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# Lower-stratospheric aerosol measurements in eastward shedding vortices over Japan from the Asian summer monsoon anticyclone during the summer of 2018

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## Abstract

20 Eastward airmass transport from the Asian summer monsoon (ASM) anticyclone in the upper troposphere and lower  
stratosphere (UTLS) often involves eastward shedding vortices, which can cover most of the Japanese archipelago. We  
investigated the aerosol characteristics of these vortices by analysing data from two lidar systems in Japan, at Tsukuba (36.1°N,  
140.1°E) and Fukuoka (33.55°N, 130.36°E), during the summer of 2018. We observed several events with enhanced particle  
signals at Tsukuba at 15.5–18 km altitude (at or above the local tropopause) during August–September 2018, with a  
25 backscattering ratio of ~1.10 and particle depolarization of ~5% (i.e., not spherical, but more spherical than ice crystals). These  
particle characteristics may be consistent with those of solid aerosol particles, such as ammonium nitrate. Each event had a  
timescale of a few days. During the same study period, we also observed similar enhanced particle signals in the lower  
stratosphere at Fukuoka. The upper troposphere is often covered by cirrus clouds at both lidar sites. Backward trajectory  
calculations for these sites for days with enhanced particle signals in the lower stratosphere and days without indicate that the  
30 former airmasses originated within the ASM anticyclone, and the latter more from edge regions. Reanalysis carbon-monoxide  
and satellite water-vapour data indicate that eastward shedding vortices were involved in the observed aerosol enhancements.  
Satellite aerosol data confirm that the period and latitudinal region were free from the direct influence of documented volcanic  
eruptions and high latitude forest fires. Our results indicate that the Asian Tropopause Aerosol Layer (ATAL) over the ASM



region extends east towards Japan in association with the eastward shedding vortices, and that lidar systems in Japan can detect  
35 at least the lower stratospheric portion of the ATAL during periods when the lower stratosphere is undisturbed by volcanic  
eruptions and forest fires. The upper tropospheric portion of the ATAL is either depleted by tropospheric processes (convection  
and wet scavenging) during eastward transport or is obscured by much stronger cirrus cloud signals.

## 1 Introduction

The Asian Summer Monsoon (ASM) circulation includes a continental-scale anticyclone centred over the Tibetan Plateau,  
40 spanning from the Middle East to East Asia in the upper troposphere and lower stratosphere (UTLS). Satellite observations  
show elevated levels of trace gases of surface origin (e.g., Randel et al., 2010; Santee et al., 2017), aerosol particles (Vernier  
et al., 2015, 2018), and water vapour (Randel et al., 2015; Santee et al., 2017) within the ASM anticyclone due to active  
convection in this region and season. The ASM anticyclone exhibits distinct sub-seasonal variability due to westward and  
45 eastward shedding vortices (e.g., Popovic and Plumb, 2001; Amemiya and Sato, 2018), with the latter possibly being  
dynamically linked to the Bonin (or Ogasawara) High in the western Pacific (Enomoto et al., 2003) and constituting a major  
transport pathway of ASM airmasses to the whole Northern Hemisphere (NH) midlatitude UTLS through the westerly jet  
stream (e.g., Vogel et al., 2014, 2016; Ungermann et al., 2016; Pan et al., 2016; Fadnavis et al., 2018; Luo et al., 2018;  
Honomichl and Pan, 2020). Eastward shedding vortex events occur once in every 10–20 days during the NH summer, with a  
50 horizontal scale of 20°–30° longitude (2000–3000 km), and with a few days to one week of influence over the Japanese  
archipelago (e.g., Honomichl and Pan, 2020).

The enhanced aerosol particle signature in the ASM anticyclone at 14–18 km altitude is known as the Asian Tropopause  
Aerosol Layer (ATAL), which was believed to consist of carbonaceous and sulphate materials, mineral dust, and nitrate  
particles (Vernier et al., 2015, 2018; Brunamonti et al., 2018; Bossolasco et al., 2020; Hanumanthu et al., 2020). Through  
55 analysis of satellite and high-altitude aircraft observations and laboratory experiments, Höpfner et al. (2019) provided evidence  
that a considerable part of the ATAL may contain solid ammonium nitrate ( $\text{NH}_4\text{NO}_3$ ) particles. Their satellite data analyses  
indicate enhanced  $\text{NH}_4\text{NO}_3$  signals around the tropopause, both in the ASM region and the western Pacific (including Japan)  
during 8–16 August 1997 (with the western Pacific signals suggestive of shedding vortices), with the mass of  $\text{NH}_4\text{NO}_3$  in the  
ASM region at 13–17 km peaking around August. It is also noted that Vernier et al. (2015, in their Figure 2b) showed mean  
60 eastward extension of the ATAL to the Japanese archipelago by averaging Cloud–Aerosol Lidar with Orthogonal Polarization  
(CALIOP) data for July–August 2006–2013, although the role of synoptic disturbances, such as eastward shedding vortices,  
in the ATAL eastward extension does not appear to have been investigated using CALIOP data.

Some lidar systems currently in operation in Japan are capable of measuring UTLS aerosol characteristics, including those at  
65 the Meteorological Research Institute (MRI), Tsukuba (36.1°N, 140.1°E; Sakai et al., 2016) and Fukuoka University, Fukuoka



(33.55°N, 130.36°E; Yasui et al., 1995). Both systems measure the backscattering ratio (BSR; related to particle size and density) and particle depolarization ratio (PDR; related to the degree of particle non-sphericity). Previous studies using data from these systems investigated the impacts of the large-scale tropical volcanic eruptions and other recent eruptions (Uchino et al. 1993; Sakai et al. 2016), and spring-time transport of dust particles from the Asian continent, “Kosa” events (yellow sand/dust events) (Sakai et al. 2003), amongst others; however, data have not been investigated extensively for the possible detection of the ATAL from ASM circulation, partly because extensive summer-time cloud cover often prevents lidar sensing of the UTLS region, and partly because ATAL signals are much weaker than volcanic signals. In this paper, focusing on the July–September 2018 period, we investigate whether these lidars are capable of measuring ATAL signals associated with eastward shedding vortices from the ASM anticyclone, with combined analyses of backward trajectories, chemical reanalysis data, and satellite data for full understanding of the lidar observations. The remainder of this paper is organised as follows. Section 2 describes the lidar and other data analysed in this paper. Section 3 presents the results and discussion, and Section 4 concludes the findings.

## 2 Data description

### 2.1 Lidar data

The lidar system at the MRI, Tsukuba (36.1°N, 140.1°E) used in this study is an Nd:YAG system operated at a wavelength of 532 nm, with a capability of both BSR and PDR measurements (Sakai et al., 2016), and which has been operating continuously since 2002. We define PDR as  $S/P$ , where  $S$  and  $P$  are the background-subtracted lidar photon counts of the perpendicular (senkrecht in German) and parallel components, respectively, with respect to the polarization plane of the emitted laser light. The temporal and height resolutions of the original processed data are 5 min and 7.5 m, respectively. Quality control has been made primarily to flag data points influenced by thick cloud layers. To obtain vertical profiles of BSR and PDR with high signal-to-noise ratios, data were averaged over 150 m and 3 h, with time intervals of 18–21, 21–00, 00–03, and 03–06 local time (LT) for the use in this paper. BSR data were normalised to unity at the 30–33 km altitude where aerosol backscattering is assumed to be negligible, and PDR values were obtained using the method of Adachi et al. (2001).

The lidar system at Fukuoka (33.55°N, 130.36°E) used in this study is also an Nd:YAG system operated at a wavelength of 532 nm, with PDR measurement capability. This system has been operated manually only during nights under clear-sky/non-rainy conditions; during July–September 2018, the system was operated on 11 nights. Vertical profiles were averaged over 900 m and 4 h for each night for the use in this paper. The PDR for Fukuoka is originally defined as  $S/(P+S)$ , which has been converted to  $S/P$  for this paper.



The uncertainties of lidar data are discussed here, which are applied to the both systems. The BSR uncertainties were estimated from the photon counts of the backscatter signals at 532 nm by assuming Poisson statistics to be 2–3 % typically around the tropopause. The PDR uncertainties were estimated from the parallel and perpendicular components of backscatter signals at 100 532 nm. Other sources of PDR uncertainty (bias) include (1) the uncertainty in calibration of the total depolarization ratio (TDR), due to both particles and air molecules, and (2) the BSR uncertainty. Uncertainty (1) was estimated as follows. In the TDR calibration (Adachi et al., 2001), we subtracted depolarization caused by the lidar system (DEPsys) estimated from the observed TDR and BSR obtained in the altitude region where aerosol backscattering is negligible (i.e., BSR equals unity, and TDR equals the molecular depolarization ratio), or where spherical particles predominate (i.e., in lower tropospheric water 105 clouds). DEPsys errors result in PDR bias. For example, a DEPsys error of  $\pm 0.2\%$  results in a  $\pm 2\%$  bias in PDR where  $BSR = 1.1$ . Uncertainty (2) arises mainly from our assumption that aerosol backscattering is negligible at 30–33 km altitude. We also assumed an aerosol extinction-to-backscatter ratio of 50 sr over the whole measurement height range. These assumptions result in errors in BSR and thus PDR. For example, BSR errors of +0.05 and –0.05 result in a bias of –1% and +3% in PDR, respectively, where  $BSR = 1.1$  and  $TDR = 0.7\%$ . Based on these considerations, we estimate that the total PDR uncertainty 110 (random plus bias errors) is  $\leq \pm 5\%$  PDR.

## 2.2 Other data

Backward trajectories are calculated using the trajectory model used by Inai (2018) and Inai et al. (2018) and the most recent global atmospheric reanalysis dataset by the European Centre for Medium-Range Weather Forecasts (ECMWF), ERA5 115 (Hersbach et al., 2020), with 37 pressure levels up to 1 hPa and horizontal and temporal resolutions of  $0.25^\circ \times 0.25^\circ$  and 1 h, respectively.

The Copernicus Atmosphere Monitoring Service (CAMS) atmospheric-composition reanalysis dataset produced by the ECMWF (Inness et al., 2019) is used to analyse signatures of the ASM anticyclone and its eastward shedding vortices, with 120 25 pressure levels up to 1 hPa and horizontal and temporal resolutions of  $0.75^\circ \times 0.75^\circ$  and 3 h, respectively. Carbon monoxide (CO), temperature, and potential vorticity data are analysed in this paper. CO is chosen because it is a good tracer for polluted air of surface origin (e.g., Luo et al., 2018). CO data on pressure levels are projected on to isentropic surfaces using temperature data. In the CAMS, the Measurement of Pollution in the Troposphere (MOPITT) thermal infrared (TIR) satellite total-column CO data are assimilated, but, Microwave Limb Sounder (MLS) and Infrared Atmospheric Sounding Interferometer (IASI) CO 125 data are not. The CAMS dataset also includes different types of aerosol particles, but they are not included in this study because relevant variables such as aerosol BSR and  $NH_4NO_3$  concentration are not included. PV projected on to isentropic surfaces calculated from CAMS data are also analysed because it is often used as a dynamical tracer (e.g., Popovic and Plumb, 2001; Amemiya and Sato, 2018). In addition, MLS Version 4.2 Level 2 water-vapour data (Santee et al., 2017; Livesey et al., 2020) are analysed because water vapour is also a good tracer of the ASM anticyclone.



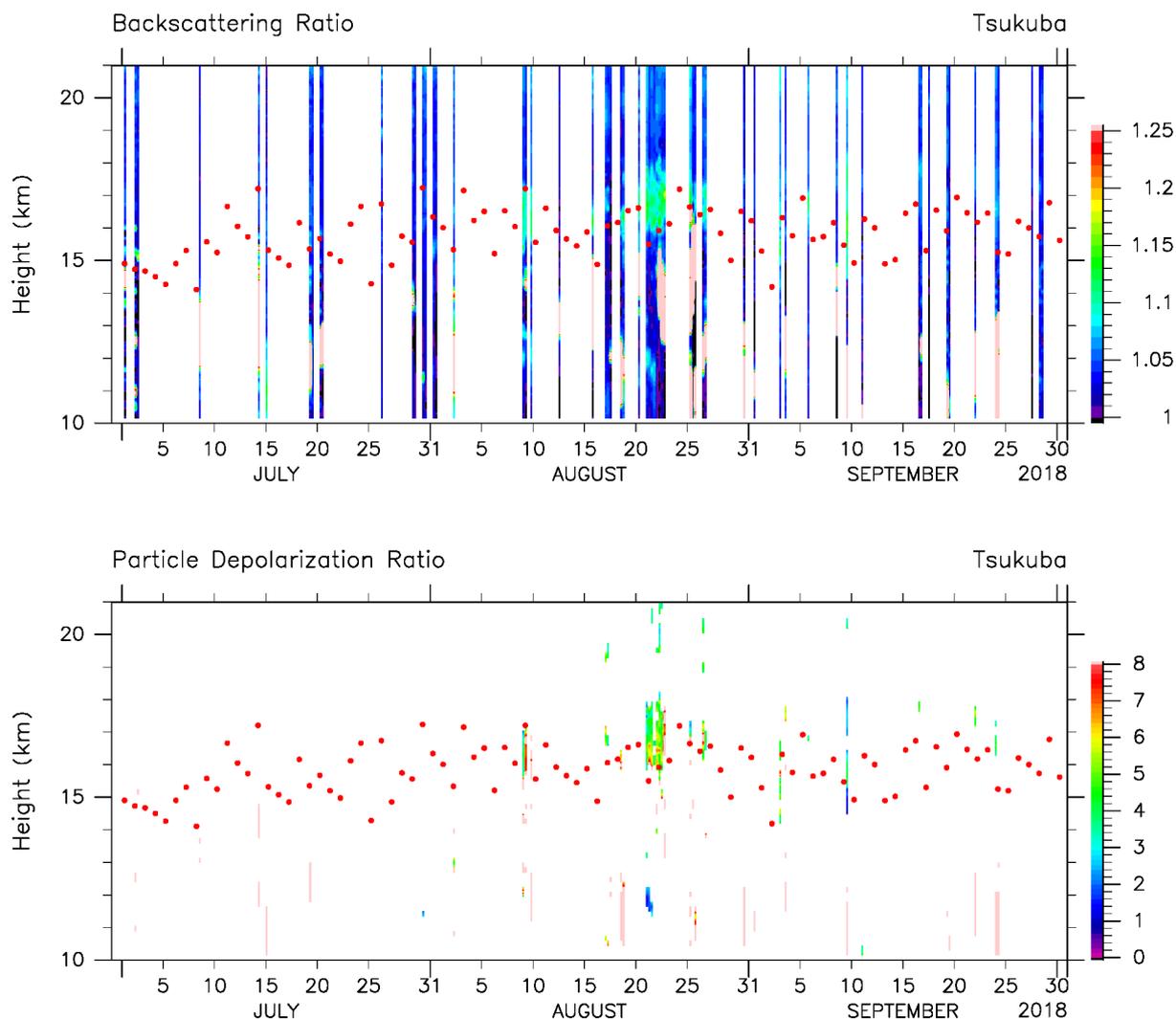
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The possible influence of volcanic eruptions and wildfire events is investigated using two satellite aerosol-particle datasets, one providing vertical extinction profile data at 675 nm from the Ozone Mapping and Profiler Suite (OMPS) Limb Profiler (LP), Level 2 Version 1.5 (Chen et al., 2018), and the other attenuated scattering-ratio data from the CALIOP onboard the Cloud–Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) satellite (Thomason et al., 2007; Winker et al., 2007, 2010). CALIOP Level 3 monthly-mean stratospheric aerosol data (CAL\_LID\_L3\_Stratospheric\_APro-Standard-V1-00) are used in this study; in this data product, clouds and Polar Stratospheric Clouds (PSCs) have been removed (Young and Vaughan, 2009; [https://www-calipso.larc.nasa.gov/resources/calipso\\_users\\_guide/data\\_summaries/13/lid\\_l3\\_stratospheric\\_apro\\_v1-00\\_v01\\_desc.php](https://www-calipso.larc.nasa.gov/resources/calipso_users_guide/data_summaries/13/lid_l3_stratospheric_apro_v1-00_v01_desc.php)).

