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# Journal Pre-proof

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ournal Prevence

1 Enect of pressure on the deformation of quartz aggregates in

# 2 the presence of H<sub>2</sub>O

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 recrystallization

#### Journal Pre-proo

Quartzite samples of high purity with a grain size of  $\sim$ 200 µm have been 22 experimentally deformed by coaxial shortening in a solid medium apparatus at 900°C 23 and at confining pressures ranging from 0.6 to 2 GPa. Most samples have been 24 shortened by  $\sim$ 30% with 0.1 wt.% added H<sub>2</sub>O. The samples deformed dominantly by 25 crystal plasticity (dislocation creep), and there is a systematic decrease of flow stress 26 with increasing confining pressure. Strain rate stepping tests yield stress exponents 27 of n~1.4. The strain determined from individual grain shapes matches that 28 determined from bulk shortening. In addition to plastic strain, mode I cracks 29 developed in all samples, principally in the grain boundary regions. Recrystallized 30 material, visible through cathodoluminescence colours, forms by two mechanisms: 31 (1) progressive subgrain rotation and (2) cracking, nucleating small new grains. After 32 high-angle boundaries have been established, grain boundary migration takes place, 33 and a distinction of new grains nucleation origin (subgrain rotation or cracking) is 34 impossible. At higher pressure, there is more recrystallized material forming in the 35 deformed samples, and it is inferred that the inverse pressure dependence of flow 36 stress is caused by enhanced grain boundary migration at higher pressure, 37 consistent with previous studies. 38

#### Journal Pre-proof

Despite a long history of research since the early discovery of the effect of H<sub>2</sub>O on 40 quartz rheology by Griggs and Blacic (1965), many aspects of  $H_2O$  weakening in 41 quartz are yet unresolved. One of these aspects is the clearly observed dependence 42 of the  $H_2O$  weakening on pressure: it had been suggested by Paterson and 43 Kekulawala, (1979), Tullis et al. (1979), Blacic (1981) and Mainprice and Paterson 44 (1984) that the confining pressure has an enhancing effect on the  $H_2O$  weakening 45 effect in quartz, speculating that pressure may positively affect the diffusive uptake of 46  $H_2O$ . The seminal work by Kronenberg and Tullis (1984) clearly demonstrated and 47 48 guantified the increasing weakening of guartzite with increasing confining pressure in the presence of  $H_2O$ . However, not much later it has been shown by Kronenberg et 49 al. (1986) and Gerretsen et al. (1989) that the diffusion of  $H_2O$  into quartz occurs at 50 rates far too slow (or not at all) to play a role in deformation experiments, and that 51 the infiltration of H<sub>2</sub>O into quartz is achieved by microcracking in experiments. Thus, 52 the effect of pressure on deformation of quartz in the presence of  $H_2O$  had been 53 demonstrated but its cause was not very clear. Tullis and Yund (1989) have 54 presented a study of annealing deformed quartz crystals at different pressures in the 55 presence of H<sub>2</sub>O and have shown that recovery and recrystallization are enhanced 56 by higher pressures. 57

In more recent studies of fine grained quartz material Rutter and Brodie (2004a,b) have determined stress exponents of  $n \approx 3$  and n = 1 and have demonstrated grain size sensitive flow in quartz. These n-values are lower than previous n-values of n =4 determined by Paterson and Luan (1990) and Luan and Paterson (1992) for dislocation creep. Fukuda et al. (2018) and Richter et al. (2018) have determined nvalues of 1.7 to 1.9, respectively. These values were partly interpreted as a combination of dislocation and diffusion creep mechanisms. As the experiments of

#### Journal Pre-proof Noncriberg and Tullio (1907) have been carried out using the grained hovaculite,

and as this material has also been used in the experiments by Fukuda et al. (2018), 66 it appears likely that the pressure dependence of deformation has been determined 67 in material partially deformed by diffusion creep processes in the presence of  $H_2O$ . 68 The solubility of quartz in supercritical  $H_2O$  increases non-linearly with increasing 69 pressure (Manning, 1994, 2018), so that solution-precipitation processes may be 70 expected to be enhanced at high pressures. Diffusion creep in the presence of H<sub>2</sub>O 71 will involve dissolution and precipitation and therefore is expected to be pressure 72 dependent. The pressure dependence of quartz rheology could therefore arise from 73 74 enhanced dissolution at higher pressures. For this reason, we have revisited the pressure dependence of quartz deformation using a coarser grained quartzite (~200 75 um grain size) as starting material in order to diminish the role of diffusion creep 76 processes and to test whether the dependence of quartz strength on pressure is the 77 same as in fine grained material and to study the processes of weakening in more 78 79 detail.

#### 80 2. Methods

#### 81 2.1 Deformation experiments

Coaxial shortening deformation experiments of natural quartizte (for the 82 characterization of the starting material see the results-section) have been performed 83 in two types of solid medium apparatus (a modified Griggs apparatus at University of 84 Tromsø, Norway and a new generation solid medium apparatus at ISTO, Orléans, 85 France). NaCI was used as the confining medium in both sets of experiments. The 86 preparation procedure followed for samples deformed in Orléans corresponds to the 87 88 one described by Précigout et al. (2018). For samples deformed in Tromsø, assemblies differ in size (smaller diameter and length) and use a single 89 thermocouple instead of two. 90

apparatus in Tromsø and 8 mm for the new rig in Orléans) were cored from the
starting material (without any preferential orientation), with ends ground flat and
plane-parallel to ~0.001 mm tolerance. They were dried at 110°C for one day
(minimum), then weighed, wrapped with two layers of nickel foil (0.025 mm
thickness), inserted into platinum jacket (0.15 mm thickness) and weld-sealed after
addition of 0.1 wt.% of distilled water.

For deformation experiments at 2 GPa confining pressure, the sample assembly 98 was slightly modified. The top piston and top inner salt piece were separated into two 99 parts and a disc of compressed salt (of approximately 2.6 mm thickness and 13 mm 100 diameter) was inserted in between. In this way, the deformation column was able to 101 102 compact as P and T were increased to the final P-T conditions of the experiment 103 without applying much deviatoric stress on the sample when advancing the pistons. Before this modification of the sample assembly, several experiments failed as the 104 hit-point was reached during the pumping stage and the sample started to deform 105 earlier than expected. 106

All deformation experiments have been performed to approximately 30% coaxial 107 shortening (total strain of samples is between ~26 and 33%, except for the high-108 strain OR56 sample of ~74%) at a temperature of 900°C and constant strain rate of 109 approximatively 10<sup>-6</sup> s<sup>-1</sup> – corresponding to a constant displacement rate of 1.5 x 10<sup>-5</sup> 110 mm.s<sup>-1</sup> (Table 2). The strain rate of experiments in the rig at Orléans was slightly 111 lower (by  $\sim 30\%$ ) than in the Tromsø rig. However, for a power law solid, this 112 difference in strain rate should lead to only a subtle difference in flow stress. 113 114 Confining pressures were 600, 700, 800, 1000, 1250, 1500 and 2000 MPa (Table 2). In the new type of solid medium apparatus, the pressure is regulated by a hydraulic 115 pump and remains constant throughout the experiment, whereas in the conventional 116

118 experiment (the increase is corrected in the mechanical record).

One sample (OR56) was deformed at 2000 MPa at a faster strain rate of  $\sim 10^{-5}$  s<sup>-1</sup> (1.5 x 10<sup>-4</sup> mm.s<sup>-1</sup>) and shortened by 70%. As the hit point was reached during the pumping phase, the strength of this sample could not be determined.

Two strain rate stepping experiments have been performed in order to determine the n-exponent of the flow law. During these experiments, the pressure (2000 MPa for OR68 and 800 MPa for OR79) and temperature (900°C) conditions were kept constant but the strain rate was changed. For both experiments, the strain rate sequence was  $\sim 1 \times 10^{-5}$ ,  $10^{-7}$ ,  $10^{-6}$  and  $10^{-5}$  s<sup>-1</sup>. The n-exponent was then defined for each experiment as the slope between the log of the strain rates at the end of each strain rate step and the log of the differential stresses calculated by linear regression.

Processing of the mechanical data was carried out with a MATLAB program (Pec et al., 2016) adapted by J. Précigout following the routines of Heilbronner (https://micro.earth.unibas.ch). Finite strain of the deformed samples was calculated from mechanical record and from direct measurements of samples lengths before and after the experiments.

After the experiments, all samples were cut through the centre with a diamond saw (passing through the thermocouple positions) to obtain a longitudinal section parallel to the sigma 1 direction. Thin (30  $\mu$ m) and thick (90 and 150  $\mu$ m) sections have been prepared from the two parts.

138 2.2 Cathodoluminescence

Cathodoluminescence (CL) imaging has been carried out on samples using the
light microscope (LM) and the scanning electron microscope (SEM). LM-CL-images

stage at approximately 10-12 kV and 120-150 µA under low-pressure Argon gas at 142 6.7 to 7.3 Pa. The image acquisition time was 3 to 4 s. SEM-CL-images have been 143 recorded at BRGM (Orléans) with EDAX-Pegasus system equipped with a TESCAN 144 CL-detector. Conditions of imaging were 5 to 15 kV and 17 to 20 mm working 145 distance. Prior to analysis, samples were coated with 20 nm of carbon. Additional 146 mosaic CL-images have been recorded at the University of Tromsø using a Gatan 147 Mono-CL-system on a Zeiss Merlin Compact SEM at 15 kV and 12.5 to 13.7 mm 148 working distance using a blue filter (380-515 nm). These images have been manually 149 segmented and processed using ImageJ software. 150

#### 151 2.3 Bulk sample strain analysis from sand grain shapes

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Cathodoluminescence and plane polarized light microscopy allow to identify the 152 153 original sand grains of the Tana quartizte and to distinguish them from the cement. Light microscope (cross-polarized and cathodoluminescence) mosaic images of the 154 155 starting material and of samples deformed at different pressures have been manually segmented to isolate original sand grains. These sand grains have been analysed 156 using the open-source *ImageJ* program to obtain statistics on grain parameters 157 158 (cross section area, shape, orientation) as described by Heilbronner and Barrett (2013). 159

The sand grain size is expressed as the equivalent diameter ( $d_{eq}$ ) calculated from the area of grains (*A*) as  $d_{eq} = 2 \times \sqrt{\frac{A}{\pi}}$ . The fabric is expressed as the aspect ratio (*AR*), the mean ratio between the long (*a*) and short (*b*) axes of the particles ( $AR = \frac{a}{b}$ ) and their orientation. The dataset has been analysed by the SURFOR method described by Panozzo (1984) in *ImageJ* for a fabric and strain analysis.

Crystal orientations have been determined by electron backscatter diffraction 166 (EBSD) using a Nordlys detector and an Oxford Aztec system on a Zeiss Merlin 167 Compact FE-SEM at the University of Tromsø using a 70° tilt angle, 20 kV 168 acceleration voltage, and 9 nA probe current. An indexing guote of 75-85% has been 169 achieved on 4 nm carbon coated thin sections. Post-processing was performed using 170 the MTEX v.5.2.8 program package by Bachmann et al. (2010). Orientation maps 171 were recalculated using the 6/mmm system (hexagonal) in order to avoid displaying 172 Dauphiné twin boundaries. 173

174 2.5 Fourier Transform Infrared (FTIR) Spectroscopy

Double-polished thick sections of 150 and 90 µm have been prepared from the
starting material and Fourier transform infrared spectroscopy (FTIR) analysis has
been performed at ISTO (Orléans) with Nicolet 6700 (Continµum, Thermoscientific)
spectrometer and OMNIC (version 8) acquisition software.

The spectra were acquired on grain interiors, grain boundaries, and cement with 64 and 128 scans and a resolution of 4 cm<sup>-1</sup> for a range of wave numbers from 5500 to 1500 cm<sup>-1</sup>. A window with 40x40  $\mu$ m for interior of grains and with 20x50  $\mu$ m was used for grain boundary measurements (window shape and orientation was adapted to the boundaries). The background was recorded for the CaF<sub>2</sub> window carrying the sample and subtracted from the measured spectra. Water contents were calculated using the Beer-Lambert law and the Paterson (1982) calibration.

186 2.6 Water fugacity calculations

Assuming that during the experiments the H<sub>2</sub>O pressure approximatively equals the confining pressure, the water fugacity ( $f_{H2O}$ ) can be calculated using only two parameters: the temperature and the pressure. The water fugacity has been computed https://www.esci.umn.edu/people/researchers/withe012/fugacity.htm) based on the
Pitzer and Sterner (1994) equation of state for water.

193 **3. Results** 

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#### 194 *3.1 Starting material*

For the starting material, a very pure natural guartzite from the ELKEM guarry in 195 Austertana, Northern Norway (N70°28'39.6'', E28°32'30.1'') has been used. The 196 197 rock samples come from the top part ( $\sim$ 40 m) of the Gamasfiell formation. This sequence of late Precambrian age is approximatively 300 m thick and its top part is 198 composed of grey to white quartzite (Pevik, 2015). The uppermost part of the 199 formation is described as very pure (> 99% of silica), and used by the aluminium, 200 silicon, and ferrosilicon industry (Aasly et al., 2007). Chemical analysis (from ICP-201 EOS) published in Pevik (2015) indicate major oxide values (apart from  $SiO_2$ ) of 202  $3547 \pm 1083$  ppm Al<sub>2</sub>O<sub>3</sub>, 441 ± 365 ppm Fe<sub>2</sub>O<sub>3</sub>, and 207 ± 37 ppm TiO<sub>2</sub>. 203

The Tana guartzite underwent high grade diagenesis to very low grade 204 metamorphic conditions and is weakly deformed in a large open antiform (Pevik, 205 2015). However, no internal deformation on the micro-scale, no schistosity, and no 206 207 lineation have been identified in the sampled rock. The blocks of the starting material collected were not oriented. The guartzite is composed of guartz grains and 208 crystalline authigenic SiO<sub>2</sub> cement (99%, Fig. 1a) and very few accessory minerals 209 (< 1%) such as sheet silicates (sericite, pyrophyllite, kaolinite), iron oxides (hematite), 210 zircons, apatite, xenotime, monazite, rutile, and very rare feldspars. Due to filling of 211 the pore space by authigenic  $SiO_2$  cement, no visible porosity was detected in the 212 213 light microscope or SEM.

214 Microstructural analysis and CL-imaging show that the quartz grains forming the

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grains, with various CL-colours and -intensity, and (2) a non- or dark-luminescent cement between the grains (Fig. 1c,d). The cement is in crystallographic continuity with the sand grains on which it grows epitaxially (Fig. 1a). Grains appear undeformed as they do not show any undulatory extinction, except for rare sand grains showing inherited recrystallized microstructures. A few pressure-solution contacts due to burial of the sediment are sometimes observed.

222 The size distribution of quartz grains composing the quartzite was obtained by image analysis using both polarized light and light microscopy (LM)-CL-images. The 223 mean grain size is 204 µm for guartz grains and 186 µm for original sand grains 224 (Table 1). The quartz grain sizes are larger than those of sand grains because they 225 include the surrounding cement. The calculated mean aspect ratio (AR) is 1.56 for 226 guartz grains and 1.55 for sand grains, indicating that grains are somewhat 227 elongated. However, no preferred orientation of the long axes of the grains can be 228 detected. For this reason, samples have been cored without reference to any specific 229 orientation. 230

Electron Backscatter Diffraction (EBSD) measurements of grains from starting material Tana quartzite (one point per grain, n=500) confirm a random fabric (Fig. 1b, with J=1.33 and M=0.027), close to a random distribution (Bunge, 1982; Skemer et al., 2005). The dislocation density of the material was characterized by transmission electron microscopy (TEM) as  $6 \times 10^{12} \text{ m}^{-2}$ , which is a typical, low dislocation density of relatively undeformed natural silicates (McLaren, 2005).

The mean water content calculated is 1659 H/10<sup>6</sup> Si for grain interiors and 2120 H/10<sup>6</sup> Si for grain boundaries.

255

## 240 3.2.1 Stress strain curves

241 The samples show a systematic decrease in strength with increasing confining pressure (Fig. 2): the maximum final differential stress is nearly 600 MPa for a 242 sample deformed at 600 MPa confining pressure, whereas for the sample deformed 243 at 2000 MPa it is  $\sim$ 100 MPa. This behaviour is reproducible for the two different 244 apparatus (considering a  $\pm$  30 MPa accuracy of the solid medium apparatus; 245 Holyoke and Kronenberg, 2010) used for a confining pressure of 1000 MPa (samples 246 546LN and OR32; the strain rate is slightly lower in OR32) whereas for lower 247 pressure at 600 MPa, the sample deformed in Orléans (OR60) is slightly stronger 248 than the one in Tromsø (542LN), despite the slightly slower strain rate. 249

#### 250 3.2.2 Strain rate stepping experiments

Two strain rate stepping experiments were performed at high (OR68, 2000 MPa) and low (OR79, 800 MPa) pressures to determine the n-value, or the stress exponent, of the flow law. Both experiments were conducted at 900°C with 0.1 wt.% water added and with a strain rate sequence of  $\sim 10^{-5}$ ,  $\sim 10^{-6}$ ,  $\sim 10^{-7}$  then again  $\sim 10^{-5}$ s<sup>-1</sup>. The stress-strain-curves are presented in Fig. 3a and flow stresses for each step are reported in Table 3.

The initial step at  $\sim 10^{-5}$  s<sup>-1</sup> has been repeated at the end of the experiments for a 257 test of reproducibility. The flow stresses associated to that rate are systematically 258 higher during the final step and steady state has not really been reached for the 259 lower confining pressure experiment. For the steps at  $\sim 10^{-7}$  s<sup>-1</sup>, both samples show 260 an unstable and variable behaviour, probably due to the low sample strength, so that 261 possibly some friction effects at the pistons start to play a role. In addition, at such 262 low strain rates the initial "friction" of the run-in curve is partially recovered, so that 263 the recorded stresses may be too low (see explanation of this effect in Tarantola et 264

injected by the hydraulic pump (0.0007 mL/min) in order to obtain this strain rate, this
variation may partially reflect changes in the ambient conditions (daily variations
recorded for the force, influenced by room temperature or cooling temperature
variations).

The n-exponent is defined as the slope of the linear regression in the log-log plot of the flow stresses vs. strain rate (Fig. 3b). For the low pressure experiment (OR79, 800 MPa),  $n \sim 1.42$  is obtained, and for the high pressure one (OR68, 2000 MPa),  $n \sim 1.40$ . Given the uncertainties of the stress determination in the solid medium apparatus, these n-values are identical. If the slowest strain rate steps are omitted as somewhat less reliable (see above), the resulting n-values are 2.33 for 2000 MPa and 1.96 for 800 MPa confining pressure.

#### 277 3.3 Microstructures

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#### 278 3.3.1 Light microscopy

The local strain distribution in all samples is inhomogeneous. Typically, the centre 279 and/or bottom parts of the samples are more strongly deformed (Fig. 4). The 280 partitioning of deformation typically is detected by more elongated grain shapes in 281 282 the higher strained regions (Fig. 4b). The greater elongation of individual quartz grains usually is accompanied by an increase in the amount of recrystallized 283 material. Original quartz grains in deformed samples show evidence for plastic 284 deformation, such as undulatory extinction, deformation lamellae and, in some 285 places, development of subgrains (observed in the LM; Fig. 4c). Some of the 286 progressive subgrain rotation leads to the formation of core-mantle structures (Fig. 287 4b,c). 288

289 This strain gradient is slightly more pronounced for samples deformed in the

291 localized at the lower part of the samples) than for those deformed in the new apparatus in Orléans (where more of the deformation is located in the central part of 292 the sample). This type of strain localisation is common in samples deformed in the 293 solid medium apparatus (e.g., Heilbronner, 2002; Heilbronner and Tullis, 2002; 294 Stünitz et al., 2017) and is related to the temperature gradient in the sample. The 295 thermocouple position indicates the highest temperature of the sample (< 90°C 296 temperature difference between sample ends and the hot zone = thermocouple 297 position) and this region typically corresponds to the higher strain regions. 298

#### 299 3.3.2 Cathodoluminescence

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SEM-CL and LM-CL images show that in all deformed samples the original sand 300 grains can still be identified by the same variation of luminescence and tints as in the 301 starting material (Figs. 1 & 5). The sand grains in the more highly deformed parts of 302 the sample show a more elongated shape with the long axis at a large angle or 303 304 normal to the shortening direction. In the cemented area between the original sand grains, the darker luminescent cement is clearly observable. However, in the 305 deformed samples, a new material with a bright luminescence appears in CL-images 306 307 (Figs. 5 & 6). This bright luminescence material invariably has a blue colour, so that a blue filter was used in SEM-CL images to enhance its presence. The blue 308 luminescence is not short-lived but permanent. 309

#### 310 3.3.2.1 Morphology of the bright luminescent material

The bright luminescence material in deformed samples is often concentrated in the boundary regions of grains, so that these bright regions appear predominantly in the cement at the grain boundaries of quartz grains. In some cases the bright luminescing material cuts across original sand grains (Fig. 6a,b). In the low strain parts of the samples, the brightly luminescing zones follow a clear crack morphology

Journal Pre-proof 310 317 both, sand grains and cement. This is observed in samples deformed at low confining pressure (e.g., OR42, 800 MPa, Fig. 6a) and at higher confining pressure 318 (546LN, 1000 MPa, Fig. 6b). In samples deformed at low confining pressure, cracks 319 are subparallel to the loading direction and appear to have been dilatant, mode I 320 cracks, before new quartz material has filled the cracks. The inner parts of these 321 cracks can be filled with non-luminescent material (Fig. 6a). From the boundaries of 322 the healed mode I cracks, very thin cracks extend perpendicular to the mode I 323 cracks, i.e., in an orientation typical for unloading cracks (Fig. 6a). The appearance 324 of such cracks has first been observed in samples deformed in the Griggs-type 325 apparatus by Fitz Gerald et al. (1991), and these features have been termed "step-326 ladder cracks". In all deformed samples, many thin cracks with bright luminescence 327

in a direction perpendicular to the shortening direction extend from grain boundaries
and cracked regions and have formed as unloading cracks during decompression of
the samples (e.g., Fig. 6b).

In samples deformed at higher confining pressure, cracks tend to be thinner, more irregular, and are distributed more pervasively across the samples (Fig. 5e,f). They often (but not necessarily) follow the grain boundaries (intergranular cracks). For all the cracks in the samples, no or very little displacement has been observed along the cracks.

The brightly luminescing material sometimes forms overgrowths with crystal faces on pre-existing large grains or filling intergranular spaces (Fig. 6c,d). EBSD maps performed on these areas indicate that these faceted overgrowths are in crystallographic continuity with the parent grain. The faceted crystals can be surrounded by non-luminescent material in pore spaces (Fig. 6c,d).

Journal Pre-proof 341 new grains (Fig. 6d). Many of the small grains are brightly luminescent throughout 342 but others have a darker luminescent core with a bright rim of variable thickness (Fig. 343 6d). Comparison between bright luminescent zones and the corresponding regions 344 under crossed polarizers indicate that all of these regions consist of small grains, 345 luminescing in blue, but not all luminescent regions consist of small grains. The 346 amount of brightly luminescent material increases substantially with increasing strain 347 (see also below). In a sample deformed to 74% strain (OR56) the original grains are 348 strongly elongated and are embedded in a matrix of 30% luminescent material (Figs. 349 350 5f & 12).

351 3.3.2.2 Evolution of luminescent regions as a function of pressure and sample
 352 strain

For four samples (546LN, OR42, OR57 and OR56), SEM-CL longitudinal transects have been recorded from the top to the bottom (example for OR57 sample on Fig. 7). All transects were segmented manually in order to separate the original sand grains and cement from the bright luminescent material. The segmented images were then processed with the *ImageJ* software in order to quantify the amount of bright luminescence regions in samples.

359 Domains with higher finite strain show a higher proportion of brightly luminescent areas. This relationship can be observed at the scale of a given sample, between the 360 top – relatively undeformed part – and the bottom part – where deformation is much 361 more intense (OR62, Figs. 5e & 8a). The relationship can also be observed in 362 samples deformed to different amounts of total strain (e.g., OR56; Fig. 5f). There is a 363 relationship between the amount of brightly luminescent material and confining 364 pressure demonstrated for the three samples strained up to 30% (OR42, 546LN and 365 OR57). The amount of brightly luminescent material increases in the middle to 366

OR42 (800 MPa), 10.72% for sample 546LN (1000 MPa), 15.08% for sample OR57
(2000 MPa; Fig. 7). Thus, there is a trend of increasing amount of brightly
luminescent material with increasing confining pressure and with strain (Fig. 8b).

#### 371 3.4 Strain analysis from fabric and grain shapes

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The starting material and samples deformed at 700, 1000, 1500 and 2000 MPa 372 confining pressure have been studied for their grain shapes and fabric by image 373 analysis. The goal of the analysis was to compare the bulk sample strain determined 374 from the mechanical record and from measuring sample lengths with an analysis 375 based on strain of individual quartz grains. Such an analysis is difficult in normal 376 quartz grain aggregates because the regions of dynamic recrystallization make it 377 378 difficult to identify original grain shapes as passive markers. The outlines of original 379 sand grains in the Tana quartzite represent true strain markers for the deformed samples, because dynamic recrystallization typically affects the grain boundary 380 region first (e.g. formation of "core and mantle" structures), i.e. the cement regions. 381 However, the sand grain outlines are located inside the original quartz grains and are 382 only rarely affected by the bright luminescence regions. Furthermore, they present 383 passive markers because the cement overgrowth is in crystallographic continuity with 384 the original sand grains. In addition, the orientation of long axes of the sand grains 385 and their fabric are more or less random in the starting material (Table 1). 386

An example of the segmentation of the OR62 sample (2000 MPa) is shown in Fig. 9. Sand grains are manually separated from surrounding cement in LM-CL-images. In this way, only the original sand grains and their internal plastic deformation are considered for this strain analysis.

391 Sand grain size and parameters calculated from the analysis of mosaic LM

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393 grains varies with the grain sizes (sample size for 546LN is smaller). The equivalent 394 diameter of sand grains varies with the layers in the starting material and is not a 395 function of deformation. The aspect ratio of individual grains increases for deformed 396 samples (from 1.55 to 2.05) in comparison to the starting material. No correlation 397 emerges between confining pressures and equivalent diameters or aspect ratios 398 neither with sample bulk strain (calculated or measured).

The SURFOR program facilitates the calculation of the sample strain/fabric 399 anisotropy from the particle outlines of the starting material and deformed samples. 400 401 The results are presented in Table 5 and shown in Fig. 10. The difference between the minimum and maximum of the projection curves corresponds to the global strain 402 403 value (from 0 to 1). The angular difference between the maximal and minimal position of the projection curve should be 90° (corresponds to the angle between 404 shortening and extension direction), and the value of the minimum corresponds to 405 406 the shortening direction.

For the starting material (TQ) the minimum of the curve is 0.965 for  $\alpha$ =70°, indicating a very slight flattening of the grains. However, as the studied thin section was not oriented in a particular way and the cores for experimental samples were not made in the same orientation, this value indicates the general fabric anisotropy of the starting material but does not correspond to the orientation of this material in the apparatus. Yet, we should consider the ± 3.5 % anisotropy as a mean error for strained samples.

For deformed samples, the bulk shortening for the grain fabric calculated by the SURFOR analysis is between 22.9 and 37.2%. For the samples OR52, OR64 and OR62 the values are very close to the ones calculated from mechanical data and

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418 546LN, the shortening value is underestimated and overestimated for OR32 (difference up to > 8%). 419

#### 3.5 EBSD maps and misorientation calculations 420

421 Two regions were selected for detailed EBSD analysis: (1) a low strain region with limited recrystallization and formation of discrete luminescence in response to 422 cracking (546LN), and (2) a high strain region affected by more extensive 423 recrystallization/luminescent material (OR56). 424

425 In region (1) the cracks are visible in the SEM-CL image, cutting through original quartz sand grains as well as cement (Fig. 11a). The corresponding EBSD map of 426 this region shows small new grains (clasts) that make high angle boundaries with the 427 larger quartz grains in the traces of the cracks. In addition, some low angle 428 boundaries also separate the small clasts from the host quartz grains (Fig. 11b). The 429 430 size of the clasts (new grains) can be as small as 1-2  $\mu$ m and as large as ~10  $\mu$ m (Fig. 13). Low angle new grains (in Fig. 13 marked as "subgrains") and the high 431 angle new grains (in Fig. 13 marked as "recrystallized grains") do not show different 432 size distributions, only high angle new grains are more frequent (Fig. 13). On the 433 scale of the EBSD maps, the smaller and larger clasts all have more or less rounded 434 shape (Fig. 6c,d). This is the case in light microscope images, too (Fig. 4). The clasts 435 typically have a bright blue luminescence colour. The clasts with low angle 436 boundaries (< 10°) only show a weakly preferred misorientation axis in [0001], 437 whereas the larger angle boundaries are misoriented with axes in [0001] and [-12-10]. 438

In the high strain region (2), the original quartz grains appear bright in the SEM-CL 439 image, and the recrystallized matrix is medium grey (blue luminescence; Fig. 12). 440 Some relict parts of original quartz grains are present as porphyroclasts with bright 441

high angle boundaries). The corresponding EBSD map shows a large number of 443 recrystallized grains of 1-2 µm size with high angle boundaries in the matrix (Fig. 13). 444 The two large relict quartz grains (labelled 1744 and 3542 in Fig. 12) show a number 445 of subgrains with low angle boundaries (< 10° misorientation from the large quartz 446 porphyroclast) forming regions of subgrains between the porphyroclast and the 447 recrystallized matrix. The subgrains do not show different luminescence from their 448 host grains. The two large grains show different distributions of misorientation axes 449 for their subgrains: [0001] (in 1744) and no preferred axis (in 3542). The high angle 450 grain boundary misorientations (Fig. 12c,d) have rotation axes of [1-100] (in 3542) 451 and axes between [1-100] and [-12-10] (in 1744). The subgrain sizes are in the 452 same range as the sizes of small recrystallized grains (Fig. 13). 453

The sizes of relict grains do not differ between the two microstructures (Fig. 13 and Table 6). Relict grains are those grains that are located in a matrix of recrystallized grains. The original large porphyroclasts of the starting material typically are too large to be included completely in the EBSD maps. They are cut off at the margins of the map and therefore are not counted. The proportion of subgrains and recrystallized grains is much higher in the high strain sample OR56 than in the lower strain sample 546LN (Fig. 13).

#### 461 **4. Discussion**

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#### 462 4.1 Deformation processes

We observe two deformation processes that operate in all deformed samples: Cracking and crystal plastic deformation. Crystal plastic deformation is visually dominant in the microstructures, and the fact that the bulk sample strain can be calculated from the particle strain of original sand grains within a few percent error (Fig. 10, Tables 2 & 5) documents that most of the bulk shortening of the samples is 400

undulatory extinction, deformation lamellae, and progressive subgrain rotation (Figs.
4 & 12) observed in the quartz grains are consistent with this observation. Thus, the
dominant process of deformation in all samples is crystal plastic deformation, i.e.
dislocation creep, as it has been documented previously for quartz under these P-,
T-, strain rate conditions (e.g., Griggs, 1967; Jaoul et al., 1984; Kronenberg and
Tullis, 1984; Hirth and Tullis, 1992).

475 Despite the dominance of plasticity, cracking takes place in all samples (Figs. 5 & 6). Even though the crack morphology changes with confining pressure, there is one 476 feature that is common to all observed cracking: the cracks accommodate very little 477 displacement, so that the cracks do not contribute significantly to the finite strain. 478 479 Furthermore, the highest stresses attained in these experiments are all (except sample OR60) below the Goetze criterion ( $\Delta \sigma < P_{conf}$ ). This criterion defines the 480 upper differential stress limit of plastic or viscous deformation (brittle-plastic 481 transition; Kohlstedt et al., 1995). In the presence of a pore fluid, this criterion has to 482 be considered with some care (Hirth and Beeler, 2015; Beeler et al., 2015). The 483 effect of pore pressure at high pressure and temperature conditions and small 484 amounts of pore fluid is poorly investigated, but recent results by Okazaki et al. 485 (2021) indicate that at the porosity of our experiments (0.12 vol%, using the density 486 of  $H_2O$  from data by Larrieu and Ayers (1997)), the potentially expected reduction of 487 differential stress by pore pressure effects should be in the range of experimental 488 error (± 30 MPa; Holyoke and Kronenberg, 2010). Thus, the effective pressure 489 coefficient  $\alpha$  in  $\sigma_{eff} = \sigma_n - \alpha P$  (see Beeler et al., 2015) should be close to zero, so that 490 dominant viscous deformation can be concluded from the mechanical data for all of 491 the samples, consistent with the dominant deformation mechanism in the 492 microstructures. 493

low confining pressures (P<sub>conf</sub>), (e.g. Paterson and Wong, 2005) and their occurrence 495 in samples deformed at the lowest P<sub>conf</sub> is not surprising. We expect that such cracks 496 in the higher pressure samples have formed during early stages of the experiments, 497 by processes described previously in quartz experiments in the solid medium 498 apparatus (Fitz Gerald et al., 1991; Chernak et al., 2009; Tarantola et al., 2010; 499 Stünitz et al., 2017). These stepladder cracks (Fig. 6a,b) form as the result of a 500 sequence of initial cracking and subsequent crack healing, during which dislocations 501 are produced, followed by the glide of some of these dislocations. As a 502 consequence, there is a zone of plastically shortened material that forms 503 immediately adjacent to the crack. During unloading of the sample at the end of the 504 experiment this zone expands and develops unloading cracks (Fitz Gerald et al., 505 1991; Stünitz et al., 2003, 2017). In this way the stepladder cracks document the 506 507 initiation of crystal plastic deformation in which local brittle processes and healing of cracks play an important role. The CL observations document that cracking is a 508 ubiquitous feature during the dominant plastic deformation of quartz at high 509 temperatures of 900°C. At higher confining pressures cracks tend to have a different 510 geometry, but the interaction of cracking, crack healing, and plastic deformation is 511 not expected to change. The microstructures also document that cracking without 512 major displacement and thus without major kinematic contribution to strain 513 accommodation may be common at high temperatures when the sample strain is 514 515 dominantly accommodated by plastic mechanisms and flow stresses are low, especially at high confining pressure (Fig. 2). 516

#### 517 *4.2 Recrystallization processes*

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518 Recrystallized material in all samples is marked by blue luminescence. The blue 519 luminescence colour is caused by a re-working (recrystallization) of quartz involving

Journal Pre-proof an interaction with the aqueous fluid facilitating an exchange of trace ciements of 520 521 producing defects during crystal growth (e.g. Ramseyer et al., 1988; Götze et al., 2001). The blue luminescence is and has been attributed to Ti-incorporation into 522 quartz (Spear and Wark, 2009; Bestmann and Pennacchioni, 2015). It is unclear how 523 Ti-incorporation could have been achieved in the deformed samples in our 524 experiments, because a major Ti-source is lacking (although minute amounts of Ti-525 phases (rutile) are present in the starting material) and Ti-incorporation during 526 recrystallization has been demonstrated to be slow and not producing homogeneous 527 equilibrium compositions easily (Negrini et al., 2014). A detailed analysis of the trace 528 529 element exchange that causes the luminescence was not attempted here because it is beyond the scope of this study. However, as a potential origin of luminescence by 530 deformation-induced defects is very unlikely - these defects in quartz typically show 531 532 red luminescence colours (Hamers et al., 2016, 2017), and this type of luminescence disappears after some electron beam irradiation (Bestmann, pers. Comm.) – it is 533 inferred that luminescence is caused by an interaction of the quartz with an aqueous 534 535 fluid during the reconstitution of the material. Therefore, it is concluded that the 536 luminescence observed in our samples is the result of exchange of trace elements with a fluid during recrystallization of quartz (facilitated by boundary migration). This 537 makes luminescence a useful tool to trace recrystallized material in the deformed 538 samples. 539

540 Some of the recrystallization takes place by progressive subgrain rotation as documented by EBSD maps (Figs. 11 & 12). Subgrains with misorientation angles of 541  $< 10^{\circ}$  are dominantly rotated around the [c]-axis. Such rotations can be produced by 542 tilt walls made up of edge dislocations in the prism planes with <a> Burgers vectors 543 or by twist boundaries in the basal plane made up of screw dislocations with <a> 544 Burgers vectors (e.g., Trépied et al., 1980; Kilian and Heilbronner, 2017). Thus, this 545

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rotation axes are parallel to [-1100] or between [-1100] and [-12-10], consistent with 547 basal <a> or combined basal <a> and prism <c> slip. However, it is difficult to infer 548 slip systems accurately for larger misorientation angles, because misorientations 549 may partially be produced by grain boundary sliding processes once high angle 550 boundaries are established. The microstructures of subgrain boundaries within 551 original quartz porphyroclasts and the immediately adjacent grains with high angle 552 boundaries exhibit core-mantle structures (Fig. 12) and indicate progressive subgrain 553 rotation as the dominant recrystallization mechanism for such grains. Subgrains with 554 555 low angle boundaries and recrystallized grains with high angle boundaries do not differ systematically in size (Fig. 13). The P-, T-, strain rate conditions of deformation 556 of the samples are those of regime 2 creep according to Hirth and Tullis (1992), 557 where rotation recrystallization is dominant. In these microstructures, the 558 luminescence of the porphyroclasts and their subgrains does not change, so that 559 non-recrystallized parts of porphyroclasts with subgrains still maintain the original 560 luminescence of the porphyroclasts (Fig. 12a). This observation is consistent with the 561 process of fluid exchange discussed by Negrini et al. (2014): formation of subgrain 562 boundaries is a climb process, which does not a priori involve any grain boundary 563 mobility and thus no interaction or exchange with a fluid phase. Once high angle 564 boundaries are established, grain boundary mobility may lead to exchange with a 565 fluid, producing different luminescence colours of these recrystallized grains. 566

A completely different process can form new grains in the deformed samples, too. Local cracking produces small new grains, especially at grain boundaries and in local cracks cutting through original quartz grains (Figs. 5, 6 & 11). Some new grains show high angle misorientation relationships, whereas others show low angle relationships along the same zones of cracks (Fig. 11). The CL images indicate that in some

whereas rims and small grains nearby show the blue luminescence typical of reconstituted material (Figs. 6d, 11, 16). From these microstructures, it is inferred that the small clasts in cracks have mobile boundaries that migrate and can produce a reconstitution (recrystallization) of the quartz and exchange trace elements to produce the different luminescence. Local grain boundary migration processes have been inferred in previous studies (Stipp et al., 2002a, 2002b), where the migration process is inferred to be the first step. A second type of process is subsequently

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required to produce isolated new grains, because the local migration itself cannot 580 581 isolate small new grains. This second step can be subgrain formation and/or local cracking (Stipp et al., 2002a, 2002b). In this study, we can observe the dominance of 582 cracking in certain locations of the sample, where new grains form (Figs. 4 & 5). The 583 sequence in this study is reversed: the cracking occurs first, followed by boundary 584 migration to exchange with the fluid. It is proposed here that cracking and local 585 boundary migration operate to produce microstructures that are consistent with what 586 is termed "bulging recrystallization" in the literature (e.g., Bailey and Hirsch, 1962; 587 588 Stipp et al., 2002a, 2002b; Stipp and Kunze, 2008). Local bulging may occur in these samples, too, so that probably not all of the bulging recrystallization microstructures 589 are induced by cracking. 590

The brittle origin of new grains in quartz and other silicates during plastic deformation has been described by van Daalen et al. (1999) in natural rocks, and by Stünitz et al. (2003, 2017) and Vernooij et al. (2006) in experiments. The CLmicrostructures of these deformed samples indicate that the original clasts become modified by grain boundary migration processes after their initial formation by cracking. The misorientation of the small clasts with low angle boundaries shows a weak preference of c-axis rotation, whereas higher angle boundaries can be

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599 Grains with blue luminescence and faceted crystal shapes in open pore spaces tend to show oriented overgrowth in crystallographic continuity with original 600 porphyroclasts (Figs. 6c & 11b) and have been described by Palazzin et al. (2018) 601 for dilatant sites that act as small local reservoirs of fluids. These microstructures 602 testify to the fast precipitation in dilatant sites and to the fact that there is excess  $H_2O$ 603 in these samples (so that  $a_{H2O} = 1$ ). Thus, the samples deformed at different 604 confining pressures show two types of recrystallization that takes place during 605 deformation: (1) progressive subgrain rotation, and (2) crack-related local grain 606 607 boundary migration that appears to be a type of bulging recrystallization. Both operate at the same time in samples, although the geometry of some cracks 608 indicates an early origin of these. 609

#### 610 4.3 Dislocation creep and stress exponent

From the conclusion of crystal plasticity, the operation of climb and dislocation 611 glide are dominant deformation mechanisms, an n-value for the stress exponent of n 612 = 3 to 5 would be expected for climb controlled creep (e.g., Paterson, 2012). Our 613 614 strain rate stepping experiments have yielded n-values of  $\sim 1.4$  or  $\sim 2$ , depending on whether the slowest strain rate steps are considered or not. The variance of these 615 values and the uncertainty of the slowest strain rate steps require more detailed 616 investigation and a better data base to determine the stress exponent more 617 accurately. However, the present determination of  $n \sim 2$  suggests values of n < 3 and 618 is consistent with observations of Fukuda et al. (2018) and Richter et al. (2018) in 619 quartz aggregates. Both research groups have concluded a contribution of diffusion 620 creep (including dissolution precipitation creep) to the viscous deformation of quartz. 621 622 Richter et al. (2018) have observed weaker preferred orientation of quartz in fine grained aggregates, and the  $n \sim 2$  values are explained by a combination of 623

here are lower than n = 3 to 5, too, and therefore inconsistent with typical n-values for pure dislocation creep (Fig. 3b).

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The starting material in the samples of this study is coarse grained, so that 627 diffusion creep in the starting material is unlikely. However, some of the recrystallized 628 material (in particular the cracked material in bulging-type microstructures) is fine 629 grained, and some diffusion creep in the recrystallized material is conceivable. In 630 addition, extensive exchange with aqueous fluid in the recrystallized material is 631 observed in our samples, so that diffusive mass transfer processes are evident. 632 Thus, it is inferred that the low n-values of  $n\sim2$  in our samples may also be explained 633 by a combination of dislocation and diffusion creep processes. A potential 634 635 explanation of dislocation accommodated grain boundary sliding (disGBS), as it is inferred in olivine (e.g., Hansen et al., 2012) is unlikely, because it would require the 636 whole material to consist of fine grain sizes. 637

As diffusion creep is grain size dependent, its contribution to the deformation 638 process is expected to increase with an increase of fine grained material or decrease 639 with grain growth. In most of our samples, steady state deformation is observed (Fig. 640 2), as it is typical for the dislocation creep regime 2 as defined by Hirth and Tullis 641 (1992). This observation suggests that an increase of recrystallized material does not 642 increase the contribution of diffusion creep. The reason for this may be that grain 643 growth (= migration processes) of very small grains produced by cracking takes 644 645 these grains out of the diffusion creep field (producing an equilibrium recrystallized grain size). Continued microcracking may produce new very small grains, which in 646 turn may grow. These processes appear to be in a steady state to produce steady 647 648 state deformation. However, it is premature to speculate further on this aspect, and 649 more research is required to investigate the relationship between cracking and

#### 651 4.4 Effect of H<sub>2</sub>O and pressure

The progressive lowering of the flow stress with increasing confining pressure in 652 our samples is consistent with the initial observations by Kronenberg and Tullis 653 (1984) and Mainprice and Paterson (1984). When the stresses (taken at 15% 654 shortening, where all samples reach more or less steady state flow stress) are 655 plotted as a function of the confining pressures, a power law relationship between 656 the flow stress and the confining pressure of the form  $\sigma_{diff} = 505522 P_c^{-1.113} MPa$  is 657 found (Fig. 14), similar to that of Kronenberg and Tullis (1984). However, in this 658 study, a coarse grained quartzite instead of fine grained novaculite was used (as in 659 Kronenberg and Tullis, 1984), confirming that the process of pressure-dependent 660 weakening in quartz is not dependent on grain size of the starting material. 661

Kohlstedt et al. (1995) proposed that the pressure dependence of the rheology 662 should be expressed as a fugacity term with exponent m in the quartz flow law. The 663 log-log plot of flow stresses vs. fugacity (Fig. 15) allows a fit of the r = m/n value, 664 which is -0.46 for our samples. The plot in Fig. 15 shows other data from the 665 literature together with our data, but the curve fit is only shown for data of this study. 666 The r = m/n value is similar to that of Post et al. (1996) (-0.47), Kronenberg and Tullis 667 (1984) (-0.5, as fitted by Post et al., 1996), Chernak et al. (2009) (-0.40), and of 668 Holyoke and Kronenberg (2013) (-0.63, for their quartzite). It should be noted that 669 experiments by Kronenberg and Tullis (1984) and Holyoke and Kronenberg (2013) 670 were performed at 800°C, those of Post et al. (1996) and Chernak et al. (2009) at 671 900°C and 1.5 GPa. A linear regression to all existing data yields almost the same 672 673 slope as our data (0.458 vs. 0.460), but with a much lower correlation coefficient (0.71 vs. 0.93). This situation confirms the validity and applicability of our new data 674 set. 675

Journal Pre-proof 670 this study, a stress exponent of n~2 is inferred, which would result in an m-value of 677 0.92, or m $\sim$ 1. An m-value of m=1 has been determined by Fukuda et al. (2018) for 678 their n-value of 1.7, guite consistent with our results. If n-values closer to n=4 are 679 chosen, as determined by Paterson and Luan (1990) and Gleason and Tullis (1995) 680 and used in Hirth et al. (2001) and others, or n=2.7 (or  $n\sim3$ , Rutter and Brodie, 681 2004), as proposed by Tokle et al. (2019), the m-value would be m=1.84 or 1.24. 682 However, the original m-value of 1 proposed by Kohlstedt et al. (1995) is consistent 683 with our results and those of Fukuda et al. (2018) for their m/n and n-values. 684

Lu and Jiang (2018) have proposed to correct the quartz flow law for pressure by 685 using an activation volume term. This correction would have the opposite effect as 686 the fugacity correction, and it is only meaningful to employ such a term once the 687 activation energy is precisely determined. The presently existing data base of Q-688 689 values shows a large scatter (see compilation in, e.g., Richter et al., 2018), so that it appears to be necessary to determine Q accurately as a first step, before an 690 activation volume term needs to be considered. We therefore do not consider the 691 activation volume here. 692

693 4.5 What is the cause of sample weakening with increasing pressure? 694 In previous studies of quartz deformation with the presence of  $H_2O$  the weakening effect has been inferred as due to enhanced recrystallization or recovery (Tullis et 695 al., 1979; Tullis and Yund, 1989). Subgrain boundary formation is enhanced in 696 guartzites deformed with H<sub>2</sub>O and grain boundary migration forms dislocation-free 697 grains during dynamic recrystallization. Both processes are faster at higher confining 698 pressures. Our results are consistent with this interpretation. The amount of 699 700 recrystallized material increases with increasing confining pressure (Fig. 8), and this is the most obvious difference in the microstructures with increasing confining 701

Journal Pre-proof 762 703 involves grain boundary migration, which, in the presence of an aqueous fluid, can be described as a process of solution and precipitation with a very short transport 704 distance of dissolved species across the boundary region. The solubility of SiO<sub>2</sub> 705 increases non-linearly with increasing pressure (e.g., Manning, 1994, 2018), so that 706 enhanced boundary migration rates are likely with increasing pressure because of 707 enhanced solubility of quartz, provided that an efficient precipitation process can be 708 identified. The precipitation may be favoured at local dilatant sites which may form by 709 grain boundary sliding and in which precipitation has been observed or inferred 710 (Fusseis et al., 2009; Menegon et al., 2015; Okudaira et al., 2015; Précigout and 711 Stünitz, 2016, Précigout et al., 2017, 2019). In fine grained material, grain boundary 712 sliding is an important mechanism to accommodate shape changes of grains 713 induced by plastic deformation of grains (glide of dislocations) and to adjust for the 714 plastic strain incompatibilities as a consequence of an insufficient number of slip 715 systems in silicates (compared to metals). The low n-values (Fig. 3) in strain rate 716 stepping experiments are consistent with grain boundary sliding and diffusion creep 717 718 components of deformation. Grain boundary sliding and local dilatancy in the form of cavitation is likely to occur in these aggregates and may cause immediate 719 oversaturation of the fluid and precipitation of quartz. Overgrowth of quartz seams on 720 quartz grains is observed in larger dilatant sites (Fig. 6c). Replacement of quartz 721 material during grain boundary migration is evident from the change of CL colours in 722 the recrystallized material, indicating boundary migration by dissolution, precipitation, 723 and exchange of elements with a fluid. 724

725 It has been pointed out above that once high angle boundaries are established, the distinction of grains formed by progressive subgrain rotation and those produced 726 from initial cracking is possible (Pongrac et al., in prep.), but it is not easy, because 727

material the weakening effect takes place as a consequence of increased confining pressure. The inference that the pressure-dependent H<sub>2</sub>O-weakening is caused by grain boundary processes is supported by the observation by Holyoke and Kronenberg (2013) that the pressure dependence of the flow stress in polycrystalline aggregates is greater than in single crystals. Consequently, these authors have attributed the weakening effect to recrystallization/recovery processes (Tullis and Yund, 1989) at grain boundaries, too.

The plot in Fig. 15 suggests that the pressure effect of  $H_2O$ -weakening could be greater at low pressure than at high pressures. A potentially greater m-value may be caused by a change in n- or r-values in m = r/n. The determination of the stress exponent is not precise enough at this stage to decide whether a constant n-value would require an intrinsic effect of the  $H_2O$  fugacity or whether the potentially changing pressure dependence of flow stress could be caused by a change in the stress exponent n.

#### 743 4.6 Geological application

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The lowering of quartz flow stresses with increasing confining pressures that has 744 been documented since the study by Kronenberg and Tullis (1984) – also 745 documented in this study – suggests that in subduction channels at high pressure 746 747 the strength of quartz dominated lithologies is expected to be very low. Low stresses for deformation in subduction zones have been inferred by Stöckhert et al. (1997, 748 1999), Stöckhert and Renner (1998), Stöckhert (2002) and Wassmann and Stöckhert 749 (2013), partly based on different deformation mechanisms. This study confirms that 750 even for dislocation creep, where stresses tend to be higher than for diffusion creep, 751 flow is expected to take place at very low stresses at high confining pressures of 2 752 GPa and higher. 753

observed at low temperature conditions or the onset of crystal plastic deformation 755 (e.g., Stipp et al., 2002a, 2002b). The interaction of cracking and local grain 756 boundary migration indicates that some new grains in this recrystallization process 757 can be generated by cracking. One consequence of this process is that the host 758 control in new grain nucleation will be more difficult to determine, because small 759 rotation of new grains is likely, (e.g., van Daalen et al., 1999), but the rotation sense 760 and misorientation relationship is not necessarily clear and is not dependent on slip 761 systems. 762

Cracks are abundant in high pressure samples deformed by plastic mechanisms 763 at high temperature, in spite of the low deviatoric stress (~100 MPa) and the high 764 765 normal stress acting on any potential fracture plane (Pc ~2 GPa) – there is no or very little displacement on these cracks. The interaction of cracking, crack healing, 766 and plasticity has been shown by Fitz Gerald et al. (1991) and Stünitz et al. (2017). 767 These observations suggest that in natural rocks, microcracks may play an important 768 role in the initiation of plastic deformation and during dynamic recrystallization, even 769 for the deep levels of the crust where high temperatures enable viscous deformation 770 at low deviatoric stresses. 771

#### 772 5. Conclusions

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The deformation of quartzites in the presence of 0.1 wt.% of added H<sub>2</sub>O shows decreasing flow stresses with increasing confining pressure. An r-value of 0.46 can be fitted to the fugacity data, resulting in an m-value of  $\sim$ 1 for the fugacity coefficient at an n-value of  $\sim$ 2. In addition, the following observations have been made:

1. The dominant deformation process is crystal plasticity in original quartz grains,
so that the strain analysis of quartz grains matches the bulk sample strain with an

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observed, too, so that the dominant deformation mechanism is dislocation creep.
Some cracking occurs during plastic deformation, but as the cracks do not display
significant offset, their contribution to the bulk strain is negligible.

783 2. Dynamic recrystallization of the deforming quartz grains takes place by progressive subgrain rotation and by local grain boundary migration. The grain 784 boundary migration affects small clasts produced by cracking as well as former 785 subgrains once high angle boundaries have been established. The recrystallized 786 material acquires a different luminescence colour (blue), which can be used to track 787 the recrystallized material in the microstructures. The processes indicate that 788 nucleation of new grains may take place by cracking (in addition to other processes) 789 and appears to be an important part of a process that is termed "bulging 790 791 recrystallization".

3. The stress exponent n is approximately equal to 2, when some diffusive mass
transfer is important during dislocation creep. This contribution is likely to be fluiddependent or fluid enhanced.

4. The amount of recrystallized material increases with increasing confining
pressure. It is inferred that the increasing confining pressure has an enhancing effect
on the grain boundary migration rate and thus recrystallization rate.

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## 1084 Figures and Tables

Figure 1. (a) Cross-polarized microphotograph of starting material Tana quartzite showing equant grains without undulatory extinction. (b) Pole figure of random crystallographic orientation of c-axis from 500 grains measured by EBSD. (c & d) Plane polarized light microscope-cathodoluminescence images: equant and rounded sand grains of various tints are surrounded by darker cement that grows in crystallographic continuity (arrows).

<sup>1091</sup> Figure 2. Mechanical data for samples deformed in wet conditions (0.1 wt.%  $H_2O$ <sup>1092</sup> added) at 900°C for confining pressures between 600 and 2000 MPa. Samples ...LN

1094 deformed in Orléans (France). The samples strengths systematically decrease with 1095 increasing confining pressure.

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Figure 3. (a) Stress strain curves obtained for strain rate stepping experiments at 800 MPa (OR79) and 2000 MPa (OR68). (b) Plot of the log strain rate vs. log flow stress. Solid circles are data obtained from strain rate stepping experiments whereas open circles are data from samples deformed at  $10^{-6}$  s<sup>-1</sup> constant strain rate at the same confining pressures. The slopes of the regressed lines indicate n-values of ~1.40 at 2000 MPa and ~1.42 at 800 MPa.

Figure 4. Cross polarized light images of sample OR32 deformed at 1000 MPa confining pressure. Left: overview of sample showing higher strain regions near the centre of the sample. In the most strongly deformed part of the sample, clasts are elongated, and limited recrystallization is observed at grain boundaries (core-mantle structures). Right: details of the recrystallized region showing undulatory extinction, subgrains, and new grains. The shortening direction is vertical.

Figure 5. SEM-cathodoluminescence images of (a) starting material and of samples deformed at confining pressure of (b) 700 MPa (OR52), (c) 1000 MPa (546LN), (d) 1100 MPa (OR64), (e) 2000 MPa (OR62) and (f) 2000 MPa high-strain (74%; OR56). 1111 The shortening direction is vertical for images (b-f).

Figure 6. (a & b) SEM-CL-images of cracks in both cement and grains in sample deformed at (a) 800 (OR42) and (b) 1000 MPa (546LN) confining pressure. (c) Crystal faces at open grain boundary region in 546LN sample (1000 MPa). (d) Crystal faces in small luminescent grains in open space between grains in OR64 sample (1500 MPa). The shortening direction is vertical for all images.

1118 SEM-CL-images longitudinal transect. Right: examples of segmentation and 1119 identification of bright luminescent zones (coloured in blue).

11.,

1120 Figure 8. Percentage of bright luminescence for SEM-CL images longitudinal 1121 transects, (a) as a function of the position along the sample and (b) as a function of 1122 the confining pressure.

Figure 9. Example of the manual segmentation made for the OR62 (2000 MPa) thin section from optical cathodoluminescence images. Interiors of grains are isolated from the surrounding cement based on their luminescence colour contrasts. The shortening direction is vertical.

Figure 10. SURFOR projections curves showing that the starting material TQ2 has a slight strain of 3.5% whereas deformed samples at various confining pressures have an orientation comprise between 80 and 90° for a strain comprise between 24.6% (546LN) and 34.4% (OR52). The global shortening direction for deformed samples is theoretically 90°.

Figure 11. Cathodoluminescence (CL) image, EBSD orientation map and inverse 1132 pole figures (IPF) for sample 546LN. (a) CL image with blue (380-515 nm) optical 1133 filter to highlight recrystallized areas; (b) EBSD map of guartz orientations coloured 1134 parallel to the X direction, sample is plotted with hexagonal symmetry (6/mmm) to 1135 account for the effect of Dauphiné twins. Grain boundaries are identified by black 1136 bounding lines and subgrains are identified by white boundaries. (c) IPF's for 1137 subgrain (defined by a misorientation between 2 and 10°) boundaries and (d) grain 1138 (defined by a misorientation greater than 10°) boundaries. All IPF's are plotted in 1139 hexagonal 6/mmm symmetry with the same scale for multiples of uniform (m.u.d.) for 1140 1141 each plot. (e) Colour key for IPF || X map.

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map and inverse pole figures (IPF) for high strain sample OR56. (a) CL image with 1143 blue (380-515 nm) filter to highlight recrystallized areas. (b) EBSD map of quartz 1144 orientations coloured parallel to the X direction, sample is plotted with hexagonal 1145 symmetry (6/mmm) to account for the effects of Dauphiné twins. Grain boundaries 1146 are identified by black bounding lines and subgrains are identified by white 1147 boundaries (see detailed insert at the top right hand corner). (c) Misorientation map 1148 where blue shows low misorientation and yellow shows high misorientation relative 1149 to the mean grain orientation. Grains 1744 and 3542 are highlighted on the IPF (bold 1150 black) and misorientation (red) maps. (d-f) IPF's for subgrain (defined by a 1151 misorientation between 2 and 10°) and grain (defined by a misorientation greater 1152 than 10°) boundaries with the d) full map, (e) grain 1744 and (f) grain 3542. All IPF's 1153 are plotted in hexagonal 6/mmm symmetry with the same scale for multiples of 1154 uniform distribution (m.u.d.) for each plot. 1155

Figure 13. Log<sub>10</sub> grain size distributions for samples (a) 546LN and (b) OR56. Grain
size distributions are separated into relict, recrystallized and subgrain populations.

1158 Figure 14. A power-law relationship fitted to the flow stresses (at 15% strain) at 1159 different confining pressures.

1160 Figure 15. Relationship between water fugacity and flow stresses. The slope 1161 indicates a m/n coefficient of 0.46 for the values obtained in this study for Tana 1162 quartzite. Results from published studies are included for comparison.

1163 Figure 16. Sketch of the grain assemblage evolution during deformation.

Table 1. Grain parameters for starting material Tana quartzite calculated from segmentation of both cross-polarized (CP) and cathodoluminescence (CL) light microscopy images.  $D_{eq}$  is the equivalent diameter (diameter of a circle of the same 1168 ellipse fitting).

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1169 Table 2. Experimental conditions of deformed samples.

1170 Table 3. Flow stresses obtained and corresponding strain rates for the two strain rate

1171 stepping experiments performed.

1172 Table 4. Results from particle analysis. The errors correspond to the standard 1173 deviation.

1174 Table 5. Results from SURFOR analysis. For the finite sample strain, the first column

1175 corresponds to the calculated strain and the second column to the measured strain

- 1176 (refer to Table 2. for details).
- 1177 Table 6. Grain sizes obtained from EBSD analysis on 546LN (1000 MPa) and OR56
- 1178 (2000 MPa, high-strained) samples (corresponding maps in Figs.11 & 12).

Table 1. Grain parameters for starting material Tana quartzite calculated from segmentation of both cross-polarized (CP) and cathodoluminescence (CL) light microscopy images.  $D_{eq}$  is the equivalent diameter (diameter of a circle of the same area than the grain) and AR is the Aspect Ratio (ratio of major and minor axes of the ellipse fitting).

	d <sub>eq</sub> (µm)	AR	d <sub>eq</sub> (μm)	AR	
	CP-image analysis		LM-CL-image analysis		
Ν	142	23	2103		
Mean	lean 203.71 1.		186.18	1.55	
Median	198.20	1.47	178.60	1.46	
RMS	210.78	-	196.14	-	
SD	54.16	0.38	61.72	0.38	
Max	451.49	3.54	476.29	4.68	
Min	210.78	1.01	57.47	1.01	

Table 2. Experimental conditions of deformed samples.

Sample name	Griggs apparatus	Temperature (°C)	Water added (wt.%)	Confining pressure (MPa)	Strain rate (s⁻¹)	Shortening calculated (%)	Shortening measured (%)
542LN				600	1.29E-06	33.46	30.48
544LN	Tromsø			1500	1.37E-06	33.48	30.71
546LN				1000	1.28E-06	31.27	28.01
OR32		-		1000	9.32E-07	30.96	29.39
OR42				800	8.12E-07	29.71	30.83
OR48				1250	8.80E-07	28.42	27.71
OR52				700	8.26E-07	31.98	32.12
OR56	<u>900</u> 0.1	0.1	2000	not determined		74.43	
OR57		0.1	2000	not determined		27.76	
OR60	Orléans			600	7.33E-07	30.92	30.57
OR62				2000	9.29E-07	30.53	29.51
OR64				1500	9.40E-07	29.76	26.59
OR59				1000	Hot presse	ed (251.7h)	0.53
OR66				2000	Hot presse	ed (216.8h)	-
OR68				2000	St	rain rate steppi	ing
OR79				800	St	rain rate steppi	ing

error is estimated to be up to 0.8% for a measuring error of 0.1 mm

Sample	OR68	OR79
Pressure (MPa)	2000	800
Temperature (°C)	9	00
Diff. stress at 10 <sup>-5</sup> s <sup>-1</sup>	200.6	471.7
Diff. stress at 10 <sup>-6</sup> s <sup>-1</sup>	85.4	164.3
Diff. stress at 10 <sup>-7</sup> s <sup>-1</sup>	10.0	65.3
Diff. stress at 10 <sup>-5</sup> s <sup>-1</sup>	265.2	633.4

Table 3. Flow stresses obtained and corresponding strain rates for the two strain rate stepping experiments performed.

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Table 4. Results from particle analysis. The errors correspond to the standard deviation.

Sample	Confining pressure (MPa)	ning Number of Equ (MPa) grains analysed diam		Aspect Ratio
TQ2	Starting material	2103	186 ± 62	1.55 ± 0.38
OR52	700	2203	174 ± 62	1.95 ± 0.64
546LN	1000	1116	230 ± 69	1.76 ± 0.54
OR32	1000	1835	204 ± 69	2.05 ± 0.73
OR64	1500	2603	172 ± 54	1.81 ± 0.55
OR62	2000	3072	181 ± 66	1.85 ± 0.57

Table 5. Results from SURFOR analysis. For the finite sample strain, the first column corresponds to the calculated strain and the second column to the measured strain (refer to Table 2. for details).

Sample	Confining pressure (MPa)	Minimum of the projection curve	Angle of minimum (°)	Angle(s) of maximum (°)	Fabric anisotropy (%)	Finite sample strains (%) Calc. Meas.	
TQ2	Starting material	0.965	70	160 – 165	3.5	-	
OR52	700	0.656	90	0 – 175	34.4	31.98	32.12
546LN	1000	0.754	85	170 – 175	24.60	31.27	28.01
OR32	1000	0.628	90	0 – 180	37.2	30.96	29.39
OR64	1500	0.722	80	170	27.8	29.76	26.59
OR62	2000	0.718	85	170 – 175	28.2	30.53	29.51

		546LN			OR56	
	Relict grains	Recryst. grains	Subgrains	Relict grains	Recryst. grains	Subgrains
Arith. Mean	7.967	3.789	3.854	9.030	3.323	2.683
Geom. Mean	7.595	3.291	2.884	8.314	2.825	2.121
RMS	8.613	4.282	4.879	9.853	3.824	3.871
Median	7.930	3.450	3.230	8.091	2.968	2.252
Mode	7 – 8	3.5 – 4 4.5 – 5	4 – 4.5	7 – 9	3.2 - 3.6	1.5 – 1.7
Std. Dev.	2.603	2.003	2.993	3.955	1.894	2.790

Table 6. Grain sizes obtained from EBSD analysis on 546LN (1000 MPa) and OR56 (2000 MPa, high-strained) samples (corresponding maps in Figs.11 & 12).



Figure 1. (a) Cross-polarized microphotograph of starting material Tana quartzite showing equant grains without undulatory extinction. (b) Pole figure of random crystallographic orientation of c-axis from 500 grains measured by EBSD. (c & d) Plane polarized light microscope-cathodoluminescence images: equant and rounded sand grains of various tints are surrounded by darker cement that grows in crystallographic continuity (arrows).



Figure 2. Mechanical data for samples deformed in wet conditions (0.1 wt.% H2O added) at 900°C for confining pressures between 600 and 2000 MPa. Samples ...LN have been deformed in Tromsø (Norway) whereas samples OR... have been deformed in Orléans (France). The samples strengths systematically decrease with increasing confining pressure.

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Figure 3. (a) Stress strain curves obtained for strain rate stepping experiments at 800 MPa (OR79) and 2000 MPa (OR68). (b) Plot of the log strain rate vs. log flow stress. Solid circles are data obtained from strain rate stepping experiments whereas open circles are data from samples deformed at  $10^{-6}$  s<sup>-1</sup> constant strain rate at the same confining pressures. The slopes of the regressed lines indicate n-values of ~1.89 at 2000 MPa and ~2.06 at 800 MPa.



Figure 4. Cross polarized light images of sample OR32 deformed at 1000 MPa confining pressure. Left: overview of sample showing higher strain regions near the centre of the sample. In the most strongly deformed part of the sample, clasts are elongated, and limited recrystallization is observed at grain boundaries (core-mantle structures). Right: details of the recrystallized region showing undulatory extinction, subgrains, and new grains. The shortening direction is vertical.



Figure 5. SEM-cathodoluminescence images of (a) starting material and of samples deformed at confining pressure of (b) 700 MPa (OR52), (c) 1000 MPa (546LN), (d) 1500 MPa (OR64), (e) 2000 MPa (OR62) and (f) 2000 MPa high-strain (74%; OR56). The shortening direction is vertical for images (b-f).



Figure 6. (a & b) SEM-CL-images of cracks in both cement and grains in sample deformed at (a) 800 (OR42) and (b) 1000 MPa (546LN) confining pressure. (c) Crystal faces at open grain boundary region in 546LN sample (1000 MPa). (d) Crystal faces in small luminescent grains in open space between grains in OR64 sample (1500 MPa). The shortening direction is vertical for all images.



Figure 7. Left: mosaic of OR57 sample (2000 MPa) in polarized light with associated SEM-CL-images longitudinal transect. Right: examples of segmentation and identification of bright luminescent zones (coloured in blue).

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Figure 8. Percentage of bright luminescence for SEM-CL images longitudinal transects, (a) as a function of the position along the sample and (b) as a function of the confining pressure.

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Figure 9. Example of the manual segmentation made for the OR62 (2000 MPa) thin section from optical cathodoluminescence images. Interiors of grains are isolated from the surrounding cement based on their luminescence colour contrasts. The shortening direction is vertical.



Figure 10. SURFOR projections curves showing that the starting material TQ2 has a slight strain of 3.5% whereas deformed samples at various confining pressures have an orientation comprise between 80 and 90° for a strain comprise between 24.6% (546LN) and 34.4% (OR52). The global shortening direction for deformed samples is theoretically 90°.

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Figure 11. Cathodoluminescence (CL) image, EBSD orientation map and inverse pole figures (IPF) for sample 546LN. (a) CL image with blue (380-515 nm) optical filter to highlight recrystallized areas; (b) EBSD map of quartz orientations coloured parallel to the X direction, sample is plotted with hexagonal symmetry (6/mmm) to account for the effect of Dauphiné twins. Grain boundaries are identified by black bounding lines and subgrains are identified by white boundaries. (c) IPF's for subgrain (defined by a misorientation between 2 and 10°) boundaries and (d) grain (defined by a misorientation greater than 10°) boundaries. All IPF's are plotted in hexagonal 6/mmm symmetry with the same scale for multiples of uniform (m.u.d.) for each plot. (e) Colour key for IPF II X map.



Figure 12. Cathodoluminescence (CL) image, EBSD orientation map, misorientation map and inverse pole figures (IPF) for high strain sample OR56. (a) CL image with blue (380-515 nm) filter to highlight recrystallized areas. (b) EBSD map of quartz orientations coloured parallel to the X direction, sample is plotted with hexagonal symmetry (6/mmm) to account for the effects of Dauphiné twins. Grain boundaries are identified by black bounding lines and subgrains are identified by white boundaries (see detailed insert at the top right hand corner). (c) Misorientation map where blue shows low misorientation and yellow shows high misorientation relative to the mean grain orientation. Grains 1744 and 3542 are highlighted on the IPF (bold black) and misorientation (red) maps. (d-f) IPF's for subgrain (defined by a misorientation greater than 10°) boundaries with the d) full map, (e) grain 1744 and (f) grain 3542. All IPF's are plotted in hexagonal 6/mmm symmetry with the same scale for multiples of uniform distribution (m.u.d.) for each plot.



Figure 13. Log<sub>10</sub> grain size distributions for samples (a) 546LN and (b) OR56. Grain size distributions are separated into relict, recrystallized and subgrain populations.

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Figure 14. A power-law relationship fitted to the flow stresses (at 15% strain) at different confining pressures.

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Figure 15. Relationship between water fugacity and flow stresses. The slope indicates a m/n coefficient of 0.46 for the values obtained in this study for Tana quartzite. Results from published studies are included for comparison.

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Figure 16. Sketch of the grain assemblage evolution during deformation.
## Highlights

Inverse pressure dependence of flow stress in experimentally deformed quartzite

Bulk strain is accommodated by grain crystal plasticity

Recrystallization results from combined subgrain rotation and mode I cracking

Recrystallization processes are discriminated using cathodoluminescence of quartz

Pressure enhances grain boundary migration and reduces flow stress

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## **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: