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1 Chemical composition of Pluto aerosol analogues

Lora Jovanović¹, Thomas Gautier¹, Véronique Vuitton², Cédric Wolters², Jérémy Bourgalais¹,
Arnaud Buch³, François-Régis Orthous-Daunay², Ludovic Vettier¹, Laurène Flandinet²,
Nathalie Carrasco¹

- 5
- ¹ LATMOS/IPSL, UVSQ Université Paris-Saclay, Sorbonne Université, CNRS, Guyancourt,
- 7 France
- 8 ² Université Grenoble Alpes, CNRS, IPAG, Grenoble, France
- 9 ³ LGPM, CentraleSupélec, Université Paris-Saclay, Gif-sur-Yvette, France
- 10

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13

14 Abstract

Photochemical aerosols were observed in Pluto atmosphere during the *New Horizons* flyby on July 14th, 2015, as several thin haze layers extending at more than 350 km of altitude. This flyby has raised numerous questions on the aerosols formation processes and their impact on Pluto

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18 radiative transfer and climate. In order to gain a better understanding, we synthesized Pluto 19 aerosol analogues in a room-temperature dusty plasma experiment and inferred their chemical

20 composition from infrared spectroscopy, elemental composition analysis and very high-

resolution mass spectrometry (ESI+/Orbitrap device). Three types of samples were synthesized at 0.9 ± 0.1 mbar, called P₄₀₀, P₆₀₀ and P_{CO-free}. The samples P₄₀₀ and P₆₀₀ were produced from

gas mixtures mimicking Pluto atmosphere at around 400 and 600 km of altitude, respectively,

- in order to determine if CH₄ mixing ratio has an influence on the chemical composition of the
- aerosols. The sample $P_{CO-free}$ was produced from a gas mixture similar to the one forming the
- sample P_{400} , but without carbon monoxide, in order to identify the impact of CO.

Our study shows that the molecules constituting samples P_{400} and P_{600} are very rich in nitrogen atoms (up to 45 % in mass of N elements) and, compared to the molecules constituting the P_{CO} -

 $_{\rm free}$ sample, a significant incorporation of oxygen atoms was detected. Moreover, our results on

- 30 the variation of CH₄ mixing ratio demonstrate that different ratios lead to different reactivity
- between N_2 , CH_4 and CO. In particular, more nitrogen and oxygen atoms are detected in the bulk composition of the analogues P_{400} . Due to the presence of nitrogenated and oxygenated
- molecules in the analogues of Pluto aerosols, we suggest that these aerosols will have an impact
- on Pluto radiative transfer, and thus on climate, that will differ from predictions based on the
- 35 optical constants of Titan aerosol analogues.
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38 I. Introduction

Since 1988, ground-based observations have shown that Pluto is surrounded by a tenuous 39 atmosphere (Elliot et al., 1989; Hubbard et al., 1988), which is seasonal due to Pluto elliptic 40 orbit. This atmosphere is supplied by the sublimation of the surface ices composed of molecular 41 nitrogen N₂, methane CH₄ and carbon monoxide CO (Forget et al., 2017; Grundy et al., 2016; 42 Owen et al., 1993; Stern et al., 2015). These observations motivated the establishment of the 43 New Horizons mission, whose one of the goals was to characterize the structure, composition 44 and variability of neutral atmosphere of Pluto (Young et al., 2008). The New Horizons 45 spacecraft flew by Pluto at closest approach on July 14th, 2015, providing important information 46 47 about Pluto atmosphere thanks to its suite of instruments.

- From radio occultation data measured by the REX (Radio Experiment) instrument, the average 48 surface pressure was measured at approximately 11 µbar, while the temperature near surface 49 was estimated at about 45 K (Gladstone et al., 2016; Hinson et al., 2017). The composition of 50 51 Pluto atmosphere was confirmed from solar ultraviolet occultation data recorded by the ALICE spectrograph (Young et al., 2018). Pluto atmosphere is mainly composed of N_2 – in vapor-52 pressure equilibrium with N_2 ice – and CH₄. In addition to these molecules, 515 ± 40 ppm of 53 CO was detected with submillimeter observations by ALMA (Lellouch et al., 2017) and few 54 hydrocarbons (C_xH_y) are also part of the atmosphere as trace species (Young et al., 2018). 55
- Considering this atmospheric composition a nitrogen-based atmosphere containing a 56 substantial fraction of methane – and the presence of spikes in the lightcurve of the stellar 57 occultation by Pluto on June 9th, 1988, the presence of photochemical aerosols was suspected 58 and discussed since the 1990's (Elliot et al., 1989; Krasnopolsky and Cruikshank, 1999; Lara 59 et al., 1997; Lellouch, 1994; Stansberry et al., 1989). These aerosols were finally evidenced 60 when Pluto was investigated by New Horizons, by means of forward scattering observations 61 and solar occultations. Pluto aerosols aggregate into several optically thin haze layers of about 62 63 a few kilometers, extending at an altitude of > 350 km and accounting for about 0.1 ppmy of the atmosphere (Cheng et al., 2017; Gladstone et al., 2016; Stern et al., 2015; Young et al., 64 2018). The blue color of the observed haze and the UV extinction exceeding unity are consistent 65 with very small and forward-scattering particles (Rayleigh scatterers, with radius $r \sim 10$ nm), 66 67 while the large forward scatter-to-back scatter ratio in the visible suggests much larger particles (r > 0.1 mm). Thus, the haze might be composed of randomly-shaped aggregates of spherical 68
- 69 particles with different sizes (Cheng et al., 2017; Gladstone et al., 2016; Stern et al., 2015).

70 These aerosols may have a deep impact on Pluto atmospheric chemistry, for instance through 71 the depletion from the atmosphere of small hydrocarbons that stick to the negatively-charged 72 aerosols and may form complex hydrocarbons (Luspay-Kuti et al., 2017). They may also impact the climate of Pluto, such as playing the role of condensation nuclei for clouds (Lavvas et al., 73 2016; Luspay-Kuti et al., 2017). They can also influence the radiative cooling by absorbing 74 75 1 to 5 % of incident solar radiations, explaining why Pluto atmosphere, at about 400 km of altitude, is around 30 K colder than predicted by the models (Gladstone et al., 2016; Zhang et 76 al., 2017; Zhu et al., 2014). Studying Pluto aerosols is thus of prime importance to understand 77 78 the physics and the chemistry of Pluto atmosphere.

- Recent photochemical models have been developed to explain the formation of aerosols in Pluto 79
- atmosphere, based on two processes (Cheng et al., 2017; Gladstone et al., 2016; Luspay-Kuti 80
- et al., 2017; Wong et al., 2017). 81
- At lower altitudes, between 200 and 400 km, the condensation of volatiles onto photochemical 82
- aerosols dominates (Luspay-Kuti et al., 2017; Mandt et al., 2017; Wong et al., 2017). This 83
- 84 condensation concerns C₂ hydrocarbons - C₂H₂, C₂H₄, C₂H₆ - HCN, CH₂NH, C₃H₄, C₃H₆,
- 85 CH₃CN, C₄H₂, HC₃N, C₂H₃CN, C₂N₂, CH₃C₂CN and C₆H₆ (Gao et al., 2017; Krasnopolsky and Cruikshank, 1999; Lara et al., 1997; Luspay-Kuti et al., 2017; Mandt et al., 2017; Wong et
- 86
- 87 al., 2017).
- 88 In the upper atmosphere, above 400 km of altitude, the aerosols formation is dominated by 89 photochemistry (Gladstone et al., 2016; Wong et al., 2017; Young et al., 2018). By analogy
- with Titan, whose atmosphere is mainly composed of molecular nitrogen and methane, a 90
- 91 complex photochemistry is initiated in Pluto upper atmosphere by far-ultraviolet sunlight and
- 92 by solar photons that are scattered back to the Solar System by hydrogen present in
- interplanetary medium (Gladstone et al., 2016, 2015). This photochemistry involves neutral and 93
- ionic molecules generated from the ionization and the dissociation of N₂, CH₄ and CO, leading 94
- to the formation of complex hydrocarbons, nitriles and oxygenated organic molecules. Some of 95
- the molecules formed by photochemistry can then polymerize, resulting in the formation of 96 aerosols. Nevertheless, the exact reactive pathways leading to the formation of Pluto 97 98 photochemical aerosols are not well constrained yet.
- In this study, we used the experimental setup PAMPRE developed at LATMOS (Guyancourt, 99
- France) to produce analogues of Pluto photochemical aerosols. This experimental device 100
- 101 simulates the formation of aerosols by polymerization of molecules in the gas phase. The
- condensation of volatiles as occurring at low altitudes in Pluto atmosphere is therefore not 102
- reproduced in the simulation chamber, and is beyond the scope of this study. 103
- 104 Additionally, as Pluto aerosols are seen at least as high as 350 km of altitude, they are certainly formed higher in the atmosphere, but are there initially too small to scatter the photons in the 105 UV-Visible range. Moreover, the data obtained by the ALICE spectrograph (Young et al., 2018) 106 showed that the mixing ratio of CH₄ varies from 0.5 % at the surface to 50 % at about 1450 km 107 of altitude. Due to this variability in atmospheric composition, it is legitimate to wonder about 108 109 the variability in chemical composition of these aerosols.

The aim of this work is to constrain the chemical composition and the formation pathways of 110 Pluto photochemical aerosols. We performed for this purpose very high-resolution mass 111 112 spectrometry, infrared spectroscopy and elemental composition analyses of Pluto aerosol analogues. 113

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119 II. Experimental setup and analyses protocol 120 1. PAMPRE

Pluto aerosol analogues were produced using the PAMPRE experimental setup, already 121 described in detail in Szopa et al. (2006) and Alcouffe et al. (2010). PAMPRE is a Radio-122 Frequency Capacitively Coupled Plasma (RF CCP) generated in a gas mixture by a 13.56 MHz 123 generator (SAIREM GRP 01KE). The interaction between the electrons from the 124 discharge – mimicking the energy distribution of the solar photons (Szopa et al., 2006) – and 125 the injected molecules produces a plasma in which the aerosol analogues are formed in volume. 126 Before each experiment, the reactor is cleaned, heated and pumped down to a secondary 127 vacuum of 1.5×10^{-6} mbar. The gas mixture is introduced in the stainless-steel reactor through 128 the meshed polarized electrode, as a homogeneous and continuous flow, and is extracted by a 129 primary vane pump (Adixen by Pfeiffer Vaccuum Pascal 2015 SD). In this work, the gas mixture 130 introduced in the reactor, expected to be representative of Pluto atmosphere, is composed of 131 molecular nitrogen N_2 and methane CH_4 – in variable proportions – and 500 ppm of carbon 132 monoxide CO (*Air Liquide*, with impurities $H_2O < 3$ ppm, $O_2 < 2$ ppm and $C_xH_y < 0.5$ ppm). 133

For the experiments presented here, the total flow rate was set at 55 sccm (standard cubic 134 centimeters per minute), controlled by gas flow controllers (MKS), resulting in an overall 135 plasma pressure of 0.9 ± 0.1 mbar, at ambient temperature. Note that the pressure and 136 temperature are higher in the experiment than in Pluto upper atmosphere (~ 10^{-2} µ bar and ~ 65 137 K at 400 km of altitude and ~ 10^{-4} µbar and ~ 65 K at 600 km of altitude (Gladstone and Young, 138 2019)). However, the most important parameter, the ionization rate, is the same and in the order 139 140 of ppm_v. As ion-molecule reaction rates are relatively insensitive to low temperatures (Anicich et al., 2003; Böhme, 2000; Imanaka and Smith, 2009), the lower temperature in Pluto upper 141 atmosphere (65 K instead of 293 K in our experiment) is not an issue in our case. The higher 142 143 pressure induces shorter mean free path for the molecules, which main implication is just faster kinetics in the experiment. This remains true as long as the pressure is low enough to minimize 144 trimolecular reactions (a few mbar), which is the case in all our experiments. In contrast, using 145 similar ionization rate enables a realistic contribution of ions into the whole ion-neutral coupled 146 chemical network and thus better represents Pluto atmospheric chemistry. 147

The plasma is confined in a cylindrical metallic cage where the particles form. The cage contains grid apertures allowing the aerosol analogues to be ejected out of the plasma and to accumulate as a brownish powder on glass vessel walls surrounding the confining cage. The aerosol analogues were finally collected at atmospheric pressure for the *ex situ* analyses presented below.

153 **2.** Sample synthesis: Pluto aerosol analogues

154 In this work, two types of Pluto aerosol analogues were synthesized. The first one was produced 155 from a gaseous mixture containing 99 % of N₂, 1 % of CH₄ and 500 ppm of CO, representative 156 of the aerosols forming at 400 km of altitude in Pluto atmosphere – we will thereafter call it 157 P_{400} . The second type was produced from a gaseous mixture containing 95 % of N₂, 5 % of CH₄ 158 and 500 ppm of CO, which is representative of aerosols that could form at 600 km of altitude – 159 thereafter called P_{600} (Lellouch et al., 2017; Young et al., 2018).

- 160 In order to understand the impact of the presence of CO in Pluto atmosphere, aerosol analogues
- 161 were also synthesized from a plasma containing 99 % of N_2 and 1 % of CH₄, but without CO.
- 162 This type of analogue, afterward called $P_{CO-free}$ equivalent to Titan aerosol analogues– has
- been studied for decades (Cable et al., 2012; Coll et al., 2013, 1999; Imanaka et al., 2004;
- 164 Imanaka and Smith, 2010; Khare et al., 1984; McDonald et al., 1994; Sciamma-O'Brien et al.,
- 165 2017; Sekine et al., 2008; Szopa et al., 2006) (Table 1).

Composition of the reactive mixture			Corresponding altitude on Pluto	Acronym of the
N ₂	CH ₄	CO		aerosor analogues
99 %	1 %	500 ppm	400 km	P400
95 %	5 %	500 ppm	600 km	P ₆₀₀
99 %	1 %	-	-	P _{CO-free}

166 Table 1: Table presenting the three samples analyzed in this study.

167

3. Infrared spectroscopy by the Attenuated Total Reflectance technique

The infrared spectra of Pluto aerosol analogues were acquired using an Attenuated Total
 Reflectance (ATR) accessory on the *Thermo Scientific Nicolet 6700* spectrometer, driven by
 OMNIC software. The purpose of this analysis was to characterize the chemical functions
 present in the molecules constituting the samples.

A spatula tip of the aerosol analogues was deposited on a zinc selenide (ZnSe) crystal. Direct 173 contact between the sample and the crystal was facilitated by applying pressure to the sample. 174 175 An IR beam was directed onto the crystal at an angle of incidence greater than a critical angle. Under these conditions, an evanescent wave was generated and passed through the sample for 176 177 a few micrometers (0.5 to $5 \mu m$). Depending on the chemical functions present in the molecules constituting the aerosol analogues, the evanescent wave is absorbed at different characteristic 178 179 wavelengths. The resulting altered wave was collected by a DTGS KBr (deuterated-triglycine sulfate, potassium bromide) detector. 180

181 The spectrum presented here results from the accumulation of 250 scans, acquired between 500

and 4000 cm⁻¹, with a resolution of 4 cm⁻¹ corresponding to a digital increment of 1.928 cm^{-1} .

Background spectrum was also acquired before the sample analysis, to remove the contributionof ambient atmosphere.

4. Sample preparation for very high-resolution mass spectrometry analysis

To analyze the molecular composition of the aerosol analogues by very high-resolution mass 186 spectrometry (ESI+/Orbitrap technique), we dissolved about 1 mg of the samples in 1 mL of a 187 50/50 % (v/v) methanol/acetonitrile (*Carlo Erba*, UHPLC-MS quality) mixture (MeOH/ACN) 188 in a polypropylene vial at ambient conditions. The choice of the solvent was based on Titan 189 190 aerosol analogues literature, extracting most of the soluble fraction (Carrasco et al., 2009; Somogyi et al., 2005). The vials were then agitated and centrifuged for few minutes and then, 191 the supernatants were diluted at 0.5 mg/mL in order to stabilize the ionization source and to 192 optimize the ionization yield. 193

194 5. Very High-Resolution Mass Spectrometry by the ESI+/Orbitrap technique

In order to determine the stoichiometric formulae of the molecules constituting the soluble 195 fraction of Pluto aerosol analogues, we analyzed them by Very High-Resolution Mass 196 Spectrometry (VHRMS). We used the analytical instrument LTQ OrbitrapTM XL 197 (ThermoFisher Scientific) installed at IPAG (Grenoble, France), a Fourier Transform mass 198 spectrometer combining two mass analyzers: a Linear Trap Quadrupole (LTQ) and an Orbitrap 199 (Makarov et al., 2006; Perry et al., 2008). The LTQ selects the ions belonging to a specific mass 200 range and brings them to the C-trap. The C-trap then accumulates the ions and focalizes them 201 to the Orbitrap, which acts as mass analyzer. The ionization source was the ElectroSpray 202 203 Ionization (ESI) in positive mode (ESI+) (Banks and Whitehouse, 1996; Yamashita and Fenn, 204 1984). ESI+ is a "soft" ionization method producing mainly mono-charged (and few multicharged) ions by adding protons (H⁺) without any substantial fragmentation of the molecules. 205 This positive mode of ionization has been widely used for Titan aerosol analogues analysis in 206 the literature (Sarker et al., 2003; Somogyi et al., 2016, 2012, 2005; Vuitton et al., 2010) and, 207 as a "soft" ionization method, gives access to the pristine molecules present in the soluble 208 fractions of interest. Furthermore, elemental composition analysis of Titan aerosol analogues 209 produced with different proportions of CO has shown that nitrogen and oxygen atoms are 210 substantial components of the samples (Fleury et al., 2014). The ESI+ source is thus adapted to 211 the analysis of our samples due to the proton affinity of the nitrogen and oxygen atoms 212 constituting our analyzed molecules (Rodgers et al., 2005). Nevertheless, it should be kept in 213 mind that this technique can only study the soluble fraction of the samples, which probably 214 215 represents only 20 to 35 % of the total solid mass of Pluto aerosol analogues (Carrasco et al., 2009). 216

The sample was injected into the ESI+ source using a syringe through a polyetheretherketone (PEEK) capillary (direct infusion) with a fixed flow of 3 μ L/min. The capillaries were systematically washed with methanol between each sample analysis. The analyses were performed using *Thermo Tune Plus* software, with the following ESI+ source parameters: a spray voltage of 3.5 kV, a sheath gas flow rate of 5 (arbitrary unit), an aux gas flow rate of 0 (arbitrary unit), a sweep gas flow rate of 0 (arbitrary unit), a capillary voltage of 34 V, a capillary temperature of 275 °C and a tube lens voltage of 50 V.

As we estimated that no unequivocal molecular assignment can be made above m/z 300 due to the high complexity of the material analyzed (Gautier et al., 2014), we restricted our analysis from m/z 50 to m/z 300.

In order to increase the signal/noise ratio, each mass spectrum is the mean of 4 scans and each scan is the sum of 128 microscans. The maximum ion injection time allowed into the Orbitrap was 500 ms. The mass spectra were acquired with a resolution $m/\Delta m$ set to 100,000 at m/z 400.

230

6. Calibration of the VHRMS data and identification of the molecules

231 Prior to the analyses, the Orbitrap was externally calibrated with a mixture of caffeine, MRFA

peptide and Ultramark. No internal calibration was used. Mass spectra of blanks (solvent only)

- were also acquired before each analysis of the samples $P_{CO-free}$, P_{400} and P_{600} . Molecules present
- in the blanks were subsequently removed from the samples analyses (see an example of blank

mass spectrum in Supplementary Material, Figure A). The external calibration was not 235 sufficient on a small m/z scale, because the lightest calibrator, the caffeine, was at m/z 195. 236 Thus, Attributor, a software developed at IPAG (Grenoble, France) (Orthous-Daunay et al., 237 2019), was also used to improve the calibration of the data. We used the masses of molecules 238 known to be present in the P_{CO-free} sample (Gautier et al., 2014) to refine the calibration curve 239 240 to correct the mass spectra of Pluto aerosol analogues: $C_3N_2H_5^+$ at m/z 69.045 and $C_4N_2H_7^+$ at *m/z* 83.060. 241

Attributor was also used to attribute the stoichiometric formulae to the molecules constituting 242 the samples. For the molecules assignment, we excluded the peaks whose intensity was four 243 orders of magnitude lower than the most intense peak. The attribution was based on a 244 245 combination of x carbon, y hydrogen, z nitrogen and w oxygen ($C_xH_yN_zO_w$). The considered isotopes were: ¹²C, ¹H, ¹⁴N and ¹⁶O. To avoid aberrant formulae, we used some restrictions. 246 The minimum numbers of carbon, hydrogen and nitrogen atoms were set to 1 and there were 247 248 no maximum numbers. The minimum number of oxygen atom was set to 0 and the maximum number limited to 3; due to the low proportion of CO injected in the reactor, it is unlikely to 249 form highly-oxygenated molecules. Theoretical m/z with a deviation more than ± 5 ppm were 250 excluded (according to the instrument specifications in external calibration mode). An 251 additional filter was applied to screen out unrealistic molecules with H/C > 5 and N/C > 3 ratios. 252 253 which would be molecules with extremely exotic structures and/or C atoms with more than four 254 bonds.

255

7. Elemental composition analysis

256 An elemental composition analysis of P₄₀₀ and P₆₀₀ samples was performed to determine their 257 carbon, hydrogen, nitrogen and oxygen mass percentages.

The determination of C, H and N was performed using a FlashSmartTM elemental analyzer 258 (ThermoFisher Scientific) under flash combustion at 930 °C. About 1-2 mg of each sample, 259 with 1 mg of vanadium pentoxide (V_2O_5) for complete combustion, were precisely weighed 260 into a tin container. For the determination of O, the system operated in pyrolysis mode at 261 262 1050 °C. About 1-2 mg of each sample were weighed into a silver container. Then, tin and silver capsules were individually introduced into the combustion reactor via the Thermo 263 ScientificTM MAS Plus Autosampler. After combustion (C, H and N) and pyrolysis (O), the 264 resulting gases – CO₂, H₂O and N₂ – were transported by a helium flow to a copper-filled layer 265 and then swept through a gas chromatography (GC) column to separate the combustion gases. 266 267 Finally, they were detected by a Thermal Conductivity Detector (TCD).

Two calibration curves have been created: one for C, H and N measurements and one for O 268 measurements. For this purpose, 0.5-5 mg of BBOT (2,5-Bis(5-ter-butyl-benzoxazol-2-yl) 269 thiophene, C₂₆H₂₆N₂O₂S) (Fisher Scientific, 99 % purity) were analyzed as a standard using K 270 factor as the calibration method. 271

272

273 III. Results

1. ESI+/Orbitrap analysis

Figure 1 presents the ESI+/Orbitrap mass spectrum of the soluble fraction of Pluto aerosol analogues P₄₀₀, from m/z 50 to m/z 300. Intensity was normalized to the most intense peak in the mass spectrum (m/z 127.0725).



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Figure 1: ESI+/Orbitrap mass spectrum of the soluble fraction of Pluto aerosol analogues P_{400} . The *x*-axis corresponds to the Mass-to-Charge ratio (*m*/*z*), while the *y*-axis corresponds to the relative intensity of the peaks, normalized to the most intense peak (*m*/*z* 127.0725). The small peaks in dark purple color are due to an aliasing effect.

In the mass spectrum (Figure 1), thousands of peaks are seen; hence, the soluble fraction of Pluto aerosol analogues is a complex mixture requiring such a high-resolution and highprecision analysis. The peaks are grouped in several periodic clusters similar to those observed in the mass spectrum of Titan aerosol analogues. This analogy suggests that the molecules detected in the soluble fraction of Pluto aerosol analogues, using ESI+/Orbitrap, are of a random-copolymeric nature, composed at least partially of a repetition of a $(CH_2)_m(HCN)_n$ pattern (Gautier et al., 2017; Maillard et al., 2018; Pernot et al., 2010).

290 2. Chemistry with N₂ and CO

From laboratory studies of Titan atmosphere we have learnt that not only methane, but also nitrogen plays a critical role in the atmospheric chemistry of Titan and in the formation and the growth of the aerosols (Carrasco et al., 2012; Dubois et al., 2019; Gautier et al., 2011; He and Smith, 2014, 2013; Hörst et al., 2018; Imanaka et al., 2004; Imanaka and Smith, 2010; Trainer et al., 2012). Our results emphasize the importance of nitrogen chemistry on Pluto as well.



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Figure 2: Infrared spectrum of Pluto aerosol analogues P_{400} , acquired by the ATR technique. At 2340 and 2360 cm⁻¹, small absorption bands are attributed to CO_2 adsorbed on the sample, while the shoulder at 3600 cm⁻¹ corresponds to water adsorbed on the analogues as hydroxyl –OH.

300 Figure 2 presents the infrared spectrum of Pluto aerosol analogues P₄₀₀, obtained by the ATR technique. In this spectrum, the importance of N-bearing chemical functions is clearly shown 301 (Gautier et al., 2012). At 1550 cm⁻¹, a strong absorption band can be attributed to the 302 deformation vibrations of aromatic and aliphatic amines -NH2 and of double bonds C=C and 303 C=N in aromatic and hetero-aromatic cycles. The bands at 2150 and 2170 cm^{-1} can be attributed 304 to nitriles $-C \equiv N$, isocyanides $-N \equiv C$ and carbodiimides $-N \equiv C \equiv N$. At 3200 and 3330 cm⁻¹, 305 there are two strong large bands, characteristic of primary and secondary amines -NH and 306 307 $-NH_2$.

According to the IR spectrum (Figure 2) and due to the selectivity of the ESI+ source (Rodgers et al., 2005), we expect to detect with the Orbitrap a large amount of N-bearing organic molecules in the soluble fraction of P_{400} and P_{600} samples. The presence of oxygenated organic molecules is also expected. In contrast, we do not expect to detect hydrocarbons without heteroatoms, since this kind of molecules is minor in similar samples (Derenne et al., 2012; Gautier et al., 2016, 2014; Morisson et al., 2016). In addition, the ESI+ source is not the most adequate to detect them due to their low proton affinity (Molnárné Guricza and Schrader, 2015).

In order to understand if the presence of CO in Pluto atmosphere has an impact on the molecular

composition of Pluto aerosols via the presence of oxygenated organic molecules, we compared

317 the intensities of the oxygenated molecules present in the soluble fraction of Pluto aerosol

analogues P₄₀₀ and of aerosol analogues produced without CO (Figure 3). The intensities of the

319 oxygenated molecules were normalized to the intensity of the most intense peak in the mass

spectrum (m/z 127.0725 for P₄₀₀ sample and m/z 60.0551 for P_{CO-free} sample, respectively).



Figure 3: Normalized intensities of only the oxygenated molecules present in the soluble fraction of Pluto aerosol
 analogues P₄₀₀ (in purple) and of aerosol analogues produced without CO (P_{CO-free}, in blue). The spectra were
 acquired with the ESI+/Orbitrap technique. Intensities were normalized to the most intense peak of each mass

325 spectrum (m/z 127.0725 for P₄₀₀ sample and m/z 60.0551 for P_{CO-free} sample, respectively).

Upper panel in Figure 3 displays in purple the normalized intensities of oxygenated molecules
 present in the soluble fraction of Pluto aerosol analogues P₄₀₀. Lower panel (in mirror) shows
 in blue the normalized intensities of oxygenated molecules present in the soluble fraction of
 hypothetical Pluto aerosol analogues P_{CO-free}.

Considering the composition of the gas mixture producing the aerosol analogues without CO, oxygenated molecules should not be present. However, in this case, the small proportion of oxygenated molecules are produced by partial oxidation of the surface of the particles during the air exposure when collecting the samples (Carrasco et al., 2016; Fleury et al., 2014).

In Figure 3, the oxygenated molecules detected with ESI+/Orbitrap in Pluto aerosol analogues P₄₀₀ appear to be similar to the oxygenated molecules contaminating the aerosol analogues without CO ($P_{CO-free}$). However, regarding the normalized intensities of these oxygenated molecules, it appears that they are significantly higher in P₄₀₀ analogues than in P_{CO-free}, demonstrating an effective reactivity of CO.

The oxygenated molecules are detected at the same m/z ratios, within the accuracy of the Orbitrap, so that the chemical formulae attributions are the same. This means that the reactive pathways leading to their formation for P₄₀₀ in the experiment might be, at least partially, similar to the oxidation pathways affecting P_{CO-free}. The oxygenated molecules present in P₄₀₀ are produced both by reactions within the plasma simulating Pluto atmospheric chemistry and by

344 surface oxidation of the particles during the collecting exposed to air. Both processes might

- involve the same oxidizing agents.
- Future experiments would provide information on the oxidizing agents involved in the plasma oxidation pathways: analysis of the gas phase chemical composition of the plasma simulating
- 348 Pluto atmosphere and synthesis of Pluto aerosol analogues with $C^{18}O$.

Figure 4 shows the molecules present in P_{400} (in purple) and $P_{CO-free}$ (in blue) samples, between m/z 492.20 and m/z 493.32 (arbitrary zooming for example purpose). The purple stars point out molecules that are present only in the sample P_{400} . Although the resolution of the analytical instrument is not sufficient above m/z 300 to strictly identify molecules, Figure 4 demonstrates that CO reactivity impacts the aerosols composition even at large masses.



355



Figure 4: Mass spectra of P_{400} (in purple) and $P_{CO-free}$ (in blue) samples, between m/z 492.20 and m/z 493.32. The *x*-axis was cropped (//) for a better visualization of the peaks. The purple stars correspond to molecules that are detected only in the analogues P_{400} .

In Figure 4, we count 19 peaks. Of these, 14 stoichiometric formulae are common to both P_{400} and $P_{CO-free}$ samples, 1 formula is present only in the sample $P_{CO-free}$, while 4 formulae – those pointed out by the purple stars – are present only in the sample P_{400} . We can therefore conclude that the presence of CO in the reactive mixture, even in a small proportion (500 ppm), has an impact on the chemistry leading to the formation of aerosols on Pluto, from light gas-phase molecules to large macromolecular polymeric structures incorporated in the aerosols.

365 3. Effect of the altitude of aerosols formation

- The mixing ratio of CH₄ in Pluto atmosphere strongly varies with the altitude (Young et al., 2018). This variation can have an impact on the molecular composition and thus on physical properties of Pluto aerosols.
- 369 As the abundance of CO is nearly constant all along Pluto atmosphere, the CO/CH₄ ratio varies 370 with the variation of CH₄ mixing ratio, from 0.1 at the surface to 0.001 at around 1450 km of 371 altitude. We therefore also study the distribution of the oxygenated molecules in Pluto aerosol 372 analogues P_{600} .
- 373 In Figure 5, we compare the normalized intensities of the oxygenated molecules detected with
- mirror, in red). The intensities of the oxygenated molecules were normalized to the intensity of
- the most intense peak in the mass spectrum (m/z 127.0725 for P₄₀₀ sample and m/z 60.0551 for
- P_{600} sample, respectively).



Figure 5: Normalized intensities of only the oxygenated molecules present in the soluble fraction of Pluto aerosol analogues P_{400} (in purple) and P_{600} (in red). The spectra were acquired with the ESI+/Orbitrap technique. The intensities of the peaks identified as oxygenated molecules have been normalized to the most intense peak of each mass spectrum (m/z 127.0725 for P_{400} sample and m/z 60.0551 for P_{600} sample, respectively).

Regarding the mass spectra on Figure 5, it seems that the clusters in P_{600} are shifted leftward 383 compared to P₄₀₀, suggesting that the oxygenated molecules in the soluble fraction of P₆₀₀ are 384 385 different from those constituting the soluble fraction of P₄₀₀. This would imply that the chemical pathways leading to the formation of oxygenated molecules are quite different in Pluto aerosols 386 depending on their altitude of formation. Due to the leftward shifting of the clusters, we can 387 suppose that the oxygenated organic molecules detected in the soluble fraction of the sample 388 P_{600} include less hydrogen atoms than the molecules present in the soluble fraction of P_{400} . 389 Oxygenated organic molecules in P₆₀₀ are therefore more unsaturated. From this observation, 390 we can conclude that the increase in the mixing ratio of CH₄ (thus being at higher altitude on 391 Pluto) leads to an increased formation of unsaturated molecules in the soluble fraction of Pluto 392 393 aerosol analogues. This conclusion was also made for Titan aerosol analogues produced from N₂:CH₄ gas mixtures with different CH₄ mixing ratios (Derenne et al., 2012; Gautier et al., 394 2014). This implies that this effect is likely due to the variation in the mixing ratio of CH₄ itself 395 and not to the presence of CO in the reactive mixture. 396

In order to determine the abundances of C, H, N and O atoms in Pluto aerosol analogues, we performed an elemental composition analysis of P_{400} and P_{600} samples and obtained the results presented in Table 2.

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Mass percentage (%)	P400	P600
С	42.1 ± 0.2	49.0 ± 0.2
Н	3.7 ± 0.06	4.8 ± 0.07
Ν	45.1 ± 0.2	36.0 ± 0.2
0	1.9 ± 0.03	1.7 ± 0.03

403 Table 2: Mass percentages of C, H, N and O elements present in Pluto aerosol analogues P₄₀₀ and P₆₀₀.
 404 Given uncertainties correspond to ± 3σ.

406 Table 2 displays the mass percentages of the elements C, H, N and O present in Pluto aerosol 407 analogues P_{400} and P_{600} . Note for both samples that the sum of the mass percentages does not 408 equal 100 %. This is due to uncertainties related to the fact that the measurement of the mass 409 percentage of the element O is not carried out at the same time, nor with the same technique, as 410 the measurement of the mass percentages of the elements C, H and N.

411 Two observations can be deduced from Table 2: (1) sample P_{600} contains more carbon and 412 hydrogen in mass, but less nitrogen than sample P_{400} ; (2) sample P_{400} contains more oxygen in 413 mass than sample P_{600} . The first observation can be explained by the fact that the sample P_{600} 414 is synthesized with a higher CH₄ mixing ratio; whereby more C and H atoms and less N atoms 415 are present in the reactive mixture. The second one, in agreement with the conclusion of He et 416 al. (2017), is due to the fact that the sample P_{400} is produced with a higher CO/CH₄ ratio.

To further visualize the chemical differences between the soluble fraction of the samples P_{400} 417 418 and P₆₀₀, we modified conventional van Krevelen [H/C versus O/C] diagrams. The van Krevelen diagrams were proposed in 1950 to study the structure and reactions processes of coal. 419 Since then, this type of representation has been frequently applied on data obtained with high-420 421 resolution mass spectrometry (Kim et al., 2003; Marshall and Rodgers, 2008; Rodgers et al., 2005; Wu et al., 2004). More recently, this tool was expanded to the analysis of complex organic 422 mixtures of terrestrial and planetary interests, using [H/C versus N/C] axes (Danger et al., 2016; 423 Gautier et al., 2014; Imanaka and Smith, 2010; Pernot et al., 2010; Somogyi et al., 2012; Tziotis 424 et al., 2011). 425

426 Figure 6 shows the modified van Krevelen diagrams of all the molecules identified in the soluble fraction of the samples P_{400} (top), P_{600} (middle) and $P_{CO-free}$ (bottom). The x-axis 427 corresponds to Nitrogen-to-Carbon (N/C) ratio and the y-axis corresponds to Hydrogen-to-428 Carbon (H/C) ratio. Each point represents a given molecule constituting the soluble fraction of 429 430 the sample analyzed by ESI+/Orbitrap. The colors correspond to the number of oxygen atoms included in the molecule. As the oxygen is not supposed to take part to the co-polymeric growth, 431 due to its bivalence and its affinity to labile hydrogen (Decker and Jenkins, 1985; Ligon et al., 432 2014), but instead to be randomly included in the molecules, we have chosen to represent the 433 434 data with the number of oxygen atoms present in the molecules and not with Oxygen-to-Carbon 435 (O/C) ratio.









Figure 6: Modified van Krevelen diagrams. The samples were analyzed with the ESI+/Orbitrap technique and the molecules were identified with *Attributor* software. Each dot corresponds to a specific molecule characterized by its Hydrogen-to-Carbon (H/C) and Nitrogen-to-Carbon (N/C) ratios. The colors correspond to the number of oxygen atoms included in the molecules: White ⇔ Zero O atom; Yellow ⇔ One O atom; Red ⇔ Two O atoms;
Black ⇔ Three O atoms. Top: P₄₀₀ sample. Middle: P₆₀₀ sample. Bottom: P_{CO-free} sample.

In Figures 6.top, middle and bottom, we notice that the molecules with one oxygen atom 444 445 (yellow dots) are scattered all over the distribution for the samples P₄₀₀, P₆₀₀ and P_{CO-free}. This means that incorporating one atom of oxygen in a molecule does not depend on its nature (light 446 447 or heavy, low or high H/C and N/C ratios). The second important point is that the oxygenated molecules due to contamination in P_{CO-free} sample essentially correspond to molecules with one 448 449 oxygen atom. Thus, the molecules with two and three oxygen atoms (red and black dots, respectively), that are abundantly detected in the soluble fraction of P₄₀₀ and P₆₀₀ samples, are 450 very likely produced by CO chemistry. These molecules are mainly present in a specific area 451 of the diagrams, characterized by the following elemental ratios: [1 < H/C < 2.5 and 0 < N/C < 1.5 and 0 < N/C < 1.5 and 0 < 0.5 and 0 <452 1]. The fact that N/C ratio is lower than one suggests that the incorporation of more than one 453 oxygen atom in the molecules constituents of the aerosols is done at the expense of nitrogen 454 incorporation. There may be a competition between N_2 and CO chemistries, as suggested by 455 He et al. (2017). The presence of molecules with two or three oxygen atoms at N/C ratio of less 456 457 than one could also be explained by the incorporation of O atoms into the molecules in the form of carbonyl –C=O or carboxyl –COOH chemical functions. The incorporation of oxygen with 458 carbon into a molecule would therefore necessarily decrease the N/C ratio. 459

460 Figure 7 presents the modified van Krevelen diagrams of molecules that are exclusively 461 detected in either the P_{400} (top) or P_{600} (bottom) sample. In Figure 7.top and bottom, we can see

that the molecules specific to P₄₀₀, oxygenated or not, are scattered all over the distribution, 462 whereas in the sample P_{600} , the molecules group into two distinct areas, suggesting again 463 different reactive pathways for both samples. The area [1 < H/C < 2.5 and 0 < N/C < 1] is 464 composed of a patchwork of heavier molecules including or not oxygen atoms (white, yellow, 465 red and black dots), while the area [2 < H/C < 5 and 1.25 < N/C < 3] is essentially composed 466 467 of lighter molecules with one oxygen atom (essentially yellow dots). This reinforces the idea that when the percentage of methane increases, the mixing ratio of molecular nitrogen 468 decreases, while there is likely competition between CO and N₂ chemistries (He et al., 2017). 469 Incorporating more than one oxygen atom in heavier molecules, probably in the form of 470 chemical functions -C=O or -COOH, is done at the expense of N-incorporation. 471





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474Figure 7: Modified van Krevelen diagrams of the molecules exclusively detected in the soluble fraction of475 P_{400} (top) and P_{600} (bottom) samples. The samples were analyzed with the ESI+/Orbitrap technique and the476molecules were identified with *Attributor* software. Each dot corresponds to a specific molecule characterized by477its Hydrogen-to-Carbon (H/C) and Nitrogen-to-Carbon (N/C) ratios. The colors correspond to the number of478oxygen atoms included in the molecules: White \Leftrightarrow Zero O atom; Yellow \Leftrightarrow One O atom; Red \Leftrightarrow Two O atoms;479Black \Leftrightarrow Three O atoms.

480 In Gautier et al. (2014), a high-resolution mass spectrometry study was carried out on Titan 481 aerosol analogues produced with the PAMPRE experimental setup. This study showed the 482 impact on the molecular composition of Titan aerosol analogues when the methane percentage 483 varies. In particular, there are less molecules formed with N/C < 1 when the percentage of 484 methane increases.

Previous studies have also shown that when CH₄ percentage increases, more hydrogen is 485 available in the gas phase leading to the aerosols, and that this hydrogen tends to inhibit the 486 growth of the aerosol analogues (DeWitt et al., 2009; Sciamma-O'Brien et al., 2010). We can 487 exclude this to be the case here since we do not see a depletion of the "N/C < 1" molecules in 488 the P₆₀₀ sample. This suggests that N₂ and CH₄ chemistry is strongly impacted by the presence 489 of CO, even in a small proportion. Hörst and Tolbert (2014) and He et al. (2017) also studied 490 the effect of carbon monoxide on planetary atmospheric chemistry and on the formation of 491 aerosol analogues and proposed two hypotheses: (1) in presence of carbon monoxide, oxygen 492 radicals coming from CO react with atomic and molecular hydrogen coming from CH₄ and 493 removes them from the chemical system; (2) in presence of CO, there is more carbon available 494 without increasing the quantity of available hydrogen that inhibits the aerosols growth, resulting 495 in a less reducing environment allowing the production of more unsaturated molecules. 496 According to our results, the first hypothesis of Hörst and Tolbert (2014) and He et al. (2017) 497

498 may be an explanation to the lack of depletion of the molecules with N/C < 1 when the CH₄ 499 percentage increases. On the contrary, the second hypothesis cannot explain our results. On one 500 hand, the sample P₆₀₀ presents more molecules with higher N/C (and H/C) ratios than P₄₀₀, 501 meaning that less carbon is incorporated in these molecules. On the other hand, as shown in 502 Figure 4, the soluble fraction of P₆₀₀ contains more unsaturated molecules than that of the P₄₀₀ 503 sample, even though the sample P₆₀₀ is produced with a lower CO/CH₄ ratio.

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505 IV. Discussion

Long before the *New Horizons* era, the photochemical models of Pluto atmosphere scarcely 506 507 took into consideration N₂ and CO chemistries (Krasnopolsky and Cruikshank, 1999; Lara et al., 1997; Stansberry et al., 1989). It was thought that the formation of the aerosols would be 508 essentially driven by the photolysis of CH₄ by Lyman-α photons. This was also the case on 509 510 Titan before the Cassini-Huygens mission that revealed that not only methane, but also molecular nitrogen plays a key role in Titan atmospheric chemistry and in the formation of 511 N-bearing organic aerosols. In light of this discovery, the latest photochemical models of Pluto 512 atmosphere compensated this misconception by including the chemistry from N₂, but the 513 question of the CO chemistry still remains unsolved (Luspay-Kuti et al., 2017; Wong et al., 514 2017). CO serves as a source of oxygen for incorporation in haze particles, leading to the 515 formation of prebiotic molecules in the aerosols. This was pointed out by Hörst et al. (2012) 516 who detected amino acids and nucleotide bases in the solid products produced with the 517 518 PAMPRE experimental setup in a plasma of N₂:CH₄:CO gas mixture. However, even Titan aerosol analogues studies usually do not include CO despite its substantial mixing ratio 519 $(47 \pm 8 \text{ ppm}_V)$ in the hazy atmosphere of Titan (de Kok et al., 2007). Additionally, few studies 520 on exoplanetary atmospheres also emphasized the link between the presence of carbon 521 monoxide and haze layers (Barman et al., 2015; Deming et al., 2013; He et al., 2018a, 2018b; 522 523 Hörst et al., 2018a; Konopacky et al., 2013; Pont et al., 2013).

- For Pluto, an endeavor has recently been done by Luspay-Kuti et al. (2017) and Krasnopolsky, 524 (2020) to incorporate the most important reactions of CO chemistry in their models. Our results 525 obtained by elemental composition analysis highlight an important incorporation of both 526 527 nitrogen and oxygen atoms in the molecules constituting Pluto aerosol analogues and thus active N₂ and CO chemistries influencing the gas phase composition and density. We thus 528 strongly advise to keep focusing on the effect of CO in Pluto atmospheric chemistry and make 529 sure to include extensive oxygen and nitrogen reactive pathways in future Pluto photochemical 530 531 models.
- From our analyses, we conclude that the molecules constituting the soluble fraction of Pluto aerosol analogues are mainly composed of N-bearing organic molecules, with a significant proportion of oxygenated molecules. Unsaturated molecules may also be present in large amount. These heavy, unsaturated N-bearing organic molecules and oxygenated species are likely very reactive and may therefore catalyze and self-sustain the synthesis of other molecules constituting the aerosols. Furthermore, by interacting with molecules in the gas phase, they may also act as cloud condensation nuclei (Broekhuizen et al., 2004; Fan et al., 2016; Kumar et al.,
- 539 2003; Lavvas et al., 2016; Luspay-Kuti et al., 2017), impacting the climate of Pluto. Seven

Pluto clouds candidates have been identified by Stern et al. (2017) from *New Horizons* image dataset. These clouds could be the result of the condensation of HCN, C_2H_2 , C_2H_6 , C_2H_4 or CH₄. One interesting question is whether the condensation of clouds on Pluto aerosols is affected by the proportion of O-bearing molecules in the hazes. The study of the wettability of Pluto aerosol analogues to HCN, C_2H_2 , C_2H_6 , C_2H_4 or CH₄ may provide answers to this question.

Pluto atmosphere is around 30 K colder than theoretically predicted, at about 400 km of altitude 546 (Gladstone et al., 2016; Zhang et al., 2017; Zhu et al., 2014). Because of Pluto thermal structure, 547 expected to be in radiative-conductive equilibrium, atmospheric gas molecules such as HCN or 548 water vapor are not sufficient to explain Pluto temperature profile. Zhang et al. (2017) proposed 549 550 that Pluto haze, rather than its atmospheric gases, could explain Pluto cold atmosphere. Their study was performed using the optical properties of Titan aerosol analogues, which are oxygen-551 free. Our results obtained by elemental composition analysis and ESI+/Orbitrap showed a 552 significant incorporation of oxygen atoms in the molecules constituting Pluto aerosol analogues 553 554 and that this O-incorporation depends on CH₄ mixing ratio. As more oxygen is incorporated, the real and imaginary refractive indices of haze particles change, resulting in different optical 555 properties that may reduce UV wavelengths from reaching Pluto surface and influence Pluto 556 radiative cooling. While Zhang et al. (2017) showed a dependency of Pluto climate to the 557 aerosols simulated optical constants, Gavilan et al. (2017) have shown that when the percentage 558 of oxygen increases in organic aerosols, the absorption at longer UV wavelengths is 559 significantly higher. The experimental determination of the UV-Visible refractive indices of 560 Pluto aerosol analogues is thus of prime importance to further advance our understanding of 561 562 Pluto cold atmosphere.

563 V. Conclusion

In this study, we produced Pluto aerosol analogues using the PAMPRE experimental setup. The sample P_{400} is expected to be representative of aerosols that form at 400 km of altitude in Pluto atmosphere. The sample P_{600} is an analogue of aerosols that could form at 600 km of altitude. To determine the chemical composition of these analogues of Pluto aerosols, we performed infrared spectroscopy and elemental composition analyses on them. The samples were also analyzed using very high-resolution mass spectrometry, with the ESI+/Orbitrap technique. Our results show:

- 571 (1) Substantial incorporation of nitrogen atoms in Pluto aerosol analogues: nitrogen 572 accounts for about 36 and 45 % in mass of Pluto aerosol analogues P_{600} and P_{400} , 573 respectively. The N-bearing chemical functions essentially correspond to primary and 574 secondary amines –NH and –NH₂, C=N double bonds in aromatic and hetero-aromatic 575 cycles, nitriles –C=N, isocyanides –N=C and carbodiimides –N=C=N–.
- 576 (2) A significant proportion of O-bearing molecules is formed by chemistry of CO: about
 577 1.9 and 1.7 % of oxygen in mass in samples P₄₀₀ and P₆₀₀, respectively.
- 578 (3) An impact of methane percentage and thus the altitude of aerosols formation on 579 Pluto – on the chemical composition of the aerosols. This impact strongly affects the 580 nitrogen and oxygen contents of the hazes. In particular, we show that the bulk sample 581 of aerosols produced at low altitude (P_{400}) contains more nitrogen and oxygen than the 582 bulk sample of aerosols produced at high altitude (P_{600}).
- Pluto aerosols have a strong impact on Pluto climate, acting as condensation nuclei or affecting
 the atmosphere radiative balance (Luspay-Kuti et al., 2017; Zhang et al., 2017). However, these
 exact interactions are still poorly understood and further experimental work is needed on the
 aerosols-gas interactions and the aerosols optical properties to further refine Pluto atmospheric
 models.
- 588 Finally, Sebree et al. (2018) have recently detected prebiotic molecules in aerosols formed from an AC glow discharge in a N₂:CH₄:CO gas mixture with a high CO mixing ratio, and a recent 589 review was also published by Cruikshank et al. (2019) about the implications of Pluto for the 590 prebiotic chemistry. In our data, we detected at m/z 111.043 the following chemical formula 591 C₄H₅N₃O, which can correspond to cytosine, the easiest produced nucleobase. To confirm the 592 presence of this biomolecule in Pluto aerosol analogues, it would be necessary to analyze them 593 by chromatography coupled to mass spectrometry, in order to get structural information of the 594 595 molecules.
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