig. 4ab; Mohn et al., 2012). The underlying Campo unit is composed of 167 amphibolite facies metasediments with a main NW-SE striking vertical foliation. These 168 metasediments are intruded by a Permian gabbroic pluton emplaced at 4-6 kbar (Sondalo 169 mafic complex, point 3; Petri et al., 2016). Importantly, the center of the Campo unit is devoid 170 of any significant post-Permian deformation (see Petri et al., 2018). The overlying Grosina 171 unit is made of amphibolite-facies orthogneiss and metasediments of unknown age showing a 172 gently NW-dipping foliation cross cut by numerous greenschist facies extensional shear zones 173 (Fig. 4cd). The ESZ represents a major shear zone that can be mapped over 20 km and is at 174 the same structural interface, i.e., between the Campo and Grosina units (Fig. 3a). Together, 175 these data suggest that the ESZ represents a horizontal mid-crustal extensional shear zone with an age constrained by ⁴⁰Ar/³⁹Ar dating of porphyroclastic micas from the underlying 176 177 Campo unit at 205-180 Ma (Mohn et al., 2012). The rift-related P_0 -depth diagram in this 178 section is characterized by an increased pressure gradient in the Grosina unit with respect to the lithostatic gradient of 0.29 kbar.km⁻¹. 179

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The top of the Grosina unit presents a large-scale brittle detachment fault with cataclasite and pseudotachylite zones corresponding to the Grosina detachment.

182

3.2. Distal margin: Bernina – Margna – Malenco – Sella section

183 The southern distal domain (section B-B' on Fig. 3) is composed of juxtaposed pre-rift 184 upper and lower crust as well as subcontinental mantle, represented by the Upper Margna -185 Sella - Bernina units and the Lower Margna - Malenco units, respectively. These two distinct 186 crustal portions are separated by the Magna shear zone (Bissig and Hermann, 1999). The 187 Malenco unit is made of subcontinental mantle (section B-B', point 5), in contact with a pre-188 rift lower crust composed of felsic granulite and sealed by the Permian Braccia gabbro (Fig. 189 4e).). The gabbro and the felsic granulite record 10-12 kbar during emplacement at 281-271 190 Ma and 8 ± 1 kbar during cooling, respectively (section B-B', points 3 and 4; Hansmann et 191 al., 2001; Müntener et al., 2000). In contrast, the pre-rift upper crust is represented by the Fora 192 gneisses (Upper Margna unit), similar to intrusive rocks from the Bernina unit that record less 193 than 3 kbar at 295-292 Ma (section B-B', point 2; Bissig and Hermann, 1999; Von Quadt et 194 al., 1994) and are covered by Permian to Triassic sediments (section B-B', point 1). Despite a 195 strong Alpine overprint, numerous localized pre-Alpine rift-related shear zones have been 196 described in the Margna unit (Müntener and Hermann, 2001). The most prominent is the 197 Margna shear zone, at the contact between the Lower Margna, including the Permian Fedoz 198 gabbro, and Upper Margna units (Fig. 4f), with a top to the E-SE sense of shear when back-199 rotated to a pre-Alpine position (Bissig and Hermann, 1999). This structure juxtaposes pre-rift 200 rocks recording pressures of ~3 kbar against 8-12 kbar suggesting that ~25 km of continental 201 crust is lacking across this structure (Hermann and Müntener, 1996). As a result, the P_0 -depth diagram of section B-B' displays a sharp gradient across the Margna shear zone highlighting 202 203 the absence of the middle crust and an increased gradient in the lower Margna unit.

In the Bernina pre-rift upper crustal unit, cataclastic structures have been recognized, sometimes at the top basement and sometimes at the contact between the basement and the pre-rift Triassic cover (section B-B', point 1) and are identified as the Jurassic Bernina lowangle detachment fault (Mohn et al., 2011).

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3.3. Distal margin: Err - Platta section

209 The northern distal margin section (section C-C' on Fig. 3) is composed of pre-rift 210 upper crust and subcontinental mantle from the Err and Platta units, respectively, 211 characterized by a set of Jurassic brittle, low-angle detachment faults referred to as the Err 212 and Jenatsch detachments (Froitzheim and Eberli, 1990; Manatschal and Nievergelt, 1997). 213 Two exhumed mantle types have been recognized in the Platta unit nowadays separated by an 214 Alpine thrust: the upper Platta, made of a sub-continental mantle (Spl-peridotite, section C-C', 215 point 4) and the lower Platta unit, made of refertilized sub-continental mantle (Spl-Pl 216 peridotite, section C-C', point 5; Müntener et al., 2010). This indicates that the two mantle 217 types followed very different thermal evolutions during rifting. The Err unit consists of 218 Permian granitoids emplaced within a polymetamorphic basement below 3 kbar at 292 ± 6 219 Ma (section C-C', point 3; Büchi, 1994; Von Quadt et al., 1994). The granitoids are covered 220 by Permian volcano-sedimentary deposits dated at 288 ± 2 Ma (section C-C', point 2; Von 221 Quadt et al., 1994). In contrast to the Bernina - Margna - Malenco - Sella nappe stack, no 222 evidence for pre-rift lower crust has been recognized in this section. The top of the Err unit is 223 generally capped by a low-angle Jurassic detachment fault locally following the base of 224 Permian basins filled by volcanoclastic deposits (Fig. 4gh; Epin et al., 2017; Masini et al., 225 2011). The contact between Lower Err and Upper Platta is interpreted as an Alpine thrust, 226 potentially reactivating a former Jurassic rift related structure (Epin et al., 2017). In a P_0 -depth 227 diagram, the transition between points 3 and 4 involves a possible sharp gradient resulting from the absence of middle and lower continental crust. 228

