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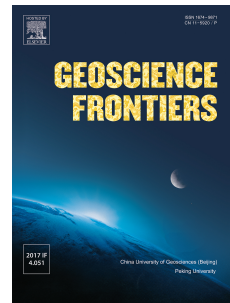


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Journal Pre-proof

New sedimentological and palynological data from the Yarkand-Fergana Basin (Kyrgyz Tian Shan): Insights on its Mesozoic paleogeographic and tectonic evolution

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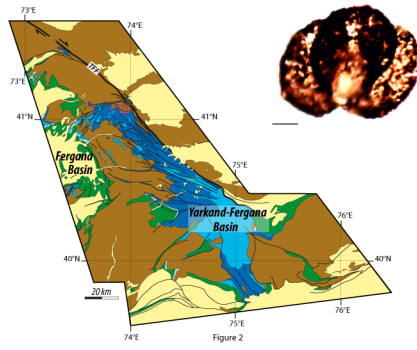
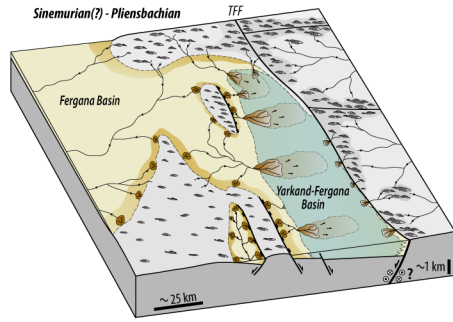
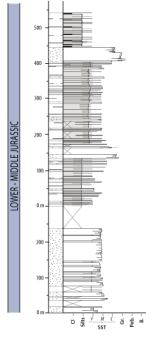
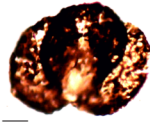


Figure 2



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1 **New sedimentological and palynological data from the Yarkand-**
2 **Fergana Basin (Kyrgyz Tian Shan): Insights on its Mesozoic**
3 **paleogeographic and tectonic evolution**

4
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13 **Abstract**

14 The Talas Fergana/Karatau Fault, is a major tectonic boundary separating the Kazakh-Turan
15 domain to the west from the Tian Shan domain to the east. During the Jurassic, movements
16 along the fault led to the opening of several basins. Still, the Mesozoic kinematics of the fault
17 and the geodynamic mechanism that led to the opening of these basins are largely
18 unconstrained. Located at its southwestern termination, the Yarkand-Fergana Basin is
19 certainly the best exposed and however still poorly understood. In this study, we provide new
20 sedimentological description of the Jurassic series from the northern part of the Yarkand-
21 Fergana Basin as well as new palynological data. Following a Middle–Late Triassic period
22 dominated by regional erosion, the onset of sedimentation in the Yarkand-Fergana Basin
23 occurred during the Sinemurian(?)–Pliensbachian. The basin opened as a half graben
24 controlled by the Talas Fergana/Karatau Fault and separated from the Fergana Basin by
25 basement highs. Extension persisted during the late Pliensbachian–Middle Jurassic, leading to
26 a general widening of the Yarkand-Fergana Basin. Finally, Late Jurassic–Early Cretaceous
27 renewed tectonic activity in the area led to the inversion of the north Yarkand-Fergana Basin.

28 The Early to Middle Jurassic timing of development of the Yarkand-Fergana Basin suggests
29 that the coeval movements along the Talas Fergana/Karatau Fault are not associated to the
30 collision of the Qiangtang block along the southern margin of Eurasia. We favor the
31 hypothesis of an opening controlled by transtension related to far field effects of back-arc
32 extension along the Neo-Tethys subduction zone to the west.

33 **Keywords:** Central Asia; Talas Fergana/Karatau Fault; Extension; Jurassic

34

35 **1. Introduction**

36 Extending NW–SE from eastern Kazakhstan to western China (Fig. 1), the Talas
37 Fergana/Karatau Fault is a key structural feature in Central Asia. The fault belongs to a series
38 of parallel strike-slip lineaments that develop north and, for some of them across the Western
39 Tian Shan range (Fig. 1). It is widely accepted that the Talas Fergana/Karatau Fault
40 underwent multiple phases of deformation from the Neoproterozoic to the Cenozoic,
41 especially during the Permian transpressive deformation and the late Cenozoic, ongoing
42 orogeny (Ognev, 1939; Burtman, 1964; Allen et al., 2001; Alexeiev et al., 2009; Rolland et
43 al., 2013). In between those two major events, during the Early-Middle Jurassic, a peculiar
44 period of transtensional tectonics affected this structure, resulting in the formation of the
45 South Turgay, Leontiev and Yarkand-Fergana basins (e.g. Ognev, 1946; Sobel, 1999; Allen et
46 al., 2001; Alexeiev et al., 2017; Schnyder et al., 2017). It has been recently demonstrated that
47 during the Jurassic, the Talas Fergana/Karatau Fault was separating a generally
48 transpressional domain to the east from a largely extensional domain to the west (Morin et al.,
49 2018). Nonetheless, the Mesozoic kinematics of the fault and the geodynamic mechanism that
50 led to the opening of these basins are still poorly constrained due to the lack of detailed field
51 data. Among the three basins, the Yarkand-Fergana is certainly the best exposed and

52 preserved, the South Turgay Basin being extensively covered by Cenozoic deposits while the
53 Leontiev Basin has been strongly deformed by strike-slip movement along the Talas
54 Fergana/Karatau Fault (e.g. Allen et al., 2001). Located in the south-western termination of
55 the Talas Fergana/Karatau Fault, the Yarkand-Fergana Basin contains up to 5 km of Jurassic
56 sediments in its southern part (Osmonbetov et al., 1982; Sobel, 1999). Russian geologists
57 have provided a number of stratigraphic and structural information on the basin and its
58 relation to the Talas-Fergana fault (e.g. Ognev, 1946; Brik, 1953; Sinitsyn, 1960; Genkina,
59 1977; Biske, 1982). However, its sedimentary evolution, its timing of opening and its
60 Mesozoic kinematics remain poorly understood.

61 In this study, we report new sedimentological descriptions of the Jurassic series from
62 the northern part of the Yarkand-Fergana Basin as well as new palynological data which we
63 use to provide age constrains on those sediments. We use those data to decipher the Late
64 Triassic to Late Jurassic–Early Cretaceous paleogeographic and tectonic evolution of the
65 basin. The data are then discussed in terms of geodynamic implications for the kinematics of
66 Central Asia during the Jurassic period.

67 **2. Geological setting**

68 **2.1. Paleozoic to Cenozoic evolution of the Talas Fergana/Karatau Fault**

69 The Talas Fergana/Karatau Fault is a major NW–SE oriented strike-slip structure
70 extending for more than 2000 km from Kazakhstan to western China which has undergone
71 multiple phases and styles of deformation during its evolution (Ognev, 1939; Sobel, 1999;
72 Allen et al., 2001; Rolland et al., 2013; Alexeiev et al., 2009, 2017) (Fig. 1). In the Talas and
73 Fergana ranges, the Talas-Fergana/Karatau strike-slip system initiated no earlier than the
74 middle Permian as indicated by its crosscutting relations with Early to Middle Permian
75 structural elements (Biske, 1982; Burtman et al., 1996; Bazhenov et al., 1999; Alexeiev et al.,

76 2017). In this area, the strike-slip motion is well-expressed and can reach up to 200 km of
77 cumulated Paleozoic to Quaternary right-lateral displacement (Burtman, 1964). To the north,
78 in the Talas and Karatau ranges, the late Paleozoic phase of deformation reactivated an older
79 Paleozoic major structural system that corresponded to the boundary between the Karatau-
80 Talas and Middle Tian Shan terranes (Nikolaev, 1933). This first-stage of deformation has
81 been constrained by geochronological data from late Permian to Middle Triassic (Konopelko
82 et al., 2013; Rolland et al., 2013; Loury et al., 2016, 2018a,b; Jourdon et al., 2017). It has been
83 suggested that the deformation induced a maximum offset of 70 km (Alexeiev et al., 2017).
84 The second stage of strike slip deformation, very likely related to the Early–Middle Jurassic
85 opening of the South Turgay, Leotniev and Yarkand-Fergana basins, affected the Talas
86 Fergana/Karatau Fault (Sobel, 1999; Moseley and Tsimmer, 2000; Allen et al., 2001; Shi et
87 al., 2016; Alexeiev et al., 2017) although strike-slip motion has been refuted in an early study
88 by Sinitsyn (1960). In the Kyrgyz region, a Late Triassic–Early Jurassic period of brittle
89 reactivation of the fault has been identified by Ar-Ar dating at 195 ± 3 Ma (Rolland et al.,
90 2013). Based on a kinematic analysis of the Jurassic grabens of the southern Turgay Basin,
91 Alexeiev et al. (2017) estimated the Jurassic right lateral maximum offset along the Talas
92 Fergana/Karatau Fault to be up to tens of kilometers. Finally numerous observations,
93 including seismicity point out to a third stage of strike-slip deformation along this major
94 structure from Oligocene to present (e.g. Burtman et al., 1996; Alexeiev et al., 2017; Bande et
95 al., 2017). In the Fergana Range, the Late Cenozoic strike slip motion is expressed by well-
96 developed paleoseismic deformations such as fault scarps as well as displacements of the
97 relief forms (Korjenkov et al., 2012). Moreover, several studies (e.g. Burtman, 2012;
98 Korzhenkov et al., 2014; Feld et al., 2015; Tibaldi et al., 2015) pointed out that the TFF is still
99 active, with an average slip rate estimated at ~9–14 mm/a based on radiocarbon and
100 cosmogenic nuclides dating of terraces displaced by the fault (Burtman et al., 1996; Trifonov

101 et al., 2015; Rizza et al., 2019). However, in the Kyrgyz Tian Shan region, a significant part
102 of the Cenozoic strike-slip displacement along the Talas Fergana/Karatau Fault is
103 accommodated by two thrust belts (Fig. 1): (1) one that juxtaposes the Chatkal Ridge against
104 the northern part of the Fergana Basin and (2) the other juxtaposing the Kokshaal Ridge
105 against the western Tarim (Burtman et al., 1987; Bazhenov et al., 1993). The total amplitude
106 of strike-slip motion during the Cenozoic is estimated at no less than 60 km, as attested by the
107 displacement of Cretaceous facies zones in the northern parts of the Fergana and Naryn basins
108 (Verzilin, 1968; Burtman et al., 1996).

109 **2.2. Basins associated with the Talas Fergana/Karatau Fault**

110 **2.2.1. The South Turgay Basin**

111 Located on the northern termination of the Talas Fergana/Karatau Fault, the South
112 Turgay Basin (Fig. 1) is characterized by a series of N to NW oriented grabens and half-
113 grabens, separated by basement highs and filled by fluvio-lacustrine sedimentary rocks
114 (Moseley and Tsimmer, 2000; Allen et al., 2001; Shi et al., 2016; Alexeiev et al., 2017).
115 Opening of this basin is believed to have begun during the Early Jurassic in response to
116 renewed dextral activity along the Talas Fergana/Karatau Fault but biostratigraphic data
117 available to support that hypothesis are limited due to the extensive Cenozoic cover that
118 prevent access to the Mesozoic series (Moseley and Tsimmer, 2000; Allen et al., 2001;
119 Alexeiev et al., 2017). The general evolution of this basin can be summarized as follows:

120 (1) An Early–Middle Jurassic rifting phase during which sedimentation consisted of
121 coarse-grained fan delta sediments deposited along the graben margins and passing, in the
122 central part of the basin, towards finer lacustrine deposits (Moseley and Tsimmer, 2000; Shi
123 et al., 2016; Alexeiev et al., 2017).

124 (2) A period of tectonic inversion at the end of the Middle Jurassic as indicated by the
125 presence of an angular unconformity between Middle and Upper Jurassic sedimentary rocks
126 locally reaching 20° (Moseley and Tsimmer, 2000; Alexeiev et al., 2017).

127 (3) A Late Jurassic post-rift phase dominated by thermal subsidence and represented
128 by alluvial to lacustrine sediments containing extensive coal beds (Moseley and Tsimmer,
129 2000; Shi et al., 2016).

130 Finally, nearly horizontal sequences of Cretaceous and Cenozoic sedimentary rocks
131 unconformably overly older rocks and consist of marine and continental deposits (Alexeiev et
132 al., 2017).

133 **2.2.2. The Leontiev Basin**

134 The Leontiev Basin (Fig. 1) is an elongate basin containing Jurassic continental
135 sediments and located within the central part of the Talas Fergana/Karatau Fault (Allen et al.,
136 2001; Alexeiev et al., 2017; Schnyder et al., 2017). This basin is generally interpreted either
137 as a pull-apart basin (Sobel, 1999) or as a dextral transtensional structure developing in a
138 right-stepping jog in the fault system (Allen et al., 2001; Alexeiev et al., 2017). Its Jurassic
139 sedimentary succession unconformably overlies Paleozoic basement rocks and first consists
140 of undetermined Jurassic, pre-Toarcian conglomerates alternating with sandstones and
141 siltstones (Buvalkin et al., 1991; Schnyder et al., 2017). In the Leontiev Basin, the Lower-
142 Middle Jurassic deposits are tilted and are locally unconformably overlain by Upper Jurassic
143 strata suggesting Middle–Late Jurassic tectonic deformation and tilting (Allen et al., 2001;
144 Alexeiev et al., 2017).

145 **2.2.3. The Yarkand Fergana Basin**

146 The Yarkand Fergana Basin is located on the south-western termination of the Talas-
147 Fergana/Karatau fault (Figs. 1 and 2) and contains up to 5 km of Jurassic sediments in the

148 close vicinity of the fault and decreasing away from it (Osmonbetov et al., 1982; Sobel, 1999;
149 Allen et al., 2001; Alexeiev et al., 2017; De Pelsmaeker et al., 2018). Most published
150 information about the sedimentary succession and tectonic evolution of the Kyrgyz part of the
151 Yarkand-Fergana Basin have been published in Russian (e.g. Ognev, 1946; Brick, 1953;
152 Belgovskiy et al., 1958; Genkina, 1977). A few studies have also been done in the Chinese
153 part of the basin. In this area, some Lower Jurassic series rest unconformably on Paleozoic
154 basement rocks and consist of alluvial fan, fluvial and lacustrine or swamp deposits (Sobel,
155 1999). The lower Middle Jurassic series consist, in the close vicinity of the fault of fluvial
156 conglomerates whereas the western part of the basin was dominated by lacustrine and swamp
157 deposits (Sobel, 1999). The upper Middle Jurassic strata correspond to shallow lacustrine,
158 fluvial and floodplain deposits (Sobel, 1999). Finally, the Upper Jurassic–Lower Cretaceous
159 transition consists of up to 400 m-thick conglomeratic fluvial channel systems followed
160 upward by Lower Cretaceous fluvial red beds deposits (Sobel, 1999). Based on these
161 observations and on fault geometric relations, it has been proposed that the Yarkand Fergana
162 Basin either formed as a pull-apart basin (Sobel, 1999) or as a dextral transtensional structure
163 at a right-stepping jog in the fault system (Allen et al., 2001; Alexeiev et al., 2017). However,
164 its timing of opening is not well constrained due to a lack of available biostratigraphic data.

165 **2.3. The Fergana Basin**

166 The Fergana Basin is situated to the west of the Yarkand-Fergan Basin (Fig. 2) which
167 contains in its thickest part, around 10 km of Permian to Quaternary sediments. Following the
168 late Paleozoic building of the ancestral Tian Shan, post-orogenic Upper Permian to Lower
169 Triassic alluvial to lacustrine sediments were deposited (Clarke, 1984; Moisan et al., 2011)
170 although the Lower Triassic series are largely unconformably resting on Paleozoic basement,
171 suggesting ongoing tectonic movements during the Late Permian (Osmonbetov et al., 1982).
172 The basin was then subsequently inverted, this deformation leading to a Middle–Late Triassic

173 erosional event (Clarke, 1984; Bande et al., 2015). Renewed subsidence started from the Early
174 Jurassic and led to the accumulation of alluvial to lacustrine deposits during the Jurassic and
175 Early Cretaceous (Clarke, 1984; Jolivet et al., 2017a; De Pelsmaeker et al., 2018).

176 **3. Stratigraphic and tectonic framework of the Yarkand-Fergana Basin**

177 **3.1. General stratigraphy and remaining uncertainties**

178 The Yarkand-Fergana Basin is an elongated NW–SE orientated basin mostly filled by
179 Jurassic clastic sediments and subdivided into three to five lithostratigraphic units depending
180 on both the various available geological maps that diverge (Belgosvskiy et al., 1958; Luik and
181 Zapolnov, 1960; Osmonbetov, 1980) and on the location in the basin (Figs 3 and 4). In the
182 northern part of the basin, the Tuyuk and Chaartash (also called Uaartashskaya Fm.)
183 formations (fms) are attributed to the Lower Jurassic and are considered to be the equivalent
184 of the Shalitashi and Kansu fms found in the southern part of the basin (Fig. 3). In the
185 northern part of the Yarkand-Fergana Basin, the Lower Jurassic series are followed by an
186 undifferentiated Middle Jurassic succession. In the southern part of the basin, this unit is
187 subdivided into the Yangye and Targa fms (Fig. 3). Finally, both the Koshbulak (north) and
188 Kuzigongsu (south) fms are attributed to the Upper Jurassic (Fig. 3).

189 However, this general stratigraphic framework is not well constrained with
190 stratigraphic ages varying from one geological map to another (Fig. 4A, B). These differences
191 mostly concern the presence, or lack of presence, of Triassic/Lower Jurassic sediments along
192 the western margin and in the central part of the basin but also on the occurrence, or not, of
193 Upper Jurassic sediments in its northwestern part (Fig. 4A, B). Moreover, due to facies
194 similarities, these different series are difficult to discriminate on the field and no available
195 biostratigraphic data are available in this area.

196 Similarly, in the Fergana, South Turgay and Leontiev basins, Jurassic deposits are
197 subdivided into several lithostratigraphic units which differ from basins to basins and are not
198 well constrained (Fig. 3).

199 **3.2. General structure and tectonic framework**

200 Based on geological maps (Fig. 4), the general tectonic structure of the north Yarkand-
201 Fergana Basin is characterized by a series of faults trending parallel to and oblique to the
202 Talas Fergana fault and by NW–SE orientated folds (Figs. 2 and 4). However, more tectonic
203 complexities are visible in this area giving us details about the general structural pattern of the
204 basin and clues about its evolution.

205 **3.2.1. Bayman-Bet and Kara Alma areas**

206 The Bayman-Bet area is located in the northwestern part of the Yarkand-Fergana
207 Basin (Fig. 2 for location; Table 1 for GPS coordinates). In this region, an angular
208 unconformity is observed (Fig. 5) between rather flat-lying Jurassic deposits and strongly
209 deformed Paleozoic rocks (Osmonbetov, 1980). The base of these Jurassic deposits present
210 fan-shaped geometries with thickening of the series toward the East (Fig. 5).

211 The Kara-Alma area is located in the north-west Yarkand-Fergana Basin, in the Sarik
212 valley (Fig. 2; Table 1 for GPS coordinates). In this region, Jurassic deposits rest
213 unconformably on strongly deformed Paleozoic rocks (Fig. 6A). The base of the series is
214 affected by several NW–SE oriented normal faults accommodating a total offset estimated at
215 ≈ 100 m (Fig. 6B).

216 **3.2.2. Chitty and Pychan areas**

217 The Chitty area is located in the southern part of the Yassy valley (Figs. 2 and 4). In
218 this region, Jurassic deposits are more deformed than in the Bayman-Bet and Kara-Alma

219 areas. Numerous NW–SE oriented folds are visible in the field locally accommodated by E–
220 W, south verging faults (Fig. 7A–C).

221 To the east, in the Pychan area, located along the Talas-Fergana/Karatau fault (Figs. 2
222 and 4), Jurassic sediments are also deformed and affected by thrust faults (Fig. 7D). In this
223 location, geological maps are disagreeing (Fig. 4). Indeed, on the 1/500,000 scale map
224 (Osmonbetov, 1980), the contact between Lower Jurassic and Paleozoic basement rocks is
225 marked by a fault, while on the 1/200,000 scale map no fault contact is reported (Fig. 4B).
226 The stratigraphy also varies depending on geological maps with the presence/or not of a
227 Triassic/Lower Jurassic series. Our observations indicate, at least in the Pychan area, an
228 absence of fault contact between the Jurassic strata and basement rocks with Jurassic
229 sediments onlapping onto the Paleozoic carbonate units (Fig. 7E, F). Moreover, no
230 lithological variation has been observed on the field between the supposed Triassic/Lower
231 Jurassic series and the Lower Jurassic Chaartash Fm. It is therefore difficult to attest of the
232 presence/or not of these two distinct units in this region without any biostratigraphic
233 constrains.

234 **4. Sedimentological analyses and interpretations**

235 In this study, we present new sedimentological data from the Jurassic sedimentary
236 units of both the Yarkand-Fergana and Fergana basins (Figs. 2 and 4C; Table 1 for GPS
237 coordinates of the different sections). Detailed sedimentological sections (1/1000), including
238 facies and trace fossil analyses, were performed with the objective of reconstructing the
239 evolution of the depositional environments through time. A description of the main sediment
240 facies assemblages and their interpretation in terms of depositional environments is given in
241 Table 2.

242 In the absence of available biostratigraphic or chemiostratigraphic data, we first relied
243 on geological maps (Luik and Zapolnov, 1960; Osmonbetov, 1980) to establish the first-order

244 age intervals in the sediment sequences at the scale of the basin. To fully establish, and better
245 constrain those ages, we then conducted biostratigraphical analyses from sporopollen
246 assemblages on 22 samples collected along the Kara Alma, West Chitty and East Chitty
247 sedimentary sections.

248 **4.1. The Yarkand-Fergana Basin**

249 **4.1.1. Bayman-Bet section**

250 The Bayman–Bet section (Figs. 2 and 5A; Table 1 for GPS coordinates) is limited to a
251 ~30 m-thick outcrop of Jurassic deposits (Osmonbetov, 1980). However, the stratigraphic age
252 of this outcrop is not well constrained with ages varying from the Lower Jurassic to the
253 Middle-Upper Jurassic depending on geological maps (Fig. 4). The deposits consist of greyish
254 siltstones alternating with fine to medium-grained sandstone beds locally moderately
255 bioturbated and containing numerous plants debris. Those sediments are interpreted to be
256 lacustrine deposits (LE2, Table 2) (Fig. 9).

257 Further east, and stratigraphically below the outcrop previously described, Lower-
258 Middle Jurassic series unconformably resting on Paleozoic basement rocks are
259 discontinuously exposed on a forested slope (Fig. 4B). Due to poor exposure, no precise
260 sedimentological analysis has been conducted on these strata. The lower deposits consist of
261 stacked coarse-grained sandstone beds showing faint planar cross-bedding possibly
262 representing lacustrine delta deposits (LD, Table 2). This first sequence is overlain by
263 alternating siltstone and sandstone layers, apparently very similar to the lacustrine facies
264 previously described.

265 **4.1.2. Kara Alma section**

266 - Sedimentology:

267 The Kara-Alma section (Figs. 2 and 10A; Table 1 for GPS coordinates) was logged
268 along a river incision scarp and covers ~230 m of strata attributed to the Triassic (?) and
269 Lower Jurassic Tuyuk and Chaartash fms (Luik and Zapolnov, 1960).

270 At the base of the section, a ~10 m-thick succession consisting of clast-supported
271 conglomerates with sub-angular to sub-rounded pebbles to boulders is interpreted as either
272 alluvial fan or delta fan deposits (AF/DF, Table 2) rests unconformably on the Paleozoic
273 basement (Fig. 10A). The next ~50 m consist of stacked medium grained sandstones
274 alternating with siltstones containing numerous plant fragments and m-thick coal beds
275 interpreted as lacustrine delta deposits (LD, Table 2) (Fig. 10A). These deposits are directly
276 followed by a ~170 m-thick unit consisting of dark-grey, organic-rich siltstones and coal beds,
277 interbedded with medium-grained sandstone beds interpreted as lacustrine deposits (LE1,
278 LE2, Table 2) (Fig. 10A).

279 - Biostratigraphy:

280 Seven samples have been collected along the Kara Alma section in order to constrain
281 the age of the deposits (Table S1; Fig. 10A for samples location). Palynomorph recovery was
282 variably low to high and the pollen and spores are generally poorly to very poorly preserved,
283 tending to be degraded and of dark colour (Fig. 11). However, there is enough variability in
284 the preservation and sufficient numbers of specimens to allow for some specific
285 identifications (See supplementary data for more details).

286 Samples YF-18-01 to YF-18-06 have assemblages which include *Apiculatisporites*
287 *ovalis* (high abundance in sample YF-18-01), together with common *Apiculatisporites* spp.,
288 *Baculatisporites* spp., *Cyathidites* spp., *Osmundacidites* spp. and bisaccate pollen. *A. ovalis* is
289 typical of the Early to Middle Jurassic, whilst additional *Callialasporites turbatus* (sample
290 YF-18-04) and *Cerebropollenites thiergartii* (samples YF-18-02 and YF-18-04) provide
291 evidence for a late-Early to Middle Jurassic age, not older than latest Pliensbachian. The

292 assemblage recorded from sample YF-18-07 is characterized by abundant bisaccate pollen
293 (including *Alisporites* spp.), together with abundant *Cyathidites* spp. and *Lycopodiumsporites*
294 *autroclavatidites*, and additional *Callialasporites turbatus* and *Quadraeculina anellaeformis*.
295 This overall association of taxa suggests an age within the late-Early to Middle Jurassic, not
296 older than latest Pliensbachian.

297 **4.1.3. Kara Tuybe section**

298 The Kara Tuybe section (Fig. 10B) is again located in the Sarik valley, SW of the Kara
299 Alma section (Fig. 2; Table 1 for GPS coordinates). The sedimentological section was
300 recorded along a scarp and covers Lower-Middle Jurassic or only Middle Jurassic deposits
301 depending on the considered geological maps (Luik and Zapolnov, 1960; Osmonbetov, 1980)
302 (Fig. 4). The exposure is locally interrupted by large patches of vegetation.

303 The base of the ~220 m logged section rests unconformably on Paleozoic basement
304 rocks and consists of a ~10 m-thick pebbly conglomerates interpreted either as alluvial or
305 delta fan deposits (AF/DF, Table 2). The next ~5 m consist of an alternation of gravelly
306 sandstone beds with erosional basal boundaries and normally - graded gravelly to coarse-
307 grained sandstones interpreted as lacustrine delta deposits (LD, Table 2) (Fig. 10B). Good
308 exposures are then lacking over a ~80 m gap in which only a few fine-grained sandstone
309 deposits have been observed. The rest of the section consists mainly of pebbly conglomerates
310 containing lenticular sandstone beds and of stacked beds of fine to gravelly sandstones
311 showing 3D megaripples and occasional erosional basal boundaries. These sediments are
312 interpreted as lacustrine delta deposits (LD, Table 2) (Fig. 10B).

313 **4.1.4. West Chitty section**

314 Sedimentology: The West Chitty section (Fig. 12A) is located in the southern part of the
315 Yassy valley (Fig. 2; Table 1 for GPS coordinates). This section was logged along two

316 distinct cliffs separated by a few hundred meters across a small river and covering Lower
317 Jurassic to Middle Jurassic deposits based on the geological map (Osmonbetov, 1980; Luik
318 and Zapolnov, 1960).

319 In this area, the 1/500,000 geological map (Osmonbetov, 1980, 1982) indicates a Late
320 Triassic–Lower Jurassic age for the Tuyuk Fm that rests unconformably on the Paleozoic
321 basement, although this base is not visible in the investigated sections. The Late Triassic age
322 for the base of the series has been challenged by Genkina (1977) based on paleobotanic data.
323 The lower part of the first section consists of a ~210 m-thick succession of heterolithic facies
324 deposits composed of medium-grained sandstones, locally bioturbated, alternating with fine-
325 grained sandstone beds (Fig. 12A). This succession is interpreted as deposited in a lacustrine
326 environment dominated by turbiditic sand deposits (LE1, Table 2).

327 Following a tectonically deformed area consisting mainly of a fault propagated fold
328 developing on a south-directed thrust, the first ~140 m of the second section consists of an
329 alternation of organic-rich siltstones and fine-grained sandstones, locally bioturbated and
330 showing occasional oscillatory ripples (Fig. 12A). The next ~260 m consists of pluri-m thick
331 thin-bedded heterolithic facies deposits composed of organic-rich siltstones and fine-grained
332 sandstones containing numerous plant-fragments alternating with m- to pluri-m thick
333 medium-grained sandstone beds (Fig. 12A). Altogether, these facies associations are
334 interpreted as lacustrine deposits (LE2, Table 2). The next ~ 40 m-thick units consist of
335 stacked gravelly to coarse-grained sandstone beds interpreted as lacustrine delta deposits (LD,
336 Table 2) (Fig. 12A). Finally, the top 80 m are dominated by organic-rich siltstone deposits
337 interpreted as deposited in a more distal lacustrine environment (LE3, Table 2).

338 Biostratigraphy: Five samples were collected from the West Chitty section and analyzed in
339 order to constrain the stratigraphic age (Table S2; Fig. 12A for samples locations). In these
340 samples, palynomorph recovery was variably low to high with pollen and spores generally

341 poorly to very poorly preserved, tending to be degraded (Fig. 11) (See supplementary data for
342 more details).

343 The assemblages recorded from samples Db-1 to Db-3 are of low abundance, and
344 mainly comprise low numbers of *Cyathidites* spp., bisaccate pollen, and unidentifiable trilete
345 spores and miospores. Occasional specimens of *Apiculatisporites ovalis* suggest an age not
346 older than Early Jurassic (Table S2). Sample Db-4 yielded a high abundance assemblage
347 which is dominated by trilete spores, mainly abundant *Cyathidites* spp., with additional
348 *Concavisporites* spp., *Concavissimisporites* spp. and *Granulatisporites* spp., and a large
349 proportion of unidentifiable trilete spores and miospores. Rare specimens of *Apiculatisporites*
350 *ovalis*, *Cerebropollenites mesozoicus*, *Cerebropollenites thiergartii*, *Eucommiidites*
351 *granulosus*, *Nevesisporites vallatus*, *Quadraeculina anellaeformis* and *Echinitosporites* sp. A
352 (Bujak and Williams, 1977) suggest an Early Jurassic age, within the Pliensbachian–
353 Sinemurian (Table S2). However, these assemblages are not well constrained. In addition
354 possible reworking of Triassic assemblages has been identified within samples Db-2, Db-3
355 and Db-4 with the presence of *Aratrisporites* spp. (sample Db-4), with rare *Lunatisporites* sp.
356 (sample Db-3) and a (?)striate bisaccate pollen (sample Db-2). This last result seems in
357 agreement with the Early Jurassic age proposed by Genkina (1977) for the base of the series
358 although indicating that some Triassic deposits did occur in that area that were reworked by
359 the Lower Jurassic sediments.

360 **4.1.5. East Chitty section**

361 Sedimentology: The East Chitty section (Fig. 12B) is located ~ 5 km eastward of the
362 previously described West Chitty section (Fig. 2; Table. 1 for GPS coordinates). This section
363 was recorded along a cliff covering ~ 470 m of the Lower Jurassic Chaartash Fm (Luik and
364 Zapolnov, 1960).

365 The base of the logged section consists of a ~ 50 m-thick alternation of siltstone and
366 fine-grained sandstones interpreted as lacustrine deposits (LE2, Table 2). Following a ~ 20 m-
367 thick gap in observation, the next 360 m of the section consist mainly of siltstones and fine to
368 medium-grained sandstones containing numerous coal beds (Fig. 11B) interpreted as
369 deposited in variable lacustrine environments (LE1 - LE2, Table 2). The next ~ 20 m-thick
370 consist of coarse-grained sandstones containing plant fragments and showing sigmoidal
371 bedding (Fig. 12B), and are interpreted as lacustrine delta deposits (LD, Table 2). Finally, the
372 top of the section is dominated by organic-rich siltstone deposits locally associated to coal
373 beds typical of a lacustrine environment (LE2, Table 2). This last sedimentary succession
374 extends above the section for several 10s of meters but was no logged in details.

375 Biostratigraphy: In the East Chitty section, 10 biostratigraphic samples were analyzed in
376 order to constrain the covered stratigraphic interval (Table S3; Fig. 12B for samples location).
377 In these samples, palynomorph recovery was variably low to high with pollen and spores
378 generally poorly to very poorly preserved (Fig. 11) (See supplementary data for more details).

379 The assemblages are dominated by bisaccate pollen (including *Alisporites* spp.),
380 together with the spore *Cyathidites* spp., associated with generally common *Apiculatisporites*
381 spp., *Baculatisporites* spp. and *Osmundacidites* spp., and a large proportion of unidentifiable
382 trilete spores and miospores (Table. S3). Rare specimens of *Callialasporites turbatus*
383 recorded from samples D-8, D-36, D-40 and D-52, together with *Callialasporites dampieri*
384 recorded from sample D-36, provides positive evidence for an age not older than latest
385 Pliensbachian. Moreover, additional rare *Cerebropollenites thiergartii* (sample D-60),
386 *Concentrisporites* sp. (samples D-8, D-16 and D-56), *Quadraeculina anellaeformis* (samples
387 D-40 and D-76), and *Nevesisporites vallatus* (samples D-16, D-20 and D-36), and rare to
388 common *Apiculatisporites ovalis* (samples D-8, D-16, D-36, D-60 and D-76) provide
389 supporting evidence for an age within the late Early–Middle Jurassic (Table S3).

390 **4.1.6. Pychan section**

391 The Pychan section (Fig. 13) is located along the Talas-Fergana fault on the eastern
392 margin of the basin, nearby the Pychan River (Fig. 2; Table 1 for GPS coordinates). Due to
393 its extremely remote and very high altitude position that section was not logged in details
394 except for characteristic features.

395 The Lower Jurassic strata (Osmonbetov, 1980) consist of heterolithic facies composed
396 of organic-rich siltstones and fine-grained sandstones, alternating with pluri-m-thick,
397 normally graded, gravelly to fine-grained sandstones presenting convex-up geometries. The
398 whole section is interpreted as deposited in lacustrine environments including fan/lobe
399 systems (LE2, Table 2) (Fig. 13). In addition, the general geometry of these deposits indicates
400 sedimentary input coming from the west and onlapping toward the east on Paleozoic
401 basement rocks (Fig. 13).

402 **4.1.7. Terek section**

403 The Terek section (Fig. 14) is located nearby the city of Terek, in the southern reach of
404 the Kyrgyz Yarkand-Fergana Basin (Fig. 2; Table 1 for GPS coordinates) and covers up to
405 1400 m of Jurassic strata (Belgovskiy et al., 1958).

406 The first ~ 25 m-thick of the section either corresponds to alluvial fan or delta fan
407 (AF/DF, Table 2). It is followed by an ~ 75 m-thick succession interpreted as lacustrine delta
408 evolving toward a 320 m-thick unit corresponding to lacustrine environment dominated by
409 turbiditic sand deposits (LE1, Table 2). The next ~ 130 m are interpreted as lacustrine delta
410 deposits (LD, Table 2) and are followed by a ~ 700 m-thick series evolving toward more
411 distal lacustrine environments (LE2-LE3, Table 2). Finally, this fine-grained unit is followed
412 by sandstones and conglomerates corresponding to alluvial fan systems (AF, Table 2) (Fig.
413 14).

414 **4.2. The Fergana Basin**

415 **4.2.1. Yassy section**

416 The Yassy section (Fig. 15) is located in the east Fergana Basin along the Yassy river
417 (Fig. 2) and presents ~220 m of deposits ranging from Middle Jurassic to Late Jurassic–Early
418 Cretaceous based on geological maps (Luik and Zapolnov, 1960; Osmonbetov, 1980).

419 In this area, the Middle Jurassic sediments rest unconformably on Paleozoic basement
420 rocks. The first ~ 60 m consists of yellowish, stacked medium to coarse-grained sandstones
421 interpreted as lacustrine delta deposit (LD, Table 2) (Fig. 15). It is followed by a ~220 m thick
422 unit of massive, reddish siltstone deposits alternating with fine to medium-grained sandstones,
423 often bioturbated, interpreted as distal lacustrine environment deposits (LE3, Table 2) (Fig.
424 15). The transition between the Jurassic and the Cretaceous (Osmonbetov, 1980) is sharp,
425 with depositional environments switching from distal lake to alluvial fan systems
426 characterized by matrix to clast-supported conglomerates (Fig. 15). No angular unconformity
427 is observed between the conglomerates and the underlying deposits. Pebbles are mainly
428 composed of Paleozoic basement rocks (including cherts and metamorphic carbonates) with a
429 few fragments of probably Mesozoic sandstones. This is consistent with the observations of
430 Poyarkova (1969) in the eastern Fergana Basin and that of De Pelsmaecker et al. (2018) in the
431 Tash Komyr section (NE-Fergana Basin).

432 **5. Tectono-stratigraphic evolution of the north Yarkand-Fergana region**

433 **5. 1. Middle–Late Triassic**

434 In both the north Fergana and Yarkand-Fergana basins, the Middle–Late Triassic
435 period is generally associated to a hiatus in sedimentation (Clarke, 1988; Bande et al., 2017b)
436 as well as by exhumation within the Kyrgyz Tian Shan (De Grave et al., 2011, 2013; Glorie et
437 al., 2011). Altogether, these data suggest that this region was under erosion during the

438 Middle–Late Triassic. However, the presence, in the Bayman-Bet area (see Table 1 for
439 location), of Lower Jurassic deposits resting unconformably above a relatively flat Paleozoic
440 surface imply that no high relief existed in this region at that time. Middle–Late Triassic
441 tectonic activity has been reported along the Talas Fergana/Karatau and more specifically in
442 the Karatau Range where the time of deformation was constrained from late Permian to
443 Triassic (Konopelko et al., 2013). In the Fergana region, Late Triassic brittle reactivation of
444 the Talas Fault has also been described (Rolland et al., 2013). However, the impact of this
445 Triassic tectonic activity on relief building or on the potential development of sedimentary
446 basins in the Yarkand-Fergana region is unknown. In the Yarkand-Fergana Basin, Triassic
447 flora has been reworked within Lower Jurassic sediments (Tables S2, S3), supporting the idea
448 that Triassic strata are not preserved (Genkina, 1977), while restricted areas of continental
449 sedimentation have been reported in the southwestern part of the Fergana Basin (Moisan et
450 al., 2011). Based on all these observations, we propose that the north Yarkand-Fergana region
451 was mainly dominated by low-reliefs with only restricted areas of sedimentation and limited
452 tectonic activity occurring along the Talas Fergana fault (Fig. 16A).

453 **5. 2. Early Jurassic: Sinemurian(?)–Pliansbachian**

454 The Early Jurassic corresponds to the onset of sedimentation within the North East
455 Fergana and northern Yarkand-Fergana basins (Fig. 16B). In the latter, sedimentation
456 consisted mainly on alluvial fan/fan delta and lacustrine delta deposits passing eastward
457 toward more distal lacustrine environments dominated by turbiditic and fan/lobe systems.
458 Along the western border of the Yarkand-Fergana Basin, the existence of several basement
459 highs is attested by the presence of angular unconformities between Lower Jurassic alluvial
460 fan/delta fan systems and the Paleozoic basement rocks (e.g. in Terek or in Kara-Alma
461 regions). The exposed tectonic structures within the north Yarkand-Fergana region are
462 complex, including folds and NW-SE trending faults systems (Fig. 7). In this area, these faults

463 are associated with changes in thickness and in facies of the Lower Jurassic sequences
464 suggesting that first-order fault zones controlled sedimentation during this period (Tseyler et
465 al., 1982). In the south Yarkand-Fergana Basin, Lower-Middle Jurassic sediment thickness
466 decreases away from the Talas-Fergana Fault (Sobel, 1999) suggesting half-graben geometry
467 (Sinitsyn, 1960) (Fig. 16B).

468 The Early Jurassic corresponds to a period of renewed transtensive tectonic activity
469 along the Talas Fergana/Karatau Fault which led to the opening of the Yarkand-Fergana,
470 Leontiev and South Turgay basins (Sobel et al., 1999; Moseley and Tsimmer, 2000; Allen et
471 al., 2001; Shi et al., 2016; Alexeiev et al., 2017; Schnyder et al., 2017). However, the precise
472 timing of opening of these basins is not clearly known. In the South Turgay Basin, no
473 biostratigraphic ages are available while in the Leontiev Basin, Lower Jurassic sediments
474 have a pre-Toarcian (Pliansbachian) to Toarcian age (Schnyder et al., 2017). Within the
475 Yarkand-Fergana Basin, we have identified the oldest palynomorph assemblages as Early
476 Jurassic (Sinemurian?) to Pliensbachian in age (Table S2). Altogether these data seems to
477 support a Sinemurian to Pliensbachian age of opening of the Yarkand-Fergana and Leontiev
478 basins (and probably of the Turgay Basin) but further studies are needed to confirm this
479 hypothesis.

480 Meanwhile, to the west, in the Fergana Basin, renewed sedimentation also occurred
481 during the Early Jurassic leading to the accumulation of 90–400 m-thick alluvial to lacustrine
482 deposits (Osmonbetov et al., 1982; Clarke, 1984; De Pelsmaeker et al., 2018). In this basin,
483 lateral thickness variations are minor, suggesting a different style of formation (Bande et al.,
484 2017b) compared to the Yarkand-Fergana Basin (Fig. 16B).

485 Finally, this period is also characterized by tectonic reactivation of Paleozoic
486 structures and exhumation within the Kyrgyz Tian Shan identified by low-temperature
487 thermochronology (Sobel et al., 2006; De Grave et al., 2007, 2011; Nachtergaele et al., 2018).

488 However, this tectonic activity only led to localized relief building in the Tian Shan region
489 (Morin et al., 2018 and references within). Lower Jurassic sedimentation also occurred within
490 restricted areas of the Kyrgyz Tian Shan (De Pelsmaeker et al., 2018) and it is not clear if the
491 Talas-Fergana/Karatau fault acted as a barrier or if the Yarkand-Fergana Basin expanded
492 further east. Although we investigated only one location along the Talas Fergana Fault, we
493 did not find any evidence of westward directed sediment flux as could be expected should the
494 fault form a major scarp.

495 **5.3. Late Pliensbachian–Middle Jurassic**

496 In the northern Yarkand-Fergana Basin, late Pliensbachian to Middle Jurassic
497 sedimentary rocks are characteristic of more distal depositional environments compared to
498 those previously described (Fig. 16C). Indeed, sedimentation consisted mainly of lacustrine
499 delta, lacustrine and distal lacustrine deposits often associated with extensive coal layers (this
500 study; De Pelsmaeker et al., 2018). Some basement highs could still have been present but
501 generally, this period corresponded to a widening of the Yarkand-Fergana Basin (Fig. 16C).
502 Late Early–Middle Jurassic motion along the Talas-Fergana fault still occurred leading to
503 continuous opening and sedimentation within the South Turgay, Leontiev and Yarkand
504 Fergana basins (Sobel, 1999; Moseley and Tsimmer, 2000; Allen et al., 2001; Shi et al., 2016;
505 Alexeiev et al., 2017; Schnyder et al., 2017).

506 To the west, in the Fergana Basin, Middle Jurassic sedimentation consisted of 100 to
507 300 m-thick alluvial to lacustrine deposits often associated to coal beds (Clarke, 1988; De
508 Pelsmaeker et al., 2018).

509 Finally, in the Kyrgyz Tian Shan, this period was characterized by slow erosion
510 leading to progressive exhumation of the basement areas (Morin et al., 2018 for a synthesis).
511 Meanwhile, no sedimentation occurred within the Kyrgyz Tian Shan during this period as
512 attested by the presence of a Middle Jurassic to Eocene hiatus (VNIGNI et al., 1992).

513 5.4. Late Jurassic–Early Cretaceous:

514 In the north Yarkand-Fergana Basin, no Upper Jurassic–Early Cretaceous sediment
515 has been observed while along the eastern Fergana Basin margin, sedimentation consisted
516 mainly of alluvial fan and alluvial plain deposits (Fig. 16D). Although in the Yassi River
517 section presented in this study, the Late Jurassic–Early Cretaceous conglomerates mainly
518 contain Paleozoic rock fragments, provenance studies on similar series from the NE Fergana
519 Basin (Tash Komyr section) indicate potential recycling of older Jurassic sediments and a
520 smaller drainage area compared to the Early–Middle Jurassic paleogeography (De Pelsmaeker
521 et al., 2018). Poyarkova (1969) noted that in the eastern Fergana Basin, the Early Cretaceous
522 series, mainly containing pebbles derived from Paleozoic basement rocks, rested without
523 angular unconformity on the Jurassic deposits. However, such an unconformity has been
524 observed in the southern Fergana Basin (Gabril’yan and Babayev, 1960). These various
525 observations may indicate that, like in the Chinese Tian Shan, angular unconformities could
526 develop locally (Jolivet et al., 2017). The preponderance in the Late Jurassic–Early
527 Cretaceous conglomerates of Paleozoic basement-derived clasts, associated to minor
528 Mesozoic sandstone fragments suggest an inversion of the Yarkand-Fergana Basin during that
529 period. Tectonic inversion and deformation have also been described in the South Turgay
530 (Yin et al., 2012) and Leontiev basins (Allen et al., 2001) indicating that transpression
531 occurred along the Talas-Fergana/Karatau fault during this period. This is attested by low-
532 temperature thermochronology which identifies a Late Jurassic–Early Cretaceous cooling
533 event in the Kyrgyz Tian Shan Range suggesting localized relief building (De Grave et al.,
534 2007, 2011, 2013; Glorie and De Grave., 2016; Nachtergaele et al., 2018).

535 **6. Geodynamic implications and remaining uncertainties**

536 The Early–Middle Jurassic corresponds to a peculiar period in the tectonic history of
537 the Talas Fergana/Karatau Fault. Indeed, despite having undergone several phases of pure or
538 transpressionnal dextral strike slip motion during its Paleozoic to Cenozoic evolution (Ognev,
539 1939; Sobel, 1999; Allen et al., 2001; Alexeiev et al., 2009, 2017; Rolland et al., 2013), only
540 its Jurassic activity led to the opening of several associated sedimentary basins (i.e. South
541 Turgay, Leontiev and Yarkand-Fergana basins). However, the driving mechanism leading to
542 these transient transtensional kinematics is still unclear. Some studies proposed that Early-
543 Middle Jurassic strike-slip activity was driven by a regional compressional setting induced by
544 the Qiangtang collision (Sobel, 1999). However, the Early–late Early Jurassic timing of
545 opening of the Yarkand-Fergana Basin does not seem to be in agreement with this hypothesis.
546 The final stage of the Qiangtang collision is dated to the Late Triassic–Early Jurassic, with the
547 emplacement, in Eastern Tibet of late-orogenic to post-orogenic granites around 200 Ma
548 (Zhang et al., 2006; Roger et al., 2010). If associated to the Qiangtang collision, the strike-slip
549 motion along the Talas Fergana/Karatau Fault would thus be contemporaneous of the very
550 final collision phase. Furthermore, the subsequent Jurassic to Early Cenozoic period was
551 marked, in Tibet, by an absence of vertical tectonic movements (Roger et al., 2011; Jolivet,
552 2017), except for the late Early Cretaceous Lhasa collision in southern Tibet (Kapp et al.,
553 2005, 2007). This again contradicts the Pliensbachian–Middle Jurassic ongoing transtension
554 in the Yarkand-Fergana Basin being related to tectonic events within the Tibet region. Other
555 studies proposed that this Early–Middle Jurassic strike-slip activity could have occurred in a
556 regional extensional setting (Alexeiev et al., 2017; Morin et al., 2018). Indeed, as indicated
557 above, no major collisional event has been identified along the Eurasian margin during this
558 period while simultaneous widespread extension associated to back-arc opening along the
559 northern Neo-Tethys subduction zone affected the Caspian/Turan domain to the west

560 (Zonenshain and Pichon, 1986; Nikishin et al., 1998; Thomas et al., 1999; Brunet et al., 2003,
561 2017; Robert et al., 2014; Mordvintsev et al., 2017; Rolland et al., 2020). It is for example
562 largely accepted that the South Caspian Basin initiated as a back-arc structure during the
563 Early(?) to Middle Jurassic (Brunet et al., 2003 and references therein). Magmatism
564 associated to the subduction has been identified in the Transcaucasus (with a first peak
565 activity during bajocian – Bathonian) and Pontide regions, west of the South Caspian Basin
566 (Yilmaz et al., 1997; Nikishin et al., 2001; Brunet et al., 2003). As illustrated on Figure 17,
567 this extension reactivated mainly NW–SE orientated Paleozoic structures as normal faults. In
568 turn, these normal faults induced localized subsidence leading to the emplacement of
569 elongated NW–SE depocenters in the Amu-Darya and Kopet Dagh basins (e.g. Robert et al.,
570 2014; Brunet et al., 2017; Mordvintsev et al., 2017). The evolution and geometry of these
571 basins are similar to those observed in the northern Yarkand-Fergana Basin: a half-graben
572 controlled by a NW–SE oriented tectonic structure corresponding to the Talas-
573 Fergana/Karatau fault. However, further work is needed to support this hypothesis and to
574 better constrain the evolution of the whole Yarkand-Fergana Basin. Following that second
575 model, the Early–Middle Jurassic Talas Fergana/Karatau Fault would thus represent the
576 easternmost reach of the extensional deformation-field controlled by the Neo-Tethys
577 subduction (Fig. 17; Morin et al., 2018).

578 Several questions still remain. For example, if following the extension model, how is
579 the stress transmitted for more than 1000 km away from the subduction zone? Again, the
580 exact timing of the Late Jurassic–Early Cretaceous inversion of the Yarkand-Fergana Basin is
581 poorly constrained and the associated driving mechanism remains unknown. Low-magnitude
582 compression occurred throughout many ranges in Central Asia without consensus on the
583 geodynamic setting (Jolivet, 2017 and references therein). These questions are largely linked
584 to the difficulty in dating the deposits (mainly conglomerates) associated to this event

585 (Hendrix et al., 1992; Eberth et al., 2001; Wang et al., 2013; Jolivet et al., 2017; Morin et al.,
586 2018). Only one mafic sill intruded in the dated at 144 ± 8 Ma (U-Pb on apatite) Hodzhiabad
587 Fm. in the Tash Komyr section (NE Fergana Basin) provide a minimum age for these
588 deposits.

589 7. Conclusions

590 The sedimentological, palynological and structural data presented in this study provide
591 new constrains on the tectono-stratigraphic evolution of the Yarkand-Fergana Basin.

592 (1) During the Middle–Late Triassic, the north Yarkand-Fergana and north-east
593 Fergana basins are dominated by erosion.

594 (2) The Early Jurassic Sinemurian(?)–Pliensbachian marks the onset of sedimentation,
595 at least in the northern Yarkand-Fergana Basin. At that time, renewed activity along the
596 Talas-Fergana/Karatau fault led to the opening of the Yarkand-Fergana Basin as a half-
597 graben. However, this timing does not favor the idea of a tectonic reactivation induced by the
598 Qiangtang collision and favor the hypothesis of Neo-Tethys subduction related extension
599 affecting the entire Caspian-Turan domain to the west.

600 (3) Continuous opening of the Yarkand-Fergana Basin occurred during the late Early –
601 Middle Jurassic probably related to ongoing subduction-related extension along the northern
602 Neo-Tethys margin.

603 (4) Finally, the Late Jurassic–Early Cretaceous corresponds to a period of renewed
604 tectonic activity in the area leading to the inversion of the north Yarkand-Fergana Basin.

605 Further work on the Yarkand-Fergana Basin is needed to better constrain the
606 geodynamic mechanism and stress-field that led to its opening during the Early–late Early
607 Jurassic as well as those that led to Late Jurassic–Early Cretaceous inversion.

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870

871

872 **Figures captions**

873 **Figure 1.** General tectonic framework of the Tian Shan region and Jurassic deposits
874 associated to the Talas Fergana/Karatau Fault. Y-F B: Yarkand-Fergana Basin; TFF: Talas
875 Fergana/Karatau Fault; N.L.: Nikolaev Line; NTSF: North Tian Shan Fault; K: Kratau Range;
876 T: Talas Range; F: Fergana Range; Ksh: Kokshaal Range; Ch: Chatkal Range.

877 **Figure 2.** Simplified geological map of the Yarkand Fergana region. TFF: Talas Fergana
878 Fault; Ba: Bayman-Bet; Ch: Chitty; Ka: Kara-Alma; Kt: Kara Tuybe; Ku: Kuzigongsu; Py:
879 Pychan; Te: Terek; Ya: Yassy.

880 **Figure 3.** Synthesis of the chronostratigraphic charts available for the Jurassic to Early
881 Cretaceous series in the Yarkand-Fergana, Fergana, Leontiev and South Turgay basins.

882 **Figure 4.** Geological maps available for the north Yarkand-Fergana region, note the
883 differences in interpretation between geological maps. A: 1/500,000 scale geological map
884 (Osmonbetov, 1980); B: 1/200,000 scale geological map (Luik and Zapolnov, 1960); C:
885 Zoom on the Kara-Alma, Kara-Tuybe, Chitty and Pychan areas and on the studied sections.

886 **Figure 5.** Uninterpreted and interpreted panorama picture of the Bayman-Bet area illustrating
887 the fan-shaped geometry observed within Jurassic deposits and the unconformable contact
888 with Paleozoic basement rocks.

889 **Figure 6.** Field picture illustrating the unconformable contact between Jurassic conglomerates
890 and Paleozoic rocks (A) and an example of normal fault observed in the Kara-Alma area (B).

891 **Figure 7.** Panorama pictures illustrating the general tectonic deformation observed in the
892 Chitty valley (A, B and C) and in the Pychan area (D, E and F). E, F: Uninterpreted and
893 interpreted panorama picture showing the geometric relation between Lower Jurassic
894 sedimentary rocks and the Paleozoic basement in the Pychan region.

895 **Figure 8.** Pictures illustrating the various Jurassic sedimentary facies of the north Yarkand-
896 Fergana Basin. See Table 2 for the facies codes and descriptions. Pictures of facies
897 respectively from: A, Kara-Alma section; B and C, Yassy section; D, East Chitty area; E,
898 Terek section; F, West Chitty area; G: Bayman-Bet section; H, Pychan area; I and J, West
899 Chitty section; K, Terek section.

900 **Figure 9.** Outcrop picture and associated sedimentary log of the Bayman-Bet section.

901 **Figure 10.** Sedimentary log of the Kara Alma and the Kara Tuybe sections in the Yarkand-
902 Fergana Basin. Associated facies assemblages and their interpretation in term of depositional
903 environments as in Table 2.

904 **Figure 11.** Palynology plates of representative pollens and spores of the Kara Alma and
905 Chitty sections. The sample number and the England Finder reference are given for each
906 specimen. (1) *Araucariacites australis* (Kara Alma Section, Y-18-1, N64/2). (2)
907 *Callialasporites dampieri* (Chitty Section, D-36, U30). (3) *Callialasporites turbatus* (Chitty
908 Section, D-36, S43/2). (4) *Callialasporites turbatus* (Chitty Section, D-40, O56). (5)

909 *Callialasporites turbatus* (Chitty Section, D-40, V46/4). (6) *Perinopollenites elatoides* (Chitty
910 Section, D-36, P50/2). (7) *Perinopollenites elatoides* (Chitty Section, D-36, P49). (8)
911 *Cerebropollenites mesozoicus* (Chitty Section, D-40, L32/2). (9) *Cerebropollenites*
912 *mesozoicus* (Chitty Section, D-40, K50/2). (10) *Cerebropollenites thiergartii* (Chitty Section,
913 D-60, N2). (11) *Cerebropollenites thiergartii* (Chitty Section Part 1, Db-4, K48/2). (12)
914 *Tsugaepollenites* sp. (Chitty Section Part 1, Db-4, X46/4). (13) *Classopollis* sp. (Chitty
915 Section, D-16, V53/4). (14) *Classopollis* sp. (Chitty Section, D-16, Q32/2). (15)
916 *Chasmatosporites* sp. (Kara Alma Section, Y-18-4, L45/2). (16) *Cycadopites granulatus* (Kara
917 Alma Section, Y-18-4, N55/3). (17) *Cycadopites minimus* (Chitty Section Part 1, Db-4,
918 N40/3). (18) *Cycadopites ovalis* (Chitty Section Part 1, Db-4, O39). (19) *Spheripollenites*
919 *psilatus* (Kara Alma Section, Y-18-7, P59/1). (20) *Quadraeculina* sp. (Kara Alma Section, Y-
920 18-7, M38). (21) *Quadraeculina* sp. (Chitty Section, D-76, N30/2). (22) *Alisporites grandis*
921 (Kara Alma Section, Y-18-5, O43). (23) *Alisporites* sp. (Chitty Section, D-40, N43/2). (24).
922 *Pityosporites* sp. (Chitty Section, D-40, P43). (25) *Podocarpidites* sp. (Chitty Section, D-40,
923 K50). (26) *Abiespollenites* sp. (Chitty Section, D-40, P50). (27) Poorly preserved Bisaccate
924 pollen (Chitty Section, D-8, F60/4). (28) Poorly preserved, reworked *Lunatisporites* sp.
925 (Chitty Section, D-8, T44). (29) Poorly preserved, reworked *Lueckisporites* sp. (Chitty
926 Section, D-8, L34). (30) *Eucommiidites granulosus* (Chitty Section Part 1, Db-4, W51/3). (31)
927 *Cyathidites* sp. (Chitty Section, D-36, S53). (32) *Cyathidites* sp. (Kara Alma Section, Y-18-1,
928 H36/3). (33) *Concavissimisporites* sp. (Chitty Section Part 1, Db-4, D35/3). (34)
929 *Gleicheniidites* sp. (Chitty Section Part 1, Db-4, Q36/4). (35) *Apiculatisporites ovalis* (Kara
930 Alma Section, Y-18-1, K54/3). (36) *Apiculatisporites ovalis* (Chitty Section, D-8, R40/3).
931 (37) *Baculatisporites* sp. (Chitty Section Part 1, Db-4, V35). (38) *Osmundacidites wellmanii*.
932 (Kara Alma Section, Y-18-1, C48). (39) *Neoraistrickia* sp. (Kara Alma Section, Y-18-1, S39).
933 (40) *Lycopodiumsporites austroclavatidites*. (Kara Alma Section, Y-18-7, J52/2). (41)

934 Lycopodiacidites sp. (Chitty Section Part 1, Db-4, W50/2). (42) Cingulizonates sp. (Chitty
935 Section, D-16, R29/4). (43) Striatella sp. (Chitty Section, D-16, S50/4). (44) Striatella sp.
936 (Chitty Section, D-36, J59). (45) Nevesisporites vallatus (Chitty Section Part 1, Db-4, D51/4).
937 (46) Verrucosisporites sp. (Chitty Section Part 1, Db-4, F54/1). (47) Reworked Aratrisporites
938 sp. (Chitty Section Part 1, Db-4, S52/3). (48) Reworked Aratrisporites sp. (Chitty Section Part
939 1, Db-4, L40/1). (49) Reduviasporonites sp. (Kara Alma Section, Y-18-2, V49/2). (50)
940 Reduviasporonites sp. (Kara Alma Section, Y-18-2, H35).

941 **Figure 12.** Sedimentary log of the West and East Chitty sections in the Yarkand-Fergana
942 Basin. Associated facies assemblages and their interpretation in term of depositional
943 environments as in Table 2.

944 **Figure 13.** (A) Field pictures presenting the sedimentary facies and the associated
945 sedimentary log observed in the Pychan region; (B) Panorama picture illustrating the
946 unconformable contact between Jurassic sedimentary rocks and the Paleozoic basement.

947 **Figure 14.** Sedimentary log of the Terek section in the Yarkand-Fergana Basin. Associated
948 facies assemblages and their interpretation in term of depositional environments as in Table 2.

949 **Figure 15.** Sedimentary log of the Yassy section in the Fergana Basin. Associated facies
950 assemblages and their interpretation in term of depositional environments as in Table 2.

951 **Figure 16.** Schematic 3D blocks illustrating the Middle Triassic–Early Cretaceous tectono-
952 stratigraphic evolution of the northern Yarkand-Fergana region.

953 **Figure 17.** (A) Late Early to Middle Jurassic general geodynamic and paleotopographic
954 framework of Asia (modified from Jolivet, 2017); EU, European Craton; CIB, Central Iran
955 Blocks; GCB; Great Caucasian Basin; SCB, South Caspian Basin; LH, Lhasa Block; QI,
956 Qiangtang Block; SG, Songpan-Garzê prism; Q, Qaidam Block; Mon, Mongolian Block; NC,

957 North China Block; SC, South China Block; IND, Indochina Block; SIB, Sibumasu Block;
958 WB, West Burma Block; TFF, Talas Fergana fault. (B) Focus on the structural and kinematic
959 pattern of the region extending from the Kyrgyz Tian Shan region to the Neo-Tethys
960 subduction zone in present-day Iran (modified from Morin et al., 2018). ST: South Turgay
961 Basin; LT: Leontiev Basin; YF: Yarkand Fergana Basin; TFF: Talas Fergana/Karatau fault; 1,
962 Paleotethys suture; 2, Turkestan suture. The white arrows indicate the general direction of
963 extension derived from the kinematic analysis of the major faults as reported by VNIGNI and
964 Beicip Franlab (1992), Thomas et al. (1999), Robert et al. (2014), Brunet et al. (2017). The
965 black arrows represent the inferred direction of slab-pull along the Neo Tethys subduction
966 zone. The geometry of the latest is following Brunet et al. (2017).

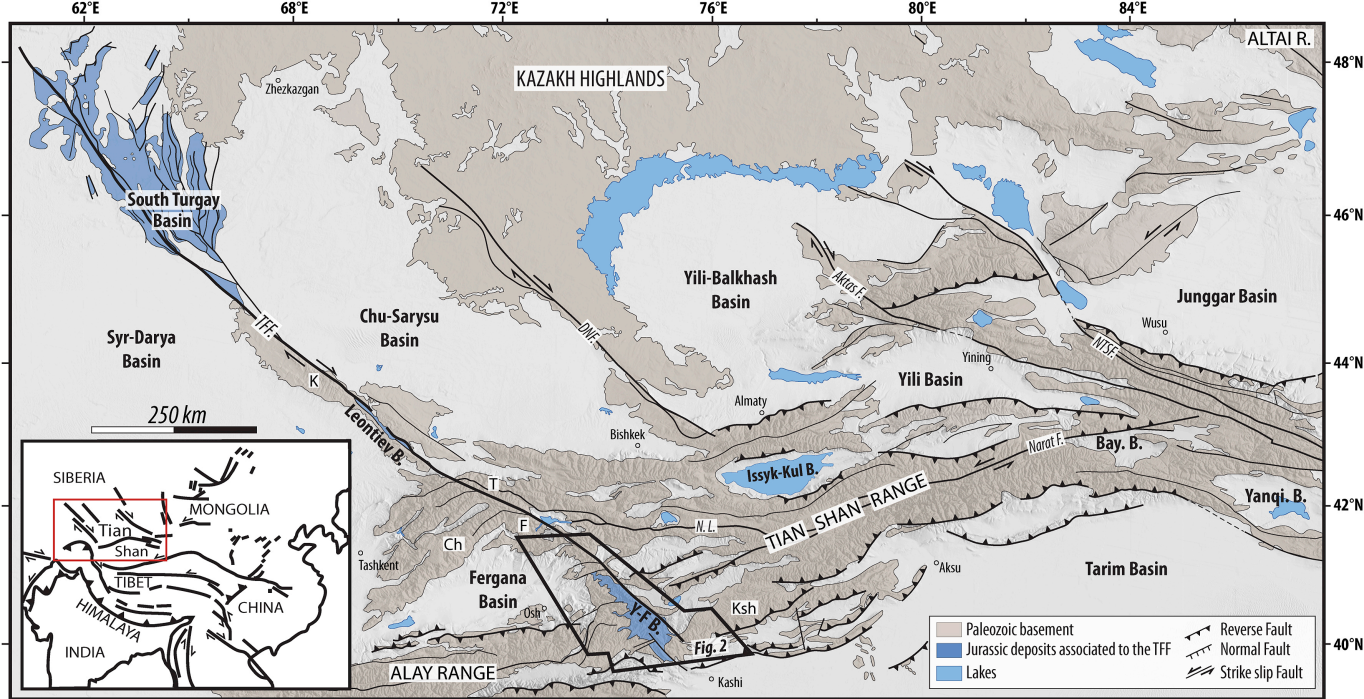
967 Table 1 GPS coordinates of the analyzed sedimentary sections presented in this study.

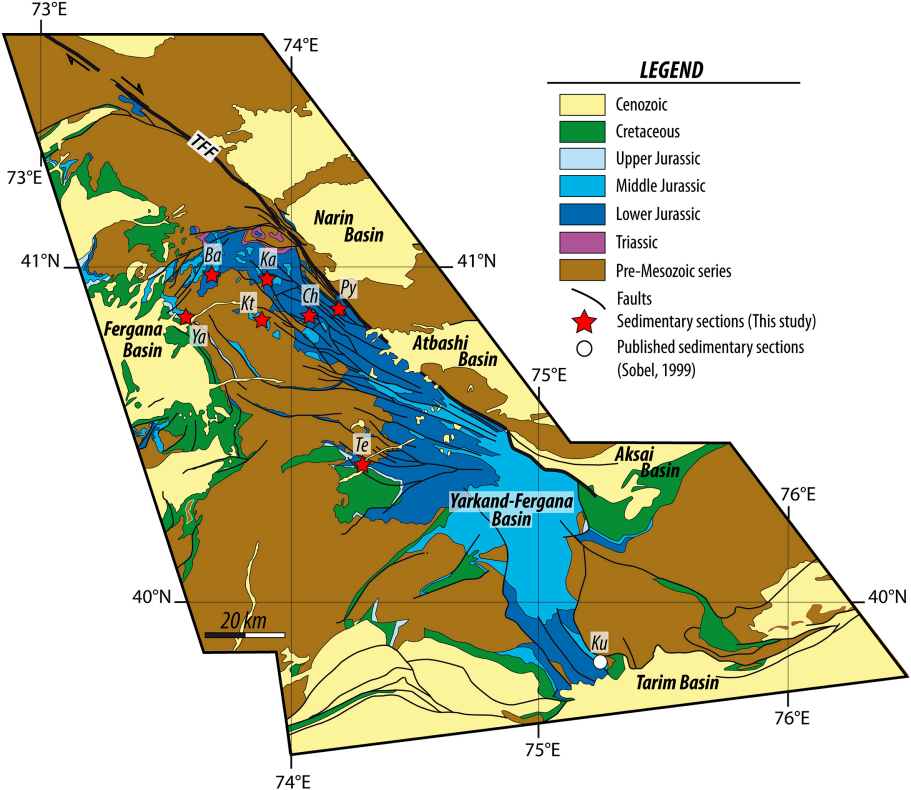
968 Table 2 Facies assemblage descriptions and their interpretations in terms of depositional
969 environments.

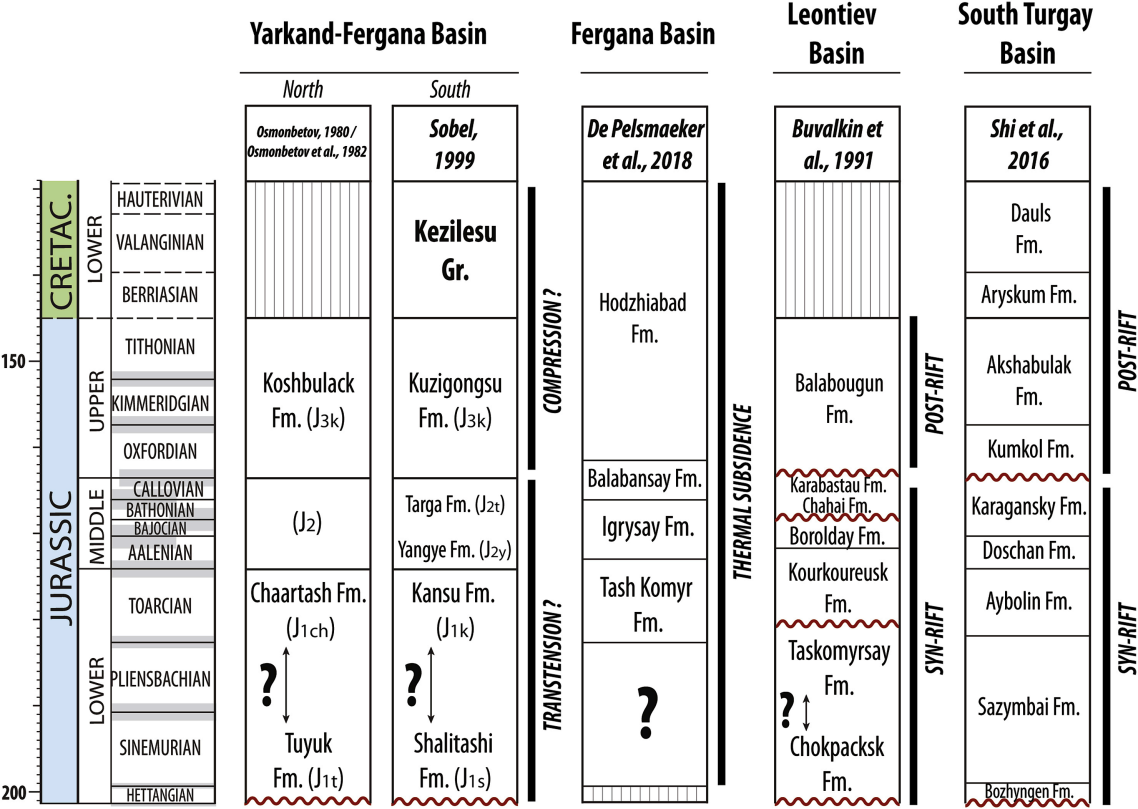
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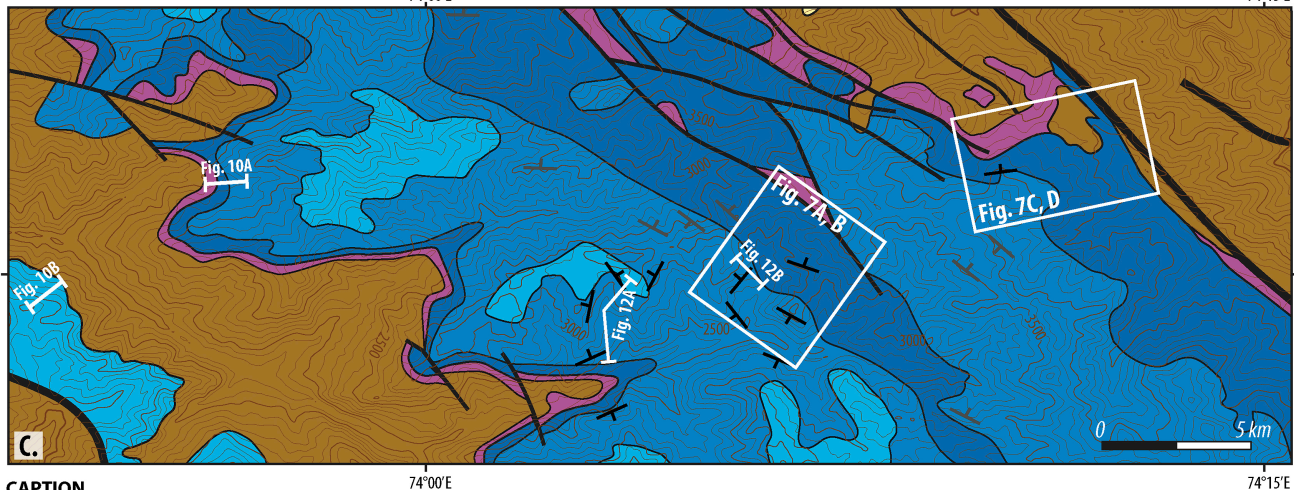
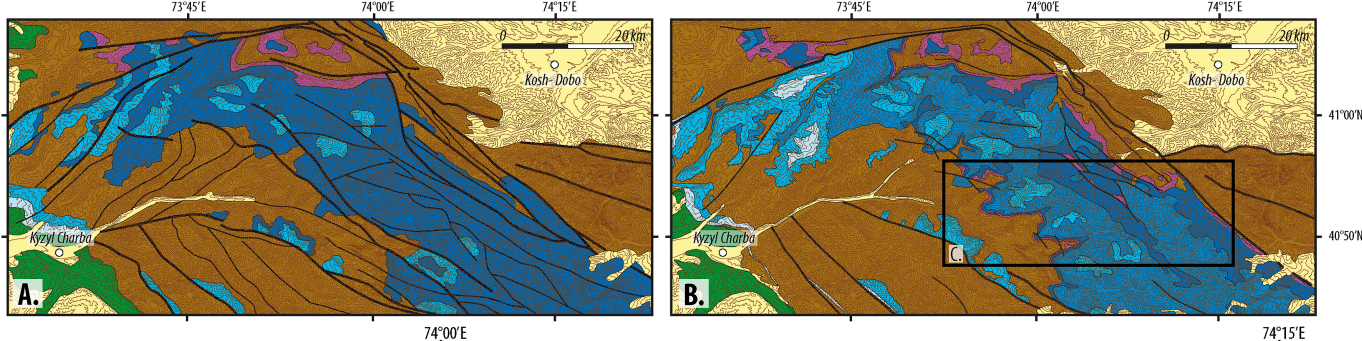
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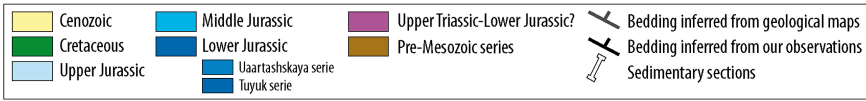








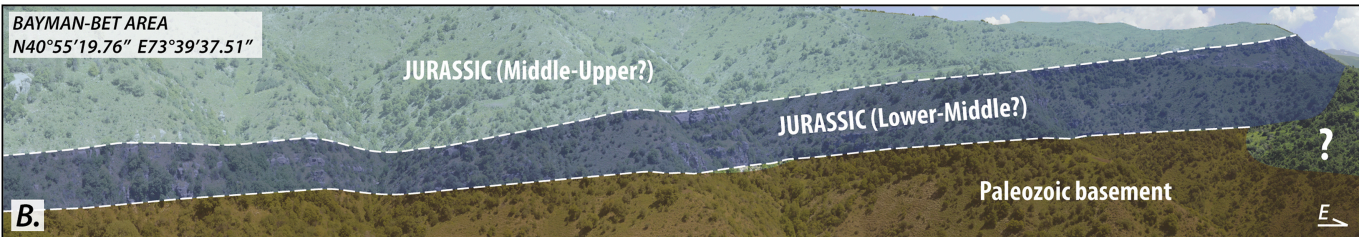
CAPTION

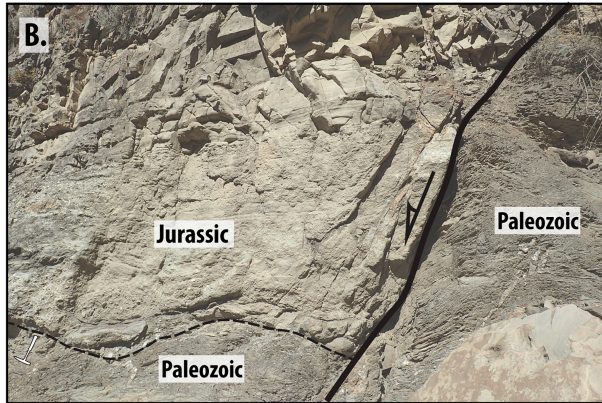
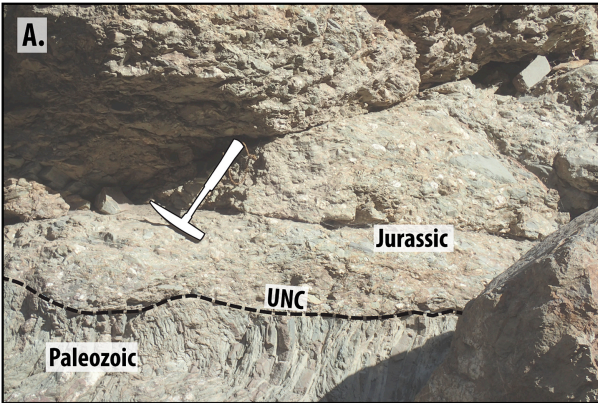


BAYMAN-BET AREA
N40°55'19.76" E73°39'37.51"



BAYMAN-BET AREA
N40°55'19.76" E73°39'37.51"





CHITTY RIVER
N40°51'46.05" E74°07'19.81"

A.

CHITTY RIVER
N40°51'03.50" E74°03'46.13"

B.

CHITTY RIVER
N40°50'17.15" E74°03'53.98"

C.

PYCHAN AREA
(N40°53'10.51" E74°8'58.93")

Atbashi range

TFF

D.

PYCHAN AREA
(N40°53'10.51" E74°8'58.93")

E.

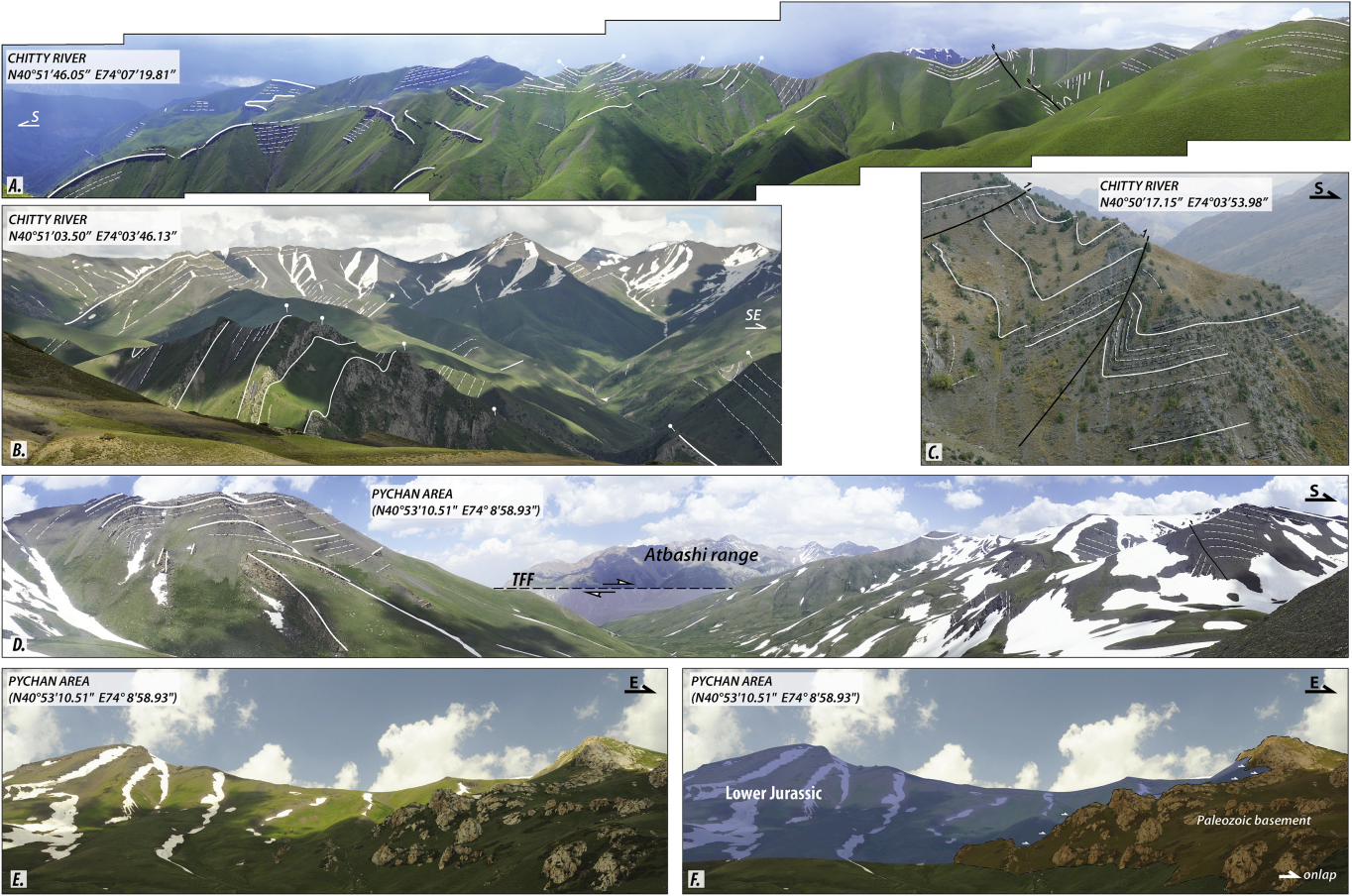
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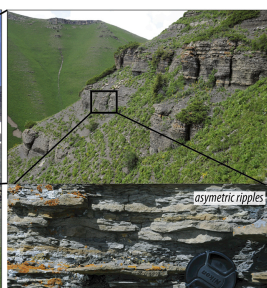
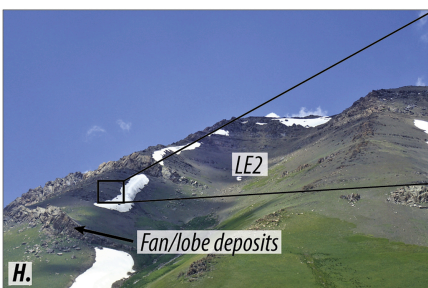
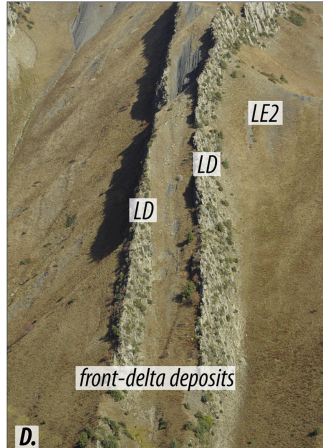
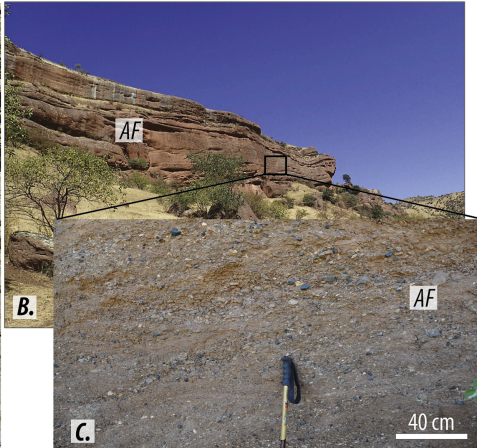
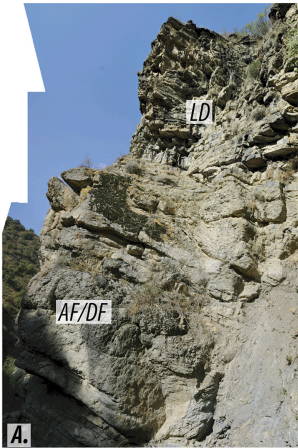
PYCHAN AREA
(N40°53'10.51" E74°8'58.93")

Lower Jurassic

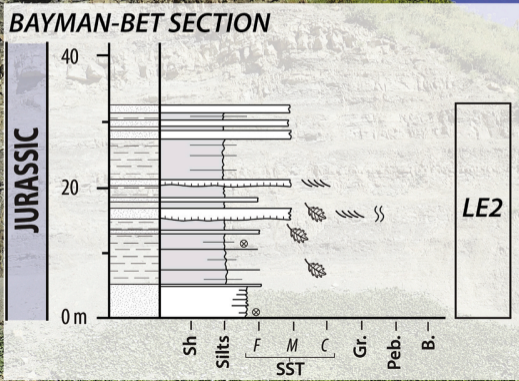
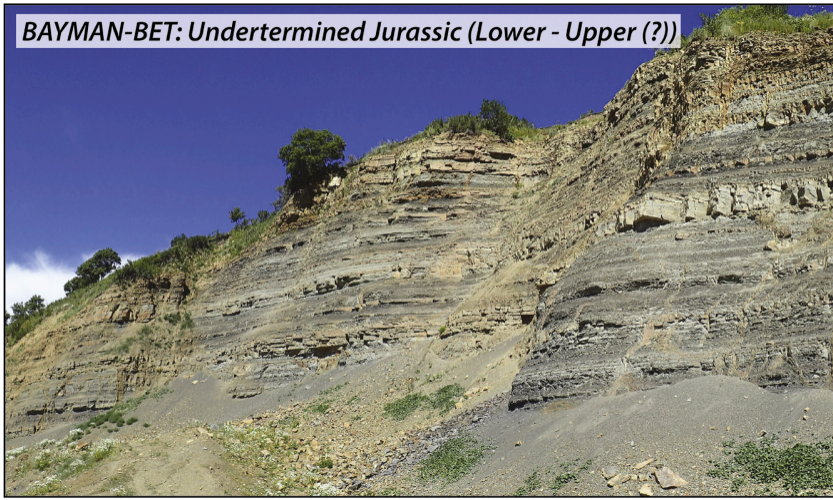
Paleozoic basement

onlap



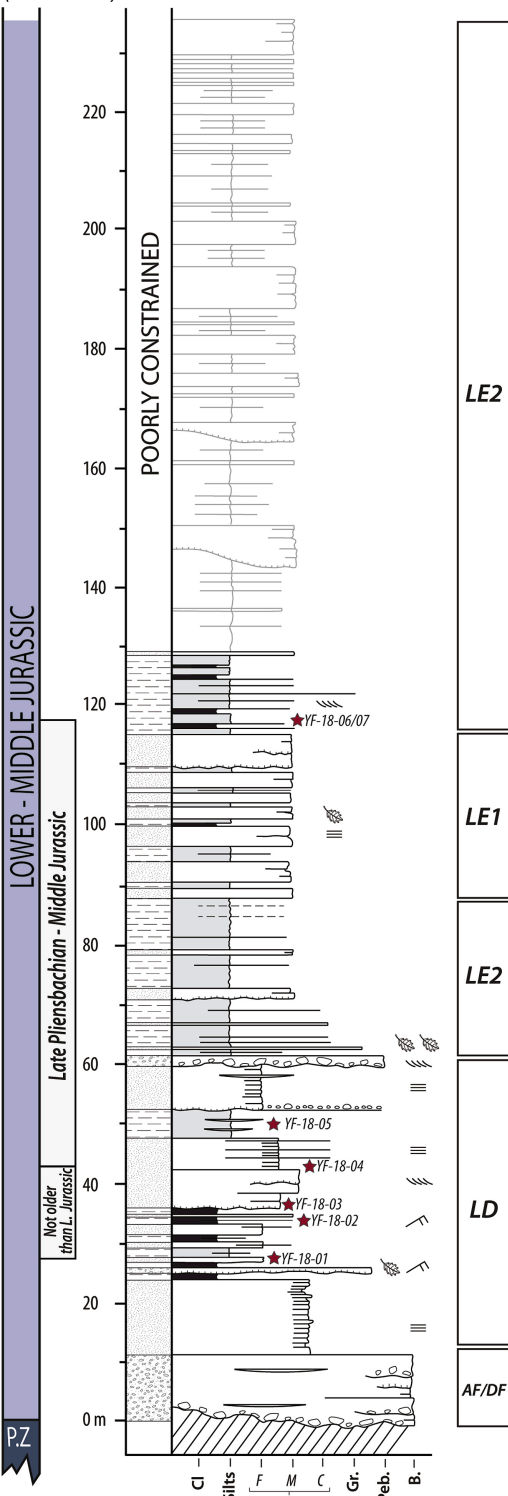


BAYMAN-BET: Undertermined Jurassic (Lower - Upper (?))



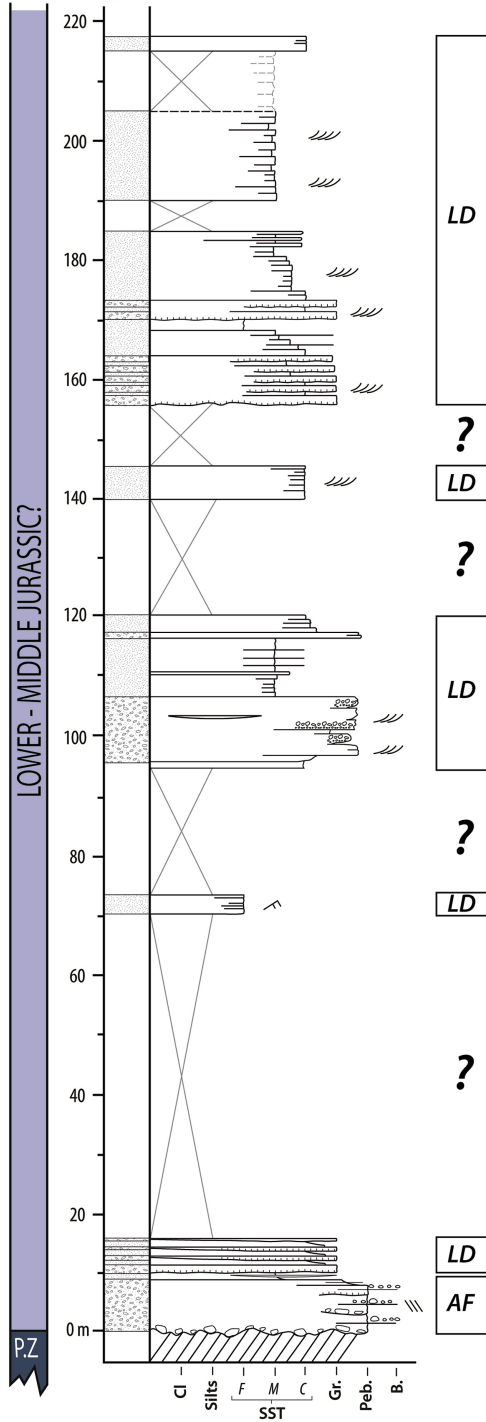
A) KARA ALMA SECTION

(scale: 1/2000)



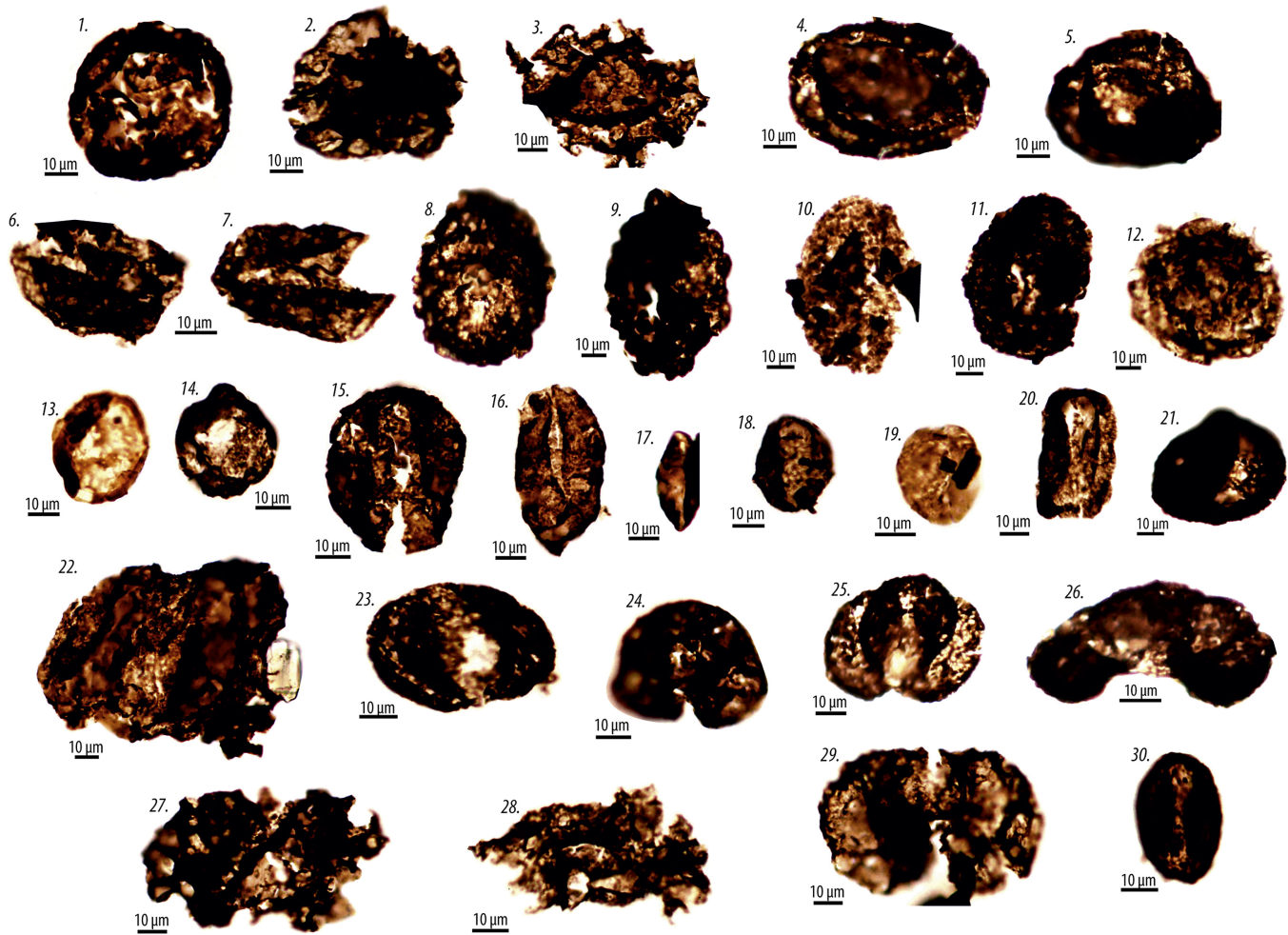
B) KARA TUYBE SECTION

(scale: 1/2000)

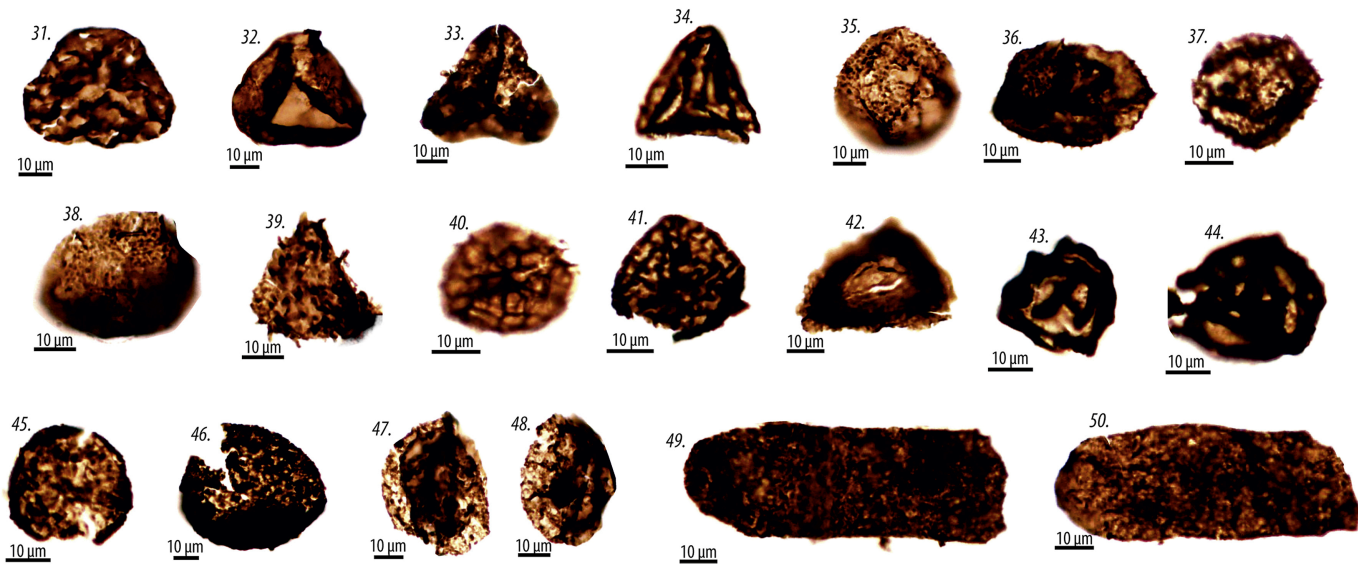


BEDDINGS	BIOTURBATIONS	SYMBOLS	LITHOLOGY	BASAL BOUNDARIES
Current ripples	Horizontal burrows	Plant fragments	Conglomerate	Slightly erosional basal boundary
2D megaripples	Vertical burrows	Root traces	Sandstone	Strongly erosional basal boundary
3D megaripples	Pervasive bioturbation	Rip-up clasts	Coal-rich layer	
flat laminations	Intensity bioturbation	Normal grading	Siltstone	
Oscillatory ripples		Lenses or channels	Siltstone (grey/black)	
				MISCELLANEOUS
				Biostratigraphic sample

POLLENS



SPORES

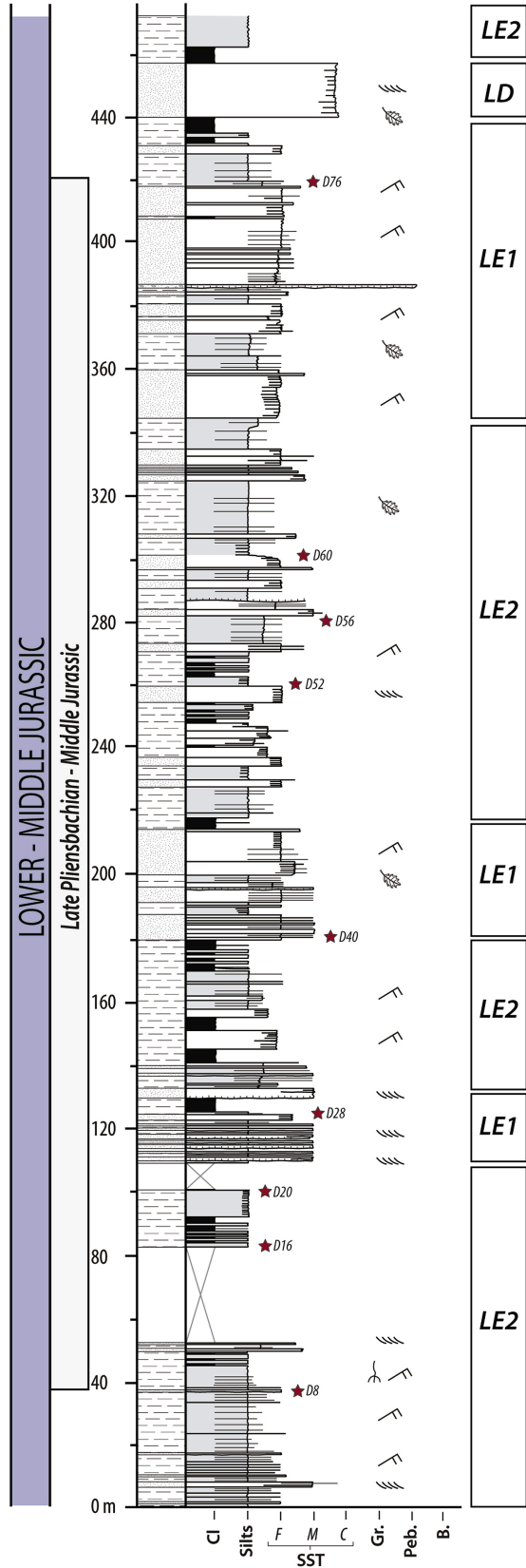
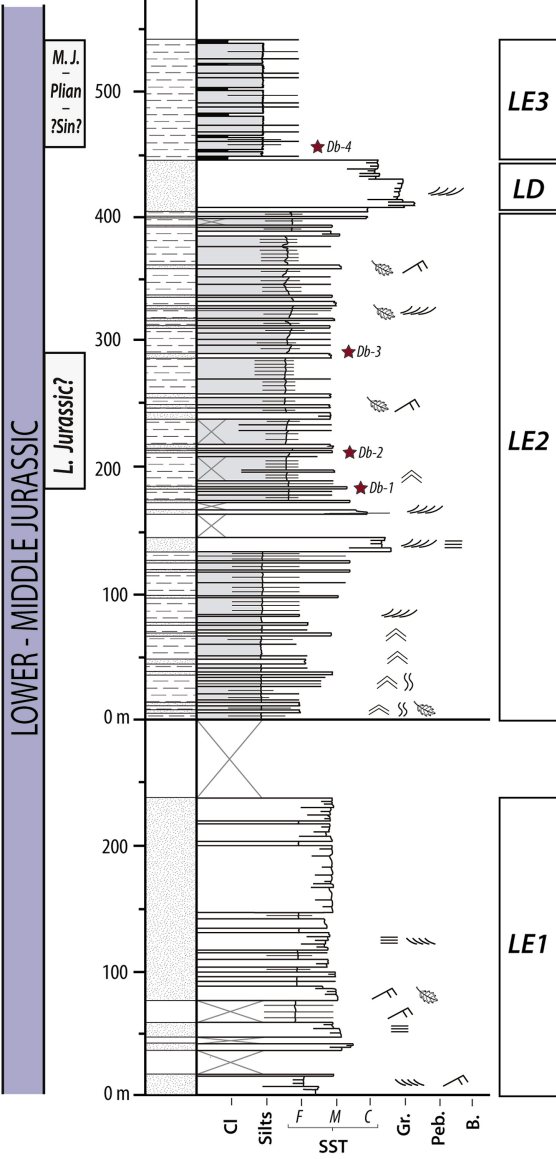


B) EAST CHITTY SECTION

(scale: 1/2000)

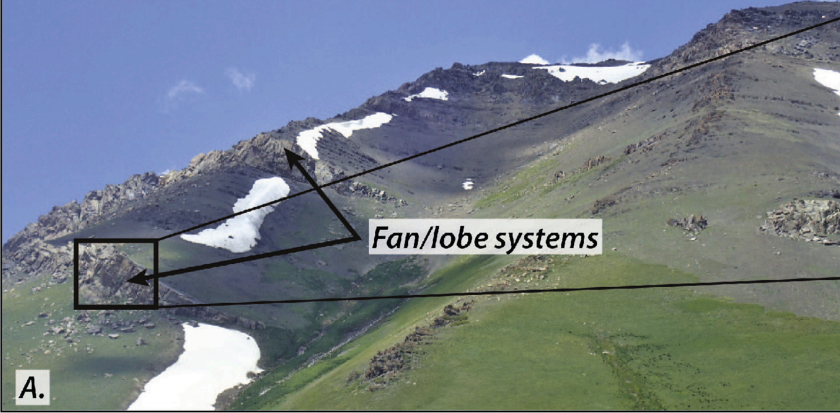
A) WEST CHITTY SECTION

(scale: 1/5000)



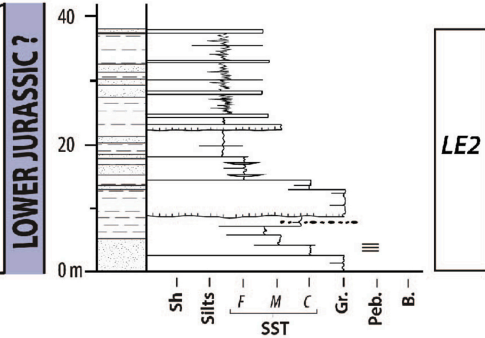
PYCHAN SECTION: Lower Jurassic

E ↘



A.

PYCHAN SECTION

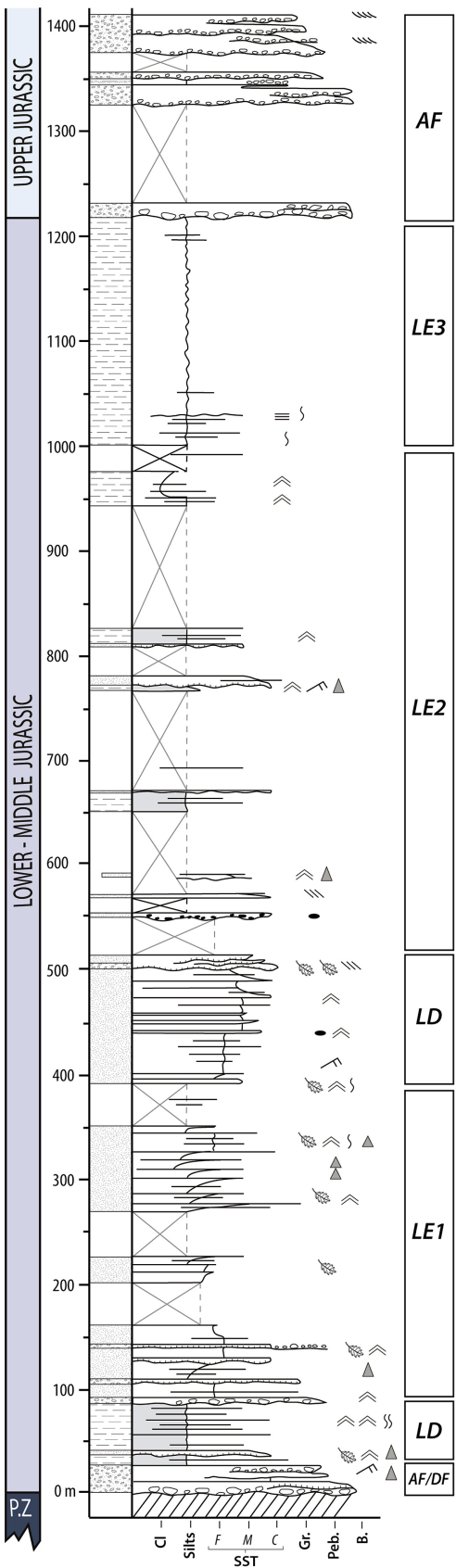


B.

E ↘

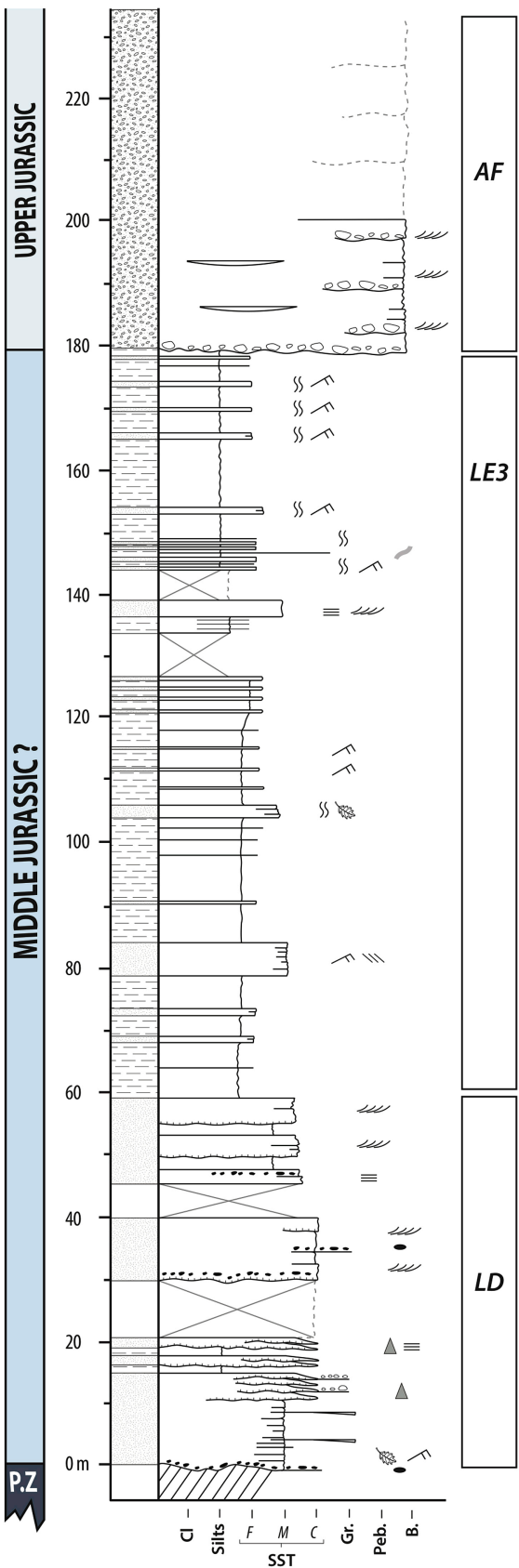
TEREK SECTION

(scale: 1/5000)

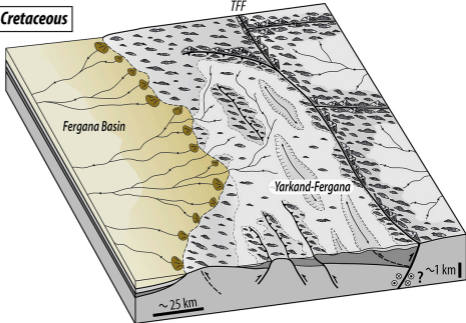


YASSY RIVER SECTION

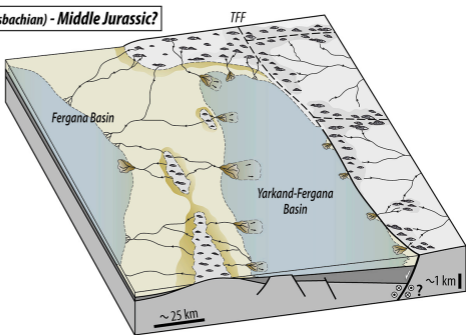
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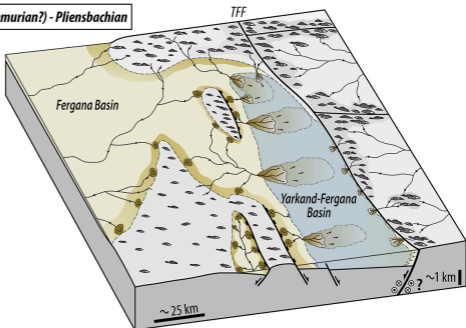
D) Late Jurassic - Early Cretaceous



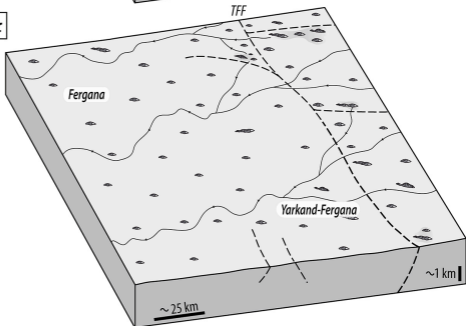
C) Late Early (Late Pliensbachian) - Middle Jurassic?



B) Early Jurassic: (Sinemurian?) - Pliensbachian



A) Middle-Late Triassic



PALEOGEOGRAPHY

- Proximal alluvial plain
- Distal alluvial plain
- Lake
- Alluvial fans
- Deltas
- Low relief
- Medium-low relief
- Hills
- Mountains
- Potential drainage direction

SEDIMENTARY SERIES

- Late Early (Late Pliensbachian) - Middle Jurassic
- Early Jurassic: Sinemurian? - Pliensbachian

TECTONICS

- Active fault
- Relatively Inactive fault
- Fold

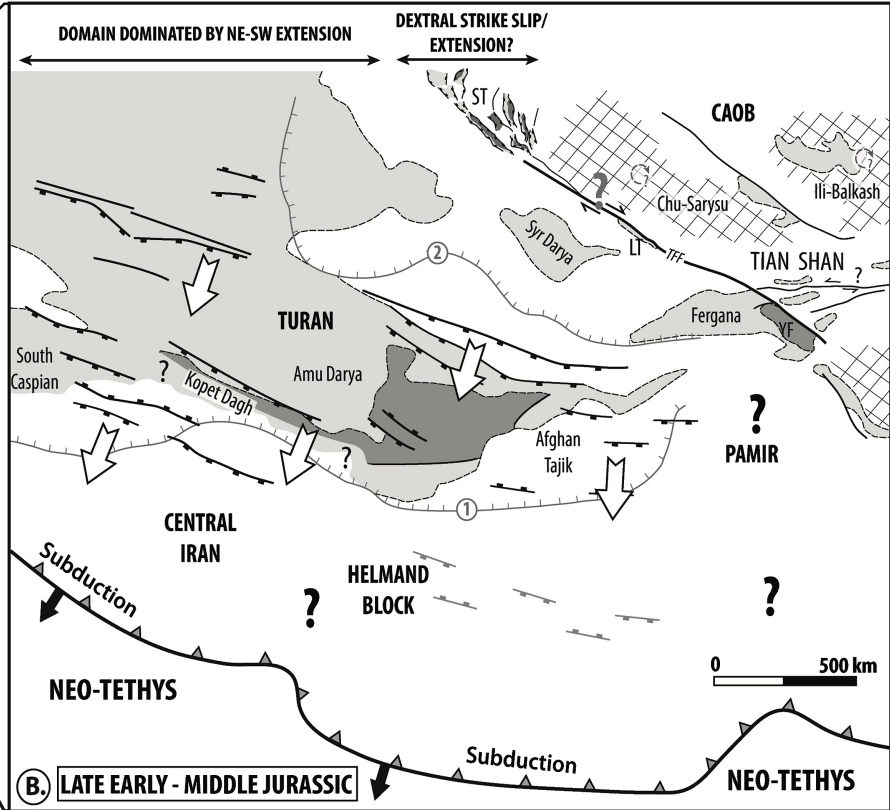
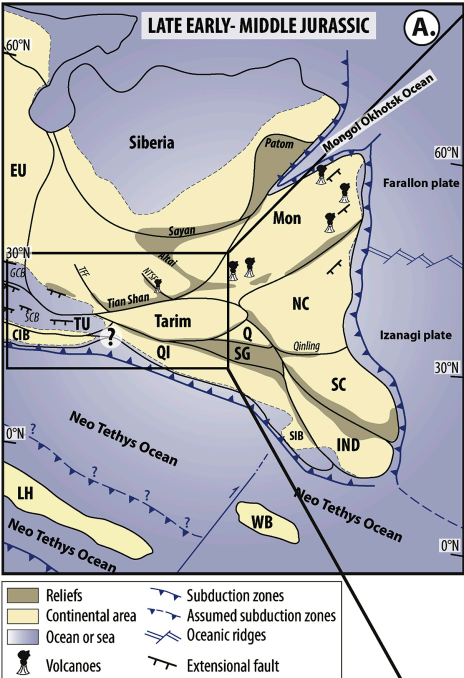


Table 1 GPS coordinates of the analyzed sedimentary sections presented in this study.

Sedimentary sections	Latitude (N)	Longitude (E)
Bayman-Bet	40°55'19.76"	73°39'37.51"
Kara Alma	40°53'13.70"	73°56'15.50"
Kara Tuybe	40°51'5.60"	73°53'19.30"
West Chitty	40°50'12.90"	74° 3'41.30"
East Chitty	40°51'13.11"	74° 5'46.83"
Pychan	40°53'10.51"	74° 8'58.93"
Yassy	40°49'55.05"	73°36'47.16"
Terek	40°24'0.78"	74°21'53.58"

<i>Facies assemblages</i>	<i>Main sedimentary features</i>	<i>Inferred depositional environment</i>
AF/DF (Fig. 8A, B, C)	<p>Alternation of:</p> <ul style="list-style-type: none"> - Pluri dm- to pluri m- thick clast-supported conglomerate of poorly to moderately-sorted subangular to subrounded pebbles to boulders alternating with cm to m-thick medium to coarse grained sandstones containing floating gravels. Conglomerates are massive with erosive or sharp basal boundaries and occasional pebble imbrications. Sandstone beds are tabular or lenticular and can be structureless or contain planar laminations and trough cross bedding with - m- to pluri m-thick matrix-supported conglomerate with subangular to subrounded pebbles to boulders, poorly-sorted. Conglomerates are generally massive and present sometimes faint horizontal laminations. 	Alluvial fan or Delta fan environments characterized by hyperconcentrated flows, streamflow and debris flow deposits (Miall, 1978; Postma, 1990; Miall 1996; Svendsen et al., 2003).
LD (Fig. 8D, E)	<ul style="list-style-type: none"> - m- to several m-thick heterolithic facies with dm- to m-thick fine-grained sandstone beds showing current and oscillatory ripples, alternating with dm- to m-thick siltstone beds, locally organic-rich. This heterolithic facies is interbedded with m-thick coarse-grained to pebbly sandstone showing occasional erosive basal boundaries, inverse and normal grading, and vertical burrows. - dm- to pluri-m- thick stacked medium grained to gravelly sandstone beds containing flat-laminations, trough and planar cross-stratification, sigmoidal beddings. 	Lacustrine delta environment characterized by mouth bars and front delta deposits (Postma, 1990; Marshall, 2000; Bhattacharya, 2010).
LE1 (Fig. 8F)	<p>m- to several m-thick heterolithic facies composed of an alternation of:</p> <ul style="list-style-type: none"> - one dm to pluri-m-thick fine to coarse-grained sandstone with sharp, sometimes erosional basal boundaries showing current and oscillatory ripples, numerous plant fragments, occasional inverse and normal grading and bioturbations alternating with - one cm to m-thick organic-rich siltstone with occasional coal beds. 	Lacustrine environment dominated by turbiditic sand deposits (Pollard et al., 1982; Hinds et al., 2004; Bhattacharya, 2010).

LE2 (Fig. 8G, H)	cm- to m- thick homolithic organic-rich siltstones with occasional coal beds alternating with pluri cm- to m- thick fine to medium grained sandstone beds with sharp basal boundaries showing current and rare wave ripples, occasional grading and bioturbation. Occasional m- to pluri-m-thick sandstone beds with sharp basal boundaries and generally presenting convex-up geometries. These beds are generally graded from gravels to fine-grained sandstone and show flat-laminations.	Lacustrine environment dominated by suspension fallout and fan/lobe systems (Pollard et al., 1982; Reynolds et al., 1998).
LE3 (Fig. 8J, I)	Massive, horizontally laminated siltstones with cm to m-thick fine to medium grained sandstone beds. Occasional bioturbation	Distal lacustrine environment dominated by suspension fallout and biological activity (Pollard et al., 1982; Reynolds et al., 1998).

Table 2: Facies assemblage descriptions and their interpretations in terms of depositional environments.

First sedimentology and palynology data from the Jurassic Yarkand-Fergana Basin

Sinemurian(?) – Pliensbachian onset of sedimentation in half graben setting

Extension led to Middle Jurassic basin widening before Early Cretaceous inversion

Jurassic movements along the Talas Fergana Fault is not associated to Qiangtang collision

Journal Pre-proof

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Journal Pre-proof