## 140 3 Results and discussion

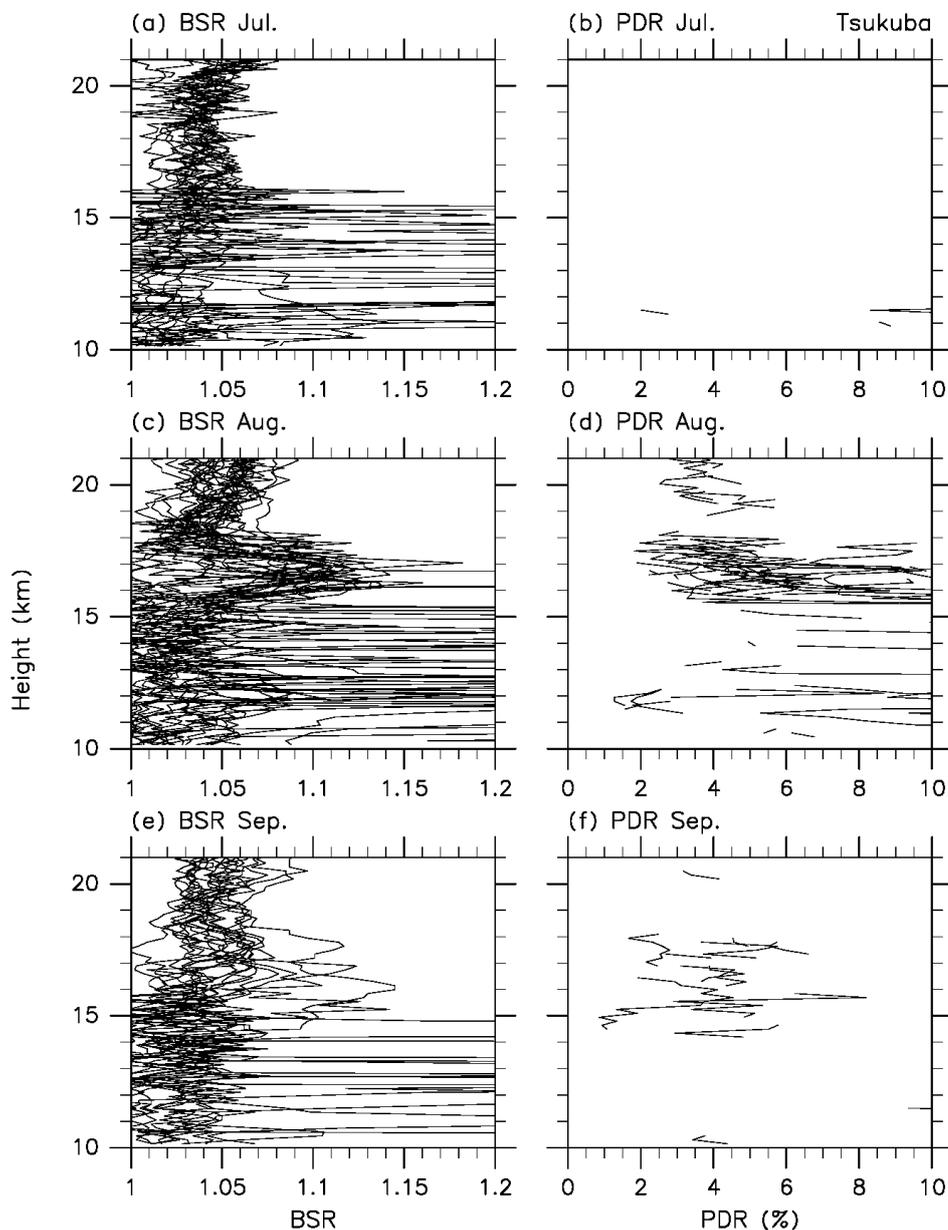
### 3.1 Lidar measurements

Time–height distributions of BSR and PDR observed in the UTLS at Tsukuba are shown in Figure 1, and the corresponding vertical profiles are shown in Figure 2. Because the PDR has more missing data points, the TDR time–height distribution is also shown in Figure A1. Days that are missing data (white regions; Fig. 1) are due to thick summer-time rain clouds in the lower-to-middle troposphere, which prevented the laser light reaching the middle stratosphere. However, some events with enhanced particle signals are evident just above the tropopause at 15.5–18 km, and last for a few days, mainly in August, but with some in September. In particular, the event peaking at around 21 August and spanning 18–26 August was the strongest one among those the lidar successfully measured during the three-month period. Fig. 2 shows that enhanced particle signals at 15.5–18 km were often observed in August, sometimes in September, but not in July. Typical BSR and PDR values of enhanced signals are ~1.10 (1.07–1.18) and ~5% (3%–10%), respectively (Figs. 1 and 2). Below the tropopause, strong signals were sometimes recorded with BSR values of >1.25 and with PDR values >>10%. In general, the PDR values are 0% for spherical particles (i.e., water clouds in the troposphere and liquid H<sub>2</sub>SO<sub>4</sub> particles in the stratosphere) and are >25%–30% for ice cirrus particles (e.g., Sakai et al., 2003; Fujiwara et al., 2009). Strong signals in the upper troposphere are thus due to ice cirrus clouds. Enhanced signals in the lower stratosphere (15.5–18 km) may be due to solid particles, as indicated by the PDR values of ~5% (3%–10%). Taking PDR uncertainties (Sect. 2.1) into account, these values can be considered as small, but non-zero, values. The PDR values of these signals, together with the region being above the local tropopause in most cases, strongly suggest that they are not ice cirrus particles. However, the possibility of mixture of spherical H<sub>2</sub>SO<sub>4</sub> particles (i.e., background stratospheric sulphate particles) and highly non-spherical particles, such as ice, volcanic ash (Prata et al., 2017), and wildfire smoke (Haarig et al., 2018), cannot be precluded only with our lidar data. We will come back to this issue in Sect. 4 after investigating several other data.



165 **Figure 1** Time–height distributions of (top) backscattering ratio and (bottom) particle depolarization ratio (%) during July–September 2018, as measured using the lidar system at MRI, Tsukuba. For each day, four time slots (i.e., 18–21, 21–00, 00–03, and 03–06 LT) are prepared, with 3-h averaged data filling the slots where thick lower-to-middle tropospheric clouds do not exist. Red dots indicate the daily (first) lapse-rate tropopause locations determined by the Japan Meteorological Agency (JMA), based on 21 LT radiosonde data taken at the JMA “Tateno” site (which shares the same site as the MRI).

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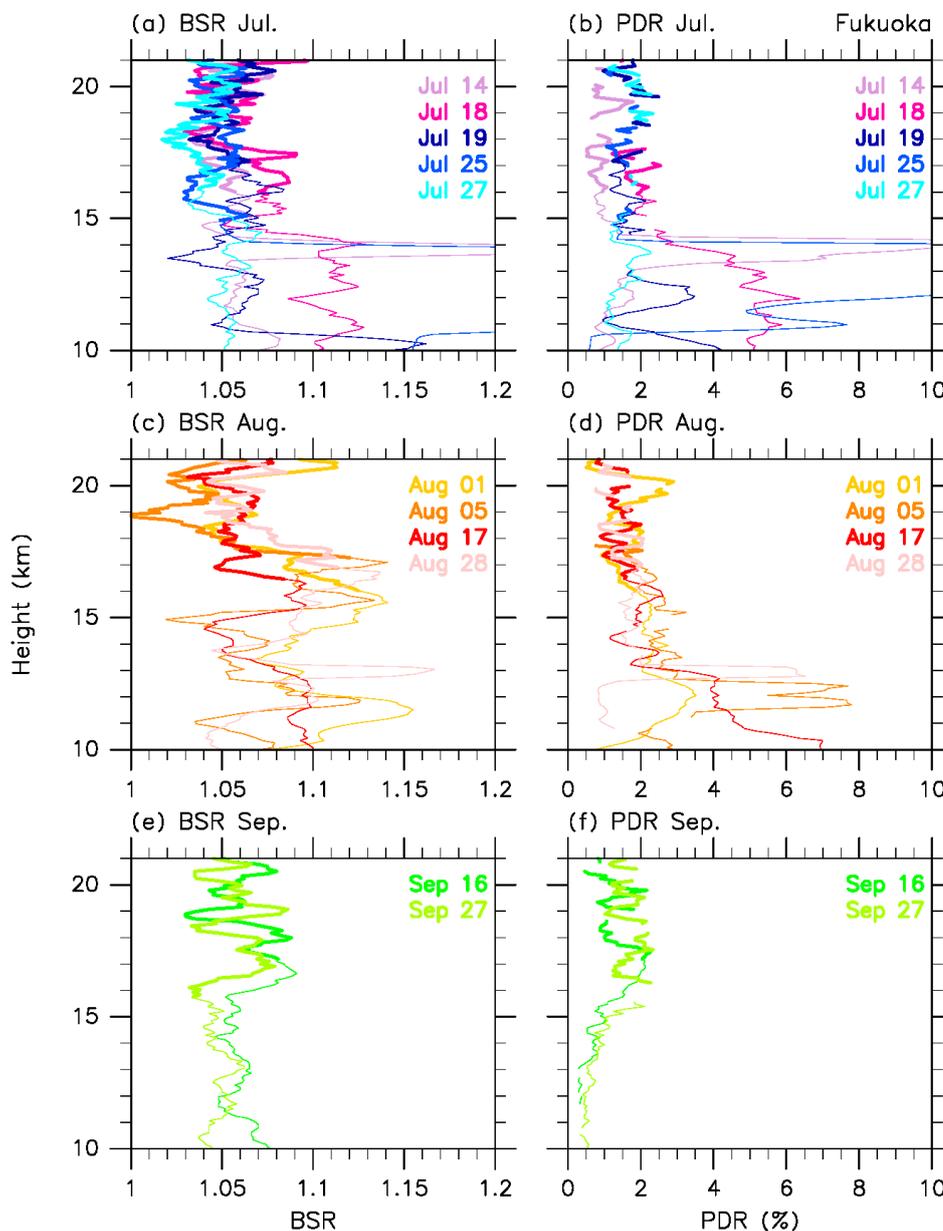


**Figure 2** Vertical profiles of (a, c, e) backscattering ratio (BSR) and (b, d, f) particle depolarization ratio (PDR, in %) in (a, b) July, (c, d) August, and (e, f) September 2018 obtained using the lidar system at MRI, Tsukuba. It is noted that strong and noisy signals in BSR below ~15.5 km are due to cirrus clouds.



180 Vertical profiles of BSR and PDR observed at Fukuoka for 11 clear-sky/non-rainy nights during July–September 2018, are  
shown in Figure 3. Again, enhanced particle signals were observed mainly in August above the tropopause at 15.5–18 km. The  
BSR values were in the range 1.09–1.14, with PDR values of 1%–3% which are smaller than those observed at Tsukuba. It  
should be noted that the dates of lidar operation at Fukuoka did not overlap those at Tsukuba when strong enhancement was  
observed above the tropopause (e.g., 9 August, 18–26 August, and 9 September), perhaps partly explaining the differences  
between Figs. 2 and 3.

185



**Figure 3** Eleven vertical profiles of (a, c, e) backscattering ratio (BSR) and (b, d, f) particle depolarization ratio (PDR, in %) in (a, b) July, 190 (c, d) August, and (e, f) September 2018 obtained using the lidar system at Fukuoka. Dates and colours are assigned in the legend where, for example, “Jul 14” refers to the night of July 14–15. Stratospheric portion of the profiles has been thickened using the (first) tropopause location information provided by the JMA, based on operational 21 LT radiosonde data taken at the JMA Fukuoka site (with a ~4 km distance from the lidar site).

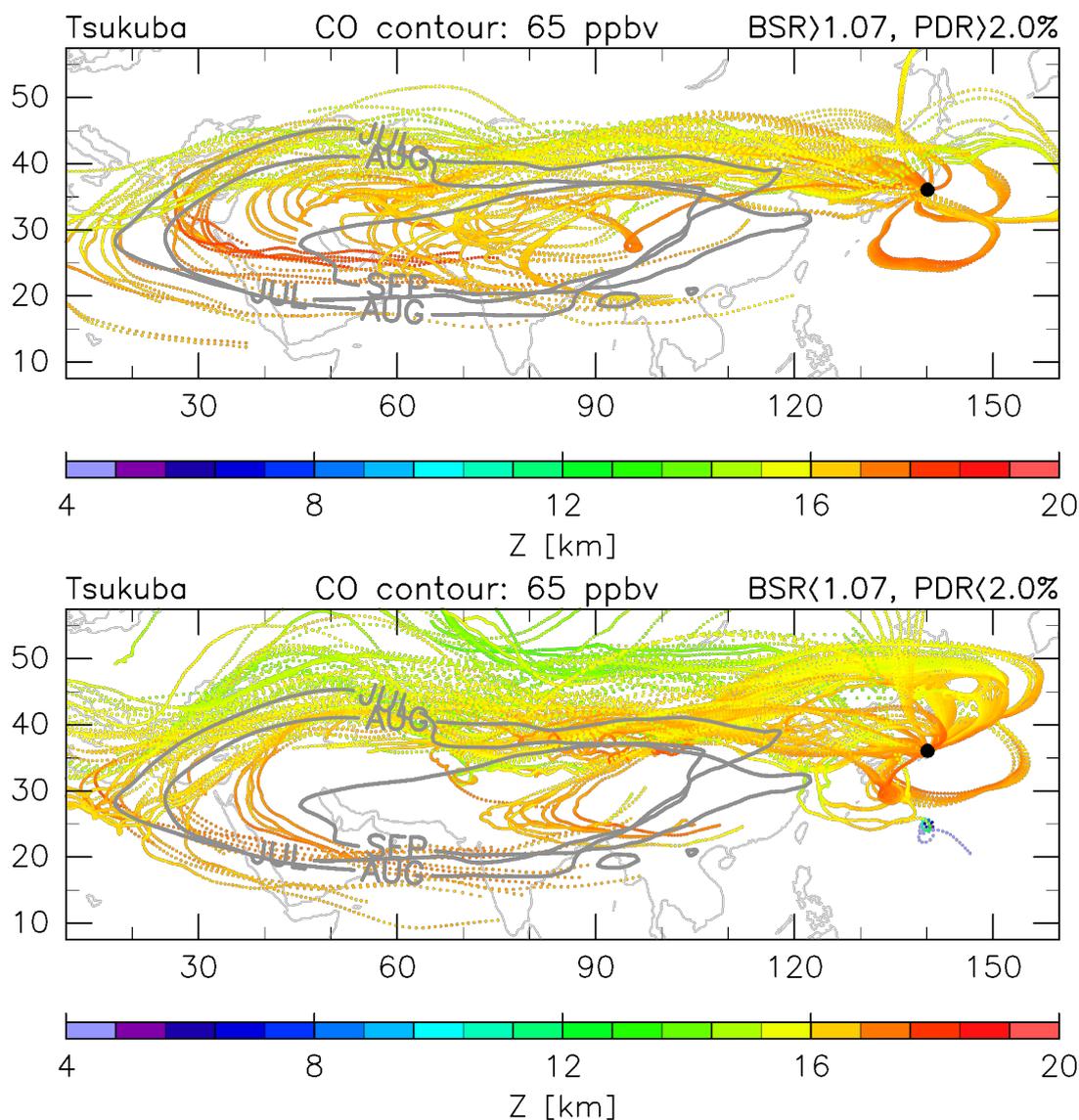


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### 3.2 Trajectories and airmasses

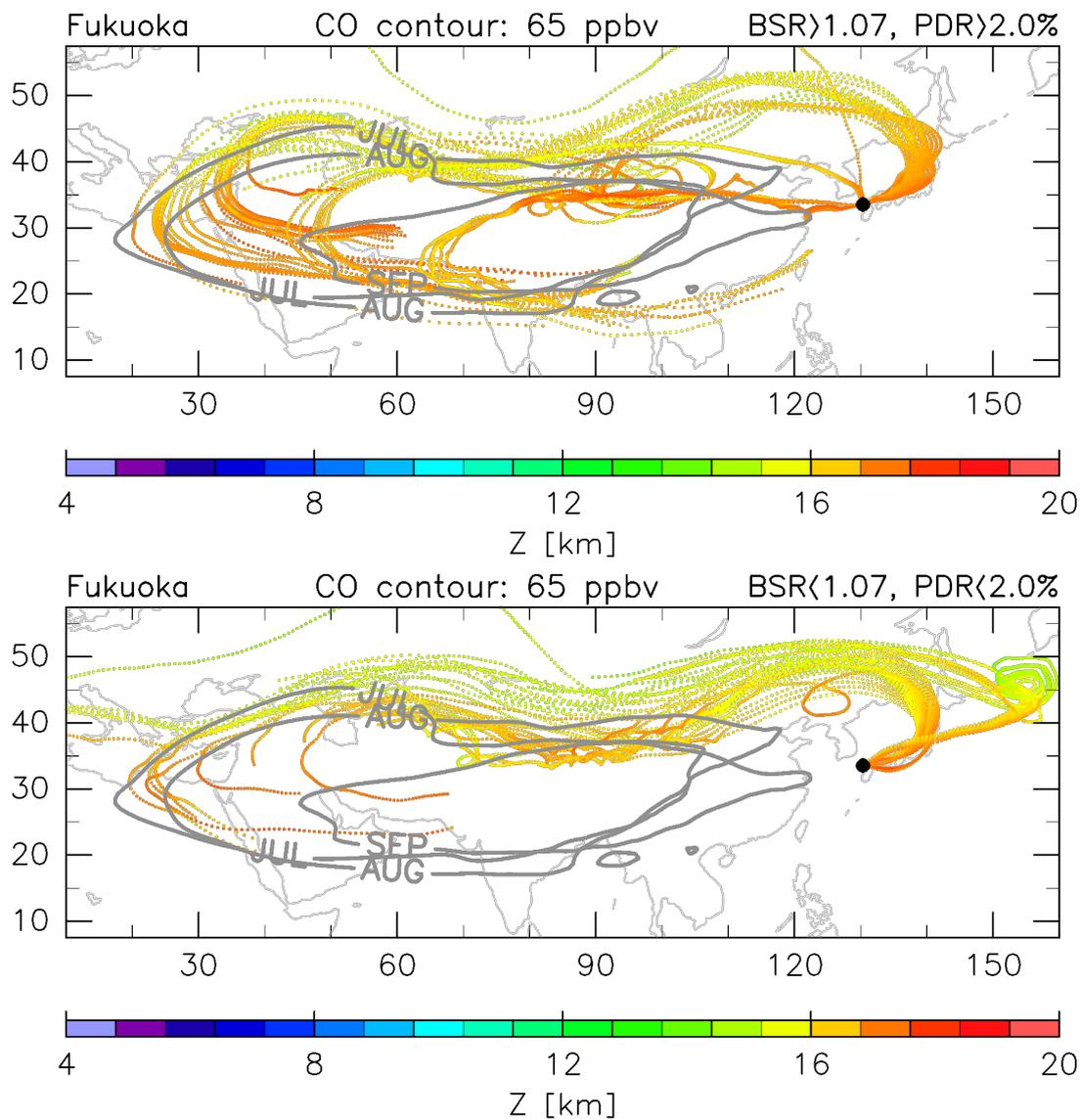
Ten-day kinematic backward trajectories (using vertical wind) from Tsukuba and Fukuoka are shown in Figures 4 and 5, respectively, with contrasting cases with or without aerosol particle enhancement in the 390–410 K potential-temperature range (around 16.5–17.5 km at these stations). The 65 ppbv contours of monthly mean CAMS CO data at a 400 K potential  
200 temperature are shown as an index of boundaries of the ASM anticyclone (i.e., within the anticyclone, CO concentration is >65 ppbv). These trajectories indicate that airmasses over both stations come mainly from the west, and sometimes via the north of Japan (indicative of the existence of vortices), and originated from the ASM anticyclone well within 10 days. They also indicate that airmasses with enhanced aerosol particles at this height tend to originate in regions within the ASM anticyclone, whereas those without enhanced aerosol particles tend to originate from edge regions surrounding the anticyclone.

205



210 **Figure 4** Kinematic backward trajectories for 10 days starting from Tsukuba in the 390–410 K potential temperature range at 100 m  
geopotential height intervals during July–September 2018, using ERA5 reanalysis data. Cases are sorted into two categories: (top) with and  
(bottom) without enhanced aerosol signals observed by lidar at the trajectory starting points. The conditions and number of trajectories for  
the former and the latter cases are, respectively,  $BSR > 1.07$ ;  $PDR > 2.0\%$ ; 78 trajectories and  $BSR < 1.07$ ;  $PDR < 2.0\%$ ; 136 trajectories.  
Colours indicate geopotential height ( $Z$ ) values of the trajectories. Grey contours indicate 65 ppbv monthly mean CAMS CO levels at 400  
K potential temperature, roughly indicating monthly mean boundaries of the ASM anticyclone.

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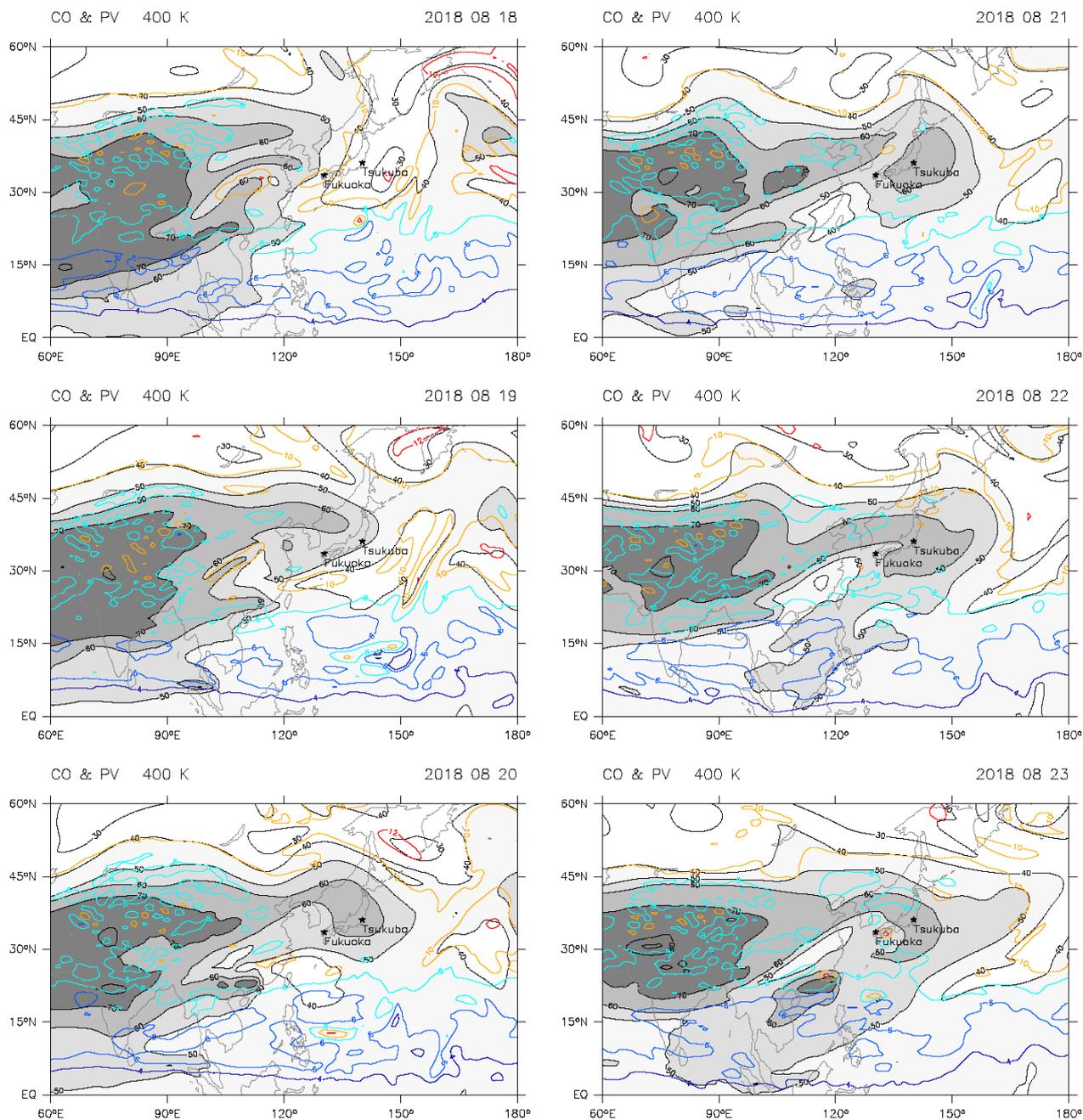


220 **Figure 5** As for Fig. 4, but for trajectories from Fukuoka, with (top) 44 and (bottom) 37 trajectories.

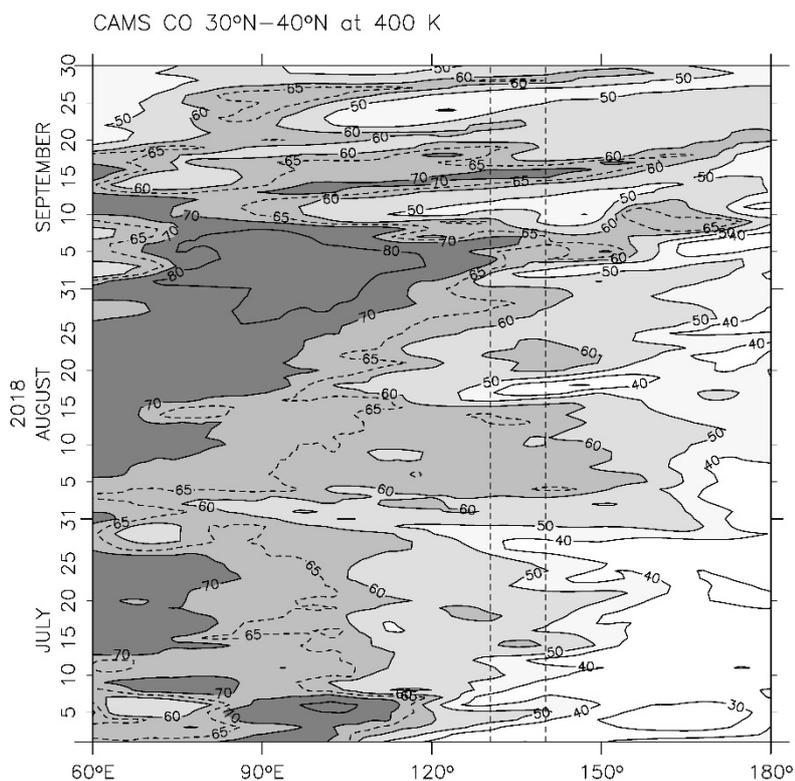


Horizontal distributions of CO and PV at the 400 K potential-temperature surface during 18–23 August 2018 are shown in Figure 6. A potential temperature of 400 K corresponds to altitudes of  $\sim 17.1$  km at Tsukuba and  $\sim 17.3$  km at Fukuoka, on average, during July–September 2018 (based on twice-daily radiosonde data at each site, taken from <http://weather.uwyo.edu/upperair/sounding.html>), i.e., near the centre of the lower stratospheric BSR enhancement. The strongest particle signals during the three months were observed on 21 August in the lower stratosphere over Tsukuba. The airmass with high CO concentrations was transported eastward from the ASM anticyclone centred over the Tibetan Plateau (Fig. 6), with an anticyclonic vortex of  $\sim 20^\circ$  longitude scale reaching the Japanese archipelago on 21 August, providing a clear signature of eastward shedding vortices from the ASM anticyclone (e.g., Luo et al., 2018). PV can be regarded as a dynamical tracer, with lower values in the ASM anticyclone along the same latitudes (e.g.,  $30^\circ\text{N}$ ), although background positive gradients in latitude and its noisier nature give more complicated features. Daily averaged longitude–time CO distributions over  $30^\circ\text{N}$ – $40^\circ\text{N}$  are shown in Figure 7, with that latitude band chosen here because it includes the two lidar sites. The ASM anticyclone spans roughly  $15^\circ\text{N}$ – $40^\circ\text{N}$ , whereas the eastward shedding vortices are often located slightly to the north, at around  $25^\circ\text{N}$ – $45^\circ\text{N}$ , as indicated in Figs. 4–6; the latitude band must therefore be chosen carefully, depending on the research focus. The 60-ppbv CO contour may be a good indicator of eastward shedding vortices (Fig. 7). In July 2018, the eastward extension was weak, but in August there were three events that directly affected the two lidar sites, at August 5–15, 20–25 (Fig. 6) and 27–31. In September, there were three events, at September 3–8, 14–19 and 27–30. A comparison with Fig. 1 indicates that aerosol-particle enhanced events correspond relatively well to CO-enhanced events, although missing lidar data points (due to low-level clouds) result in the fact that only the August 20–25 event was relatively well observed. The ASM anticyclone is also characterised as an airmass hydrated by active convection from below (e.g., Santee et al., 2017). The longitude–time distribution of water vapour at 400 K, averaged over  $30^\circ\text{N}$ – $40^\circ\text{N}$  with  $8^\circ$ -longitude and 3-day bins, is shown in Figure 8. The water-vapour-enhanced events over Japan correspond well with the CO-enhanced events over the same region shown in Fig. 7.

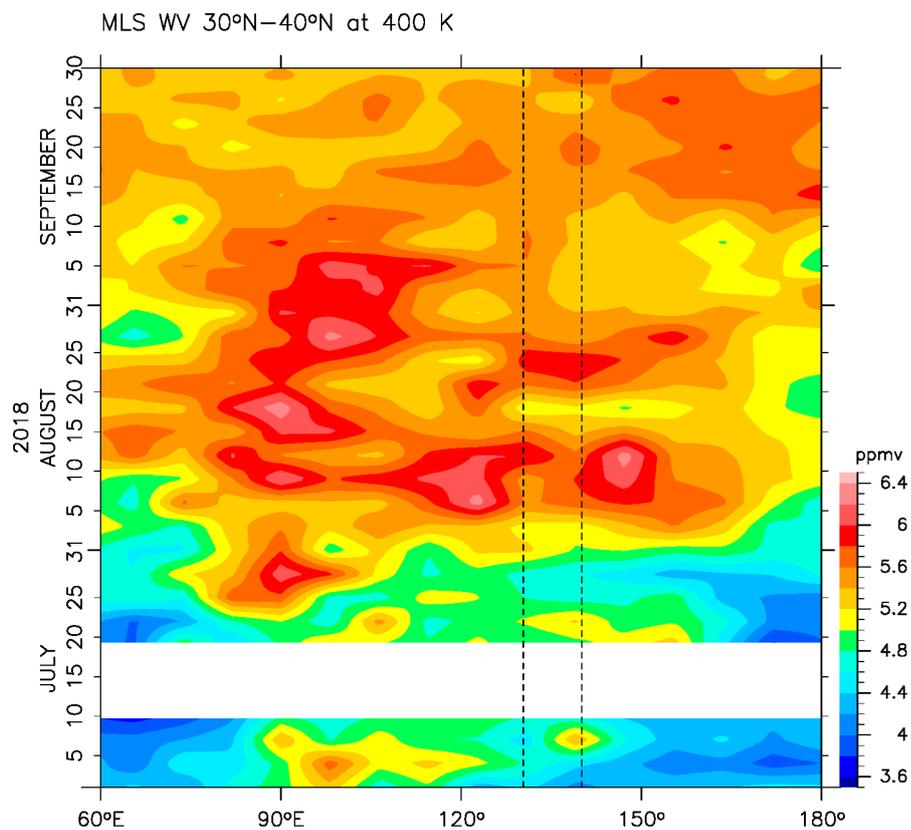
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**Figure 6** Horizontal distribution of daily averaged CO (black contours with grey tone, with intervals of 10 ppbv) and potential vorticity (PV; coloured contours at intervals of 2 PV Unit where 1 PV Unit equals to  $10^{-6} \text{ K m}^2 \text{ kg}^{-1} \text{ s}^{-1}$ ) at the 400 K potential-temperature level during 18–23 August 2018 (dates indicated at top right of each plot), using CAMS reanalysis data.



**Figure 7** Longitude–time distribution of daily averaged CO concentration at 400 K potential temperature averaged over 30°N–40°N, using  
255 CAMS reanalysis data. The contour interval is 10 ppbv, with 65 ppbv contours added (dotted). Vertical dotted lines indicate the locations of  
the two lidar sites, Fukuoka and Tsukuba.



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**Figure 8** As for Fig. 7, but for water vapour (in ppmv) at 400 K measured with the satellite MLS instrument. Data for the 30°N–40°N region have been aggregated into 3-day and 8°-longitude bins, each constituting about 10 individual data points. The white region indicates missing measurements.



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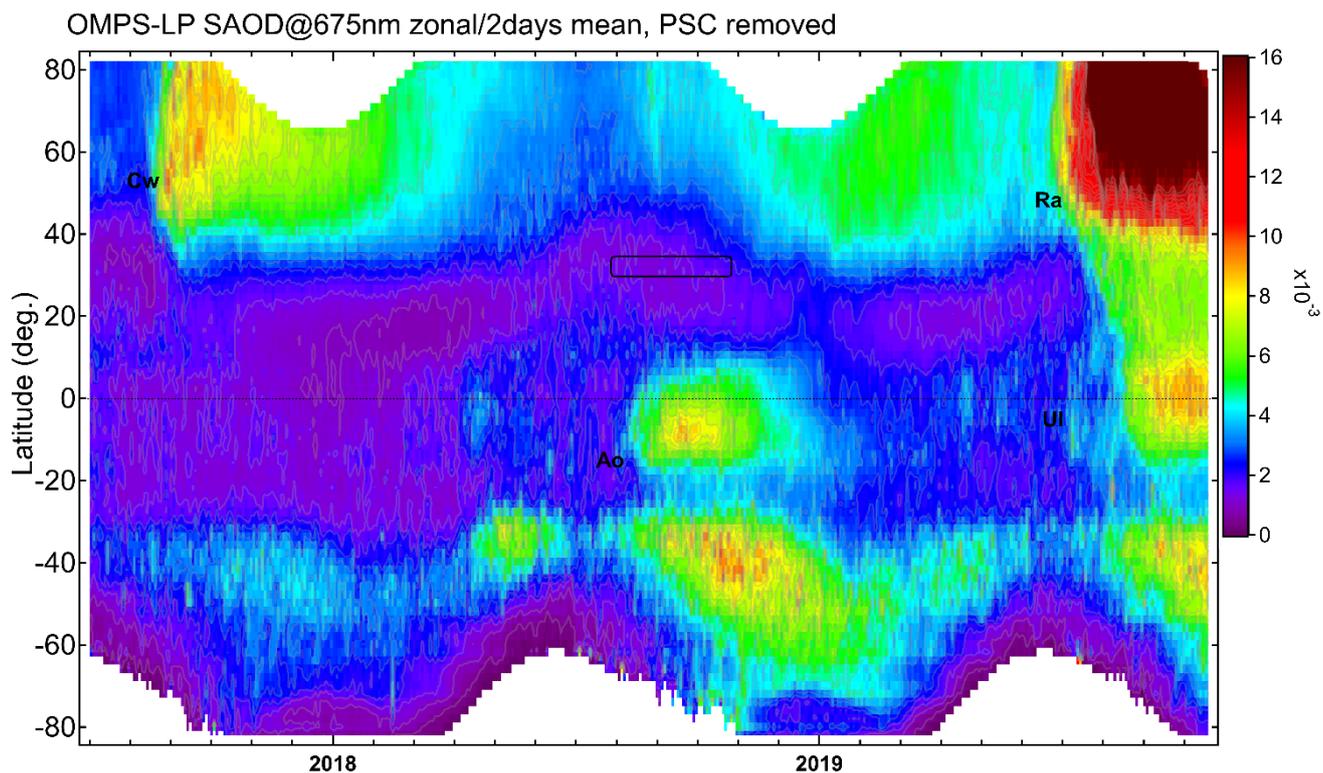
### 3.3 Satellite aerosol data

Lidar is sensitive to various types of volcanic aerosol (e.g., Yasui et al., 1996; Sakai et al., 2016; Khaykin et al., 2017). The lower stratosphere is continuously influenced by volcanic eruptions (GVP, 2013), which inject various types of particle and gas into the atmosphere (e.g., Robock, 2000). Among them, solid ash particles may remain in the stratosphere for up to a few months, while liquid  $\text{H}_2\text{SO}_4$  particles resulting from reaction of volcanic  $\text{SO}_2$  and  $\text{H}_2\text{S}$  gases with OH and  $\text{H}_2\text{O}$  may remain for a year or more. Aerosol particles are also emitted from biomass burning and forest fires and, although these particles rarely reach the stratosphere, extensive fire events can influence the stratospheric aerosol loading (e.g., Khaykin et al., 2018; Peterson et al., 2018). In this section, the global lower-stratospheric aerosol loading during the summer of 2018 is investigated by the analysis of satellite aerosol data.

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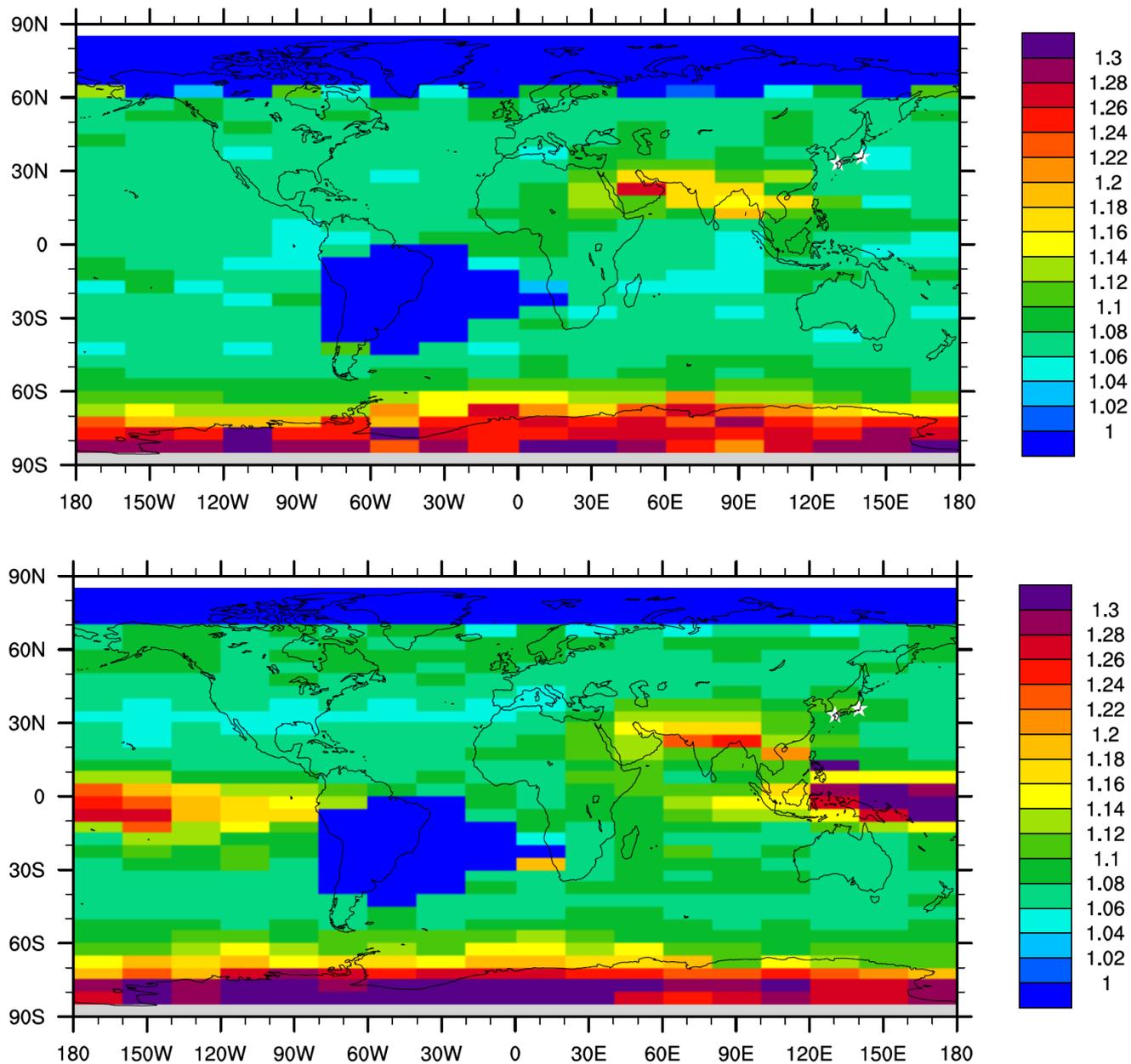
The time–latitude distribution of zonal-mean lower-stratospheric aerosol optical depth (AOD) at 675 nm from the OMPS LP satellite instrument is shown in Figure 9. At high NH latitudes, the lower-stratospheric AOD increased in the summer of 2017 due to extensive wildfires in Canada (Khaykin et al., 2018; Peterson et al., 2018), but their influence was negligible by early 2018. In July 2018, the eruption of Ambae (Aoba;  $15.389^\circ\text{S}$ ,  $167.835^\circ\text{E}$ ; GVP, 2019), Vanuatu, in the tropical western Pacific, caused increasing stratospheric AOD in the tropics. We also observed very weak signals around the same latitude from the beginning of April 2018, possibly due to the eruption of the same Ambae during March–April 2018 (GVP, 2018). However, the lower stratospheric AOD at  $25^\circ\text{N}$ – $40^\circ\text{N}$  was relatively low during July–September 2018, at least on a zonal-mean scale. The monthly mean CALIOP attenuated scattering-ratio distribution due to aerosol particles at 17 km in July and August 2018 is shown in Figure 10 where the ATAL is evident, with enhanced aerosol signals over the ASM region. In August there was also a hint of eastward extension of the ATAL to Japan, with a slight increase in the scattering ratio. By August, effects of the Ambae eruption had extended to about half of the tropics, but not have reached Japan directly (see also the 10-day backward trajectories; Figs. 4 and 5). In summary, enhanced aerosol particle signals observed at Tsukuba ( $36.1^\circ\text{N}$ ) and Fukuoka ( $33.55^\circ\text{N}$ ) were thus unlikely due to volcanic eruptions or northern wildfires.

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**Figure 9** Time–latitude distribution of zonal- and 2-day-mean lower-stratospheric aerosol optical depth at 675 nm between the tropopause and 21 km altitude, from July 2017 to October 2019, as calculated from OMPS LP satellite data. The tropopause altitude for each OMPS LP profile was provided within the OMPS LP dataset. Signals due to Polar Stratospheric Clouds (PSCs) have been removed. Major events that significantly enhanced NH stratospheric aerosol loading are labelled: Cw, Canadian wildfires in the summer of 2017; Ao, Ambae (Aoba) eruption, Vanuatu (July 2018); Ra, Raikoke eruption, Kuril Islands, Russia (June 2019); Ul, Ulawun eruption, Papua New Guinea (July, August, and October 2019) (GVP, 2013). Rectangular box indicates the period and location of the lidar measurements; white regions indicate missing measurements.

300



305 **Figure 10** Monthly mean horizontal distribution of attenuated scattering ratio at 17.042 km observed with the CALIOP satellite instrument in (top) July 2018 and (bottom) August 2018. Spatial bins are 5° in latitude, 20° in longitude, and 900 m in altitude. Clouds and PSCs have been removed (Sect. 2.2). The two lidar station locations are marked with white stars.



## 310 4 Summary and conclusions

Lidar aerosol-particle measurements at Tsukuba and Fukuoka, Japan, during the summer of 2018, were investigated to determine whether these lidars are capable of detecting the eastward extension of the ATAL from the ASM anticyclone in the UTLS. Both lidars observed enhanced aerosol-particle signals between the local tropopause and up to a few km above it, with the BSR values of  $\sim 1.10$  (1.07–1.18) and PDR values of  $\sim 5\%$  (3%–10%) at Tsukuba and with similar BSR but lower PDR  
315 values at Fukuoka. The PDR difference between the two sites may be due to the Fukuoka lidar operating on only 11 nights during the three-month period. The lidars often detected strong signals (BSR values of  $>1.25$  and with PDR values  $\gg 10\%$ ) due to ice cirrus clouds below the tropopause. The Tsukuba measurements indicated that timescales of lower-stratospheric enhancements are of a few days. Backward trajectory calculations and reanalysis CO data support the hypothesis that air masses with enhanced aerosol signals originate in the ASM anticyclone in association with eastward shedding vortices. OMPS LP and  
320 CALIOP satellite data indicated that the  $25^{\circ}\text{N}$ – $40^{\circ}\text{N}$  region was not influenced by volcanic eruptions and extensive biomass burning events during July–September 2018. Our results indicate that the enhanced aerosol particle levels measured at Tsukuba and Fukuoka are due to eastward shedding vortices of the ATAL from the ASM anticyclone; i.e., they originated from pollutants emitted from Asian countries and transported vertically by convection in the ASM region.

325 It is also noted that the “westward” extension of the ATAL to northern midlatitudes was reported by Khaykin et al. (2017), based on ground-based lidar at the Observatoire de Haute-Provence (OHP) in southern France ( $43.9^{\circ}\text{N}$ ,  $5.7^{\circ}\text{E}$ ), with a layer of enhanced aerosol in the lower stratosphere with an average BSR value of 1.05 being a systematic feature during August–October. This aerosol layer was shown to correlate with the seasonal water-vapour maximum, suggesting the influx of convectively moistened air from the ASM anticyclone, whose influence on the extratropical lower stratosphere in late summer  
330 to early winter is well known (e.g., Vogel et al., 2014; Müller et al., 2016; Rolf et al., 2018).

The PDR values obtained at Tsukuba, i.e.,  $\sim 5\%$  (3%–10%) suggest that these enhanced particles are solid particles, rather than spherical, liquid  $\text{H}_2\text{SO}_4$  particles (PDR  $\sim 0\%$ ) or cirrus ice particles (PDR  $> 25\%$ – $30\%$ ). The observed values may be consistent with those of solid  $\text{NH}_4\text{NO}_3$  particles recently suggested by Höpfner et al. (2019). There seem to have been no previous studies  
335 of lidar PDR values for solid  $\text{NH}_4\text{NO}_3$  particles. Sakai et al. (2010) investigated PDR values of other particle types in laboratory experiments; among these particles, sub-micrometre sea-salt and ammonium sulphate crystals (e.g., Plate 9 (pages 237–239) of Pruppacher and Klett, 1997) were found to have PDR values of  $\sim 8\%$  PDR and  $\sim 4\%$  PDR, respectively. Solid  $\text{NH}_4\text{NO}_3$  particles may have similar PDR values as their crystal structures could be similar. Small non-zero PDR values can occur if enhanced liquid  $\text{H}_2\text{SO}_4$  particles and fresh ash particles from volcanic eruptions are mixed, although satellite data indicate this  
340 is less plausible (Sect. 3.3). Lidar measurements at Mauna Loa, Hawaii, indicated no signals from volcanic eruptions during the summer of 2018 (Chouza et al., 2020). Also, at the OHP lidar site in France, any enhancement in the lower stratospheric aerosol abundance was observed during the summer of 2018.

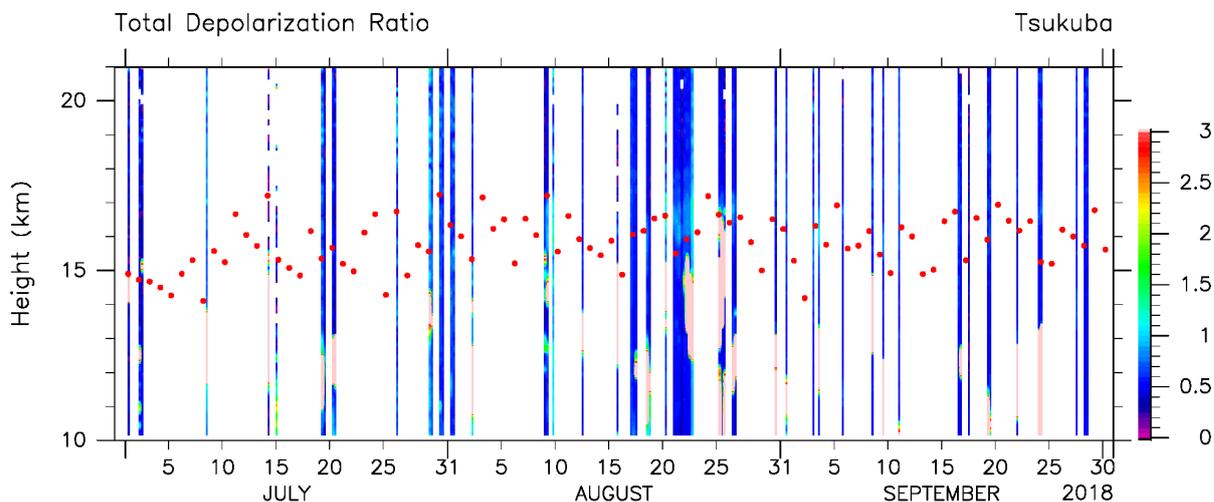


Lower-stratospheric aerosol enhancement over Japan was observed mainly during August–September, and seldom in July.  
345 This may be partly explained by the seasonality of the concentration of solid  $\text{NH}_4\text{NO}_3$  particles in the ASM anticyclone  
(Höpfner et al., 2019), peaking in August with significant year-to-year variations. Furthermore, June and July are in the rainy  
season for most of Japan, in association with the “Baiu” frontal system (e.g., Ninomiya and Shibagaki, 2007). In July 2018,  
severe rainfall and flood events occurred early in the month (Shimpo et al., 2019), after which many parts of Japan experienced  
high surface temperatures with cumulonimbus clouds in several areas. Typhoons, synoptic low systems, and frontal systems  
350 affected Japan through to the end of September 2018, with these rainfall and thick-cloud events preventing the lidars from  
sensing the lower stratosphere, causing many of the missed-data slots in Fig. 1.

In summary, part of the ATAL in the ASM anticyclone airmass is transported eastward and passes over Japan in the UTLS.  
Lidars in Japan can observe the lower stratospheric portion of these aerosol particles if conditions permit, with summer-time  
355 active convection and various weather systems often preventing their sensing of the lower stratosphere. Volcanic eruptions  
and extensive wildfires may complicate the detection of particles of ATAL origin over Japan. The upper tropospheric portion  
of these particles is either depleted by tropospheric processes (convection and wet scavenging) or obscured by much stronger  
cirrus-cloud signals. Despite the limited sampling, the lidar detection of ATAL particles verifies eastward UTLS transport  
associated with sub-seasonal-scale dynamics of the ASM anticyclone, a process observed by satellite instruments and predicted  
360 by models. The spatial extent, and chemical and aerosol content of this transport process is the main focus of an upcoming  
airborne field campaign, the Asian summer monsoon Chemical and Climate Impact Project (ACCLIP; Pan et al., 2019), which  
is scheduled to take place over the western Pacific during July–August 2021.

## 365 **Appendix A**

The time–height distribution of TDR at Tsukuba is shown in Figure A1, complementing Fig. 1b (PDR distribution).



**Figure A1** As for Fig. 1, but for the total depolarization ratio (TDR, %).

370

### *Data availability*

Lidar data analysed in this study can be downloaded from the following websites: <https://mri-2.mri-jma.go.jp/owncloud/s/GrNGNiGKzq8tjqH> for Tsukuba; and [https://www.cis.fukuoka-u.ac.jp/~ksiraisi/LidarDataArchive/Fukuoka\\_2018summer.zip](https://www.cis.fukuoka-u.ac.jp/~ksiraisi/LidarDataArchive/Fukuoka_2018summer.zip) for Fukuoka. ERA5 and CAMS data can be downloaded from the Copernicus website, with the former from <https://cds.climate.copernicus.eu> and the latter from <https://ads.atmosphere.copernicus.eu>. MLS Version 4.2 Level 2 data can be downloaded from [https://acdisc.gesdisc.eosdis.nasa.gov/data/Aura\\_MLS\\_Level2/](https://acdisc.gesdisc.eosdis.nasa.gov/data/Aura_MLS_Level2/). OMPS LP Level 2 Version 1.5 data can be downloaded from <https://snpp-omps.gesdisc.eosdis.nasa.gov/data>. CALIOP data can be downloaded from <https://asdc.larc.nasa.gov/search>.

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### *Author contributions*

MF, MS, and LLP designed the study. MF, T Sakai, and KS analysed lidar data and drafted the manuscript. YI calculated trajectories. MF and HX analysed CAMS data. SK analysed MLS and OMPS LP data, while T Shibata analysed CALIOP data. All authors contributed to the interpretation, and reviewed and edited the manuscript.

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### ***Competing interests***

The authors declare that they have no conflict of interest.

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