Mechanical Response of Shallow Crust to Groundwater Storage Variations: Inferences from Deformation and Seismic Observations in the Eastern Southern Alps, Italy

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Abstract Changes in continental water storage generate vertical surface deformation, induce crustal stress perturbations, and modulate seismicity rates. However, the degree to which regional changes in terrestrial water content influence crustal stresses and the occurrence of earthquakes remains an open problem. We show how changes in groundwater storage, computed for a ~1,000 km2 basin, focus deformation in a narrow zone, causing large horizontal, nonseasonal displacements. We present results from a karstic mountain range located at the edge of the Adria-Eurasia plate boundary system in Northern Italy, where shortening is accommodated across an active fold-and-thrust belt. The presence of geological structures with high permeabilities and of deeply rooted hydrologically active fractures focus groundwater fluxes and pressure changes, generating transient surface horizontal displacements up to 5 mm and perturbations of crustal stress up to 25 kPa at seismogenic depths. The background seismicity rates appear correlated, without evident temporal delay, with groundwater storage changes in the hydrological basin. With no evidence of pore pressure propagation from the hydrologically active fractures, seismicity modulation is likely affected by direct stress changes on faults planes.

Plain Language Summary The natural water cycle, by changing how water is stored on the continents, can cause nonnegligible deformation at the Earth’s surface. Redistribution of water masses has long been known to alter the state of stress in the crust and potentially modulate seismicity rates. However, the degree to which regional changes in groundwater storage influence crustal stresses and the occurrence of earthquakes at fault scales remains an open problem. We study a karst area located in a tectonically active region of Northern Italy, where plate convergence is accommodated across a complex system of faults and folds. We use GPS, hydrological, meteorological and seismological observations, integrated by hydrological and mechanical models, to show that there is a direct elastic connection between changes in groundwater storage, crustal deformation, and seismicity rates. We show that hydrologically active fractures and seismically active fractures might be disjoint, and that pore pressure propagation is not required to generate stress changes at seismogenic depths. Indeed, the convergence of water from upstream catchment toward permeable fractures connected to the surface can generate large pressure changes on the wall of these fractures, causing horizontal displacements and perturbations of the crustal stress that modulate background seismicity rates.

1. Introduction

Constant redistribution of surface loads due to continental hydrology (van Dam et al., 2001) causes measurable deformation of the Earth’s surface. In particular, seasonal hydrological mass movements turned out to influence tectonic deformation of the lithosphere and modulate seismicity rates in several tectonic environments (e.g., Bettinelli et al., 2008; Craig et al., 2017; Johnson et al., 2020; Lowry, 2006). While seasonal modulation of seismicity associated with vertical loading is a known process, hydrologically driven deformation mainly acting on the horizontal components have been more recently recognized. Silveri et al. (2020) describe horizontal seasonal deformation caused by poroelastic processes related to snow-
melt runoff water infiltrating into the Sierra Nevada slopes around Long Valley Caldera (USA); Oestreicher (2018) shows repetitive and reversible horizontal movements of up to ~55 mm on annual scales, strongly correlated with modeled groundwater levels in Southern Alps (New Zealand); Silveri et al. (2016) recognize transient deformation signals in GPS horizontal time series, controlled by seasonal and interannual phases of groundwater recharge/discharge of karst aquifers in the Apennines (Italy); Devoti et al (2015) and Serpelloni et al. (2018) describe horizontal transient deformation signals in the Southern Alps (Italy), correlated with precipitation.

Both in the Apennines and in the Alps, changes in groundwater levels in karst aquifers, or fractures associated with karst systems, are considered the most likely mechanisms to explain the observed deformation, which is characterized by larger displacements in the horizontal components than in the vertical one. Since direct measurements of groundwater levels at regional scale (1–10,000 km²) are difficult to obtain, particularly in mountain regions, such as in the study area (see Figure 8 from Chen et al., 2018), the hydrological nature of these aforementioned deformation signals has been suggested based on temporal correlation between geodetic displacements and meteorological and hydrological data like precipitation, spring discharge, river flow, snow water equivalent and lake levels. The Gravity Recovery and Climate Experiment (GRACE) provides complementary independent observations of terrestrial water storage changes (TWS), but its spatial resolution is lower than scales of 300 km (Famiglietti et al., 2011). As a result, at spatial scales significantly lower than the GRACE resolution, when direct measurements of groundwater storage changes (GWS) are not available (e.g., because of the lack of water wells) the geodetic signals are more often correlated with groundwater data (mainly precipitation and spring discharge). Serpelloni et al. (2018) studying the Adria-Eurasia plate boundary in Italy and Slovenia showed that detected geodetic transient signals are poorly correlated with daily precipitation data, but highly correlated, without temporal delay, with the history of cumulated precipitations at monthly time scales. However, the physical interpretation of this monthly scale cumulative precipitation signal, in terms of hydrological cycle and GWS, is not straightforward.

Identifying and extracting nontectonic signals from geodetic measurements remains critical to detect potential tectonic signals of small amplitude and to improve the accuracy and precision of interseismic deformation estimates. Moreover, studying hydrological deformation signals can provide new clues on elastic properties of the Earth, on water storage fluctuations (Borsa et al., 2014; Fu et al., 2013) and on the possible relationship between hydrologically driven stress changes and earthquake nucleation. Two mechanisms by which the natural water cycle can modulate earthquake occurrence have been suggested: variations in pore-fluid pressure at hypocentral depths (Hainzl et al., 2006) and direct stress on the fault plane (Bettinelli et al., 2008; Craig et al., 2017; D'Agostino et al., 2018; Johnson et al., 2017). An effective way to discriminate between these two processes is the presence of a time lag between hydrological indicators and seismicity rates. In fact, while the effect of the direct stress can be considered instantaneous, pore-pressure propagation exhibits a time lag between hydrological and seismological indicators, if pore-fluid pressure variations are the main driver of earthquake rates modulation. The time lag τ is linked to both flow distance L and media/fracture diffusivity D by τ = L²/D (Schulte et al., 2017).

