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## **Middle - late Miocene rapid exhumation of the southern Qilian Shan and implicationsfor propagation of the Tibetan Plateau**

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Declarations of interest: none

#### **Abstract**

an Shan. Our results reveal a phase of rapid exhum<br>g accompanied by southward growth of the Qilian<br>hat this phase of tectonic deformation is widespre<br>d some recent studies suggest ~25-20 Ma onset of<br>aidam Basin bounding t The northeastern Tibetan Plateau is a key place to test several contrasting tectonic models regarding uplift of the plateau. However, our understanding of the Cenozoic deformation history of the northeastern Tibetan Plateau is incomplete, preventing a clear understanding of the uplift and deformation mechanisms of this plateau. Here , we present new apatite (U - Th)/He ages from the hanging wall of the Qaidam Shan fault , which lies on the southern margin of the Qilian Shan. Our results reveal a phase of rapid exhumation during 15 -10 Ma driven by thrusting accompanied by southward growth of the Qilian Shan. A review of the literature reveals that this phase of tectonic deformation is widespread in the northeastern Tibetan Plateau and some recent studies suggest ~25 -20 Ma onset of sediment accumulation in the northern Qaidam Basin bounding the Qilian Shan. These results challeng e models arguing for early deformation of northeastern margin of the Tibetan Plateau.

Keywords: Cenozoic, Rapid Exhumation, (U -Th)/He Thermochronology , Qilian Shan, Tibetan Plateau.

#### **1 Introduction**

The India -Asia collision is arguably the most profound tectonic event of the Cenozoic (Rowley et al., 1996). This collision has caused the formation of the Tibetan Plateau since the early Cenozoic (DeCelles et al., 2014; Hu et al., 2016). Different lines of evidence imply that the India n Plate has moved ~2500 km northward relative to Asia since the collision (Besse et al., 1984; Patriat and Achache, 1984; Tapponnier et al., 1990) , causing intense deformation of the Asian Plate. Thus, the Tibetan Plateau can serve as a natural laboratory for understanding continent -continent collision, which can have dramatic effects on the environment, climate, and ecosystem of the surrounding area and beyond. Many contrasting mechanisms for Tibetan Plateau uplift have been proposed over the last few decades. For example, some

models suggest that uplift of the Tibetan Plateau occurred progressively northward (Tapponnier et al., 1990, 2001; Burchfiel et al., 1991). By contrast, others indicate that deformation reached the northern margin of the plateau soon after the India - Asia collision (Yin et al., 2002, 2008; Clark et al., 2010; Fang et al., 2013; Yuan et al., 2013). Thus, clarifying the deformation history of the northern margin of the Tibetan Plateau could test the validity of these models.

nd Harrison, 2000; Xiao et al., 2009). During the<br>ng in the formation of the modern Qilian Shan (<br>7. 2013). The Qilian Shan is regarded as an ar<br>e northeastern margin of the Tibetan Plateau. Curren<br>vigorously debated, with The Qilian Shan is locate d in the northeastern Tibetan Plateau and initially formed in the Caledonian (Yin and Harrison, 2000; Xiao et al., 2009) . During the Cenozoic, faults were reactivated, resulting in the formation of the modern Qilian Shan (Yin et al., 2002, 2008; Fang et al., 2007, 201 3 ). The Qilian Shan is regarded as an area that accommodates deformation o n the northeastern margin of the Tibetan Plateau and figures importantly in testing previous models about the uplift of the Tibetan Plateau. Currently, the uplift history of the Qilian Shan is vigorously debate d, with age estimates ranging from Paleocene to Pliocene (Tapponnier et al., 2001; Yin et al., 2002, 2008; Dupont -Nivet et al., 2004; Fang et al., 2007, 2013; Zheng et al., 2010; Zhuang et al., 2011, 2018; Bush et al., 2016; He et al., 2017, 2018; Wang et al., 2017; Nie et al., 2019). The deformation timing generally falls within three categories : late Miocene -Quaternary deformation (e.g., Tapponnier et al., 1990, 2001; Meyer et al. , 1998 ) ; early -middle Miocene deformation (e.g., George et al., 2001; Wang et al., 2003; Zheng et al., 2010); deformation synchronous with or slightly later than the India-Asia collision (e.g., Yin et al., 2002, 2008; Dai et al., 2005; He et al., 2017, 201 8).

