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Didier Bert, Stéphane Bersac, Léon Canut. Implications of the 'hemihoplitid-like' ammonites iterative morphology in the context of the late Tethyan Barremian (Early Cretaceous). Cretaceous Research, 2020, 106, pp.104239. 10.1016/j.cretres.2019.104239. insu-02283637

## HAL Id: insu-02283637 https://insu.hal.science/insu-02283637v1

Submitted on 11 Sep 2019  $\,$ 

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PII: S0195-6671(19)30254-X

DOI: https://doi.org/10.1016/j.cretres.2019.104239

Reference: YCRES 104239

- To appear in: Cretaceous Research
- Received Date: 14 June 2019
- Revised Date: 29 August 2019
- Accepted Date: 3 September 2019

Please cite this article as: Bert, D., Bersac, S., Canut, L., Implications of the 'hemihoplitid-like' ammonites iterative morphology in the context of the late Tethyan Barremian (Early Cretaceous), *Cretaceous Research*, https://doi.org/10.1016/j.cretres.2019.104239.

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Journal Pre-proof	

1	Implications of the 'hemihoplitid-like' ammonites iterative morphology in
2	the context of the late Tethyan Barremian (Early Cretaceous)
3	
4	Didier Bert <sup>a,b,c*</sup> , Stéphane Bersac <sup>c</sup> , Léon Canut <sup>c</sup>
5	
6	* Corresponding author: didier.paleo@gmail.com.
7	<sup>a</sup> : Réserve naturelle nationale géologique de Haute-Provence, Service Environnement, Conseil
8	départemental des Alpes de Haute-Provence, 13 rue du Docteur Romieu, CS 70216, F-04995 Digne-
9	Les-Bains Cedex 9, France.
10	<sup>b</sup> : Laboratoire Géosciences, UMR-CNRS 6118, Université de Rennes-1, campus Beaulieu, bâtiment
11	15, F-35042 Rennes cedex, France.
12	<sup>c</sup> : Laboratoire du Groupe de recherche en Paléobiologie et biostratigraphie des Ammonites (GPA),
13	Bois-Mésanges, quartier St Joseph, F-04170 La Mure-Argens, France.
14	
15	Highlights
16	• Resemblance of <i>Lenicostites rusticus</i> with Hemihoplitidae is a case of homeomorphy.
17	• The numerous reported occurrences of Hemihoplitinae over the world are reviewed.
18	• Pareto-optimal solution explains homeomorphy of the 'hemihoplitid-like'
19	morphology.
20	• Homeomorphitinae subfam. nov. and Shasticrioceratidae fam. nov. are proposed.
21	• <i>Lenicostites</i> is a victim of the Gause Principle in favour of the Hemihoplitinae.
22	
23	Abstract
24	

Hemihoplitidae evolution is well documented in the north-west Tethyan margin at the lower 25 upper Barremian. In this context, the genus Lenicostites gen. nov. (L. rusticus), with 26 'hemihoplitid-like' morphology, is unexpected because of its age older than the earliest 27 Hemihoplites and its evolutionary stasis that contrasts with the rapid changes of the 28 Hemihoplitidae. Data show no connection between Hemihoplitidae and Lenicostitidae fam. 29 nov. and the resemblance is homeomorphy. The numerous reported occurrences of 30 Hemihoplitinae over the world are reviewed. Their critical revision shows that some of them 31 are contradictory with the evolutionary history of the group. The 'hemihoplitid-like' 32 morphology is iterative in several taxa without any phyletic links (homeomorphy). Reasons 33 could be linked to the morphospace occupation in the zone of maximum equilibrium between 34 different constraints (a Pareto-optimal solution), making such morphology effortless to 35 The Austral Homeomorphitinae subfam. nov. (with Homeomorphites reproduce. 36 37 aguirreurretae gen. nov. et sp. nov.) are assigned to the Neocomitidae. A phyletic link between Shasticrioceras and Antarcticoceras is suggested (Shasticrioceratidae fam. nov.). 38 Until proven otherwise, there is no Hemihoplitinae outside the north and west margins of the 39 Tethys (including the Essaouira Basin). Homeomorphy between Hemihoplitinae and 40 Lenicostitidae fam. nov. is explored and convergence seems the most convincing hypothesis. 41 The further appearance of Camereiceras (Hemihoplitinae) could establish favorable 42 conditions for interspecific competition, and the disappearance of *Lenicostites* gen. nov. could 43 be interpreted as a complete competitive replacement. In this hypothesis Lenicostites gen. 44 nov. is a victim of the Gause Principle as it lost the Red Queen race. 45

46

47 Key-words: ammonites; Early Cretaceous; homeomorphy; competition; systematics; Pareto48 optimal solution.

49

### 50 1. Introduction

51

The family Hemihoplitidae Spath, 1924a is a key element of the marine early late Barremian 52 ammonite fauna because of its very rapid evolution and diversification, to the extent that 53 successive representatives of this family are used in the definition of a number of stratigraphic 54 horizons (Fig. 1). In the context of the North Tethyan margin, this family develops three 55 major trends, materialized into three subfamilies: (1) the Gassendiceratinae Bert et al., 2006 56 appear in the Toxancyloceras vandenhenckei Subzone and represent the stem of the whole 57 group (following Bert and Bersac, 2014, this subfamilly actually would appear in the early 58 59 Barremian in the proto-Altantic context of the Essaouira Basin, Morocco, with Gassendiceras essaouirae Bert and Bersac, 2014); (2) the Peirescinae Bert et al., 2006, which connect with 60 the Douvilleiceratoidea Parona and Bonarelli, 1897 (spanning the Gassendiceras alpinum to 61 Imerites giraudi subzones); and (3) the Hemihoplitinae Spath, 1924a, which are derived from 62 the genus Gassendiceras Bert et al., 2006 (Gassendiceratinae) at the top of the Gassendiceras 63 alpinum Subzone. The older Hemihoplitinae species [Camereiceras breistrofferi (Sarkar, 64 1955) and *C. marchandi* Bert et al., 2006] retain some characters from the *Gassendiceras*: (1) 65 the ontogenetic stages (the Heberti, Barremense and Camereiceras stages - see Bert et al., 66 2013 for an extensive description); (2) ornamentation with differentiated ribs; (3) 67 trituberculate main ribs; (4) general morphology with uncoiled shell. Later, in the 68 Camereiceras limentinus Subzone, the dimorphic genus Camereiceras Delanoy, 1990a has 69 always its whorls in contact. It gives rise to the genera Pachyhemihoplites Delanoy, 1992 and 70 Hemihoplites Spath, 1924a. The evolution of Hemihoplites shows a progressive reduction of 71 the tubercles with, in stratigraphic order, H. cornagoae Bert et al., 2006, H. astarte (Fallot and 72 Termier, 1923) and finally, *H. feraudianus* (d'Orbigny, 1841). The latter is morphologically 73

simplified with weak tubercles (two rows only) in the *H. feraudianus* Subzone, where theHemihoplitinae disappear.

76

This systematic and evolutionary framework is now relatively well known and restricted to 77 the northern Tethyan margin between the G. alpinum and H. feraudianus subzones (early late 78 Barremian - see Bert, 2012a, 2014a, 2014b; Bert and Bersac, 2013, 2014; Bert et al., 2013). 79 In this context, the discovery of new ammonite specimens with simplified morphology very 80 close to *Hemihoplites feraudianus* in the lower part of the upper Barremian of the Vocontian 81 Basin (Barremian stratotype area, southeastern France), is totally unexpected. These are not 82 only present two ammonites subzones (three if considering their appearance) before the 83 appearance of the first *H. feraudianus*, but their appearance is also clearly anterior to the 84 oldest known Tethyan Hemihoplitinae. These ammonites are described here in detail; they are 85 86 related to the hitherto poorly known species Hemihoplites rusticus Vermeulen, 1996. Their study suggests that they probably do not belong to Hemihoplitidae and we propose the new 87 genus Lenicostites gen. nov. and the new family Lenicostitidae nov. fam. 88

89

A review of the literature shows that this type of situation is not exceptional in the Lower 90 Cretaceous: many occurrences of Hemihoplitinae have been reported all around the world, 91 always with specimens of simplified morphology, while their geographical occurrence are 92 sometimes very far between them and the Tethyan domain in disparate stages from 93 Valanginian to Aptian. Systematics, based on relationships between morphology, ontogeny, 94 stratigraphy and paleobiogeographical data helps reframe their taxonomy; it appears that most 95 of them are not Hemihoplitidae. Hypothesis about phylogenetic relationships and 96 paleoecology of these ammonites are considered and several new taxa have to be introduced: 97

98 the Homeomorphitinae subfam. nov., with *Homeomorphites* gen. nov. (type-species: *Ho*.
99 *aguirreurretae* gen. nov. et sp. nov.), and Shasticrioceradidae fam. nov.

Finally, several hypotheses about the phyletic position of *Lenicostites* gen. nov. are discussed,
which rise questions about homeomorphy and its causes (convergence, parallelism or iterative
evolution), interspecific competition, competitive replacement, and Red Queen theory applied
to these ammonites.

104

105 **2. Material** 

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This study focuses on the discovery of new material of the extremely rare genus Lenicostites 107 gen. nov. These fossils are from four bed-by-bed sampled stratigraphic sections in the south-108 east of France (Alpes de Haute-Provence and Alpes-Maritimes), two of them located in the 109 110 immediate vicinity of the Barremian historical stratotype of the Angles road (sections A' and A\*), and the others located in the Arc of Castellane between Rougon and Vence (sections 111 MAN and TAI). Part of this area belongs to the protected perimeter of the Geological 112 National Nature Reserve of Haute-Provence (RNNGHP), managed by the Departmental 113 Council of the Alpes de Haute-Provence on behalf of the French State. All the collected 114 material is curated by the RNNGHP. 115

The stratigraphic framework used for the North Tethyan Barremian in this work was proposed by the I.U.G.S Lower Cretaceous ammonite working group (the Kilian Group – Reboulet et al., 2018). This framework is completed by several works, which helped to reach a high stratigraphic precision level (Fig. 1): for the Barremian (Bert et al., 2008; Bert and Delanoy 2009; Bert et al., 2010, 2011 and 2018), Hauterivian (Company et al., 2003; Matamales-Andreu and Company, 2019) and Aptian (Bersac and Bert 2012). For local zonations, the following works were used to make correlations: Aguirre-Urreta et al. 2007a (Chile and

Argentina), Aguirre-Urreta, 2002, Aguirre-Urreta et al., 2007b, Riccardi, 1988 and Riccardi
and Medina, 2008 (Patagonia), Murphy, 1975 (California). The terminologies used in this
paper (biostratigraphy and chronostratigraphy vs. geochronology) respect the standards of the
International Commission on Stratigraphy (Salvador, 1994).

127

## 128 3. Geological setting and sections studied

129

During the Mesozoic Era, the Vocontian Basin (south-east of France) was a large subsident 130 intracratonic area opened towards the Alpine ocean. The sedimentary basin is now bordered 131 by the Mediterranean Sea (south), the Jura (north), the Massif Central (west) and the Alps 132 (east). The Barremian, in the stratotype area (Angles, department of the Alpes de Haute-133 Provence), is characterized by pelagic sedimentation with an alternation of 0.10–1m thick 134 135 marlstones and limestones. The outcrops are usually of good quality with continuous sedimentation and abundant fossils, with dominance of the ammonites. However, the lower 136 upper Barremian in this area, and especially the Toxancyloceras vandenheckei Zone and the 137 most part of the Gassendiceras alpinum Subzone, contain very few macrofossils and the beds 138 require long time sampling. 139

140

141 *3.1. Pelagic sections A*\* and A'

142

The section A\* was described and figured by Bert (2012b) and Bert et al. (2018). It corresponds to the complementary section to the Barremian historical stratotype of the Angles road (section A). In section A, the lower part of the upper Barremian (*T. vandenheckei* and *Gerhardtia sartousiana* zones) is poorly exposed because of growth faults that disrupt the bed successions (see more explanations in Bert, 2012b, p. 4). Given these difficulties the new

148	reference section A*, in the immediate lateral continuity of the stratotype, allows to make
149	good study of these beds. Section A' is another complementary section in the adjacent hill to
150	the Barremian stratotype. For the T. vandenheckei Subzone, the bed succession is the same in
151	the both sections A' and A*.

