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# Chemosphere

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# Deposition of Environmentally Relevant Nanoplastic Models in Sand during Transport

## **Experiments**

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CRediT authorship contribution statement

- Alice Pradel: Conceptualization, Methodology, Investigation, Formal analysis, Writing original draft, review & editing, Visualization.
- Hind el Hadri: Preparation of nanoplastic models.
- Cloé Desmet: Characterization of Particle Hydrophobicity.
- Jessica Ponti: Transmission Electron Microscopy Analysis.
- Stéphanie Reynaud: Preparation of nanoplastic models.
- Bruno Grassl: Conceptualization, Writing review and editing.
- Julien Gigault: Conceptualization, Formal analysis, Writing review and editing, Funding acquisition.

# Deposition of Environmentally Relevant Nanoplastic Models in Sand during Transport Experiments

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# 11 Abstract

Nanoplastics (NPTs) are defined as colloids that originated from the unintentional degradation of 12 plastic debris. To understand the possible risks caused by NPTs, it is crucial to determine how they are 13 transported and where they may finally accumulate. Unfortunately, although most sources of plastic 14 are land-based, risk assessments concerning NPTs in the terrestrial environmental system (soils. 15 aquifers, freshwater sediments, etc.) have been largely lacking compared to studies concerning NPTs 16 in the marine system. Furthermore, an important limitation of environmental fate studies is that the 17 NPT models used are questionable in terms of their environmental representativeness. This study 18 describes the fate of different NPT models in a porous media under unfavorable (repulsive) conditions, 19 20 according to their physical and chemical properties: average hydrodynamic diameters (200 to 460 nm), composition (polystyrene with additives or primary polystyrene) and shape (spherical or 21 polymorphic). NPTs that more closely mimic environmental NPTs present an inhomogeneous shape 22 (i.e., deviating from a sphere) and are more deposited in a sand column by an order of magnitude. This 23 deposition was attributed in part to physical retention, as confirmed by the straining that occurred for 24 25 the larger size fractions. Additionally, different Derjaguin-Landau-Verwey-Overbeek (DLVO) models -the extended DLVO (XDLVO) and a DLVO modified by surface element integration (SEI) method-26 27 suggest that the environmentally relevant NPT models may alter its orientation to diminish repulsion from the sand surface and may find enough kinetic energy to deposit in the primary energetic 28 minimum. These results point to the importance of choosing environmentally relevant NPT models. 29

30 Keywords: Nanoplastic; Size; Shape; Transport; Soil; Column

31 **1. Introduction**:

32 It has been established that every environmental system contains plastic debris (Bank and Hansson, 2019). The marine environment is the first system in which plastic pollution has been 33 extensively studied, probably due to the highly visible accumulation that started in the 1970s 34 35 (Carpenter and Smith, 1972; Cozar et al., 2014; Law et al., 2010; Schwarz et al., 2019). Since then, the extent of this contamination has been more closely described. Plastic fragments or additives are found 36 37 in remote areas as they can travel long distances (Jamieson et al., 2017; Peng et al., 2020). They have reached uninhabited forests after undergoing atmospheric transport (Allen et al., 2019) and have been 38 incorporated into Artic sea ice after being transported by oceanic currents (Obbard et al., 2014). It is 39 40 generally agreed upon that the terrestrial system is the major source of plastic waste (Jambeck et al., 2015). However, plastics in terrestrial and freshwater environments have received little attention 41 42 (Horton et al., 2017; Rillig, 2012; Wagner et al., 2014). Plastic accumulates in the terrestrial system due to common sources, such as faulty waste management systems, spreading of sewage sludge, use 43 44 of plastic mulches and other plastic products in agriculture, and tire wear particles (Carr et al., 2016; He et al., 2018; Liu et al., 2019; Scheurer and Bigalke, 2018). This rapidly accumulating plastic in 45 soils and sediments can be degraded by photo-oxidation, thermo-oxidation, abrasion and 46 biodegradation, which causes the inevitable release of small plastic particles (Huerta Lwanga et al., 47 2017; Ng et al., 2018). In the terrestrial system (soils, aquifers, sediments, etc.), studies have generally 48 49 focused on microplastics (1 µm to 5 mm) (Dong et al., 2019a, 2019b; He et al., 2018; Liu et al., 2019; 50 Tufenkji and Elimelech, 2005), although it is clear that plastic fragmentation does not stop at the micrometer size (Gigault et al., 2016; Lambert and Wagner, 2016). 51

The fragmentation of macro- and microplastics to nanoplastics (<1 μm) adds complexity to the global plastic waste issue. Even if no clear definition of nanoplastics (NPTs) is proposed by policymakers, a scientific consensus has been proposed to define NPTs as colloids that originate from the unintentional degradation of plastic (Gigault et al., 2018; Hartmann et al., 2019). Compared to microplastics, NPTs acquire colloidal properties and become unaffected by gravity. At this scale, the fate of NPTs will be controlled by surface properties, shape and diffusion rather than by the bulk

properties (Hiemenz and Rajagopalan, 1997). The fact that the majority of plastic debris cannot be located by mass budgets at the ocean surface suggests that part of the missing fraction of plastic debris could be composed of fractions smaller than 300  $\mu$ m in size (Albert A Koelmans et al., 2017; van Sebille et al., 2015). Since the nanoscale characteristics of plastic debris may pose potential risks, it is therefore crucial to determine where this plastic originates from and where it accumulates (Galloway, 2015; Albert A. Koelmans et al., 2017; Lehner et al., 2019).