In this study, we report new apatite (U -Th)/He ages from five granite samples , four of which are along an altitude transect that spans 520 m of relief in the southern Qilian Shan, where no such dataset ha s been reported. The apatite (U -Th)/He thermochronology system has a lower closure temperature  $(\sim 70$  °C) than other thermochronology techniques and is

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sensitive to thermal perturbations (caused by either erosion or tectonic movements) of  $\sim$ 2-3 km beneath the surface of the Earth (Ehlers and Farley, 2003).

#### **2 Geologic setting**

The 500 km -wide Qilian Shan is a middle Paleozoic suture belt bounded by the Qaidam Basin to the south and the Ala shan massif to the north (Tapponnier et al., 1990). It is composed of lower Paleozoic rocks that record rifting and closure of past ocean floors (Xu et al., 1996). The Qilian Shan can be divided into three blocks: the South Qilian block, Central Qilian block, and North Qilian block (Figure 1; Yin and Harrison, 2000).

an Shan can be divided into three blocks: the Sout<br>Vorth Qilian block (Figure 1; Yin and Harrison, 200<br>Shan, the research target of this study (Figure<br>in Shan and bounded to the south by the south-verg<br>The Qaidam Shan cons The Qaidam Shan , the research target of this study (Figure 1), is located in the southernmost Qilian Shan and bounded to the south by the south-verging Qaidam Shan thrust (Yin et al., 2008). The Qaidam Shan consists of imbricate thrusts that placed Precambrian gneiss over Jurassic strata , which are underlain by Quaternary deposits (Figure 2). Granite plutons, dated to the early Paleozoic (ca. 435 -456 Ma; Wu et al., 2002), crop out widely within the Qaidam Shan (QBGMR , 1991).

#### **3 Sampling**

In this study, fresh Paleozoic granites without quartz and calcite veins were collected in order to clarify the tectonic history of this region. Four samples were collected from an altitude transect on the hanging wall of the Qaidam Shan thrust. In addition, another sample was collected from 20 km northwest of the altitude transect at a lower elevation.

To separate apatite grain s , all samples were crushed and sieved using standard mineral separation techniques. Minerals were separated by their magnetic susceptibility and density differences. Apatite grains were hand -picked and then examined for inclusions of high U or Th phases under a binocular microscope using cross -polarized light . The grain dimensions were measured for alpha-ejection corrections (Farley et al., 1996, 2000). For each sample, at least three euhedral and clear apatite grains were picked, sealed in platinum tube s , and heated

with a laser in a vacuum system to release helium, following the standard procedure in the thermochronology laboratory at the University of Texas at Austin (House et al., 2000; Nie et al., 2018). After removal from the vacuum system, grains were dissolved and analyzed in a Finnigan Element inductively couple plasma mass spectrometer (ICP-MS).

#### **4 Results**

given 15.0 ± 2.6 Ma to 9.6 ± 2.4 Ma (two sigma<br>ges are significantly younger than their Paleozoic c<br>t of the samples yield relatively small age dispe<br>atite (U-Th)/He ages varying from 6.5 ± 0.4 Ma t<br>± 0.9 Ma. Sample QFT-5 All five samples from the Qaidam Shan yield Miocene apatite (U -Th)/He ages, with mean ages ranging from  $15.0 \pm 2.6$  Ma to  $9.6 \pm 2.4$  Ma (two sigma uncertainties; Table 1; Figure 3 ). These ages are significantly younger than their Paleozoic crystallization ages (Wu et al., 2002). Most of the samples yield relatively small age dispersion. Sample QFT -53 shows scattered apatite (U-Th)/He ages varying from  $6.5 \pm 0.4$  Ma to  $14.0 \pm 0.8$  Ma with a mean age of  $13.1 \pm 0.9$  Ma. Sample QFT-55 has ages from  $8.0 \pm 0.5$  Ma to  $11.8 \pm 0.7$  Ma with a mean age of  $9.6 \pm 2.4$  Ma. Sample QFT-58 has ages from  $12.5 \pm 0.8$  Ma to  $16.8 \pm 1.0$ Ma with a mean age of  $15.0 \pm 2.6$  Ma. Sample QFT-62 has ages from  $12.1 \pm 0.7$  Ma to  $13.8 \pm 1.7$ 0.8 Ma with a mean age of  $12.9 \pm 1.0$  Ma. Sample QFT-65 shows a slightly large scatter of apatite (U-Th)/He ages ranging from  $12.6 \pm 0.8$  Ma to  $22.0 \pm 1.3$  Ma with a mean age of 13.3  $\pm$  1.9 Ma.

To more clearly understand the thermal history of these samples, inverse modeling was applied to the thermochronology altitude transect employed the QTQt program using a Bayesian transdimensional Markov chain Monte Carlo protocol (Gallagher, 2012). The protocol used the radiation damage accumulation /annealing model of Flowers (Flowers et al., 2009) for helium diffusion in apatite. We used the following constraints during inverse modeling (Vermeesch and Tian, 2014). (1) The current surface temperature was set to  $10 \pm$ 10 °C. (2) The paleotemperature offsets were set to  $10.4 \pm 10.4$  °C, which was calculated by multiplying their elevation difference by the present mean geothermal gradient (20 °C/km; Zhuang et al., 2018). (3) Present -day temperature offsets between the uppermost and

Examples above that the Qaidam Shan experienced sloved arty Miocene, and the cooling then accelerated rate article and the Qaidam Shan cooled at moderate rates until story is generally consistent with our thermochronical lowermost samples were set to  $\sim$ 3  $\pm$  3 °C, which was obtained by multiplying their elevation difference by a lapse rate of ~6 °C/km. (4) The initial constraint box was set to  $190 \pm 40$  °C at  $120 \pm 20$  Ma according to the zircon (U-Th)/He ages from the same study area (Zhuang et al., 2018). (5) Another constraint box was set to  $110 \pm 20$  °C at  $15 \pm 5$  Ma according to the apatite fission track age s from the same stud y area (Zhuang et al., 2018). For the transect, 0.5 million iterations were run to derive stable inverse model results, as presented in Figure 4. The modeled apatite (U -Th)/He ages are generally consistent with the observed ages (Figure 4). The modeling results show that the Qaidam Shan experienced slow cooling from the Late Cretaceous to the early Miocene, and the cooling then accelerated rapidly at ~15 Ma. After this rapid cooling, the Qaidam Shan cooled at moderate rate s until the present (Figure 4). Such a thermal history is generally consistent with our thermochronological observations (Figure 3 A ).

#### **5 Discussion**

The four studied altitude transect samples, spanning  $\sim$  520 m of relief, are within a horizontal distance of  $\lt 1$  km. Interestingly, they have consistent cooling ages ranging from 15 -10 Ma (Figure 3A), suggesting rapid exhumation of at least 520 m in elevation during the middle -late Miocene, consistent with the results of the inverse modeling (Figure 4). This exhumation can be achieved by top -down erosion driven by climatic changes, as is likely the case for formation of the Mekong River (Nie et al., 2018); alternatively, thrusting can cause rapid exhumation of these rocks from a bottom -up pattern.

Regional climate records reveal that climate changes are unlikely to have played a main role in causing the rapid exhumation observed here. First, different lines of evidence from northeastern Tibet suggest that arid conditions in this region began in the Oligocene or early -

beriod (~17-14 Ma) (Nie et al., 2018). If the precies<br>exhumation here, rapid cooling should have occurring late Miocene. Third, an apatite (U-Th)/He a<br>bur transect has age range of 25-10 Ma (Zhuang<br>have similar elevation middle Miocene, attributed to the retreat of the Paratethys epicontinental sea, global cooling, or blocking of ocean moisture associated with uplift of northeastern Tibet (Wang et al., 2003; Graham et al., 2005; Dupont -Nivet et al., 2007; Kent -Corson et al., 2009; Zhuang et al., 2011; Hough et al., 2014; Li et al., 2016). It would be hard for the small magnitude of precipitation in this arid climate to cause more than 520 m of erosion in a short period. Second, it is widely accepted that the climate after 14 Ma corresponds to a period of weaker East Asian summer monsoon s and decreased precipitation in comparison with the preceding middle Miocene climate optimum period  $(-17-14 \text{ Ma})$  (Nie et al., 2018). If the precipitation increase is the forcing for rapid exhumation here, rapid cooling should have occurred during 17 -14 Ma instead of the following late Miocene. Third, an apatite (U-Th)/He age transect located  $\sim 20$ km northwest of our transect has age range of 25-10 Ma (Zhuang et al., 2018; Figure 2, Figure 3B), which have similar elevation but different age range compared with our transect. The climate condition s within a 20 km distance in the same geomorphological setting cannot differ enough to cause the observed different apatite (U-Th)/He ages.

Therefore, we attribute the rapid exhumation to a phase of thrusting bounding these rocks, which raised the rocks more than 520 m and caused the observed consistent middle late Miocene cooling ages in the altitude transect samples. We note that one sample (QFT -65) at a lower elevation within a distance of 20 km to the northwest also shows a similar apatite (U -Th)/He age. This age was merged with published apatite (U -Th)/He ages sampled in the same area bounded by the same faults (Zhuang et al., 2018) in an age-elevation plot (Figure 2, Figure 3B) . The lower elevation samples in this merged altitude transect generally show ages in the middle -late Miocene, generally similar but slightly older than the cooling event detected in our new transect (Figure 3). These ages suggest that thrusting migrated quickly from north to south during the middle-late Miocene.

(2019) also demonstrated that the initial appearan  $\sim$ 20 Ma, suggesting that the uplift of the Qilian rison with the India-Asia collision. The age for ahonggou section reported by Nie et al. (2019) is ern Qaidam Basin (F The inferred timing for rapid exhumation and uplift of the granite belts where the study samples were collected is consistent with stratigraphic records right in front of (south of) this part of the southern Qilian Shan. Bush et al. (2016) recorded the exhumation of this granite belt from the Dahonggou section at ~3700 m, according to new paleomagnetic dating (Nie et al., 2019) , which corresponds to between 12.5 Ma and 10.6 Ma, consistent with the rapid cooling age range of samples directly from this granite belt. This consistency convincingly demonstrates that southward growth of the Qilian Shan occurred during the late Miocene period. Nie et al. (2019) also demonst rate d that the initial appearance of the Qilian Shan signal occurred at  $\sim$ 20 Ma, suggesting that the uplift of the Qilian Shan was significantly delayed in comparison with the India -Asia collision. The age for sediment accumulation initiation in the Dahonggou section reported by Nie et al. (2019) is similar to that in other sites of the northern Qaidam Basin (Fang et al., 2007; Wang et al., 2017), opening the possibility that the northern Qaidam Basin did not receive sediment until after ~25 -20 Ma. These results, together with our new dataset, challenge models predicting an early Cenozoic uplift and deformation of the northeastern margin of the Tibetan Plateau (Yin et al., 2008; Dayem et al., 2009; Clark et al., 2010; Fang et al., 2013, 2019 ) .

Other stratigraphic and sedimentologic evidence also supports that the northeastern Tibetan Plateau experienced rapid deformation and exhumation at 15 -10 Ma (Figure 5). For example , in the northern Qaidam Basin, growth strata and intensified crustal shortening developed in the middle -late Miocene (Zhou et al., 2006; Yin et al., 2008), surface uplift occurred during 15 -10 Ma (Zhuang et al., 2014), and a rapid increase in the accumulation rate occurred at ~15 -12 Ma (Fang et al., 2007). In the Jiuquan Basin, a change in sedimentary provenance and sedimentary facies accompanied by a rapid increase in sedimentation rate and the development of growth strata occurred at  $~14$ -10 Ma (Fang et al., 2013; Wang et al., 201 6). In the Guide Basin, Yan et al. (200 6) identified a clockwise rotation that took place

during 17 -11 Ma. In the Linxia Basin, Nd isotopes, trace element s and oxygen isotope data demonstrate increased unroofing of the northeastern margin of the Tibetan Plateau at ~12 Ma (Dettman et al., 2003; Garzione et al., 2005).

Other bedrock thermochronological records from the northeastern Tibetan Plateau are also consistent with evidence of rapid rock cooling during the middle -late Miocene (Figure 5). For example, Pang et al. (2019) and Yu et al. (2019) identified a rapid exhumation event in the southern Qilian Shan at ~15 -10 Ma. Zheng et al. (2010), Zhuang et al. (2018), and Li et al. (2019) revealed rapid exhumation of the northern Qilian Shan at ~15 -10 Ma. Lease et al. (2011) observed rapid exhumation of the Jishi Shan at ~13 Ma.