152

## 153 *3.2. Neritic sections MAN and TAI*

154

Section MAN was reported by Cotillon (1971). It is close to the section SO in the Rougon 155 area, which was described previously (Bert et al., 2013, p. 359-361, text-fig. 8). Section TAI 156 was described and figured in a previous work (Bert et al., 2013, p. 361–362, text-fig. 9). This 157 area is part of the neritic domain in the southern Vocontian platform border, which is rich in 158 glauconite, benthic faunas and cephalopods. Sedimentation rates are usually low and 159 160 discontinuous with some episodes of condensation and sedimentary gaps (sedimentation in a system of tilted blocks); despite of this, the beds benefit from a good stratigraphic attribution 161 given their abundant ammonite content. 162

163

164 **4. Descriptive palaeontology** 

165

- 166 Class: Cephalopoda Cuvier, 1798
- 167 Order: Ammonoidea Agassiz, 1846

168 Suborder: Ancyloceratina Gill, 1871

169 Superfamily: Ancyloceratoidea Gill, 1871

170 Family: Lenicostitidae fam. nov.

171 *Type genus*. This family is currently monotypic, with *Lenicostites* gen. nov.

Discussion. It is undeniable that Lenicostitidae fam. nov. are morphologically very close to 172 Hemihoplitinae, and especially to *Hemihoplites feraudianus* (d'Orbigny, 1841) and the adult 173 microconchs of Camereiceras marchandi and C. limentinus with smooth simple ribbed 174 ontogenetic stage on body chamber. However, the total absence of the ontogenetic stages 175 common to all Hemihoplitidae, which are lacking here (see description below), but also the 176 stratigraphic position of the oldest representatives of the genus Lenicostites gen. nov. is 177 problematic. Lenicostites gen. nov. appears long before the cladogenesis between the first 178 Camereiceras (the direct ancestor of Hemihoplites) and the genus Gassendiceras, which 179 occurs in the late part of the Gassendiceras alpinum Subzone (Fig. 1). Lenicostites rusticus 180 (Vermeulen, 1996) gen. nov. appears in southeastern France from the middle part of the T. 181 vandenheckei Subzone, at the same time as the first Gassendiceras of the G. cf. essaouirae 182 Bert and Bersac, 2014 group that migrate to the northwestern Tethyan margin from Moroccan 183 184 representatives (see Bert and Bersac, 2014; Company et al., 2008). There is no argument to indicate a link between Gassendiceras and Lenicostites gen. nov., which have a very different 185 morphology, and above all a very different ontogeny (see the discussion of the genus 186 Lenicostites gen. nov.). In this context, it is not possible to include the genus Lenicostites gen. 187 nov. into the family Hemihoplitidae, which would make this family polyphyletic; family 188 Lenicostitidae fam. nov. is thus proposed. Pending new data, this family is currently classified 189 into the Ancyloceratoidea superfamily, a position reinforced by the presence of a widely 190 perforated umbilicus (Vermeulen, 1996, pl. 3, fig. 5). 191

192

193 Genus *Lenicostites* gen. nov.

194 *Denomination.* From latin *lenis*, which means smooth (here smooth ribs).

195 *Type-species. Lenicostites rusticus* (Vermeulen, 1996) gen. nov.

196 Specific content. Lenicostites gen. nov. is currently monospecific.

197 *Geographic occurrence. Lenicostites* gen. nov. is known in southeastern France, both in
198 basinal and platform area.

Stratigraphic occurrence. Lenicostites gen. nov. is currently known to be present in several 199 occurrences in the lower upper Barremian (Fig 1): (1) in the middle part of the T. 200 vandenheckei Subzone in association with Toxancyloceras ebboi Delanoy, 2003 (see Bert et 201 al., 2018, fig. 5 for the stratigraphical occurrence of this species) and Gassendiceras cf. 202 essaouirae; (2) in the upper part (non-terminal) of the G. alpinum Subzone associated with 203 numerous Barremitidae [the 'bed with little Barremites' of Cotillon, 1971, Vermeulen, 1996] 204 and Bert, 2009; these Barremitidae have a morphology close to Nikolovites charrieri 205 206 (d'Orbigny, 1841)]. And (3) in the Camereiceras limentinus Horizon.

Note that *L. rusticus* gen. nov. was used by Vermeulen (1998a, 1998b) to define an ammonite
horizon in the upper part of the *Toxancyloceras vandenheckei* Zone. Although this horizon
was no longer used later (see Vermeulen, 2003), the discovery of *L. rusticus* gen. nov. in a
very wide range renders its use impossible in high resolution biostratigraphy.

Diagnosis. Medium sized genus (up to D=140 mm) with slightly overlapping planispiral 211 whorls. Umbilicus wide and shallow, perforated in the innermost whorls. Subcircular whorl 212 section, quite thick, which tends to heighten with growth. Convex flanks, periventral margin 213 very rounded that gradually passes to the rounded venter. Abrupt peri-umbilical wall. 214 Ornamentation consisting of ribs most often simple, undifferentiated, thick, radial to slightly 215 retroverse, sometimes a little sinuous but without forming an inflection on the venter. Thinner 216 intercalary ribs, which do not always reach the base of the flanks; they are only present on the 217 robust morphology of the species. In the inner whorls, the ribs are uniformly wide from the 218 base to the top of the flanks and on the ventral area, while on the outermost whorls they widen 219 from the upper quarter of the flanks and on the venter. Reinforcements of the ribs are visible 220

at the base and at the top of the flanks; they are inconspicuous without ever forming a tubercleand they are more developed in the periventral zone of the body chamber.

Discussion. By their morphological and ornamental characteristics, the representatives of 223 *Lenicostites* gen. nov. have been reported in the subfamily Hemihoplitinae (Hemihoplitidae) 224 in the literature (=Hemihoplites rusticus, in Vermeulen, 1996; Klein et al., 2007). 225 Morphologically, this genus undeniably recalls *H. feraudianus* (d'Orbigny, 1841), which is 226 the least tuberculate species of Hemihoplites (compare Fig. 2, 3 with Fig. 4). However, in 227 Lenicostites gen. nov., the whorl section is rounded, whereas in H. feraudianus the flanks are 228 systematically flatter with a well-defined ventral area. On terms of ornamentation, 229 bifurcations are very common at the base of the flanks in *H. feraudianus*, whereas they are 230 almost absent in L. rusticus gen. nov. The intercalated ribs are likewise very much rarer in 231 Lenicostites gen. nov. But above all, in the latter, the ribs are radial with a slightly retroverted 232 233 tendency, whereas in *H. feraudianus* the tendency is for the projection towards the front of the shell. Finally, in the inner and middle whorls of *H. feraudianus*, the ribs bear true small 234 punctiform tubercles at the base and at the top of the flanks, contrary to L. rusticus gen. nov. 235 (see below the chapter description and ontogenesis of *L. rusticus* gen. nov.). Note that the first 236 representatives of L. rusticus gen. nov. and the first H. feraudianus are separated by more 237 than three ammonite subzones (Fig. 1). 238

All the other species belonging to the different genera of Hemihoplitinae (*Hemihoplites*, *Camereiceras*, *Pachyhemihoplites*) and Peirescinae (*Spinocrioceras* Kemper, 1973, *Peirescites* Bert et al., 2006) systematically have a differentiated ornamentation with trituberculate main ribs, which is never the case for *Lenicostites* gen. nov. However in this context, *Lenicostites* gen. nov. recalls the adult morphology (stage with smooth ribs, only present on body chamber) of the *Pachyhemihoplites* and *Camereiceras* microconchs [see for example the microconch morphology *janus* Thieuloy, 1979 of *Pachyhemihoplites gherti* 

(Sarkar, 1955), *Camereciceras marchandi*, or *C. limentinus* Thieuloy, 1979 – Fig. 5B-C]. In
all cases, the ribs tendency is to retroversion in *Lenicostites* gen. nov., and in contrast to the
forward projection in Hemihoplitinae.

Compared with *Lenicostites* gen. nov., the Gassendiceratinae *Gassendiceras* and *Pseudoshasticrioceras* Delanoy, 1998 are large sized heteromorphs with widely-differentiated ribs. Generally, one does not recognize in *Lenicostites* gen. nov. the ontogenetic stages, or their derivatives, common in all Hemihoplitidae (the Heberti, Barremense, etc., stages; see Bert et al., 2006, 2010 and 2013). Conversely to *Lenicostites* gen. nov., trituberculate ribs (prolonged by spines) are strongly present in the Gassendicaratinae.

Apart from Hemihoplitidae, some robust *Martelites* Conte, 1989 have a morphology close to *Lenicostites* gen. nov. In addition to a much more recent age (*Martelites sarasini* Subzone), the genus *Martelites* Conte, 1989 (Heteroceratidae Spath, 1922) shows young helical whorls, which is never the case in *Lenicostites* gen. nov., and a relationship between these two genera is therefore totally excluded.

Patagonian ammonites classified as Hemihoplites (H. ploszkiewiczi Riccardi and Aguirre-260 Urreta, 1989, H. varicostatus Riccardi and Aguirre-Urreta, 1989 and H. feraudianus Aguirre-261 Urreta, 2002 non d'Orbigny, 1841 – here *Homeomorphites* gen. nov., see below chapter 5.8) 262 by Riccardi and Aguirre-Urreta (1989) and Aguirre-Urreta (2002), are morphologically close 263 to Lenicostites rusticus gen. nov. because of the lack of tubercles and their general appearance 264 (coiling). However, their ornamentation is different because of the numerous bifurcations of 265 the ribs, their flexuous appearance from the inner whorls of the shell, the higher presence of 266 intercalary ribs, and what looks like to rare reinforced ribs, low constrictions, or even 'zigzag' 267 stages on the ventral area (perisphinctoid characters). The whorl section in Homeomorphites 268 gen. nov. is also different with more angulous peri-umbilical and peri-ventral margins. The 269 adult size is larger in the upper Barremian species Homeomorphites aguirreurretae gen. nov. 270

- et sp. nov., which have a different ontogenetic development with the presence of a smooth
  adult stage, absent in *Lenicostites rusticus* gen. nov. Finally, in the oldest species of this
  group, *Homeomorphites ploszkiewiczi* gen. nov., the ornamentation is much denser than in *L. rusticus* gen. nov.

275

- 276 Lenicostites rusticus (Vermeulen, 1996) gen. nov.
- 277 Figs. 2, 3
- v 1996 *Hemihoplites rusticus* sp. nov.; Vermeulen: p. 67–68; pl. 3, figs. 2–5.
- v 1998a *Hemihoplites rusticus* Vermeulen, 1996; Vermeulen: pl. 4, figs. 7–8.
- 280 *Holotype*. Specimen No. 4141351 of the J. Vermeulen's collection (see Vermeulen, 1996, pl.
- 281 3, fig. 2–5, refigured here in Fig. 2A).
- *Type locality.* The Saint-Martin ravine, near Escragnolles (crop-section VM AlpesMaritimes, southeastern France).
- Type horizon. Bed VM/20 of the type section, which also delivers many small Barremites. 284 The bed 19 delivered Toxancyloceras vandenheckei (Astier, 1851) and T. bailense 285 (Vermeulen, 1996). In the same area, an equivalent bed also delivered Gassendiceras 286 multicostatum (Sarkar, 1955) and G. alpinum (d'Orbigny, 1850) (Bert et al., 2013, 2018). 287 These faunas indicate the lower half of the T. vandenheckei Zone (T. vandenheckei Subzone 288 and G. alpinum Horizon at the base of the G. alpinum Subzone). The bed 21a delivered some 289 Gassendiceras quelquejeui Bert et al. 2006 (unpublished data) of the top of the G. alpinum 290 Subzone. Thus, the bed 20 is constrained in the non-terminal upper part of the G. alpinum 291 Subzone. This bed corresponds to the level 108 of the Majastre crop-section described by Bert 292 (2009).293
- 294 *Geographic occurrence*. The same as the genus.
- 295 *Stratigraphic occurrence*. The same as the genus.

*Material studied (N=8).* Four specimens are from the Barremian stratotype area in the
Vocontian Basin (Alpes de Haute-Provence, southeastern France), from bed A\*/149-3 (No.
RNNGHP.DBT.04007-A\*/149-3.AX95 and RNNGHP.DBT.04007-A\*/149-3.BA54 – Fig.
and A'/149-4 (No. RNNGHP.DBT.04007-A'/149-4.AJ49 – Fig. 2B – and
RNNGHP.DBT.04007-A'/149-4.BA53).

Three specimens are from the platform borders in the Arc de Castellane (Alpes de Haute-301 Provence and Alpes-Maritimes, southeastern France): from the 'Camereiceras limentinus 302 beds' of section MAN near Rougon (No. RNNGHP.DBT.04171-MAN.BB34 - Fig. 2C), and 303 from bed 99 of the section TAI near Vence (No. RNNGHP.SBC.06050-TAI/99.TAI153 -304 Fig. 3 – and RNNGHP.SBC.06050-TAI/99.TAI155 – Fig. 2D). In addition, the holotype (No. 305 4141351 of the J. Vermeulen's collection) was also examined; it is here refigured (Fig. 2A). 306 Measurements. Only one specimen is complete enough to be measured (mm). It is compared 307 with the holotype (see Vermeulen, 1996). D is the maximum measured diameter, Dph is the 308 diameter of the phragmocone, H, W and U are respectively the height and width of the whorl 309 310 and the diameter of the umbilicus.

312 **Table 1.** Measurments of the two most complete specimens.

N° specimens	D	Dph	Н	W	U	H/D	W/D	U/D	W/H
RNNGHP.SBC.06050-	140.00	118	53.72	47.76	56.48	0.38	0.34	0.40	0.89
TAI/99.TAI153 Fig. 3	107.40		37.40	43.20	40.10	0.35	0.40	0.37	1.16
4141351 (holotype –	52.40	?	19.00	18.90	19.60	0.36	0.36	0.37	1.00
Fig. 2A)									

313

311

*Description and ontogenesis.* The collected specimens are few, but they give a good representation of the species. Specimen No. RNNGHP.SBC.06050-TAI/99.TAI153 (Fig. 3) is interpreted as robust morphology; it is the most complete, probably an adult, with a quarter

whorl of the body chamber preserved. Specimens No. RNNGHP.DBT.04007-A\*/149-317 3.AX95, RNNGHP.DBT.04007-A\*/149-3.BA54 (slender morphology - Fig. 2E), 318 RNNGHP.DBT.04007-A'/149-4.BA53, RNNGHP.SBC.06050-TAI/99.TAI155 (Fig. 2D) and 319 RNNGHP.DBT.04171-MAN.BB34 (robust morphology - Fig. 2C) are fragments of inner 320 whorls. Finally, specimen No. RNNGHP.DBT.04007-A'/149-4.AJ49 (Fig. 2B) is a two-part 321 fragmented half-whorl of an adult body chamber at a maximal extrapolated diameter of 322 D=120 mm. The shell is plan-spiraled with slightly covering whorls. The whorl section is in 323 all cases rounded and changes during growth, which makes it possible to distinguish two 324 ontogenetic stages: 325

- (1) The inner and middle whorls, up to a diameter of about D=80 mm. At this point, 326 the whorl section is thick, sub-circular with very convex flanks. The umbilical wall is very 327 abrupt and connects to the flanks by an angular margin, blunted towards the end of the stage. 328 329 On the other hand, the periventral margin is very rounded and the flanks progressively move towards the rounded venter. The ornamentation consists of ribs, mostly simple, with the 330 presence of thinner intercalated ribs on robust morphology (No. RNNGHP.SBC.06050-331 TAI/99.TAI153, Fig. 3, and RNNGHP.DBT.04171-MAN.BB34 - Fig. 2C), while they are 332 almost absent otherwise. The ribs are thick, almost uniformly but slightly wide on the flanks, 333 with a relatively radial to slightly retroverted pattern, without forming any inflection on the 334 ventral area, where they are a little wider. However, they present inconspicuous enlargements 335 at the base and the top of the flanks, but which cannot be described as bulges or never form a 336 tubercle. There are no constrictions. At the end of this stage, the ornamentation becomes 337 barely sinuous on the flanks and the ribs widen strongly from their upper third. 338

- (2) The outer whorl, known from the diameter of D=100 mm, corresponds to the end
of the phragmocone and the body chamber. On this part of the shell, the whorl section is
modified by increasing the height of the flanks (reduction of the value W/H), to become

subquadratic rounded. The periventral margin remains very rounded and progressive while the flanks flatten. The ribs are enlarged from the upper third of the flanks and on the ventral area while they are weakened on the rest of the shell. The intercalated ribs are very rare from the mid-flank, even in the robust form, and do not seem to connect to the main ribs. Any evidence of reinforcement on the ribs has disappeared, with the exception of an increasingly pronounced shoulder during growth on the periventral margin (better visible on the robust morphology).

The number of specimens is too low to quantify validly the intraspecific variation under a stastistical approach. However, the variation observed between robust and gracile morphologies seems here to concern only the strength of the ornamentation and the presence of intercalated ribs. Considering the general laws of intraspecific variation in ammonites (see Bert, 2013, 2014), this isolated character is not taken here to be the result of a species differentiation.

355 The suture lines could not be studied.

356 *Discussion*. The same as the genus.

357

## **5.** Critical review of the taxa classified as Hemihoplitinae

359

In the literature, many occurrences of Hemihoplitinae have been reported all over the world in a large stratigraphic range (Fig. 6 – Valanginian to Aptian, see references in Klein et al., 2007), some of them being contradictory with the evolutionary history of the group. Thus, a critical review is essential to understand the geographic and stratigraphic distribution of the group, which appears in all probability in the late Tethyan Barremian (Bert and Bersac, 2014).

365

366 5.1. The 'Hemihoplitinae' of Eastern Europe (Bulgaria) and Central Asia (Caucasus and
367 Turkmenistan)

368

*Matheronites khwamliensis* Rouchadzé, 1933 is reported from the locality of Lakhépa (Georgia) together with upper Barremian faunas. It is highly probable that this taxon belongs to the genus *Hemihoplites* (of which *Matheronites* Renngarten, 1926 is a junior synonym, see Klein et al., 2007) that seems to confirm the depiction of Kotetishvili (1970) and the stratigraphic distributions given by Kotetishvili et al. (2005, p. 417). In this case, its morphology with two peri-umbilical and periventral tubercles brings it closer to *H*. *feraudianus* with which the synonymy is proposed here.

376

Matheronites ukensis Dimitrova, 1967 from the upper Barremian of Bulgaria was classified in 377 the genus Camereiceras by Delanoy (1990a), followed by Klein et al. (2007). In our view, 378 this taxon, based on an incomplete type-specimen without the preserved inner whorls, is to be 379 attached to the genus Martelites (Heteroceratidae), of which it represents a robust 380 morphotype. This view is supported by the shape of the ribs and the high presence of 381 bifurcations at the top of the flanks at the pre-adult stage, but also by the change of 382 ornamentation at the adult stage with the acquisition of a majority of simple ribs progressively 383 more spaced (compare Delanoy, 1997, pl. 51, fig. 1). 384

385

The type specimen of *Acanthoceras ridzewskyi* Karakasch, 1897 is quite small and morphologically resembles the Heberti stage known in most Hemihoplitidae (see Bert et al., 2013), with ribs all identical bearing ventro-lateral and lateral tubercles. However, and contrariwise to what is known in Hemihoplitidae, the umbilical tubercles are lacking here and the lateral tubercles are high on the flanks. When introduced, *A. ridzewskyi* Karakasch, 1897

was quoted with aptian faunas such as Hoplites deshayesi d'Orbigny, 1841, Acanthoceras 391 martini d'Orbigny, 1841, Acanthoceras cornuelianum d'Orbigny, 1841, Aspidoceras 392 royerianum d'Orbigny, 1841 and Costidiscus recticostatus d'Orbigny, 1841 in the sandy 393 glauconitic marlstones near the village of Detich (Caucasus). More recently A. ridzewskyi was 394 most precisely assigned to the *Turkmeniceras turkmenicum* Zone in the uppermost Barremian 395 (Bogdanova and Prozorovski, 1999), and was considered to be a probable Hemihoplitidae 396 (see Klein et al., 2007, p. 215–216). The lack of umbilical tubercles and the top Barremian 397 stratigraphical assignation lead us to exclude A. ridzewskyi from Hemihoplitidae. In our 398 opinion, the only comparable other taxon known in the uppermost Barremian with such 399 morphology in the innermost whorls is *Pseudocrioceras* Spath, 1924a (both taxa are assumed 400 to be contemporaneous by Kakabadze and Kotetishvili, 1995, p. 108). Description or 401 figuration of innermost whorls of *Pseudocrioceras* are quite rare in the literature: the coiling 402 403 could be crioconic or with contigous whorls, the lateral tubercles are high on the flanks and the umbilical tubercles could be very attenuated or absent (Kakabadze and Thieuloy, 1991, p. 404 405 90), just as in A. ridzewskyi. More exploration of this hypothesis is prevented by the very 406 small size of the type specimen of A. ridzewskyi; thus, we tentatively propose this taxon in open nomenclature in the vicinity of the genus *Pseudocrioceras* (=*P*. ? *ridzewskyi*). 407

408

Matheronites brevicostatus Bogdanova, 1971 was assigned to the genus Hemihoplites by Riccardi and Aguirre-Urreta (1989, p. 451), followed by Klein et al. (2007, p. 214). Despite of comparable suture line of ELUI type (compare Bogdanova, 1971, fig. 2 and Wiedmann, 1966, fig. 35), its stratigraphic assignation to the *Turkmeniceras turkmenicum* Zone (uppermost Barremian – Bogdanova and Prozorovski, 1999) and its morphology with innermost rounded whorls, ornamentation with very flexuous ribs (especially in inner whorls) bearing a single row of marginal tubercles, intercalatory ribs present since the innermost whorls and most

416 often only present on the venter (there are no intercalatory ribs in the trituberculate
417 Hemihoplitidae's Heberti stage), discard *M. brevicostatus* from the Hemihoplitidae.

418

*Matheronites turkmenicus* Luppov, 1936, figured only once by Luppov (1936, p. 122, pl. 1,
fig. 1–3), was likened as well to *Hemihoplites feraudianus* by Riccardi and Aguirre-Urreta
(1989, p. 451, 456), and later accepted in the genus *Hemihoplites* by Bogdanova and
Prozorovsky (1999, p. 50) and Klein et al. (2007, p. 217). Its morphology seems very close to *M. brevicostatus*, and for the same reason we discard *M. turkmenicus* from the
Hemihoplitidae. Pending more data about these two taxa, we left them in open nomenclature.

425

426 5.2. The boreal 'Hemihoplitinae'

427

428 Ancyloceras brevispina Von Koenen, 1902 and Ancyloceras trispinosum (Von Koenen, 1902 non Kakabadze, 1981) where assigned to the genus Hemihoplites by Kakabadze (1981), 429 followed with doubt by Klein et al. (2007). In our view, these taxa are close to the 430 Parancyloceras of the P. aegoceras (Von Koenen, 1902) and P. bidentatum (Von Koenen, 431 1902) group from the P. bidentatum Zone (Boreal uppermost Barremian) because of their 432 very particular morphology (paucituberculate in *P. brevispina* and with weaker tubercles in *P.* 433 trispinosum). Consequently, they are assigned here to the boreal genus Parancyloceras 434 (Paracrioceratidae Bert and Bersac, 2014). 435

436

437 5.3. The case of the Patagonian 'Hemihoplites'

438

The morphology of the Argentinian '*Hemihoplites*' (here *Homeomorphites* gen. nov., see
below chapter 5.8), very close to *H. feraudianus*, as well as the report of this last taxon in a

convincing local evolutionary context [H. ploszkiewiczi  $\rightarrow$  H. varicostatus  $\rightarrow$  H. feraudianus 441 (here Ho. aguirreurretae gen. nov et sp. nov.)], led Aguirre-Urreta (2002) to consider an 442 evolutionary and migratory history of the Hemihoplitidae from South America to Europe 443 (Aguirre-Urreta, 2002), while a certain endemism for the Austral Basin was usually assumed 444 (see for example Leanza and Wiedmann, 1980). However, Delanoy had proposed (1990b) an 445 origin of the Tethyan Hemihoplites feraudianus from older tuberculate European forms, but 446 without further details. More recently, an origin of Hemihoplites among Camereiceras has 447 been considered with an older rooting among Gassendiceras (Vermeulen, 2003; Bert et al., 448 2006; Bert, 2012a). Finally, Bert and Bersac (2014) proposed an origin of the Hemihoplitidae 449 (Gassendiceratinae), supported by a cladistic analysis, among the boreal Paracrioceratidae 450 considering a migration via the Moroccan platform where intermediates are present (G. 451 essaouirae Bert and Bersac, 2014). New data collected in southeastern France (work in 452 453 progress) assert that the direct ancestor of *H. feraudianus* is the trituberculate species *H.* astarte (previousely reported as H. casanovai in Bert et al., 2008, p. 3), present in the 454 455 immediately older levels in the Barremian stratotype area (*H. astarte* Horizon). This species is derived from Camereiceras limentinus via H. cornagoae. 456

457 All these elements do not support the migratory hypothesis of *H. feraudianus* from 458 populations of the Austral Basin, formulated by Aguirre-Urreta (2002), and the 459 Homeomorphitinae subfam. nov. are here classified into the Neocomitidae (Perisphinctoidea 460 – see below chapter 5.8. the discussions related to Homeomorphitinae subfam. nov. and 461 *Homeomorphites* gen. nov.).

462

463 5.4. The other Austral, American and south African 'Hemihoplites'

464

In 1992, Klinger and Kennedy depicted two lower upper Barremian specimens from 465 Zululand, which they compared to Hemihoplites varicostatus and H. ploszkiewiczi (here 466 Homeomorphites gen. nov., see below chapter 5.8) because of their morphological similarity 467 to the Argentinean faunas published by Riccardi and Aguirre-Urreta (1989). Following 468 Kakabaze and Hoedemaeker (2004), it is clear today that these forms are not Hemihoplitidae. 469 Their very different coiling (involute) with a high and compressed section, as well as their 470 particular ornamentation with mid-flanks bifurcations, an almost smooth siphonal band and 471 inflated ribs on the venter, also distances them from Homeomorphites gen. nov. These 472 specimens can rather be compared with the genus Hatchericeras, and especially with H. 473 argentinense Stanton, 1901 (compare Riccardi, 1988, pl. 10, fig. 1-2 and Leanza, 1970, pl. 474 39), which have very thin ribbing, similar to the specimens from Zululand; the suture line 475 shows also a great resemblance (compare Stanton, 1901, pl. 9 with Klinger and Kennedy, 476 477 1992, fig. 37).

478

Due to its very particular morphology with a very narrow umbilicus, *Hemihoplites? mexicanus*, Imlay 1940, from upper Valanginian (Fig. 6 – upper member of the Taraises
Formation, see Barragán and González-Arreola, 2009 and references), superficially recalls the
faunas of south Africa figured by Klinger and Kennedy (1992) under *Hemihoplites* sp. cf. *ploszkiewiczi* and *H.* sp. cf. *varicostatus* (here *Hatchericeras argentinense*). Pending more
data, *H.? mexicanus* is left in open nomenclature.

485

In his PhD thesis, Cantú Chapa (1963) reported the presence of *Hemihoplites* cf. *feraudianus* (pl. 3, fig. 6) in the eastern Mexico (Mexico-Tuxpan Road, km 216.7). Its very partial preservation does not make it possible to form an opinion, but its Barremian attribution seems proved by the presence in the same site of *Holcodiscus* aff. *perezianus* (d'Orbigny, 1850). On

the other hand, the variation in rib spacing visible on the last whorl, and the importance of the
interrib spaces in relation to the width of the ribs, is not a character known in *Hemihoplites*.

This is the same with *Hemihoplites* sp. A reported by Myczynski (1977, pl. 6, fig. 5) in the Polier Formation of Cuba (El Herete, Sierra del Rosario), which bears 3-4 constrictions per whorl in the inner whorls, and a change in ornamentation in the last whorl with denser and prorsiradiate ribs bifurcated close to the ventral margin. Its uppermost Hauterivian attribution is assumed by the presence of *Pseudothurmannia* sp. in the same layer (not figured), while some Barremian specimens attributed to *Karsteniceras* sp. are also reported. Pending new data, these Mexican and Cuban specimens are left in open nomenclature.

500

Hemihoplites? popenoi Murphy, 1975, from the Shasticrioceras patricki Zone, is a
Shasticrioceras Anderson, 1938 (here Shasticrioceratidae nov. fam., see below chapter 5.8)
related species, unrelated to Hemihoplitidae. The S. patricki Zone has also delivered
Kotetishvilia cf. compressissima (d'Orbigny, 1841), index species for the middle lower
Barremian (Fig. 6). It is not shocking to bring H.? popenoi close to the genus Shasticrioceras,
which contains some taxa with a thick or very thick whorl section [e.g. Shasticrioceras
inflatum Anderson, 1938, Shasticrioceras wintunius (Anderson, 1938)].

508

509 *Hemihoplites (Matheronites) ridzewskii sachicaensis* Kakabadze and Hoedemaeker, 2004 was 510 introduced on the basis of a single Colombian specimen of very small size. This specimen has 511 a morphology very close to the type specimen of *Pseudocrioceras? ridzewskii*, and for the 512 same reasons (see above chapter 5.1) we propose the same assignation in open nomenclature 513 in the vicinity of the genus *Pseudocrioceras*.

514

A unique specimen of *Hemihoplites* sp. has been reported in Antarctica by Thomson (1974). 515 It was collected in screes in a Keystone Cliff crop section, which stratigraphic attribution is 516 uncertain, perhaps Aptian due to the presence of Antarcticoceras in the same formation 517 (Thomson 1974, p. 39), or even upper Barremian following the opinion of Mourgues (2007) 518 based on the distribution of the Sanmartinoceras Bonarelli and Nágera, 1921 species. Its thin 519 ribs, closely spaced and projected towards the front of the shell from the periventral area are 520 not characters of *Hemihoplites*; thus, pending more data this specimen is left in open 521 nomenclature. 522

523

'Hemihoplites' perezi Mourgues, 2007 (nomen nudum) was first attributed to the genus 524 Antarcticoceras (sensu Mourgues, 2007) as the last representative of a possible evolutionary 525 lineage ['Crioceratites' ttofurus Mourgues, 2007 nomen nudum  $\rightarrow$  Antarcticoceras 526  $domeykanum \rightarrow$  'Antarcticoceras' perezi nomen nudum]. In a second time, this species was 527 assigned to the genus *Hemihoplites* without any explanation by Aguirre-Urreta et al. (2007a), 528 529 but the idea of this possible affiliation was already present during the introduction of the taxon (Mourgues, 2007, pp. 240–241, 246). 'H.' perezi nomen nudum, with its lower Aptian 530 assignation (Fig. 6) and very particular ornamentation with two closely spaced rows of 531 periventral tubercles (Mourgues, 2007, p.75), clearly does not belong to Hemihoplitidae. On 532 the other hand, its belonging to the genus Antarcticoceras (here Shasticrioceratidae fam. nov., 533 see below chapter 5.8) is credible and deserves to be considered. It should be noted that the 534 taxa introduced by Mourgues in his unpublished PhD (2007) are all nomen nudum for this 535 reason. The publication announced by Aguirre-Urreta et al. (2007a, p. 158) to regulate this 536 taxonomic situation is, to our knowledge, still unpublished to date: it now appears to be 537 highly desirable. 538

539

The fragmentary and certainly teratological specimen of *Crioceratites (Hemihoplites)* n. sp. ex aff. *C. (H.) soulieri* (Matheron, 1878), figured by Jeletzky (1970) from the Barremian of British Columbia (Canada, North Pacific Realm), is morphologically closer to the genus *Colombiceras* Spath, 1923 than to Hemihoplitidae. This specimen is left in open nomenclature pending new data.

545

546 5.5. The Indonesian 'Hemihoplites'

547

In the description of lower Cretaceous ammonites from Western New Guinea (Irian Jaya, 548 Indonesia), Skwarko and Thieuloy (1989) introduced the species H. taminabuanensis, 549 attributed to the genus Hemihoplites, from a high energy level (presence of glauconite and 550 detrital quartz in the deposit) attemptedly assigned to the Barremian (p. 28). Indeed, 551 552 Hemihoplites taminabuanensis Skwarko and Thieuloy, 1989 (and also H. sp.) shows very strong morphological affinities with the genus Hemihoplites, and especially with H. 553 feraudianus. However, the presence of very thin secondary riblets in the indonesian 554 specimens, sometimes joining the primary ribs at midflanks to make a fibula pattern (see 555 Skwarko and Thieulov, 1989, pl. 4, fig. 3), is not an hemihoplitid character. Thus, pending 556 more complete specimens and data about their age, the indonesian 'Hemihoplites' are left in 557 open nomenclature. 558

559

560 5.6. The Indian genus Pascoeites Spath, 1933

561

*Pascoeites* Spath, 1933 (see Spath, 1933, p. 827, pl. CXXVI, fig. 5, 7, 12), of which the stratigraphic position was long unclear, was included with doubt in Hemihoplitidae by Wright (in Arkell et al., 1957). This position has since been followed by the authors, but without

further clarification (Klein et al., 2007), particularly because of the uncertain age of the 565 specimens studied by Spath (P. budavadensis Spath, 1933 and P. crassus Spath, 1933), 566 which come from white shales with plants (Raghavpuram Shales Formation) from the Eastern 567 peninsular India (Budavada village, Nellore District, in the Cauvery Basin). The age 568 attributed by Spath ('probable Barremian') is essentially based on the presence of very badly 569 preserved and crushed fossils and attributed by him to Holcodisciidae [Holcodiscus cf. 570 perezianus, H. cf. caillaudianus (d'Orbigny, 1850), Gymnoplites simplex Spath, 1933], and 571 Hoplites cf. borowae Uhlig, 1883, H. cf. beskidensis Uhlig, 1883, H. codazzianus (Karsten, 572 1886) and Lytoceras sp. cf. vogdti Karakasch, 1907. Traditionally, the Raghavapuram Shales 573 Formation is between the Golapille sandstones below and the Tirupati (Tripetty) sandstones 574 above (Pandey and Dave, 1998). The Barremian/Aptian boundary known in Kachchh 575 (between Sivaganga Formation, Ghuneri Member and Dalmiapuram Formation, Ukra 576 577 Member) can be extended to the Cauvery Basin between the Golapille Formation and the Raghavapuram Formation, which implies that the overlying Raghavapuram Formation would 578 579 be Aptian (S. Jain, pers. comm.). And thus, the genus *Pascoeites* is not Barremian but Aptian (Fig. 6) unrelated to the Hemihoplitidae; it is left in open nomenclature awaiting more data. 580

581

## 582 5.7. Analysis of the critical review

583

The 'hemihoplitid-like' morphology is characterized by a platiconic evolute shell with moderately overlapping whorls, and subrectangular whorl section (higher than thick) with a moderately rapid whorl growth. Such shell morphology takes place in the middle of the 3D pyramidal representation of the W-D-S morphospace, based on the classical Raup parameters (Raup, 1966, 1967; Tendler et al., 2015, fig. 7B). The ornamentation is at first well marked with straight or slightly sinuous ribs, often bifurcated; later the shell becomes smooth (Fig.

590 4A). This simplified morphology appears to be rather widespread, especially in view of the 591 long list of taxa that have been assimilated to Hemihoplitidae in the literature, but which 592 actually belong to other groups. This morphology is iterative at Valanginian, Hauterivian, 593 Barremian and Aptian, and several taxa without any phyletic linkage, including outside 594 Western Tethys, can develop it by convergence (homeomorphy).

Beside the cases of homeomorphy mentioned above (chapters 5.1-5.6), one could also 595 mention the example of some Martelites of the latemost Barremian (compare Baudouin et al., 596 2012, pl. 14, fig. 1 and Delanoy, 1992, pl. 37, fig. 1, with Delanoy, 1994, pl. 6, fig. 5). The 597 resemblance is even more striking with the early Hauterivian genus *Theodorites* from Crimea 598 (here assigned to the Neocomitidae, Endemoceratinae) by its general appearance and its 599 ornamentation close to *H. feraudianus* (compare Baraboshkin and Mikhailova, 2006, fig. 2b 600 and c, with Delanoy, 1990b, fig. 4 and 2 respectively), and its ventral area very similar to that 601 602 of Camereiceras limentinus (compare Baraboshkin and Mikhailova, 2006, fig. 2a, with Bert et al., 2010, fig. 2b). 603

All these convergent taxa that could be attributed to Hemihoplitinae for morphological reasons by the authors must now be excluded from this family, which leads to drastically review the palaeogeographical distribution of this group. Until proven otherwise, there is no Hemihoplitinae outside the northern and western margins of the Tethyan Realm (including its Caucasian margin to the east, and the Essaouira Basin in Morocco at the limit of the Proto Atlantic Ocean to the west).

610

611 5.8. Systematic implications

612

As seen above in the critical review (chapter 5.7), some taxa excluded from theHemihoplitidae have now to be reassigned; they are the Homeomorphitinae subfam. nov. gen.

- nov. (with Homeomorphites aguirreurretae gen. nov. et sp. nov. [=Hemihoplites feraudianus
- 616 in Aguirre-Urreta, 2002]) and the Shasticrioceratidae fam. nov. (with the lineage made by
- 617 *Shasticrioceras* and *Antarcticoceras*).
- 618
- 619 Superfamily: Perisphinctoidea Steinmann, 1890
- 620 Familly: Neocomitidae Salfeld, 1921
- 621 Subfamily: Homeomorphitinae subfam. nov.
- 622 *Type genus. Homeomorphites* gen. nov.
- 623 *Generic content.* In the current state of knowledge, this subfamilly is monotypic.

*Stratigraphic and geographical occurrence*. The Homeomorphitinae subfam. nov. are
restricted to southern hemisphere, especially the Austral Basin (South Patagonia, Argentina
and Chile – Fig. 7). *Homeomorphites* gen. nov. spans from lower Hauterivian to upper
Barremian (see Riccardi and Aguirre-Urreta, 1989; Aguirre-Urreta, 2002).

*Discussion*. The succession of species previously assigned to the genus *Hemihoplites* by Riccardi and Aguirre-Urreta (1989) and Aguirre-Urreta (2002), here *Homeomorphites* gen. nov., represents a coherent phyletic lineage both in terms of shared morphology and also stratigraphically as the species show a relatively continuous succession.

The Homeomorphitinae subfam. nov. do not have the ontogenic stages common to all the 632 Hemihoplitidae (see Bert et al., 2013). More, several characters conjointly present, even 633 sometimes episodic, in Homeomorphites gen. nov. (absence of tubercle or bulging of ribs; 634 spacing of ribs before smooth stage; episodic presence of reinforced ribs and what looks like 635 constrictions in some specimens; 'zigzag' stage; sutural formula with more than one umbilical 636 lobe U of  $ELU_2U_3I$  type – clearly visible in Riccardi and Aguirre-Urreta, 1989, text-fig. 4a, 6) 637 definitely discard the Homeomorphitinae subfam. nov. from the Hemihoplitidae, and more 638 widely from the Ancyloceratoidea. Actually, these features remember 'perisphinctid' 639

assemblage of characters. Of course, each of these characters taken separately is not exclusive
of Perisphinctoidea Steinmann, 1890, but there combination allow to consider the
Homeomorphitinae subfam. nov. as the ultimate representatives of the Perisphinctoidea, in the
vicinity of the subfamilies Neocomitinae Salfeld, 1921 and Endemoceratinae Schindewolf,
1966 (Neocomitidae Salfeld, 1921).

645 Compared to the Endemoceratinae *Lyticoceras* Hyatt, 1900 and *Endemoceras* Thiermann, 646 1964, the suture line is simpler with a trifid narrow and very weakly assymetrical lateral lobe 647 L, whereas it is broad and strongly asymmetric in Endemoceratinae. The section is wider and 648 the ornamentation is usually coarser without periventral tuberculation.

649 The Homeomorphitinae subfam. nov. are morphologically close to the genus *Hatchericeras*, 650 which was usually classified in the Neocomitinae or even with doubts in the Endemoceratinae 651 (see Klein, 2005). However, the involute coiling with a relatively hight section and narrow 652 umbilicus, clearly distances *Hatchericeras* from the Homeomorphitinae subfam. nov.

The Neocomitinae are really diversified in shell morphology (large to medium size, involute to evolute or uncoiled morphology) and ornamentation (thin ribs to strong trituberculated ribs / all identical ribs to differentiated ribs), which makes them hard to effectively and simply compare or differentiate from other comparable groups. However, compared to Neocomitinae (in general), the Homeomorphitinae subfam. nov. show much less rib diversity with no real tubercles or ribs differentiated. Large gerontic Neocomitinae could show change in ornamentention, to very strong ribs, which is not the case in Homeomorphitinae subfam. nov.

660 On the other hand, in the same way as with Hemihoplitinae and for identical reasons, the 661 resemblance of *Homeomorphites* gen. nov. with *Theodorites* Baraboshkin and Michailova, 662 2006 is the result of a morphological convergence. This latter was classified in the 663 Crioceratitinae Gill, 1871 by Baraboshkin and Mikhailova (2006), between the genera 664 *Crioceratites* Léveillé, 1837 (Crioceratitidae Gill, 1871, Ancyloceratoidea) and *Lyticoceras* 

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- Hyatt, 1900 (Endemoceratinae), for which Baraboshkin and Mikhailova (2006) assumed a
  phyletic link. Such phyletic link could question the *Lyticoceras* as Endemoceratinae, which
  are deemed to be linked with the Neocomitidae (Perisphinctoidea).
- 668

669 Genus *Homeomorphites* gen. nov.

670 *Denomination*. Because of the phenomenon of homeomorphy, which led to confusion with671 the genus *Hemihoplites*.

672 *Type species. Homeomorphites aguirreurretae* gen. nov. et sp. nov. (=*Hemihoplites*673 *feraudianus* in Aguirre-Urreta, 2002).

674 Specific content. Homeomorphites aguirreurretae gen. nov. et sp. nov., Ho. ploszkiewiczi
675 (Riccardi and Aguirre-Urreta, 1989) and Ho. varicostatus (Riccardi and Aguirre-Urreta,
676 1989).

*Stratigraphic and geographical occurrence*. The genus *Homeomorphites* gen. nov. is
currently only known in the Austral Basin (Fig. 7), eastern border of the southern Patagonian
Cordillera (Argentina and Chile), in levels spanning lower Hauterivian (*Favrella americana*Zone) to upper Barremian (Fig. 6 – between the *Hatchericeras patagonense* and *Colchidites- Sanmartinoceras* zones – see Riccardi and Aguirre-Urreta, 1989 and Aguirre-Urreta, 2002).

Diagnosis. Dimorphic genus with evolute shell and subquadratic to sub-oval whorl section, 682 sometimes more compressed in large macronconchs [M]. Flanks and ventral area usually 683 convex, more or less rounded [M] or flattish [m]. Ornamentation with flexuous ribs, simple or 684 bifurcated near the umbilical margin (inner whorls) or just above the mid-part of the flanks. 685 Intercalary ribs sometimes present. Size of the shell (from medium to large) and ribbing 686 density (from dense to spaced) are different according to the species. On large macroconchs, 687 the ribs disappear progressively in becoming less marked and more spaced and irregular, and 688 the body chamber is smooth. Constrictions and reinforced ribs are scarce. Sometimes 'zigzag' 689

690 ribs can occur on the ventral area. Suture formula of  $ELU_2U_3I$  type, with more or less 691 asymmetric trifid L.

Discussion. Homeomorphites gen. nov. shows dimorphism and a general morphology and 692 shell size very close to the Hemihoplitinae, especially to Hemihoplites feraudianus 693 (d'Orbigny, 1841). Despite of this, Kakabaze and Hoedemaeker (2004) had previously 694 refused to assign the Patagonian species to the genus *Hemihoplites* (pp. 82–83) as Lehmann et 695 al. (2015, p. 238) did more recently. Actually, Homeomorphites gen. nov. never shows the 696 tubercles systematically present in the Hemihoplitinae, nor their ontogenetic stages (see Bert 697 et al., 2013). Moreover, constrictions, reinforced ribs and 'zigzag' stages (asymmetry of the 698 ornamentation on the ventral area), although rare in *Homeomorphites* gen. nov., are totally 699 absent from all Hemihoplitidae. The ribs are spaced just before the smooth part of the body 700 chamber, which is never the case in Hemihoplites (Fig. 4). Finally, the sutura formula is of 701 702 'neocomitid' type, while it is of 'ancyloceratid' type in Hemihoplitidae.

*Homeomorphites* gen. nov. is more evolute, with a much lower height whorl and a thicker whorl section than *Hatchericeras*. Adult size seems also larger for *Hatchericeras*. Ornamentation, on the other hand, is quite comparable in flexuosity and orientation of the ribs, but with a higher primary bifurcation point, an earlier smooth stage, and a smoother ventral area in *Hatchericeras*. The suture line is quite different: it is simple, with a relatively narrow and very weakly asymmetric lateral lobe L in *Homeomorphites* gen. nov., while it is complex, with a broad, strongly asymmetric lateral lobe L in *Hatchericeras*.

Compared with *Theodorites*, the ribs are a bit more flexuous in *Homeomorphites* gen. nov.
and are not as attenuated on the ventral area. *Theodorites* also has periventral tubercles and
peri-umbilical bulges, completely absent in *Homeomorphites* gen. nov.

The stratigraphic succession of species of the genus *Homeomorphites* gen. nov. is: *Homeomorphites ploszkiewiczi* (*Favrella americana* Assemblage Zone in the upper lower

Hauterivian according to Aguirre-Urreta et al., 2007b)  $\rightarrow$  Ho. varicostatus (F. wilckensi and 715 716 Hatchericeras patagonense assemblages zones in the upper Hauterivian and lower Barremian)  $\rightarrow$  Ho. aguirreurretae gen. nov. et sp. nov. (non basal upper Barremian). The 717 evolutionary trend of this group has been described by Aguirre-Urreta (2002), who noted an 718 increasing body size and coarser ribbing (ribs increasingly spaced in time). To this, one can 719 add the acquisition of a new ontogenetic stage at the end of growth (smooth stage) by 720 allometric hypermorphosis (heterochrony in the sense of McKinney, 1988). The general 721 morphology of the shell remains remarkably stable during this evolution, and the modification 722 of the ornamentation could be related to the selective drift of the variability of the populations 723 towards more and more robust forms (Aguirre-Urreta, 2002, p 496). 724

725

726 *Homeomorphites aguirreurretae* gen. nov. et sp. nov.

727 2002 *Hemihoplites feraudianus* (d'Orbigny, 1841); Aguirre-Urreta: text-fig. 4a, pl. 1–2.

*Denomination*. This species is dedicated to Pr. María B. Aguirre-Urreta (University of Buenos
Aires, Argentina), who discovered and described the specimens ascribed to this new species
(2002).

*Holotype*. The type specimen designated is the macroconch No. CPBA 19156 (in AguirreUrreta, 2002, pl. 1). It is housed in the Cátedra de Paleontología de Buenos Aires, Ciudad
Universitaria, Pabellón 2, 1428 Buenos Aires, Argentina.

*Type locality*. The Río Ghio locality, in the eastern border of the southern Patagonian
Cordillera (Argentina).

736 *Type Level*. The Río Belgrano Formation.

737 *Stratigraphic and geographical occurrence*. The geographical distribution is the same as for

the genus (Fig. 7). Stratigraphically, Ho. aguirreurretae gen. nov. et sp. nov. is recorded in

revels of the upper Barremian, just between the *Hatchericeras patagonense* and the *Colchidites-Sanmartinoceras* zones (Fig. 6 – see Aguirre-Urreta, 2002).

Diagnosis. Dimorphic species, macroconch [M] of large size (D=210 mm) and microconch 741 [m] reaching D=70-80 mm. Evolute shell with section compressed for [M] or subrectangular 742 for [m]. Umbilical shoulder and flanks rounded [M] or flattish [m]. Ventral area slightly 743 convex. Ornamentation with strong ribs, usually flexuous; they cross the venter without any 744 weakening. Ribs are simple or bifurcated from the umbilical margin (inner whorls) or just 745 below the midflank. On the macroconch, the ribs disappear progressively in becoming less 746 marked and more spaced and irregular; the end of the phragmocone and the body chamber are 747 smooth. Constrictions and reinforced ribs are scarce. Suture with L slightly deeper than E, 748 moderately wide and asymmetrically trifid. 749

Discussion. Morphologically, Homeomorphites aguirreurretae gen. nov. et sp. nov. shows 750 751 dimorphism and a general morphology and size of the shell very close to Hemihoplites feraudianus (d'Orbigny, 1841). Despite of this, the former lacks the tubercles systematically 752 753 known in the latter. Moreover, the constrictions and reinforced ribs, albeit rare, are not an hemihoplitid character. Finally, the ribs are spaced just before the smooth part of the body 754 chamber, which is never the case in *Hemihoplites feraudianus*. These differences are also 755 discriminating against all other species of Hemihoplitinae, with a distinct ontogenic trend [i.e. 756 H. astarte, H. cornagoae, Camereiceras limentinus, C. marchandi, C. breistrofferi, and the 757 representatives of the genus Pachyhemihoplites]. 758

759 Homeomorphites aguirreurretae gen. nov. et sp. nov. is larger in size than Ho. varicostatus 760 gen. nov. and Ho. ploszkiewiczi gen. nov. The ribbing density is also different as Ho. 761 aguirreurretae gen. nov. et sp. nov. shows more spaced and coarser ribs than the two other 762 species of the genus.

*Hatchericeras patagonense* Stanton, 1901 has a higher whorl section and a more closed umbilicus than *Homeomorphites aguirreurretae* gen. nov. et sp. nov. Ornamentation, on the other hand, is quite comparable in flexuosity and orientation of the ribs, but with an earlier smooth stage (80–100 mm versus 200 mm in diameter) and a smoother ventral area in *Hatchericeras patagonense*. The suture line is simpler and quite different with a narrower and more trifid symmetrical lobe L in *Homeomorphites aguirreurretae* gen. nov. et sp. nov.

Compared with *Hatchericeras argentinense* Stanton,1901, *Ho. aguirreurretae* gen. nov. et sp.
nov. has a more evolute coiling, a lower whorl height and a different ornamentation: the ribs
are stronger, spaced and not attenuated on the ventral area.

Compared with *Theodorites theodori* Baraboshkin and Michailova, 2006 and *Th. drushitsi*Baraboshkin and Michailova, 2006, the ribs are a little more flexuous in *Homeomorphites aguirreurretae* gen. nov. et sp. nov. and are not as attenuated on the venter. Both species of *Theodorites* also have periventral tubercles and peri-umbilical bulges, completely absent in *Homeomorphites aguirreurretae* gen. nov. et sp. nov.

777

778 Family: Shasticrioceratidae fam. nov.

779 *Type genus. Shasticrioceras* Anderson, 1938.

780 Generic content. Shasticrioceras Anderson, 1938 (and its synonym Backraceras Mourgues,

781 2007 nomen nudum) and Antarcticoceras Thomson, 1974.

Stratigraphic and geographical occurrence. The Shasticrioceratidae fam. nov. have a circum
Pacific repartition from Arctic Canada to California (western USA) and to Chile and Japan
(Fig. 7). The family is also known in the Austral Basin in Antarctica. *Shasticrioceras* occurs
in lower Barremian (Chile) and lower and upper Barremian-lowermost Aptian (California). *Antarcticoceras* occurs in upper Barremian and lower Aptian (see Mourgues, 2007, p. 162 –
Fig. 6).

Discussion. The genus Shasticrioceras was originally classified in Hemihoplitidae (Anderson, 788 1938; Murphy, 1975) and more recently in the 'catch-all' family Megacrioceratidae 789 Vermeulen, 2006 (see Klein et al., 2007), but its phyletic relationships have never been very 790 791 clearly established to support these propositions [note that in our point of view, the Megacrioceratidae are a probable synonym of the family Hamulinidae Gill, 1871 because of 792 the very close relationships between Megacrioceras doublieri (Jaubert, 1856) and the genus 793 Hamulina d'Orbigny, 1850]. The genus Shasticrioceras has long been known in the lower and 794 upper North American Barremian (Murphy, 1975) and Japan (Matsukawa and Obata, 1993). 795 Mourgues (2007) reported it more recently in Chile. 796

Antarcticoceras was originally left in open nomenclature by Thomson (1974), then was
placed with doubt in the Ancyloceratidae by Klein et al. (2007). This genus was assumed
Aptian by Thomson (1974), but would rather be upper Barremian according to Mourgues
(2007).

In the constrained geographic context of the Chañarcillo Basin (Chile), besides the fact that 801 802 the coiling and the shape of the ribs between Shasticrioceras and Antarcticoceras are very close with a very particular morphology of the ventral area whose flat ribs are framed by a 803 peri-ventral punctiform tubercle, a phyletic link between both these two genera can be 804 established with the simple acquisition, very early in ontogeny, of an additional tubercle at the 805 top of the flanks very close to the perivental tubercle. This hypothesis is also supported by the 806 representation by Murphy (1975, fig. 25) of a Shasticrioceras roddai Murphy, 1975 showing 807 a large (adult?) specimen with lateral and periventral tubercles very close together on the 808 topflanks. In Japan, Matsukawa and Obata (1993) also reported the lower Barremian 809 Shasticrioceras intermedium Matsukawa and Obata, 1993 with 2-3 tubercles on the main ribs 810 at adult stage. Finally, the attribution by Mourgues (2007) (1) of the upper Barremian 811 (Antarcticoceras domevcanum Zone) species A. domevcanum (Bayle and Coquand, 1851) to 812

the genus *Antarcticoceras* (previously ?*Parancyloceras* Spath, 1924a in Klein et al., 2007), and (2) the description of *A. perezi* Mourgues, 2007 *nomen nudum* (later assigned to the genus *Hemihoplites*) at the base of the lower Aptian (*'Hemihoplites' perezi* Zone) with tubercles very close together in the periventral and topflank areas, typical of the *Antarcticoceras*, makes possible to fill the stratigraphic and morphological hiatus with the genus *Shasticrioceras* and to classify them together within the same family: the Shasticrioceratidae fam. nov.

819

## 820 6. Discussion about the phyletic position of *Lenicostites* gen. nov.

821

*Lenicostites* gen. nov. has a morphology close to Hemihoplitinae, however it departs from it by ontogeny and a number of characters (see above chapter 4). Is this morphological proximity a reflection of a phyletic link, or does it only reflect homeomorphy just as the above examples? Several hypotheses have to be considered.

826

6.1. Hypothesis (1): Lenicostites gen. nov. as an ancestor of the Hemihoplitinae or an
offshoot of Gassendiceras

829

In the hypothesis where *Lenicostites* gen. nov. were the ancestor of the Hemihoplitinae, given 830 their stratigraphic appearance, they would derive directly from the first Gassendiceras cf. 831 essaouirae present on the northwestern Tethyan margin, to make the link next with 832 Camereiceras breistrofferi, which is the oldest known Camereiceras at the top of the 833 Gassendiceras alpinum Subzone. On the other hand, if Lenicostites gen. nov. were an 834 offshoot of the Tethyan Gassendiceratinae, they would have at least a common ancestor: the 835 only possible candidate is the top-early Barremian Moroccan G. essaouirae. These 836 hypotheses come up against a number of objections concerning (1) ontogenesis and 837

ornamentation, (2) adult size, (3) coiling, (4) evolutionary rhythms, and (5) stratigraphiccoherence:

- (1) The Hemihoplitidae share a common ontogenetic trajectory and the 840 Hemihoplitinae follow the constant trend initiated by their ancestor Gassendiceratinae. This 841 trajectory is respected in Hemihoplitidae also in case of major evolutive changes such as the 842 reversion of the heterochrony trend that lead to the appearance of *Pseudoshasticrioceras*, and 843 later with the appearance of the helical coiling in Imerites Rouchadzé, 1933 (Fig. 1; Bert et 844 al., 2009; Bert, 2014, p. 155–157). In these examples, the Barremense and Camereiceras 845 stages are still present or little modified for mechanical reason (i.e. the presence of the helical 846 coiling). Thus, in the case of Gassendiceras (the ancestors) and Camereiceras (the 847 descendents), both genera have in common the Heberti stage in their innermost whorls, 848 followed by the Barremense and Camereiceras stages (Fig. 5 – see Bert et al., 2006 for the 849 850 extensive description of these stages). This trend and the associated trituberculate ontogenetic stages are totally absent in the supposed intermediates Lenicostites gen. nov. (Fig. 2-3), 851 852 which does not fit with the hypothesis of a phyletic link between these genera. Moreover, if we consider the loss and complete subsequent recovery of these ontogenetic stages as a 853 possibility, it would mean accepting an exact characters reversibility through time, which is in 854 total contradiction with the Dollo's rule of the irreversibility of evolution. 855

(2) It has been shown (Bert et al., 2013) that the *Gassendiceras* species reach a large
size, around or above 350 mm in diameter. It is the same with the macroconchs of *Camereiceras* (D=325 mm for *C. limentinus* in Delanoy, 1990a, pl. 6), including the older
species of this genus (D=300 mm for *C. breistrofferi* in Bert, 2014a, fig. 20a). However, this
is not the case for *Lenicostites* gen. nov., which is much smaller with a body chamber that
starts at around 110 mm in diameter with the ornamental stage change. In mollusks, the size
seems more subject to the stabilizing effect of the natural selection than the ornamental

characters (Mayr, 1963), thus in a phyletic relationship hypothesis, it is rather unlikely that *Lenicostites* gen. nov. would break this stability otherwise than being a progenetic micromorph. In such an hypothesis, or if *Lenicostites* gen. nov. were an offshoot of *Gasendiceras*, they would share at least the early Heberti ontogenetic stage with octagonal section and trituberculate ribs known in innermost whorls of every Hemihoplitidae (or a modified version of it), or the strongly tuberculate Barremense stage that immediately succeed it. This is clearly not the case.

- (3) With regard to coiling, the different species of the genus Gassendiceras 870 systematically have heteromorphic shells, which is also the case for the older *Camereiceras* 871 (whorls are crioconic non-joined in macroconchs, and tripartite or crioconic in microconchs -872 see Bert and Delanoy, 2000; Bert et al., 2006; Bert, 2012a, 2014a). To be more precise, the 873 evolutionary trend from Gassendiceras to Camereiceras is towards the progressive loss of 874 heteromorphy, fully achieved in C. limentinus. On the contrary, at the same time, in 875 Lenicostites gen. nov. the shell is constantly plan-spiral with systematically contiguous 876 877 whorls, wich discards it from the Gassendiceras/Camereiceras coiling trend.

- (4) The evolution of *Lenicostites* gen. nov. appears to be essentially in stasis, with no 878 particular morphological differentiation visible between the oldest and most recent known 879 forms, despite the stratigraphic departure of almost two ammonite subzones. In the 880 assumption that Lenicostites gen. nov. would be intermediate between Gassendiceras and 881 *Camereiceras*, or an offshoot of *Gassendiceras*, this sudden and transient stasis would be very 882 difficult to explain in comparison with the hight speed of evolutionary changes known in 883 Hemihoplitidae (both the ancestral Gassendiceratinae and the derived Hemihoplitinae), whose 884 succession of species allows to establish successions of biostratigraphic horizons (Fig. 1 – see 885 Bert et al., 2008; Bert and Bersac, 2013; Bert, 2014). 886

- (5) The succession Lenicostites gen. nov. / Camereiceras could be stratigraphically 887 coherent (L. rusticus gen. nov. is still present together with C. limentinus and could, for 888 example, give C. breistrofferi by cladogenesis), but the stratigraphic data available on the 889 northwestern margin of the Tethys show that Lenicostites gen. nov. appear at the same time as 890 their supposed ancestor, *Gassendiceras*. This observation remains problematic in the context 891 of the hypothesis of an ancestor-descendant relationship. That said, a possible common 892 ancestor for Gassendiceras and Lenicostites gen. nov., or the hypothesis of Lenicostites gen. 893 nov. as an offshoot of *Gassendiceras*, are not discarded only stratigraphically, but they are 894 mostly ontogenetically (see above point 1): the common ontogenetic development of 895 Hemihoplitidae (Heberti, Barremense, etc. stages) is not only present in the Tethyan late 896 Barremian Gassendiceras, but also in their Moroccan ancestors (Gassendiceras essaouirae) at 897 the top-early Barremian (see Bert and Bersac, 2013), long before the appearance of 898 899 Lenicostites gen. nov.

900

901 In summary, to consider the hypothesis of Lenicostites gen. nov. as an ancestor of the 902 Hemihoplitinae would lead to imagine a period of evolutionary stasis separated from two phases of rapid evolutionary changes by two major breaks. The first rupture could only be the 903 result of a very sudden progeny, with abrupt regression of the size and major reconfiguration 904 of the shell, which would lead to the loss of heteromorphy between the Tethyan G. cf. 905 essaouirae, or Moroccan G. essaouirae, and L. rusticus gen. nov. Cases of progenesis, 906 sometimes involving extensive restructuring of the organism, have been described 907 (Dommergues et al., 1986, p. 345–348; Landman et al., 1991; Bert, 2004, p. 121–122). On the 908 other hand, they do not explain the total loss of the ontogenetic stages (especially those 909 910 known in the innermost whorls of every Hemihoplitidae) and the second break between L. rusticus gen. nov. and C. breistrofferi, with a complete reverse restructuring and the sudden 911

912 reappearance of the ancestral ontogenetic stages (Heberti and Barremense stages). This 913 inverse and exact restructuring would be a violation of Dollo's rule of the irreversibility of 914 evolution (Dollo, 1893; Gould, 1970), which goes beyond the simple repeatability or the 915 iterative evolution of certain characters (see for example Bert et al., 2018, p. 182); as such it 916 would be highly unlikely. All the arguments developped above are likely to rule out 917 *Lenicostites* gen. nov. from the Hemihoplitidae.

918

919 6.2. *Hypothesis* (2): Lenicostites gen. nov. as an 'hemihoplitid-like' homeomorph

920

As stated above (chapters 4, 6.1), it is now clear that *Lenicostites* gen. nov. is not linked with the Hemihoplitidae: on the one hand, this genus is not at the origin of the *Camereiceras*, which succeed directly to the *Gassendiceras* in the upper part of the *G. alpinum* Subzone by the reduction of the Barremense stage in the inner whorls and by the centripetal development of the Camereiceras stage (Bert et al., 2006, 2013; Bert and Bersac, 2013). And on the other hand, *Lenicostites* gen. nov. is not derived from the *Gassendiceras*, of which it is not an offshoot.

As Lenicostites gen. nov. is independent of Hemihoplitidae, it represents a pure case of 928 homeomorphy. In the same way, there is no reason to think that *Lenicostites* gen. nov. may be 929 related, other than by the same phenomenon of homeomorphy, to Homeomorphitinae subfam. 930 nov. or to other groups detailed above (chapter 5), especially because of the important 931 geographical, stratigraphic and phyletic hiatuses, and apomorphies specific to these groups. In 932 the current state of knowledge, *Lenicostites* gen. nov. could be considered as a cryptogenic 933 taxon. It could possibly have a migratory origin (?) given its simultaneous appearance in the 934 south-east of France with Gassendiceras under favorable climatic and paleogeographic 935 conditions (Bert and Bersac, 2014). 936

Reasons for this particular widespread homeomorphism could be linked to the simplified 937 generalist 'hemihoplitid-like' morphology, making it easily reproducible. Considering the 938 Pareto optimality theory applied to ammonites (Tendler et al, 2015), the 3D morphospace 939 occupation of ammonoid shell, based on the Raup's parameters, occurs in a square pyramid 940 with 5 vertices. Each of these extreme point of the Pareto space corresponds to archetype 941 morphology optimized with respect to a single task (constraint). Tendler et al. (2015) have 942 inferred these constraints as hydrodynamic efficiency, shell economy, rapid growth and size 943 (including compactness). As said above (chapter 5.7), the 'hemihoplitid-like' shell 944 morphology takes place in the middle of the pyramid. Such a position fits generalist 945 morphologies, in the zone of maximum equilibrium between the different constraints that 946 govern the construction of ammonite shells, namely a Pareto-optimal solution. It is therefore 947 expected that the morphologies at the equilibrium of the constraints (knowing that this 948 949 optimum can vary depending on the environment) are the easiest to reproduce and therefore the most often imitated (convergence). As this, such occupation position in the W-D-S 950 951 morphospace is mainly explained by convergence over Pareto-optimal solutions, rather than only phylogenetic relationships (Tendler et al., 2015, p. 11). 952

953

954 6.3. Homeomorphy as a result of convergence, parallelism or iterative evolution?

955

It is well known that ammonites are a remarkable model for the study of phenotypic evolution, even if only their shell is accessible. This shell secreted by accretion performs the development of structures and morphologies sufficiently diversified to allow the paleontologists not only to recognize the different taxa and evolutionary patterns, but also to try to understand their processes. However, due to its nature and construction, the shell of ammonites necessarily develops sooner or later homeomorphies between different taxa that

may or may not belong to different lineages and/or at very different times. Examples of 962 homeomorphy in ammonites are numerous and have been the source of many discussions (see 963 for example Kennedy and Cobban, 1976, p. 41–42, Monnet et al., 2015, p. 97 and Walton and 964 Korn, 2017 with references). The consequences of this phenomenon and their perception by 965 the researchers (with all the risks of producing polyphyletic taxa), had already been noted by 966 Kilian in 1910: they can have major implications on phylogenetics, systematics and on the 967 understanding of the evolutionary fact. In this respect, the case of *Lenicostites* gen. nov. is 968 demonstrative of a peculiar ammonite, since it presents a part of the diagnostic characters of 969 Hemihoplitinae but with a discordant stratigraphic distribution, which, without a more in-970 depth study, could have led to some wrong conclusions with respect to the evolution and 971 classification of the whole group (e.g. Vermeulen, 1996, 2005). 972

In a very Darwinian framework, it is expected that the external constraints of abiotic 973 974 (environmental factors) and biotic (intra- or interspecific competition) factors constrained adaptation of the morphology of the ammonites shell (adaptive selection). It is generally 975 976 accepted that homeomorphy between two forms could be the result of a morphofunctional adaptation linked to a similar way of life and thus to the occupation of the same ecological 977 niche. The influence of these extrinsic constraints has often been overestimated with respect 978 to internal constraints (construction, development and of course also genetic, but this aspect 979 inaccessible in ammonites can only be deduced), whereas the evolution of organisms is an 980 equilibrium between various mutually interacting processes (see Monnet et al., 2015). 981 Understanding these constraints, and the relationships among them, is necessary to understand 982 the causes of homeomorphy, which can be expressed according to different evolutionary 983 phenomena, either convergence or parallelism (not mutually exclusive). The case of iteration 984 is a little different, and although it is usually considered as a form of convergence, it can 985

sometimes be a mixture of both and in this case be very constrained by intrinsic factors (see
below the example of the *Gregoryceras* Spath, 1924a).

Convergence and parallel evolution are a main source of homoplasy, and following Serb and Eernisse (2008) they are distinct concepts (Fig. 8). Convergence appears to be the independent evolution of similar structural or functional components in two or more unrelated lineages. The homeomorphs given by evolutionary convergence do not share a common ancestor and they have evolved convergently to the same morphology, mainly because of adaptation to similar conditions (Fig. 8A – external constraints, see above).

In parallel evolution (Fig. 8B–C), two (or more) lineages have similar ancestral phenotype and the descendants have evolved in trajectories towards similar morphology. Parallel evolution assumes that the same common developmental processes are independently involved between the lineages (intrinsic factor), and thus is more frequent in closely related organisms. Parallel evolution would also be subject to natural selection, but obviously when the genome is still close between the lineages, they will be more likely to evolve identically in the same environment (Tintant, 1963, p. 474–475).

1001 Iterative evolution uses the notion of repeatability of the phenotypic traits (Fig. 8D–E). This repeatability is usually argued by an adaptive response to changes in environmental cycles 1002 (Milankovitch, 1941), and thus would be totally dependent on external constraints (selection 1003 pressure – Bayer and McGhee, 1984). Examples show that ammonite lineages that have 1004 evolved iteratively are from generalist pools, rather distributed in pelagic environments, 1005 which have drifted to more specialized forms in platform edge environments (see the example 1006 of Physodoceras Hyatt, 1900 in Hantzpergue, 1987, p. 520). The counter-example of the 1007 1008 Gregoryceras, which have evolved from the *Peltoceratoides* Spath, 1925, shows that iterative 1009 evolution can also be expressed as a result of an evolutionary crisis (punctuated event of proteromorphosis type in the sense of Guex, 2006), which leads to the reappearance of 1010

1011 ancestral characters (here the duplicated ventral tubercles, specific to *Peltoceratoides*, at the 1012 end of the lineage in *Gregoryceras* – Bonnot, 1995; Bert, 2004, 2014). In this case, the 1013 morphological iteration is linked to a common genetic inheritance (internal constraint) and 1014 everything happens as if the punctuated event would reset the evolutionary trend and revive it 1015 on the same bases, which finally lead to homeomorphy by evolutionary parallelism shifted in 1016 time.

1017

It does not seem possible to invoke a phenomenon of parallel evolution between *Lenicostites* 1018 gen. nov. and Hemihoplites, nor an evolutionary iteration like the Physodoceras or the 1019 1020 Gregoryceras examples. On the one hand, there is no phyletic proximity between Lenicostites gen. nov. and the Hemihoplitinae (see the arguments developed above in chapters 4, 6.1), and 1021 on the other hand the general common morphology between these groups is rather generalist 1022 1023 in a Pareto-optimal solution and does not require to invoke great ecological adaptations towards a constrained specialization. Finally, the most appropriate model in this case is that of 1024 1025 homeomorphy given by evolutionary convergence, which also supposes that the external 1026 constraints are the strongest to reproduce this type of generalist 'hemihoplitid-like' simplified morphology. 1027

1028

1029 7. The principle of Gause applied to *Lenicostites* gen. nov.: a matter of competitive
1030 replacement in favor of Hemihoplitinae

1031

1032 The principle of competition for the struggle for existence is at the root of the Darwinian 1033 theory, where '*the extinction of ancient forms is the almost inevitable consequence of the* 1034 *production of new forms*' (Darwin, 1859, reprint of 2008, p. 410). Competition is an 1035 extremely complex phenomenon subtended by the interaction of two different processes:

exploitation (the use of a resource in necessarily limited quantity) and interference (the 1036 interaction between the organisms affecting their reproduction or their survival). Interspecific 1037 competition has been studied in laboratory on various groups (e.g. paramecium for Gause, 1038 1935, beetles for Park, 1962) and experimental results have shown that in a given stable 1039 environment, two species that use the same type of limited resource cannot coexist for a very 1040 long time, which tends systematically to extinction of the least 'fit' species because of the 1041 competitively superior species (Benton, 1996; Stanley, 2008). There are many examples of 1042 the Gause principle of competitive replacement that have allowed it to be generalized 1043 (Maynard-Smith, 1983; Rosenzweig, 1995; Simpson, 1953; Stanley, 1979; Stebbins, 1974), 1044 but the concept of aptitude is not always very clear. Park's experimental work (1962) has 1045 shown that the result of competition between two species depends both on intrinsic factors but 1046 also on the environment, which may be more or less favorable to one or the other species. It 1047 1048 turns out that the surviving species is not systematically the most favored at the beginning, nor the most representative in number of individuals, even if it is the most expected and the 1049 1050 most frequent case. This could be explained by the fact that, in a natural environment (Case 1051 and Taper, 2000), competition between two species reduces the both population densities, which decreases local adaptation (local adaptation concerns all changes in the frequency of 1052 genes, and the resulting phenotype, in response to selective pressures associated with the local 1053 1054 environment). Despite the fact that this leaves room for a certain amount of chance, the strength of interspecific competition also depends on phenotypic similarity and plasticity: 1055 greater phenotypic variability seems to induce a reduction in the negative effect of 1056 interspecific competition for the concerned taxon (Burgess et al., 2013, with references; 1057 1058 Morten and Twitchett, 2009).

1059 In paleontology, these competitive interactions are still poorly studied, especially in 1060 ammonites, and most often after mass extinction events (Benton, 1996; Morten and Twitchett,

2009; Hautmann et al., 2015). However, according to some authors (Benton, 1996; Stanley, 1061 1974, 2008), the role of competition has generally been exaggerated by paleontologists, and it 1062 would only play a minor role in most ecological communities because of the regulating action 1063 1064 of predation, which would exert a pressure such as to desaturate the ecological niches. Although sessile communities, such as bivalve mollusks, are more susceptible to the effects of 1065 predation than to competition, the same is not true for vagile forms such as mammals, 1066 trilobites, or ammonites, which are subject to a high rate of evolution (Stanley, 1974), and for 1067 which competition is likely to play an important role in terms of evolutionary divergence (the 1068 'centrifugal force of evolution' of Mayr, 1963). Thus, the rate of evolution is as high as 1069 competitive interactions between species is intense. As this, every successful adaptation of 1070 one species is done at the expense of the other species living in the same ecological niche. In 1071 other words, as stated in the Red Queen theory, the permanent evolution of a species is 1072 1073 necessary to answer the fitness of the other species with which it co-evolve to avoid extinction (Van Valen, 1973): in the Darwinian frame, only the fittest species would survive 1074 1075 in such a run.

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By the foregoing, and based on the principle of morphology linked to functional needs in 1077 ammonites (e.g. Westermann, 1996; Lukeneder, 2015) and on the constraints highlighted by 1078 the Pareto-optimal theory (Tendler et al., 2015), it is reasonable to think that the morphology 1079 of Lenicostites gen. nov. suggests that it occupied an ecological niche very similar to 1080 Hemihoplites feraudianus and adults microconchs of Hemihoplitinae with smooth ribbed 1081 body chamber (Camereiceras marchandi and C. limentinus – see Fig. 5B, C). Lenicostites 1082 gen. nov. was not contemporaneous with H. feraudianus, but it was with C. marchandi and 1083 1084 limentinus, which was a favorable condition for the establishment of interspecific competition. As seen above, *Camereiceras* has characteristics generally associated with better 1085

competitiveness compared to Lenicostites gen. nov.: (1) the adult size of Camereiceras is 1086 larger, which presumably conferred it certain advantages in terms of defense against predation 1087 (Tendler et al., 2015, p. 6), increased food competition, increased success in mating and 1088 reproduction, increased individual longevity, and better energy use (see also Monnet et al., 1089 2015, p. 116, with references); (2) Hemihoplitinae are much more dynamic with a higher 1090 evolutionary rate (rapid succession of species), while Lenicostites gen. nov. seems to be in a 1091 period of evolutionary stasis; (3) in terms of representativeness, *Camereiceras* is much more 1092 abundant than *Lenicostites* gen. nov. in the deposits, which suggests that its reproductive rate 1093 was higher (higher fitness); (4) preliminary studies (work in progress) show that 1094 Camereiceras has an extraordinarily wide intraspecific variability (combination of both 1095 heterochronies and multipolar variations in the sense of Bert, 2014a, b), between forms highly 1096 tuberculate in the inner whorls and forms much more slender. In any case, this variability is 1097 1098 much higher than that of Lenicostites gen. nov., where it concerns the robustness of ornamentation only (see description). This high difference in variability between the two 1099 1100 groups could explain that *Camereiceras* has better supported competition with *Lenicostites* 1101 gen. nov. by a lesser impact of its negative effects. Of course, with only partial paleontological data (external ammonites shell) there is no guarantee that this example is 1102 evidenced or random, but here we interpret the disappearance of *Lenicostites* gen. nov., very 1103 soon after they meet the re-coiled Hemihoplitinae (Camereiceras marchandi and C. 1104 *limentinus* – Fig. 5), by the effect of interspecific competition: in this hypothesis, *Lenicostites* 1105 gen. nov. is a victim of the Gause Principle as it lost the Red Queen race. 1106

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1108 8. Conclusions

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The evolution, biostratigraphy and systematics of the ammonite family Hemihoplitidae is 1110 nowadays well known. Concerning the subfamily Hemihoplitinae, the genus *Camereiceras* is 1111 derived from the genus Gassendiceras (Gassendiceratinae) in the top Gassendiceras alpinum 1112 1113 Subzone (Bert and Bersac, 2014). The older *Camereiceras* retain some characters from their ancestor (ornamentation pattern, general heteromorphic morphology and, above all, their 1114 ontogenetic stages), and their microconchs have an adult simplified morphology with smooth 1115 identical ribs. Later (in the Camereiceras limentinus Subzone), Camereiceras gives rise to 1116 *Hemihoplites*, of which the evolution shows a progressive reduction of the tubercles to give 1117 finally the simplified morphology known in *H. feraudianus* with weak tubercles. All this 1118 evolution is actually restrained to the north-west Tethyan margin (Fig. 7) at the early late 1119 Barremian only (T. vandenheckei and G. sartousiana zones - Fig. 1). In this context, the 1120 genus *Lenicostites* gen. nov. that suddenly appears in the middle *T. vandenhekei* Subzone with 1121 1122 its simplified morphology very close to Hemihoplites feraudianus (compare Fig. 2-3 with Fig. 4) is unexpected because of its older age of three ammonites subzones, contemporary of 1123 1124 the genera Gassendiceras and Camereiceras (in several occurrences according to new data 1125 collected in southeastern France – this work). Despite its large stratigraphic range, *Lenicostites* gen. nov. appears to be monospecific (*L. rusticus* gen. nov.) and in evolutionary 1126 stasis, unlike the Hemihoplitinae that show a high evolutionary rate. It is undeniable that 1127 Lenicostitidae fam. nov. are morphologically very close to Hemihoplitinae, however, the 1128 stratigraphic, ontogenetic, evolutionary and systematic analyses show that there is no 1129 connection between these families and that the resemblance is purely a case of homeomorphy, 1130 as they occupies the same solution in the morphological space of the Pareto optimality theory 1131 applied to ammonites (Tendler et al., 2015): the 'hemihoplitid-like' morphology. 1132

1133

In the light of the Lenicostites gen. nov., it is possible to re-examine the numerous 1134 1135 occurrences of Hemihoplitinae reported in the literature in localities sometimes very distant from each other and from the Tethyan domain or even from the upper Barremian, which are 1136 sometimes contradictory with the evolutionary history of the group. The critical review of 1137 these reports allows a drastic revision and clarification of the systematic and paleogeographic 1138 distribution of Hemihoplitinae as a whole: until proven otherwise, there is no Hemihoplitinae 1139 outside the north and west margins of the Tethyan Realm (including its Caucasian margin in 1140 the east, and the Essaouira Basin in Morocco at the limit of the Proto Atlantic Ocean in the 1141 west). Actually, the 'hemihoplitid-like' morphology is iterative at the Valanginian, 1142 1143 Hauterivian, Barremian and Aptian in several taxa without any phyletic links, which develop it by homeomorphy. 1144

For example, it is now certain that the genus *'Hemihoplites'* reported in Patagonia (Argentina – Riccardi and Aguirre-Urreta, 1989; Aguirre-Urreta, 2002) actually corresponds to a genus of ammonite endemic to the Austral Basin, identified here under *Homeomorphites* gen. nov., which evolves locally from the early Hauterivian to the late Barremian by allometric hypermorphosis (heterochrony).

In the same way, it is possible to propose a direct phyletic link from *Shasticrioceras* to *Antarcticoceras* based on data from Chañarcillo Basin (Chile – Mourgues, 2007). This evolution is done by the simple acquisition of an additional tubercle at the top of the flanks very early during ontogeny. The attribution of the species *A. domeycanum* (late Barremian) to the genus *Antarcticoceras* and the description of *A. perezi* nomen nudum at the base of the early Aptian make possible to fill the stratigraphic and morphological hiatus between the both two genera and to classify them together within the same family: the Shasticrioceratidae fam.

- 1157 nov.
- 1158

These examples, which are independent from the Hemihoplitidae, show that the shared 1159 'hemihoplitid-like' morphology is widespread, generalist and thus easily reproducible under 1160 the Pareto optimality theory (Pareto-optimal solution in the zone of maximum equilibrium 1161 between the different constraints that govern the construction of ammonite shells). 1162 Homeomorphy is common in ammonites, and in the case of *Lenicostites* gen. nov. it is due to 1163 convergence (Fig. 8A) rather than parallelism (Fig. 8B–C) or iterative evolution (Fig. 8D–E). 1164 Such homeomorphy could be the result of a morphofunctional adaptation related to similar 1165 living conditions (external constraints). The appearance of Camereiceras with a non-1166 heteromorphic shell (Fig. 5B-C) established favorable conditions for interspecific 1167 1168 competition. Camereiceras has characteristics generally associated with better competitiveness compared to Lenicostites gen. nov.: larger adult size (Tendler et al., 2015), 1169 better evolutionary dynamics, probably higher reproductive rate and greater intraspecific 1170 1171 variability (see Monnet et al., 2015, p. 116, with references,). Thus, the disappearance of Lenicostites gen. nov., very soon after they meet the re-coiled Hemihoplitinae (Camereiceras 1172 1173 marchandi and C. limentinus), could be interpreted as the effect of interspecific competition, 1174 to go so far as a complete competitive replacement: in this hypothesis, *Lenicostites* gen. nov. is a victim of the Gause Principle as it lost the Red Queen race (the permanent evolution of 1175 species being necessary to answer the fitness of the other species with which it co-evolve to 1176 avoid extinction - Van Valen, 1973). 1177

1178

## 1179 Acknowledgments

1180

1181 This work was supported by the Geological National Nature Reserve of Haute-Provence 1182 (RNNGHP), managed by the Departmental Council of the Alpes de Haute-Provence (France), 1183 and by the Laboratory of the G.P.A. (Research group in ammonites paleobiology and

biostratigraphy). We warmly acknowledge Sreepat Jain for his help with the Barremian/Aptian of India, and Abelardo Cantú Chapa for his help for Mexican ammonites. Miguel Company and Rafel Matamales-Andreu gave us valuable advices; we greatly appreciated their helpful discussions and constructive comments that hightly improved our work. Finally, we aknowledge both the two referees, Yves Dutour and Josep Moreno-Bedmar for their very constructive remarks.

1190

- 1191 **Declarations of interest:** none.
- 1192
- 1193 **References**

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## 1553 **Figure captions**

Figure 1: Biostratigraphic chart of the upper Barremian (see references in the text), with the
repartition and phylogeny of the Hemihoplitidae compared to the Lenicostitidae fam. nov. In
the Hemihoplitinae the species of the genera *Camereiceras* and *Hemihoplites* are: 1, *Camereiceras breistrofferi* (uncoiled shell); 2, *Camereiceras marchandi* (tight uncoiled shell); *Camereiceras limentinus* (fully coiled shell); 4, *Hemihoplites cornagoae* (fully coiled shell); *shell*); 5, *Hemihoplites astarte* (fully coiled shell); 6, *Hemihoplites feraudianus* (fully coiled shell);

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1562 Figure 2: Lenicostites rusticus gen. nov. from the upper Barremian (Lower Cretaceous) of southeastern France. A, the holotype (4141351) figured by Vermeulen (1996, pl. 3, fig. 2–5), 1563 1564 from the Saint Martin ravine section (bed 20) in the neritic domain (Gerhardtia sartousiana Zone, *Camereiceras limentinus* Subzone and Horizon); **B**, body chamber of an adult specimen 1565 in two parts (RNNGHP.DBT.04007-A'/149-4.AJ49) from bed A'/149-4 in the pelagic 1566 domain (Toxancyloceras vandenheckei Zone and Subzone); C, robust specimen from the 1567 'Camereiceras limentinus beds' in the neritic domain (RNNGHP.DBT.04171-MAN.BB34), 1568 section MAN (Gerhardtia sartousiana Zone, Camereiceras limentinus Subzone and 1569 Horizon); **D**, robust specimen (RNNGHP.SBC.06050-TAI/99.TAI155) from bed TAI/99 in 1570 the neritic domain (T. vandenheckei or G. sartousiana Zone); E, slender specimen 1571 (RNNGHP.DBT.04007-A\*/149-3.BA54) from bed A'/149-4 in the pelagic domain 1572 (Toxancyloceras vandenheckei Zone and Subzone). 1573

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Figure 3: Nearly complete robust adult of *Lenicostites rusticus* (Vermeulen, 1996) gen. nov.
(RNNGHP.SBC.06050-TAI/99.TAI153) from the upper Barremian (Lower Cretaceous, *T. vandenheckei* Zone or *C. limentinus* Subzone) of section TAI/99, southeastern France. A1,
lateral view; A2, ventral view.

1579

Figure 4: *Hemihoplites feraudianus* from the upper Barremian (Lower Cretaceous) of
southeastern France (Angles area), *Gerhardtia sartousiana* Zone, *Hemihoplites feraudianus*Subzone and Horizon; A, macroconch specimen with smooth stage (RNNGHP.DBT.04007G12b/336.BA68); B, microconch adult specimen (RNNGHP.DBT.04173-GRY/903b.BA67).

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Figure 5: Camereiceras from the upper Barremian (Lower Cretaceous) of southeastern 1585 France; A, macroconch specimen of *Camereiceras limentinus* from La Gaude (Gerhardtia 1586 1587 sartousiana Zone, Camereiceras limentinus Subzone and Horizon - RNNGHP.DBT.06065-VA/11b.BB32) in the neritic domain; B, microconch adult specimen of Camereiceras 1588 limentinus from the Angles area (Gerhardtia sartousiana Zone, Camereiceras limentinus 1589 Subzone and Horizon – RNNGHP.DBT.04173-GRY/883a.BB30) in the pelagic domain; C, 1590 microconch adult specimen of Camereiceras marchandi from the Angles area 1591 (Toxancyloceras vandenheckei Zone, Gassendiceras alpinum Subzone, Camereiceras 1592 marchandi Horizon -RNNGHP.DBT.04007-A\*/156-15.BB29), note the uncoiling of the last 1593 whorl (body chamber). 1594

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**Figure 6:** Correlation of the biostratigraphic charts between the north-west Tethys, the Chañarcillo and Neuquén Basins (Chile and Argentina), Patagonia (Austral Basin) and California, with repartition of the ammonites with 'hemihoplitid like' morphology. See text for the bibliographic references and explanations.

Figure 7: Paleogeographic map of the Barremian age showing the distribution of: the northwest Tethys Hemihoplitidae (stars, the white star points out the Vocontian basin in southeastern France and also the Lenicostitidae fam. nov.), the Austral Homeomorphitinae subfam. nov. (squares), and the Circum-Pacific Shasticrioceratidae fam. nov. (hexagons). Data from literature (see text), map modified after Barron et al. (1981).

1606

Figure 8: The different patterns of homeomorphy in ammonites; numbers 1 to 4 are different 1607 species; circles, squares and triangles are different phenotypes; letters a and b are different 1608 processes; dash-lines separate different environments; A, homeomorphy by convergence is 1609 given by evolution of independent processes (a and b) towards similar morphology (circles) in 1610 two or more unrelated lineages (here 1-2 and 3-4), mainly because of adaptation to similar 1611 1612 conditions (external constraints). This is the case for example with the Lenicostites gen. nov. and Hemihoplitinae; **B** and **C**, homeomorphy by parallel evolution is given when the 1613 1614 descendants of the same ancestral phenotype (species 1, square) have evolved in trajectories towards a similar morphology (circle). Here, the same common developemental processes 1615 was involved independently (a – intrinsic factor). See for example the parallel evolution of 1616 two Devonian ammonoid families (Auguritidae Bogoslovski, 1961 and Pinacitidae Hyatt, 1617 1900) in Monnet et al. (2015); **D**, iterative evolution can be given by adaptive convergence, 1618 which gives the repeatability of the phenotypic traits (extrinsic factor). In that case (see the 1619 example of *Physodoceras* in Hantzpergue, 1987), ammonite lineages 2 and 3 have evolved 1620 1621 iteratively in platform edge environment (whatever the processes involved, a or b) from the generalist pelagic pool 1; E, iterative evolution can be also given by evolutionary crisis 1622 (punctuated event of proteromorphosis type - intrinsic factor), which leads to the 1623 reappearance of ancestral phenotype (circle), whatever the processes involved, a or b. This 1624

1600

special case of parallel evolution shifted in time is known, for example, in *Gregoryceras*,
which finally repeated the morphology known in its ancestor *Peltoceratoides* (see Bonnot,
1995; Bert, 2004, 2014).

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