In this work we study a segment of the Adria-Eurasia plate boundary in North-Eastern Italy (Figure 1), hit by strong historical earthquakes (e.g., the Mw 6.5, 1695 Asolo earthquake; Rovida et al., 2020) and where the larger part of plate convergence is presently accommodated across a south-verging fold-and-thrust belt (Anderlini et al., 2020; Serpelloni et al., 2016). The main thrusts are, from the internal parts to the foreland, the Valsugana thrust, the Belluno thrust, and the Bassano-Valdobbiadene thrust (BVT), the latter being associated with a morphological relief of ~1,200 m above the plain, known as Pedemountain flexure (Figure 1). The southernmost active front is now mainly buried beneath the alluvial deposits of the Venetian plain and sealed by Late Miocene to Quaternary (~7–2.5 Ma) deposits (Fantoni et al., 2002), consisting in the Montello thrust (Fantoni et al., 2002; Galadini et al., 2005). The Montello hill (Figure 1) is generally interpreted as an actively growing ramp anticline on top of the north dipping thrust that has migrated south of the mountain into the foreland (Serpelloni et al., 2016).

The main geomorphological feature of the area is the presence of the NE-SW oriented Belluno Valley, where the Piave river flows, bounded to the north by the Dolomites and to the south by the Monte Grappa massif,
The MCCV is the morphological expression of an anticline associated with the BVT and back thrust system, and it is crossed by the Piave river that flows to the southeast reaching the Montello hill. Three highly productive fissured, hydrologically independent, karst aquifers are present in the area (Figure 3; Filippini et al., 2018): the Dolomites, one associated with the MCCV and one with the Alpago-Cansiglio plateau.

In this work, we link the natural water cycle to crustal deformation and geological structures by adopting a physically based approach, depending on a hydrological and mechanical model constrained by precipitation, temperature and river flow data and subsurface geological information. The model explains both amplitude and spatial patterns of the horizontal deformation observed on GNSS sites. We show how water collected in a \( \sim 1,000 \text{ km}^2 \) basin focuses groundwater pressure changes in a relatively narrow geological structure, generating transient horizontal deformation and perturbations of crustal stress at seismogenic depths. Finally, we study the correlation between GWS and seismicity rates through a statistical approach.

2. GNSS Data and Time-Series Analysis

In this work, we extended the analysis carried out by Serpelloni et al. (2018), including more stations and a longer time-span, focusing on one specific sector (Figure 1) where 64 GNSS sites are present. The displacement time-series from GNSS stations in the 2010.0–2019.3 time span (Figure 2 and Figure S1), obtained following the procedures described in the Text S1, have been analyzed with a blind source separation algorithm based on variational Bayesian Independent Component Analysis (vbICA; Gualandi et al., 2016). This method has been successfully used to extract hydrological and tectonic transient signals from GNSS data.
displacements time series (Gualandi, Nichele, et al., 2017; Gualandi, Perfettini, et al., 2017); it uses a generative model to recreate the observations and allows extracting the spatiotemporal information of independent sources of deformation directly from the observations, without imposing any specific spatial distribution or temporal function.

The output of this analysis is the definition of a limited number of sources, or components, characterized by a specific spatial distribution (U) and following a specific temporal evolution (V). A weight coefficient S (in mm) is necessary to rescale their contribution in explaining the original data. Each independent component (IC) is described by a mix of Gaussians, which allows for more flexibility in the description of the sources with respect to classical independent component analysis (ICA) techniques; allowing to consistently take into account data gaps in the data set (Chan et al., 2003) and providing an estimate of the uncertainty associated with each IC. The displacement time series at a given station can be reconstructed by linearly summing up the contributions from all the ICs, each of which is obtained by multiplying the specific spatial distribution by the associated weight times the temporal evolution.

With the goal of reducing the correlation of the data set, the original time series are initially detrended, which results in a more efficient search of the IC direction (Gualandi et al., 2016). Differently from

Figure 2. Temporal evolution (V; in blue) of the three ICs defined from the vbICA analysis and the corresponding spatial response in the horizontal (green arrows) and vertical (colored circles) components, respectively. The gray area indicates the time interval (T1 = October 10, 2013 to February 22, 2014) for which ground displacements have been computed and shown in Figure 3. The red lines superimposed to V1 and V3 represent the mean vertical and N-S displacements caused by surface mass loading, respectively, estimated from the ERA-interim (European Centre for Medium-Range Weather Forecasts, ECMWF reanalysis) model and provided by http://loading.u-strasbg.fr (Gegout et al., 2010). Displacements are averaged over a rectangular area with limits: lon 10.75–13.50; lat 45.25–47.00.
Figure 3. Hydrological map and geodetic displacements in the study region. Piave and Cordevole rivers (in blue) are gauged at three locations (purple triangles), defining three watersheds (yellow, green, and gray) and the 883 km² region in-between (Belluno Valley, red dashed line) where water storage changes are modeled. Highly productive fissured karst aquifers are highlighted in cyan from the International Hydrogeological Map of Europe 1:1,500,000 (http://www.bgr.bund.de/ihme1500). Regional horizontal (black arrows) and vertical displacements (color dots), described by the second source of independent component analysis (IC2) on 67 GNSS stations during T1 period (winter 2013–2014), are superimposed (see also Figure 2). The dashed black line shows the trace of the geological cross section of Figure 5.
The trend of each GPS station is estimated in a multivariate statistical manner, by applying a vbICA analysis on displacement-time series realized in a Adria-fixed reference frame, as described in the Text S1.2. This approach is effective in removing the linear trend in case of strong nonlinear signals and short time-series.

Once detrended, we select the number of ICs to retain by applying an F-test, which establishes if the complexity introduced by an additional IC is statistically justified by the available data (e.g., Kositsky & Avouac, 2010). We find that three ICs are necessary to satisfactorily reconstruct the observed displacements, and the improvement in the data reconstruction brought by the addition of a fourth IC is not statistically significant at 95% confidence.

The temporal evolution (V) and spatial responses (U) of the three ICs are shown in Figure 2. Seasonal annual displacements in the vertical and NS directions (IC1 and IC3) occur in response to surface hydrological mass loading (Serpelloni et al., 2018). A nonseasonal, horizontal transient deformation signal (IC2, Figure 2), characterized by spatially variable amplitudes and directions, causes GNSS stations to reverse the sense of movement with time, resulting in a sequence of dilatational and compressional deformation oriented about normal to the mountain front.

