

Do early diagenetic processes affect the applicability of commonly-used organic matter source tracking tools? An assessment through controlled degradation end-member mixing experiments

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23 **ABSTRACT**

3 if (1) the tracers are conservative with source mixing, and (2) they can be conservative under

3 diagenetic processes (e.g., microbial degradation). In this study, these two critical points were

7 digeonetic processes 24 In the development of organic matter (OM) source tracking tools, it is critical to validate 25 if (1) the tracers are conservative with source mixing, and (2) they can be conservative under 26 diagenetic processes (e.g., microbial degradation). In this study, these two critical points were 27 rigorously tested for three commonly-used source tracking tools (i.e., absorbance and 28 fluorescence proxies, stable carbon isotopes and lipid biomarkers) via a controlled experiment at 29 laboratory scale. To this end, two end-members (e.g., soil and algae), which represent the most 30 common and contrasted sources of OM to sediments in an aquatic environment, were mixed in 31 different ratios and then incubated under different oxygen conditions (oxic versus anoxic) in the 32 dark at 25°C for 60 days. The initial and final signals of the source tracking tools were analyzed 33 and compared for each mixing ratio. Based on three evaluation criteria concerning the linearity 34 of the relationships, discrimination sensitivity, and conservative mixing behavior, we evaluated 35 the applicability of the tools to trace the sediment organic matter in the aquatic environment. 36 Although most of the source tracking proxies evaluated in this study showed a conservative 37 nature after incubation, there are only a few that demonstrated both conservative behaviors with 38 the sources mixing and under early diagenetic processes. The fluorescence proxies such as the 39 relative distribution of a humic-like component associated with refractory source material 40 (Ex/Em: 220/430nm), modified fluorescence index (YFI), humification index (HIX), and carbon 41 stable isotope ratios were identified to be the most reliable tracers for tracking sedimentary OM 42 sources under early diagenetic processes. This study provides strong insights into the validation 43 of common OM source tracking tools for sediment and a reasonable guideline to select the 44 optimum indices for source discrimination via end-member mixing analysis.

45

46 **Keywords:** Sources tracking tools; Organic matter; Sediments; Biodegradation; End-member 47 mixing.

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49 **1.INTRODUCTION**

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1 LINTRODUCTION

2 Natural organic matter (NOM) is generated by the breakdown and degradation of

1 organisms involving hydrosphere, biosphere, and geosphere through diverse biological,

2 chemical, and phy 50 Natural organic matter (NOM) is generated by the breakdown and degradation of 51 organisms involving hydrosphere, biosphere, and geosphere through diverse biological, 52 chemical, and physical processes in the natural environment (Sillanpää, 2015). NOM sources are 53 usually classified as allochthonous or autochthonous. Allochthonous OM, which occurs from 54 outside the aquatic environment, consists of terrigenous materials, such as vascular plants, 55 leaves, root exudates, and soils, exported from the upstream catchment into rivers and lakes. By 56 contrast, autochthonous OM, which is produced within water bodies, derives from aquatic biota 57 (e.g., algae, bacteria, plankton, macrophytes, and nekton) (Derrien et al., 2019a; Volkman and 58 Tanoue, 2002). In aquatic systems, sediments represent a large reservoir of nutrients and NOM 59 from both inputs in various proportions (Briand et al., 2015; Fisher et al., 2005; Southwell et al., 60 2010; Waterson and Canuel, 2008; Zhang et al., 2009). Indeed, sedimentary OM is derived from 61 bacteria or plankton formed in situ, but also receives allochthonous OM from the upstream 62 catchment. Soil OM is a representative allochthonous OM source and it is easily transported into 63 the rivers and ends up in sediments through hydrological processes (van der Meij et al., 2018). 64 Nowadays, terrestrial organic matter input into surface waters is one of the major concerns in the 65 context of the current climate changes as it is observed in polar regions with the release of 66 "dormant" carbon from permafrost (Bischoff et al., 2016; Vonk et al., 2015; Wild et al., 2019). 67 Sediments are also a reactive compartment where diagenetic processes occur inducing changes. 68 The processes may be physical, chemical, and/or biological in nature and may occur at any time

69 subsequent to the arrival of a particle at the sediment‐water interface (Henrichs, 1992; 70 Kuznetsova et al., 2019; Milliken, 2003). Among the early diagenetic processes, biodegradation 71 plays a key role as it is one of the main processes causing changes in amount, composition and 72 properties of OM in sediment (Arndt et al., 2013; Derrien et al., 2019a; Guenet et al., 2014).

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2 properties of OM in sediment (Arndl et al., 2013; Derrien et al., 2019; Guenet et al., 2014).

Investigating the processes 73 Investigating the processes that control the composition of sedimentary OM is crucial for 74 a thorough understanding of OM dynamics and its role in the carbon cycle at a local and global 75 scale (Gordon and Goni, 2003; Pedrosa-Pàmies et al., 2015). Identifying, apportioning and 76 tracking the sources of OM in aquatic systems require robust, reliable and effective source 77 tracking tools. Absorbance and fluorescence proxies, stable carbon isotopes, and lipid 78 biomarkers are the most commonly-used OM source tracking tools in aquatic systems (Aiken, 79 2014; Bianchi and Canuel, 2011; Derrien et al., 2017; Meyers, 1994). These tools have been 80 applied in diverse environments including soils, sediments, pore-water, river, lakes, and oceans 81 for several decades (Amiotte-Suchet et al., 2007; Benner et al., 1997; Derrien et al., 2015; Fichot 82 et al., 2013; Jaffé et al., 2004; Meyers and Ishiwatari, 1993; Toming et al., 2013; Wakeham and 83 Canuel, 1990; Zimmerman and Canuel, 2001; Zsolnay et al., 1999). Although these tools have 84 been used for decades, their applicability and potential limitations have not been rigorously 85 examined yet. Nevertheless, to fully validate their use, two critical points need to be examined: 86 1) conservative behavior with sources mixing, and 2) conservative behavior under early 87 diagenetic processes (e.g., microbial degradation). In our previous study, based on a simple 88 mixing POM end-member experiment, we demonstrated that the conservative behavior of the 89 OM source tracking tools with the mixing source is not obvious and finally raised the question of 90 the reliability of some of the most commonly-used tools (Derrien et al., 2019b). More recently, 91 we also investigated the biodegradation-induced changes in the porewater DOM for different

92 sources (Derrien et al., 2019c). The results of the latter study suggested the existence of different 93 pathways of biodegradation with both positive and negative priming effects depending on the 94 sources and the ratio of labile material. All these observations clearly justify the questioning of 95 the applicability to these tools in natural systems and the necessity to test them for both criteria.