229 **4. THE SOUTHERN ALPS CASE STUDY**

230 The Southern Alps are delimited by the Tertiary Insubric Line to the northwest and by 231 the Pô plain to the south (Figs. 2ac, 5, 6). They are composed at their western end by two 232 major units: the pre-rift middle to lower crustal Ivrea-Verbano Zone (IVZ), recording the 233 emplacement of a Permian mafic complex within a polymetamorphic basement, and the pre-234 rift upper crustal Serie Dei Laghi (SDL), capped to the southeast by Permian to Mesozoic sediments of the Lombardian basin. Whereas the IVZ is exhumed and tilted to $\sim 90^{\circ}$ by the 235 236 time of Jurassic rifting and the Alpine compression (Siegesmund et al., 2008), the SDL and its 237 associated sedimentary cover show only minor Alpine deformation and metamorphic 238 overprint (i.e. lower greenschist facies condition).

239 The Southern Alps record evidence for long-lasted extension that started in the Late 240 Triassic and shifted progressively westward during the Middle Jurassic (Bertotti et al., 1993). 241 To the east, fault-bounded rift basins such as the Lombardian basin are filled by shallow-242 water Triassic to Jurassic deposits representing the Adriatic proximal margin (Fig. 5b; Bertotti 243 et al., 1993). The SDL – IVZ are interpreted as the Adriatic necking zone recording major 244 thinning during Jurassic rifting (Decarlis et al., 2017). The distal domain is preserved in the 245 Canavese zone with evidence for subcontinental mantle exhumation at the seafloor (Beltrando 246 et al., 2015a; Ferrando et al., 2004).

Several deep-crustal extensional structures have been documented in the SDL and the IVZ. The oldest, the Cossato-Mergozzo-Brissago line (CMB) was active during the Permian without evidence for subsequent reactivation and delimits, in places, the SDL from the IVZ (Mulch et al., 2002b). Conversely, two sets of structures interpreted as related to Mesozoic rifting have been documented in the IVZ: (1) deep, high-temperature shear zones such as the Anzola-Rosarolo Shear Zone (ARSZ; e.g. Langone et al., 2018) and (2) the shallower Pogallo Line (PL), marking in places also the contact between the SDL and the IVZ. These structures are described below using three sections that illustrate the partitioning of rift-related deformation in the pre-rift lower crust (Fig. 5bc). The IVZ being presently tilted to ~ 90°, the P_0 -depth diagrams can be approximated by P_0 versus the distance to the Tertiary Insubric line (i.e. moving towards this structure, rocks were deeper in pre-rift crustal section).

258 4.1. Val Sesia section

The Val Sesia and Val Sessera sections (D-D' on Fig. 5) are composed of Permian gabbros and diorites of the Ivrea Mafic Complex with metasedimentary and peridotite lenses capped by upper amphibolite-facies metasediments (Quick et al., 2003). A dense dataset of Permian *P-T* estimates derived from both igneous and metamorphic rocks define a P_0 -distance gradient of 0.36 kbar.km⁻¹ (Demarchi et al., 1998). Igneous and metamorphic textures are usually preserved whereas only rare and minor shear zones, potentially related to Jurassic rifting, have been described in this section (Altenberger, 1997).

266 4.2. Val Strona section

267 The Val Strona section (E-E' on Fig. 5) is composed of gabbroic dykes and amphibolite to granulite facies metasedimentary rocks. This section preserved pre-Triassic 268 269 structures, crosscut by several shear zones at distinct crustal levels that are rarely dated. The 270 closest to the Insubric line (i.e., the deepest in a reconstructed section), the meters-thick 271 ARSZ (Fig. 6ab) presents syn-deformational amphibolite to granulite facies conditions (> 650 272 °C) and is dated at 210-200 Ma (Beltrando et al., 2015b; Brodie et al., 1989). Up-section, 273 above the Permian CMB, the PL is the second major rift-related structure outcropping in the 274 Val Strona section (Fig. 6c). It appears as a tilted ductile shear zone dated at 182 ± 2 Ma 275 (Mulch et al., 2002a) with greenschist facies deformation (Handy, 1987). As a result Permian 276 *P-T* conditions overprinted by these successive rift-related deformations define a P_0 -depth gradient of 0.42 kbar.km⁻¹ (Henk et al., 1997). 277