64 Due to limited data on the occurrence of NPTs, lab-scale models can aid in determining the transfer of NPTs from one environmental system to another. For the terrestrial system, a saturated 65 sand column is generally used as a proxy for the study of colloid transfer in soils, sediments and 66 aquifers (Geitner et al., 2017; Lecoanet et al., 2004; Petosa et al., 2010; Redman et al., 2004; 67 Syngouna and Chrysikopoulos, 2013; Tufenkji and Elimelech, 2004). Quevedo and Tufenkji 68 compared the transport of spherical and size standardized polystyrene nanoparticles in quartz sand and 69 loamy soil in the presence of monovalent or divalent salts (Quevedo and Tufenkji, 2012). Recently, 70 71 Hu et al. used quartz sand columns to investigate the cotransport of naphthalene with polystyrene nanoparticles (Hu et al., 2020). Another recent work demonstrated the influence of the soil type on the 72 same nanoparticles (Wu et al., 2019). While these works highlight the importance and complexity of 73 the transport mechanisms in soils, they only focused on NPT models that are not representative of the 74 NPTs found in our environment (Ter Halle et al., 2017). Indeed, the composition, shape, size, and 75 76 surface are known to play key roles in the transport of nanoparticles in the environment (Hotze et al., 77 2010; Pelley and Tufenkji, 2008; Salerno et al., 2006). Such parameters have only recently been investigated, with studies concerning UV-aged NPTs (Liu et al., 2019) and polystyrene NPTs 78 79 containing different surface functionalities (Dong et al., 2019b).

80 Before investigating the influence of the soil properties, the objective of the present work is to 81 investigate how the properties of NPTs affect their transport or accumulation in soils. Thus, different 82 NPT models were used. All models were composed of polystyrene, since this is the only commercially 83 available nanometer-sized plastic particle available. On the one hand, both commercial and 84 noncommercial polystyrene latex spheres (PSL), *PSL COOH* and *PSL COOH-P*, respectively, are

85 synthesized by a bottom-up process (Pessoni et al., 2019). This makes them highly spherical and monodisperse and it allows their surface to be modified by the addition of carboxylate functional 86 87 groups (COOH), which are common on weathered plastics (Gewert et al., 2015). On the other hand, NPT-P are synthesized from a top-down method, which mimics the environmental mechanism of 88 abrasion (Hadri et al., 2020). They have polymorphic (asymmetrical & irregular) shapes, a 89 polydisperse size distribution, and they may contain some oxidized functional groups. The transport of 90 91 *NPT-P* models through a sand column shows that their irregular shape will increase their probability of accumulating in porous media. These results stress the importance of choosing NPT models that 92 have environmentally relevant physicochemical properties. 93

94

## 95 **2. Methods**

96

# 2.1 Dispersions of nanoplastic models

Table 1 presents the different NPT models used in the study. Carboxylated polystyrene latex 97 spheres of 200 nm (PSL COOH 200) were Polybead® Carboxylate Orange Dyed Microspheres 0.20 98 um purchased from (Polysciences<sup>©</sup> Warrington USA). These particles contain additives for stabilizing 99 purposes, whose composition and concentration are unknown. To study the effect of particle 100 composition, additive-free particles composed of primary (-P) polystyrene were also used. Soap and 101 metal-free carboxylated polystyrene spheres of 430 nm (PSL COOH 430-P) were produced by 102 103 emulsion polymerization according to the method described by Pessoni et al.(2019). Finally, NPT 104 models with polymorphic (asymmetrical and irregular) shapes and with an average hydrodynamic 105 diameter of 350 nm or 460 nm (NPT 350-P and NPT 460-P, respectively) were formulated from 106 mechanically crushed PS pellets, according to the method previously described (Hadri et al., 2020). All suspensions were composed of NaCl at a concentration of  $5.0 \ 10^{-3}$  M with pH fixed at 6.5. 107

Model name	z-average diameter d <sub>zH</sub> (nm)	Polydispersity Index (PDI)	Area Equivalent Diameter (nm)	Aspect Ratio	Asperity Frequency (nm <sup>-1</sup> ) and <i>Amplitude</i> (nm)	Zeta potential (mV)	Particle Concentra- tion (# L <sup>-1</sup> )
PSL COOH 200	200 ± 13	0.04 ± 0.03	193 ± 3	1.01 ± 0.01	0 0	-38.65 ± 2.23	1.14 10 <sup>12</sup>
PSL COOH 430-P	430 ± 28	0.07 ± 0.01	430 ± 19	1.01 ± 0.01	0.013 12 ± 2	-29.07 ± 1.81	1.14 10 <sup>11</sup>
NPT 350-P	350 ± 10	0.11	244 ± 133	1.67 ± 0.56	0.013	-33.54 + 2.72	2.12 10 <sup>11</sup>
NPT 460-P	460 ± 25	0.19	329 ± 223	1.68 ± 0.58	11 ± 6		9.34 10 <sup>10</sup>

108	Table 1: Summary	of the	physicochemical	properties of	f the nano	plastic models	studied
				r - r			

109

110

# 2.2 Charge characterization

111 The zeta potential of the particles was assessed using a Wallis zetameter by Cordouan 112 Technologies (Pessac, France). The particles were suspended in the same mobile phase as that used for 113 the experimental conditions: NaCl at  $5.0 \ 10^{-3}$  M and pH 6.5. The electrophoretic mobility of the 114 colloidal particles was converted into a zeta potential by using Smoluchowski's formula. A zeta 115 potential for sand of -50 mV was chosen according to Vinogradov et al. (2010).