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The applicability to these tooks in natural systems and the necessity to test them for both criteria.

In this context, w 96 In this context, we designed a controlled degradation experiment at laboratory scale using 97 organic-rich sediments artificially composed of two contrasting OM end-members (i.e., soil and 98 algae) at known mixing ratios. The incubations were performed under oxic and anoxic conditions 99 in order to reproduce different configurations of natural sediment (Kristensen, 2000). Hence, this 100 study aimed to establish the applicability of 3 commonly-used source tracking tools (i.e., 101 absorbance and fluorescence proxies, stable carbon isotopes, and lipid biomarkers) during early 102 diagenetic processes in the natural environment. As a result, the specific objectives of this study 103 were (i) to study the influence of oxygen on the degradation-induced changes, and (ii) to 104 examine the conservative/non conservative behaviors of the three source discrimination tools in 105 artificial sediments before and after biodegradation, and (iii) to evaluate the conservative/non 106 conservative behaviors of the three source discrimination tools with sources mixing in the 107 artificial sediments.

108

109 **2. MATERIAL AND METHODS**

110 **2.1. Experimental design of the incubation experiment**

111 Two end-members (i.e., soil and algae), as the major organic sources of sediments, were 112 mixed at soil to algae ratios of 100:0, 75:25, 50:50, 25:75, and 0:100, respectively, on the basis 113 of organic carbon (OC) concentrations, not their masses, after the OC contents of the two end-114 members were taken into account. Briefly, a topsoil (0-10cm) sample was collected as the soil

115 end-member at Bukhansan National Park (37°43'37.0" N 127°00'50.9") in South Korea. A 116 commercial unicellular green alga (*Chlorella vulgaris*), which is commonly found in lakes and 117 ponds in South Korea, was purchased as the algae end-member from Aquanet Co., Ltd. in 118 Gyeongsangnam-do, South Korea. Detailed information on the two end-members can be found 119 in Derrien et al., 2019b.

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8 Gycongsangnam-do, South Korea, Detailed information on the two end-members can be found

9 in Derrien et al., 2019b.

Incrudention e 120 Incubation experiments in oxic and anoxic conditions were performed in pre-washed and 121 pre-combusted (450°C for 4 hours) 125 ml Wheaton® amber glass bottles with Teflon 122 screwcaps. Samples for anoxic incubation were prepared and sampled using sterile Aldrich® 123 AtmosBag two-hand glove bag. A mass (12 g) of the end-member mixture samples were mixed 124 with ultrapure water (Barnstead™ Easypure™ RoDi, Thermo Scientific) at a solid to solution 125 ratio of 1:4 and equilibrated for 48 h in the dark at room temperature after shaking at 100 rpm for 126 one hour. River water from Jungnang river in Seoul (37° 40´ 16˝N, 127° 04´ 47˝E) was used as 127 inoculum for microbial incubation. The collected river water sample was first passed through a 128 GF/C (pre-combusted, 1.2 μ m pore-sized) and 10 mM of inorganic nutrients (NH₄NO₃ and 129 K2HPO4) was added to the filtered river water for sufficient microbial growth. Then, the 130 inoculum solution was covered by aluminum foil to protect the solution from light to avoid the 131 growth of algae and finally kept at room temperature under slow shaking (80 rpm) for 2 days. 132 Prior to incubation, all artificial sediment samples were spiked with 3% (v/v) of the prepared 133 inoculum, and a sufficient amount (1%, v/v) of nutrients (NH₄NO₃ and K₂HPO₄ at 10 mM) was 134 added to each sample to avoid nutrient limitations during the incubation. Finally, the samples in 135 oxic and anoxic conditions were incubated in the dark at 25°C for 60 days (Guenet et al., 2014; 136 Navel et al., 2012). No control was prepared because it required the poisoning of the samples 137 with either mercuric chloride or sodium azide, which are extremely toxic and environmentally

138 harmful and more importantly result in fluorescence quenching (Park and Snyder, 2018; 139 Retelletti Brogi et al., 2019). Samples under oxic conditions were aerated every 3 days under a 140 fume hood in order to minimize the contamination by microorganisms in the air. Samples were 141 sacrificed for sampling on day 0 and day 60, with "day 0" corresponding to the day when the 142 samples were inoculated. The degradation experiments were carried out in duplicate.

143 The sampling was performed according to the following. First, samples were taken from the 144 incubator and the overlying water was carefully removed not to disturb the sediment. The 145 artificial sediment samples were then centrifuged at 5000 rpm for 20 min to remove the 146 porewater. Finally, the porewater-free sediment samples were freeze-dried, ground, and 147 homogenized for further analyses.

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149 **2.2. Spectroscopic measurements**

Translate to minimize the contamination by microorganisms in the air. Samples were

sacrificed for sampling on day 0 and day 60, with "day 0" corresponding to the day when the

sacrificed for sampling on day 0 and day 60, 150 To investigate the absorbance and fluorescence properties of the artificial sediment, it is 151 necessary to perform an extraction of the OM (e.g., extraction of the dissolved OM from the 152 sedimentary OM). Extraction can be performed with different solvents. According to our 153 previous results comparing common solvent-based OM extraction methods, the water extraction 154 was identified as the preferred extraction method for the application of the spectroscopic tool to 155 POM source discrimination (Derrien et al., 2019b). In this study, the same procedure was used to 156 obtain the water-extractable organic matter (WEOM) of the artificial sediment samples.

157 The dissolved organic carbon (DOC) concentrations were measured using a Shimadzu V-158 CPH TOC analyzer. The absorption spectra were scanned from 200 to 800 nm at 0.5 nm-interval 159 using an ultraviolet-visible (UV-vis) spectrometer (Shimadzu UV-1300). The fluorescence 160 excitation-emission matrices (EEMs) were obtained with a luminescence spectrometer (Hitachi

EXAMPLAB R2013b (Mathworks, USA) with the drEEM toolbox (Murphy et al., 2013). The

unidation of the PARAFAC model was made by split-half analysis and percentage of explained

variance (>99.5%). By using corrected EEMs, 161 F7000, Japan) following the procedure previously described by Retelletti Brogi et al. (2019). A 162 total of 82 EEMs were collected for the PARAFAC modeling, and it was processed using 163 MATLAB R2013b (Mathworks, USA) with the drEEM toolbox (Murphy et al., 2013). The 164 validation of the PARAFAC model was made by split-half analysis and percentage of explained 165 variance (>99.5%). By using corrected EEMs, several classical fluorescence indices (e.g., 166 fluorescence index and modified fluorescence index: FI and YFI, the humification index: HIX, 167 and the index of recent autochthonous contribution BIX) were calculated (Heo et al., 2016; 168 Huguet et al., 2009; Zsolnay et al., 1999). The maximum fluorescence intensities (F_{max}) of the 169 identified PARAFAC components were used to represent their relative abundance (%).

170

171 **2.3. Carbon and nitrogen stable isotope ratio analyses**

172 Before carbon stable isotope analysis, inorganic carbon was removed by 1N HCl 173 treatment, whereas untreated samples were directly used for the nitrogen isotope ratio analysis 174 (Carabel et al., 2006). The total organic carbon (TOC) and the carbon stable isotope ratio (δ^{13} C) 175 were measured using an elemental analyzer that was coupled with an isotope ratio mass 176 spectrometer (EA-IRMS; EuroEA-Isoprime IRMS, GV Instruments, UK). Stable isotope ratios 177 were calculated using the standard d notation:

$$
\delta^{13}C(\%o) = ((R_{sample}/R_{Reference}) - 1) \times 1000
$$
 (1)

178 where R is the corresponding ratio of ${}^{13}C/{}^{12}C$. The standard reference material was IAEA-CH-3, 179 Cellulose $(\delta^{13}C = -24.724 \pm 0.041)$ (vs VPDB, Vienna Pee Dee Belemnite)). The analytical 180 precision was 0.05‰.

181

182 **2.4. The sterols/stanols analysis**

3 described in Derrien et al. (2011). The total lipid extract was then fractionated using solid/liquid

3 chromatography (silica column) to isolate the polar fraction. The polar fraction was derivatized

2 with a mixture 183 The total lipid fraction of the artificial sediments was extracted with dichloromethane 184 using an accelerated solvent extractor (ASE 200, Dionex). Conditions of the extraction are 185 described in Derrien et al. (2011). The total lipid extract was then fractionated using solid/liquid 186 chromatography (silica column) to isolate the polar fraction. The polar fraction was derivatized 187 with a mixture of N,O-bis-(trimethylsilyl)trifluoroacetamide and trimethylchloro-silane (BSTFA 188 + TMCS, $99/1$, v/v, Supelco) after addition of 5α -cholestane (CDN isotope) as an internal 189 standard (IS). Derivatized samples were analyzed by gas chromatography-mass spectrometry 190 (GC–MS) using a Shimadzu QP2010plus equipped with a capillary column (Supelco, 191 60m×0.25mm ID, 0.25 µm film thickness). The temperature of the transfer line was set at 280°C, 192 and molecules were ionized by electron impact using the energy of 70 eV. The temperature of 193 the ionization source was set at 200°C. Samples were injected in splitless mode at 310°C. The 194 oven temperature was programmed from an initial temperature of 200°C (held for 1 min) then 195 rising to 310°C at 15°C/min (held for 35 min). Helium was used as the carrier gas, with a flow 196 rate of 1.0 mL/min. The analyses were made in selective ion monitoring mode (SIM). The 197 compound identification was performed based on the comparison of the retention times and the 198 mass spectra with the available standards or the literature data (Table S1) (Debieu et al., 1992; 199 Derrien et al., 2019b; Harrault et al., 2019). The quantification was achieved with a 5-point 200 internal calibration curve (two series of calibration solutions: 0.1, 0.3, 0.5, 0.7 and 1ppm or 1, 3, 201 5, 7, and 10 ppm) of sterol and stanol standards with a constant IS concentration at 0.5 or 5 ppm, 202 respectively.

203

204 **2.6. Conservative mixing relationship and statistical analyses**

27 and used in a previous study (Derrien et al., 2019b). First, the linearity of the measured

28 parameters in artificial sediments was examined with respect to the increasing abundance of one

29 end-member (e.g., soil 205 In order to assess the applicability of the different source tracking tools during early 206 diagenetic processes, several evaluation criteria were established, which were already presented 207 and used in a previous study (Derrien et al., 2019b). First, the linearity of the measured 208 parameters in artificial sediments was examined with respect to the increasing abundance of one 209 end-member (e.g., soil or algae). The relationship was considered "linear" for a high coefficient 210 of determination $R^2 > 0.8$ and an associated p-value < 0.01 . Then, the regression slope of the 211 measured values (Sm) normalized by the standard deviation of the measurement (Sm/SD) was 212 used to evaluate the sensitivity of the source discrimination. A value of the $\text{Sm} / \text{SD} \geq 0.5$ was 213 considered as an acceptable range for the sensitivity regarding the source discrimination relative 214 to the measurement uncertainty. Finally, the deviation from the ideal values for a conservative 215 mixing relationship was estimated in calculating the percent difference (%difference) between 216 the slopes for the measured (Sm) and the predicted (Sp) values. The predicted values were 217 calculated using the pure end member's values and the mixing ratios under a linear conservative 218 mixing assumption (Table S2). A %difference of < 10% was considered to be an acceptable 219 degree of the deviation for the conservative mixing relationship.

220

221 **3.RESULTS AND DISCUSSION**

222 **3.1. Spectroscopic indices**

223 3.1.1. Identification of different fluorescent components via EEM-PARAFAC

224 Four different components were identified, which included two humic-like components 225 (C1 and C2) and two protein-like components (C3 and C4) (Fig. S1). All the identified 226 components were consistent with those previously reported and/or matched well with the Open 227 Fluor database with similarity scores > 0.95 (Table S3). Component 1 (C1), which had a 228 maximum at 220/430 nm (Ex/Em), can be assigned to a typical terrestrial humic-like component 229 (Graeber et al., 2012; Retelletti Brogi et al., 2019b; Yamashita et al., 2010). Component 2 (C2), 230 which exhibited the maxima at 220/547 nm (Ex/Em) can be associated with a humic-like 231 component or aromatic conjugated macromolecular substances of terrestrial origin (Galletti et 232 al., 2019; Osburn et al., 2016b; Wünsch et al., 2017). Component 3 (C3, peaked at 220,265/361 233 nm (Ex/Em)) and component 4 (C4, peaked at 220/309 nm (Ex/Em)) were reported as protein-234 like substances, namely tryptophan-like and tyrosine-like components, respectively (Cawley et 235 al., 2012; D'Andrilli et al., 2017; Derrien et al., 2019c; Yamashita et al., 2013).