278 **4.3. Valle Cannobina section**

279 In the Valle Cannobina (F-F' on Fig. 5), two major high grade deformation zones 280 (Finero Shear Zones; FSZ) separate the Upper amphibolite-facies metasediments (i.e. the Kinzigite formation), the so-called external gabbro from the "layered internal zone" and 281 282 associated mantle peridotite (Kenkmann and Dresen, 2002) leaving the contact between the 283 "layered internal zone" and the mantle peridotites (i.e., the petrological Moho) undeformed. 284 The shear zones were active at high temperature (>650 °C), record a normal sense of shear 285 and are dated at 235-200 Ma (Langone et al., 2017, 2018). Further away from the Insubric 286 line, the PL presents amphibolite-facies deformations (Handy, 1987). Altogether, the shear 287 zones juxtapose mantle peridotites, upper and lower crustal rocks on a few kilometers distance, resulting in an extremely high P_0 -depth gradient of 1.8 kbar.km⁻¹ (Handy et al., 288 289 1999). This indicates that major crustal thinning occurred at 235-200 Ma along this transect 290 (Handy et al., 1999; Langone et al., 2018) whereas no deformation was documented in the 291 upper-crustal SDL.

292 5. NUMERICAL MODELING

293 **5.1. Modeling approach**

294 In order to unravel the impact of mechanical heterogeneities on the structuring and 295 architecture of rifted margins, we have designed a set of thermo-mechanical numerical 296 models. The two-dimensional models were carried out at the scale of the continental 297 lithosphere and incorporated discrete km-scale elliptical bodies of differing rheologies that 298 represent inherited lithological variations. The modeling approach is based on a finite 299 difference/marker-in-cell discretization of the momentum and heat transfer equations (Gerva 300 and Yuen, 2003). The numerical algorithm has the ability to simulate the evolution of a true 301 free surface (Duretz et al., 2016a) and combines, in a consistent manner, a variety of 302 rheological behaviors (elasticity, viscosity, plasticity) and creep mechanisms (Popov and
303 Sobolev, 2008; Schmalholz and Duretz, 2017). See Text S3 for details.

5.2. Model configuration

305 The initial model domain is 300 km wide and 120 km high (Fig. 7a). Extension is 306 applied by prescribing horizontal velocities at the lateral model boundaries (left, right, bottom), satisfying a constant bulk extension rate of 10^{-15} s⁻¹. The models were run with a 307 308 numerical resolution of 150 x 75 m (2000 x 1600 cells) and a Courant number of 0.5 for the 309 adaptive time step (i.e. the maximum displacement per time step is half a grid space). The top 310 of the model is a free surface on which the action of surface processes is not taken into 311 account. The initial thermal field is that of an equilibrium field that includes radiogenic heat 312 production in the continental crust and exhibits 500-550 °C at the Moho. Zero heat flow 313 boundary conditions are prescribed at the lateral sides of the model; the top and bottom 314 boundaries are characterized by a constant temperature (0 and 1330 °C, respectively). The 315 continental crust is initially 30 km thick and is modelled with a visco-plastic layer of 316 moderate strength (anorthite flow law; Rybacki and Dresen, 2004) and includes embedded 317 elliptical bodies of relatively weaker (4 x 67 km ellipses; wet quartzite; Kirby, 1983) and 318 stronger rheologies (4-7 x 42-77 km ellipses; Maryland diabase; Mackwell et al., 1998) 319 representing laterally discontinuous strength variations mimicking structural or lithological 320 heterogeneities. Weak ellipses mimic rheological weak lithologies such as phyllosilicate-rich 321 metasedimentary units in upper and mid-crustal position (e.g. Grosina and the Serie dei Laghi 322 units), whereas strong ellipses stand for rheological strong lithologies such as mid-crustal 323 (Sondalo mafic complex) and lower crustal mafic intrusions (Braccia and Ivrea mafic 324 complexes). The upper subcontinental mantle is initially 40 km thick and is modelled using a 325 visco-plastic layer dominated by dry olivine (Carter and Tsenn, 1987) and incorporates 326 weaker lens-shaped bodies dominated by wet olivine (3 x 61 km ellipses; Carter and Tsenn,

1987) to model heterogeneities in the mantle (e.g. pre-existing petro-fabric, modal variations, crystallized melt pockets). The lower subcontinental mantle is modelled using a purely viscous layer dominated by a wet olivine rheology. No prescribed strain-softening parameterization is applied in the models, the numerical models are hence less prone to meshdependence and exhibit a good convergence upon mesh refinement (see Figure S5). The models are also performed using different initial lithospheric structures (see Text S3, Table S4 and Figure S6).