116

# 2.3 Size characterization

117 Hydrodynamic diameters ( $d_H$ ) were determined by dynamic light scattering (DLS) using a 118 Vasco-Flex particle size analyzer (Cordouan Technologies). The  $d_H$  of the injected NPT models and 119 the initial sand column signal, as well as 8 mL aliquots of the eluate, were characterized by DLS. Each 120 DLS measurement corresponds to an average of six measurements of 60 seconds-each. Each sample 121 was assigned a z-average hydrodynamic diameter ( $d_{zH}$ ) using the cumulant algorithm. Additionally, 122 the Sparse Bayesian learning (SBL) algorithm provides a multimodal analysis to determine the

presence of several  $d_H$  (see supplemental information, Figure S1). TEM images were obtained by a Jeol 2100 High Resolution Microscope (Figure S2). Data was analyzed with ImageJ software and the NanoDefine plugin to obtain the area equivalent diameters and the aspect ratios (length of major axis/length of minor axis), presented in Table 1 (Verleysen et al., 2019).

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128

# 2.4 Transport in porous media

Transport and deposition were studied in a lab-scale sand-packed column using a liquid 129 130 chromatography system (Äkta<sup>TM</sup> Pure by General Electric Healthcare). This porous media was composed of Fontainebleau sand (type NE34) with a median diameter ( $d_{50}$ ) of 210 µm and a 131 uniformity index  $d_{60}/d_{10}$  of 1.4. The sand was dry-packed into a borosilicate column (XK 26, GE 132 Healthcare, i.d. = 2.6 cm). The packed bed height varied from 11.2 to 12.7 cm. The porosity was 0.40133  $\pm$  0.01 on average. The eluent (mobile phase) was composed of NaCl at 5.0 10<sup>-3</sup> M. The pH value was 134 fixed at 6.5 using NaOH and HCl. The sand was washed with at least 14 pore volumes (PVs) of 135 deionized water and 22 PVs of the eluent. The pore volume was determined by an injection of NaCl at 136 5.0 10<sup>-3</sup> M. Complete tracer tests were performed with KBr. All reactants were analytical grade. The 137 particles and tracers were injected during 6 PVs at a fixed rate of 1.57 10<sup>-5</sup> m.s<sup>-1</sup>, followed by at least 138 139 six pore volumes of the plastic-free suspension at the same ionic strength and pH value.

At the outlet, the concentration of the NPT models eluted from the porous media was continuously measured by the UV-Vis spectrophotometer paired to the chromatography system at a wavelength  $\lambda = 226$  nm, where polystyrene absorption is maximal. Breakthrough curves (BTC) were obtained by plotting the outflowing concentration of the NPT models normalized by the initial concentration as a function of pore volumes eluted. Duplicate experiments were performed for *PSL COOH 200* and *PSL COOH 430-P*. Due to the limited yield of *NPT-P* dispersions and to the variability in size distributions of different *NPT-P* batches, duplicates were not performed.

147

148 **2.5 Theory** 

149

# 2.5.1 Colloid Filtration Theory (CFT)

150 Colloid filtration theory (CFT) is used to separate hydrodynamic processes from surface 151 interactions that are occurring in the sand column. It is summarized by equation (1), which expresses 152 the porous media's capacity to trap colloids. The single-collector removal efficiency ( $\eta$ ) is the product 153 of the single-collector contact efficiency ( $\eta_0$ ) and the attachment efficiency of the particles ( $\alpha$ ) (Petosa 154 et al., 2010).

 $\eta = \eta_0 \alpha$ 

(1)

The single-collector contact efficiency  $(\eta_0)$  is the capacity of colloids to be trapped by porous media 156 157 due only to hydrodynamic mechanisms, without any favorable physicochemical conditions. It depends upon a number of factors, including the diameter of the colloids, the diameter of the sand grains in the 158 159 porous media, the porosity of the sand column, and the flow rate. It was calculated according to the equation developed by Tufenkji and Elimelech (2004). The attachment efficiency ( $\alpha$ ) is a function of 160 the physicochemical properties of the colloid dispersion and of the sand grain surfaces, such as ionic 161 strength, surface charge and the Hamaker constant of the system. The attachment efficiency ( $\alpha$ ) is 162 163 obtained according to equation (2):

164

$$\alpha = -\frac{2 d_{50}}{3 (1-\varepsilon) \eta_0 L} \ln(C/C_0) \tag{2}$$

where  $d_{50}$  is the median diameter of the sand grains,  $\varepsilon$  and L are the sand column porosity and length, respectively, and (C/C<sub>0</sub>) is the height of the breakthrough curve.

167

## 2.5.1 DLVO theory of colloidal stability

Surface energetics between particles and an infinite flat plane were modeled by the Derjaguin-Landau-Verwey-Overbeek (DLVO) theory, such as the total energy of interaction G<sup>tot</sup> as the sum of the Lifshitz-van der Waals G<sup>LW</sup> attraction and the repulsion due to the electronic double layer G<sup>el</sup>. DLVO theory can be expanded upon and modified to take into account the effect of hydrophobicity, roughness and particle shape, separately. First an extended version of the DLVO theory (XDLVO)

was also modeled by adding the Lewis acid-base (hydrophopic) component G<sup>AB</sup> to the total energy of
interaction. Secondly, the effect of particle shape and orientation was studied thanks to the surface
element integration (SEI) method, since is what sets apart *PSL COOH 430-P* and both *NPT-P*particles.

177 The Lifshitz–van der Waals component  $G^{LW}$  of the free energy of interaction between a colloid and a 178 surface is given by:

179 
$$G^{LW} = -\frac{H}{6} \left[ \frac{2d_p(h+d_p)}{h(h+2d_p)} - Ln \frac{h+2d_p}{h} \right]$$
(3)

where H is the Hamaker constant for the polystyrene-silica-water system, h is the separation distance between the colloid and the sand, and  $d_p$  is the radius of the colloid. A Hamaker constant of 1.45  $10^{-20}$ was calculated from Israelachvili (Israelachvili, 2015) for the interaction between quartz, water and polystyrene.

184 The electronic double layer component  $G^{el}$  is given by:

185 
$$G^{el} = \pi \varepsilon d_p (\zeta_N^2 + \zeta_S^2) [\frac{2\zeta_N \zeta_S}{\zeta_N^2 + \zeta_S^2} \cdot Ln \frac{1 + \exp(-\kappa h)}{1 - \exp(-\kappa h)} + Ln \{1 - \exp(-2\kappa h)\}$$
(4)

186 where  $\zeta_N$  and  $\zeta_S$  are the surface charges of the plastic colloids and of the sand surface, respectively. 187 The zeta potential was used instead of the surface potential.  $\kappa$  is the double layer thickness, which is 188 determined by the following equation:

189 
$$\kappa = \left[\frac{e^2}{\varepsilon k_B T} \sum i z_i n_i\right]^2 \tag{5}$$

where e is the charge of the electron;  $\varepsilon$  is the permittivity of the medium, which is equal to 6.95  $10^{-10}$   $C^2.J^{-1}.m^{-1}$ ,  $k_B$  the Boltzmann constant, T the temperature,  $z_i$  the valency of the ions i, and  $n_i$  the number of ions i per unit volume.

193 The Lewis acid-base energy of interaction  $G^{AB}$  of our system is:

194 
$$G^{AB} = \pi r d_p \lambda \Delta G^{AB} e^{[(h_o - h)/\lambda]}$$
(6)

where  $\lambda$  is the correlation length, chosen as 1.65 nm, according to Valsesia et al. (2018), and h<sub>0</sub> is the 195 minimum distance of separation between the particle and the surface, taken as 0.158 nm. The acid-196 base potential  $\Delta G^{AB}$  is expressed as: 197

$$\Delta G^{AB} = -2\left(\sqrt{\gamma_N^{AB}} - \sqrt{\gamma_W^{AB}}\right) \cdot \left(\sqrt{\gamma_S^{AB}} - \sqrt{\gamma_W^{AB}}\right)$$

with  $\sqrt{\gamma^{AB}}$  referring to the polar component of the surface free energy for W = water, S = sand surface 198 and N = NPT model.  $\sqrt{\gamma_W^{AB}}$  is 51.00 mJ.m<sup>-2</sup> and  $\sqrt{\gamma_S^{AB}}$  is 15.00 mJ.m<sup>-2</sup> according to Barhoumi et al. 199 (Barhoumi et al., 2010).. The polar component of the free energy was measured according the method 200 of Valsesia et al. (2018) for each of the three types of NPT models.  $\sqrt{\gamma_N^{AB}}$  values of 33.91, 37.47 and 201 31.82 mJ.m<sup>-2</sup> were obtained for PSL COOH 200, PSL COOH 430-P and NPT-P, respectively. 202 203 The DLVO theory was modified by the SEI method described by Wu et al. (2013) to understand the effect of NPT-P's nonspherical shape and their different orientations, described by the angle  $\varphi$  that 204 is formed between the major axis of the particle and the collector surface (Bhattacharjee et al., 2000).

206 The interaction energy between a plane surface (collector) and a curved object (particle) were calculated by integrating this energy over the exact surface geometry of the object. Our particles were 207 208 considered to be rod-like. The semi-major axis (L) and semi-minor axis (a) were determined according to the aspect ratio and the  $d_{zH}$  presented in Table 1. 209

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205



221Figure 1: Breakthrough curves of KCl tracer and nanoplastic models injected continuously for 6 pore volumes at an initial222concentration ( $C_0$ ) of 5.0  $10^{-3}$  g.L<sup>-1</sup> in 5.0  $10^{-3}$  M NaCl and pH 6.5 (error bars = standard deviation, n = 2).

Figure 1 illustrates the breakthrough curves (BTC) of the different NPT models after transport 223 through a sand column at a constant flow rate. Reproducible results are obtained with this 224 experimental setup, as shown by the error bars on the duplicate experiments of PSL COOH 200 and 225 PSL COOH 430-P. A KBr salt tracer shows only the effect of transport by advection and dispersion 226 due to the absence of deposition (C/C<sub>0</sub> of unity). PSL COOH 200 presents a BTC similar in shape to 227 228 KBr with 92±5% recovered in column effluent. This result indicates transport through the column with 229 negligible deposition onto the sand. However, 67±1% of PSL COOH 430-P are recovered in column 230 effluent, which indicates some deposition occurred. Finally, both polymorphic PS models (NPT-P) are the most deposited, with only 28% of NPT 350-P, and 10% of NPT 460-P that are recovered in 231 232 column effluent. These results indicate that an increase in particle size causes an increase in the 233 deposition rate. Indeed, when comparing NPT models of similar shape, larger particles are less recovered in column effluent: PSL COOH 430-P vs PSL COOH 200 and NPT 460-P vs NPT 350-P. 234 235 The higher transport rate of PSL COOH 200 compared to PSL COOH 430-P may also be due to the

presence of surfactants used for commercial *PSL COOH-200*. Such molecules can enhance
nanoparticle transport by decreasing adsorption in the secondary energetic minimum (see DLVO
section below) (Tufenkji and Elimelech, 2005). Finally, the absence of a tailing at the end of the BTCs
shows that deposited particles did not detach from the porous media (Bradford et al., 2002).