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which exhibited the maxima at 220/547 nm (Ex/Em) can be associated with a humic-like
component or aromatic conjugated macromolecular substances of terrestival origin (Galletti et
2 al., 2019: Osburn et al., 2016: Wünsch e 237 3.1.2. Changes in the absorbance and fluorescence proxies of the end-members after incubation 238 The original (at 0-day of incubation) spectroscopic characteristics of the end-members for 239 this study were consistent with those reported in our previous study (Derrien et al., 2019b) 240 (Table 1). Briefly, the soil end-member was mainly characterized by a high abundance of both 241 humic-like components, C1 and C2, with the percentages of $> 47\%$ and $> 34\%$, respectively. On 242 the contrary, the algae end-member consisted only of the two protein-like components, C3 and 243 C4, in equal proportions. Higher values of SUVA₂₅₄ (> 2 L.mg⁻¹.m⁻¹) and HIX (> 15) were 244 observed for the soil end-member corroborating the high content of humic substances (Derrien 245 et al., 2017; Zsolnay et al., 1999) while higher values of YFI (>3.5) and BIX (>1) were for the 246 algae end-member reflecting its allochthonous origin (Heo et al., 2016; Huguet et al., 2009).

247 The biodegradation led to changes in most of the spectroscopic parameters for both end-248 members (Table 1). Although there were some differences between the two oxygen conditions, 249 these differences were not statistically significant as the p-values were > 0.95 (e.g., 0.95 and 0.99 250 for soil and algae end-members, respectively). After 60 days of incubation, the soil end member 251 exhibited a partial (~30%) to complete decrease in the abundance for both protein-like 252 components (C3 and C4). For the main fluorescent components, biodegradation induced a slight 253 depletion of the component C1 \ll 10%) while the second humic-like component (C2) became 254 more enriched by 20 to 30 %. Regarding the spectroscopic indices, substantial changes were 255 mainly observed for HIX with an increase of the value from 16.9 ± 0.7 to 21.3 ± 0.2 under oxic 256 conditions. However, the increase was slight under anoxic conditions. These results suggest the 257 initial consumption of labile substances as reflected by the partial to complete consumption of 258 the two protein-like components, and a production of high molecular weight and aromatic 259 substances (C2) through the alteration of existing compounds (C1) and/or the production of new 260 compounds by autotrophic organisms (Derrien et al., 2019a; Hansen et al., 2016; Kinsey et al., 261 2018).

3 depletion of the component C1 (< 10%) while the second humic-like component (C2) became

1 more enriched by 20 to 30 %. Regarding the spectroscopic indices, substantial changes were

2 mandy observed for HIX with an inc 262 The algae end member also displayed biodegradation-induced changes in the spectroscopic 263 parameters. The most notable change was the decrease in the relative abundance of the C3 264 component (i.e., %C3) changing from 46.0 ± 0.8 to 42.4 ± 1.1 % in parallel to the increase of the 265 %C4 values from 52.5 ± 0.6 to 56.5 ± 1.1 %. The two fluorescence indices, FI and YFI, showed 266 an increasing trend over incubation, with highest increasing trend for FI under oxic conditions 267 (0.7 \pm 0.0 to 1.0 \pm 0.0) and YFI under anoxic conditions (3.6 \pm 0.0 to 4.1 \pm 0.0). The rest of the 268 indices exhibited little to slight changes in the values specifically under anoxic conditions. For 269 instance, BIX presented an increase of ~20% after incubation under anoxic conditions compared 270 to 3% under oxic conditions. Although the results could be apparently interpreted as the 271 occurrence of a potential effect of the oxygen condition, the t-test demonstrated that these 272 changes were not significant enough (p-values >0.95). These observations suggest that the

273 biodegradation-induced changes in the spectroscopic source indices were less pronounced for the 274 algae end-member than for the soil counterpart.

275

276 3.1.3. Biodegradation-induced deviations from a relationships

3 3.1.3. Biodegradation-induced deviations from a relationships

3 3.1.3. Biodegradation-induced changes in the absorbance and fluorescence proxies with the

3 increasing of the algal content in the artificial sediments a 277 Biodegradation-induced changes in the absorbance and fluorescence proxies with the 278 increasing of the algal content in the artificial sediments are shown in Figs. 1 and 2. The oxygen 279 condition does not seem to be a critical factor for the biodegradation-induced changes as the 280 results were similar between the oxic and anoxic conditions (p-value of >0.96). The trends of the 281 proxies at 0 day and 60 days of incubation (i.e., %C1, %C2, YFI, HIX, and BIX; Fig. 1 and Fig. 282 2c, d, e) with increasing algal content in the mixture mostly remained the same (p-values > 0.6 283 for each proxy), which demonstrates a minor effect of the degradation on the changing trends of 284 the signals with source mixing. By contrast, deviations between both incubation times were 285 observed for the proxies including %C3, %C4, SUVA₂₅₄, and FI. SUVA₂₅₄ and FI (Fig 1 and Fig 286 2a, b) displayed a deviation after biodegradation along with the increase of the algae fraction 287 except for the mixing ratio soil/algae 25/75. However, for %C3 and %C4, the deviation is the 288 most pronounced at the particular mixing ratio (i.e., 25/75).

289 In order to evaluate the applicability of spectroscopic indices to identify OM sources 290 during early diagenesis, the initial relationships between the absorbance/fluorescence proxies and 291 the abundance of algal content in the mixture were investigated (Table 2) and then compared to 292 the relationship after the biodegradation (Table 3). At 0-day of incubation (Table 2), only %C1, 293 %C2, %C4, and SUVA₂₅₄ satisfied the threshold values of the three evaluation criteria (i.e., R^2) 294 with p-value < 0.01 , Sm/SD > 0.5 , and % difference $> 10\%$ for one (e.g. %C2 and SUVA₂₅₄) or 295 both of the oxygen conditions (e.g. $\%$ C1 and $\%$ C4). The parameters of YFI and HIX can also be

3 anoxic and oxic conditions, respectively. BIX does not validate any of the criteria. Among these

9 spectroscopic indices, after incubation, only %C1, YFI and HIX still satisfied all the evaluation

1 eriteria for both 296 suggested as good indices in terms of the relationship linearity as they meet 2 out of the 3 criteria 297 without any effect of the oxygen condition, while %C3 and FI seem to be reliable indices for 298 anoxic and oxic conditions, respectively. BIX does not validate any of the criteria. Among these 299 spectroscopic indices, after incubation, only %C1, YFI and HIX still satisfied all the evaluation 300 criteria for both oxygen conditions after biodegradation except HIX under anoxic condition 301 which did not validate the sensitivity criterion $(S_m/SD = 0.169)$ (Table 3). The other indices 302 failed to meet the criteria in terms of linearity, sensitivity and exhibited a higher degree of the 303 deviation from the ideal end-member mixing ratios (i.e., $\%C3$, $\%C4$, SUVA₂₅₄, FI) after 304 microbial degradation. The non-conservative behavior of the latter indices is probably related to 305 the labile characteristic of these compounds (protein-like). From the comparison of all 306 spectroscopic proxies using the evaluation criteria at 0-day and 60-days incubation, it can be 307 concluded that the relative abundance of C1 and the two fluorescence indices, YFI and HIX, 308 represent the optimum fluorescence proxies for the OM source discrimination with varying end-309 member mixing ratios.

310

311 **3.2. Carbon isotope ratios**

312 3.2.1. Changes for carbon isotope ratios of the end-members after incubation

313 As has been found for the spectroscopic characteristics, the isotope results of the end-314 members at 0-day of incubation were similar to those reported in our previous study (Derrien et 315 al., 2019b) and in lines with other literature (Pardue et al., 1976; Yu et al., 2015). The values for 316 the soil end-member were $-27.2 \pm 0.1\%$ (oxic) and $-26.7 \pm 0.1\%$ (anoxic), while those of the 317 algae end-member were $-11.9 \pm 0.0\%$ (oxic) and $-11.4 \pm 0.1\%$ (anoxic).

318 After incubation, the values of each end-member remained invariant suggesting no effect 319 of the biodegradation on the carbon isotopic composition. In addition, no significant difference 320 was observed between the two oxygen conditions as the p-values were >0.3 and >0.9 for soil and 321 algae end-members, respectively. These results confirm the chemical stability of the $\delta^{13}C$ to trace 322 the sedimentary OM under the early diagenetic processes irrespective of oxygen presence.

323

324 3.2.2. Biodegradation-induced deviations from end member mixing analysis (EMMA) 325 relationships

was observed between the two oxygen conditions as the p-values were >0.3 and >0.9 for soil and
a lgage end-members, respectively. These results confirm the chemical stability of the 8^{13} C to trace
2 the sedimentary OM Biodegradation-induced changes in the δ^{13} C values of the artificial sediment mixtures 327 with the increase of the algal content are shown in Fig. 3. The variation of the δ^{13} C values 328 exhibited a linear relationship with the increase of the algal end member fraction both before and 329 after biodegradation (Tables 2 and 3). In addition, the trend of the carbon stable isotope with 330 increasing algal content in the mixture mostly remained the same before and after 60-days of 331 incubation, demonstrating the resistance to isotopic alteration of $\delta^{13}C$ during sedimentary 332 diagenesis. However, a deviation was exceptionally noted for a mixing ratio with an equal 333 proportion of both end-members (soil/algae, 50/50). The decomposition of OM, mediated by a 334 variety of microbial processes, can progressively modify the bulk composition of the organic 335 substrates because different OM fractions do not have the same degradation rate (Lehmann et al., 336 2002; Meyers, 1994). Such selective losses of certain OM fractions may lead to a diagenetic shift 337 in δ^{13} C (Benner et al., 1997; Harvey et al., 1995), leaving irreversible changes in the original 338 signature. This is probably what was observed in this present study for the 50/50 artificial 339 sediment mixture. Although the stable isotopes are widely recognized as a reliable and robust 340 tool to trace sediment OM sources and their linear and conservative behavior in a context of

341 artificial end-member mixing (Derrien et al., 2019b), their specificity to individual sources might 342 be weakened by early diagenesis of sediments (Bianchi and Canuel, 2011; Xiao and Liu, 2010).

Bespite this slight deviation, the δ^{13} C tool satisfied the three (and 2 for anoxic condition) 344 established criteria (e.g., linearity, sensitivity, and deviation), evidencing its linear conservative 345 mixing behavior after biodegradation, with the R^2 values ranging from 0.969 (oxic) and 0.898 346 (anoxic) with the p-values of ≤ 0.01 , % difference of <10% and a sensitivity Sm/SD of 1.431 347 (oxic condition) (Table 3). These results clearly demonstrate the robustness and reliability of the δ^{13} C tool for source discrimination of the sedimentary OM even after diagenetic processes.

349

350 **3.3. Sterols/stanols biomarkers**

351 3.3.1. Changes in the sterol/stanols distributions of the end-members after incubation

3 Despite this slight deviation, the $8^{13}C$ tool satisfied the three (and 2 for anoxic condition)

1 established criteria (e.g., linearity, sensitivity, and deviation), evidencing its linear conservative

5 mixting beha 352 The original (at 0-day of incubation) biomarker distribution of the end-members for this 353 study was consistent with those reported in our previous study (Derrien et al., 2019b). Briefly, 354 the soil end member was characterized by abundant amounts (> 80%) of terrestrial sterols, such 355 as sitosterol, stigmasterol, and campesterol (Table 1), which agreed with the previous literature 356 (Bouloubassi et al., 1997; Derrien et al., 2011; Volkman, 2005). Fecosterol, a fungi or lichens 357 sterol biomarker (Debieu et al., 1992), sitostanol (i.e., a by-product of sitosterol) and cholesterol, 358 the most ubiquitous sterol (Leeming and Nichols, 1998), contributed <10% of the total 359 sterol/stanol distribution. Unlike the soil end-member, the algae was characterized by a high 360 abundance (>70%) of ergosterol (i.e., a green algae sterol biomarker) and the occurrence of 361 sitosterol and cholesterol, which corresponded to ~18% and ~5% of the sterol compounds in the 362 distribution, respectively.

363 After biodegradation, the distribution in sterols/stanols in the end-members remained the 364 same, suggesting no effect of biodegradation such as it was observed for the carbon isotopic 365 composition. Likewise, no significant difference was observed between the two oxygen 366 conditions with the p-values of > 0.90 for both end-members. These results confirm the chemical 367 stability of the biomarkers to trace the sedimentary OM sources under 60 days of diagenesis 368 dominated by biodegradation.

369

370 3.3.2. Biodegradation-induced deviations from EMMA relationships

5 composition. Likewise, no significant difference was observed between the two oxygen
5 conditions with the p-values of > 0.90 for both end-members. These results confirm the chemical
7 stability of the biomarkers to t 371 Biodegradation-induced changes in the distribution of sterol/stanol biomarkers in the 372 artificial sediments with increasing algal content are shown in Fig. 4. In most cases, the oxygen 373 condition does not seem to be a critical factor for the biodegradation-induced changes, which 374 was observed for all the biomarkers (p-value >0.99). For all the biomarkers, the observed 375 distribution values at all varying algal content between 0-day and 60-days of incubation were the 376 same based on paired t-test (p-value > 0.5). These observations demonstrate the chemical 377 stability of these lipid biomarkers to biodegradation-induced alterations.

378 Regarding the applicability of the lipid biomarker to identify the source of the 379 sedimentary OM during early diagenesis, none of them satisfy the established evaluation criteria 380 either at 0-day or 60-days of incubation (Table 2 and 3). Surprisingly, the source-specific 381 biomarkers, found only in one of the two end-members (Table 1) such as ergosterol, fecosterol, 382 campesterol, stigmasterol or sitostanol, even did not follow a conservative behavior with sources 383 mixing before biodegradation as the highest R^2 were still < 0.8 with p-values > 0.05. For 384 example, campesterol, presented a R^2 of 0.695 with a p-values of 0.079 and 0.722 (p-385 value=0.068) in oxic and anoxic conditions, respectively (Table 2). It is noteworthy that 386 biodegradation-induces changes on the sitostanol resulted in improved linearity criterion with the R² 387 values changing from 0.530 (0.163) to 0.638 (0.105) and 0.544 (0.155) to 0.723 (0.068) for 0- 388 day and 60-days of incubation, respectively and specifically the reduction in the deviation under 389 anoxic condition (i.e., 11.8% to 6.2%). This singular behavior can be related to the nature of the 390 by-products of the biomarker showing a higher sensitivity to microbial alterations (Bull et al., 391 2002). On the other hand, the incapability of the sterols and stanols lipid biomarker to reflect a 392 conservative behavior with sources mixing was already suggested by previous studies (Derrien et 393 al., 2019b; Shah et al., 2007). Nevertheless, it is noteworthy to observe the conservative behavior 394 of these lipid biomarkers under early diagenetic processes.

395

396 **3.4. Implications for the applicability of the organic matter source tracking tools**

3 day and 60-days of incubation, respectively and specifically the reduction in the deviation under

2 anovic condition (i.e., 11.8% to 6.2%). This singular behavior can be related to the nature of the

2 vy-products of th 397 In this study, the discrimination capacities of the commonly used source tracking tools 398 during biodegradation were evaluated and compared using artificial sediments with different 399 mixing ratios of two contrasting OM sources. Two modes were observed: (i) conservative 400 behavior in terms of both sources mixing and biodegradation and (ii) single conservative 401 behavior in terms of biodegradation only. The results of this study demonstrated the strong 402 capacity and the robustness of the carbon stable isotope ratios to identify and estimate the source 403 contribution in artificial sediment mixtures under diagenesis processes. Several spectroscopic 404 indices, such as the relative distribution of the specific fluorescent components (i.e., C1: typical 405 terrestrial humic-like component), YFI, and HIX also represent a robust source tracking tools 406 that were applicable for source apportionment to the artificial sediment mixtures samples under 407 the influence of biodegradation. Regrettably, the lipid biomarkers failed in source apportionment 408 in the artificial sediment mixtures. However, they demonstrated a resistance to microbial

409 alterations in time. Regarding the oxygen conditions, this study provides, for the first time, clear 410 evidence of little to slight effect on the efficacy of the 3 tested sources tracking tools in artificial 411 sediment mixtures.

Experiment mixtures.

2 and of these tools were widely utilized to track and estimate OM sources in various

2 and of these tools were widely utilized to track and estimate OM sources in various

3 aquatic environments, in 412 All of these tools were widely utilized to track and estimate OM sources in various 413 aquatic environments, including rivers, groundwater, lakes, coastal area and oceans (Affouri and 414 Sahraoui, 2017; Derrien et al., 2018; Li et al., 2015; Ogrinc et al., 2005; Osburn et al., 2016a; 415 Toming et al., 2013; Zhang et al., 2017). They were used with the assumption of a conservative 416 mixing behavior and stability under diagenetic processes without firstly evaluating the potential 417 limited applicability. But this study provides strong evidence that the application of these 418 commonly-used tools for OM source tracking could lead to a disruption of the source assignment 419 to a wrong estimation of OM source contribution in the context of early diagenesis. Aside from 420 demonstrating a certain weakness to a complete failure of some of the commonly-used source 421 tracking tools to identify and estimate OM source contribution, this study also raises the question 422 of temporal scale. 60 days of incubation correspond to a short period of time at the diagenesis 423 scale. Therefore, it is reasonable to presume that in higher temporal scale, the limitations of the 424 tools may increase to reach the point where the source tracking tools will not be sustainable 425 anymore, leading to misinterpretations of the biogeochemical processes and dynamics of the OM 426 in specific environments.

427 Experiments carried out under controlled conditions can help in identifying the effects 428 that certain factors have on OM dynamics and properties by excluding any interferences that 429 might exist in real environments (Derrien et al., 2019a). In the present case, we tried to elucidate 430 the combined effect of multiple factors (i.e., microbial degradation, mixing sources ratios and 431 oxygen condition). Although the results of this study provide significant insights for the

432 characterization of the OM and more generally for the biogeosciences field, it is necessary to be 433 aware of some limitations of this kind of approach (i.e., a limited number of OM sources (i.e., 434 soil and algae) and choice of the degradation time). Nevertheless, in this current framework, 435 carbon stable isotope ratios, the relative distribution of refractory material fluorescent 436 component, YFI and HIX indices could be suggested as a reasonable tracer with the most 437 conservative behavior and the highest sensitivity to source discrimination in the period of early 438 diagenesis.

439

440 **4. CONCLUSION**

8 Soil and algae) and choice of the degradation time). Nevertheless, in this current framework,

5 carbon stable isotope ratios, the relative distribution of refractory material fluorescent

5 component. YFI and HIX indic 441 The applicability of three commonly-used source tracking tools (i.e., fluorescence 442 proxies, carbon stable isotope ratios, and lipid biomarkers) was tested on artificial sediments of 443 different mixing ratios of two contrasting OM sources and after biodegradation for both oxygen 444 conditions (i.e., oxic and anoxic). Based on three evaluation criteria concerning the linearity of 445 the relationships, the discrimination sensitivity, and the conservative mixing behavior, the 446 following conclusions can be drawn:

- 447 The oxygen condition seems to make a limited contribution to biodegradation-induced 448 changes by comparing initial and final tested properties after 60 days of degradation.
- 449 Most of the sources tracking proxies evaluated in this study show a conservative behavior 450 with respect to biodegradation. By contrast, only a few demonstrated a linear relationship 451 with the increasing portion of one end-members in the mixtures.
- 452 The fluorescence proxies such as the relative distribution of a humic-like component 453 associated with refractory source material (C1, Ex/Em: 220/430nm), YFI, and HIX 454 indices were found to be reliable proxies for source tracking after biodegradation.
	- 20

455 • Carbon stable isotope ratios exhibited a linear conservative relationship with varying 456 mixing ratios of end members before and after biodegradation, demonstrating its strong 457 capacity for identifying the source's contribution at any time under diagenesis.

458 • The biomarkers of sterols/stanols did show a conservative nature under biodegradation 459 however they did not present a good linear and conservative behavior with varying 460 mixing ratios of end members.

capacity for identifying the source's contribution at any time under diagenesis.

• The biomarkers of sterols/stanols did show a conservative nature under biodegradation

however they did not present a good linear and cons 461 Although these results clearly demonstrate the sensitivity to early diagenesis processes to 462 most of the commonly used sources tracking tools, further studies need to be performed to 463 rigorously evaluate the effects of the incubation time, temperature, sediment depth to fully 464 validate these results.

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Figure 1. Relative abundances (%) of the four different fluorescent components (C1–C4) in the artificial sediments at five different mixing ratios of two end-members (i.e., soil and algae) under oxic and anoxic conditions at the incubation time of 0 and 60 days. The lines and dashed lines represent the evolution of the parameters with varying mixing ratios of the two end-members.

Figure 2. Values of the absorbance and fluorescent indices SUVA (a), FI (b), YFI (c), HIX (d), and BIX (e) in the artificial sediments at five different mixing ratios of two end-members (i.e., soil and algae) under oxic and anoxic conditions at the incubation time of 0 and 60 days. The blue color is used for the data of oxic conditions while pink color for those of anoxic conditions.

Figure 3. Values of the carbon stable isotopes in the artificial sediments at five different mixing ratios of two end-members (i.e., soil and algae) under oxic and anoxic conditions at the incubation time of 0 and 60 days. The blue color is used for the data of oxic conditions while pink color for those of anoxic conditions.

Figure 4. Relative abundances (%) of the seven sterols/stanols in the artificial sediments at five different mixing ratios of two end-members (i.e., soil and algae) under oxic and anoxic conditions at the incubation time of 0 and 60 days. The lines and dashed lines represent the evolution of the parameters with varying mixing ratios of the two end-members.

Table 1. The relative changes of the 4 identified components (%) and the spectroscopic indices, the carbon stable isotopic ratios (‰) and the distribution in the sterols/stanols in the artificial sediment of the two end-members before versus after incubation under oxic and anoxic conditions (average values \pm standard deviation).

Parameters	Oxygen condition	Tendency	Relationship type ^a	$R^2(p$ -value)	$S_m^{\;\;b}$	S_m/SD^c	S_p^d		% difference ^e Applicability ¹
Extractable OM									
$C1$ (%)	Oxic		Linear	0.958 (< 0.01)	0.585	0.905	0.550	6.5	000
	Anoxic		Linear	0.979 (< 0.01)	0.488	0.992	0.465	4.9	000
C2(%)	Oxic		Linear	0.933 (< 0.01)	0.430	1.159	0.388	10.9	OOX
	Anoxic		Linear	0.904 (< 0.01)	0.361	0.752	0.328	9.9	000
C3(%)	Oxic		Linear	$0.935 \; (<0.01)$	0.501	0.917	0.449	11.7	OOX
	Anoxic		Linear	0.946 (< 0.01)	0.397	0.443	0.360	10.1	OXX
C4(%)	Oxic		Linear	$0.956 \; (<0.01)$	0.514	0.668	0.488	5.3	000
	Anoxic		Linear	0.939 (< 0.01)	0.452	0.713	0.433	4.4	000
SUVA254 $(L.mg^{-1}.m^{-1})$	Oxic		Linear	0.944 (< 0.01)	0.025	0.258	0.025	0.3	OXX
	Anoxic		Linear	0.961 (< 0.01)	0.021	1.433	0.022	1.6	000
FI	Oxic		Linear	0.877(0.019)	0.002	0.290	0.002	13.0	OXX
	Anoxic		Linear	0.964 (< 0.01)	0.001	0.137	0.001	1.5	OXO
YFI	Oxic		Nonlinear	0.775(0.049)	0.032	1.419	0.034	5.0	XOO
	Anoxic		Nonlinear	0.774(0.049)	0.030	3.006	0.032	7.4	XOO
HIX	Oxic		Linear	$0.956 \; (<0.01)$	0.186	0.551	0.168	11.3	OOX
	Anoxic		Linear	0.937 (< 0.01)	0.169	0.471	0.154	9.9	OXO
BIX	Oxic		Nonlinear	0.558(0.147)	0.007	0.361	0.008	16.5	XXX
	Anoxic		Nonlinear	0.519(0.170)	0.009	0.262	0.011	18.7	XXX
$\delta^{13}C$ (%0)	Oxic		Linear	$0.985 \; (<0.01)$	0.148	0.660	0.153	2.8	000
	Anoxic		Linear	0.863(0.023)	0.139	0.481	0.153	9.3	OXO
Cholesterol (%)	Oxic		Nonlinear	0.059(0.694)	0.010	0.029	0.001	726.5	XXX
	Anoxic		Nonlinear	0.028(0.787)	0.007	0.012	0.011	163.2	XXX
Ergosterol (%)	Oxic		Nonlinear	0.625(0.111)	0.607	0.299	0.739	17.8	XXX
	Anoxic		Nonlinear	0.562(0.144)	0.632	0.418	0.789	19.9	XXX
Fecosterol (%)	Oxic		Nonlinear	0.541(0.157)	0.064	0.061	0.074	12.8	XXX
	Anoxic		Nonlinear	0.635(0.107)	0.079	0.123	0.092	14.1	XXX
Campesterol (%)	Oxic		Nonlinear	0.695(0.079)	0.178	0.179	0.219	19.0	XXX
	Anoxic		Nonlinear	0.722(0.068)	0.175	0.300	0.215	18.4	XXX