Serpelloni et al. (2018) found that the temporal evolution of this signal somehow correlates with the history of cumulated precipitations at monthly timescales. Nonetheless, the link between surface deformation and GWS remains difficult to define, because of the lack of water wells in the mountainous areas and because of the limited spatial extent of the area affected by this transient geodetic deformation signal. In the next section, we use a conceptual hydrological model to estimate daily changes in water storage to be compared with the temporal evolution of IC2, studying the link between GWS, geological structures and local seismicity rates.

3. Hydrological Modeling of Water Storage Changes in the Piave Basin

Water redistribution on the continents implies several processes that cover a wide range of spatial and temporal scales. At scales larger than several hundreds of kilometers, GRACE satellite observations or land surface models, such as the Global Land Data Assimilation System (GLDAS) modeling platform (Rodell, 2016), can provide a fair estimate of TWS changes and are typically used to compute surface displacements (e.g., Craig et al., 2017). At local scale, ground-based observations such as soil moisture and groundwater head can describe storage and pore-pressure changes, but their spatial representativity is limited. At regional scale, water storage observations are rare. River discharge, for example, is representative over the drained area (i.e., catchment), but only represents one flux contributing to storage changes. In this work, we model regional scale water storage changes using a rainfall-runoff model that is driven by meteorological and river discharge observations.

At catchment scale, the mass balance equation links TWS, P, E, and Q, where P, E, and Q are respectively precipitation, actual evapotranspiration, and river discharge. A subcatchment that is located between two river discharge stations (see Figure S3) can be studied as well. Here TWS can be estimated based on the mass balance equation:

$$\frac{dTWS}{dt} = P + Q_{in} - E - Q_{out} - Q_{gw}$$  \hspace{1cm} (1)$$

where $Q_{in}$, $Q_{out}$, and $Q_{gw}$ are respectively incoming river inflow, outcoming river discharge, and potential groundwater import/export in a surrounding basin. Among the different water fluxes, $P$, $Q_{in}$, and $Q_{out}$ can be measured, whereas actual evapotranspiration and $Q_{gw}$ should be estimated with a model. It is worth noting that at regional scales (<100 km), lateral water fluxes ($Q_{in}$, $Q_{out}$, $Q_{gw}$) could be significant, especially in a mountainous region, where the convergence of water from steep basins to valleys with gentle slopes favors transient accumulation of a large amount of water. Such regional-scale lateral flow processes are hardly modeled within large-scale hydrological models.

$E$ and $Q_{gw}$ (Equation 1) are estimated with the lumped parameter rainfall-runoff hydrological model GR5J (Pushpalatha et al., 2011), which allow us to quantify daily TWS at the scale of each hydrological subbasins (Figure 3). This model is forced with precipitation, temperature, and potential evapotranspiration and computes actual river discharge.
The model is based on two storage compartments—production and routing stores—which mimic the typical response of soils and groundwater to antecedent precipitation and evapotranspiration. Snow needs to be considered, especially in upstream catchments. It is estimated following the method described in the Hydrologiska Byrns Vattenbalansavdelning model (Lindström et al., 1997): mean catchment temperature defines both rainfall/snowfall partitioning and snow melt events.

It is worth noting that GR5J does not require a-priori information on the basin, besides mean daily values of temperature, precipitation, and potential evapotranspiration. The model is parsimonious and designed to model river discharge. However, the model does not allow us to directly estimate GWS, since it is not possible to distinguish the soil and the groundwater contribution. It is worth considering that the karstic features of the area favors water storage as groundwater instead of soil moisture or surface water. As a consequence, without groundwater level data to better constrain the model, GWS is computed as the sum of production and routing storage changes.

Although the GR5J is a simplified conceptual model, where only five mathematical parameters define the dynamics of the two stores and their relations, it has proven skillful in predicting river discharge better than more complex models (de Lavenne et al., 2016) and has been successfully applied to represent groundwater storage changes in Nepal rivers (Andermann et al., 2012). The model’s parsimony is considered here as a strength given the limited information available to define actual flow and storage processes in the karst area investigated. One important feature of GR5J is the possibility to describe subsurface water exchanges with surrounding basins, as expected in karst regions.

GR5J parameters are calibrated using a Marquard-Levenberg least squares regression analysis using root mean square error on the logarithm of observed river discharge to limit the impact of floods and promote the description of the whole water cycle.

We estimate the precipitation and temperature value from January 1, 2010 to March 31, 2019 by computing a daily weighted mean of in situ measurements provided by the Veneto Regional Agency for Environmental Prevention and Protection (ARPAV, http://www.arpa.veneto.it/bollettini/storico), using the Thiessen polygon method (i.e., Natural Neighbor interpolation method, see Text S2.1). Potential evapotranspiration has been evaluated by using the Jensen-Haise method (Jensen et al., 1990; Text S2.2).

In the study area, we define three hydrological basins by using the drainage direction maps (available on www.hydrosheds.org/page/availability) and watershed outlets located at the river discharge measurements on the Piave river at Belluno, Segusino, and of the Cordevole river at Ponte Mas (see Figure 3). As the region of interest is limited to a portion of a watershed located in the Belluno Valley, between two upstream river discharge stations (Piave at Belluno, Cordevole at Ponte Mas) and the downstream station (Piave at Segusino), GR5J model is first calibrated on each catchment (see Text S2.3 for details on the modeling of each basin). Groundwater storage changes (GWSres) in the Belluno Valley are computed as the difference between storage changes within the Piave at Segusino catchment, minus storage changes within the upstream catchments as

\[ \text{GWS}_{\text{res}} = \text{GWS}_{\text{seg}} - \left( \text{GWS}_{\text{cor}} + \text{GWS}_{\text{bel}} \right) \]

where GWSseg, GWScor, GWSbel indicate the GWS computed in the Piave at Segusino, Cordevole at Ponte Mas, and Piave at Belluno watersheds, respectively.

Figure 4 shows that the normalized temporal evolution of the second IC (V2) and GWSres are clearly correlated (Pearson correlation coefficient = 0.83), demonstrating that this transient deformation component is driven by GWS. A cross-correlation analysis indicates that the correlation slightly increases (0.84) considering a time shift of 2 days, with GWSres anticipating V2, and falls quickly (<0.80) when the time shift exceeds 15 days. The agreement is good for both short-term (rapid) GWSres increase linked to strong precipitation events and longer-term (slower) GWSres decrease. This process is also displayed in Movie S1.

Figure 4 also shows TWS from GRACE and GRACE-FO measurements—computed as Equivalent Water Thickness (EWT)—(the linear trend = 1.1 ± 0.8 cm/yr has been removed), processed at JPL using the Mascon approach (Version2/RL06, Watkins et al., 2015). As expected, GRACE is overall less accurate in describing water storage changes at the scale of the hydrological catchment studied in this work. EWT from
GRACE misses most of the higher frequencies changes, resulting in a prevalent annual signal. Most importantly, it appears to be overall less accurate in representing the fast dynamics of the 1,000 km² catchment in terms of GWS, as detected by the regional hydrological model. Indeed, due to its low resolution, GRACE has shown to provide valuable TWS estimates over regions larger than 200,000 km² (Longuevergne et al., 2010).

As a consequence, GRACE data do not allow to identify rapid and localized water storage variations at the scale of the study area (∼5,000 km²). Hydrological regional scale behavior is averaged at larger scales, so GRACE remains useful to study hydrological processes at scales of mountain belts (Chen et al., 2018; Silverii et al., 2016).

An example of an extreme event in North-Eastern Italy is the October 29, 2018, storm Vaia, which produced >300 mm of cumulative precipitation in 72 h and wind gusts exceeding 200 km/h, causing the loss of 8 million cubic meters of standing trees.

This storm is well recorded as a rapid increase of GWS_{res} (dashed line in Figure 4) corresponding to extensional deformation recorded by the GNSS network, with the largest offsets at MGRD (∼5 mm toward SE) and BRSE (∼2.5 mm toward NW).

4. Hydro-Mechanical Modeling of Water Pressure Distribution

Transient displacements in the Alps have been interpreted as due to pressure changes associated with water level variations in vertical karst fractures (Devoti et al., 2015; Serpelloni et al., 2018). For the study area, Serpelloni et al. (2018) used a vertical tensile dislocation, in a homogeneous and uniform half space, showing that a similar structure is required to explain the horizontal displacements. However, the simple
mechanical model proposed in Serpelloni et al. (2018) has limited relationships with the geology and hydrology of the area.

In this work, we develop a two-dimensional finite element model (FEM) with the goal of testing different sources of deformation potentially able to accommodate groundwater pressure changes (GWP) linked to GWS in the Belluno Valley. The physical realism of the different deformation sources is evaluated by comparing modeled surface displacements to the displacement pattern associated with IC2 (Figure 2). The quasi simultaneity of modeled GWS and observed surface displacements (Figure 4) suggests a quasielastic behavior, where water flow and deformation are decoupled. Following Schuite et al. (2017), such behavior would require highly diffusive fractures (i.e., stiff fractures).

We use the “Solid Mechanics” physics module of the COMSOL software (Text S3.1), considering the problem as quasistatic at daily time scales and resolving the model as “stationary.” The 2D model (the trace of the profile is shown as a discontinuous line in Figure 3) is defined on the basis of the geological cross section proposed by Galadini et al. (2005). The model is constrained by geological and geophysical information and in agreement with both local seismicity (Danesi et al., 2015; Romano et al., 2019) and seismic prospections (Fantoni et al., 2002). The cross section is normal to the strike of the MCCV mountain range, that is almost parallel to the directions of geodetic displacements associated with IC2 (Figure 2). We use data from the GNSS stations located within a distance of 20 km from the cross section (considering a length of ∼40 km of the Belluno Valley), whose positions and displacements are projected along the direction of the profile (Figure 5). This analysis is focused on a specific time interval (October 10, 2013 to February 22, 2014; T1 in Figures 2 and 4), corresponding with a period of rapid increase of GWS$_{res}$ and extensional deformation (Figure 2).

The FEM model allows us to account for topography and subsurface geological features of the area, like the presence of faults and the different mechanical properties of the rock layers. The rock mechanical parameters used (Table S2), in particular the Young modulus and Poisson’s ratio, are taken from Anselmi et al. (2011).

As the model is driven by GWP, a linear relationship with GWS$_{res}$ is considered. Different GWP distributions within the mechanical model have been tested, and their physical realism evaluated based on the agreement between model displacements and IC2 spatial pattern (Figure 6). We consider two main families of water pressure distribution:

1) Models where pressure is distributed horizontally and applied vertically on the elastic domain:

Model 1: Water is hosted in the most permeable karst aquifer above the Igne formation, GWP is applied vertically on the aquiclude. Predicted vertical displacements are generally consistent in sign with the observations (Figure S9). On the contrary, horizontal displacements are for most stations opposite in sign compared to observations: compressional deformation is generated instead of an extension.

Model 2a: Similar to Model 1, GWP is focused in the north sector of the anticline, considering the Bassano-Valdobbiadene back thrust as an aquiclude. In this model, water does not accumulate in all the portion of the interface between the Igne formation and the Belluno Basin Units, as assumed in Model 1. Similar to Model 1, predicted horizontal displacements are in all cases in the opposite sense than the IC2-reconstructed ones. Modeled vertical displacements are, with the exclusion of MGRD, in the opposite sense.

Model 2b: Similar to Model 2a, considering the Bassano-Valdobbiadene thrust as an aquiclude. Modeled displacement patterns are similar to Model 2a, with the exception that horizontal displacement at MGRD is in agreement with observations.

Model 2c: The combination of 2a and 2b. Also in this case, the displacement pattern is not well described.

Model 3: Groundwater is stored within the Belluno Valley, so that water pressure is applied vertically at the surface. While modeled vertical displacements, are consistent in sign with observations (excluding MGRD), horizontal displacements provide a compressional behavior.

2) Models where pressure is distributed vertically along subvertical structures and applied orthogonally in the modeled domain:
Model 4: The damage zone of the Bassano-Valdobbiadene thrust is considered as highly permeable down to depths where it intersects the impermeable Igne formation. Fault damage zones in the carbonate rocks, in fact, often host open fractures (karst), demonstrating that they can also be conductive to fluid flow (Torabi et al., 2019). GWS translates into GWP, which is applied orthogonally to the fracture walls. Such behavior has been validated in fractured karstic systems in Lesparre et al. (2017). Vertical GWP distribution is defined following Longuevergne et al. (2009), given a preexisting saturated zone: considering $h_0$ the initial groundwater height in the fracture, and $h_1$ the new height following water level change, pressure on the fracture walls is constant from the bottom of the fracture up to $h_0$ and equals $P = \rho \cdot g \cdot (h_1 - h_0)$ where $\rho$ is the water density and $g$ the gravity acceleration. In the portion of the fracture above $h_0$, the pressure increase on the walls is triangular depends on the water level elevation ($h$) so that $P = \rho \cdot g \cdot (h_1 - h)$. Modeled horizontal displacements matches the observations, except for MGRD station. Vertical displacements are also in good agreement.

Model 5: Similar to Model 4, the open fractured network associated with Bassano-Valdobbiadene back thrust is considered as permeable. Unlike Model 4, all modeled horizontal displacements are well reproduced, including MGRD station.

We use two criteria to evaluate how well a model reproduces the displacements pattern associated with IC2 (Figure 7): the first is the ratio between vertical and horizontal displacement at each GNSS station, which should not significantly exceed 1; the second evaluates the spatial distribution, and is computed as the number of stations with the sign of horizontal displacements in agreement in sign with IC2.

**Figure 5.** Geological cross section of the study area, modified from (Galadini et al., 2005); red dots: position of the GPS stations projected along this profile. BVBT, Bassano-Valdobbiadene back thrust; RBT, Revine back thrust. The dashed rectangle represents the area shown in Figure 6.

**Figure 6.** Zoom on the 2D model cross section of Figure 5, showing a schematic representation of the tested models used to explain the horizontal displacements reconstructed by IC2. BVBT, Bassano-Valdobbiadene back thrust; BVT, Bassano-Valdobbiadene thrust; MCCV, Mount Cesen-Col Visentin anticline. Rock formations are shown with the same legend of Figure 5.
According to these criteria, the displacements pattern associated with IC2 is better reproduced by the models where pressure is distributed vertically within permeable fractures than the ones where pressure is distributed horizontally, as clearly highlighted on Figure 7. In fact, the vertical displacements generated by the Models 1, 2, and 3 are too large compared to the horizontal ones, and the horizontal displacements pattern shows significant disagreement with the one associated with IC2 (Figure 2).

The model that best reproduces the horizontal and vertical displacements is Model 5 (Figure 6). Here the fracture is considered hydrologically conductive (Faulkner et al., 2010) down to 0 m a.s.l where it intersects an impermeable formation (the Igne Formation, see Section 6.1 for details).

We finally analyze the influence of the topography and of the rock stratification on the result obtained by using Model 5. This test is performed by considering both the case where the topography is included but the domain is homogeneous (Model 5a), and the case of a homogeneous domain with a flat free surface. We computed the horizontal and vertical displacements of the three models (Model 5b, Figure S10). We find that there is no significant difference among the three models (i.e., 5, 5a, and 5b) in reproducing the horizontal displacements. The vertical displacements generated by the two models in which the topography is included are very similar (Models 5 and 5a), but they differ from the results obtained using a model with a flat free surface (Model 5b). Nonetheless, since the noise level is higher in the vertical component than the horizontal one, the horizontal is considered as the most informative, as discussed in the Text S3.2. Then, topography and layering do not significantly affect the horizontal displacements, while the vertical ones are more affected by the inclusion of the topography than the rock stratification.

5. Studying the Link Between Hydrology and Seismicity

In Section 3, we demonstrate the link between GWS and surface deformation (Figure 3), and in Section 4, we provide a physical model explaining this process, linked to the local geological features. In this section, we investigate and test possible relationships between GWS$_{res}$ seismicity rates. We use the local earthquake catalog from Romano et al. (2019), which contains high-resolution relocations of 1,609 events with magnitudes ranging from −0.8 to 4.5, in the period January 2012 to October 2017. This catalog was produced using data from the Collalto Seismic Network (Priolo et al., 2015) and represents one of the most accurate, high quality, earthquake catalogs for this sector of the Southern Alps.

Before exploring any possible link between seismicity rates and hydrological observations, first we calculate the completeness magnitude ($M_c$), obtaining a value $M_c = 0.7$ for the full data set; the number of events above $M_c$ is 731 (for details see Text S4.1). Afterward, we identify and remove the aftershock events that...
are more likely associated with earthquake stress-triggering processes. This analysis is performed by de-clustering the catalog in the time domain using the epidemic-type aftershock sequences model ETAS (Ogata, 1998). The resulting partition between background seismicity (372 events) and aftershocks (359 events) is presented in Figure 8a. The details of the declustering process are presented in the Text S4.1. It is worth noting that, in the ETAS model, the background seismicity is assumed to be generated by a homogeneous Poisson process that is physically associated with a constant-rate tectonic loading process. However, the ETAS-based declustering process does not guarantee that the resulting background seismicity is actually stationary (e.g., Console et al., 2010); as a result, it is actually possible to observe temporal fluctuations in the background seismicity obtained after the temporal declustering process. This departure from stationarity is supposed to be caused by the temporal activation or quiescence of seismic sources forced by processes having a physical cause outside the stationary tectonic loading assumed by ETAS (Zhuang et al., 2002).

In this article, we explore the presence of possible correlations between temporal variations in water storage observations, deformation, and the background seismicity. With this aim, we adopt the covariate model proposed by Garcia-Aristizabal (2018), which allows us to perform a robust statistical evaluation of possible relationships between GWS$_\text{res}$ and background seismicity rates. This model has been previously used to study seismicity triggered by underground anthropogenic activity (as e.g., pressurized fluid injections, Garcia-Aristizabal, 2018). The work presented in this article is the first application of this model to study correlations between seismicity and natural forcing processes. According to Garcia-Aristizabal (2018), when the forcing process generating the seismicity in a given zone is stationary in time (as e.g., a constant tectonic loading), the background seismicity rates can be stochastically modeled using a homogeneous Poisson process; it implies that seismicity rates follow the Poisson distribution and, consequently, the times between consecutive events (interevent times, $t_{\text{IET}}$) follow the exponential distribution. However, if the forcing process is nonstationary, and if it is possible to identify measurable parameters as proxies of the processes driving such non stationary behavior, then it is possible to model correlations between changes in seismicity rates and changes in the proxy parameters by linking them as covariates of the stochastic model parameters. In order to explore this possibility we set the exponential distribution as the basic template function for modeling the distribution of $t_{\text{IET}}$.

Figure 8. (a) Seismicity in the study area, separated as background (green circles) and triggered (black circles) seismicity according to the ETAS model. (b) Clusters (A and B) of background seismicity identified using spatial cluster analysis.
and the possible dependencies on hydrological data (in this case \(x_{GWS}\)) are modeled writing the \(\mu\) parameter of the exponential distribution in terms of deterministic functions of \(x_{TWS}\) of the explanatory covariates. \(x_{GWS}\) is measured with respect to a reference GWS_{res} assumed to be the minimum value reached by this parameter in the analyzed period. We hypothesize that seismicity rates observed in this zone may be the result of the superposition of a constant rate due to tectonic loading and a seismicity rate perturbation caused by hydrologically driven stress changes. With this aim we test polynomial functions relating \(\log(\mu)\) and \(x_{GWS}\) as follows:

\[
\log\left(\mu\left(x_{GWS}\right)\right) = \sum_{j=0}^{n} \alpha_j \left(x_{GWS}\right)^j
\]

where \(\alpha = (\alpha_0, \alpha_1, \ldots, \alpha_n)\) is a vector of coefficients of the polynomial function relating the \(\mu\) parameter of the exponential distribution with the selected covariate \(x_{TWS}\). We study in particular two competing models: (1) the case \(n = 0\), representing a stationary model (i.e., nondependence on \(x_{GWS}\), and therefore the seismicity rates mainly associated to tectonic loading), and (2) the case \(n = 1\), representing a log-linear relationship (that is, an exponential relationship between \(\mu\) and \(x_{GWS}\)), which represents the case of seismicity rate resulting from constant tectonic loading (\(\alpha_0\) component) modulated by the hydrological processes described by \(x_{TWS}\) (\(\alpha_1\) component). The input data are pairs of \(t_{IET}\) and the respective \(x_{GWS}\) averaged in a \(\Delta t\) time window (for which we test different values ranging from days to weeks). The inference of model parameter values is performed using a Markov chain Monte Carlo method, and the selection of the preferred model is performed calculating the Bayes factor, \(B_{KL}\) (Garcia-Aristizabal, 2018). \(B_{KL}\) summarizes the evidence provided by the data in favor of one specific model (K, log-linear model in this application) as opposed to another (L, stationary model in this application): \(B_{KL} < 1\) supports the reference model (L), whereas \(B_{KL} > 1\) supports the K competing model (the higher the value, the more evidence in favor of K). Additional details on the covariate model, as well as reference values for interpreting \(B_{KL}\) (proposed by Jeffreys, 1961 and Raftery, Table S4) are summarized in Table S4 in the Text S4.2.

The seismicity in the study area is mainly generated as a result of the Adria-Eurasia plate convergence process, accommodated by different, subparallel, thrust faults (Figure 1). In order to quantitatively identify possible spatial sets of seismicity (possibly related to different seismotectonic features), we implement a cluster analysis in the spatial domain (Text S4.3) using the k-means algorithm (MacQueen, 1967); the optimum partition is selected using the Silhouette approach (Rousseeuw, 1987). We find that the background seismicity can be partitioned into two main clusters (Figure 8b): (i) cluster A (orange points), composed by 154 events located in the SW part of the domain, where earthquakes can be associated with the Montello thrust and the BVT faults (Danesi et al., 2015); (ii) cluster B (blue points), composed by 218 events located in the NE part of the domain, in which most of the seismicity can be associated with the N-dipping Cansiglio thrust fault (Galadini et al., 2005; Figure 1b). This preferential cluster partitioning roughly reflects the two main features that we observe in the spatial distribution of the seismicity (Figure 8a): a set of events mostly grouped in the NE part, and a more evenly distributed seismicity toward the SW.

The correlation analysis using the covariate approach is then performed using the data from each spatial cluster of background seismicity. Comparing plots of the moving average of both GWS_{res} and the rate of seismic events (calculated in 90-days length time windows sliding at increments of 1 day) for cluster A (Figure 9a) and cluster B (Figure 9b), we observe that only the seismicity rate in cluster A tends to change in agreement with the changes in the GWS_{res}. This qualitative observation is quantitatively confirmed by the covariate analysis: the \(B_{KL}\) indicates that only for cluster A, which is associated with the Montello thrust and the BVT faults, the nonstationary model performs better than the alternative stationary solution (\(B_{KL} = 5.24\)). This value supports a log-linear relationship between the seismicity rate (modeled through the distribution of interevent times, \(t_{IET}\)) and the GWS_{res} (Figure 9c). On the contrary, for cluster B, the evidence supports the stationary model (\(B_{KL} = 0.57\), Figure 9d), indicating a not significant (or not identifiable) link between seismicity rates in the Cansiglio thrust fault zone and GWS_{res} in the Belluno Valley. The parameter
values of the fitted models are summarized in Table 1. As it can be seen in Table 1, for cluster A $\alpha_1 = -0.29$; this negative slope in the preferred log-linear model implies that GWS$_{res}$ modulates seismicity so that the higher the GWS$_{res}$, the shorter the mean $t_{IET}$ (and therefore the higher the seismicity rate). It is worth noting that our analysis does not identify a link between GWS$_{res}$ and the seismicity rates in cluster B. We cannot discern whether this result is related to an actual missing link between these two processes or a consequence of limitations in both methods and available data. In our opinion, both possibilities are plausible. A reasonable explanation for an eventual missing link between these two processes is that cluster B is located relatively far from the modeled source of deformation; consequently, the magnitude of the stress perturbation for this area (caused by the modeled deformation source) is smaller than the stress perturbation in the zone where cluster A is located (which is closer to the deformation source).
6. Discussion

6.1. Hydromechanical Coupling

In Sections 3 and 4, we describe the link between hydrological processes and solid Earth deformation by the joint interpretation of results from hydrological and mechanical models constrained by geodetic, hydrological, meteorological observations, and geological/geophysical information on subsurface structural and tectonic settings. The high ratio between horizontal and vertical displacements (Figure 7), suggests that the most likely mechanism able to produce the horizontal, anisotropic, extensional deformation, observed during a phase of large water storage increase, is GWP in narrow, subvertical, permeable geological structures. The same mechanism is assumed to be able to explain smaller deformation associated with phases of smaller GWS increase, and, with inverted sign, to explain the observed compressional deformation during phases of GWS decrease, responding to the fast dynamics of karst systems, including extreme events (e.g., the 2018 Vaia storm).

We identify the back thrust associated with the Bassano-Valdobbiadene thrust fault as the main source of deformation. We assume that the network of damage-zone faults, which is modeled as a single fracture associated with BVBT (i.e., Model 5), are well connected, saturated and that GWP varies as the GWSe increase, generating pressure changes orthogonal to fracture walls.

A precise description of the source of deformation, which includes the identification of both the fracture bottom position and the water level rise inside it, is not straightforward because of the trade-off between fracture width and its opening (e.g., Devoti et al., 2015; Longuevergne et al., 2009; Silveri et al., 2016). For geological reasons, we assume the bottom position of the fracture at 0 m above sea level, at the interface between the Vajont limestone and the more impermeable Igne formation (see Figure 6). The water level rise providing the best match between modeled and observed displacements is 100 m (Figure 7). This large value seems realistic; for example, maximum water level variation measured in a similar karst system is ~300 m (Milanovic, 2005). The static water level inside the fracture is set at 700 m above sea level during drought periods, so that it increases by 100 m up to 10 m below topography when V2 reaches its maximum during the analyzed time-period (i.e., January, 2014).

Furthermore, we analyze the effect of the initial opening of the fracture when applying the same pressure values on its walls, finding that assuming different initial opening values does not impact significantly the resulting displacements (Figure S11). As a consequence, the volumes of water involved cannot be quantified, since the only quantity affecting the displacements is the water level variation, while the initial fracture opening does not play a key role.

The transformation of rainfall into GWS raises the question on how the aquifer connected to the BVBT fracture is recharged. It is likely that the water feeding the fracture mainly comes from the top of the MCCV mountain chain: the higher fracture density at the hinge zone of the anticline (e.g., Feng & Gu, 2017) and the well-developed epikarst in the exposed rock formations (Maiolica and Rosso Ammonitico) suggest the presence of an epikarstic system on the top of MCCV chain (Klimchouk & Sauro, 1996). The combined effect of the epikarst and the presence of a shallow, low permeable layer (the Fonzaso formation, located at ~200 m of depth from the surface) facilitates the rapid infiltration of precipitation water and its convergence flow toward the back thrust, following the northward inclination of rock layers and stratification. However, we cannot exclude that water can flow southward, toward the BVT, which might behave similarly to the back thrust as an hydrologically active structure, at lower dip (Text S3.2, Model 4). Nonetheless, the site MGRD (Figure 1a) moves toward the BVT and away from the back thrust when GWS increases, implying that the role of the 30° dipping BVT, as a hydrologically active structure, is likely to have a secondary effect with respect to its back thrust.

Furthermore, the transformation of GWS into GWP and then into deformation assumes the diffusion of pressure in the saturated part of the BVBT fracture down to the fracture root depth. If GWS were instantaneously transformed into pressure, the diffusivity of the fracture would be infinite. Instead, the cross-correlation between GWS and deformation would suggest a time shift of the order of 2 days (see Section 3).

Following (Schulte et al., 2017), the BVBT fracture diffusivity $D$ can be estimated as $D = L^2/\tau$, where $L$ is the length of the saturated part of the BVBT fracture, from water height down to fracture root (~800 m) and...
the characteristic time (i.e., the time shift between GWS and V2). Estimated diffusivity (~4 m²/s) lies in the high range of observed values, suggesting a stiff fracture, but of the same order of magnitude in similar contexts (D’Agostino et al., 2018; Hainzl et al., 2006).

Given the spatial distribution and density of available geodetic data, the 2D numerical model used in this work can be considered as an acceptable simplification. However, we are aware of its limitations. We are assuming that geological features (including, for example, outcropping formations, fracture spacing, strike of faults and fractures, topography) are constant along the SW-NE direction, for about 40 km, which is not necessarily true. A 2D model cannot take into account the fact that the MCCV mountain chain and associated thrust and back thrust faults curve north, going into the N-S trending Fadalto valley (see Figure 1). More importantly, changes in water level along the back thrust are implicitly assumed to be uniform along its strike in a 2D model, but a heterogeneous change in water level can cause more localized deformation signals, which would be however difficult to detect with the present GNSS network configuration. Moreover, effects associated with similar processes occurring at nearby karst systems cannot be taken into consideration. Hydrological deformation in the Cansiglio plateau, in fact (Devoti et al., 2015; Serpelloni et al., 2018) may affect GNSS sites VITT and GOD9 (Figure 1).

6.2. Seismotectonic Implications

Two main mechanisms have been suggested to explain hydrological modulation of seismicity: variations in pore-fluid pressure at hypocentral depths (Hainzl et al., 2006) and direct stress on the fault plane (Bettinelli et al., 2008; Craig et al., 2017; D’Agostino et al., 2018; Johnson et al., 2017). In the latter case, there is usually a little or no time delay between hydrological indicators and seismicity rate. In the former, seismicity rate variations are usually delayed with respect to hydrological observations by a time lag, which is strictly dependent on the earthquake nucleation depth and on the hydraulic diffusivity of the material between the surface and the seismic source. The lack of temporal delay between the seismicity rate and the GWS_{res} (Figure 9a) excludes an important role for poroelastic processes.

Pore pressure propagation might play a role in the case of shallow earthquakes, whose hypocenters are close to the depth reached by the water flowing as “fast flow” in highly permeable fractures. Nonetheless, it is unlikely that this kind of fractures can reach depths larger than 2 km. It follows that the pore pressure pulse would take several days to reach the depths where most of the seismicity is located (>6 km), so that we would observe a significant time delay between seismicity rates and GWS changes. According to Costain (2008; see Figure 7), using a diffusivity value of 4 m²/s, as we estimated in Section 6.1, the pore pressure pulse would take about 60 days to reach a depth of 10 km.

The most likely process linking hydrology and seismicity is then the direct effect of stress changes at seismogenic depths. In case of seasonal stress perturbations, seismicity rates can correlate either with the stress values or with stress rates, depending if the period of the stress perturbation (T_p) is smaller or larger than a critical period (T_τ), which in turn is controlled by the loading plate velocity (Ader et al., 2014). The period that dominates the temporal evolution of stress in the study area is 1 year (Figure S7), which is a value that T_τ reaches only in rapidly deforming regions (Bettinelli et al., 2008). In slowly deforming regions, such as the Southern Alps, T_τ usually assumes larger values. This observation is consistent with our findings, implying that stress changes are proportional to the magnitude of the GWS_{res} and not to its time derivative (which represents whether GWS_{res} is in an increasing or decreasing phase).

We estimate the stress change associated with the deformation caused by the water pressure increase (T1 time window in Figure 4) in the hypothesized fracture source. In practice, we calculate the Coulomb failure function (CFF, Text S3.3) on receiving planes oriented in agreement with the compressional tectonic regime of the area. Figure 10a shows CFF values obtained assuming a shallow-dipping (10°) decollement (i.e., the Montello flat) as receiving source, showing that in the depth interval where most of the seismicity associated with cluster A (see Figure 8b) is located (4–14 km), positive stress changes may be as large as 25 kPa (10 kPa in the Montello flat). Considering that the stressing rates from tectonic loading are expected to be of the order of 1–3 kPa/yr (Caporali et al., 2018), during T1 the hydrological stress increase is 30 times larger than the tectonic one. Similar values are obtained, but with different spatial patterns, assuming different
thrust-receiving sources; however, a spatial correlation between areas of stress increase and background seismicity is not evident. However, it is worth considering that the faulting mechanisms of the background seismicity are not well constrained, and the focal mechanisms available for the events in the catalog of Romano et al. (2019), or other studies (e.g., Anselmi et al., 2011), show a large range of mechanisms, including normal, thrust and strike-slip faulting on different planes. Therefore, while a clear spatial correlation between seismicity and regions of positive stress increase is not apparent, it is likely that the highly deformed upper crust, inherited by the complex tectonic evolution of the Southern Alps (Castellarin & Cantelli, 2000), provides heterogeneous response to the hydrologically modulated stress changes. Another important factor possibly playing a role in the apparent missing fit between seismicity locations and stress increase are the uncertainties in earthquake locations. Uncertainties in the location of small earthquakes are usually large; the formal errors reported with earthquake locations are mostly based on uncertainties on seismic-phase arrival time measurements that in general give unrealistic small mislocation errors with respect to what is observed when other sources of uncertainty (as e.g., modeling errors related to travel time calculations and the nonlinearity of the location problem) are considered (see e.g., García-Aristizabal et al., 2020). Moreover,

Figure 10. (a) Coulomb stress change during a phase of GWS$_{\text{avg}}$ increase (T1 in Figure 4) caused by a source of deformation as in Model 5 (see Text S3.2), considering planes parallel to the Montello decollement (dip angle = 10°), highlighted in red. (b) Coulomb stress change calculated on the same dipping planes considering as source of deformation a 1 kPa uniform load on the free surface. This value causes a subsidence of $\sim$3.8 mm, which is consistent with the amplitude of the vertical displacements caused by the large scale superficial loading in the time interval that goes from summer to winter (see Figure 2) and inhibits thrust faulting (negative CFF values in all the domain). The black dots represent the background seismicity of cluster A.
the stress change modeling is performed in a 2D domain, making less reliable a comparison between earthquake locations and positive Coulomb stress changes.

It is however important to note that the amplitude of the CFF field generated by the GWS increase in hydrologically active fracture is much larger than the one generated by the annual surface hydrological mass loading (Figure 10b), which actually is considered as the main mechanism that modulates seismicity rates in other regions (Craig et al., 2017; Johnson et al., 2017), where much greater annual vertical displacements, and consequently greater seasonal stress perturbations than those observed in the Alps, are present. We finally point out that, as shown in Figure S12, the topography and geological features of the domain do not significantly affect the CFF patterns.

7. Conclusions

Using geodetic and environmental data, integrated into hydrological and mechanical models, we show how groundwater convergence toward a specific zone can generate horizontal surface displacements that are superimposed to annual surface hydrological loading and horizontal, linear, tectonic loading. We find that the background seismicity of a spatial cluster distributed across the fold-and-thrust belt is correlated with the temporal evolution of water storage changes. Although the limited spatial and temporal extent of the earthquake catalog will require future analyses to support this conclusion. Hydraulic pressure changes in a shallow hydrologically active fracture (<1 km) can generate large shears (∼10 kPa) in faults oriented orthogonally and at distances of the order of ∼10 km (horizontally and vertically). A highly deformed upper crust may be responsible for an heterogeneous response to the hydrologically modulated stress changes. The link between hydrology, deformation and seismicity may be favored by (1) the existence of a (shallow) subvertical hydrologically active fracture connected to the surface, (2) water convergence from a watershed/river basin toward the hydrologically active structure, leading to large water storage (and therefore water pressure) changes, and (3) the existence of properly oriented (orthogonal), seismically active structures (such as a classical thrust/back thrust couple). In such contexts, horizontal deformation is best suited to highlight physical links between surface deformation and hydro-mechanical processes occurring at depth. An ongoing effort to improve the deformation monitoring by extending the GNSS network will allow developing more complex 3D models, while using seismic catalogs spanning longer time-intervals will help to better explore the significance of the relationship between hydrology and seismicity found in this work. Finally, current seismic noise monitoring activities have been proved effective in providing additional constraints on groundwater mapping (Clements & Denolle, 2018); then this technique will be soon applied in this region.

Data Availability Statement

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References From the Supporting Information