334 **5.1. Modeling results: reference model**

335 The geometrical and rheological evolution of the reference model is depicted in Figs. 7 336 and 8 (see also Movies S8-S10). Within the first 10% of extension, the deformation of the 337 continental crust is distributed and mainly in the frictional field. The main localizing feature is 338 the weak inclusion originally positioned at about 20 km depth that acts as a décollement level 339 in the ductile field (Fig. 8a). The deformation of the upper subcontinental mantle gives rise to 340 an overall necking pattern (Fig. 7b). Some localized deformation occurs close to the Moho 341 where the stronger mantle reaches conditions of frictional deformation and undergoes necking 342 in the regions where heterogeneities induce a local stratification (Fig. 8a). After about 15% of 343 extension, the upper subcontinental mantle is almost totally necked and devoid of any 344 frictional deformation (Fig. 7c, 8b). The activation of numerous frictional shear bands in the 345 crust leads to the formation of a pattern of tilted blocks (Fig. 7c). The stronger heterogeneities 346 present in the crust are still mostly undeformed compared to surrounding material. After 25% 347 of extension, the upper subcontinental mantle is fully necked although the crust in the center 348 of the rift is still about 15 km thick (Fig. 7d). As the initially deep mantle is in direct contact 349 with the crust, the Moho temperature rises to about 600 °C. Frictional deformation is only 350 activated in the crust and occurs on a few shear bands only (Fig. 8c). One frictional structure 351 propagates across the strong central heterogeneity and shears it apart, forming an apparent 352 large scale pinch and swell structure (Fig. 7d and 8c). The conditions of extreme crustal 353 thinning and final crustal breakup occur after 30% of extension (Fig. 7e). The final necking 354 migrates basinwards (towards the center of the model) due to the presence of the strong 355 central crustal heterogeneity. As the mantle flows closer to the surface, it cools down below 356 600 °C and reaches the conditions of frictional deformation. As most of the deformation 357 occurs now in the upper subcontinental mantle, the two continental margins do not undergo 358 any further prominent strain (Fig. 8d) while deformation is only accommodated by creep of 359 the lower subcontinental mantle.

360 **5.2. Modeling results: impact of rheological contrasts**

361 The impact of tectonic inheritance is a direct consequence of the strength contrasts 362 between different lithologies. For example, the extension of a small scale multilayer material 363 with negligible strength contrasts may likely not undergo any localized necking (see 364 Schmalholz and Mancktelow, 2016). In order to investigate the impact of strength variations, we systematically increased and decreased the strength contrasts between the crust, mantle 365 and their heterogeneities. To do so, we artificially varied ductile flow strength of the 366 367 heterogeneities (Fig. 9). Increasing the effective viscosity of weak heterogeneities and 368 reducing the viscosity of strong heterogeneities by a factor of 10 simulated small strength 369 contrasts (Fig. 9a). Conversely, decreasing the viscosity of weak heterogeneities and 370 increasing the viscosity of strong heterogeneities by a factor of 10 simulated large strength 371 contrasts (Fig. 9c). These models were compared to the reference model (Fig. 9b) for similar 372 amounts of extension (40%). The model with low strength contrast clearly differs from the 373 reference model (Fig. 9ab). It is dominated by a distributed thinning of the lithosphere 374 ultimately leading to a single lithosphere-scale necking (Chenin et al., 2018; Fletcher and 375 Hallett, 1983). The model with large strength contrast resembles the reference model but exhibits much more localized features (Fig. 9bc). The tilted-block pattern in the upper crust isamplified and the overall rift asymmetry is more pronounced.

378 **6. DISCUSSION**

The comparison of both field observations from the remnants of the Alpine Tethys and numerical models led to characterize the different structures accommodating the thinning of the continental lithosphere in relation with initial heterogeneities. The detailed comparison between the Alpine Tethys rifted margin architecture based on field observations, the numerical modelling results and related P_0 -depth diagrams are provided in Figure S7.

6.1. Distribution of deformation across the rifted margin

385

6.1.1. The proximal domain

386 From the proximal to the distal domains, the style of extensional structures 387 significantly changes. In the proximal domain, most of the extension is accommodated by 388 high-angle normal faults essentially developed during the early rifting stage (Fig. 8ab). These 389 faults are generally able to cut across the brittle upper crust before soling into décollement 390 levels. Such décollement levels may be localized either at the brittle-ductile transition at mid-391 crustal depth or in weak lithologies (e.g., phyllosilicate-rich layers). In contrast, the 392 deformation of the deepest part of the crust appears to be accommodated by diffuse ductile deformation. At that stage, P_0 -depth diagrams are weakly modified (0.32 kbar.km⁻¹) with 393 respect to a normal lithostatic gradient (max. 0.29 kbar.km⁻¹; Fig. 10fg) emphasizing the weak 394 395 crustal thinning recorded in this domain. This is in agreement with the low accommodation 396 space deduced from the syn- to post-rift sedimentary record (e.g. Eberli, 1988).

397

6.1.2. The necking zone

398 The deformation style evolves across the necking zone in association with an increase 399 of crustal thinning. Most of the normal faults affecting the upper crust are progressively 400 rooting on a major *décollement* that can be followed until the development of the proximal 401 domain. At depth, small-scale necking of individual strong layers allows décollement levels to 402 connect different crustal levels. Strong layers remain almost undeformed while most of the 403 deformation localizes in surrounding, weak lithologies, conditioning the system for lateral 404 extraction (see section 6.3). In particular the ESZ may represent an example of a *décollement*, 405 delimiting the essentially undeformed Campo unit hosting pre-rift Permian gabbroic 406 intrusions from the overlying, deformed Grosina unit (A-A'). This is similar to lateral 407 variations in lower crustal strain documented in the Southern Alps (Fig. 5). The pre-rift mafic 408 intrusion of the lower crustal IVZ, recorded weak to no rift-related deformation while P_0 -409 depth gradient is almost unmodified with respect to the lithostatic gradient. However, 410 deformation increases in the surrounding metasediments where several rift-related shear zones 411 have been recognized (Figs. 5, 6). The presence of these shear zones is associated with modifications in P_0 -depth gradients from 0.42 kbar.km⁻¹ close to the main mafic complex in 412 Val Strona (E-E') up to 1.8 kbar.km⁻¹ in Val Cannobina (F-F', Fig. 5d). This strain 413 414 partitioning, both vertically and laterally, is well illustrated in the synthetic P_0 -depth diagrams 415 across the necking zone (Fig. 10de) where both the crust and the mantle are characterized by 416 various P_0 -depth gradients resulting from the incipient lateral extraction of distinct portions of 417 the continental basement (see section 6.3). As an example, the lower crust in the necking zone 418 may either be weakly deformed, surrounded by high-strain zones with high local P_0 -depth gradients (0.43 kbar.km⁻¹ to 2.87 kbar.km⁻¹, Fig. 10e) or pervasively deformed with high P_{0} -419 depth gradients (1.18 kbar.km⁻¹, Fig. 10d). With more extension, deformation at the surface 420

starts to be localized along few major detachment faults that remain restricted to the crustwhereas the deformation stops in this domain at around 25% (Fig. 8cd).

423

6.1.3. The distal domain

In the distal domain, highly extended continental crust passes laterally to 424 425 subcontinental mantle exhumed at the seafloor. Normal faults cutting across the entire 426 residual crust sole into a décollement now coinciding with the Moho (Fig. 8ab). Such a 427 configuration has been described in the present-day Iberia margin and identified as the S-428 reflector (Reston et al., 1996). Deep deformation in this domain is extremely localized: high-429 strain zones are distributed in initially weak lithologies while strong lithologies remain almost 430 undeformed. Such strain partitioning is explained by the lateral extraction (see section 6.3) of 431 strong layers from the future distal domain during the early extensional stages (Fig. 7). This 432 lateral extraction appears as an efficient process able to thin the continental crust over few 433 kilometers. As this process occurs during extension, local thickening caused by extraction of 434 lateral levels is inhibited. Similar to the continental crust, the mantle is rapidly necked by the 435 interaction of large offset normal faults and *décollement* levels. The direct implication of fully 436 achieved lateral extraction for the associated P_0 -depth diagram is the presence of sharp gradients of P_0 with depth surrounding preserved domains (0.42 kbar.km⁻¹, Fig. 10c). This is 437 also reported for the two distal margin examples in the Eastern Alps (Fig. 3). In the southern 438 439 distal margin (C-C'), the pre-rift upper and lower crusts are juxtaposed via the Margna shear 440 zone (Figs. 3, 4ef). In this context, the Margna shear zone represents an extraction fault that 441 remains after the extraction of mid-crustal units. Eventually, deformation localizes along main 442 detachment faults with increasing strain amount to finally exhume at the seafloor deep levels such as the mantle (Figs. 7, 8). 443

444 **6.2.** The role of heterogeneities

445 Our observations and numerical models indicate that initial mechanical heterogeneities 446 have a first-order control on the mechanisms of thinning and eventually on the evolution and 447 architecture of rifted margins. Among others, the relative strength contrasts between the 448 heterogeneities and their initial position in the continental lithosphere are key parameters.

449 For small rheological contrasts (effective viscosity contrast decreased by a factor of 450 10; see Table S4 for reference rheological parameters), deformation is highly distributed over 451 the entire section (Fig. 9) and the necking of both the mantle and the crust are symmetrical. 452 This setting leads to the formation of a wide zone of lithospheric thinning, delaying or even 453 inhibiting mantle exhumation. In contrast, moderate and large strength contrasts (reference 454 model or effective viscosity contrast increased by a factor of 10) rapidly partition the 455 deformation in weak lithologies: decoupling levels may be active over large distances across 456 the margin and their lifetime may also be protracted. Strain partitioning triggers the lateral 457 extraction of the strong parts of the crust or mantle that remains weakly deformed to 458 undeformed. The removal of the strong layers permits the connection of weak layers by 459 extensional structures leading to the mechanical coupling of the crust and the mantle and 460 permits exhumation of the latter to the seafloor. Our model does not integrate decompression 461 melting which, if arriving early enough with respect to crustal thinning, may abort mantle 462 exhumation at the seafloor (Davis and Lavier, 2017; Larsen et al., 2018; Ros et al., 2017; 463 Tugend et al., 2018). In general, significant rheological contrasts favour mantle exhumation 464 and less total strain is requested (Fig. 9). Finally, the detachment fault responsible for 465 exhumation generates a margin asymmetry.