Table 2. The evaluation of selected source discrimination indices in the relationships with increasing algal carbon fraction (% Algae) of the artificial sediments at 0-day incubation.

^b: The absolute value of the regression slope for the measured values.

 \cdot : The absolute value of the regression slope for the measured values divided by the average standard deviation of the measurement.

A value of the S_m/SD superior to 1.0 was considered as an acceptable sensitivity in the source discrimination relative to the measurement uncertainty

^d: The absolute value of the regression slope for the predicted values (e.g., data presented in Table S2).

^e: The difference (%) between the slope of the measured and predicted values. A difference of $< 10\%$ is considered an acceptable deviation. The value of 10% was arbitrarily chosen by the authors.

^f: The applicability is evaluated via the criteria based on R^2 , S_m/SD, and % difference. O means the value of the standard respect the critical value while X the indices failed (e.g., Critical values for R^2 and an associated p-value of R^2 , S_m/SD and % difference are $>$ 0.8 and < 0.01 , > 0.5 , and $< 10\%$, respectively).

Parameters	Oxygen condition	Tendency	Relationship type ^a	$R^2(p$ -value)	$S_m^{\;\;b}$	S_m/SD^c	S_p^d	% difference ^e	Applicability ^f
Extractable OM									
$C1$ (%)	Oxic		Linear	0.967 (< 0.01)	0.514	0.614	0.493	4.4	000
	Anoxic		Linear	0.980 (< 0.01)	0.499	0.795	0.477	4.5	000
C2(%)	Oxic		Linear	0.937 (< 0.01)	0.522	0.439	0.473	10.3	OXX
	Anoxic		Linear	0.922 (< 0.01)	0.512	0.281	0.446	14.9	OXX
$C3$ (%)	Oxic		Linear	0.643(0.103)	0.551	0.284	0.424	30.0	XXX
	Anoxic		Linear	0.737(0.063)	0.582	0.168	0.457	27.5	XXX
C4(%)	Oxic		Linear	0.787(0.045)	0.485	0.268	0.542	10.5	XXX
	Anoxic		Linear	0.809(0.038)	0.429	0.152	0.466	8.0	OXO
SUVA254 $(L.mg^{-1}.m^{-1})$	Oxic		Linear	0.856(0.024)	0.032	0.192	0.027	18.4	OXX
	Anoxic		Nonlinear	0.611(0.118)	0.026	0.080	0.019	36.9	XXX
FI	Oxic		Nonlinear	0.654(0.097)	0.003	0.200	0.003	5.7	XXO
	Anoxic		Nonlinear	0.321(0.319)	0.001	0.112	0.001	29.8	XXX
YFI	Oxic		Linear	0.867(0.021)	0.036	0.682	0.035	2.3	000
	Anoxic		Linear	0.919 (< 0.01)	0.038	0.688	0.038	0.8	000
HIX	Oxic		Linear	0.934 (< 0.01)	0.214	0.542	0.212	1.3	000
	Anoxic		Linear	0.898(0.014)	0.183	0.169	0.167	9.5	OXO
BIX	Oxic		Nonlinear	0.739(0.062)	0.009	0.534	0.010	8.5	XOX
	Anoxic		Nonlinear	0.622(0.113)	0.013	1.202	0.015	13.6	XOX
$\delta^{13}C$ (%0)	Oxic		Linear	0.969 (< 0.01)	0.150	1.431	0.151	0.7	000
	Anoxic		Nonlinear	0.898(0.014)	0.138	0.274	0.152	9.3	OXO
Cholesterol (%)	Oxic		Nonlinear	0.346(0.297)	0.038	0.068	0.036	$\overline{5.8}$	XXO
	Anoxic		Nonlinear	0.123(0.563)	0.018	0.022	0.037	49.8	XXX
Ergosterol $(\%)$	Oxic		Nonlinear	0.536(0.160)	0.724	0.835	0.911	20.6	XOX
	Anoxic		Nonlinear	0.560(0.146)	0.591	0.335	0.736	19.8	XXX
Fecosterol (%)	Oxic		Nonlinear	0.328(0.313)	0.051	0.069	0.066	23.7	XXX
	Anoxic		Nonlinear	0.591(0.129)	0.074	0.059	0.088	16.0	XXX
Campesterol $(\%)$	Oxic		Nonlinear	0.620(0.114)	0.176	0.262	0.223	21.0	XXX
	Anoxic		Nonlinear	0.630(0.109)	0.172	0.392	0.219	21.6	XXX

Table 3. The evaluation of selected source discrimination indices in the relationships with increasing algal carbon fraction (% Algae) of the artificial sediments at 60-days incubation.

^b: The absolute value of the regression slope for the measured values.

^c: The absolute value of the regression slope for the measured values divided by the average standard deviation of the measurement.

A value of the S_m/SD superior to 1.0 was considered as an acceptable sensitivity in the source discrimination relative to the measurement uncertainty

^d: The absolute value of the regression slope for the predicted values (e.g., data presented in Table S2).

^e: The difference (%) between the slope of the measured and predicted values. A difference of $< 10\%$ is considered an acceptable deviation.

^f: The applicability is evaluated via the criteria based on R^2 , S_m/SD, and % difference. O means the value of the standard respect the critical value while X the indices failed (e.g., Critical values for R^2 and an associated p-value of R^2 , S_m/SD and % difference are $>$ 0.8 and < 0.01 , > 0.5 , and $< 10\%$, respectively).