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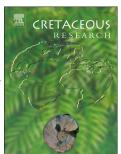
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## 1 Implications of the 'hemihoplitid-like' ammonites iterative morphology in

## the context of the late Tethyan Barremian (Early Cretaceous)

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## Highlights

- Resemblance of *Lenicostites rusticus* with Hemihoplitidae is a case of homeomorphy.
- The numerous reported occurrences of Hemihoplitinae over the world are reviewed.
- Pareto-optimal solution explains homeomorphy of the 'hemihoplitid-like'
- 19 morphology.
- Homeomorphitinae subfam. nov. and Shasticrioceratidae fam. nov. are proposed.
- Lenicostites is a victim of the Gause Principle in favour of the Hemihoplitinae.

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## 23 Abstract

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Hemihoplitidae evolution is well documented in the north-west Tethyan margin at the lower upper Barremian. In this context, the genus Lenicostites gen. nov. (L. rusticus), with 26 'hemihoplitid-like' morphology, is unexpected because of its age older than the earliest 27 Hemihoplites and its evolutionary stasis that contrasts with the rapid changes of the 28 Hemihoplitidae. Data show no connection between Hemihoplitidae and Lenicostitidae fam. 29 nov. and the resemblance is homeomorphy. The numerous reported occurrences of 30 Hemihoplitinae over the world are reviewed. Their critical revision shows that some of them 31 are contradictory with the evolutionary history of the group. The 'hemihoplitid-like' 32 morphology is iterative in several taxa without any phyletic links (homeomorphy). Reasons 33 could be linked to the morphospace occupation in the zone of maximum equilibrium between 34 different constraints (a Pareto-optimal solution), making such morphology effortless to 35 The Austral Homeomorphitinae subfam. nov. (with Homeomorphites 36 37 aguirreurretae gen. nov. et sp. nov.) are assigned to the Neocomitidae. A phyletic link between Shasticrioceras and Antarcticoceras is suggested (Shasticrioceratidae fam. nov.). 38 Until proven otherwise, there is no Hemihoplitinae outside the north and west margins of the 39 Tethys (including the Essaouira Basin). Homeomorphy between Hemihoplitinae and 40 Lenicostitidae fam. nov. is explored and convergence seems the most convincing hypothesis. 41 The further appearance of Camereiceras (Hemihoplitinae) could establish favorable 42 conditions for interspecific competition, and the disappearance of *Lenicostites* gen. nov. could 43 be interpreted as a complete competitive replacement. In this hypothesis Lenicostites gen. 44 nov. is a victim of the Gause Principle as it lost the Red Queen race. 45

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- **Key-words:** ammonites; Early Cretaceous; homeomorphy; competition; systematics; Pareto-
- optimal solution. 48

## 1. Introduction

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The family Hemihoplitidae Spath, 1924a is a key element of the marine early late Barremian ammonite fauna because of its very rapid evolution and diversification, to the extent that successive representatives of this family are used in the definition of a number of stratigraphic horizons (Fig. 1). In the context of the North Tethyan margin, this family develops three major trends, materialized into three subfamilies: (1) the Gassendiceratinae Bert et al., 2006 appear in the Toxancyloceras vandenhenckei Subzone and represent the stem of the whole group (following Bert and Bersac, 2014, this subfamily actually would appear in the early Barremian in the proto-Altantic context of the Essaouira Basin, Morocco, with Gassendiceras essaouirae Bert and Bersac, 2014); (2) the Peirescinae Bert et al., 2006, which connect with the Douvilleiceratoidea Parona and Bonarelli, 1897 (spanning the Gassendiceras alpinum to Imerites giraudi subzones); and (3) the Hemihoplitinae Spath, 1924a, which are derived from the genus Gassendiceras Bert et al., 2006 (Gassendiceratinae) at the top of the Gassendiceras alpinum Subzone. The older Hemihoplitinae species [Camereiceras breistrofferi (Sarkar, 1955) and C. marchandi Bert et al., 2006] retain some characters from the Gassendiceras: (1) the ontogenetic stages (the Heberti, Barremense and Camereiceras stages - see Bert et al., 2013 for an extensive description); (2) ornamentation with differentiated ribs; (3) trituberculate main ribs; (4) general morphology with uncoiled shell. Later, in the Camereiceras limentinus Subzone, the dimorphic genus Camereiceras Delanoy, 1990a has always its whorls in contact. It gives rise to the genera *Pachyhemihoplites* Delanoy, 1992 and Hemihoplites Spath, 1924a. The evolution of Hemihoplites shows a progressive reduction of the tubercles with, in stratigraphic order, H. cornagoae Bert et al., 2006, H. astarte (Fallot and Termier, 1923) and finally, *H. feraudianus* (d'Orbigny, 1841). The latter is morphologically

simplified with weak tubercles (two rows only) in the *H. feraudianus* Subzone, where the Hemihoplitinae disappear.

This systematic and evolutionary framework is now relatively well known and restricted to the northern Tethyan margin between the *G. alpinum* and *H. feraudianus* subzones (early late Barremian – see Bert, 2012a, 2014a, 2014b; Bert and Bersac, 2013, 2014; Bert et al., 2013). In this context, the discovery of new ammonite specimens with simplified morphology very close to *Hemihoplites feraudianus* in the lower part of the upper Barremian of the Vocontian Basin (Barremian stratotype area, southeastern France), is totally unexpected. These are not only present two ammonites subzones (three if considering their appearance) before the appearance of the first *H. feraudianus*, but their appearance is also clearly anterior to the oldest known Tethyan Hemihoplitinae. These ammonites are described here in detail; they are related to the hitherto poorly known species *Hemihoplites rusticus* Vermeulen, 1996. Their study suggests that they probably do not belong to Hemihoplitidae and we propose the new genus *Lenicostites* gen. nov. and the new family Lenicostitidae nov. fam.

A review of the literature shows that this type of situation is not exceptional in the Lower Cretaceous: many occurrences of Hemihoplitinae have been reported all around the world, always with specimens of simplified morphology, while their geographical occurrence are sometimes very far between them and the Tethyan domain in disparate stages from Valanginian to Aptian. Systematics, based on relationships between morphology, ontogeny, stratigraphy and paleobiogeographical data helps reframe their taxonomy; it appears that most of them are not Hemihoplitidae. Hypothesis about phylogenetic relationships and paleoecology of these ammonites are considered and several new taxa have to be introduced:

98 the Homeomorphitinae subfam. nov., with *Homeomorphites* gen. nov. (type-species: *Ho*.

99 aguirreurretae gen. nov. et sp. nov.), and Shasticrioceradidae fam. nov.

Finally, several hypotheses about the phyletic position of *Lenicostites* gen. nov. are discussed,

which rise questions about homeomorphy and its causes (convergence, parallelism or iterative

evolution), interspecific competition, competitive replacement, and Red Queen theory applied

to these ammonites.

## 2. Material

This study focuses on the discovery of new material of the extremely rare genus *Lenicostites* gen. nov. These fossils are from four bed-by-bed sampled stratigraphic sections in the southeast of France (Alpes de Haute-Provence and Alpes-Maritimes), two of them located in the immediate vicinity of the Barremian historical stratotype of the Angles road (sections A' and A\*), and the others located in the Arc of Castellane between Rougon and Vence (sections MAN and TAI). Part of this area belongs to the protected perimeter of the Geological National Nature Reserve of Haute-Provence (RNNGHP), managed by the Departmental Council of the Alpes de Haute-Provence on behalf of the French State. All the collected material is curated by the RNNGHP.

The stratigraphic framework used for the North Tethyan Barremian in this work was proposed by the I.U.G.S Lower Cretaceous ammonite working group (the Kilian Group – Reboulet et al., 2018). This framework is completed by several works, which helped to reach a high stratigraphic precision level (Fig. 1): for the Barremian (Bert et al., 2008; Bert and Delanoy 2009; Bert et al., 2010, 2011 and 2018), Hauterivian (Company et al., 2003; Matamales-Andreu and Company, 2019) and Aptian (Bersac and Bert 2012). For local zonations, the

following works were used to make correlations: Aguirre-Urreta et al. 2007a (Chile and

Argentina), Aguirre-Urreta, 2002, Aguirre-Urreta et al., 2007b, Riccardi, 1988 and Riccardi and Medina, 2008 (Patagonia), Murphy, 1975 (California). The terminologies used in this paper (biostratigraphy and chronostratigraphy vs. geochronology) respect the standards of the International Commission on Stratigraphy (Salvador, 1994).

## 3. Geological setting and sections studied

During the Mesozoic Era, the Vocontian Basin (south-east of France) was a large subsident intracratonic area opened towards the Alpine ocean. The sedimentary basin is now bordered by the Mediterranean Sea (south), the Jura (north), the Massif Central (west) and the Alps (east). The Barremian, in the stratotype area (Angles, department of the Alpes de Haute-Provence), is characterized by pelagic sedimentation with an alternation of 0.10–1m thick marlstones and limestones. The outcrops are usually of good quality with continuous sedimentation and abundant fossils, with dominance of the ammonites. However, the lower upper Barremian in this area, and especially the *Toxancyloceras vandenheckei* Zone and the most part of the *Gassendiceras alpinum* Subzone, contain very few macrofossils and the beds require long time sampling.

## 3.1. Pelagic sections A\* and A'

The section A\* was described and figured by Bert (2012b) and Bert et al. (2018). It corresponds to the complementary section to the Barremian historical stratotype of the Angles road (section A). In section A, the lower part of the upper Barremian (*T. vandenheckei* and *Gerhardtia sartousiana* zones) is poorly exposed because of growth faults that disrupt the bed successions (see more explanations in Bert, 2012b, p. 4). Given these difficulties the new

148	reference section A*, in the immediate lateral continuity of the stratotype, allows to make
149	good study of these beds. Section A' is another complementary section in the adjacent hill to
150	the Barremian stratotype. For the T. vandenheckei Subzone, the bed succession is the same in
151	the both sections A' and A*.
152	
153	3.2. Neritic sections MAN and TAI
154	
155	Section MAN was reported by Cotillon (1971). It is close to the section SO in the Rougon
156	area, which was described previously (Bert et al., 2013, p. 359-361, text-fig. 8). Section TAI
157	was described and figured in a previous work (Bert et al., 2013, p. 361-362, text-fig. 9). This
158	area is part of the neritic domain in the southern Vocontian platform border, which is rich in
159	glauconite, benthic faunas and cephalopods. Sedimentation rates are usually low and
160	discontinuous with some episodes of condensation and sedimentary gaps (sedimentation in a
161	system of tilted blocks); despite of this, the beds benefit from a good stratigraphic attribution
162	given their abundant ammonite content.
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164	4. Descriptive palaeontology
165	
166	Class: Cephalopoda Cuvier, 1798
167	Order: Ammonoidea Agassiz, 1846
168	Suborder: Ancyloceratina Gill, 1871
169	Superfamily: Ancyloceratoidea Gill, 1871
170	Family: Lenicostitidae fam. nov.
171	Type genus. This family is currently monotypic, with Lenicostites gen. nov.

Discussion. It is undeniable that Lenicostitidae fam. nov. are morphologically very close to
Hemihoplitinae, and especially to Hemihoplites feraudianus (d'Orbigny, 1841) and the adult
microconchs of Camereiceras marchandi and C. limentinus with smooth simple ribbed
ontogenetic stage on body chamber. However, the total absence of the ontogenetic stages
common to all Hemihoplitidae, which are lacking here (see description below), but also the
stratigraphic position of the oldest representatives of the genus Lenicostites gen. nov. is
problematic. Lenicostites gen. nov. appears long before the cladogenesis between the first
Camereiceras (the direct ancestor of Hemihoplites) and the genus Gassendiceras, which
occurs in the late part of the Gassendiceras alpinum Subzone (Fig. 1). Lenicostites rusticus
(Vermeulen, 1996) gen. nov. appears in southeastern France from the middle part of the T.
vandenheckei Subzone, at the same time as the first Gassendiceras of the G. cf. essaouirae
Bert and Bersac, 2014 group that migrate to the northwestern Tethyan margin from Moroccan
representatives (see Bert and Bersac, 2014; Company et al., 2008). There is no argument to
indicate a link between Gassendiceras and Lenicostites gen. nov., which have a very different
morphology, and above all a very different ontogeny (see the discussion of the genus
Lenicostites gen. nov.). In this context, it is not possible to include the genus Lenicostites gen.
nov. into the family Hemihoplitidae, which would make this family polyphyletic; family
Lenicostitidae fam. nov. is thus proposed. Pending new data, this family is currently classified
into the Ancyloceratoidea superfamily, a position reinforced by the presence of a widely
perforated umbilicus (Vermeulen, 1996, pl. 3, fig. 5).

- Genus Lenicostites gen. nov.
- 194 Denomination. From latin lenis, which means smooth (here smooth ribs).
- 195 Type-species. Lenicostites rusticus (Vermeulen, 1996) gen. nov.
- 196 Specific content. Lenicostites gen. nov. is currently monospecific.

197	Geographic occurrence. Lenicostites gen. nov. is known in southeastern France, both in
198	basinal and platform area.
199	Stratigraphic occurrence. Lenicostites gen. nov. is currently known to be present in several
200	occurrences in the lower upper Barremian (Fig 1): (1) in the middle part of the $T$ .
201	vandenheckei Subzone in association with Toxancyloceras ebboi Delanoy, 2003 (see Bert et
202	al., 2018, fig. 5 for the stratigraphical occurrence of this species) and Gassendiceras cf.
203	essaouirae; (2) in the upper part (non-terminal) of the G. alpinum Subzone associated with
204	numerous Barremitidae [the 'bed with little Barremites' of Cotillon, 1971, Vermeulen, 1996
205	and Bert, 2009; these Barremitidae have a morphology close to Nikolovites charrieri
206	(d'Orbigny, 1841)]. And (3) in the Camereiceras limentinus Horizon.
207	Note that L. rusticus gen. nov. was used by Vermeulen (1998a, 1998b) to define an ammonite
208	horizon in the upper part of the Toxancyloceras vandenheckei Zone. Although this horizon
209	was no longer used later (see Vermeulen, 2003), the discovery of L. rusticus gen. nov. in a
210	very wide range renders its use impossible in high resolution biostratigraphy.
211	Diagnosis. Medium sized genus (up to D=140 mm) with slightly overlapping planispiral
212	whorls. Umbilicus wide and shallow, perforated in the innermost whorls. Subcircular whorl
213	section, quite thick, which tends to heighten with growth. Convex flanks, periventral margin
214	very rounded that gradually passes to the rounded venter. Abrupt peri-umbilical wall.
215	Ornamentation consisting of ribs most often simple, undifferentiated, thick, radial to slightly
216	retroverse, sometimes a little sinuous but without forming an inflection on the venter. Thinner
217	intercalary ribs, which do not always reach the base of the flanks; they are only present on the
218	robust morphology of the species. In the inner whorls, the ribs are uniformly wide from the
219	base to the top of the flanks and on the ventral area, while on the outermost whorls they widen
220	from the upper quarter of the flanks and on the venter. Reinforcements of the ribs are visible

221	at the base and at the top of the flanks; they are inconspicuous without ever forming a tubercle
222	and they are more developed in the periventral zone of the body chamber.
223	Discussion. By their morphological and ornamental characteristics, the representatives of
224	Lenicostites gen. nov. have been reported in the subfamily Hemihoplitinae (Hemihoplitidae)
225	in the literature (=Hemihoplites rusticus, in Vermeulen, 1996; Klein et al., 2007).
226	Morphologically, this genus undeniably recalls <i>H. feraudianus</i> (d'Orbigny, 1841), which is
227	the least tuberculate species of <i>Hemihoplites</i> (compare Fig. 2, 3 with Fig. 4). However, in
228	Lenicostites gen. nov., the whorl section is rounded, whereas in H. feraudianus the flanks are
229	systematically flatter with a well-defined ventral area. On terms of ornamentation,
230	bifurcations are very common at the base of the flanks in H. feraudianus, whereas they are
231	almost absent in L. rusticus gen. nov. The intercalated ribs are likewise very much rarer in
232	Lenicostites gen. nov. But above all, in the latter, the ribs are radial with a slightly retroverted
233	tendency, whereas in <i>H. feraudianus</i> the tendency is for the projection towards the front of the
234	shell. Finally, in the inner and middle whorls of H. feraudianus, the ribs bear true small
235	punctiform tubercles at the base and at the top of the flanks, contrary to L. rusticus gen. nov.
236	(see below the chapter description and ontogenesis of L. rusticus gen. nov.). Note that the first
237	representatives of L. rusticus gen. nov. and the first H. feraudianus are separated by more
238	than three ammonite subzones (Fig. 1).
239	All the other species belonging to the different genera of Hemihoplitinae (Hemihoplites,
240	Camereiceras, Pachyhemihoplites) and Peirescinae (Spinocrioceras Kemper, 1973,
241	Peirescites Bert et al., 2006) systematically have a differentiated ornamentation with
242	trituberculate main ribs, which is never the case for Lenicostites gen. nov. However in this
243	context, Lenicostites gen. nov. recalls the adult morphology (stage with smooth ribs, only
244	present on body chamber) of the Pachyhemihoplites and Camereiceras microconchs [see for
245	example the microconch morphology janus Thieuloy, 1979 of Pachyhemihoplites gherti

246	(Sarkar, 1955), Camereciceras marchandi, or C. limentinus Thieuloy, 1979 - Fig. 5B-C]. In
247	all cases, the ribs tendency is to retroversion in Lenicostites gen. nov., and in contrast to the
248	forward projection in Hemihoplitinae.
249	Compared with Lenicostites gen. nov., the Gassendiceratinae Gassendiceras and
250	Pseudoshasticrioceras Delanoy, 1998 are large sized heteromorphs with widely-differentiated
251	ribs. Generally, one does not recognize in Lenicostites gen. nov. the ontogenetic stages, or
252	their derivatives, common in all Hemihoplitidae (the Heberti, Barremense, etc., stages; see
253	Bert et al., 2006, 2010 and 2013). Conversely to Lenicostites gen. nov., trituberculate ribs
254	(prolonged by spines) are strongly present in the Gassendicaratinae.
255	Apart from Hemihoplitidae, some robust Martelites Conte, 1989 have a morphology close to
256	Lenicostites gen. nov. In addition to a much more recent age (Martelites sarasini Subzone),
257	the genus Martelites Conte, 1989 (Heteroceratidae Spath, 1922) shows young helical whorls,
258	which is never the case in Lenicostites gen. nov., and a relationship between these two genera
259	is therefore totally excluded.
260	Patagonian ammonites classified as Hemihoplites (H. ploszkiewiczi Riccardi and Aguirre-
261	Urreta, 1989, H. varicostatus Riccardi and Aguirre-Urreta, 1989 and H. feraudianus Aguirre-
262	Urreta, 2002 non d'Orbigny, 1841 – here <i>Homeomorphites</i> gen. nov., see below chapter 5.8)
263	by Riccardi and Aguirre-Urreta (1989) and Aguirre-Urreta (2002), are morphologically close
264	to Lenicostites rusticus gen. nov. because of the lack of tubercles and their general appearance
265	(coiling). However, their ornamentation is different because of the numerous bifurcations of
266	the ribs, their flexuous appearance from the inner whorls of the shell, the higher presence of
267	intercalary ribs, and what looks like to rare reinforced ribs, low constrictions, or even 'zigzag'
268	stages on the ventral area (perisphinctoid characters). The whorl section in Homeomorphites
269	gen. nov. is also different with more angulous peri-umbilical and peri-ventral margins. The
270	adult size is larger in the upper Barremian species Homeomorphites aguirreurretae gen. nov.

- et sp. nov., which have a different ontogenetic development with the presence of a smooth
- adult stage, absent in Lenicostites rusticus gen. nov. Finally, in the oldest species of this
- group, *Homeomorphites ploszkiewiczi* gen. nov., the ornamentation is much denser than in *L*.
- 274 rusticus gen. nov.

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- 276 Lenicostites rusticus (Vermeulen, 1996) gen. nov.
- 277 Figs. 2, 3
- v 1996 *Hemihoplites rusticus* sp. nov.; Vermeulen: p. 67–68; pl. 3, figs. 2–5.
- v 1998a *Hemihoplites rusticus* Vermeulen, 1996; Vermeulen: pl. 4, figs. 7–8.
- 280 Holotype. Specimen No. 4141351 of the J. Vermeulen's collection (see Vermeulen, 1996, pl.
- 3, fig. 2–5, refigured here in Fig. 2A).
- 282 Type locality. The Saint-Martin ravine, near Escragnolles (crop-section VM Alpes-
- 283 Maritimes, southeastern France).
- 284 Type horizon. Bed VM/20 of the type section, which also delivers many small Barremites.
- 285 The bed 19 delivered Toxancyloceras vandenheckei (Astier, 1851) and T. bailense
- 286 (Vermeulen, 1996). In the same area, an equivalent bed also delivered Gassendiceras
- 287 multicostatum (Sarkar, 1955) and G. alpinum (d'Orbigny, 1850) (Bert et al., 2013, 2018).
- These faunas indicate the lower half of the *T. vandenheckei* Zone (*T. vandenheckei* Subzone
- and G. alpinum Horizon at the base of the G. alpinum Subzone). The bed 21a delivered some
- 290 Gassendiceras quelquejeui Bert et al. 2006 (unpublished data) of the top of the G. alpinum
- Subzone. Thus, the bed 20 is constrained in the non-terminal upper part of the G. alpinum
- Subzone. This bed corresponds to the level 108 of the Majastre crop-section described by Bert
- 293 (2009).
- 294 *Geographic occurrence*. The same as the genus.
- 295 *Stratigraphic occurrence*. The same as the genus.

Material studied (N=8). Four specimens are from the Barremian stratotype area in the 296 Vocontian Basin (Alpes de Haute-Provence, southeastern France), from bed A\*/149-3 (No. 297 RNNGHP.DBT.04007-A\*/149-3.AX95 and RNNGHP.DBT.04007-A\*/149-3.BA54 - Fig. 298 2E) and A'/149-4 (No. RNNGHP.DBT.04007-A'/149-4.AJ49 - Fig. 2B - and 299 RNNGHP.DBT.04007-A'/149-4.BA53). 300 Three specimens are from the platform borders in the Arc de Castellane (Alpes de Haute-301 Provence and Alpes-Maritimes, southeastern France): from the 'Camereiceras limentinus 302 beds' of section MAN near Rougon (No. RNNGHP.DBT.04171-MAN.BB34 - Fig. 2C), and 303 from bed 99 of the section TAI near Vence (No. RNNGHP.SBC.06050-TAI/99.TAI153 -304 Fig. 3 – and RNNGHP.SBC.06050-TAI/99.TAI155 – Fig. 2D). In addition, the holotype (No. 305 4141351 of the J. Vermeulen's collection) was also examined; it is here refigured (Fig. 2A). 306 Measurements. Only one specimen is complete enough to be measured (mm). It is compared 307 308 with the holotype (see Vermeulen, 1996). D is the maximum measured diameter, Dph is the diameter of the phragmocone, H, W and U are respectively the height and width of the whorl 309 310 and the diameter of the umbilicus.

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**Table 1.** Measurments of the two most complete specimens.

N° specimens	D	Dph	Н	W	U	H/D	W/D	U/D	W/H
RNNGHP.SBC.06050-	140.00	118	53.72	47.76	56.48	0.38	0.34	0.40	0.89
TAI/99.TAI153 Fig. 3	107.40		37.40	43.20	40.10	0.35	0.40	0.37	1.16
4141351 (holotype –	52.40	?	19.00	18.90	19.60	0.36	0.36	0.37	1.00
Fig. 2A)									

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Description and ontogenesis. The collected specimens are few, but they give a good representation of the species. Specimen No. RNNGHP.SBC.06050-TAI/99.TAI153 (Fig. 3) is interpreted as robust morphology; it is the most complete, probably an adult, with a quarter

whorl of the body chamber preserved. Specimens No. RNNGHP.DBT.04007-A\*/149-3.AX95, RNNGHP.DBT.04007-A\*/149-3.BA54 (slender morphology – Fig. 2E), RNNGHP.DBT.04007-A'/149-4.BA53, RNNGHP.SBC.06050-TAI/99.TAI155 (Fig. 2D) and RNNGHP.DBT.04171-MAN.BB34 (robust morphology – Fig. 2C) are fragments of inner whorls. Finally, specimen No. RNNGHP.DBT.04007-A'/149-4.AJ49 (Fig. 2B) is a two-part fragmented half-whorl of an adult body chamber at a maximal extrapolated diameter of D=120 mm. The shell is plan-spiraled with slightly covering whorls. The whorl section is in all cases rounded and changes during growth, which makes it possible to distinguish two ontogenetic stages:

- (1) The inner and middle whorls, up to a diameter of about D=80 mm. At this point, the whorl section is thick, sub-circular with very convex flanks. The umbilical wall is very abrupt and connects to the flanks by an angular margin, blunted towards the end of the stage. On the other hand, the periventral margin is very rounded and the flanks progressively move towards the rounded venter. The ornamentation consists of ribs, mostly simple, with the presence of thinner intercalated ribs on robust morphology (No. RNNGHP.SBC.06050-TAI/99.TAI153, Fig. 3, and RNNGHP.DBT.04171-MAN.BB34 Fig. 2C), while they are almost absent otherwise. The ribs are thick, almost uniformly but slightly wide on the flanks, with a relatively radial to slightly retroverted pattern, without forming any inflection on the ventral area, where they are a little wider. However, they present inconspicuous enlargements at the base and the top of the flanks, but which cannot be described as bulges or never form a tubercle. There are no constrictions. At the end of this stage, the ornamentation becomes barely sinuous on the flanks and the ribs widen strongly from their upper third.
- (2) The outer whorl, known from the diameter of D=100 mm, corresponds to the end of the phragmocone and the body chamber. On this part of the shell, the whorl section is modified by increasing the height of the flanks (reduction of the value W/H), to become

subquadratic rounded. The periventral margin remains very rounded and progressive while
the flanks flatten. The ribs are enlarged from the upper third of the flanks and on the ventral
area while they are weakened on the rest of the shell. The intercalated ribs are very rare from
the mid-flank, even in the robust form, and do not seem to connect to the main ribs. Any
evidence of reinforcement on the ribs has disappeared, with the exception of an increasingly
pronounced shoulder during growth on the periventral margin (better visible on the robust
morphology).
The number of specimens is too low to quantify validly the intraspecific variation under a
stastistical approach. However, the variation observed between robust and gracile
morphologies seems here to concern only the strength of the ornamentation and the presence
of intercalated ribs. Considering the general laws of intraspecific variation in ammonites (see
Bert, 2013, 2014), this isolated character is not taken here to be the result of a species
differentiation.

- 355 The suture lines could not be studied.
- *Discussion*. The same as the genus.

## 5. Critical review of the taxa classified as Hemihoplitinae

In the literature, many occurrences of Hemihoplitinae have been reported all over the world in a large stratigraphic range (Fig. 6 – Valanginian to Aptian, see references in Klein et al., 2007), some of them being contradictory with the evolutionary history of the group. Thus, a critical review is essential to understand the geographic and stratigraphic distribution of the group, which appears in all probability in the late Tethyan Barremian (Bert and Bersac, 2014).

366	5.1. The 'Hemihoplitinae' of Eastern Europe (Bulgaria) and Central Asia (Caucasus and
367	Turkmenistan)
368	
369	Matheronites khwamliensis Rouchadzé, 1933 is reported from the locality of Lakhépa
370	(Georgia) together with upper Barremian faunas. It is highly probable that this taxon belongs
371	to the genus Hemihoplites (of which Matheronites Renngarten, 1926 is a junior synonym, see
372	Klein et al., 2007) that seems to confirm the depiction of Kotetishvili (1970) and the
373	stratigraphic distributions given by Kotetishvili et al. (2005, p. 417). In this case, its
374	morphology with two peri-umbilical and periventral tubercles brings it closer to H.
375	feraudianus with which the synonymy is proposed here.
376	
377	Matheronites ukensis Dimitrova, 1967 from the upper Barremian of Bulgaria was classified in
378	the genus Camereiceras by Delanoy (1990a), followed by Klein et al. (2007). In our view,
379	this taxon, based on an incomplete type-specimen without the preserved inner whorls, is to be
380	attached to the genus Martelites (Heteroceratidae), of which it represents a robust
381	morphotype. This view is supported by the shape of the ribs and the high presence of
382	bifurcations at the top of the flanks at the pre-adult stage, but also by the change of
383	ornamentation at the adult stage with the acquisition of a majority of simple ribs progressively
384	more spaced (compare Delanoy, 1997, pl. 51, fig. 1).
385	
386	The type specimen of Acanthoceras ridzewskyi Karakasch, 1897 is quite small and
387	morphologically resembles the Heberti stage known in most Hemihoplitidae (see Bert et al.,
388	2013), with ribs all identical bearing ventro-lateral and lateral tubercles. However, and
389	contrariwise to what is known in Hemihoplitidae, the umbilical tubercles are lacking here and
390	the lateral tubercles are high on the flanks. When introduced, A. ridzewskyi Karakasch, 1897

was quoted with aptian faunas such as Hoplites deshayesi d'Orbigny, 1841, Acanthoceras martini d'Orbigny, 1841, Acanthoceras cornuelianum d'Orbigny, 1841, Aspidoceras royerianum d'Orbigny, 1841 and Costidiscus recticostatus d'Orbigny, 1841 in the sandy glauconitic marlstones near the village of Detich (Caucasus). More recently A. ridzewskyi was most precisely assigned to the *Turkmeniceras turkmenicum* Zone in the uppermost Barremian (Bogdanova and Prozorovski, 1999), and was considered to be a probable Hemihoplitidae (see Klein et al., 2007, p. 215–216). The lack of umbilical tubercles and the top Barremian stratigraphical assignation lead us to exclude A. ridzewskyi from Hemihoplitidae. In our opinion, the only comparable other taxon known in the uppermost Barremian with such morphology in the innermost whorls is *Pseudocrioceras* Spath, 1924a (both taxa are assumed to be contemporaneous by Kakabadze and Kotetishvili, 1995, p. 108). Description or figuration of innermost whorls of *Pseudocrioceras* are quite rare in the literature: the coiling could be crioconic or with contigous whorls, the lateral tubercles are high on the flanks and the umbilical tubercles could be very attenuated or absent (Kakabadze and Thieuloy, 1991, p. 90), just as in A. ridzewskyi. More exploration of this hypothesis is prevented by the very small size of the type specimen of A. ridzewskyi; thus, we tentatively propose this taxon in open nomenclature in the vicinity of the genus *Pseudocrioceras* (=*P.*? *ridzewskyi*).

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Matheronites brevicostatus Bogdanova, 1971 was assigned to the genus Hemihoplites by Riccardi and Aguirre-Urreta (1989, p. 451), followed by Klein et al. (2007, p. 214). Despite of comparable suture line of ELUI type (compare Bogdanova, 1971, fig. 2 and Wiedmann, 1966, fig. 35), its stratigraphic assignation to the *Turkmeniceras turkmenicum* Zone (uppermost Barremian – Bogdanova and Prozorovski, 1999) and its morphology with innermost rounded whorls, ornamentation with very flexuous ribs (especially in inner whorls) bearing a single row of marginal tubercles, intercalatory ribs present since the innermost whorls and most

416	often only present on the venter (there are no intercalatory ribs in the trituberculate
417	Hemihoplitidae's Heberti stage), discard M. brevicostatus from the Hemihoplitidae.
418	
419	Matheronites turkmenicus Luppov, 1936, figured only once by Luppov (1936, p. 122, pl. 1,
420	fig. 1-3), was likened as well to Hemihoplites feraudianus by Riccardi and Aguirre-Urreta
421	(1989, p. 451, 456), and later accepted in the genus Hemihoplites by Bogdanova and
422	Prozorovsky (1999, p. 50) and Klein et al. (2007, p. 217). Its morphology seems very close to
423	M. brevicostatus, and for the same reason we discard M. turkmenicus from the
424	Hemihoplitidae. Pending more data about these two taxa, we left them in open nomenclature.
425	
426	5.2. The boreal 'Hemihoplitinae'
427	
428	Ancyloceras brevispina Von Koenen, 1902 and Ancyloceras trispinosum (Von Koenen, 1902
429	non Kakabadze, 1981) where assigned to the genus Hemihoplites by Kakabadze (1981),
430	followed with doubt by Klein et al. (2007). In our view, these taxa are close to the
431	Parancyloceras of the P. aegoceras (Von Koenen, 1902) and P. bidentatum (Von Koenen,
432	1902) group from the P. bidentatum Zone (Boreal uppermost Barremian) because of their
433	very particular morphology (paucituberculate in <i>P. brevispina</i> and with weaker tubercles in <i>P.</i>
434	trispinosum). Consequently, they are assigned here to the boreal genus Parancyloceras
435	(Paracrioceratidae Bert and Bersac, 2014).
436	
437	5.3. The case of the Patagonian 'Hemihoplites'
438	
439	The morphology of the Argentinian 'Hemihoplites' (here Homeomorphites gen. nov., see
440	below chapter 5.8), very close to <i>H. feraudianus</i> , as well as the report of this last taxon in a

convincing local evolutionary context [H. ploszkiewiczi $\rightarrow$ H. varicostatus $\rightarrow$ H. feraudianus
(here Ho. aguirreurretae gen. nov et sp. nov.)], led Aguirre-Urreta (2002) to consider an
evolutionary and migratory history of the Hemihoplitidae from South America to Europe
(Aguirre-Urreta, 2002), while a certain endemism for the Austral Basin was usually assumed
(see for example Leanza and Wiedmann, 1980). However, Delanoy had proposed (1990b) an
origin of the Tethyan Hemihoplites feraudianus from older tuberculate European forms, but
without further details. More recently, an origin of Hemihoplites among Camereiceras has
been considered with an older rooting among Gassendiceras (Vermeulen, 2003; Bert et al.,
2006; Bert, 2012a). Finally, Bert and Bersac (2014) proposed an origin of the Hemihoplitidae
(Gassendiceratinae), supported by a cladistic analysis, among the boreal Paracrioceratidae
considering a migration via the Moroccan platform where intermediates are present (G.
essaouirae Bert and Bersac, 2014). New data collected in southeastern France (work in
progress) assert that the direct ancestor of H. feraudianus is the trituberculate species H.
astarte (previousely reported as H. casanovai in Bert et al., 2008, p. 3), present in the
immediately older levels in the Barremian stratotype area (H. astarte Horizon). This species is
derived from Camereiceras limentinus via H. cornagoae.
All these elements do not support the migratory hypothesis of H. feraudianus from
populations of the Austral Basin, formulated by Aguirre-Urreta (2002), and the
Homeomorphitinae subfam. nov. are here classified into the Neocomitidae (Perisphinctoidea
- see below chapter 5.8. the discussions related to Homeomorphitinae subfam. nov. and
Homeomorphites gen. nov.).

5.4. The other Austral, American and south African 'Hemihoplites'

465	In 1992, Klinger and Kennedy depicted two lower upper Barremian specimens from
466	Zululand, which they compared to Hemihoplites varicostatus and H. ploszkiewiczi (here
467	Homeomorphites gen. nov., see below chapter 5.8) because of their morphological similarity
468	to the Argentinean faunas published by Riccardi and Aguirre-Urreta (1989). Following
469	Kakabaze and Hoedemaeker (2004), it is clear today that these forms are not Hemihoplitidae.
470	Their very different coiling (involute) with a high and compressed section, as well as their
471	particular ornamentation with mid-flanks bifurcations, an almost smooth siphonal band and
472	inflated ribs on the venter, also distances them from Homeomorphites gen. nov. These
473	specimens can rather be compared with the genus <i>Hatchericeras</i> , and especially with <i>H</i> .
474	argentinense Stanton, 1901 (compare Riccardi, 1988, pl. 10, fig. 1-2 and Leanza, 1970, pl.
475	39), which have very thin ribbing, similar to the specimens from Zululand; the suture line
476	shows also a great resemblance (compare Stanton, 1901, pl. 9 with Klinger and Kennedy,
477	1992, fig. 37).
478	
479	Due to its very particular morphology with a very narrow umbilicus, Hemihoplites?
480	mexicanus, Imlay 1940, from upper Valanginian (Fig. 6 – upper member of the Taraises
481	Formation, see Barragán and González-Arreola, 2009 and references), superficially recalls the
482	faunas of south Africa figured by Klinger and Kennedy (1992) under Hemihoplites sp. cf.
483	ploszkiewiczi and H. sp. cf. varicostatus (here Hatchericeras argentinense). Pending more
484	data, H.? mexicanus is left in open nomenclature.
485	
486	In his PhD thesis, Cantú Chapa (1963) reported the presence of <i>Hemihoplites</i> cf. feraudianus
487	(pl. 3, fig. 6) in the eastern Mexico (Mexico-Tuxpan Road, km 216.7). Its very partial
488	preservation does not make it possible to form an opinion, but its Barremian attribution seems

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490	the other hand, the variation in rib spacing visible on the last whorl, and the importance of the
491	interrib spaces in relation to the width of the ribs, is not a character known in <i>Hemihoplites</i> .
492	
493	This is the same with <i>Hemihoplites</i> sp. A reported by Myczynski (1977, pl. 6, fig. 5) in the
494	Polier Formation of Cuba (El Herete, Sierra del Rosario), which bears 3-4 constrictions per
495	whorl in the inner whorls, and a change in ornamentation in the last whorl with denser and
496	prorsiradiate ribs bifurcated close to the ventral margin. Its uppermost Hauterivian attribution
497	is assumed by the presence of <i>Pseudothurmannia</i> sp. in the same layer (not figured), while
498	some Barremian specimens attributed to Karsteniceras sp. are also reported. Pending new
499	data, these Mexican and Cuban specimens are left in open nomenclature.
500	
501	Hemihoplites? popenoi Murphy, 1975, from the Shasticrioceras patricki Zone, is a
502	Shasticrioceras Anderson, 1938 (here Shasticrioceratidae nov. fam., see below chapter 5.8)
503	related species, unrelated to Hemihoplitidae. The S. patricki Zone has also delivered
504	Kotetishvilia cf. compressissima (d'Orbigny, 1841), index species for the middle lower
505	Barremian (Fig. 6). It is not shocking to bring <i>H.? popenoi</i> close to the genus <i>Shasticrioceras</i>
506	which contains some taxa with a thick or very thick whorl section [e.g. Shasticrioceras
507	inflatum Anderson, 1938, Shasticrioceras wintunius (Anderson, 1938)].
508	
509	Hemihoplites (Matheronites) ridzewskii sachicaensis Kakabadze and Hoedemaeker, 2004 was
510	introduced on the basis of a single Colombian specimen of very small size. This specimen has
511	a morphology very close to the type specimen of Pseudocrioceras? ridzewskii, and for the
512	same reasons (see above chapter 5.1) we propose the same assignation in open nomenclature

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in the vicinity of the genus Pseudocrioceras.

A unique specimen of *Hemihoplites* sp. has been reported in Antarctica by Thomson (1974). It was collected in screes in a Keystone Cliff crop section, which stratigraphic attribution is uncertain, perhaps Aptian due to the presence of *Antarcticoceras* in the same formation (Thomson 1974, p. 39), or even upper Barremian following the opinion of Mourgues (2007) based on the distribution of the *Sanmartinoceras* Bonarelli and Nágera, 1921 species. Its thin ribs, closely spaced and projected towards the front of the shell from the periventral area are not characters of *Hemihoplites*; thus, pending more data this specimen is left in open nomenclature.

'Hemihoplites' perezi Mourgues, 2007 (nomen nudum) was first attributed to the genus Antarcticoceras (sensu Mourgues, 2007) as the last representative of a possible evolutionary lineage ['Crioceratites' ttofurus Mourgues, 2007 nomen nudum → Antarcticoceras domeykanum → 'Antarcticoceras' perezi nomen nudum]. In a second time, this species was assigned to the genus Hemihoplites without any explanation by Aguirre-Urreta et al. (2007a), but the idea of this possible affiliation was already present during the introduction of the taxon (Mourgues, 2007, pp. 240–241, 246). 'H.' perezi nomen nudum, with its lower Aptian assignation (Fig. 6) and very particular ornamentation with two closely spaced rows of periventral tubercles (Mourgues, 2007, p.75), clearly does not belong to Hemihoplitidae. On the other hand, its belonging to the genus Antarcticoceras (here Shasticrioceratidae fam. nov., see below chapter 5.8) is credible and deserves to be considered. It should be noted that the taxa introduced by Mourgues in his unpublished PhD (2007) are all nomen nudum for this reason. The publication announced by Aguirre-Urreta et al. (2007a, p. 158) to regulate this taxonomic situation is, to our knowledge, still unpublished to date: it now appears to be highly desirable.

540	The fragmentary and certainly teratological specimen of Crioceratites (Hemihoplites) n. sp.
541	ex aff. C. (H.) soulieri (Matheron, 1878), figured by Jeletzky (1970) from the Barremian of
542	British Columbia (Canada, North Pacific Realm), is morphologically closer to the genus
543	Colombiceras Spath, 1923 than to Hemihoplitidae. This specimen is left in open
544	nomenclature pending new data.
545	
546	5.5. The Indonesian 'Hemihoplites'
547	
548	In the description of lower Cretaceous ammonites from Western New Guinea (Irian Jaya,
549	Indonesia), Skwarko and Thieuloy (1989) introduced the species H. taminabuanensis,
550	attributed to the genus Hemihoplites, from a high energy level (presence of glauconite and
551	detrital quartz in the deposit) attemptedly assigned to the Barremian (p. 28). Indeed,
552	Hemihoplites taminabuanensis Skwarko and Thieuloy, 1989 (and also H. sp.) shows very
553	strong morphological affinities with the genus $Hemihoplites$ , and especially with $H$ .
554	feraudianus. However, the presence of very thin secondary riblets in the indonesian
555	specimens, sometimes joining the primary ribs at midflanks to make a fibula pattern (see
556	Skwarko and Thieuloy, 1989, pl. 4, fig. 3), is not an hemihoplitid character. Thus, pending
557	more complete specimens and data about their age, the indonesian 'Hemihoplites' are left in
558	open nomenclature.
559	
560	5.6. The Indian genus Pascoeites Spath, 1933
561	
562	Pascoeites Spath, 1933 (see Spath, 1933, p. 827, pl. CXXVI, fig. 5, 7, 12), of which the
563	stratigraphic position was long unclear, was included with doubt in Hemihoplitidae by Wright
564	(in Arkell et al., 1957). This position has since been followed by the authors, but without

further clarification (Klein et al., 2007), particularly because of the uncertain age of the specimens studied by Spath (P. budavadensis Spath, 1933 and P. crassus Spath, 1933), which come from white shales with plants (Raghavpuram Shales Formation) from the Eastern peninsular India (Budavada village, Nellore District, in the Cauvery Basin). The age attributed by Spath ('probable Barremian') is essentially based on the presence of very badly preserved and crushed fossils and attributed by him to Holcodisciidae [Holcodiscus cf. perezianus, H. cf. caillaudianus (d'Orbigny, 1850), Gymnoplites simplex Spath, 1933], and Hoplites cf. borowae Uhlig, 1883, H. cf. beskidensis Uhlig, 1883, H. codazzianus (Karsten, 1886) and Lytoceras sp. cf. vogdti Karakasch, 1907. Traditionally, the Raghavapuram Shales Formation is between the Golapille sandstones below and the Tirupati (Tripetty) sandstones above (Pandey and Dave, 1998). The Barremian/Aptian boundary known in Kachchh (between Sivaganga Formation, Ghuneri Member and Dalmiapuram Formation, Ukra Member) can be extended to the Cauvery Basin between the Golapille Formation and the Raghavapuram Formation, which implies that the overlying Raghavapuram Formation would be Aptian (S. Jain, pers. comm.). And thus, the genus *Pascoeites* is not Barremian but Aptian (Fig. 6) unrelated to the Hemihoplitidae; it is left in open nomenclature awaiting more data.

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## 5.7. Analysis of the critical review

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The 'hemihoplitid-like' morphology is characterized by a platiconic evolute shell with moderately overlapping whorls, and subrectangular whorl section (higher than thick) with a moderately rapid whorl growth. Such shell morphology takes place in the middle of the 3D pyramidal representation of the W-D-S morphospace, based on the classical Raup parameters (Raup, 1966, 1967; Tendler et al., 2015, fig. 7B). The ornamentation is at first well marked with straight or slightly sinuous ribs, often bifurcated; later the shell becomes smooth (Fig.

590	4A). This simplified morphology appears to be rather widespread, especially in view of the
591	long list of taxa that have been assimilated to Hemihoplitidae in the literature, but which
592	actually belong to other groups. This morphology is iterative at Valanginian, Hauterivian,
593	Barremian and Aptian, and several taxa without any phyletic linkage, including outside
594	Western Tethys, can develop it by convergence (homeomorphy).
595	Beside the cases of homeomorphy mentioned above (chapters 5.1-5.6), one could also
596	mention the example of some Martelites of the latemost Barremian (compare Baudouin et al.,
597	2012, pl. 14, fig. 1 and Delanoy, 1992, pl. 37, fig. 1, with Delanoy, 1994, pl. 6, fig. 5). The
598	resemblance is even more striking with the early Hauterivian genus Theodorites from Crimea
599	(here assigned to the Neocomitidae, Endemoceratinae) by its general appearance and its
600	ornamentation close to <i>H. feraudianus</i> (compare Baraboshkin and Mikhailova, 2006, fig. 2b
601	and c, with Delanoy, 1990b, fig. 4 and 2 respectively), and its ventral area very similar to that
602	of Camereiceras limentinus (compare Baraboshkin and Mikhailova, 2006, fig. 2a, with Bert et
603	al., 2010, fig. 2b).
604	All these convergent taxa that could be attributed to Hemihoplitinae for morphological
605	reasons by the authors must now be excluded from this family, which leads to drastically
606	review the palaeogeographical distribution of this group. Until proven otherwise, there is no
607	Hemihoplitinae outside the northern and western margins of the Tethyan Realm (including its
608	Caucasian margin to the east, and the Essaouira Basin in Morocco at the limit of the Proto
609	Atlantic Ocean to the west).
610	

5.8. Systematic implications

As seen above in the critical review (chapter 5.7), some taxa excluded from the Hemihoplitidae have now to be reassigned; they are the Homeomorphitinae subfam. nov. gen.

nov. (with Homeomorphites aguirreurretae gen. nov. et sp. nov. [=Hemihoplites feraudianus 615 in Aguirre-Urreta, 2002]) and the Shasticrioceratidae fam. nov. (with the lineage made by 616 Shasticrioceras and Antarcticoceras). 617 618 Superfamily: Perisphinctoidea Steinmann, 1890 619 Familly: Neocomitidae Salfeld, 1921 620 621 Subfamily: Homeomorphitinae subfam. nov. Type genus. Homeomorphites gen. nov. 622 Generic content. In the current state of knowledge, this subfamilly is monotypic. 623 Stratigraphic and geographical occurrence. The Homeomorphitinae subfam. nov. are 624 restricted to southern hemisphere, especially the Austral Basin (South Patagonia, Argentina 625 and Chile - Fig. 7). Homeomorphites gen. nov. spans from lower Hauterivian to upper 626 627 Barremian (see Riccardi and Aguirre-Urreta, 1989; Aguirre-Urreta, 2002). Discussion. The succession of species previously assigned to the genus Hemihoplites by 628 Riccardi and Aguirre-Urreta (1989) and Aguirre-Urreta (2002), here Homeomorphites gen. 629 nov., represents a coherent phyletic lineage both in terms of shared morphology and also 630 stratigraphically as the species show a relatively continuous succession. 631 The Homeomorphitinae subfam. nov. do not have the ontogenic stages common to all the 632 Hemihoplitidae (see Bert et al., 2013). More, several characters conjointly present, even 633 sometimes episodic, in *Homeomorphites* gen. nov. (absence of tubercle or bulging of ribs; 634 spacing of ribs before smooth stage; episodic presence of reinforced ribs and what looks like 635 constrictions in some specimens; 'zigzag' stage; sutural formula with more than one umbilical 636 lobe U of ELU<sub>2</sub>U<sub>3</sub>I type – clearly visible in Riccardi and Aguirre-Urreta, 1989, text-fig. 4a, 6) 637 definitely discard the Homeomorphitinae subfam. nov. from the Hemihoplitidae, and more 638 widely from the Ancyloceratoidea. Actually, these features remember 'perisphinctid' 639

640	assemblage of characters. Of course, each of these characters taken separately is not exclusive
641	of Perisphinctoidea Steinmann, 1890, but there combination allow to consider the
642	Homeomorphitinae subfam. nov. as the ultimate representatives of the Perisphinctoidea, in the
643	vicinity of the subfamilies Neocomitinae Salfeld, 1921 and Endemoceratinae Schindewolf,
644	1966 (Neocomitidae Salfeld, 1921).
645	Compared to the Endemoceratinae Lyticoceras Hyatt, 1900 and Endemoceras Thiermann,
646	1964, the suture line is simpler with a trifid narrow and very weakly assymetrical lateral lobe
647	L, whereas it is broad and strongly asymmetric in Endemoceratinae. The section is wider and
648	the ornamentation is usually coarser without periventral tuberculation.
649	The Homeomorphitinae subfam. nov. are morphologically close to the genus Hatchericeras,
650	which was usually classified in the Neocomitinae or even with doubts in the Endemoceratinae
651	(see Klein, 2005). However, the involute coiling with a relatively hight section and narrow
652	umbilicus, clearly distances <i>Hatchericeras</i> from the Homeomorphitinae subfam. nov.
653	The Neocomitinae are really diversified in shell morphology (large to medium size, involute
654	to evolute or uncoiled morphology) and ornamentation (thin ribs to strong trituberculated ribs
655	/ all identical ribs to differentiated ribs), which makes them hard to effectively and simply
656	compare or differentiate from other comparable groups. However, compared to Neocomitinae
657	(in general), the Homeomorphitinae subfam. nov. show much less rib diversity with no real
658	tubercles or ribs differentiated. Large gerontic Neocomitinae could show change in
659	ornamentention, to very strong ribs, which is not the case in Homeomorphitinae subfam. nov.
660	On the other hand, in the same way as with Hemihoplitinae and for identical reasons, the
661	resemblance of Homeomorphites gen. nov. with Theodorites Baraboshkin and Michailova,
662	2006 is the result of a morphological convergence. This latter was classified in the
663	Crioceratitinae Gill, 1871 by Baraboshkin and Mikhailova (2006), between the genera
664	Crioceratites Léveillé, 1837 (Crioceratitidae Gill, 1871, Ancyloceratoidea) and Lyticoceras

Hyatt, 1900 (Endemoceratinae), for which Baraboshkin and Mikhailova (2006) assumed a 665 phyletic link. Such phyletic link could question the Lyticoceras as Endemoceratinae, which 666 are deemed to be linked with the Neocomitidae (Perisphinctoidea). 667 668 Genus Homeomorphites gen. nov. 669 Denomination. Because of the phenomenon of homeomorphy, which led to confusion with 670 671 the genus *Hemihoplites*. Type species. Homeomorphites aguirreurretae gen. nov. et sp. nov. (=Hemihoplites 672 feraudianus in Aguirre-Urreta, 2002). 673 Specific content. Homeomorphites aguirreurretae gen. nov. et sp. nov., Ho. ploszkiewiczi 674 (Riccardi and Aguirre-Urreta, 1989) and Ho. varicostatus (Riccardi and Aguirre-Urreta, 675 1989). 676 677 Stratigraphic and geographical occurrence. The genus Homeomorphites gen. nov. is currently only known in the Austral Basin (Fig. 7), eastern border of the southern Patagonian 678 679 Cordillera (Argentina and Chile), in levels spanning lower Hauterivian (Favrella americana Zone) to upper Barremian (Fig. 6 – between the *Hatchericeras patagonense* and *Colchidites*-680 Sanmartinoceras zones – see Riccardi and Aguirre-Urreta, 1989 and Aguirre-Urreta, 2002). 681 Diagnosis. Dimorphic genus with evolute shell and subquadratic to sub-oval whorl section, 682 sometimes more compressed in large macronconchs [M]. Flanks and ventral area usually 683 convex, more or less rounded [M] or flattish [m]. Ornamentation with flexuous ribs, simple or 684 bifurcated near the umbilical margin (inner whorls) or just above the mid-part of the flanks. 685 Intercalary ribs sometimes present. Size of the shell (from medium to large) and ribbing 686 density (from dense to spaced) are different according to the species. On large macroconchs, 687 the ribs disappear progressively in becoming less marked and more spaced and irregular, and 688 the body chamber is smooth. Constrictions and reinforced ribs are scarce. Sometimes 'zigzag' 689

690	ribs can occur on the ventral area. Suture formula of $ELU_2U_3I$ type, with more or less
691	asymmetric trifid L.
692	Discussion. Homeomorphites gen. nov. shows dimorphism and a general morphology and
693	shell size very close to the Hemihoplitinae, especially to Hemihoplites feraudianus
694	(d'Orbigny, 1841). Despite of this, Kakabaze and Hoedemaeker (2004) had previously
695	refused to assign the Patagonian species to the genus <i>Hemihoplites</i> (pp. 82-83) as Lehmann et
696	al. (2015, p. 238) did more recently . Actually, <i>Homeomorphites</i> gen. nov. never shows the
697	tubercles systematically present in the Hemihoplitinae, nor their ontogenetic stages (see Bert
698	et al., 2013). Moreover, constrictions, reinforced ribs and 'zigzag' stages (asymmetry of the
699	ornamentation on the ventral area), although rare in Homeomorphites gen. nov., are totally
700	absent from all Hemihoplitidae. The ribs are spaced just before the smooth part of the body
701	chamber, which is never the case in Hemihoplites (Fig. 4). Finally, the sutura formula is of
702	'neocomitid' type, while it is of 'ancyloceratid' type in Hemihoplitidae.
703	Homeomorphites gen. nov. is more evolute, with a much lower height whorl and a thicker
704	whorl section than <i>Hatchericeras</i> . Adult size seems also larger for <i>Hatchericeras</i> .
705	Ornamentation, on the other hand, is quite comparable in flexuosity and orientation of the
706	ribs, but with a higher primary bifurcation point, an earlier smooth stage, and a smoother
707	ventral area in <i>Hatchericeras</i> . The suture line is quite different: it is simple, with a relatively
708	narrow and very weakly asymmetric lateral lobe L in Homeomorphites gen. nov., while it is
709	complex, with a broad, strongly asymmetric lateral lobe L in Hatchericeras.
710	Compared with <i>Theodorites</i> , the ribs are a bit more flexuous in <i>Homeomorphites</i> gen. nov.
711	and are not as attenuated on the ventral area. Theodorites also has periventral tubercles and
712	peri-umbilical bulges, completely absent in Homeomorphites gen. nov.
713	The stratigraphic succession of species of the genus <i>Homeomorphites</i> gen. nov. is:
714	Homeomorphites ploszkiewiczi (Favrella americana Assemblage Zone in the upper lower

Hauterivian according to Aguirre-Urreta et al., 2007b) → Ho. varicostatus (F. wilckensi and 715 716 Hatchericeras patagonense assemblages zones in the upper Hauterivian and lower Barremian)  $\rightarrow$  Ho. aguirreurretae gen. nov. et sp. nov. (non basal upper Barremian). The 717 evolutionary trend of this group has been described by Aguirre-Urreta (2002), who noted an 718 increasing body size and coarser ribbing (ribs increasingly spaced in time). To this, one can 719 add the acquisition of a new ontogenetic stage at the end of growth (smooth stage) by 720 allometric hypermorphosis (heterochrony in the sense of McKinney, 1988). The general 721 morphology of the shell remains remarkably stable during this evolution, and the modification 722 of the ornamentation could be related to the selective drift of the variability of the populations 723 towards more and more robust forms (Aguirre-Urreta, 2002, p 496). 724

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- 726 *Homeomorphites aguirreurretae* gen. nov. et sp. nov.
- 727 2002 Hemihoplites feraudianus (d'Orbigny, 1841); Aguirre-Urreta: text-fig. 4a, pl. 1–2.
- 728 Denomination. This species is dedicated to Pr. María B. Aguirre-Urreta (University of Buenos
- Aires, Argentina), who discovered and described the specimens ascribed to this new species
- 730 (2002).
- 731 Holotype. The type specimen designated is the macroconch No. CPBA 19156 (in Aguirre-
- 732 Urreta, 2002, pl. 1). It is housed in the Cátedra de Paleontología de Buenos Aires, Ciudad
- 733 Universitaria, Pabellón 2, 1428 Buenos Aires, Argentina.
- 734 Type locality. The Río Ghio locality, in the eastern border of the southern Patagonian
- 735 Cordillera (Argentina).
- 736 *Type Level*. The Río Belgrano Formation.
- 737 Stratigraphic and geographical occurrence. The geographical distribution is the same as for
- 738 the genus (Fig. 7). Stratigraphically, Ho. aguirreurretae gen. nov. et sp. nov. is recorded in

739	levels of the upper Barremian, just between the Hatchericeras patagonense and the
740	Colchidites-Sanmartinoceras zones (Fig. 6 – see Aguirre-Urreta, 2002).
741	Diagnosis. Dimorphic species, macroconch [M] of large size (D=210 mm) and microconch
742	[m] reaching D=70-80 mm. Evolute shell with section compressed for [M] or subrectangular
743	for [m]. Umbilical shoulder and flanks rounded [M] or flattish [m]. Ventral area slightly
744	convex. Ornamentation with strong ribs, usually flexuous; they cross the venter without any
745	weakening. Ribs are simple or bifurcated from the umbilical margin (inner whorls) or just
746	below the midflank. On the macroconch, the ribs disappear progressively in becoming less
747	marked and more spaced and irregular; the end of the phragmocone and the body chamber are
748	smooth. Constrictions and reinforced ribs are scarce. Suture with L slightly deeper than E,
749	moderately wide and asymmetrically trifid.
750	Discussion. Morphologically, Homeomorphites aguirreurretae gen. nov. et sp. nov. shows
751	dimorphism and a general morphology and size of the shell very close to Hemihoplites
752	feraudianus (d'Orbigny, 1841). Despite of this, the former lacks the tubercles systematically
753	known in the latter. Moreover, the constrictions and reinforced ribs, albeit rare, are not an
754	hemihoplitid character. Finally, the ribs are spaced just before the smooth part of the body
755	chamber, which is never the case in Hemihoplites feraudianus. These differences are also
756	discriminating against all other species of Hemihoplitinae, with a distinct ontogenic trend [i.e.
757	H. astarte, H. cornagoae, Camereiceras limentinus, C. marchandi, C. breistrofferi, and the
758	representatives of the genus <i>Pachyhemihoplites</i> ].
759	Homeomorphites aguirreurretae gen. nov. et sp. nov. is larger in size than Ho. varicostatus
760	gen. nov. and Ho. ploszkiewiczi gen. nov. The ribbing density is also different as Ho.
761	aguirreurretae gen. nov. et sp. nov. shows more spaced and coarser ribs than the two other
762	species of the genus.

Hatchericeras patagonense Stanton, 1901 has a higher whorl section and a more closed 763 764 umbilicus than Homeomorphites aguirreurretae gen. nov. et sp. nov. Ornamentation, on the other hand, is quite comparable in flexuosity and orientation of the ribs, but with an earlier 765 smooth stage (80-100 mm versus 200 mm in diameter) and a smoother ventral area in 766 Hatchericeras patagonense. The suture line is simpler and quite different with a narrower and 767 more trifid symmetrical lobe L in *Homeomorphites aguirreurretae* gen. nov. et sp. nov. 768 Compared with *Hatchericeras argentinense* Stanton, 1901, *Ho. aguirreurretae* gen. nov. et sp. 769 nov. has a more evolute coiling, a lower whorl height and a different ornamentation: the ribs 770 are stronger, spaced and not attenuated on the ventral area. 771 Compared with Theodorites theodori Baraboshkin and Michailova, 2006 and Th. drushitsi 772 Baraboshkin and Michailova, 2006, the ribs are a little more flexuous in Homeomorphites 773 aguirreurretae gen. nov. et sp. nov. and are not as attenuated on the venter. Both species of 774 775 Theodorites also have periventral tubercles and peri-umbilical bulges, completely absent in Homeomorphites aguirreurretae gen. nov. et sp. nov. 776 777 Family: Shasticrioceratidae fam. nov. 778 Type genus. Shasticrioceras Anderson, 1938. 779 780 Generic content. Shasticrioceras Anderson, 1938 (and its synonym Backraceras Mourgues, 2007 nomen nudum) and Antarcticoceras Thomson, 1974. 781 Stratigraphic and geographical occurrence. The Shasticrioceratidae fam. nov. have a circum 782 Pacific repartition from Arctic Canada to California (western USA) and to Chile and Japan 783 (Fig. 7). The family is also known in the Austral Basin in Antarctica. Shasticrioceras occurs 784 in lower Barremian (Chile) and lower and upper Barremian-lowermost Aptian (California). 785 Antarcticoceras occurs in upper Barremian and lower Aptian (see Mourgues, 2007, p. 162 – 786 Fig. 6). 787

788	Discussion. The genus Shasticrioceras was originally classified in Hemihoplitidae (Anderson,
789	1938; Murphy, 1975) and more recently in the 'catch-all' family Megacrioceratidae
790	Vermeulen, 2006 (see Klein et al., 2007), but its phyletic relationships have never been very
791	clearly established to support these propositions [note that in our point of view, the
792	Megacrioceratidae are a probable synonym of the family Hamulinidae Gill, 1871 because of
793	the very close relationships between Megacrioceras doublieri (Jaubert, 1856) and the genus
794	Hamulina d'Orbigny, 1850]. The genus Shasticrioceras has long been known in the lower and
795	upper North American Barremian (Murphy, 1975) and Japan (Matsukawa and Obata, 1993).
796	Mourgues (2007) reported it more recently in Chile.
797	Antarcticoceras was originally left in open nomenclature by Thomson (1974), then was
798	placed with doubt in the Ancyloceratidae by Klein et al. (2007). This genus was assumed
799	Aptian by Thomson (1974), but would rather be upper Barremian according to Mourgues
800	(2007).
801	In the constrained geographic context of the Chañarcillo Basin (Chile), besides the fact that
802	the coiling and the shape of the ribs between Shasticrioceras and Antarcticoceras are very
803	close with a very particular morphology of the ventral area whose flat ribs are framed by a
804	peri-ventral punctiform tubercle, a phyletic link between both these two genera can be
805	established with the simple acquisition, very early in ontogeny, of an additional tubercle at the
806	top of the flanks very close to the perivental tubercle. This hypothesis is also supported by the
807	representation by Murphy (1975, fig. 25) of a Shasticrioceras roddai Murphy, 1975 showing
808	a large (adult?) specimen with lateral and periventral tubercles very close together on the
809	topflanks. In Japan, Matsukawa and Obata (1993) also reported the lower Barremian
810	Shasticrioceras intermedium Matsukawa and Obata, 1993 with 2-3 tubercles on the main ribs
811	at adult stage. Finally, the attribution by Mourgues (2007) (1) of the upper Barremian
812	(Antarcticoceras domeycanum Zone) species A. domeycanum (Bayle and Coquand, 1851) to

the genus *Antarcticoceras* (previously ?*Parancyloceras* Spath, 1924a in Klein et al., 2007), and (2) the description of *A. perezi* Mourgues, 2007 *nomen nudum* (later assigned to the genus *Hemihoplites*) at the base of the lower Aptian ('*Hemihoplites*' *perezi* Zone) with tubercles very close together in the periventral and topflank areas, typical of the *Antarcticoceras*, makes possible to fill the stratigraphic and morphological hiatus with the genus *Shasticrioceras* and to classify them together within the same family: the Shasticrioceratidae fam. nov.

## 6. Discussion about the phyletic position of Lenicostites gen. nov.

Lenicostites gen. nov. has a morphology close to Hemihoplitinae, however it departs from it by ontogeny and a number of characters (see above chapter 4). Is this morphological proximity a reflection of a phyletic link, or does it only reflect homeomorphy just as the above examples? Several hypotheses have to be considered.

*6.1. Hypothesis (1):* Lenicostites *gen. nov. as an ancestor of the Hemihoplitinae or an*828 *offshoot of* Gassendiceras

In the hypothesis where *Lenicostites* gen. nov. were the ancestor of the Hemihoplitinae, given their stratigraphic appearance, they would derive directly from the first *Gassendiceras* cf. *essaouirae* present on the northwestern Tethyan margin, to make the link next with *Camereiceras breistrofferi*, which is the oldest known *Camereiceras* at the top of the *Gassendiceras alpinum* Subzone. On the other hand, if *Lenicostites* gen. nov. were an offshoot of the Tethyan Gassendiceratinae, they would have at least a common ancestor: the only possible candidate is the top-early Barremian Moroccan *G. essaouirae*. These hypotheses come up against a number of objections concerning (1) ontogenesis and

ornamentation, (2) adult size, (3) coiling, (4) evolutionary rhythms, and (5) stratigraphic coherence:

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- (1) The Hemihoplitidae share a common ontogenetic trajectory and the Hemihoplitinae follow the constant trend initiated by their ancestor Gassendiceratinae. This trajectory is respected in Hemihoplitidae also in case of major evolutive changes such as the reversion of the heterochrony trend that lead to the appearance of *Pseudoshasticrioceras*, and later with the appearance of the helical coiling in *Imerites* Rouchadzé, 1933 (Fig. 1; Bert et al., 2009; Bert, 2014, p. 155–157). In these examples, the Barremense and Camereiceras stages are still present or little modified for mechanical reason (i.e. the presence of the helical coiling). Thus, in the case of Gassendiceras (the ancestors) and Camereiceras (the descendents), both genera have in common the Heberti stage in their innermost whorls, followed by the Barremense and Camereiceras stages (Fig. 5 – see Bert et al., 2006 for the extensive description of these stages). This trend and the associated trituberculate ontogenetic stages are totally absent in the supposed intermediates Lenicostites gen. nov. (Fig. 2-3), which does not fit with the hypothesis of a phyletic link between these genera. Moreover, if we consider the loss and complete subsequent recovery of these ontogenetic stages as a possibility, it would mean accepting an exact characters reversibility through time, which is in total contradiction with the Dollo's rule of the irreversibility of evolution.
- (2) It has been shown (Bert et al., 2013) that the *Gassendiceras* species reach a large size, around or above 350 mm in diameter. It is the same with the macroconchs of *Camereiceras* (D=325 mm for *C. limentinus* in Delanoy, 1990a, pl. 6), including the older species of this genus (D=300 mm for *C. breistrofferi* in Bert, 2014a, fig. 20a). However, this is not the case for *Lenicostites* gen. nov., which is much smaller with a body chamber that starts at around 110 mm in diameter with the ornamental stage change. In mollusks, the size seems more subject to the stabilizing effect of the natural selection than the ornamental

characters (Mayr, 1963), thus in a phyletic relationship hypothesis, it is rather unlikely that *Lenicostites* gen. nov. would break this stability otherwise than being a progenetic micromorph. In such an hypothesis, or if *Lenicostites* gen. nov. were an offshoot of *Gasendiceras*, they would share at least the early Heberti ontogenetic stage with octagonal section and trituberculate ribs known in innermost whorls of every Hemihoplitidae (or a modified version of it), or the strongly tuberculate Barremense stage that immediately succeed it. This is clearly not the case.

- (3) With regard to coiling, the different species of the genus *Gassendiceras* systematically have heteromorphic shells, which is also the case for the older *Camereiceras* (whorls are crioconic non-joined in macroconchs, and tripartite or crioconic in microconchs see Bert and Delanoy, 2000; Bert et al., 2006; Bert, 2012a, 2014a). To be more precise, the evolutionary trend from *Gassendiceras* to *Camereiceras* is towards the progressive loss of heteromorphy, fully achieved in *C. limentinus*. On the contrary, at the same time, in *Lenicostites* gen. nov. the shell is constantly plan-spiral with systematically contiguous whorls, wich discards it from the *Gassendiceras/Camereiceras* coiling trend.
- (4) The evolution of *Lenicostites* gen. nov. appears to be essentially in stasis, with no particular morphological differentiation visible between the oldest and most recent known forms, despite the stratigraphic departure of almost two ammonite subzones. In the assumption that *Lenicostites* gen. nov. would be intermediate between *Gassendiceras* and *Camereiceras*, or an offshoot of *Gassendiceras*, this sudden and transient stasis would be very difficult to explain in comparison with the hight speed of evolutionary changes known in Hemihoplitidae (both the ancestral Gassendiceratinae and the derived Hemihoplitinae), whose succession of species allows to establish successions of biostratigraphic horizons (Fig. 1 see Bert et al., 2008; Bert and Bersac, 2013; Bert, 2014).

- (5) The succession Lenicostites gen. nov. / Camereiceras could be stratigraphically coherent (L. rusticus gen. nov. is still present together with C. limentinus and could, for example, give C. breistrofferi by cladogenesis), but the stratigraphic data available on the northwestern margin of the Tethys show that Lenicostites gen. nov. appear at the same time as their supposed ancestor, Gassendiceras. This observation remains problematic in the context of the hypothesis of an ancestor-descendant relationship. That said, a possible common ancestor for Gassendiceras and Lenicostites gen. nov., or the hypothesis of Lenicostites gen. nov. as an offshoot of Gassendiceras, are not discarded only stratigraphically, but they are mostly ontogenetically (see above point 1): the common ontogenetic development of Hemihoplitidae (Heberti, Barremense, etc. stages) is not only present in the Tethyan late Barremian Gassendiceras, but also in their Moroccan ancestors (Gassendiceras essaouirae) at the top-early Barremian (see Bert and Bersac, 2013), long before the appearance of Lenicostites gen. nov.

In summary, to consider the hypothesis of *Lenicostites* gen. nov. as an ancestor of the Hemihoplitinae would lead to imagine a period of evolutionary stasis separated from two phases of rapid evolutionary changes by two major breaks. The first rupture could only be the result of a very sudden progeny, with abrupt regression of the size and major reconfiguration of the shell, which would lead to the loss of heteromorphy between the Tethyan *G.* cf. *essaouirae*, or Moroccan *G. essaouirae*, and *L. rusticus* gen. nov. Cases of progenesis, sometimes involving extensive restructuring of the organism, have been described (Dommergues et al., 1986, p. 345–348; Landman et al., 1991; Bert, 2004, p. 121–122). On the other hand, they do not explain the total loss of the ontogenetic stages (especially those known in the innermost whorls of every Hemihoplitidae) and the second break between *L. rusticus* gen. nov. and *C. breistrofferi*, with a complete reverse restructuring and the sudden

reappearance of the ancestral ontogenetic stages (Heberti and Barremense stages). This inverse and exact restructuring would be a violation of Dollo's rule of the irreversibility of evolution (Dollo, 1893; Gould, 1970), which goes beyond the simple repeatability or the iterative evolution of certain characters (see for example Bert et al., 2018, p. 182); as such it would be highly unlikely. All the arguments developed above are likely to rule out *Lenicostites* gen. nov. from the Hemihoplitidae.

As stated above (chapters 4, 6.1), it is now clear that *Lenicostites* gen. nov. is not linked with

6.2. Hypothesis (2): Lenicostites gen. nov. as an 'hemihoplitid-like' homeomorph

the Hemihoplitidae: on the one hand, this genus is not at the origin of the *Camereiceras*, which succeed directly to the *Gassendiceras* in the upper part of the *G. alpinum* Subzone by the reduction of the Barremense stage in the inner whorls and by the centripetal development of the Camereiceras stage (Bert et al., 2006, 2013; Bert and Bersac, 2013). And on the other hand, *Lenicostites* gen. nov. is not derived from the *Gassendiceras*, of which it is not an offshoot.

As *Lenicostites* gen. nov. is independent of Hemihoplitidae, it represents a pure case of homeomorphy. In the same way, there is no reason to think that *Lenicostites* gen. nov. may be related, other than by the same phenomenon of homeomorphy, to Homeomorphitinae subfam. nov. or to other groups detailed above (chapter 5), especially because of the important geographical, stratigraphic and phyletic hiatuses, and apomorphies specific to these groups. In the current state of knowledge, *Lenicostites* gen. nov. could be considered as a cryptogenic taxon. It could possibly have a migratory origin (?) given its simultaneous appearance in the south-east of France with *Gassendiceras* under favorable climatic and paleogeographic conditions (Bert and Bersac, 2014).

Reasons for this particular widespread homeomorphism could be linked to the simplified generalist 'hemihoplitid-like' morphology, making it easily reproducible. Considering the Pareto optimality theory applied to ammonites (Tendler et al, 2015), the 3D morphospace occupation of ammonoid shell, based on the Raup's parameters, occurs in a square pyramid with 5 vertices. Each of these extreme point of the Pareto space corresponds to archetype morphology optimized with respect to a single task (constraint). Tendler et al. (2015) have inferred these constraints as hydrodynamic efficiency, shell economy, rapid growth and size (including compactness). As said above (chapter 5.7), the 'hemihoplitid-like' shell morphology takes place in the middle of the pyramid. Such a position fits generalist morphologies, in the zone of maximum equilibrium between the different constraints that govern the construction of ammonite shells, namely a Pareto-optimal solution. It is therefore expected that the morphologies at the equilibrium of the constraints (knowing that this optimum can vary depending on the environment) are the easiest to reproduce and therefore the most often imitated (convergence). As this, such occupation position in the W-D-S morphospace is mainly explained by convergence over Pareto-optimal solutions, rather than only phylogenetic relationships (Tendler et al., 2015, p. 11).

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6.3. Homeomorphy as a result of convergence, parallelism or iterative evolution?

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It is well known that ammonites are a remarkable model for the study of phenotypic evolution, even if only their shell is accessible. This shell secreted by accretion performs the development of structures and morphologies sufficiently diversified to allow the paleontologists not only to recognize the different taxa and evolutionary patterns, but also to try to understand their processes. However, due to its nature and construction, the shell of ammonites necessarily develops sooner or later homeomorphies between different taxa that

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may or may not belong to different lineages and/or at very different times. Examples of homeomorphy in ammonites are numerous and have been the source of many discussions (see for example Kennedy and Cobban, 1976, p. 41–42, Monnet et al., 2015, p. 97 and Walton and Korn, 2017 with references). The consequences of this phenomenon and their perception by the researchers (with all the risks of producing polyphyletic taxa), had already been noted by Kilian in 1910: they can have major implications on phylogenetics, systematics and on the understanding of the evolutionary fact. In this respect, the case of *Lenicostites* gen. nov. is demonstrative of a peculiar ammonite, since it presents a part of the diagnostic characters of Hemihoplitinae but with a discordant stratigraphic distribution, which, without a more indepth study, could have led to some wrong conclusions with respect to the evolution and classification of the whole group (e.g. Vermeulen, 1996, 2005). In a very Darwinian framework, it is expected that the external constraints of abiotic (environmental factors) and biotic (intra- or interspecific competition) factors constrained adaptation of the morphology of the ammonites shell (adaptive selection). It is generally accepted that homeomorphy between two forms could be the result of a morphofunctional adaptation linked to a similar way of life and thus to the occupation of the same ecological niche. The influence of these extrinsic constraints has often been overestimated with respect to internal constraints (construction, development and of course also genetic, but this aspect inaccessible in ammonites can only be deduced), whereas the evolution of organisms is an equilibrium between various mutually interacting processes (see Monnet et al., 2015). Understanding these constraints, and the relationships among them, is necessary to understand the causes of homeomorphy, which can be expressed according to different evolutionary phenomena, either convergence or parallelism (not mutually exclusive). The case of iteration is a little different, and although it is usually considered as a form of convergence, it can

986	sometimes be a mixture of both and in this case be very constrained by intrinsic factors (see
987	below the example of the <i>Gregoryceras</i> Spath, 1924a).
988	Convergence and parallel evolution are a main source of homoplasy, and following Serb and
989	Eernisse (2008) they are distinct concepts (Fig. 8). Convergence appears to be the
990	independent evolution of similar structural or functional components in two or more unrelated
991	lineages. The homeomorphs given by evolutionary convergence do not share a common
992	ancestor and they have evolved convergently to the same morphology, mainly because of
993	adaptation to similar conditions (Fig. 8A – external constraints, see above).
994	In parallel evolution (Fig. 8B-C), two (or more) lineages have similar ancestral phenotype
995	and the descendants have evolved in trajectories towards similar morphology. Parallel
996	evolution assumes that the same common developmental processes are independently
997	involved between the lineages (intrinsic factor), and thus is more frequent in closely related
998	organisms. Parallel evolution would also be subject to natural selection, but obviously when
999	the genome is still close between the lineages, they will be more likely to evolve identically in
1000	the same environment (Tintant, 1963, p. 474–475).
1001	Iterative evolution uses the notion of repeatability of the phenotypic traits (Fig. 8D-E). This
1002	repeatability is usually argued by an adaptive response to changes in environmental cycles
1003	(Milankovitch, 1941), and thus would be totally dependent on external constraints (selection
1004	pressure - Bayer and McGhee, 1984). Examples show that ammonite lineages that have
1005	evolved iteratively are from generalist pools, rather distributed in pelagic environments,
1006	which have drifted to more specialized forms in platform edge environments (see the example
1007	of Physodoceras Hyatt, 1900 in Hantzpergue, 1987, p. 520). The counter-example of the
1008	Gregoryceras, which have evolved from the Peltoceratoides Spath, 1925, shows that iterative
1009	evolution can also be expressed as a result of an evolutionary crisis (punctuated event of
1010	proteromorphosis type in the sense of Guex, 2006), which leads to the reappearance of

ancestral characters (here the duplicated ventral tubercles, specific to *Peltoceratoides*, at the end of the lineage in *Gregoryceras* – Bonnot, 1995; Bert, 2004, 2014). In this case, the morphological iteration is linked to a common genetic inheritance (internal constraint) and everything happens as if the punctuated event would reset the evolutionary trend and revive it on the same bases, which finally lead to homeomorphy by evolutionary parallelism shifted in time.

It does not seem possible to invoke a phenomenon of parallel evolution between *Lenicostites* gen. nov. and *Hemihoplites*, nor an evolutionary iteration like the *Physodoceras* or the *Gregoryceras* examples. On the one hand, there is no phyletic proximity between *Lenicostites* gen. nov. and the Hemihoplitinae (see the arguments developed above in chapters 4, 6.1), and on the other hand the general common morphology between these groups is rather generalist in a Pareto-optimal solution and does not require to invoke great ecological adaptations towards a constrained specialization. Finally, the most appropriate model in this case is that of homeomorphy given by evolutionary convergence, which also supposes that the external constraints are the strongest to reproduce this type of generalist 'hemihoplitid-like' simplified morphology.

# 7. The principle of Gause applied to *Lenicostites* gen. nov.: a matter of competitive replacement in favor of Hemihoplitinae

The principle of competition for the struggle for existence is at the root of the Darwinian theory, where 'the extinction of ancient forms is the almost inevitable consequence of the production of new forms' (Darwin, 1859, reprint of 2008, p. 410). Competition is an extremely complex phenomenon subtended by the interaction of two different processes:

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exploitation (the use of a resource in necessarily limited quantity) and interference (the interaction between the organisms affecting their reproduction or their survival). Interspecific competition has been studied in laboratory on various groups (e.g. paramecium for Gause, 1935, beetles for Park, 1962) and experimental results have shown that in a given stable environment, two species that use the same type of limited resource cannot coexist for a very long time, which tends systematically to extinction of the least 'fit' species because of the competitively superior species (Benton, 1996; Stanley, 2008). There are many examples of the Gause principle of competitive replacement that have allowed it to be generalized (Maynard-Smith, 1983; Rosenzweig, 1995; Simpson, 1953; Stanley, 1979; Stebbins, 1974), but the concept of aptitude is not always very clear. Park's experimental work (1962) has shown that the result of competition between two species depends both on intrinsic factors but also on the environment, which may be more or less favorable to one or the other species. It turns out that the surviving species is not systematically the most favored at the beginning, nor the most representative in number of individuals, even if it is the most expected and the most frequent case. This could be explained by the fact that, in a natural environment (Case and Taper, 2000), competition between two species reduces the both population densities, which decreases local adaptation (local adaptation concerns all changes in the frequency of genes, and the resulting phenotype, in response to selective pressures associated with the local environment). Despite the fact that this leaves room for a certain amount of chance, the strength of interspecific competition also depends on phenotypic similarity and plasticity: greater phenotypic variability seems to induce a reduction in the negative effect of interspecific competition for the concerned taxon (Burgess et al., 2013, with references; Morten and Twitchett, 2009). In paleontology, these competitive interactions are still poorly studied, especially in ammonites, and most often after mass extinction events (Benton, 1996; Morten and Twitchett,

2009; Hautmann et al., 2015). However, according to some authors (Benton, 1996; Stanley, 1974, 2008), the role of competition has generally been exaggerated by paleontologists, and it would only play a minor role in most ecological communities because of the regulating action of predation, which would exert a pressure such as to desaturate the ecological niches. Although sessile communities, such as bivalve mollusks, are more susceptible to the effects of predation than to competition, the same is not true for vagile forms such as mammals, trilobites, or ammonites, which are subject to a high rate of evolution (Stanley, 1974), and for which competition is likely to play an important role in terms of evolutionary divergence (the 'centrifugal force of evolution' of Mayr, 1963). Thus, the rate of evolution is as high as competitive interactions between species is intense. As this, every successful adaptation of one species is done at the expense of the other species living in the same ecological niche. In other words, as stated in the Red Queen theory, the permanent evolution of a species is necessary to answer the fitness of the other species with which it co-evolve to avoid extinction (Van Valen, 1973): in the Darwinian frame, only the fittest species would survive in such a run.

By the foregoing, and based on the principle of morphology linked to functional needs in ammonites (e.g. Westermann, 1996; Lukeneder, 2015) and on the constraints highlighted by the Pareto-optimal theory (Tendler et al., 2015), it is reasonable to think that the morphology of *Lenicostites* gen. nov. suggests that it occupied an ecological niche very similar to *Hemihoplites feraudianus* and adults microconchs of Hemihoplitinae with smooth ribbed body chamber (*Camereiceras marchandi* and *C. limentinus* – see Fig. 5B, C). *Lenicostites* gen. nov. was not contemporaneous with *H. feraudianus*, but it was with *C. marchandi* and *limentinus*, which was a favorable condition for the establishment of interspecific competition. As seen above, *Camereiceras* has characteristics generally associated with better

competitiveness compared to Lenicostites gen. nov.: (1) the adult size of Camereiceras is larger, which presumably conferred it certain advantages in terms of defense against predation (Tendler et al., 2015, p. 6), increased food competition, increased success in mating and reproduction, increased individual longevity, and better energy use (see also Monnet et al., 2015, p. 116, with references); (2) Hemihoplitinae are much more dynamic with a higher evolutionary rate (rapid succession of species), while *Lenicostites* gen. nov. seems to be in a period of evolutionary stasis; (3) in terms of representativeness, Camereiceras is much more abundant than Lenicostites gen. nov. in the deposits, which suggests that its reproductive rate was higher (higher fitness); (4) preliminary studies (work in progress) show that Camereiceras has an extraordinarily wide intraspecific variability (combination of both heterochronies and multipolar variations in the sense of Bert, 2014a, b), between forms highly tuberculate in the inner whorls and forms much more slender. In any case, this variability is much higher than that of Lenicostites gen. nov., where it concerns the robustness of ornamentation only (see description). This high difference in variability between the two groups could explain that Camereiceras has better supported competition with Lenicostites gen. nov. by a lesser impact of its negative effects. Of course, with only partial paleontological data (external ammonites shell) there is no guarantee that this example is evidenced or random, but here we interpret the disappearance of *Lenicostites* gen. nov., very soon after they meet the re-coiled Hemihoplitinae (Camereiceras marchandi and C. limentinus – Fig. 5), by the effect of interspecific competition: in this hypothesis, Lenicostites gen. nov. is a victim of the Gause Principle as it lost the Red Queen race.

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#### 8. Conclusions

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The evolution, biostratigraphy and systematics of the ammonite family Hemihoplitidae is
nowadays well known. Concerning the subfamily Hemihoplitinae, the genus Camereiceras is
derived from the genus Gassendiceras (Gassendiceratinae) in the top Gassendiceras alpinum
Subzone (Bert and Bersac, 2014). The older Camereiceras retain some characters from their
ancestor (ornamentation pattern, general heteromorphic morphology and, above all, their
ontogenetic stages), and their microconchs have an adult simplified morphology with smooth
identical ribs. Later (in the Camereiceras limentinus Subzone), Camereiceras gives rise to
Hemihoplites, of which the evolution shows a progressive reduction of the tubercles to give
finally the simplified morphology known in H. feraudianus with weak tubercles. All this
evolution is actually restrained to the north-west Tethyan margin (Fig. 7) at the early late
Barremian only (T. vandenheckei and G. sartousiana zones - Fig. 1). In this context, the
genus Lenicostites gen. nov. that suddenly appears in the middle T. vandenhekei Subzone with
its simplified morphology very close to Hemihoplites feraudianus (compare Fig. 2-3 with
Fig. 4) is unexpected because of its older age of three ammonites subzones, contemporary of
the genera Gassendiceras and Camereiceras (in several occurrences according to new data
collected in southeastern France - this work). Despite its large stratigraphic range,
Lenicostites gen. nov. appears to be monospecific (L. rusticus gen. nov.) and in evolutionary
stasis, unlike the Hemihoplitinae that show a high evolutionary rate. It is undeniable that
Lenicostitidae fam. nov. are morphologically very close to Hemihoplitinae, however, the
stratigraphic, ontogenetic, evolutionary and systematic analyses show that there is no
connection between these families and that the resemblance is purely a case of homeomorphy,
as they occupies the same solution in the morphological space of the Pareto optimality theory
applied to ammonites (Tendler et al., 2015): the 'hemihoplitid-like' morphology.

1134	In the light of the Lenicostites gen. nov., it is possible to re-examine the numerous
1135	occurrences of Hemihoplitinae reported in the literature in localities sometimes very distant
1136	from each other and from the Tethyan domain or even from the upper Barremian, which are
1137	sometimes contradictory with the evolutionary history of the group. The critical review of
1138	these reports allows a drastic revision and clarification of the systematic and paleogeographic
1139	distribution of Hemihoplitinae as a whole: until proven otherwise, there is no Hemihoplitinae
1140	outside the north and west margins of the Tethyan Realm (including its Caucasian margin in
1141	the east, and the Essaouira Basin in Morocco at the limit of the Proto Atlantic Ocean in the
1142	west). Actually, the 'hemihoplitid-like' morphology is iterative at the Valanginian,
1143	Hauterivian, Barremian and Aptian in several taxa without any phyletic links, which develop
1144	it by homeomorphy.
1145	For example, it is now certain that the genus 'Hemihoplites' reported in Patagonia (Argentina
1146	- Riccardi and Aguirre-Urreta, 1989; Aguirre-Urreta, 2002) actually corresponds to a genus
1147	of ammonite endemic to the Austral Basin, identified here under Homeomorphites gen. nov.,
1148	which evolves locally from the early Hauterivian to the late Barremian by allometric
1149	hypermorphosis (heterochrony).
1150	In the same way, it is possible to propose a direct phyletic link from Shasticrioceras to
1151	Antarcticoceras based on data from Chañarcillo Basin (Chile - Mourgues, 2007). This
1152	evolution is done by the simple acquisition of an additional tubercle at the top of the flanks
1153	very early during ontogeny. The attribution of the species A. domeycanum (late Barremian) to
1154	the genus Antarcticoceras and the description of A. perezi nomen nudum at the base of the
1155	early Aptian make possible to fill the stratigraphic and morphological hiatus between the both
1156	two genera and to classify them together within the same family: the Shasticrioceratidae fam.
1157	nov.

These examples, which are independent from the Hemihoplitidae, show that the shared
'hemihoplitid-like' morphology is widespread, generalist and thus easily reproducible under
the Pareto optimality theory (Pareto-optimal solution in the zone of maximum equilibrium
between the different constraints that govern the construction of ammonite shells).
Homeomorphy is common in ammonites, and in the case of Lenicostites gen. nov. it is due to
convergence (Fig. 8A) rather than parallelism (Fig. 8B-C) or iterative evolution (Fig. 8D-E).
Such homeomorphy could be the result of a morphofunctional adaptation related to similar
living conditions (external constraints). The appearance of Camereiceras with a non-
heteromorphic shell (Fig. 5B-C) established favorable conditions for interspecific
competition. Camereiceras has characteristics generally associated with better
competitiveness compared to Lenicostites gen. nov.: larger adult size (Tendler et al., 2015),
better evolutionary dynamics, probably higher reproductive rate and greater intraspecific
variability (see Monnet et al., 2015, p. 116, with references,). Thus, the disappearance of
Lenicostites gen. nov., very soon after they meet the re-coiled Hemihoplitinae (Camereiceras
marchandi and C. limentinus), could be interpreted as the effect of interspecific competition,
to go so far as a complete competitive replacement: in this hypothesis, Lenicostites gen. nov.
is a victim of the Gause Principle as it lost the Red Queen race (the permanent evolution of
species being necessary to answer the fitness of the other species with which it co-evolve to
avoid extinction – Van Valen, 1973).

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1550 1551 1552	<b>Wiedmann, J.</b> 1966. Stammesgeschichte und System der posttriadischen Ammonoideen. 2. Teil. <i>Neues Jahrbuch für Geologie und Paläontologie, Abhandlungen</i> , <b>127</b> , 13–81.
1553	Figure captions
1554	Figure 1: Biostratigraphic chart of the upper Barremian (see references in the text), with the
1555	repartition and phylogeny of the Hemihoplitidae compared to the Lenicostitidae fam. nov. In
1556	the Hemihoplitinae the species of the genera Camereiceras and Hemihoplites are: 1,
1557	Camereiceras breistrofferi (uncoiled shell); 2, Camereiceras marchandi (tight uncoiled shell);
1558	3, Camereiceras limentinus (fully coiled shell); 4, Hemihoplites cornagoae (fully coiled
1559	shell); 5, Hemihoplites astarte (fully coiled shell); 6, Hemihoplites feraudianus (fully coiled
1560	shell).
1561	
1562	Figure 2: Lenicostites rusticus gen. nov. from the upper Barremian (Lower Cretaceous) of
1563	southeastern France. A, the holotype (4141351) figured by Vermeulen (1996, pl. 3, fig. 2–5),
1564	from the Saint Martin ravine section (bed 20) in the neritic domain (Gerhardtia sartousiana
1565	Zone, Camereiceras limentinus Subzone and Horizon); B, body chamber of an adult specimen
1566	in two parts (RNNGHP.DBT.04007-A'/149-4.AJ49) from bed A'/149-4 in the pelagic
1567	domain (Toxancyloceras vandenheckei Zone and Subzone); C, robust specimen from the
1568	'Camereiceras limentinus beds' in the neritic domain (RNNGHP.DBT.04171-MAN.BB34),
1569	section MAN (Gerhardtia sartousiana Zone, Camereiceras limentinus Subzone and
1570	Horizon); <b>D,</b> robust specimen (RNNGHP.SBC.06050-TAI/99.TAI155) from bed TAI/99 in
1571	the neritic domain (T. vandenheckei or G. sartousiana Zone); E, slender specimen
1572	(RNNGHP.DBT.04007-A*/149-3.BA54) from bed A'/149-4 in the pelagic domain

(Toxancyloceras vandenheckei Zone and Subzone).

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1575	Figure 3: Nearly complete robust adult of Lenicostites rusticus (Vermeulen, 1996) gen. nov.
1576	(RNNGHP.SBC.06050-TAI/99.TAI153) from the upper Barremian (Lower Cretaceous, T.
1577	vandenheckei Zone or C. limentinus Subzone) of section TAI/99, southeastern France. A1,
1578	lateral view; A2, ventral view.
1579	
1580	Figure 4: Hemihoplites feraudianus from the upper Barremian (Lower Cretaceous) of
1581	southeastern France (Angles area), Gerhardtia sartousiana Zone, Hemihoplites feraudianus
1582	Subzone and Horizon; A, macroconch specimen with smooth stage (RNNGHP.DBT.04007-
1583	G12b/336.BA68); <b>B,</b> microconch adult specimen (RNNGHP.DBT.04173-GRY/903b.BA67).
1584	
1585	Figure 5: Camereiceras from the upper Barremian (Lower Cretaceous) of southeastern
1586	France; A, macroconch specimen of Camereiceras limentinus from La Gaude (Gerhardtia
1587	sartousiana Zone, Camereiceras limentinus Subzone and Horizon - RNNGHP.DBT.06065-
1588	VA/11b.BB32) in the neritic domain; B, microconch adult specimen of Camereiceras
1589	limentinus from the Angles area (Gerhardtia sartousiana Zone, Camereiceras limentinus
1590	Subzone and Horizon – RNNGHP.DBT.04173-GRY/883a.BB30) in the pelagic domain; C
1591	microconch adult specimen of Camereiceras marchandi from the Angles area
1592	(Toxancyloceras vandenheckei Zone, Gassendiceras alpinum Subzone, Camereiceras
1593	marchandi Horizon -RNNGHP.DBT.04007-A*/156-15.BB29), note the uncoiling of the last
1594	whorl (body chamber).
1595	
1596	Figure 6: Correlation of the biostratigraphic charts between the north-west Tethys, the
1597	Chañarcillo and Neuquén Basins (Chile and Argentina), Patagonia (Austral Basin) and
1598	California, with repartition of the ammonites with 'hemihoplitid like' morphology. See text
1599	for the bibliographic references and explanations.

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**Figure 7:** Paleogeographic map of the Barremian age showing the distribution of: the northwest Tethys Hemihoplitidae (stars, the white star points out the Vocontian basin in southeastern France and also the Lenicostitidae fam. nov.), the Austral Homeomorphitinae subfam. nov. (squares), and the Circum-Pacific Shasticrioceratidae fam. nov. (hexagons). Data from literature (see text), map modified after Barron et al. (1981).

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Figure 8: The different patterns of homeomorphy in ammonites; numbers 1 to 4 are different species; circles, squares and triangles are different phenotypes; letters a and b are different processes; dash-lines separate different environments; A, homeomorphy by convergence is given by evolution of independent processes (a and b) towards similar morphology (circles) in two or more unrelated lineages (here 1-2 and 3-4), mainly because of adaptation to similar conditions (external constraints). This is the case for example with the Lenicostites gen. nov. and Hemihoplitinae; **B** and **C**, homeomorphy by parallel evolution is given when the descendants of the same ancestral phenotype (species 1, square) have evolved in trajectories towards a similar morphology (circle). Here, the same common developemental processes was involved independently (a – intrinsic factor). See for example the parallel evolution of two Devonian ammonoid families (Auguritidae Bogoslovski, 1961 and Pinacitidae Hyatt, 1900) in Monnet et al. (2015); **D**, iterative evolution can be given by adaptive convergence, which gives the repeatability of the phenotypic traits (extrinsic factor). In that case (see the example of *Physodoceras* in Hantzpergue, 1987), ammonite lineages 2 and 3 have evolved iteratively in platform edge environment (whatever the processes involved, a or b) from the generalist pelagic pool 1; E, iterative evolution can be also given by evolutionary crisis (punctuated event of proteromorphosis type - intrinsic factor), which leads to the reappearance of ancestral phenotype (circle), whatever the processes involved, a or b. This

special case of parallel evolution shifted in time is known, for example, in *Gregoryceras*, which finally repeated the morphology known in its ancestor *Peltoceratoides* (see Bonnot, 1995; Bert, 2004, 2014).