#### **6 Conclusions**

pid exhumation of the northern Qilian Shan at  $\sim$ <br>pid exhumation of the Jishi Shan at  $\sim$ 13 Ma.<br>patite (U-Th)/He age data from an altitude transect<br>e southern Qilian Shan. These data demonstrate<br>e southern Qilian Shan. We provide apatite (U-Th)/He age data from an altitude transect with more than 520 m of relief from the southern Qilian Shan. These data demonstrat e rapid upper crustal exhumation in the southern Qilian Shan during 15 -10 Ma. This phase of exhumation is broadly consistent with intensified tectonic deformation in the northeastern Tibetan Plateau during the middle -late Miocene, challenging tectonic models arguing for early deformation of the northeastern Tibetan Plateau.

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#### **Table 1.** Apatite (U -Th)/He ages and relevant information

+ label s replicate age s that are 50% different from the other two replicates. In addition, the labeled ages are not used to calculate the mean age s of the samples.



## **Figure captions**

**Figure 1**. DEM plot of the northeastern Tibetan Plateau with major tectonic features highlighted (modified from Li et al., 2014). Figure 2 corresponds to the rectangle in this figure. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

The contribution of the northeastern Tibetan Plateau with major ted<br>into of the northeastern Tibetan Plateau with major ted<br>eied from Li et al., 2014). Figure 2 corresponds to the<br>etation of the references to color in thi



**Figure 2**. Simplified geologic map (modified from QBGMR, 1991) and cross section of the study area. The location s of the samples are represented. The fault depth parameter s in the cross section are from Yin et al. (2008). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



Figure 3. Age-elevation plots for apatite (U-Th)/He samples from the Qaidam Shan. Panel A represents the altitude transect with the mean age s of the samples in this study. Panel B represents an altitude transect with apatite (U -Th)/He ages that include the isolated sample in this study (QFT -65; black dots) and samples from Zhuang et al. (2018) (gray dots). Horizontal error bars represent 2σ uncertainty on mean helium ages.



Expected thermal instead and integral predictions for<br>expected thermal histories (thick blue and red lines)<br>Flowers (Flowers et al., 2009). The thermal history<br>s plotted in blue, the lowermost sample (QFT-62) in<br>e cyan an **Figure 4**. The expected thermal histories and mean age predictions for the altitude transect samples. Left: the expected thermal histories (thick blue and red lines) using the radiation damage models of Flowers (Flowers et al., 2009). The thermal history of the uppermost sample (QFT-53) is plotted in blue, the lowermost sample (QFT-62) in red, and intermediate samples in gray. The cyan and magenta lines are the 95% credible intervals of the coldest (blue line) and hottest (red line) sample thermal histories. Right: Comparison between observed and predicted single -grain uncorrected ages for this model. The raw observed values are shown as upward-pointing triangles with  $\pm$  1 standard deviations, and the raw predicted values are shown as downward-pointing triangles with  $\pm$  1 standard deviations. Error bars of different colors on the right side of the figure represent different samples. A small elevation perturbation was added so that older ages are slightly above the younger ages for a particular sample, in order to facilitate visualization. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



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2019), <sup>6</sup> Zheng et al. (2010), <sup>7</sup> Zhua **Figure 5**. Evidence for the middle -late Miocene regional deformation in the northeastern Tibetan Plateau. <sup>1</sup>Nie et al. (2019), <sup>2</sup>Fang et al. (2007), <sup>3</sup>Dettman et al. (2003), <sup>4</sup>Yan et al. (2006), <sup>5</sup>Wu et al. (2019), <sup>6</sup>Zheng et al. (2010), <sup>7</sup>Zhuang et al. (2018), <sup>8</sup>Yu et al. (2019), <sup>9</sup>Pang et al. (2019), <sup>10</sup>Duvall et al. (2013), <sup>11</sup>Li et al. (2019), <sup>12</sup>Lease et al. (2011), <sup>13</sup>Wang et al.  $(2016)$ , and <sup>14</sup>This study.

#### **Declaration of interest s**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐The authors declare the following financial interests/personal relationships which may be

considered as potential competing interest s:

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### Highlights:

- We present apatite (U -Th)/He altitude transect ages from the southern Qilian Shan.
- The data reveal rapid exhumation of the southern Qilian Shan during 15-10 Ma.
- Regional deformation in the mid-late Miocene occurred in the NE Tibetan Plateau.

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