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Miocene Ethiopian amber: a new source of fossil cryptogams

Running title: Cryptogams from Miocene Ethiopian amber

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Abstract

Amber is renowned for the exceptional preservation state of its inclusions, allowing detailed morphological analysis and providing relevant environmental,

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palaeoecological, geographical, and geological information. Amber deposits predominantly known from North America, Europe, and Asia, are considered to be rare on the continents that formed Gondwana. The recent discovery of fossiliferous amber deposits in Ethiopia therefore provides an inimitable opportunity to close gaps in the fossil record of African terrestrial biota, and to study organisms otherwise rare in the fossil record. Here we show that diverse cryptogams are preserved in highest fidelity in Miocene Ethiopian amber. We describe gametophyte fragments of four liverworts: *Thysananthus aethiopicus* sp. nov. (Porellales, Lejeuneaceae), *Lejeunea abyssinicoides* sp. nov. (Porellales, Lejeuneaceae), *Frullania shewanensis* sp. nov. (Porellales, Frullaniaceae), and *Frullania palaeoafricana* sp. nov. (Porellales, Frullaniaceae). Furthermore, we describe a pleurocarpous moss of the extant genus *Isopterygium* (Hypnales, Pylaisiadelphaceae) and a lichen representing the order Lecanorales. These new specimens represent the first amber fossils of liverworts, mosses, and lichens from the African continent and render Ethiopian amber one of the few worldwide amber deposits preserving bryophytes (mosses and liverworts) or lichens. Fossil species of *Thysananthus* were recorded in Eocene Baltic and Oligocene Bitterfeld as well as Miocene Dominican and probably also Miocene Mexican ambers. Fossils which can unequivocally be assigned to *Lejeunea* have only been found in Dominican amber, so far. Neotropical ambers contain only one taxon of *Frullania* to date, while the genus is most diverse in Baltic, Bitterfeld, and Rovno ambers, formed in temperate regions. The new fossils support a tropical to subtropical origin of Ethiopian amber. The new African liverwort fossils are included in an updated list of leafy liverworts described from worldwide Cenozoic ambers to date.

Graphical Abstract



The first African amber fossils of liverworts, mosses, and lichens are reported from Miocene Ethiopian amber. The new fossils show an exceptional life-like preservation in crystal clear amber and four new species of liverworts are described. The cryptogam association, similar to that of Dominican amber, supports a tropical environment of Miocene Ethiopia.

Key words: Africa, amber, lichen, liverwort, Miocene, moss.

1 Introduction

Ancient tree resin provides fossils in an exceptional preservation state (Grimaldi, 1996; Taylor et al., 2009; Penney, 2010; Ragazzi & Schmidt, 2011). These amber inclusions represent an important source of taxonomic, ecological or even environmental information which lead to a better understanding of the evolutionary and geographical history of some taxa, and a better knowledge of the palaeoenvironmental and geological context of a region (Penney, 2010; Wang et al., 2014; Schmidt et al., 2018; Zheng et al., 2018; Stilwell et al., 2020).

Most amber deposits are known from North America, Europe, and Asia, while the Gondwanan amber fossil record remains scarce (Schmidt et al., 2018). Recently, new amber deposits with fossil inclusions have been discovered in Ethiopia, representing the first fossiliferous amber deposit known from Africa. Schmidt et al. (2010) reported the first amber outcrop from Ethiopia with inclusions and observed diverse arthropods, fungi, bacteria, and palynomorphs. Discovery of further amber-bearing

localities in Ethiopia multiplied the number of inclusions since then, among which fossils of plants were found (Bouju & Perrichot, 2020).

Here we report diverse cryptogams from Ethiopian amber: eleven liverwort fossils representing four new species, numerous specimens of a pleurocarpous moss, and a lichen belonging to the Lecanorales. The association of the cryptogams, with sometimes several specimens and different species in a single amber piece, suggest that they were part of an epiphyte community in a tropical to subtropical forest area (Fig. 1).

The new fossils are exceptional because of their unequalled life-like preservation in crystal-clear amber and because they represent the first liverworts, mosses, and lichens from any African amber (Fig. 1). Fossil bryophytes from Africa have hitherto only been described from the rock record and represent thalloid liverworts and mosses, e.g., the potential complex thalloid liverwort *Marchantites cyathoides* (Townrow) H.M. Anderson from the Triassic Molteno Formation of South Africa, and the moss *Butholezia mooiensis* Lacey, Dijk & Gordon-Gray from the Permian Estcourt Formation of South Africa (see Tomescu et al., 2018 for review). The number of cryptogam-bearing amber deposits is generally very low; so far, only eleven other worldwide amber deposits contain bryophytes (mosses and/or liverworts) (Ignatov & Perkovsky, 2013; Katagiri, 2013; Heinrichs et al., 2018a; Katagiri & Shinden, 2020), and only three other amber deposits are known to preserve fossil lichens (Kaasalainen et al., 2017a, 2017b, 2019).

An overview of all fossil leafy liverworts described from worldwide Cenozoic amber deposits to date is provided in order to update the comprehensive list published by

Heinrichs et al. (2018a), because several new species have been described since then, and new combinations have been made (Table 1).

2 Geological setting

The cryptogams studied here are enclosed in eight amber pieces obtained from an Ethiopian amber trader on two occasions. The exact provenance of the pieces is unknown but is undoubtedly among the four localities that have been accessed by the trader (Fig. 2), down the gorges of rivers and affluent streams incising the north-western Plateau of Ethiopia in North Shewa of the Amhara and of the Oromia regions. Ethiopian amber has initially been thought to be Late Cretaceous in age (Schmidt et al., 2010; Kiefert, 2015), but later this has been questioned (Coty et al., 2016; Perrichot et al., 2016) and analyses of further material including sporomorphs from the associated sediment, amber chemistry, and organismal inclusions indicate an early Miocene age (Perrichot et al., 2018; Bouju & Perrichot, 2020). In all four localities, the amber is apparently excavated from the same siltstone, although *in situ* observations have been possible only in the locality of Woll, during a field trip by two of us (V.B. and V.P.) in June 2019 (Fig. 2D).

3 Material and methods

3.1 Preparation, microscopy

The amber is particularly translucent, with a green to red-yellowish coloration. In order to minimize light scattering during the investigation and to study both lower and upper side of the specimens, the surfaces of the amber pieces were ground and polished manually using a series of wet silicon carbide abrasive papers (grit from FEPA P 600–4000, i.e., 25.8 μm to 5 μm particle size, Struers Inc.) to minimize light scattering during the investigation (Kettunen et al., 2019).

A drop of water was applied to the upper surface of the amber and covered with a 0.06–0.08 mm thick glass coverslip (Menzel Inc., Braunschweig) to reduce light scattering from fine surface scratches and to improve optical resolution (Schmidt et al., 2012). The amber inclusions were examined under a Zeiss Stereo Discovery V8 dissection microscope and under a Zeiss AxioScope A1 compound microscope, equipped with Canon 5D digital cameras. In most instances, incident and transmitted light were used simultaneously. Oblique incident light was obtained using a goose-neck light guide of a Zeiss CL 1500 Eco cold light source. For an enhanced illustration of the three-dimensional inclusions, the light-microscopical images are digitally stacked photomicrographic composites from up to 99 individual focal planes using the software package Helicon Focus version 6.3.3 Pro (Kettunen et al., 2019).

3.2 Repositories and institutional abbreviations

Amber pieces studied herein are deposited in the collections of the Nanjing Institute of Geology and Palaeontology of the Chinese Academy of Sciences, Nanjing (NIGPAS) (pieces with collection numbers starting with PB), and the Geological Department and Museum of the University of Rennes 1, Rennes (IGR) (Table 2).

4 Systematic palaeontology

Phylum Marchantiophyta

Class Jungermanniopsida

Order Porellales

Family Lejeuneaceae

Subfamily Ptychanthoideae

Genus *Thysananthus* Lindenb. in Lehmann, 1844

Fossil species *Thysananthus aethiopicus* V.Bouju, K.Feldberg, A.Schäf.-Verw. & A.R.Schmidt, **sp. nov.**

Derivation of name: The specific epithet refers to Ethiopia, the country of origin of the amber in which the specimens are enclosed.

Holotype: PB23742 (housed in NIGPAS), single sterile liverwort gametophyte (Figs. 3A–E).

Further specimens examined: PB23743 (housed in NIGPAS), upper parts of four sterile gametophytes (Figs. 3F, G); IGR.ET2020/010, upper part of one sterile gametophyte (Figs. 3H, I); IGR.ET2020/012, upper part of one sterile gametophyte (Fig. 1C).

Specific diagnosis: Incubously foliated liverwort with a ventral merophyte at least 4 cells wide. Lateral leaves complicate bilobed, consisting of an ovate lobe and an ovate lobule folded against the lobe forming a keel (*Lejeunea*-type lobule). The lobe apex is mostly rounded and plane to slightly involute. Cells are mostly elongate throughout the lamina. Lobule with 1–2 teeth on the free margin. Underleaves are rounded-quadrate to ovate to obovate with a rounded-truncate to retuse apex.

Description: The description predominantly refers to the specimen PB23742 in which the highest number of characters is clearly visible (Figs. 3A–E; Supplementary Table 1). Upper portion of vegetative shoot, ca. 1.55 mm long, 0.35–0.6 mm wide with leaves, light yellowish brown to reddish brown (Figs. 3A, B). Stem ca. 90 µm in diameter, cortical cells elongate, moderately thick walled; ventral merophyte 4–5 cells wide; cells of the outer cortex oval in cross section, 5–10 µm long, 7.5–12.5 µm wide, cells of the medulla slightly larger, oval to rectangular, 7.5–17.5 µm long, 10–17.5 µm wide (Fig. 3E). Lateral branch present, slightly smaller but quite similar to the main

shoot (Fig. 1C). Leaves incubous, imbricate, plane to slightly concave, suberect and appressed to stem to subhorizontally spreading. Dorsal lobe ovate to oblong-ovate, margin entire, antical margin regularly arched, postical margin deeply curved along inner half, first evenly then abruptly curved toward apex along outer half, postical margin occasionally revolute, 270–430 μm long, 180–200 μm wide in the middle; apex mostly rounded, occasionally apiculate; median cells isodiametric to elongate, 10–15 μm long, 5–10 μm wide, up to 1.5 times as long as broad, regularly and moderately thickened, trigones not clearly visible, intermediate thickenings possibly lacking. Lobules ovate to rectangular, folded against the dorsal lobe forming a keel and an antical opening (*Lejeunea*-type, Fig. 3C), 140–190 μm long, 73–97 μm wide, ca. 0.3–0.5 times the length of the lobe, convex, apical free margin truncate, terminating at the end of the keel, the keel forming a slight angle with the ventral leaf margin, lobule apex with a distinct multicellular tooth, 20–40 μm long, 15–35 μm wide at base, occasionally additional smaller tooth at the free margin. Underleaves imbricate, plane to concave, symmetrical, margins slightly decurrent, rounded-quadrate to oval to obovate, widest part in the middle or the upper third (Figs. 3C, F–I), 180–240 μm long, 130–180 μm at the widest part, 1–1.5 times as long as wide; apex plane or recurved, rounded to truncate to retuse. Thin bundles of rhizoids originating at the bases of some underleaves. Sterile.

Remarks: Specimen PB23742 can easily be identified as a member of the Lejeuneaceae. It has incubously inserted, complicate bilobed leaves which are divided into a large dorsal lobe and a small ventral lobule, with the latter folded against the dorsal lobe forming a keel and enclosing the ventral leaf surface (*Lejeunea*-type). The undivided (“holostipous”) underleaves and the ventral merophyte with more than four

cells support an assignment to Lejeuneaceae subfamily Ptychanthoideae (Figs. 3C, F–D).

Six gametophyte fragments from three other pieces of amber (PB23743, Figs. 3F, G; IGR.ET2020/010, Figs. 3H, I; IGR.ET2020/012, Fig. 1C) are morphologically in good accordance with the holotype PB23742 and are most likely conspecific. Specimens PB23743, IGR.ET2020/010, and IGR.ET2020/012 are very similar, especially the latter two are equal in size and all relevant characters are visible (Supplementary Table 1). However, there are also some differences. The additional specimens in PB23743 have narrower stems, the ventral lobules are up to 0.3–0.5 times as long as the dorsal lobes, and while the form is similar, the apical tooth is not often visible and if it is, it is less coarse and mostly shorter (Fig. 3G). A second tooth on the free margins has not been seen in these specimens. The apical free margins of the lobules are not always clearly visible and occasionally seem to merge into the revolute postical lobe margin. Furthermore, the cells of the leaves are better preserved (Fig. 3G). The cells are isodiametric to mostly elongate, and the marginal cells are similar to the cells in the middle of the lobe. Underleaves are often deeply concave and a little broader than long (ca. 0.9 times as long as broad). One of the specimens in PB23743 is comparatively small, but its morphology corresponds well to the narrower parts of the other shoots, especially PB23742. The underleaves are similar to the smallest ones found in the other specimens; they are all long ovate to obovate with a rounded apex.

Subfamily Lejeuneoideae

Tribe Lejeuneeae

Genus *Lejeunea* Libert, 1820

Fossil species *Lejeunea abyssinicoidea* A.Schäf.-Verw., V.Bouju, K.Feldberg & A.R.Schmidt, **sp. nov.**

Derivation of name: The specific epithet refers to the extant African species *Lejeunea abyssinica* (Gola) Cufod. which now includes the very similar *L. confusa* E.W.Jones (Pócs et al., 2015).

Holotype: IGR.ET2020/009, sterile gametophyte fragment, associated with a moss and *Frullania shewanensis* (Fig. 4).

Specific diagnosis: Incubously foliated liverwort with a ventral merophyte at least 2 cells wide. Lateral leaves complicate bilobed, consisting of an obovate dorsal lobe and an oval ventral lobule which is folded against the lobe forming a keel (*Lejeunea*-type). The lobe apex is rounded and plane. Cells are isodiametric to slightly elongate. Underleaves are deeply bifid with lanceolate lobes.

Description: Portion of vegetative shoot, ca. 1.3 mm long, with leaves 96–160 µm wide, light yellowish brown (Figs. 4A, B). Stem ca. 30 µm in diameter, cortical cells elongate, moderately thick-walled; ventral merophyte 2 (~3) cells wide. Leaves incubous, distant to approximate, plane to slightly convex, erect spreading. Dorsal lobe obovate, margin entire, antical margin arched, free postical margin more or less straight, 180–320 µm long, 150–200 µm wide in the middle of the leaf; apex rounded; median cells of dorsal lobe isodiametric to slightly elongate, 12–25 µm long, 15–17 µm wide, up to twice as long as broad, regularly and moderately thickened, trigones medium sized. Ocelli not seen. Lobules oval to ovate, folded against the lobe forming a keel and an antical opening (*Lejeunea*-type; Fig. 4C), 0.3–0.4 times the length of the lobe, plane to inflated, free margin involute, ca. 120 µm long, 112 µm wide at the

base. Underleaf deeply bifid (Fig. 4D), ca. 60 μm long, 47 μm wide at the broadest part, ca. up to 1.3 times as long as wide, lobes lanceolate. Sterile.

Remarks: The narrow ca. 2 cells wide ventral merophyte and the deeply bifid underleaves are in good accordance with subfamily Lejeuneoideae tribe Lejeuneeae (Gradstein, 2013). With ~40 extant genera, the Lejeuneeae are the largest tribe in Lejeuneaceae (Gradstein, 2013). The gametophyte fragment might be sterile but the small size, the ca. 2 cells wide ventral merophyte, the spreading, and entire dorsal lobes with a rounded leaf apex, the apparent lack of ocelli, and the small bifid underleaves allow an assignment to the large pantropical and warm-temperate genus *Lejeunea*.

Family Frullaniaceae

Genus *Frullania* Raddi, 1818

Fossil species *Frullania shewanensis* K.Feldberg, V.Bouju, Schäf.-Verw. & A.R.Schmidt, **sp. nov.**

Derivation of name: The specific epithet refers to the North Shewa zone, where all reported Ethiopian amber deposits are located.

Holotype: IGR.ET2020/013b, sterile gametophyte fragment (Fig. 5).

Further specimens examined: IGR.ET2020/009, several gametophyte fragments, associated with the moss *Isopterygium* and the liverwort *Lejeunea abyssinicoides* (Fig. 6).

Specific diagnosis: Incubously foliated liverwort with a ventral merophyte 4–5 cells wide. Lateral leaves complicate lobed, consisting of a suborbicular to oval to ovate dorsal lobe, and a saccate, helmet-shaped ventral lobule with a postical opening (*Frullania*-type) which is inserted remotely from the stem. A lanceolate to triangular

stylus is often present. The dorsal lobe is subacute to acuminate. Cells are isodiametric to slightly elongate. Underleaves are oval and bifid with triangular, symmetric lobes.

Description: The description is predominantly based on the holotype specimen in IGR.ET2020/013b which shows the highest number of characters (Fig. 5, Supplementary Table 2). Gametophyte fragment, ca. 4.75 mm long, 0.42–0.74 mm wide with leaves, yellowish brown to brown (Figs. 5A, B). Short lateral branch (insertion not clearly visible) at the upper end, ca. 1.2 mm long, 0.18–0.48 mm wide with leaves; hemiphyll (basal branch leaf) not visible. Stem of main shoot dark brown, 42–48 μm in diameter, cortical cells elongate, 15–51 μm long, 6–9 μm wide, moderately thick walled; stem of branch 20–25 μm in diameter; ventral merophyte of main shoot 4–5 cells wide. Lateral leaves incubous, imbricate to contiguous, plane to slightly convex, subhorizontally spreading, bent to ventral side on branch. Dorsal lobe suborbicular to oval to broadly ovate, margin entire, apical part often slightly revolute, 318–444 μm long, 306–342 μm wide in the middle; apex subacute to mucronate to acuminate; marginal cells of dorsal lobes isodiametric, quadrate to hexagonal, 10–15 μm in diameter; median cells of dorsal lobes isodiametric to more elongate towards leaf base, quadrate to hexagonal to rectangular to oval at the leaf base, 12.5–25 μm long, 10–15 μm wide, up to twice as long as wide; cell walls regularly thickened, ca. 2.5 μm thick, trigones small, triangular (Fig. 5F). Ocelli not seen. Ventral lobules small, saccate, helmet-shaped, inflated (*Frullania*-type; Figs. 5C–E); distant from stem 0.5–0.8 times of their width, obliquely inserted (forming an angle of 20–30° to stem), 120–150 μm long, 54–108 μm wide, up to twice as long as wide, broadest part in the middle or upper third, opening slightly constricted and emarginate, cells isodiametric to elongate, quadrate to hexagonal to rectangular, 10–20 μm long, 7.5–15

μm wide, up to 2.5 times as long as wide; stylus distinct, lanceolate, ca. 50 μm long, base 1–2 cells wide, apex unicellular, 2–3 cells long (Fig. 5E). Branch leaves with narrower oval to ovate lobes with distinctly acuminate apices. Underleaves distant, plane to slightly convex in the lower half, margins not decurrent, oval, widest part in the middle, 132–222 μm long, 96–156 μm wide, ca. 1–1.2 times as long as wide, bifid to ca. 1/3 of their length, lobes symmetrical, triangular, acute (Fig. 5C); cells of underleaves isodiametric to elongate, 10–18 μm long, 9–16 μm wide, up to twice longer than wide, cells walls 2–5 μm thick; underleaves of branches smaller and ca. twice as long as broad. Rhizoids not seen. Sterile.

Remarks: The fossil can be easily identified as a member of the extant genus *Frullania* which is characterized by complicate lobed leaves with a large dorsal lobe and a mostly saccate and inflated ventral lobule (*Frullania*-type, Figs. 5C–E). A stylus is often positioned between the lobule and the stem.

Specimen IGR.ET2020/009 (Fig. 6) is morphologically very similar to the holotype, with the sole difference, that the lobules are mostly explanate and that leaves with a plane lobule have broad triangular stylus 3–4 cells wide at base (Fig. 6D). The occurrence of explanate lobules is very common in many extant *Frullania* species, not only on fertile but also on vegetative shoots (e.g., Schuster, 1992; Vanderpoorten & Goffinet, 2009). Examples from the African flora can be seen in Vanden Berghen's monography of African *Frullania*, e.g., *F. longistipula* Steph. (Vanden Berghen, 1976: fig. 18), *F. purpurea* Steph. (Vanden Berghen, 1976: fig. 19) or *F. teneriffae* (F. Weber) Nees (Vanden Berghen, 1976: fig. 28). More examples can be seen in figs. 30, 35, 43, 44, and 49 of the same publication.

Fossil species *Frullania palaeoaficana*, K.Feldberg, V.Bouju, A.Schäf.-Verw. & A.R.Schmidt, **sp. nov.**

Derivation of name: The specific epithet refers to the origin of the amber in prehistoric Africa.

Holotype: IGR.ET2020/015, single liverwort gametophyte fragment (Fig. 7).

Specific diagnosis: Incubously foliated liverwort with a ventral merophyte ~6 cells wide. Lateral leaves complicate lobed, consisting of an ovate dorsal lobe, and a saccate, cylindrical ventral lobule with a postical opening (*Frullania*-type). Lobe apex rounded. Lobule inserted very close to the stem, with a short beak on the outer margin. Underleaves suborbicular to broadly oval, bifid, lobes short triangular, asymmetric, with rounded or subacute apices.

Description: Upper portion of gametophyte, ca. 1.34 mm long, 0.55–0.97 mm wide with leaves, light brownish grey, unbranched (Figs. 7A, B). Stem of main shoot greyish, ca. 50 µm in diameter, cortical cells elongate, 15–25 µm long, 10–12 µm wide, moderately thick walled (Fig. 7E); ventral merophyte 6–7 cells wide. Lateral leaves incubous, closely imbricate, plane to slightly concave, subhorizontally spreading. Dorsal lobe ovate, margin entire, apical part often slightly involute, 318–444 µm long, 306–342 µm wide in the middle; apex rounded; upper marginal cells of leaves isodiametric to slightly elongate, 10–17 µm long, 8–12 µm wide, up to 2.5 times as long as broad; median cells of dorsal lobes isodiametric to elongate, 12–25 µm long, 13–24 µm wide, up to twice as long as broad, cell walls 2.5 µm thick (Fig. 7D). A row of enlarged cells near the base of one leaf indicates the presence of ocelli but could also represent damaged cells (Fig. 7D). Ventral lobules large, helmet-shaped with a short beak, not inflated, ca. 1/4 the size of the lobe (Figs. 7B, C), inserted very

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close to stem, 228–240 μm long, ca. 138 μm wide in the middle [many obscured], broadest part in the middle, opening very slightly constricted, weakly or not emarginate; lobule cells isodiametric to slightly elongate; insertion of lobule slightly oblique, with opening oriented toward stem; stylus not seen. Underleaves imbricate, concave in the lower half, margins decurrent, orbicular to suborbicular, widest part in the middle (Fig. 7C), 222–230 μm long, 270–330 μm wide in the middle, ca. 0.7–0.8 times as long as wide, bifid up to 1/4 of the leaf length, lobes asymmetric, broadly triangular, tips rounded to subacute; cells isodiametric to slightly elongate, 12–18 μm long, 9–16 μm wide, up to twice as long as wide, walls ca. 3 μm thick. Rhizoids not seen. Sterile.

Remarks: The complicate-bilobed leaves with saccate ventral lobules with postical openings (*Frullania*-type; Figs. 7B, C) as well as the presence of bifid underleaves (Fig. 7C) clearly identify the new fossil as a member of the extant genus *Frullania*.

Phylum Bryophyta

Class Bryopsida

Order Hypnales

Family Pylaisiadelphaceae

Genus *Isopterygium* Mitten, 1869

Specimens examined: IGR.ET2020/009 (Figs. 1A, B); IGR.ET2020/011 (Fig. 8)

Description: Plants pleurocarpous, shoots 1.2–7.5 mm long, irregularly and relatively sparsely branched, branching angle 45–90° (Fig. 8A). Foliation complanate or subcomplanate, in some stem portions leaves slightly homomalous, lateral, dorsal and ventral leaves similar in size, 200–290 μm long and 60–120 μm wide, dorsal and ventral leaves symmetric, lateral leaves often slightly asymmetric (Figs. 8B, C). Leaf

margin narrowly recurved in lower leaf, often to mid-leaf and sometimes further up, above denticulate. Laminal cells smooth, long and narrow, ca. 35–75 x 5–6 μm , alar cells quadrate or rectangular in a few rows along basal leaf margin (Figs. 8B, C).

Remarks: Although *Isopterygium* is characterized by filamentous pseudoparaphyllia, we were unable to observe them in the present specimen. They are often hidden among the leaves and the latter cannot be removed in amber fossils. However, the predominantly complanate or subcomplanate habit, recurved leaf margins that are denticulate above, long and narrow laminal cells, and the relatively few and somewhat widened alar cells show that this is a member of *Isopterygium*.

Phylum Ascomycota

Class Lecanoromycetes

Order Lecanorales

Specimens examined: PB23742, lichen fragment (Fig. 9).

Description: Thallus fragment 0.82 mm long, 0.65 mm wide and 0.10–0.17 mm thick, foliose (Fig. 9A). Stratification of the thallus showing an upper cortex with a photobiont layer, an internal medulla layer, and a looser lower layer but no lower cortex visible (Fig. 9B). Upper cortex 18–37 μm thick, dark-brown (Fig. 9C), with compact cortical hyphal network, and a layer of 3–4 μm diameter cells directly beneath the cortex. Medulla layer reddish-brown and composed of a dense fungal hyphal network, less agglutinated than the cortex hyphae. Lower layer of the thallus composed of 0.77–3.08 μm wide loose and messy hyphae with similar reddish brown color as the medullar hyphae (Fig. 9D).

Remarks: The absence of lower cortex and the presence of a loose hyphal network on the lower side is reminiscent to hypothallus structures present in some extant lichen

taxa, such as species of *Pannaria* (Pannariaceae) and *Phyllopsora* (Ramalinaceae) (Passo et al., 2004; Elvebakk & Elix, 2006; Kistenich et al., 2019). The single lichen fragment does not, however, provide enough information to be identified more precisely.

5 Discussion

5.1 Preservation and diversity

In contrast to the Ethiopian amber described by Schmidt et al. (2010), which was devoid of cryptogam inclusions, the samples obtained more recently contain diverse bryophytes and a lichen. The 14 fossils reported here were found in a relatively small number of eight amber pieces of a maximum size of 3 cm. The translucence of the Ethiopian amber renders it an interesting material to study as the morphological details of plants, arthropods, and fungi can easily be observed in the crystal-clear matrix. Furthermore, the preservation of its inclusions is life-like, three-dimensional, and with cellular fidelity, see for instance the teeth on the lobules of *Thysananthus* (Fig. 3C). This fidelity equals, if not exceeds, that known from other Miocene *Hymenaea* ambers such as Dominican and Mexican amber.

The majority of liverwort fossils described from ambers belong to the predominantly leafy Jungermanniidae, while thalloid forms are exceptionally rare. Within Jungermanniidae there seems to be some preservation bias. The mainly epiphytic Porellales, and especially Lejeuneaceae and Frullaniaceae, are very diverse, while Jungermanniales are represented by significantly less species (Feldberg et al., 2014, 2018, 2021a, 2021b; Heinrichs et al., 2018a, 2018b; Katagiri, 2018; Mamontov et al., 2018, 2019; Li et al., 2020). The Porellales show several distinct characters, for example the incubously inserted, complicate lobed lateral leaves with a large dorsal

lobe and a smaller ventral lobule, which is generally folded onto the ventral side of the dorsal lobe. A ventral row of underleaves or amphigastria is often present, the often-associated rhizoids are bundled, and branches are lateral.

5.2 Classification of fossil liverworts

Fossil material does not always display all relevant characters; therefore, a classification can be challenging. While generic assignments are often possible with high confidence, a comparison with extant species and assignments to extant subgenera or sections are much more difficult (Bechteler et al., 2017a; Heinrichs et al., 2018a). Furthermore, many characters in extant lineages are homoplastic and occur in different groups which are not necessarily closely related, and cryptic speciation is very common (Heinrichs et al., 2010, 2018a; Dong et al., 2012; Yu et al., 2013; Sukkharak & Gradstein, 2014, 2017; Renner, 2015, 2017, 2020; Wang et al., 2016; Bechteler et al., 2017a, 2017b; Carter et al., 2017). Furthermore, a comparison of the new fossil species with previously described material from other amber deposits is very important. Long-distance dispersal can play an important role in shaping the distribution of extant liverworts and therefore, extant species often inhabit extensive intercontinental areas (Vanderpoorten et al., 2010; Carter et al., 2017). A possible occurrence of one fossil *Frullania* in two widely separated amber deposits, as has already been documented (Konstantinova et al., 2012).

5.3 Lejeuneaceae

Extant Lejeuneaceae are very abundant in the tropics and represent the largest family of leafy liverworts with ca. 1000 species (Gradstein, 2013; Söderström et al., 2016; Sukkharak & Gradstein, 2017). They make up a large part of the epiphytic liverwort diversity in humid tropical forests and contain many epiphylls (Pócs, 1996; Wilson et

al., 2007). Lejeuneaceae are characterized by incubously inserted, complicate bilobed leaves which are divided into a large dorsal lobe and a small ventral lobule, with the latter folded against the dorsal lobe forming a keel and enclosing the ventral leaf surface (*Lejeunea*-type), as well as underleaves which can be entire (“holostipous”) or bifid, or occasionally also lacking. Despite the progress to unravel the often very complicated taxonomy, the classification of Lejeuneaceae genera and species is often difficult and depends on characters like the presence of ocelli, dentation of the lobule, and stem characters (Gradstein, 2013). Especially important are characters of the female involucre and the perianth.

Until now, 30 fossil Lejeuneaceae species from 17 genera have been described from six amber deposits (Heinrichs et al., 2018a, 2018b) (Table 1). In accordance with the extant center of distribution in tropical regions, the majority has been found in tropical Dominican and Mexican ambers. One species has been found in Eocene Indian amber (Heinrichs et al., 2016a), three species have been described from Palaeogene Baltic and Bitterfeld ambers (Grolle, 1984a, 1985a) which were not produced under tropical climates (Kaasalainen et al., 2017b; Sadowski et al., 2017; Rikkinen & Schmidt, 2018), and one from Ukrainian Rovno amber (Mamontov et al., 2013) (Table 1). The discovery of two species of the genera *Thysananthus* and *Lejeunea* in Ethiopian amber increases the number of amber deposits containing Lejeuneaceae to seven (refer to Table 1).

Thysananthus aethiopicus belongs to the subfamily Ptychanthoideae, which is characterized by wide ventral merophytes and undivided (“holostipous”) underleaves. Several important diagnostic characters are lacking in the fossils, e.g., fertile structures, or are not well preserved, e.g., the lobe cells. While the median cells of the

leaf lobes are clearly elongated, the trigones are not clearly visible, and the presence of intermediate thickenings remains questionable. However, the presence of elongated leaf lobe cells in combination with the apparent lack of a stem hyalodermis (Fig. 3E), the wide ventral merophyte, and the suberect-convolute, appressed leaves on some shoots (Figs. 3A, B) clearly separate the fossil from most other genera of Ptychanthoideae.

The most similar genera are *Thysananthus* and *Spruceanthus*. Both genera have a pantropical distribution with a center of diversity in Asia and few species in Africa and the Neotropics. Both genera are characterized by robust stems with wide ventral merophytes, the lack of a stem hyalodermis consisting of strongly enlarged epidermal cells, leaves which are subhorizontally spreading when moist, leaf lobes with sometimes upcurved ventral margins, and often inflated, toothed lobules with oblique or truncate apices (Sukkharak & Gradstein, 2017; Wang et al., 2016). Vegetative material can be best distinguished by the form of the lobe cells. The median lobe cells of *Thysananthus* are elongate hexagonal with thin walls and large cordate trigones, while the median lobe cells of *Spruceanthus* are rarely elongated and generally isodiametric with small to medium, simple triangular or triradiate trigones. Elongated cells in *Spruceanthus* are often restricted to basal lobe portions and if the cells are elongated in the middle part, the length: width ratio is not as high as in *Thysananthus* and the cells often have broad, truncate ends. Furthermore, *Spruceanthus* has 1–3 lobule teeth which are generally small, while *Thysananthus* has 0–4 lobule teeth which can be small and few-celled, long and linear, or large and triangular. Large teeth can consist of up to 12 cells (Sukkharak, 2015; Sukkharak & Gradstein, 2014). Because of the large lobule teeth (Figs. 3B, C), the length: width ratio of the mostly

elongated lobe cells which are ca. 1.5 times as long as wide, and the appressed lateral leaves of some fossils, we assign the fossil to *Thysananthus*.

The genus *Thysananthus* Lindenb. (now including *Mastigolejeunea*; Sukkharak & Gradstein, 2017) is represented by three fossil species and one specimen only identified at genus level (Table 1). With 30 extant species, *Thysananthus* is the largest extant genus of Lejeuneaceae subfamily Ptychanthoideae. *Thysananthus aethiopicus* sp. nov. is the fourth fossil species assigned to this genus (Heinrichs et al., 2018a; Feldberg et al., 2021a) (Table 1). The fossil shows similarities to other *Thysananthus* fossils from Miocene Dominican and Eocene Baltic amber in the general habit but can easily be distinguished (Gradstein, 1993; Grolle & Meister 2004 as *Mastigolejeunea*; Sukkharak & Gradstein, 2017; Yu et al., 2020). The extinct *T. bidentulus* (Gradst.) Sukkharak & Gradst. and *T. weiweianus* Yu et al. as well as the extant *T. auriculatus* (Wilson & Hook) Sukkharak & Gradst. have been described from approximately 15–20 million-year-old Miocene Dominican amber (Gradstein, 1993; as *Mastigolejeunea*). *Thysananthus bidentulus* is larger than *T. aethiopicus*, has more broadly rounded lobe apices, more narrow and elongate lobules which are gradually merging into the free ventral margin of the lobe, and underleaves which are more obdeltoid. The leaf cells become smaller towards the margin, and the two lobule teeth are only one-celled (Supplementary Table 3). *Thysananthus auriculatus* is an extant species distributed in Africa as well as America and is superficially similar but has one-toothed lobules (Wigginton, 2004; Sukkharak & Gradstein, 2014). This species is also significantly larger than the new fossil and the apical free margin of the lobules is oblique, continuing into the ventral margin of the leaf lobe (Supplementary Table 3). *Thysananthus contortus* (Göpp. & Berendt) Sukkharak & Gradst. from Baltic and

Bitterfeld amber is also much more robust, and the lobules are much larger in relation to the lobes, obovate to spatulate, and bear up to 4 teeth on the free margin.

Thysananthus weiweianus is also significantly larger and can be differentiated by the presence of 0-1 small blunt tooth. Furthermore, a fossil of *Thysananthus* sp. has been described from ca. 15–23 million-year-old Mexican amber (Scheben et al., 2014; Heinrichs et al., 2015a; as *Mastigolejeunea*). The fossil described by Scheben et al. (2014) has only been assigned at genus level due to the unclear structure of the lobule and the lack of reproductive structures, and as many characters are not visible it is difficult to compare. The specimen is larger, the apices of the lateral leaves are more broadly rounded, and the form of the underleaves differs. Underleaves in *T. aethiopicus* are often retuse, but in *T. sp.* the retuse portion is also distinctly revolute. The cells of the lateral leaves are mostly collapsed and only rarely clearly elongate, while the cells of the underleaves are mostly intact and elongate (Supplementary Table 3).

Relatively young ambers can also contain extant species, for example *Thysananthus auriculatus* in Dominican amber (Gradstein, 1993). A thorough comparison with the extant diversity is therefore important. However, a comparison of *T. aethiopicus* with the extant species is difficult because it is not discernible if the lobes of *T. aethiopicus* are auriculate. Furthermore, the insertion of the lobules is not visible, and the material is sterile. Superficially, *T. aethiopicus* resembles *T. turgidus* (Steph.) Sukkharak & Gradst. from West Africa. When dry, this species has suberect and convolute leaves, which resemble specimen PB23743 (Fig. 3F). But it differs from the fossil in having no or only one blunt tooth on the outer free margin of the lobule which also differs in shape (Sukkharak & Gradstein, 2014). The large and conspicuous lobule teeth also

distinguish *T. aethiopicus* from other extant African species, e.g., *T. humilis* (Gottsche) Sukkharak & Gradst., *T. nigrus* (Steph.) Sukkharak & Gradst., and *T. spathulistipus* (Reinw., Blume & Nees) Lindenb., which all have more or less inconspicuous lobule teeth.

Extant *Thysananthus* is subdivided into the subgenera *Thysananthus* and *Mastigolejeunea* (Spruce) Sukkharak & Gradst. which show a considerable morphological overlap and are difficult to distinguish, especially when only sterile material is at hand (Sukkharak & Gradstein, 2017). Therefore, an assignment of the fossil material to one of the subgenera is not possible.

Until now, the genus *Lejeunea* was represented by four species from Miocene Dominican amber (Lee et al., 2017) and *L. abyssinicoidea* sp. nov. is the first fossil described from outside of the Neotropics (Table 1). The most conspicuous difference between the new species and those previously described from Dominican amber is the larger size of the latter. However, it is possible that the small size of *L. abyssinicoidea* is due to its fragmentary nature, and it might represent a detached branch. Apart from the size, the new species can be differentiated by some additional characters. *Lejeunea miocenica* Heinrichs et al. has apiculate dorsal leaf lobes and larger underleaves with broader lobes. *Lejeunea hamatiloba* G.E.Lee et al. has falcate dorsal lobes with triangular apices. *Lejeunea resinata* G.E.Lee et al. has dorsal lobes with an arched antical and a nearly straight postical margin similar to *L. abyssinicoidea* but differs in having larger underleaves with additional lateral teeth, more thin-walled cells, and toothed lobules which are smaller in relation to the lobes and have a curved antical margin. *Lejeunea urbanioides* G.E.Lee et al., is the most similar species, but also

differs in the shape of the underleaves which have broader lobes and also the lobules which have distinct apical teeth and a curved antical margin.

Many extant species have similarly small and bifid underleaves with narrow lobes, e.g., the pantropical *L. adpressa* Nees (incl. *L. anisophylla* Mont.), the pantropical *L. papilionacea* Prantl, the Asian *L. cocoes* Mitt., and *L. abyssinica* from Africa. The most similar species is *L. abyssinica* which is small and has very similar underleaves and lobules. The species is widely distributed in tropical Africa, where it grows epiphytically on roots and trunks as well as occasionally epiphyllously. But while the postical margin of the lateral leaves is rather straight in the fossil species, the postical margin of *L. abyssinica* is strongly arched (Jones, 1972; Wigginton, 2004; as the synonymous species *L. confusa*). Therefore, the fossil material most probably represents a new and now extinct species.

5.4 Frullaniaceae

The Frullaniaceae are another lineage of mainly epiphytic leafy liverworts within the Porellales and one of the most species-rich taxa of leafy liverworts. *Frullania* is the only extant genus and morphologically well circumscribed. However, it has a very complex subgeneric taxonomy (e.g., Hentschel et al., 2009a, 2015; Bombosch et al., 2010; Heinrichs et al., 2010; Ramaiya et al., 2010; von Konrat et al., 2012, 2013; Carter et al., 2017) and includes several very difficult species complexes, which show wide distribution areas, but contain semicryptic to cryptic species which are often endemic to smaller areas of this range (e.g., Bombosch et al., 2010; Heinrichs et al., 2010). Due to the difficult species delimitations, ca. 2000 species names have been published since its inscription (von Konrat et al., 2010) of which 576 are currently accepted (Söderström et al., 2016; Mamontov et al., 2017). The genus has its center of

diversity in humid tropical regions but is also distributed in temperate as well as arctic and alpine areas. The plants are usually characterized by a creeping habitus with lateral branches and leaves which are divided into a dorsal lobe, a ventral laminar stylus, and a ventral lobule which is often saccate with a postical opening and encloses the dorsal leaf surface (*Frullania*-type). Bundles of rhizoids originate at the base or in the middle of the often bilobed underleaves. Androecia are formed on short branches and the gynoecia terminal on the main axis or on short branches as well. The perianth is beaked and typically provided with sharp keels or ridges often with surface ornamentation (Schuster, 1992; Hentschel et al., 2009a).

Frullaniaceae are the most diverse group of liverworts found as amber inclusions (Feldberg et al., 2018, 2021a, 2021b; Heinrichs et al., 2018a; Mamontov et al., 2019; Li et al., 2020). The extant genus *Frullania* Raddi is represented by 16 fossil species dating from the mid-Cretaceous to the Miocene. Extinct representatives of the family are *Protofrullania cornigera* Heinrichs et al., from Cretaceous Burmese amber, and probably *Kaolakia* from Cretaceous Alaskan amber as well as *Pseudofrullania hamatoseacea* (Grolle) Heinrichs et al., from Cenozoic Bitterfeld amber.

Frullania is most diverse in Cenozoic ambers of Europe, with eight currently accepted species from Baltic and Bitterfeld amber (Grolle, 1985b; Grolle & Meister, 2004; Heinrichs et al., 2018a; Feldberg et al., 2018), five species from Rovno amber (Mamontov et al., 2015a, 2017, 2018, 2019, 2020; Feldberg et al., 2021a), and one species occurring in all three deposits (Konstantinova et al., 2012) (Table 1).

Additionally, one representative of *Frullania* from Miocene Dominican amber was described at the subgeneric level (Heinrichs & Schmidt, 2010) and is probably also present in Rovno amber (Konstantinova et al., 2012) (Table 1). The oldest known

representatives of the extant genus *Frullania* are three species from Cretaceous Burmese amber (Hentschel et al., 2009b; Heinrichs et al., 2012, 2018a; Feldberg et al., 2021a, 2021b; Li et al., 2020).

The taxa described here are two of the few representatives from tropical amber and clearly represent two new species. *Frullania palaeoaficana* sp. nov. (Fig. 7) differs from *Frullania shewanensis* sp. nov. (Figs. 5, 6) in several important characters. The lobes of the lateral leaves are broadly rounded and not subacute to acuminate (Figs. 5D, 6B, 7A, B, D), the lobules are bigger in relation to the lobes and are inserted close to the stem (Figs. 5C, E, 7C), and the underleaves are more or less orbicular, decurrent, and bifid into very broad, asymmetrical segments (Fig. 7C) instead of of ovate, not decurrent, and bifid into symmetrical, triangular segments (Figs. 5C, 6D). Furthermore, ocelli were not seen in *F. shewanensis* sp. nov. while they are possibly present in *F. palaeoaficana* sp. nov. (Fig. 7D).

Because the new fossils are sterile it is not possible to assign them to extant subgenera or sections with confidence. Furthermore, the subgeneric classification of *Frullania* is still a matter of much controversy (e.g., Hentschel et al., 2009a, 2015; Uribe, 2011). With its remote lobules and bifurcated underleaves *F. shewanensis* resembles members of the extant subgen. *Diastaloba*, which occurs in tropical America, Africa, Asia, and Oceania. However, this subgenus was resolved as paraphyletic in molecular phylogenetic studies and the characteristic remote “*Diastaloba*”-lobules are found in several independent lineages (Hentschel et al., 2009a, 2015). Other fossil species with remotely inserted, small lobules are *F. baltica* Grolle from Baltic and Bitterfeld amber, as well as a specimen of the subgenus cf. *Diastaloba* from Dominican amber. *Frullania baltica* is very similar in size and the

form of lobes, lobules, and underleaves, but the lobes are broadly rounded and the underleaves have lateral teeth. The specimen from Dominican amber is much larger, has narrower, less arched dorsal lobes with a rounded apex, and underleaves with lateral angulations or teeth. The only similar sized species with apiculate lobes is *F. acutata* Caspary from Baltic amber, but it clearly differs from *F. shewanensis* in having lobules which are larger in relation to the dorsal lobes, more closely inserted to the stem, and with four mammillose cells at the basal half. Furthermore, the underleaves of *F. shewanensis* are oval to ovate with entire margins, while underleaves on the main stem of *F. acutata* are rectangular with more or less straight margins, and coarse apical teeth at the base of the lobes.

Frullania palaeoafricana is also difficult to assign. It is similar to subgen. *Frullania* (fide Lima et al., 2020) in having large lobules inserted close to the stem, lobes with elongate cells in the middle, and probably ocelli. It differs from this subgenus in having broadly rounded lobes instead of apiculate ones, and underleaves without any lateral teeth. However, the fossil represents only a rather small shoot fragment and both characters can be rather variable on one plant. The flat, slightly asymmetric lobules with a short beak resemble those found in subgenera *Chonanthelia* and *Trachycolea* but since the insertion is always obscured the form of the complete lobule is not clearly discernible.

Species from Baltic and Bitterfeld amber with large lobules inserted close to the stem are *F. truncata* Caspary, *F. schumannii* (Caspary) Grolle, and *F. grabenhorstii*. But the lobes of these species are inflated, symmetrical, and not beaked.

Frullania shewanensis closely resembles the extant *F. apiculata* (Reinw. et al.) Nees (*Diastaloba* I; Hentschel et al., 2009a, 2015), a pantropical species with oval, rounded mucronate lobes, small lobules inserted remotely from the stem, and ovate bifurcated underleaves without lateral teeth (compare Wigginton, 2004: fig. 139). The fossil mainly differs in having less narrow lobules which are ca. twice as wide as long, instead of 2–2.6 times as wide as long. *Frullania apiculata* is widely distributed in Asia and Oceania and has a more restricted range in Africa (West and East Africa and East African Islands; Vanden Berghen, 1976; Wigginton, 2004). Another somewhat similar pantropical extant species with apiculate lobes and remotely inserted lobules is *F. serrata* Gottsche, but its gametophytes are generally more than twice as wide as the fossil and the underleaves often have an undulate-crisped margin (Wigginton, 2004).

Frullania palaeoaficana also resembles some of the extant *Frullania* species described from Africa (Vanden Berghen, 1976; Wigginton, 2004). African species with large, beaked lobules closely inserted to the stem and similarly shaped underleaves are *F. depressa* Mitt. and *F. trinervis* (Lehm.) Drège of subgenus *Chonanthelia* as well as *F. caffraria* Steph. and *F. spongiosa* Steph. of subgenus *Trachycolea* (compare Wigginton, 2004: figs. 147, 149, 150, 155).

5.5 Pylaisiadelphaceae

The genus *Isopterygium* includes 145–170 species (Frey & Stech, 2009; Iwatsuki & Ramsay, 2012), with more than 60 reported from Africa (O'Shea, 2006). Because the African members of the genus were not revised except for small geographical regions (Hedenäs & Watling, 2005), we lack comprehensive data on the African members of the genus. For this reason, we refrain from trying to place our material within an

extant taxon. Most of the African species have few and small alar cells but some, like the predominantly West African *Isopterygium conangium* Broth. (type material illustrated in Potier de la Varde, 1933–1936) and the Malagasy *I. combae* Besch. (= *I. appressum* Renauld and Cardot; illustration in Renauld & Cardot, 1915), have groups of more numerous and slightly widened alar cells that approach those of our specimen in appearance.

There are few earlier reports of fossil *Isopterygium*. *Isopterygium minutirameum* was reported from Rovno amber (Ukraine, late Eocene) by Ignatov & Perkovsky (2011), and a plant looking very much like an *Isopterygium*, but with prorate cell ends, *Isopterygiites proratus* J.-P. Frahm and Preussing, was found in limnic-fluviatile mid-Miocene sediments in Sachsen, Germany (Frahm et al., 2007).

Isopterygium species grow mainly in humid terrestrial habitats, on substrates like tree stems and bases, decaying wood, soil, litter, or rocks, although some species occur in other habitats, such as wetlands (Ireland, 1992; Hedenäs & Watling, 2005). The genus is most speciose in tropical and subtropical regions throughout the world (Ireland, 1992; O'Shea, 2006; Frey & Stech, 2009).

5.6 Lecanorales

The fossil from Ethiopian amber can confidently be identified as a foliose lichen, which renders it the very first fossil lichen known from Africa. Ethiopian amber is consequently the fourth amber deposit containing lichen in the world, along with the Dominican, Baltic, and Bitterfeld ambers (Kaasalainen et al., 2015, 2017a, 2017b, 2019, 2020; Rikkinen et al., 2018). This underlines the importance of amber as a rich palaeontological material and especially when looking for well-preserved very small cryptogamic specimens.

6 Conclusions

The scarcity of Gondwanan amber deposits compared to those of the Laurasia renders the discovery of the African amber deposit in Ethiopia a new relevant source of information on the Gondwanan history. Furthermore, the Ethiopian amber shows exceptional preservation states of specimens in a very clear amber. Our study confirmed this high fidelity including cellular preservation for cryptogams such as liverworts, mosses and lichens.

The chemical resin composition and angiosperm inclusions in Ethiopian amber indicates *Hymenaea* (Fabaceae) as the producing tree (Bouju & Perrichot, 2020), which suggests a tropical environment as for Dominican amber (Heinrichs et al., 2015a). The cryptogamic assemblage reported here from comprises four liverwort species belonging to the extant genera *Thysananthus*, *Lejeunea*, and *Frullania*, a pleurocarpous moss of the extant genus *Isopterygium*, and a lichen representative of the order Lecanorales. The three liverwort genera from Ethiopian amber are also recorded from coeval Dominican amber, and this Caribbean amber also yields lichens of the order Lecanorales (Rikkinen & Poinar, 2008; Kaasalainen et al., 2017b). The cryptogam association reported from Dominican amber thus resembles the one from coeval Ethiopian amber and thus suggests similar environmental conditions. Ethiopian amber reveals that these Miocene cryptogam taxa were not restricted to the neotropics. We could, however, not find any evidence of bryophyte species from Ethiopian amber that are identical to those from neotropical ambers at species level, which is likely due to geographical isolation.

Recovery of further bryophytes and lichens from Miocene Ethiopian amber is likely and very promising for understanding the evolution and past distribution of

bryophytes and lichens, as not even the present distribution of these cryptogams is sufficiently known from the African continent.

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- Table 1.** Liverwort fossils from Cenozoic amber deposits. **Ba:** 34–41 Ma, Priabonian–Lutetian (Eocene), Baltic Region (Sadowski et al., 2017; Kasiński et al., 2020); **Bi:** 24 Ma, Chattian (late Oligocene), Bernsteinschluff Horizon in the upper part of the Cottbus Formation of the Goitzsche mine, Bitterfeld, Germany (Dunlop, 2010); **Do:** 15–20 Ma, Burdigalian (middle Miocene), La Toca Formation, Dominican Republic (Penney, 2010; Iturralde-Vinet & MacPhee 2019); **Et:** lower Miocene, North Shewa, Ethiopia; **In:** 52 Ma, Ypresian (lower Eocene), Tadkeshwar Lignite Mine of Gujarat State, India (Cambray amber) (Rust et al., 2010); **Mx:** 15–23 Ma, Burdigalian (lower Miocene), Simojovel, Chiapas, Mexico (Solórzano Kraemer, 2010; Serrano-Sánchez

et al., 2015); **Uk**: 35–37 Ma, Priabonian (late Eocene), amber quarry “Pugach”, Klesov, Ukraine (Rovno amber) (Perkovsky et al., 2010).

Taxon	Deposit	Reference
Jungermanniales		
Anastrophyllaceae		
<i>Anastrophyllum rovnoi</i> Mamontov et al.	Uk	Mamontov et al. 2015b. <i>Arctoa</i> 24: 45. Figs. 1–6.
<i>Tetralophozia groehnii</i> Heinrichs et al.	Ba	Heinrichs et al. 2015b. <i>PLoS ONE</i> 10: e0140977. Figs. 2–3.
Calypogeiaceae		
<i>Calypogeia stenzeliana</i> Grolle	Bi	Grolle. 1985c. <i>Feddes Repertorium</i> 96: 41. Abb. 1a–d, Tafel 1a–c.
<i>Metacalypogeia baltica</i> Grolle	Ba	Grolle. 1999. <i>Bryobrothera</i> 5: 88. Figs. 1–2/A–D.
Cephaloziaceae		
<i>Cephalozia veltenii</i> T.Katag.	Ba	Katagiri. 2015. <i>Nova Hedwigia</i> 101: 347–354. Figs. 1–2.
<i>Odontoschisma dimorpha</i> (Casp.) Heinrichs et al.	Ba, Bi	Feldberg et al. 2017. <i>Fossil Record</i> 20: 151. Figs. 1–2.
Cephaloziellaceae		
<i>Cephaloziella nadezhdae</i> Mamontov et al.	Uk	Mamontov et al. 2015b. <i>Arctoa</i> 24: 293. Figs. 1–8.
cf. Cephaloziellaceae		
<i>Protolophozia kutscheri</i> (Grolle) Heinrichs et al.	Ba, Bi	Feldberg et al. 2021a. <i>Bryophyte Diversity & Evolution</i> (in press).
Geocalycaceae		
<i>Geocalyx heinrichsii</i> T.Katag.	Ba	Katagiri. 2018. <i>Bryophyte Diversity</i>

		<i>and Evolution</i> 40: 113. Fig. 1.
Lepidoziaceae		
<i>Bazzania oleosa</i> Grolle	Do	Grolle. 1988. <i>Beiheft zur Nova Hedwigia</i> 90: 102. Abb. 1, Taf. I–III.
<i>Bazzania polyodus</i> (Casp.) Grolle	Ba, Bi	Grolle. 1980a. <i>Feddes Repertorium</i> 91: 188. Abb. 1/g–k, Tafel 15–16/a–b.
Nothoscyphaceae		
<i>Nothoscyphus balticus</i> Heinrichs et al.	Ba	Heinrichs et al. 2015c. <i>Review of Palaeobotany and Palynology</i> 217: 40. Plates I–II.
<i>Nothoscyphus grollei</i> Váňa et al.	Bi	Váňa et al. 2015a. <i>Phytotaxa</i> 222: 153. Fig. 1.
Plagiochilaceae		
<i>Plagiochila groehnii</i> Grolle & Heinrichs	Ba	Grolle & Heinrichs. 2003. <i>Cryptogamie Bryologie</i> 24: 189. Figs. 1–2.
Scapaniaceae		
<i>Scapania hoffeinsiana</i> Grolle	Ba, Bi	Grolle & Schmidt. 2001. <i>The Bryologist</i> 104: 362. Figs. 1–10.
Solenostomataceae		
<i>Solenostoma berendtii</i> (Grolle) Váňa et al.	Ba	Váňa et al. 2015b. <i>Cryptogamie Bryologie</i> 36: 287. Figs. 1–3.
Porellales		
Frullaniaceae		
<i>Frullania acutata</i> Casp.	Ba	Caspary. 1887. <i>Schriften der Physikalisch-Ökonomischen Gesellschaft zu Königsberg</i> 27: 5. Tafel 1 Bild 23.

<i>Frullania baltica</i> Grolle	Ba, Bi	Grolle. 1985b. <i>Prace Muzeum Ziemi</i> 37: 89. Plate 1, Figs. 1a–b.
<i>Frullania casparyi</i> Grolle	Ba, Bi	Grolle. 1985b. <i>Prace Muzeum Ziemi</i> 37: 92. Plate 3, Figs. 2e–I. 1985.
<i>Frullania ekaterinae</i> Mamontov et al.	Uk	Mamontov et al. 2019. <i>Paleontological Journal</i> 53: 1096. Figs. 1, 3a, d–f, h–i.
<i>Frullania grabenhorstii</i> Heinrichs et al.	Bi	Feldberg et al. 2018. <i>Bryophyte Diversity & Evolution</i> 40: 94. Figs. 1–2. 2018.
<i>Frullania mammilligera</i> Grolle	Bi	Grolle. 2003. <i>Courier Forschungsinstitut Senckenberg</i> 241: 155. Plates 1–2.
<i>Frullania palaeoaficana</i> Feldberg et al., sp. nov.	Et	this paper
<i>Frullania pycnoclada</i> Grolle	Ba	Grolle & Meister. 2004. <i>The Liverworts in Baltic and Bitterfeld Amber</i> : 22. Plate 9/10a–c.
<i>Frullania riclefgrollei</i> Mamontov et al.	Uk	Mamontov et al. 2015a. <i>Review of Palaeobotany and Palynology</i> 223: 32. Plates I–II.
<i>Frullania rovnoi</i> Mamontov et al.	Uk	Mamontov et al. 2017. <i>Journal of Bryology</i> 39: 337. Figs. 1–2.
<i>Frullania schmalhausenii</i> Mamontov et al.	Uk	Mamontov et al. 2019. <i>Paleontological Journal</i> 53: 1100. Figs. 2, 3b, c, g, j, k.
<i>Frullania schumannii</i> (Casp.) Grolle	Ba, Bi	Grolle. 1981a. <i>Occasional Papers</i>

		<i>of the Farlow Herbarium of Cryptogamic Botany</i> 16: 102. Figs. 1–5.
<i>Frullania shewanensis</i> Feldberg et al., sp. nov.	Et	this paper
<i>Frullania truncata</i> Casp.	Ba, Bi	Caspary. 1887. <i>Schriften der Physikalisch-Ökonomischen Gesellschaft zu Königsberg</i> 27: 4. Tafel 1 Bild 16.
<i>Frullania varians</i> Casp.	Uk, Ba, Bi	Caspary. 1887. <i>Schriften der Physikalisch-Ökonomischen Gesellschaft zu Königsberg</i> 27: 5. Tafel 1 Bild 17–18 as <i>F. varians</i> , Tafel 1 Bild 29–30 as <i>F. magniloba</i> .
<i>Frullania vanae</i> Mamontov et al.	Uk	Mamontov et al. 2020. <i>The Bryologist</i> 123.
<i>Frullania zerovii</i> Mamontov et al.	Uk	Mamontov et al. 2018. <i>Nova Hedwigia</i> 106: 104. Figs. 1–13.
cf. Frullaniaceae/ Lepidolaenaceae		
<i>Pseudofrullania hamatosetacea</i> (Grolle) Heinrichs et al.	Bi	Heinrichs et al. 2018a. A Comprehensive Assessment of the Fossil Record of Liverworts in Amber. In Krings et al. eds. <i>Transformative Paleobotany. Papers Commemorating the Life and Legacy of Thomas N. Taylor</i> . 225. Plate III(5–7).
Jubulaceae		
<i>Nipponolejeunea europaea</i> Grolle	Ba, Bi	Grolle. 1981b. <i>Journal of the Hattori Botanical Laboratory</i> 50: 146. Abb. 3a–g, Tafel 3–6.

Lejeuneaceae		
<i>Acrolejeunea ucrainica</i> Mamontov et al.	Uk	Mamontov et al. 2013. <i>Arctoa</i> 22: 95. Figs. 1–10.
<i>Blepharolejeunea obovata</i> Gradst.	Do	Gradstein. 1993. <i>Nova Hedwigia</i> 57: 357. Fig. 2.
<i>Bryopteris bispinosa</i> Grolle	Do	Grolle. 1993a. <i>Journal of the Hattori Botanical Laboratory</i> 74: 73. Abb. 1–2.
<i>Bryopteris succinea</i> Grolle	Do	Grolle. 1984b. <i>Journal of the Hattori Botanical Laboratory</i> 56: 271. Abb. 1/A–D, Taf. 1–2.
<i>Ceratolejeunea antiqua</i> Heinrichs et al.	Me	Heinrichs et al. 2014. <i>The Bryologist</i> 117: 11. 2014. Figs. 1–2.
<i>Ceratolejeunea palaeomexicana</i> (Grolle) G.E.Lee et al.	Me	Lee et al. 2015a. <i>Cryptogamie, Bryologie</i> 36: 339. Figs. 1–7.
<i>Ceratolejeunea sublaetefusca</i> Heinrichs et al.	Me	Heinrichs et al. 2015a. <i>Review of Palaeobotany and Palynology</i> 221: 62. Plate III.
<i>Ceratolejeunea</i> spec.	Do	Gradstein. 1993. <i>Nova Hedwigia</i> 57.
<i>Cheilolejeunea antiqua</i> (Grolle) W.Ye & R.L.Zhu	Do	Ye & Zhu. 2010. <i>Journal of Bryology</i> 32: 280.
<i>Cheilolejeunea lamyi</i> Heinrichs et al.	Do	Heinrichs et al. 2018b. <i>Cryptogamie, Bryologie</i> 39: 156. Figs. 1–8.
<i>Cheilolejeunea latiloba</i> (Casp.) Grolle	Ba, Bi	Grolle. 1984a. <i>Feddes Repertorium</i> 95: 230. Abb.1, Tafel 31–36.

<i>Cheilolejeunea suzannensis</i> (Grolle) Grolle & R.L.Zhu	Do	Grolle & Zhu. 2001. <i>Taxon</i> 50: 1073.
<i>Cololejeunea</i> spec.	Do	Rikkinen & Poinar. 2008. <i>Journal of Experimental Botany</i> 59.
<i>Cyclolejeunea archaica</i> Grolle	Do	Grolle. 1984b. <i>Journal of the Hattori Botanical Laboratory</i> 56: 274. Abb. 1/E–G, Taf. 4–5.
<i>Dibrachiella grollei</i> (Gradst.) Gradst.	Do	Feldberg et al. 2021. <i>Bryophyte Diversity & Evolution</i> (in press).
<i>Drepanolejeunea eogena</i> Grolle	Do	Grolle. 1993b. <i>Nova Hedwigia</i> 57: 376. Figs. 1–2.
<i>Lejeunea abyssinicoides</i> Schäf.-Verw. et al., sp. nov.	Et	this paper
<i>Lejeunea hamatiloba</i> G.E.Lee et al.	Do	Lee et al. 2017. <i>Review of Palaeobotany and Palynology</i> 238: 147. Plates I.1, II.1–2, Fig. 1.
<i>Lejeunea miocenica</i> Heinrichs et al.	Do	Kaasalainen et al. 2017b. <i>Earth and Environmental Science Transactions of the Royal Society of Edinburgh</i> 107: 323. Figs. 1B, 3.
<i>Lejeunea resinata</i> G.E.Lee et al.	Do	Lee et al. 2017. <i>Review of Palaeobotany and Palynology</i> 238: 147. Plates I.2, II.3–4, Fig. 2.
<i>Lejeunea urbanioides</i> G.E.Lee et al.	Do	Lee et al. 2017. <i>Review of Palaeobotany and Palynology</i> 238: 147. Plates I.2, II.3–4, Fig. 2.
<i>Lopholejeunea subnigricans</i> Gradst.	Do	Gradstein. 1993. <i>Nova Hedwigia</i> 57: 359. Fig. 4.

<i>Marchesinia brachiata</i> (Sw.) V.F.Schiffner	Do, extant	Schiffner. 1893. In Engler & Prantl eds. <i>Die Natürliche Pflanzenfamilien</i> 1, 3: 128. Cf. Gradstein. 1993. <i>Nova Hedwigia</i> 57.
<i>Marchesinia pusilla</i> Gradst.	Do	Gradstein. 1993. <i>Nova Hedwigia</i> 57: 362. Fig. 6.
<i>Microlejeunea miocenica</i> G.E.Lee et al.	Do	Lee et al. 2015b. <i>Review of Palaeobotany and Palynology</i> 222: 17. Plates I–II.
<i>Microlejeunea nyiahae</i> Heinrichs et al.	In	Heinrichs et al. 2016a. <i>PLoS ONE</i> 11(5): e0156301: 5/15. Figs. 1A, 2–3.
<i>Neurolejeunea macrostipula</i> Gradst.	Do	Gradstein. 1993. <i>Nova Hedwigia</i> 57: 368. Fig. 9.
<i>Spruceanthus extinctus</i> (Heinrichs et al.) Gradst. & Sukkharak	Me	Feldberg et al. 2021. <i>Bryophyte Diversity & Evolution</i> (in press).
<i>Spruceanthus polonicus</i> Grolle	Ba	Grolle. 1985a. <i>Prace Museum Ziemi</i> 37: 79. Plate 1–2. Fig. 1.
<i>Stictolejeunea squamata</i> (Willd.) V.F.Schiffner	Do, extant	Schiffner. 1893. In Engler & Prantl eds. <i>Die Natürlichen Pflanzenfamilien</i> 1, 3: 133. Gradstein. 1993. <i>Nova Hedwigia</i> 57
<i>Thysananthus aethiopicus</i> Bouju et al., sp. nov.	Et	this paper
<i>Thysananthus auriculatus</i> (Wils.) Sukkharak & Gradst.	Do	Sukkharak & Gradstein. 2017. <i>Phytotaxa</i> 326: 102. Gradstein. 1993. <i>Nova Hedwigia</i> 57
<i>Thysananthus bidentulus</i> (Gradst.)	Do	Sukkharak & Gradstein. 2017.

Sukkharak & Gradst.		<i>Phytotaxa</i> 326: 103.
<i>Thysananthus contortus</i> (Göpp. & Berendt) Sukkharak & Gradst.	Ba, Bi	Sukkharak & Gradstein. 2017. <i>Phytotaxa</i> 326: 103.
<i>Thysananthus weiweianus</i> N.-N.Yu & Gradst.	Do	Yu et al. 2020. <i>Chenia</i> 14.
Porellaceae		
<i>Porella subgrandiloba</i> Grolle & M.L.So	Ba	Grolle & So. 2004. <i>Journal of the Linnean Society, Botany</i> 145: 485. Figs. 2–4.
Radulaceae		
<i>Radula baltica</i> Heinrichs et al.	Ba	Heinrichs et al. 2016b. <i>Review of Palaeobotany and Palynology</i> 235: 158. Plate I.
<i>Radula intecta</i> M.A.M.Renner et al.	Do	Kaasalainen et al. 2017b. <i>Earth and Environmental Science Transactions of the Royal Society of Edinburgh</i> 107: 324. Fig. 4.
<i>Radula oblongifolia</i> Casp.	Ba, Bi	Caspary. 1887. <i>Schriften der Physikalisch-Ökonomischen Gesellschaft zu Königsberg</i> 27: 4.
<i>Radula sphaerocarpoides</i> Grolle	Ba, Bi	Grolle. 1980b. <i>Feddes Repertorium</i> 91: 404.
<i>Radula steerei</i> Grolle	Do	Grolle. 1987. <i>Memoirs of the New York Botanical Garden</i> 45: 259. Figs. 1–3.
Ptilidiales		
<i>Ptilidium</i> spec.	Ba	Heinrichs et al. 2015b. <i>PLoS ONE</i> 10: e140977.

Table 2. List of studied material. h: holotype; Asterisks * indicate specimens that were originally preserved as syninclusions in a single amber piece but have been separated in multiple amber fragments for study.

Collection number #	Taxon	Associated insects	Figures
PB23742 *	<i>Thysananthus aethiopicus</i> (h)		3A-E
PB23742 *	Lecanorales		9
PB23743 *	<i>Thysananthus aethiopicus</i>	<i>Melissotarsus</i> (Formicidae) <i>Trichomyrmex</i> (Formicidae)	3F,G
IGR.ET2020/009	<i>Isopterygium</i> sp.		1A,B
	<i>Frullania shewanensis</i>		1A,B 6
	<i>Lejeunea abyssinicoidea</i> (h)		1A,B 4
IGR.ET2020/010	<i>Thysananthus aethiopicus</i>		3H,I
IGR.ET2020/011	<i>Isopterygium</i> sp.		8
IGR.ET2020/012	<i>Thysananthus aethiopicus</i>	Hymenoptera Psychodidae (Diptera) Cecidomyiidae (Diptera)	1C
IGR.ET2020/013b	<i>Frullania shewanensis</i> (h)	Coleoptera	5
IGR.ET2020/015	<i>Frullania palaeoaficana</i> (h)		7

Figures captions

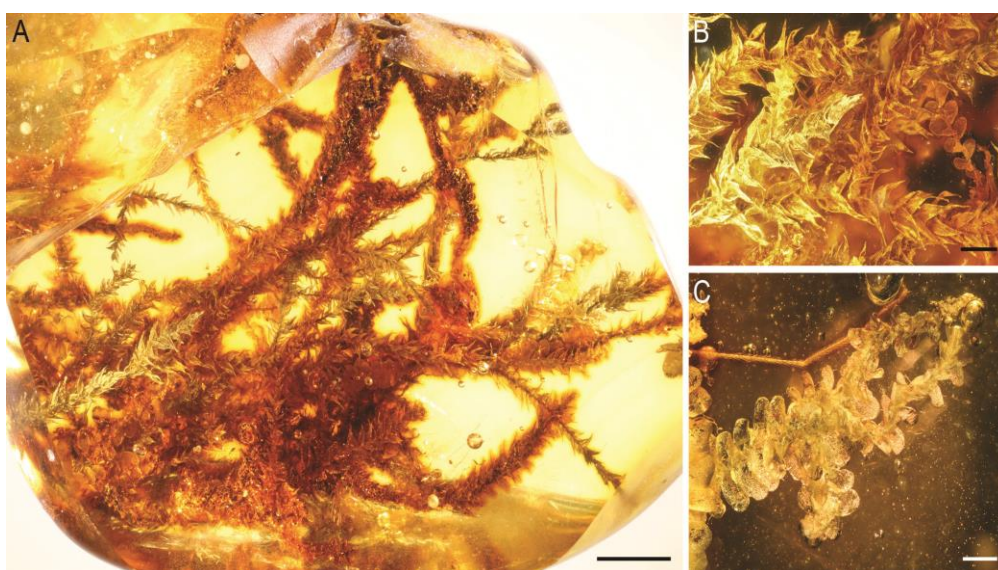


Fig. 1. **A**, Community of Bryophyta (*Isopterygium* sp.) and Marchantiophyta (*Frullania shewanensis* sp. nov., *Lejeunea abyssinicoidea* sp. nov.) from Miocene Ethiopian amber (IGR.ET2020/009). **B**, Detail of (A) showing two shoots of *Lejeunea abyssinicoidea* within densely growing *Isopterygium* sp. **C**, Dorsal overview of a gametophyte of *Thysananthus aethiopicus* sp. nov., (IGR.ET2020/012). Scale bars: **A** = 2 mm, **B** = 300 μ m, **C** = 500 μ m.

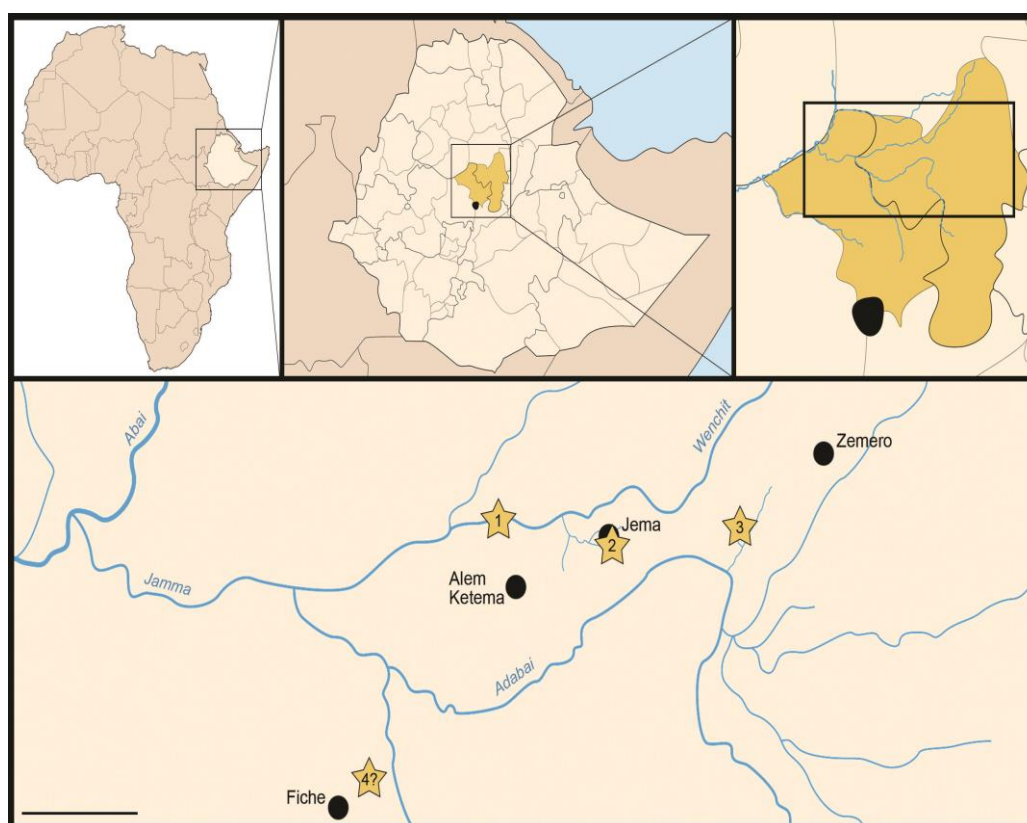


Fig. 2. Geographical maps showing the location of amber outcrops in Ethiopia (Geological Survey of Ethiopia, 1996). Amhara Region, North Shewa Zone: 1, Alem Ketema (from Schmidt et al., 2010); 2, Jema, near Merany (from Coty et al., 2016); 3, Woll, east of Zemero (from Bouju & Perrichot, 2020). Oromia Region, North Shewa Zone: 4, precise locality unknown near the town of Fiche, (unpublished pers. data). Scale bar = 20 km.

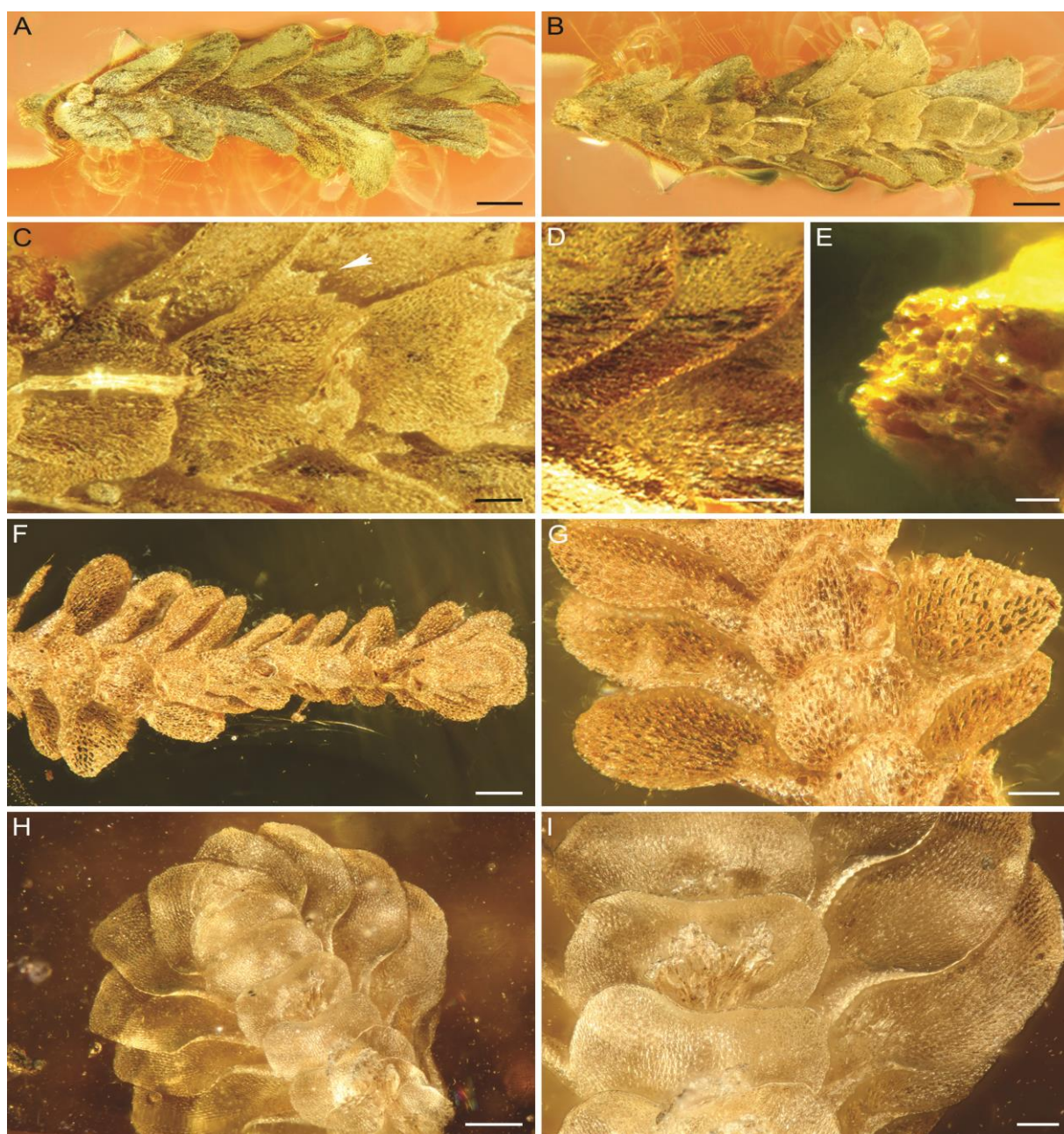


Fig. 3. *Thysananthus aethiopicus* sp. nov. from Miocene Ethiopian amber. **A–E**, holotype PB23742. **A**, Gametophyte in dorsal view. **B**, Gametophyte in ventral view. **C**, Leaf lobules (arrow) and underleaves in ventral view. **D**, Leaf lobes in dorsal view. **E**, Stem cross section at base of shoot. **F–G**, PB23743. **F**, Gametophyte in ventral view. **G**, Leaf lobes, lobules, and underleaves in ventral view. **H–I**, IGR.ET2020/010. **H**, Gametophyte in ventral view. **I**, Leaf lobes, lobules, and underleaves in ventral

view. Scale bars: **A, B, F** = 0.15 mm, **C, D** = 0.05 mm, **E** = 0.02 mm, **G, I** = 0.10 mm, **H** = 0.3 mm.

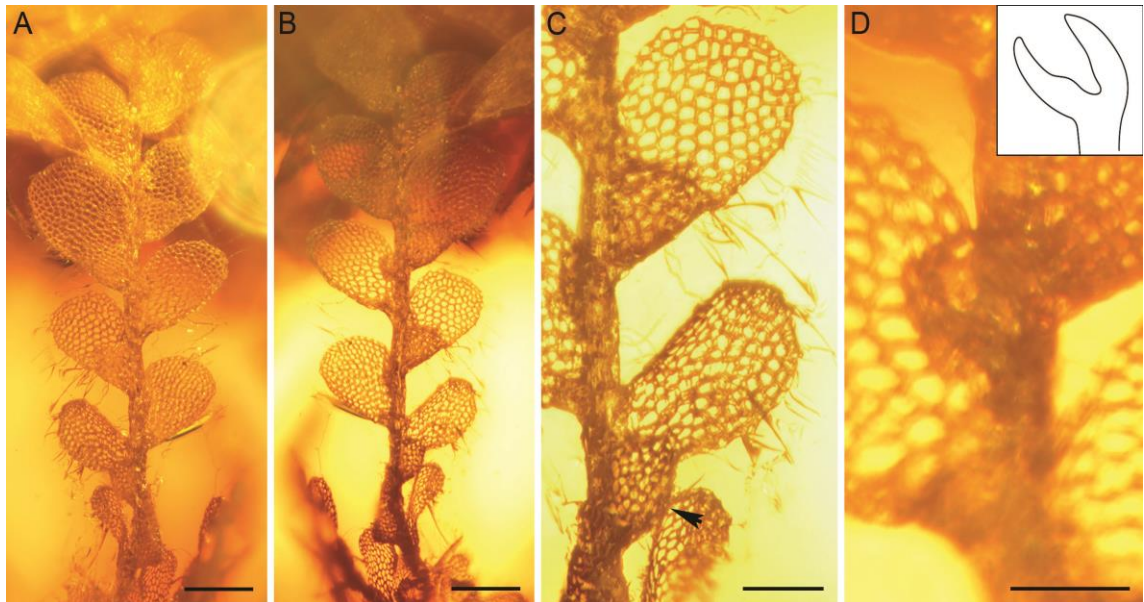


Fig. 4. *Lejeunea abyssinicoidea* sp. nov. from Miocene Ethiopian amber, holotype IGR.ET2020/009. **A**, Gametophyte in dorsal view. **B**, Gametophyte in ventral view. **C**, Lobes and lobules (one lobule indicated by an arrow) in ventral view. **D**, Underleaf visible in the middle of the image; the outline in the upper right emphasizes its shape. Scale bars: **A, B** = 0.15 mm, **C** = 0.07 mm, **D** = 0.05 mm.

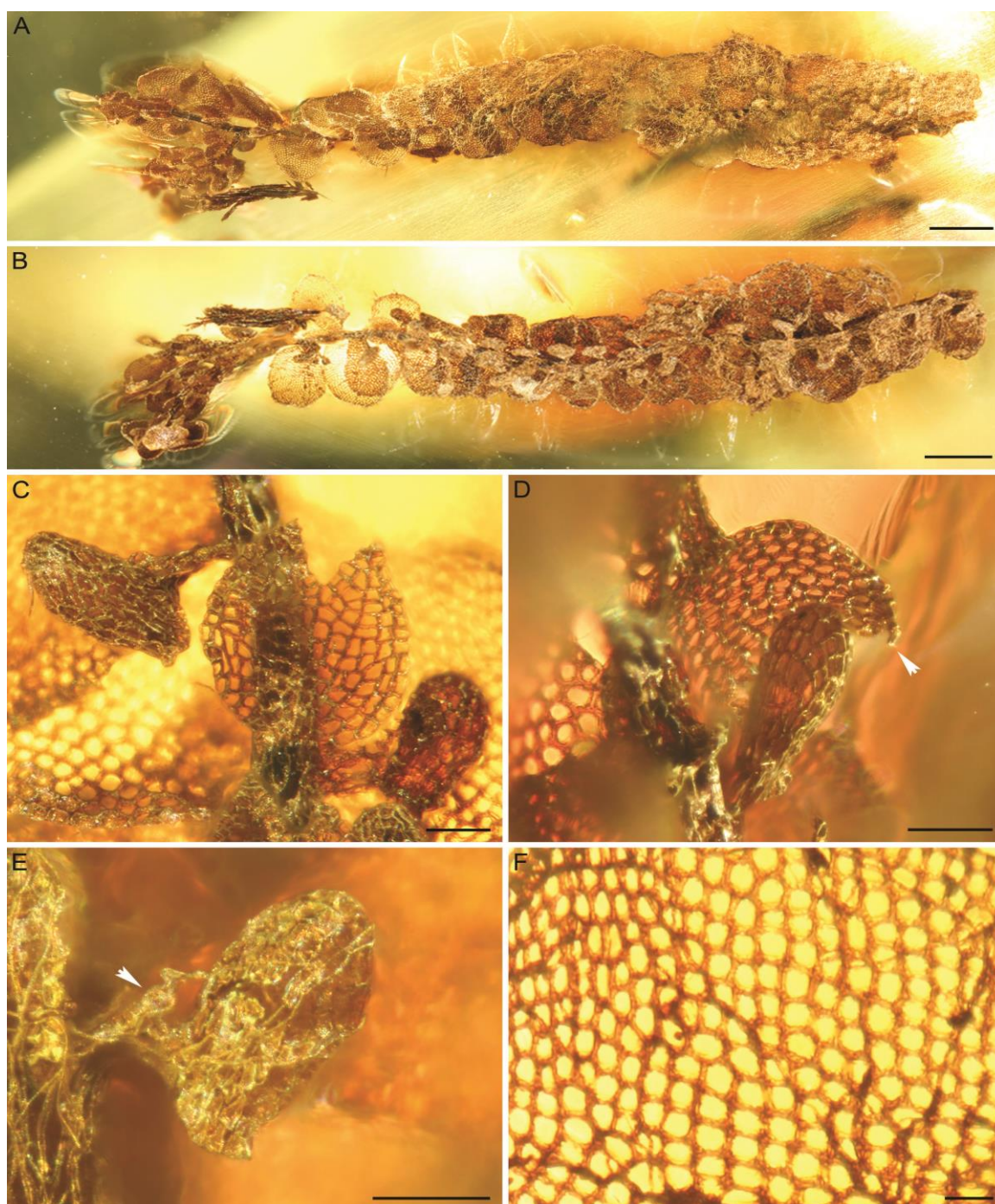


Fig. 5. *Frullania shewanensis* sp. nov. from Miocene Ethiopian amber, holotype IGR.ET2020/013b. **A**, Gametophyte in dorsal view. **B**, Gametophyte in ventral view. **C**, Ventral lobule and underleaf. **D**, Acuminate (arrow) dorsal leaf lobe with ventral lobule. **E**, Stylus (arrow). **F**, Leaf cells. Scale bars: **A**, **B** = 0.35 mm, **C-E** = 0.05 mm, **F** = 0.02 mm.

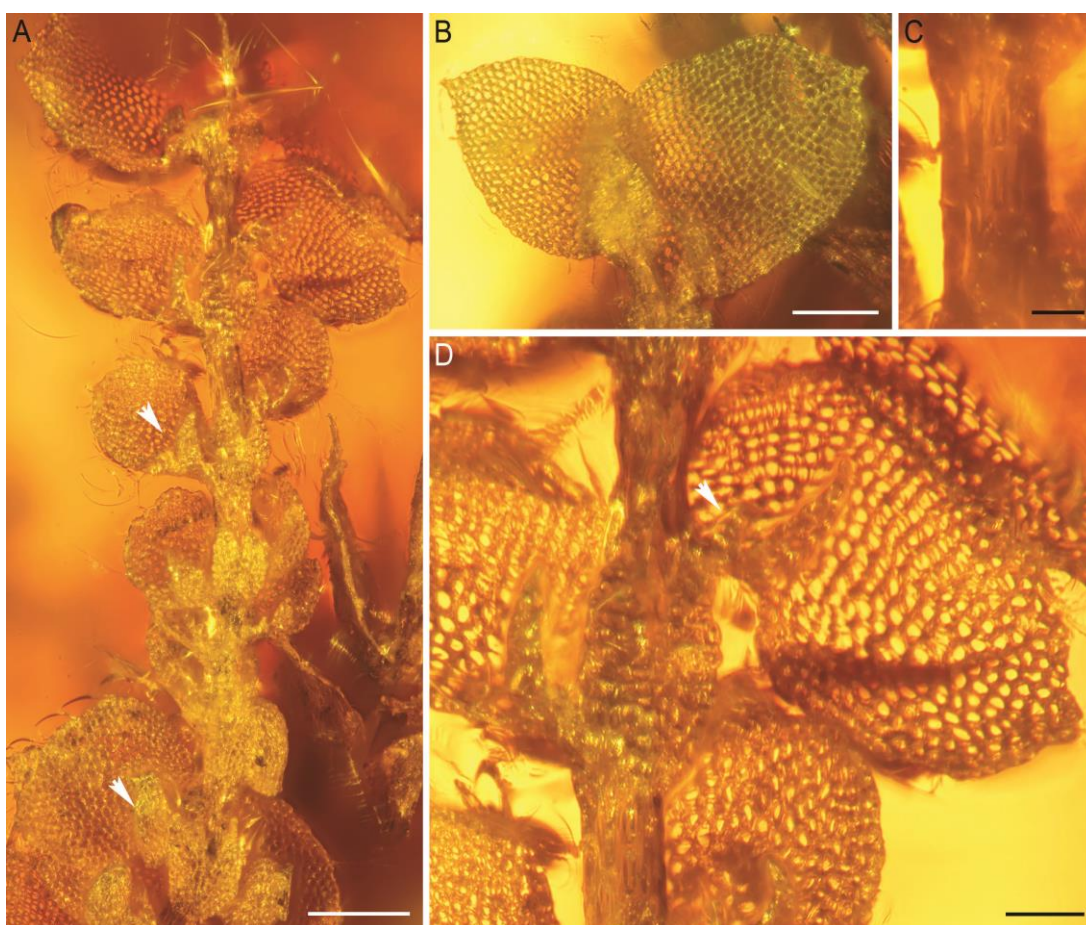


Fig. 6. *Frullania shewanensis* sp. nov. from Miocene Ethiopian amber, IGR.ET2020/009. **A**, Gametophyte in ventral view with arrows showing an explanate lobule (upper arrow) and a saccate ventral lobule (lower arrow). **B**, Acuminate leaf lobes. **C**, Surface cells of the stem. **D**, Leaves with acuminate dorsal lobe, explanate ventral lobule, and large stylus (arrow). Scale bars: **A** = 0.15 mm, **B** = 0.10 mm, **C** = 0.03 mm, **D** = 0.05 mm.

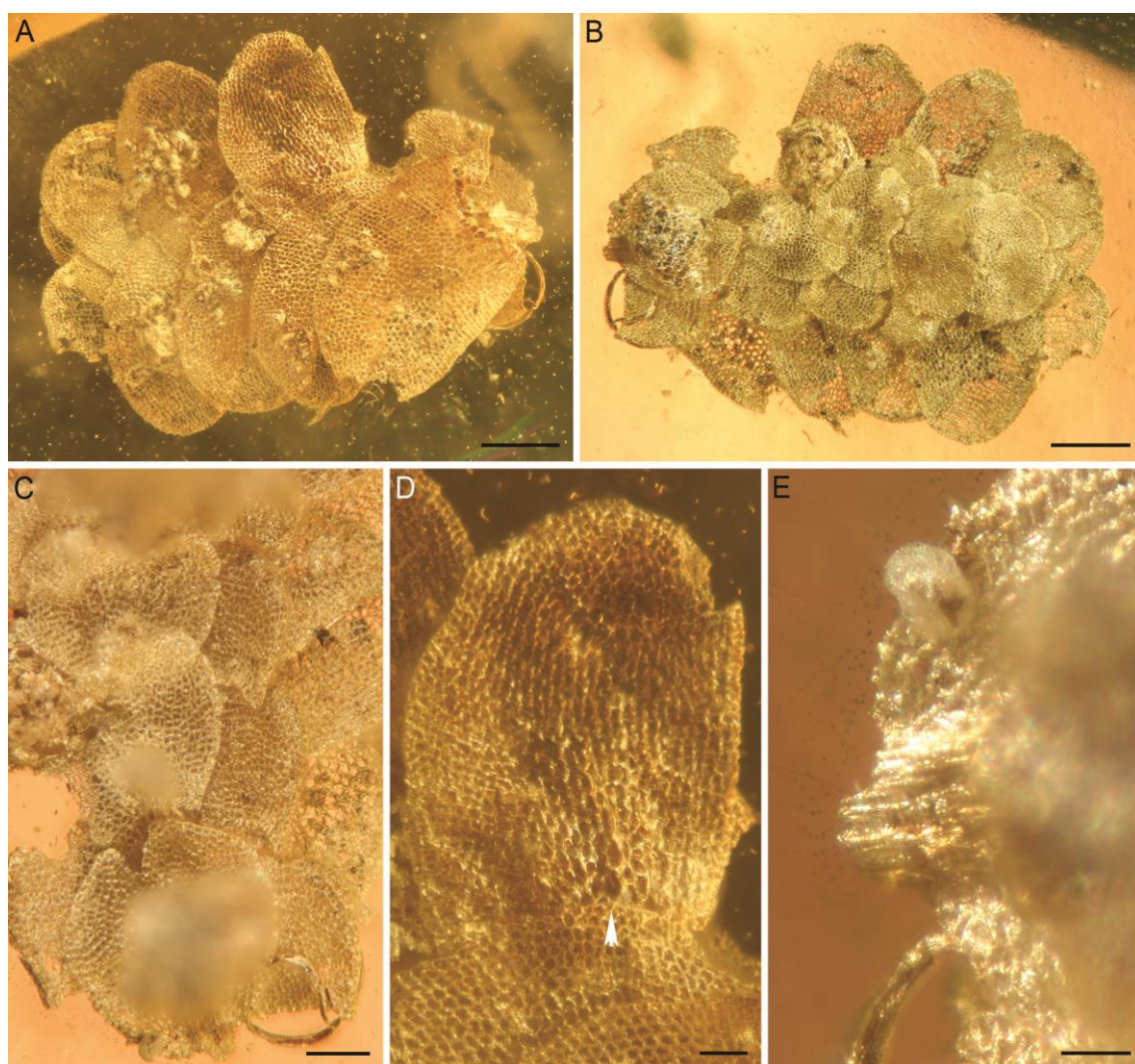


Fig. 7. *Frullania palaeoafricana* sp. nov. from Miocene Ethiopian amber, holotype IGR.ET2020/015. **A**, Gametophyte in dorsal view. **B**, Gametophyte in ventral view. **C**, Underleaves. **D**, Dorsal lobe with possible ocelli (arrow) in dorsal view. **E**, Surface cells of the stem. Scale bars: **A**, **B** = 0.20 mm, **C** = 0.10 mm, **D** = 0.05 mm, **E** = 0.03 mm.

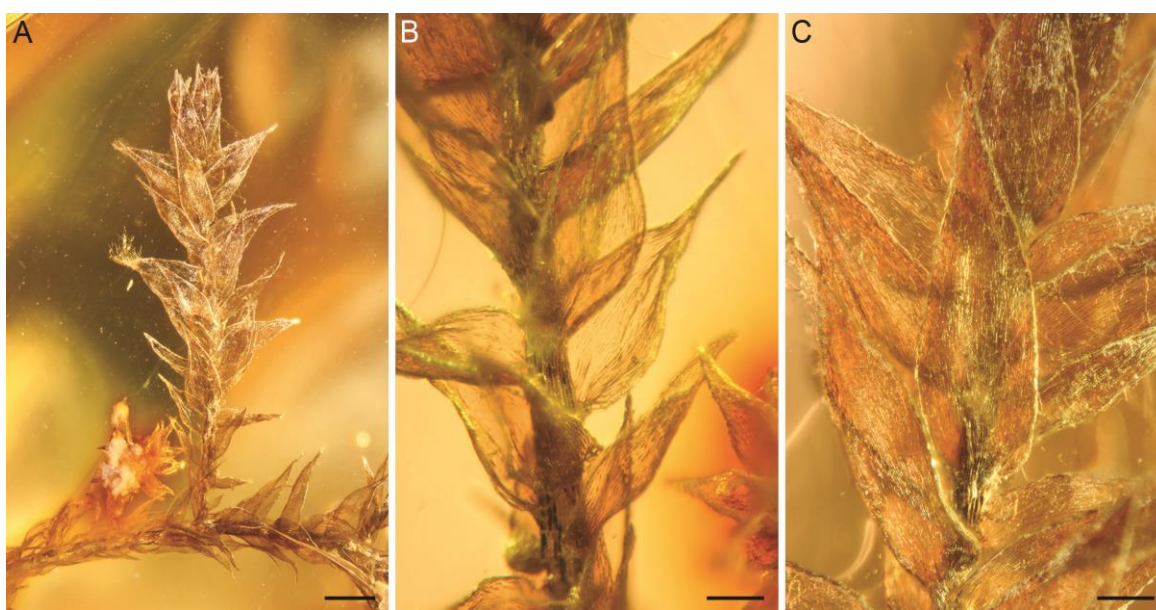


Fig. 8. *Isopterygium* sp. from Miocene Ethiopian amber, IGR.ET2020/011. **A**, General view of a shoot. **B**, Lower part of the shoot, with basal part of leaves and cortex cells. **C**, Upper part of the shoot, with laminal cells. Scale bars: **A** = 0.3 mm, **B**, **C** = 0.1 mm.

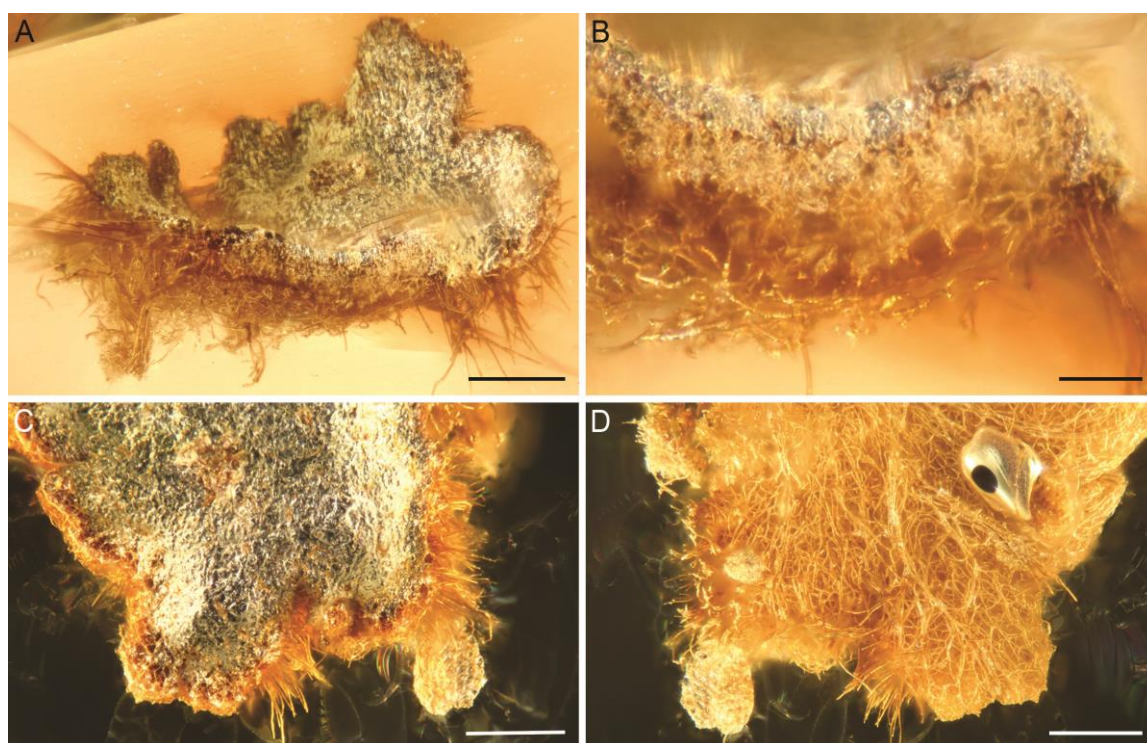


Fig. 9. Lichen of the order Lecanorales from Miocene Ethiopian amber, PB23742. **A,** Overview of the thallus fragment. **B,** Stratification of the thallus visible at the breaking point of the thallus fragment showing an upper cortex with a photobiont layer (above), an internal medulla (middle), and a looser lower layer of a hyphal network (below). **C,** Upper cortex in top view. **D,** View on lower side of the thallus with loose hyphae. Scale bars: **A, C, D** = 0.15 mm, **B** = 0.05 mm.