The initial vertical and horizontal position of heterogeneities also has implications for the distribution of the extensional deformation (see also Figure S6). At the lithospheric scale, the role of heterogeneities seems to be negligible at the shallowest levels; high-angle brittle

structures are generated independent of the position of heterogeneities but may still be locally controlled by inherited structures. Upper crustal heterogeneities are therefore behaving as passive markers of the deformation. In contrast, the development of deep deformation bands in the ductile regime is strongly controlled (in case of sufficient strength contrast) by the presence of weak lithologies localizing the deformation or strong lithologies forcing deformation either to shallower or deeper levels. This is notably the case for *décollement* levels onto which normal faults sole.

476 From both field observations and numerical models, we show that at the scale of the 477 lithosphere, deformation is preferentially localized in initially weak lithologies, affecting to a 478 lesser extent initially strong lithologies (see Figure S7). The most important parameter is the 479 rheological contrast between two lithologies and not their absolute rheological parameters 480 (e.g. Handy, 1994). Examples for weak lithologies could be phyllosilicate-rich (meta-) 481 sediments with a pre-rift foliation (Shea and Kronenberg, 1993) or granitoids while strong 482 lithologies could be mafic intrusions. The necking zone of the Eastern Alps best exemplifies 483 this relation: the Grosina unit, which is made of metasediment and orthogneiss derived from 484 granitoid, localizes several rift-related structures, whereas the underlying Sondalo gabbroic 485 complex and surrounding Campo unit escaped rift-related deformation. Granitoids may even 486 be the weakest lithologies in case of a more mafic continental crust. Once rifting is initiated, 487 several mechanisms may further enhance weakening of the deforming zone by grain size 488 reduction (Fitz Gerald and Stünitz, 1993; Handy, 1990), metamorphic retrogression (Wintsch 489 et al., 1995), shear heating (Brun and Cobbold, 1980) or formation of petrofabric (Holyoke 490 and Tullis, 2006).

491 **6.3.** The importance of heterogeneity-enhanced extraction tectonics

492 The incorporation of heterogeneities highlights that extraction tectonics represent an493 efficient manner to accommodate lithospheric thinning. As exemplified by Froitzheim et al.

494 (2006), it requires to localize the deformation in conjugate shear zones surrounding an 495 undeformed to weakly deformed volume. Shear zones have opposite sense of displacement 496 and are connected at the tip of the volume, leaving an extraction fault or shear zone behind 497 (see Fig. 1 of Froitzheim et al., 2006). In extensional tectonic systems, this extraction fault 498 may record a complex sense of motion and amount of strain (Froitzheim et al., 2006). Such 499 mechanisms have been proposed to exist in the extending continental lithosphere, either in 500 late- to post-orogenic systems (Fossen et al., 2014) or during the formation of extremely 501 extended margins (Clerc and Lagabrielle, 2014; Froitzheim et al., 2006; Mohn et al., 2012).

Lateral extraction is thus a viable mechanism only in case of depth-dependent thinning, likely activated if kilometre-scale, laterally discontinuous heterogeneities are present. In the models (Figs. 7-10), the heterogeneities pre-set the system to enhance extraction. However, lateral discontinuity can also be generated during extension. As shown by Brun and Beslier (1996) and Duretz et al. (2016b), initially strong and continuous layers may be necked to form individual large-scale boudins that are conducive to extraction by continuous extension.

509 Field examples of this necking-related mechanism are well documented in the Eastern 510 Alps. Whereas distal-most domains are showing examples in which extraction has been 511 completed, aborted extraction can be seen in the necking zone (Figs. 3, 5). Indeed, the ESZ 512 affects the orthogneissic Grosina unit, leaving undeformed the underlying Campo unit, which 513 hosts a Permian gabbro inhibiting deformation in the latter unit. Such observations show the 514 control of pre-existing lithologies on the partitioning of deformation and led to the selection 515 of different extraction levels during rifting. Hence, the finite result of extreme lithospheric 516 thinning is the juxtaposition of internally weakly to undeformed sections of the continental 517 lithosphere. These sections are juxtaposed across complex extensional high-strain zones that 518 act either as decoupling horizons with relatively horizontal geometries or variably inclined structures. Such processes explain the preservation of pre-rift fabric and lithology inextremely thinned continental crust.

521 **6.4 Implications for present-day rifted margins**

522 This study suggests that, at least locally, the discrepancy between recognized faulting 523 and observed total crustal thinning is the result of a complex interplay between intra-basement 524 deformation zones. Several contributions have already emphasized the role of boudinage in 525 present-day margins as for instance documented offshore Britain (Reston, 1988). Our results 526 further explain the composition of extremely thinned distal domains; depending on the initial 527 architecture of continental lithosphere, various crustal levels can be extracted from the future 528 distal domain. This domain may therefore be composed of a juxtaposition of different crustal 529 levels with different pre-rift and syn-rift P-T histories. As consequence even in the most distal 530 margins, undeformed pre-rift crustal levels can therefore be preserved, surrounded by rift-531 related extensional structures. In the Iberia-Newfoundland rifted margin, the distal domain 532 was interpreted to be the result of the juxtaposition of both upper and lower crust inferred from both drilling results and seismic surveys (e.g. Péron-Pinvidic and Manatschal, 2009). In 533 534 contrast, the exhumation of the lower crust was proposed in parts of the SW Barents sea 535 (Gernigon et al., 2014), the Gulf of Lion (Jolivet et al., 2015) or offshore Gabon (Clerc et al., 536 2018).

537 **7. CONCLUSION**

538 We investigated the control of initial mechanical heterogeneities such as changing 539 lithologies, inherited mechanical anisotropies (e.g., rock fabrics) or pre-rift structures on the 540 rifting kinematics and dynamics.

541 The pre-rift architecture of the continental lithosphere was reconstructed from field 542 observations in the remnants of the Alpine Tethys. The evolution of the different portions of

the continental lithosphere was tracked during the rifting by studying initial pressure (P_0) – depth diagrams highlighting the homogenous thinning or missing distinct pre-rift levels of the lithosphere.

We compared these observations to two-dimensional thermo-mechanical numerical models investigating the role of initial lithospheric heterogeneities for the architecture and evolution of rifted margins. The style of lithospheric extension is strongly influenced by the rheological contrasts between the embedded heterogeneities within the continental crust / lithosphere.

These multidisciplinary approaches emphasized the critical role of extraction tectonics that appears as an efficient mechanism to thin the continental lithosphere. Such mechanism initiates in the necking zone, recording strong variations in strain distribution, both vertically and horizontally. As a consequence, the deep deformation in the distal domain is localized along high-strain zones left behind after extraction of various strong levels. Depending on the initial position of the heterogeneities, different levels may be selected for lateral extraction during rifting, explaining the variable composition of distal margin basement.

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565 SUPPORTING INFORMATION

566 Supplementary material 1

- 567 **Table S1.** Compilation of published *P-T-t* estimates from the Eastern Alps
- 568 **Table S2.** Compilation of published *P-T-t* estimates from the Southern Alps and associated
- 569 statistics
- 570 **Text S3.** Modelling methodology and parameters
- 571 **Table S4.** Summary of thermal and rheological parameters used in the numerical experiments
- 572 **Figure S5.** Model resolution tests
- 573 Figure S6. Additional numerical models
- 574 **Figure S7.** P_0 -depth summary figure
- 575 Supplementary material 2
- 576 Movie S8. Reference model with phase coloring
- 577 Supplementary material 3
- 578 Movie S9. Accumulated strain of the reference model

579 Supplementary material 4

580 Movie S10. Frictional and ductile strain rate of the reference model

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876 FIGURES





deformation is "homogeneously" distributed across a unit. 0.29 kbar.km⁻¹ is chosen as a
reasonable maximum lithostatic pressure gradient (see text).



890

891 Fig. 2: (a) Tectonic map of the Southern and Central Alps compiled from the Swiss 892 and Italian geological maps and from Müntener and Hermann (2001). (b,c) Present-day 893 schematic cross sections across (b) the Eastern Alps (location also on Fig. 3; modified from 894 Mohn et al., 2011) and (c) the Southern Alps (location also on Fig. 5; modified from Zingg et 895 al., 1990). ARSZ: Anzola-Rosarolo Shear Zone; CL: Cremossina line; CMB: Cossato-Mergozo-Brissago Line; FSZ: Finero Shear Zones; IVZ; Ivrea-Verbano Zone; LMF: Lago 896 897 Maggiore Fault; LVG: Lugano Val Grande Fault; LMMZ: Lunghin-Mortirolo Movement 898 Zone; PL: Pogallo Line; SDL: Serie Dei Laghi.



899

900 Fig. 3: Lithotectonic map of Austroalpine and Upper Penninic units in SE Switzerland 901 and N Italy. (b) Reconstructed post-rift section modified from Mohn et al. (2012). (c) 902 Schematic P_0 -depth diagrams built using field observations, and P-T estimates (see text and 903 Table S1 for references). Schematic P_0 -depth diagrams are shown for the necking zone (A-904 A'), the southern distal section (B-B'), for the northern distal section (C-C'). Due to Alpine 905 deformation, unit thickness is only estimated. ESZ: Eita Shear Zone; LMMZ: Lunghin-906 Mortirolo Movement Zone. Location of X-X' section on Fig. 2.



907

908 Fig. 4: Field photographs from the Eastern Alps. Necking zone: (a) panoramic view on 909 the Jurassic Eita Shear Zone (ESZ) marking the contact between the Grosina unit and the 910 Campo unit intruded by the Permian Sondalo gabbro. (b) Contact between the Campo and 911 Grosina units delimited by the Eita shear zone. (c) Anastomosing extensional shear zones 912 distributed across the entire Grosina unit. In contrast, the Campo unit is devoid of such 913 extensional structures. (d) Zoom of S-C structures in one of the anastomosing shear zones 914 from the Grosina unit. Southern distal margin: (e) lower crust / upper mantle contact 915 preserved in the Malenco unit. The pre-rift lower crust is characterized by the emplacement of 916 the Permian Braccia gabbro; (f) the Margna shear zone in the hinge of an Alpine recumbent 917 fold. This structure delimits the pre-rift upper crust (Upper Margna - Fora gneiss) from the 918 pre-rift lower crust (Lower Margna - Feddoz gabbro). Northern distal margin: (g-h) 919 panoramic view and interpreted N-S section on the Err unit and the Neir Permian basin. The 920 geometry and localization of the Jurassic Err and Jenatsch detachment faults are at least partly 921 controlled by the pre-rift Neir Permian basin (modified from Manatschal et al., 2015).



922

923 Fig. 5: (a) Lithotectonic map of the Southern Alps modified from Handy et al. (1999), 924 Quick et al. (2003), Beltrando et al. (2015b) and the 1:200,000 geological maps from Italy 925 and Switzerland. (b) Reconstructed post-rift cross-section modified from Beltrando et al. (2015b). (c) P_0 -depth diagrams for the Val Sessera – Val Sesia section (D-D'), the Val Strona 926 927 section (E-E') and the Valle Cannobina section (F-F'). The diagrams are here plotted as P_0 distance to the Insubric line as the pre-rift IVZ is tilted to 90°, with almost no Alpine 928 929 deformation. See text and Table S2 for references. ARSZ: Anzola-Rosarolo Shear Zone; CL: 930 Cremossina Line; CMB: Cossato-Mergozo-Brissago Line; FSZ: Finero Shear Zones; LMF:

- 931 Lago Maggiore Fault; LVG: Lugano Val Grande Fault; PL: Pogallo Line; VCSZ: Val Cola
- 932 Shear Zone. Location of Y-Y' section on Fig. 2.



<u>Fig. 6:</u> Field photographs and microphotograph from the Southern Alps. (a,b) the
Anzola-Rosarolo Shear Zone (ARSZ) showing dynamic recrystallization of plagioclase,
amphibole and pyroxene. (c) The Pogallo line showing greenschist facies deformation.



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Fig. 7: Initial configuration and evolution of the reference numerical model. (a) Initial 938 939 distribution of the compositional field (enlargement, left column) and initial strength profiles 940 (right column) corresponding to a homogeneous strength profile of the lithosphere (i.e. 941 crossing no initial heterogeneities, leftmost) and heterogeneous strength profile (x = 0 km) of 942 the lithosphere (i.e. crossing initial heterogeneities, rightmost). Panels (b) to (e) depict the 943 evolution of the reference model both in terms of compositional, thermal fields (left column) 944 and accumulated strain (right column). White lines denote isotherms with 200 °C spacing. 945 The accumulated strain was reported for the corresponding amounts of extension.



946

947 Fig. 8: Partitioning of the strain rate between the frictional (Drucker-Prager rheology) 948 and ductile rheology (viscous creep). Panels (a) to (d) correspond to a progressive time 949 evolution. The white lines correspond to the contours of heterogeneities and the grey lines 950 correspond to the Moho and the base of upper subcontinental mantle highlighting the evolving 951 morphology of the main contacts and heterogeneities.



Fig. 9: Influence of the rheological contrasts on the style of lithospheric extension. Panel (a) corresponds to a model with reduced strength contrast compared to the reference model (see text). Panel (b) depicts the reference model. Panel (c) corresponds to a model with enlarged strength contrasts compared to the reference model. For each panel, we report initial strength profiles corresponding to lithosphere portions including heterogeneities or not (left), compositional and thermal field (isotherms depicted for each 200 °C), and the accumulated strain pattern.



(a) t = 0 Ma; ε = 0 %

961 Fig. 10: (a) Pre-rift architecture of the continental lithosphere with the localization of 962 the heterogeneities. (b) Post-rift margin architecture showing from the top to bottom: 963 compositional and thermal fields (200 °C spacing), accumulated strain and line drawing 964 highlighting the different recognized structures. Modeled P_0 -depth diagrams and calculated 965 gradients across (c) the distal domain, (d,e) the necking zone and (f,g) the proximal domain. 966 ZECM: Zone of Exhumed Continental Mantle.