Based on the BTCs, it appears that the particle shape strongly increases the deposition rate in 240 the porous media under dynamic conditions. Surprisingly, NPT 350-P is significantly more deposited 241 242 in porous media than PSL COOH 430-P, although they have a smaller initial hydrodynamic diameter and area equivalent diameter (Table 1). This confirms that the asymmetrical and irregular shape of the 243 NPT model (NPT-P) significantly increases deposition in the porous media. It should be noted that 244 these experiments were performed at equivalent mass concentrations instead of equivalent particle 245 246 concentrations. This method was chosen to obtain a quality DLS signal at the outlet of the sand column since light scattering is proportional to the particle diameter. However, it creates a bias that 247 favors elution of the smaller, more numerous particles (Table 1) (Bradford and Bettahar, 2006). 248 Despite this, NPT 350-P, which has a higher particle concentration, was more deposited than PSL 249 COOH 430-P. This highlights the fact that an irregular asymmetrical shape has a greater impact on the 250 deposition rate than that of size and particle concentration. 251

To investigate the retention mechanisms of these NPT models, both colloidal filtration theory 252 253 (CFT) and various Derjaguin-Landau-Verwey-Overbeek (DLVO) theories were studied. According to the CFT, the single-collector contact efficiency ( $\eta_0$ ) increases as sub-micrometric particle sizes 254 255 decrease (Tufenkji and Elimelech, 2004). Hence, smaller particles should be more easily retained, which is not the case in the present study. This suggests that nanoplastic models used here have 256 considerable differences in attachment efficiency ( $\alpha$ ) and non-classical mechanisms of particle 257 258 collision are operating. The attachment efficiency ( $\alpha$ ) obtained by CFT, can be used to compare the 259 affinity of particles with sand across different experimental conditions. Caution must be taken in interpreting  $\alpha$  since the CFT is meant to study monodisperse and spherical particles and collectors. 260 Since the sand grains are not monodisperse  $(d_{60}/d_{10} \text{ of } 1.4)$  and nanoplastic models have different 261 degrees of polydispersity (see Table 1), an average  $\alpha$  range, named  $\alpha$ , and a range of  $\alpha$  were presented 262

in Table 2 with the corresponding heat-maps presented in supplementary information (Figure S3). 263 An  $\alpha$  of 0.0034 ± 0.0005 was obtained for PSL COOH 200, whereas PSL COOH 430-P had an  $\alpha$  = 264  $0.012 \pm 0.0008$  (Table 2). These results are in agreement with other studies, such as Bradford et al. 265 (2002), who found that the 450 nm carboxylated PSL attachment efficiency in  $10^{-3}$  M KCl at pH 6.8 266 varied from  $\alpha = 0.0037$  to  $\alpha = 0.014$  according to the polydispersity and average grain size of the 267 quartz sand (Bradford et al., 2002). Tufenkji and Elimelech (2005) found  $\alpha$  values of 0.0058 and 0.014 268 for 320 nm PSL COOH in 20 10<sup>-3</sup> M KCl at pH 8. For the polymorphic NPT models, the average 269 270 attachment efficiency is one order of magnitude greater than that for the spherical models, with  $\alpha$  = 0.069 for NPT 350-P and 0.14 for NPT 460-P. This large increase in attachment efficiency is more 271 likely to represent different hydrodynamic flow processes than a change in surface affinity. Indeed, the 272 CFT theory is unsuitable to study the flow of nonspherical particles and largely overestimates  $\alpha$  (and 273 274 underestimates  $\eta_0$  when the particle shape deviates from that of a sphere (Salerno et al., 2006).

275 Table 2: Summary of the percent in column effluent and attachment efficiencies (a) for the different nanoplastic models. The

**276** attachment efficiencies are based on average particle diameter  $(d_n)$  and collector diameters  $(d_r)$  and a range of dp and dc

**277** equal to the standard deviation ( $\sigma$ ) of the size distributions. (Error bars = standard deviation of the duplicate experiments).

Nanoplastic	Percent in Column	Attachment Efficiency (a)				
Model	Effluent (%)	dp – $\sigma$ and dc - $\sigma$	Average dp and dc	dp + $\sigma$ and dc + $\sigma$		
PSL COOH 200	92 ± 5	$2.0\ 10^{-3}\pm 0.4\ 10^{-3}$	$3.4 \ 10^{-3} \pm 0.5 \ 10^{-3}$	$5.4 \ 10^{-3} \pm 1.1 \ 10^{-3}$		
PSL COOH 430-P	67 ± 1	$6.9  10^{-3} \pm 0.8  10^{-3}$	$1.2 \ 10^{-2} \pm 0.1 \ 10^{-2}$	$1.9 \ 10^{-2} \pm 0.2 \ 10^{-2}$		
NPT 350-P	28	4.0 10 <sup>-2</sup>	6.9 10 <sup>-2</sup>	1.2 10-1		
NPT 460-P	10	7.9 10 <sup>-2</sup>	1.4 10-1	2.5 10-1		

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Since the attachment efficiencies ( $\alpha$ ) obtained by the CFT theory should only reflect surface energetic interactions, they can be compared with the profiles of the interaction energy between the particles and the sand. Total surface energetic interactions ( $G^{tot}$ ) are modeled according to DLVO theory by taking the sum of the Lifshitz-van der Waals attraction ( $G^{LW}$ ) and the repulsion due to the

electronic double layer (G<sup>el</sup>). An extended version, the XDLVO theory, includes the Lewis acid-base 283 (hydrophobic) interaction energy (G<sup>AB</sup>). This component has been carefully quantified using the 284 method described by Valsesia et al. (2018). It should be noted, however, that since DLVO and 285 286 XDLVO theories assume that the particles are spherical, they cannot correctly determine the interaction energy of the asymmetrical and irregularly shaped NPT-Ps (Hotze et al., 2010). To 287 overcome this limitation, a SEI-modified DLVO calculates G<sup>LW</sup> and G<sup>el</sup> between an elongated particle 288 and the sand surface. This modified DLVO does not, however, incorporate the hydrophobic 289 290 component of the interaction energy. It is important to note that in these experimental conditions, 291 advection prevails over diffusion, with a Peclet number varying from 112 to 240 for PSL COOH 200 292 and NPT 460-P, respectively. In these conditions, particle deposition can occur if the adhesive forces 293 are stronger than the hydrodynamic forces. Therefore, the objective is only to investigate whether the physical and chemical properties of the particles modeled by the different DLVO theories, are 294 295 correlated to the attachment efficiencies in the sand column and hence a good predictor of adhesive forces. Surface energetic interactions should not be considered an absolute prediction of deposition 296 297 behavior. If the energy of interaction predicts trends in transport, the most transported particles should have the highest energetic barrier to deposition ( $\Delta G^{tot}_{max}$ ) and lowest secondary energetic minimum 298  $(\Delta G^{tot}_{min2})$ , where reversible deposition can occur. 299

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301

**302** Table 3: Summary of primary maximum ( $\Delta G^{tot}_{max}$ ) and secondary energetic minimum ( $\Delta G^{tot}_{min2}$ ) of interaction energies

	DLVO Theory		SEI-modified DLVO Theory				XDLVO Theory	
			$\varphi = 0$ radians		$\varphi = \pi/3$ radians			
Nanoplastic Model	$\Delta G^{tot}_{max}$ $(k_BT)$	$\Delta G^{tot}_{min2}$ $(k_BT)$	$\Delta G^{tot}_{max}$ $(k_BT)$	$\Delta G^{tot}{}_{min2}$ $(k_BT)$	$\Delta G^{tot}_{max}$ $(k_BT)$	$\Delta G^{tot}_{min2}$ $(k_BT)$	$\Delta G^{tot}_{max}$ $(k_BT)$	$\Delta G^{tot}{}_{min2}$ $(k_BT)$
PSL COOH 200	175	-0.7	-	-	-	-	51	-0.7
PSL COOH 430-P	235	-2.2	-	-	-		74	-2.0
NPT 350-P	244	-1.6	4.5 10 <sup>10</sup>	-0.4	45	-1.5	64	-1.6
NPT 460-P	321	-2.3	5.9 10 <sup>10</sup>	-0.4	52	-1.8	83	-2.3

303 according to DLVO, SEI -modified DLVO and XDLVO theories, for the different nanoplastic models.

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According to DLVO theory, all particles undergo significant repulsion from the sand grains, 305 306 with  $\Delta G_{max}^{tot}$  equal to 175 k<sub>B</sub>T for PSL COOH 200, 235 k<sub>B</sub>T for PSL COOH 430-P, 244 k<sub>B</sub>T for NPT 350-P, and 321 k<sub>B</sub>T for NPT 460-P (Table 3 and full lines in Figure 2a). Based on the energy profiles, 307 no colloid can overcome the energy barrier ( $\Delta G^{tot}_{max}$ ) and irreversibly deposit in the primary minimum 308  $(\Delta G^{tot}_{min1})$ . The secondary energetic minimum  $(\Delta G^{tot}_{min2})$  occurs between 20 and 60 nm from the sand 309 surface. It varies from as low as -2.3 k<sub>B</sub>T for NPT 460-P, -2.2 k<sub>B</sub>T for PSL COOH 430-P, -1.6 k<sub>B</sub>T for 310 NPT 460-P to -0.7 k<sub>B</sub>T for PSL COOH 200 (Table 3 and full lines in Figure 2c). For the three larger 311 particles, reversible retention in the secondary energetic minimum can occur since the depth is larger 312 than 1.5 k<sub>B</sub>T, which is the thermal energy of diffusion (Israelachvili, 2015). 313





Figure 2: Interaction energy, scaled to k<sub>B</sub>T, between the nanoplastic models and the sand grain collector as a function of
distance according to a) DLVO theory (full lines) and SEI -modified DLVO theory (dashed lines) and b) XDLVO theory. The
identical data is replotted in c) and d) to highlight the secondary energetic minimum of panels a) and b) respectively.

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By accounting for the polar component of the interaction energy, the XDLVO theory predicts similar global trends but indicates a much lower repulsion of all particles from the sand surface (Figures 2b and 2d). The maximum heights of  $\Delta G^{tot}_{max}$  for spherical particles *PSL COOH 200* and *PSL COOH 430-P* are equal to 51 k<sub>B</sub>T and 74 k<sub>B</sub>T, respectively. For the asymmetrical and irregularly shaped particles,  $\Delta G^{tot}_{max}$  is comparable: 64 k<sub>B</sub>T and 83 k<sub>B</sub>T for *NPT 350-P* and *NPT 460-P*, respectively (Table 3 and Figure 2b). Based on the height of the energy barriers, still no colloid

350	deposition should occur in the primary minima. The depth of the secondary energetic minimum
351	$(\Delta G^{tot}_{min2})$ is unchanged compared to that determined by the DLVO theory (Table 3 and Figure 2d).

The dashed lines in Figures 2a and 2c show the minimal interaction energy between the sand surface and the *NPT-P* models, according to the SEI-modified DLVO theory. This occurs when they form an angle ( $\varphi$ ) of  $\pi/3$  with the collector surface (Gomez-Flores et al., 2019).  $\Delta G^{tot}_{max}$  is reduced to 45 k<sub>B</sub>T and 52 k<sub>B</sub>T for *NPT 350-P* and *NPT 460-P*, respectively (Table 3). The interaction energy is maximal at  $\varphi = 0$  (Table 3 and Figure S4). This is mostly due to the fact the particle surface is closer to the collector (Gomez-Flores et al., 2019).  $\Delta G^{tot}_{min2}$  is only slightly less deep than predicted by DLVO and XDLVO theories (Table 3 and Figure 2c).

The high retention rate of the NPT-P particles can be in part attributed to the fact that they 359 may find orientations where there is no energy barrier to irreversible deposition ( $\Delta G^{tot}_{min1}$ ) (Gomez-360 361 Flores et al., 2019). This can be expected to occur when both particle orientation and hydrophobicity are accounted for simultaneously. Furthermore, the magnitude of the total energies of interaction 362 (ΔG<sup>tot</sup>) of PSL COOH 430-P, NPT 350-P and NPT 460-Ps and the sand surfaces may be overestimated 363 by the all DLVO models due to their surface roughness (Table 1 and Figure S2) (Bhattacharjee et al., 364 365 1998; Hoek and Agarwal, 2006). NPT-P particles are characterized by a large range of orientationdependent interaction energies (Table 3 and S5), which will give them considerable torque. This added 366 torque can provide the particles sufficient kinetic energy to overcome an energy barrier ( $\Delta G^{tot}_{max}$ ) that 367 is significantly reduced at some orientations Figure 2a (Seymour et al., 2013). 368

However, the DLVO and XDLVO theories are inadequate to predict the deposition of plastic 369 nanoparticles in porous media. On the one hand, the DLVO and XDLVO theories globally 370 underestimate the amount of deposition that particles undergo. This is a well-known effect when 371 studying the transport of particles in unfavorable (repulsive) conditions (Elimelech and O'Melia, 372 373 1990). On the other hand, the height of the energy barrier is not proportional to the level of repulsion, nor is the depth of the secondary energetic minimum proportional to the level of deposition. For 374 375 example, PSL COOH 200 is the most transported particle, although it has the lowest energy barrier  $(\Delta G^{tot}_{max})$ . This occurs because all interaction energies scale linearly with particle size (Elimelech and 376

377 O'Melia, 1990). In other words, larger particles should undergo more repulsion and be more transported, especially since all particles present comparable polar components of the surface free 378 energy ( $\gamma^{AB}$ ) and zeta potentials ( $\zeta_N$ ). However, contrary to these DLVO and XDLVO predictions, 379 380 NPT 460-P, which has a higher energy barrier, is more deposited than NPT 350-P. Although a high energy barrier is present, particles may be retained by 1) attachment onto chemical heterogeneities on 381 the colloid and collector surfaces that act as favorable sites (Seymour et al., 2013; Tufenkji and 382 383 Elimelech, 2005) 2) reversible attachment in the secondary energetic minimum (Tufenkji and Elimelech, 2005) and 3) straining (trapping of particles in pore throats that are too small to allow the 384 passage of particles) (Bradford et al., 2002; McDowell-Boyer et al., 1986). Furthermore, pore 385 geometry creates a variety of speed profiles, such that particles may also be retained in zones with a 386 387 lower flow velocity where the hydrodynamic forces are lowered (Elimelech and O'Melia, 1990). Indeed, pore geometry plays a dominant role in colloid retention under conditions where a high energy 388 barrier exists (Tong and Johnson, 2006). 389

To determine the extent to which deposition can be attributed to chemical heterogeneity, batch adsorption experiments were conducted. The results, presented in S5, show that none of our plastic nanoparticles are significantly adsorbed onto the sand. While results from batch and column experiments can be difficult to compare when they are not normalized by  $\overline{\alpha} = 1$ , our batch experiments do show that chemical heterogeneity is far from significative (Geitner et al., 2017; Treumann et al., 2014). This points to the importance of straining and adsorption in the secondary energetic minimum.



**397** Figure 3: *z*-average hydrodynamic diameters  $(d_{zH})$  of the plastic nanoparticles before and after flowing through the sand **398** column (n=6, error bars= standard deviation).

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The evolution of the hydrodynamic diameters of the particles injected in and eluted from 399 400 through the porous media can also indicate what processes occur in the column (Figure 3). The 401 average size and polydispersity of eluted particles were constant during elution. The average hydrodynamic diameters (d<sub>zH</sub>) of the smaller spheres, PSL COOH 200, do not change significantly 402 403 (+4%). The larger spherical particles, PSL COOH 430-P, undergo a more pronounced decrease in d<sub>zH</sub> 404 after flowing through the sand column (-11%). Both spherical particles' polydispersities do not vary 405 significantly. For the asymmetrical NPT models, the decrease in d<sub>zH</sub> after elution is globally greater 406 and even more pronounced for the larger particles (-45% for NPT 460-P) compared to that of the 407 smaller particles

(-20% for *NPT 350-P*). The smaller size fraction of irregularly shaped particles, approximately 200 nm
in diameter, is most mobile in the sand column. Furthermore, the polydispersity of the samples
containing asymmetrical and irregular particles decreases. These changes in size point to a mechanism
of physical retention of spherical particles larger than 380 nm and irregularly shaped particles larger
than approximately 200 nm. This confirms that large particles are deposited by physical straining in
the pores of the sand column, which is unaccounted for by the DLVO theories and underestimated by

414 CFT (Bradford et al., 2002). In similar experimental conditions, Weiss et al. (Weiss et al., 1995) noted
415 a similar effect concerning the transport of 14 different bacterial strains. The bacterial cells recovered
416 in the eluent were not only smaller but also rounder than the cells injected.

417 The deposition rate increases as the particle size increases and as its shape deviates from that of a sphere. In other words, smaller and smoother particles are more easily transported. This can be 418 partially attributed to straining. Straining is expected to start having an effect when the ratio of the 419 420 particle radius to the median collector radius  $(d_p/d_{50})$  exceeds 0.0017 (Bradford et al., 2002). This is satisfied in the case of PSL COOH 430-P ( $d_p/d_{50} = 0.002$ ). Concerning NPT-Ps since most particles 421 422 larger than approximately 200 nm are retained, straining occurs at a lower  $d_p/d_{50}$  ratio (Figure 3). Straining of these particles is enhanced because the hydrodynamics of nonspherical particle flow in 423 porous media are more complex than those of spherical particles. In highly repulsive conditions 424 (thousands of k<sub>B</sub>T), elongated particles and are not more deposited than spherical particles since they 425 can orient their major axis parallel to the flow (Xu et al., 2008). However, in our conditions, where 426 there is less repulsion (10s of k<sub>B</sub>T) nonspherical particles are increasingly deposited. This is attributed 427 to larger collision frequencies with the porous media (Salerno et al., 2006). The CFT underestimates 428 429 the single-collector contact efficiency  $(\eta_0)$  for spheroidal particles (Salerno et al., 2006). Finally, the *NPT-P* dispersions are highly polydisperse and as such will contain a higher fraction of large particles 430 that are likely to be retained. 431

As mentioned above, reversible deposition in the secondary energetic minimum is possible for 432 433 PSL COOH 430-P and both polymorphic particles NPT 350-P and NPT 460-P. The asymmetrical BTC shape of the PSL COOH 430-P is typical of either particle release from the secondary energetic 434 minimum or blocking of the flowing particles by previously deposited particles (Bradford et al., 2002; 435 Bradford and Bettahar, 2006; Redman et al., 2004; Tufenkji and Elimelech, 2005). This was not 436 observed for NPT 350-P and NPT 460-P, whose BTCs instead reach a plateau. It indicates either that 437 the asymmetrical models are not detached and undergoing re-entrainment from the sand or that 438 deposited particles do not block the incoming particles. Colloidal detachment and re-entrainment from 439 the secondary energetic minimum mostly occur by rolling, which is easiest for spherical particles. 440

Despite the fact that nonspherical particles have larger hydrodynamic torques than spherical particles, as particles deviate from an ideal spherical shape, they present a higher moment arm requiring more energy to induce rolling (Bergendahl and Grasso, 2000; Seymour et al., 2013) The flat BTC may also indicate that deposited particles create more favorable sites for deposition by increasing the physical roughness of the porous media (Seymour et al., 2013). Finally, the shape of the *NPT-P*'s BTCs suggest that reversible deposition not be as dominant a mechanism as straining and deposition in the primary energetic minimum.

## 448 **4.** Conclusion

This study showed that the physicochemical properties of the NPT models significantly affect 449 their propensity to be transported. The asymmetrical and irregular shape of the environmentally 450 relevant NPT model has a strikingly positive impact on the deposition rate in the sand column 451 452 compared to that impact of particle size, composition or concentration. The deposition of these models in the porous media may be attributed partially to physical retention, as witnessed by the straining of 453 the larger size fractions. Their deposition is also attributed to an orientation-dependent level of 454 repulsion ( $\Delta G^{tot}_{max}$ ) which allows the particles to take on the most thermodynamically preferable 455 orientation, and possibly to overcome the energy barrier to irreversible deposition ( $\Delta G^{tot}_{max}$ ), given 456 sufficient hydodynamic torque. These results point to the importance of choosing environmentally 457 relevant NPT models. Their asymmetrical and irregular shape, which is characteristic of the 458 459 mechanical abrasion of plastic debris, increases their deposition rate in a sand column by an order of 460 magnitude. It is clear that the relevance of environmental fate studies depends upon the quality of the proxies used (Koelmans, 2019). More particularly, the impact of the NPT composition (polymer type, 461 additives and sorbed contaminants), average size and size distribution as well as NPT shape are crucial 462 parameters to study (Wagner and Reemtsma, 2019). 463

464 The importance of the physical and chemical properties of the NPT models has been 465 demonstrated here. Further studies are needed on the one hand, to define single-collector contact 466 efficiency ( $\eta_0$ ) for nonspherical particles and to quantify the amount of deposition that can be 467 attributed to straining, irreversible attachment (in  $\Delta G^{tot}_{min1}$ ) and reversible attachment (in  $\Delta G^{tot}_{min2}$ ). On

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468	the other hand, more research is needed to implement these results by taking into account the
469	environmental conditions of the porous media (ionic strength, natural organic matter, pH value, and
470	soil composition). Such a complete approach is needed to fully assess the fate of NPTs in freshwater
471	and terrestrial environments.
472	
473	Competing Interest Statement
474	The authors declare that they have no competing interests.
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# Deposition of Environmentally Relevant Nanoplastic Models in Sand during Transport

## **Experiments**

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# Highlights

- In order to determine the environmental fate of nanoplastics, models of nanoplastics with environmentally relevant physicochemical properties must be used.
- Nanoplastic models with polymorphic (irregular and asymmetrical) shapes are more likely to be trapped in porous media, than spherical models.
- Shape has a greater impact on the deposition rate than particle size or particle concentration.

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## **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: