

# Number of independent measurements required to obtain reliable mean scattering properties of irregular particles having a small size parameter, using microwave analogy measurements

Jean-Baptiste Renard, Jean-Michel Geffrin, Vanesa Tobon Valencia, Hervé Tortel, François Ménard, Pascal Rannou, Julien Milli, Gwenaël Berthet

## ▶ To cite this version:

Jean-Baptiste Renard, Jean-Michel Geffrin, Vanesa Tobon Valencia, Hervé Tortel, François Ménard, et al.. Number of independent measurements required to obtain reliable mean scattering properties of irregular particles having a small size parameter, using microwave analogy measurements. Journal of Quantitative Spectroscopy and Radiative Transfer, 2021, 272, pp.107718. 10.1016/j.jqsrt.2021.107718. insu-03255038

## HAL Id: insu-03255038 https://insu.hal.science/insu-03255038v1

Submitted on 10 Jun2021

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés. 1 Number of independent measurements required to obtain reliable mean 2 scattering properties of irregular particles having a small size parameter, using

#### 3 microwave analogy measurements

4

Jean-Baptiste Renard<sup>1</sup>, Jean-Michel Geffrin<sup>2</sup>, Vanesa Tobon Valencia<sup>2</sup>, Hervé Tortel<sup>2</sup>, François
 Ménard<sup>3</sup>, Pascal Rannou<sup>4</sup>, Julien Milli<sup>3</sup>, Gwenaël Berthet<sup>1</sup>

7

8 1. LPC2E, CNRS - Université d'Orléans - CNES, Orléans, France

- 9 2. Aix Marseille Université, CNRS, Centrale Marseille, Institut Fresnel, Marseille, France
- 10 3. Université Grenoble Alpes, CNRS, IPAG, Grenoble, France
- 11 4. GSMA Université de Reims, Reims, France
- 12

13 Abstract. Laboratory measurements of light scattered by a cloud of randomly oriented 14 levitating particles are often used to interpret remote sensing measurements of dust in space 15 and in Earth's atmosphere. It is necessary to know how many particles or how many different 16 17 orientations of the same particles must be considered to retrieve the mean scattering function 18 of brightness and polarization. New laboratory measurements were conducted using the 19 microwave analogy method between frequencies of 3 to 18 GHz, where an "analog" particle 20 with a small size parameter in a range of 0.5-12 will have a size of several cm. Twelve such 21 "analog" particles from compact shapes to aggregates with small fractal dimensions were 22 fabricated by additive manufacturing (3D printing) and were studied. The number of necessary 23 measurements to reach the mean scattering properties of a particle with an accuracy of about 24 5% is obtained for less than 20 different orientations. To reach a 1.5% (1- $\sigma$ ) error in brightness 25 and a 0.5% (1- $\sigma$ ) error in polarization, the number of necessary measurements is in a range of 20 to 70, depending on the shape, fluffiness, deviation from a perfect sphere, and surface 26 27 irregularities of the particle. These results show that several tens of randomly oriented 28 particles of the same size are sufficient to retrieve the mean light scattering properties. Also, several tens of orientations of the same particles provide mean scattering properties, 29 compared to modelling calculations using the Finite Element Method, for an aggregate 30 31 composed of identical monomers.

32 33

#### 34 1. Introduction

35

Laboratory measurements of light scattered by a cloud of irregular particles are 36 necessary to interpret the brightness and polarization remote sensing measurements of 37 38 comets, interplanetary dust cloud, and circumstellar material orbiting stars, e.g., protoplanetary and debris disks. Such comparisons are conducted to retrieve bulk physical 39 40 properties of particles such as composition, size distribution, albedo, and porosity [1-6]. The analyses often assume that the observed particles are randomly oriented inside the cloud, as 41 42 well as during the reference laboratory measurements. On the opposite, the possible 43 orientation of grains, like in a gas flow or in case of strong magnetic field [7], can modify the 44 scattering properties of an ensemble of particles. Such changes have been shown by [8] during 45 laboratory measurements where the particles were carried and aligned by an airflow, and thus are not considered in this work. 46

47 Several databases of laboratory measurements provide optical properties (phase or 48 scattering functions in intensity and in polarization) of levitating particles having the same size and composition, obtained by different techniques at ground or during microgravity 49 50 conditions [9-14]. Such experiments, for particles having small to large size parameters ( $\pi D/\lambda$ , where D is the diameter and  $\lambda$  is the wavelength), assume that indeed they are randomly 51 oriented and that enough particles are considered during the measurements, from tens to 52 53 hundreds or thousands, to retrieve the mean optical properties. As an example, 54 different 54 orientations of the same millimetre-sized particle have been considered by [5] to retrieve the mean scattering properties. Nevertheless, the authors have not quantified in detail how many 55 particles with different orientations are needed to achieve such conditions. Similarly, aerosol 56 57 counters used in the atmosphere to count and determine the size distribution of the solid particles empirically consider their effective optical properties [16-18]. This approach works 58 59 well for the submicron particles that are often in large concentrations (more than tens of 60 particles cm<sup>-3</sup>) but some uncertainties in size determination can arise when the concentrations 61 are too low because not enough particles are detected. Finally, experiments and numerical 62 calculations that consider individual irregularly shaped particle need also to know how many 63 different orientations are needed to establish the mean optical properties.

Although theoretical approaches have been proposed [15], experimental approach can
 also be conducted to determine how many particles randomly oriented, or how many
 different orientations of the same particle, are indeed necessary to access the mean scattering
 properties reliably. This approach is difficult to conduct for particles with a small size
 parameter typically in the range of 0.5-12, which corresponds to particles between 0.1 to 2.5
 μm size in the visible domain. Such particles levitated by an air draught or during microgravity
 conditions could aggregate, preventing to sustain a cloud of individual particles.

71 Instead of using real particles, an alternative consists in using the microwave analogy 72 [19-24] and individual particles that can be easily manipulated to control their shape and 73 orientations. The aim will be to measure the properties of a single particle at numerous 74 orientations to figure out its mean properties to mimic an ensemble of specific particles that 75 would be randomly oriented. With that concept, particles of the order of 1  $\mu$ m in the visible 76 domain are of several cm when studied at a frequency of several GHz, although the size 77 parameter is conserved. Many electromagnetic scattering measurements can be conducted 78 with a single particle by changing only its orientation with respect to the incident radiation 79 beam. Such particles can be built in various materials using a 3-D printer. The permittivity of a given material, or its (complex) refractive index, which is the square root of the (complex) 80 permittivity, can be chosen to reproduce the optical properties of real particles that can be 81 found in space and in Earth's atmosphere. 82

- 83
- 84

### 85

86

The measurements are conducted in the anechoic chamber at the "Centre Commun de Ressources en Microondes" (CCRM, Institut Fresnel, Marseille, France). Features and performances are given in [25]. Briefly, the device operates for this study at 16 frequencies from 3 to 18 GHz. The use of 16 frequencies from 3 to 18 GHz allows us to explore a range of size parameters that vary by a factor of six for the same particle, with a refractive index that can be considered almost constant for the chosen materials. The angle between the emitter and receiver antennas is changed from one measurement to another, by steps of 2°, to obtain

2. Conditions of microwave analogy measurements

94 the scattering function in scattering angles from -130° to 130°. The sample, mounted at the centre of the device, can be rotated after one session of measurements, to start a new session 95 with another orientation. For the present study, measurements with 36 different orientations 96 uniformly distributed from -180° to +170° were conducted by a rotation along the vertical axis 97 in steps of 10°. The particles are placed on a polystyrene mast; then the rotation axis is vertical, 98 99 *i.e.* parallel to the mast. The particles are placed first according to their greatest length 100 (horizontally), then to their smallest length (vertically), and at about 45° (slanted) when more measurements are necessary (named inclinations in the following). 101

102 The device records the amplitude and the phase of the perpendicular and parallel 103 scattered electric fields. The polarized scattering intensity  $I_{perp}$  and  $I_{par}$  with respect to the 104 scattering plane (also so-called *S* and *P*), are the square of the amplitudes, and are used to 105 retrieve the scattering (or phase) function for the intensity I and for the polarisation P 106 (formulas 1 and 2).

107

108

109

110

 $I = I_{perp} + I_{par}$  (1) P (%) = 100 x (I\_{perp} - I\_{par}) / (I\_{perp} + I\_{par}) (2)

 $d(OV_i) = R + a*rand(i)$ 

Twelve different samples having a large variety of size, shapes, porosities and fractal 111 112 dimensions have been studied, which can be representative of the main particle families that can be found in space and Earth's atmosphere. Since particles with different sizes are 113 considered, the present study covers a size parameter range of 0.5 -12. Figure 1 presents the 114 shape of the particles for which some physical parameters are given in table 1. The definition 115 116 of the diameter is not easy in case of fluffy particles and particles with low fractal dimension, 117 thus we provide both the gyration diameter and the equivalent diameter calculated from 118 mean projected surface area. The size parameter is calculated from the gyration diameter for the fractal particles (AgC series except AgC181, and SootAcry) and from the equivalent 119 120 diameter for the compact particles (AgC181, gravels and rough spheres).

For the AgC232, AgC233, AgC185, AgC186 and AgC187, the diameter of the monomer is 5.5 mm. The "SootAcryl" sample is based on a numerically generated analogue of a soot aggregate mimicking necking and overlapping phenomenon [26]. The rough spheres are derived from a perfect sphere meshed with triangles, and then perturbed modifying the distance d(OV<sub>i</sub>), with a random perturbation:

(3)

126

127

where d(OV<sub>i</sub>) is the distance from the center of the sphere O to the vertex Vi of the triangles, rand is a random number between -1 and 1, and R is the radius of the original sphere (16.25 mm). For the "Rough Sphere 233" a= 3.75 mm, and for the "Rough Sphere 228" a = 0.75 mm. The roughness of the sphere and the deviation from sphericity can be estimated by the ratio Di/Dv, where Dv corresponds to the diameter of the smaller compact sphere containing the same amount of material as the particle and Di corresponds of the diameter of the sphere containing the particle.

All the particles are made by additive manufacturing using an acrylate-based resin, except the two gravels that are made of Plexiglas and marble (having similar shapes). The mean refractive index of the particles made of acrylate was measured to be 1.7 + 0.03i (which can be representative of some carbonaceous particles [27] but also of astrosilicate particles [28]), the refractive index of the Plexiglas is of 1.6 + 0.01 i, and the refractive index of the

- 141 marble is assumed to be of 2.9 + 0.2i for all the frequencies (which is representative of strongly
- 142 optically absorbent particles).
- 143



- 144 145
  - 5 Figure 1: The studied samples (not on the same scale, see table 1 for the size of the samples)

Sample	Box containing the particle (mm <sup>3</sup> )	Gyration diameter (mm)	Equivalent diameter (mm)	Fractal dimension	Dv	Di	Di/Dv
AgC232	76 x 74 x 62	64	39	1.5	23.0	76	3.3
AgC233	61 x 39 x 67	48	37	1.7	22.9	67	2.9
AgC185	41 x 43 x 49	34	34	2.0	23.0	49	2.1
AgC186	34 x 35 x 34	23	31	2.5	23.0	34	1.5
AgC187	29 x 29 x29	20	27	2.8	23.0	29	1.3
AgC181	46 x 28 x 50	-	40	-	35.9	50	1.4
SootAcryl	66 x 48 x 22	41	32	1.8	22.7	68.3	3.0
GravelPlexi	14 x 20 x 18	-	17	-	14.8	24.6	1.7
Gravel(marble)	14 x 20 x 18	-	17	-	17.0	25.3	1.5
GravelLike	32 x34 x 38		32		30.6	38	1.2
Rough sphere 223	40 x 40 x 40	-	36	-	31.9	40	1.3
Rough sphere 228	34 x 34 x 34	-	34	-	32.2	34	1.05

147 148

149

Table 1: Physical parameters of the samples. The gyration diameters and the fractal idimensions are calculated assuming that the spheres are not interpenetrated; the Dv corresponds to diameter of the smaller compact sphere containing the same amount of

material as the particle; Di corresponds to the diameter of the sphere containing the particle

152

### **3. Method of measurement analysis**

154 Individual measurements of the scattering function (intensity and polarization) can 155 exhibit strong oscillations; these oscillations are stronger as the particles are highly irregular. 156 Figures 2 and 3 show examples of such oscillations for the rough sphere 223 and for the 157 AgC185 at a frequency of 12 GHz, which corresponds to a size parameter of ~4.5. The 158 amplitude of the dispersion for intensity at large scattering angles is of the order of 10 for the 159 rough sphere while the amplitude dispersion can reach a factor of 100 for the AgC185. For the 160 polarization, the dispersion can reach at some angles the minimal and maximal possible values 161 (-100 and 100%). 162

163



Figure 2: Individual scattering functions for the rough sphere 223 (left: intensity; right:
 polarization) for the individual measurements at a given orientation and the mean of all the
 scattering functions

- 168
- 169



Figure 3: Individual scattering functions for the AgC185 (left: intensity; right: polarization) for
 the individual measurements at a given orientation and the mean of all the scattering
 functions

174

170

To determine how many measurements are necessary to retrieve a mean scattering function for intensity and polarization with a given accuracy, tens of individual measurements with different orientations must be considered. The procedure is different for intensity and polarization because intensity is directly retrieved from the sum of polarized measurements while polarization is a relative parameter always retrieved from the ratio between the difference and the sum of polarized intensity measurements and is given in a range from -100% to 100%.

For the intensity, we calculated the mean scattering functions using a given number of 183 measurements with different orientations. At each scattering angle  $\theta$  we calculate the 184 difference  $Db(N, \theta)$  between the mean value of the intensity obtained for N measurements 185 186 and the mean value obtained for N-1 measurements, divided by the mean value of the 187 intensity for N measurements; this division act as a normalization to be able to consider 188 simultaneously the results coming for all scattering angles. Then for each value of N, we calculate the standard deviation (hereafter called SDB(N)) of the normalized intensity 189 differences obtained for all scattering angles. This standard deviation, which can represent 190 the 1- $\sigma$  uncertainty of the resulting mean intensity values, must decrease while the number 191 192 of measurements increases. This procedure can be expressed as follows:

193
-----

194 195

196

$Db(N, \theta) = \left(\sum_{1}^{N} B(\theta) - \sum_{1}^{N-1} B(\theta)\right) / \sum_{1}^{N} B(\theta)$	(4)
$SDB(N) = \sigma(Db(N, \theta))$	(5)

197 with N the number of measurements, B the intensity and  $\theta$  the scattering angle.

To ensure a random distribution of the orientation when calculating the  $Db(N, \theta)$ parameter, the calculation is conducted for different combinations on the angular orientation and the inclination of the sample; then the results for SDB(N) are averaged. The choice of the first orientation and the selection of the following orientations (randomly distributed or considering increasing angles) do not statistically change the results for the number of necessary measurements that remains within a ±15% uncertainty.

As a first step to visualise the sensitivity to the number of measurements, Figure 4 presents the evolution of the standard deviation with the number of measurements (the plot is based on the rotation of the particles around different axes). The frequencies here considered are above the Rayleigh scattering regime (size parameter greater than about 2.5 [29]) and different combinations of orientations and inclinations of the AgC185 sample. In loglog scale, the trend is almost linear, indicating that it could be fitted by a power law that will depend on the studied sample (exponent typically in the -0.8- 1.1 range).

211 Three different domains can be defined; firstly, the standard deviation decreases 212 strongly to reach an accuracy of 0.05 (*i.e.* 5%) for about 15 different orientations, secondly the 213 standard deviation slowly decreases for a number of measurements up to about 70 producing 214 a mean intensity uncertainty of about 0.01 (*i.e.* 1%), and thirdly the standard deviation slightly decreases producing a mean intensity uncertainty below 0.01 (*i.e.* 1%). Increasing the number 215 of measurements will reduce again the uncertainties, but such approach does not seem 216 217 necessary since we can admit that a 1- $\sigma$  accuracy of the order of 1% is sufficient for the interpretation of the intensity measurements. 218



220 Figure 4: Evolution of the standard deviation with the number of measurements for the 221 intensity of the AqC185 sample; the black lines represent the results for the different 222 frequencies and the different combinations of orientations and inclinations; the red line 223 224 represents the mean value

For the polarization, the sums of the N orthogonal and parallel polarized scattering 227 components are first calculated, then the polarization is calculated. The  $Dp(N, \theta)$  parameter 228 229 is calculated by the difference between the polarization obtained for N measurements and 230 the polarization obtained for N-1 measurements. Finally, the SDP(N) parameter corresponds to the standard deviation of  $Dp(N, \theta)$  for all scattering angles, which corresponds to the 231 polarization uncertainty at 1- $\sigma$  in absolute value (%). This procedure can be expressed as 232 233 follows:

235 
$$P(N,\theta) = 100. \left(\sum_{1}^{N} I_{perp}(\theta) - \sum_{1}^{N} I_{par}(\theta)\right) / \left(\sum_{1}^{N} I_{per}(\theta) + \sum_{1}^{N} I_{par}(\theta)\right)$$
(6)

236	$Dp(N, \theta) = P(N, \theta) - P(N - 1, \theta)$	(7)
237	$SDP(N) = \sigma(Dp(N, \theta))$	(8)

237 
$$SDP(N) = \sigma(Dp(N, \theta))$$

225 226

234

238

Similar trends as those for the intensity are obtained for the polarization 239 measurements, as shown in Figure 5 for the AgC185 sample. A 5% uncertainty is obtained for 240 about 10 orientations, while about 50 different orientations are necessary to achieve an 241 uncertainty of about 0.5% (1- $\sigma$ ); such last value is of the order of the error bars obtained for 242 some laboratory measurements with real particles [1,13] 243 244



Figure 5: Evolution of the standard deviation for the polarization with the number of
measurements of the AgC185 sample; the black lines represent the results for the different
frequencies and the different combinations of orientations and inclinations; the red line
represents the mean value

#### **4. Mean scattering functions and evolution with the size parameter**

- 254 4.1 Measurements
- 255

253

For a perfect sphere, the scattering properties can be easily retrieved using Mie scattering calculations and there is no need for several different orientations of the particle When the roughness and/or the deviation from sphericity of the particle increases, increasing the number of measurements with different orientations of the particle is needed to retrieve the mean properties.

Previous results were calculated for all frequencies above the Rayleigh regime. It is of 261 interest to conduct the studies at each frequency to retrieve the evolution of the standard 262 263 deviation with the particles' size parameter. For each sample and for all frequencies, the number of necessary measurements where the standard deviation of the intensity drops 264 below 5%, 3%, 2% 1.5% and 1% is searched for. Similarly, the number of necessary 265 266 measurements where the standard deviation of the polarization drops below 5%, 3%, 2%, 1% and 0.5% is searched for. The lower values of 1% for intensity and 0.5% for polarization are 267 268 arbitrarily chosen but they can correspond to the typical accuracies often achieved when 269 performing laboratory measurements with levitating particles in the visible spectral domain. All the results for the number of necessary measurements obtained for the different sample 270 inclinations (horizontally, vertically, slated) remains in the ±15% range for all the standard 271 272 deviation thresholds presented above.

Figures 6 and 7 present examples of the evolution of the standard deviations with number of measurements for the rough sphere 223 and the AgC185, having a size parameter of 4.5. In these examples, the 1% threshold for intensity is reached for about 35±5 and 100±15 measurements for the rough sphere 223 and the AgC185, respectively. These values decrease to 30±5 and 70±10 for the 1.5% threshold. The 0.5% threshold for the polarization is reached for 40±5 and 70±10 for the rough sphere 223 and the AgC185, respectively. These first results indicate that the number of necessary measurements to retrieve the mean optical properties

280 (hereafter called necessary measurements) is higher in the case of a non-compact particle

281 compared to a compact one.



Figure 6: Example of the evolution of the standard deviation for the intensity and polarization
with the number of measurements for the rough sphere 223, at a size parameter of 4.5.

286



287 Number of measurements
 288 Figure 7: Example of evolution of the standard deviation for the intensity and polarization
 289 with the number of measurements for the AgC185, at a size parameter of 4.5.

- 290
- 291

The evolution of the number of necessary measurements with size parameter for all 292 293 the samples is presented in Figure 8 for the intensity and in Figure 9 for the polarization, considering the different levels of expected accuracy. Obviously, the number of necessary 294 measurements is lower when the uncertainties is higher, and less than 20 orientations are 295 296 necessary to retrieve the mean intensity and polarization phase curve with an accuracy of 5%. 297 It also decreases with decreasing size parameter; the decrease is steeper for a size parameter below 3, corresponding to size particles below the wavelength, and is almost stable for a size 298 parameter greater than 5. The number converges towards only one necessary measurement 299 300 when the measurements are performed in the Rayleigh scattering domain.



Figure 8: Evolution of the number of necessary measurements with size parameters, to retrieve the mean intensity scattering properties, for different thresholds of uncertainties (the error bars of ±15% for the number of necessary measurements are omitted for clarity).



309

Figure 9: Evolution of the number of necessary measurements with size parameters, to retrieve the mean polarization scattering properties, for different thresholds of uncertainties (the error bars of ±15% for the number of necessary measurements are omitted for clarity).

315 The evolution of the necessary number of measurements to reach a given accuracy strongly depends on the nature of the particles. As expected, the lower numbers (around 20 316 for intensity measurements) are obtained for the near-perfect compact sphere rough sphere 317 318 228, having rugosity in the  $[\lambda/6, \lambda/33]$  range. Then, the value increases as the surface of the 319 particles become more irregular (rough sphere 223, GravelLike and AgC187) and the shape 320 departs from a sphere. The rough sphere 223 has a particular behaviour, starting from values close to those of the rough sphere 228 for the smallest size parameters and reaching values 321 of more irregular particles for the largest size parameters. This can be understood when 322 considering that roughness depends on the frequencies in the  $[\lambda, \lambda/7]$  range. The other 323 compact particles (Gravel, GravelPlexi, AgC181) and fractal particles (AgC186, SootAcryl, 324 Agc185, Agc232, AgC233) exhibits similar behaviour with the number of necessary 325 measurements in the 40-60 range (mean of 50) for intensity measurements. This 326 327 categorization for the particles is less obvious when considering the polarization, where only 328 the rough sphere 228 presents a behaviour that stands out from the other sample.

329 For non-compact particles composed of aggregated monomers, regarding the mean 330 scattering function convergence and the dispersion of the individual scattering function, no direct dependency of the number of necessary measurements with the fractal dimension is detected. Also, 331 the results for the compact Gravel and the GravelPlexi particles are similar, which indicates 332 that for our cases the refractive index has no effect on the number of necessary 333 334 measurements when the imaginary part of the index indicates significant absorbing 335 properties. For compact particles, the departure from the sphericity reduces the amplitude of Mie oscillations but has little effect on the number of necessary measurements. It seems that 336 the surface roughness, or the size of the irregularities with respect to the wavelength, is the 337 main parameter that drives the necessary number of measurements to retrieve the mean 338 scattering properties. When the surface irregularities or the size of the monomers is below a 339 critical value, probably smaller than about  $\lambda/5$  of the wavelength, they have no effect on the 340 number of necessary measurements that have already reached a constant upper limit. The 341 number of measurements evolves also with the deviation from the sphericity (Di/Dv 342 parameter). When considering particles with size parameter greater than 2.5, to be above the 343 transition from the Rayleigh scattering regime, the number of measurements reaches its 344 345 maximum value for Di/DV > 2 for intensity (accuracy at 1.5%) and Di/DV > 1.5 for polarization 346 (accuracy at 0.5%), as shown in Figure 10.

347 For the intensity, the 1.5% accuracy seems a good compromise between the number 348 of necessary measurements and a desired accuracy in this size parameter range. The number 349 of necessary measurements seems to reach an upper limit at about 60±10. On the other hand, 350 the fractal aggregates composed of small spherules exhibit small remaining Mie oscillations in 351 the scattering curves even if the number of measurements is large. They produce the small 352 undulations of about 1% shown in Figure 7 for more than 70 number of measurements, which are difficult to totally remove (more than a hundred of different orientations could then be 353 354 necessary). For the polarization, an upper limit of 70±10 necessary measurements is enough 355 to reach an accuracy of 0.5% in absolute value.



Figure 10: Evolution of the number of measurements as a function of deviation from sphericity (Di/Dv) for particles with size parameter greater than 2.5, for intensity (accuracy at 1.5%) and for polarization (accuracy at 0.5%)

#### 364 4.2 Numerical calculations

Numerical calculations of the scattering properties of the AgC181, AgC185, AgC186, AgC187, AgC232, AgC233 and GravelLike have been conducted using the Finite Element Method presented in [30] and using the same files to describe the geometry of the objects than the one used to print them. The scattering properties are computed considering the similar orientations and inclinations of the sample as during the measurements, by steps of 10°. The same method as the one used for measurements is conducted to determine the number of necessary measurements to retrieve the mean scattering properties.

373 Figure 11 shows that theoretical calculations and microwave analogy measurements 374 have the same trends and are in correct agreement, considering the uncertainties. 375 Nevertheless, the model for the intensity often requires a larger number of orientations to 376 reach the same error as for the measurements. It seems that the model is more sensitive to 377 the remaining small Mie scattering oscillations than the measurements. The noise existing 378 naturally in the experiments can potentially help to reach more rapidly the random realization. 379 This effect seems less visible in polarization (Figure 12), confirming that intensity and polarization are not sensitive to the same physical parameters of the particles. These results 380 381 confirm that such modelling calculations can be a good approach to retrieve the mean 382 scattering properties of fluffy and fractal particles when several tens of orientations are considered. 383

384

358

362 363



385

Figure 11: Evolution of the number of necessary measurements with size parameter, to retrieve the intensity mean optical scattering properties, for different thresholds of uncertainties (the error bars of ±15% for the number of necessary measurements are omitted for clarity). Full lines: measurements; dotted lines: modelling calculations.

![](_page_15_Figure_0.jpeg)

Figure 12: Evolution of the number of necessary measurements with size parameter, to
 retrieve the polarization mean optical scattering properties, for different thresholds of
 uncertainties (the error bars of ±15% for the number of necessary measurements are omitted
 for clarity). Full lines: measurements; dotted lines: modelling calculations.

399 The code uses a parallel direct sparse solver (Pardiso); the computation time and memory requirements are strongly related to the object studied and the size of its 400 circumscribing box. Typically, for a run performed on a 28 cores SMP computer, the used 401 memory varies between 7 GB (AgC187) to 80 GB (AgC232) while the run time varies between 402 15 min (AgC187) to 11 hours (AgC232) for all frequencies. This calculation time for the 403 404 particles studied here (fractals with less than 75 monomers) is much lower than the tens of 405 hours necessary to retrieve experimentally the mean scattering properties from tens of 406 different orientations. Thus, this numerical approach can be used in complement with real 407 measurements to study the optical response of aggregates with various fractal dimension, 408 number of monomers, shapes, and refractive indexes. On the other hand, experimental 409 measurements could be the only solution to study particles having complex shapes (for 410 examples with different size of monomers or highly variable surface heterogeneities) and to 411 validate new numerical simulations methods or when the number of monomers or size of the objects is higher. 412

413 414

415 416

### 4. Conclusions

417 The microwave analogy has allowed us to estimate how we can define the mean 418 optical scattering properties of irregularly shaped particles observed with different 419 orientations, and how they evolve with the size parameter. For all samples, the number of 420 necessary measurements is between 10 and 20 to reach a mean scattering property with an uncertainty of 5% (1- $\sigma$ ). Then, to reach a 1.5% error in intensity and 0.5% in polarisation, the 421 number of necessary measurements strongly depends on the shape, the fluffiness, the 422 423 deviation from a sphere, and the surface irregularities of the sample with respect to the wavelength (but not directly on their fractal dimension). For a slight rough sphere to a string-424 425 like aggregate of small monomers with a fractal dimension of 1.5, the number of necessary 426 measurements increases from about 20±3 to about 70±10. The higher value seems to be an 427 upper limit.

Such results corroborate all the previous laboratory measurements of light scattered 428 429 by clouds of levitating irregular randomly oriented particles where several tens of particles of 430 the same size and having a size parameter below ~10 are involved. They also show that 431 considering several tens of orientations of the same particle might be sufficient for considering 432 random orientation when performing modelling calculation for an aggregate composed of 433 monomers, thus reducing the calculation time. Finally, new microwave analogy 434 measurements could be conducted to better evaluate the effect of the surface roughness on 435 the mean scattering properties of compact and fluffy particles, in particular for the small size 436 parameters close to the transition to the Rayleigh scattering regime.

437

Acknowledgments. This work was partly funded by the French PRDA ("Plan Recherche et Développement Amiante") supported by the French Ministry for the Ecological and Inclusive Transition and the Ministry for Regional Cohesion (SU 54-18-054), by the 2019 CNRS 80 Prime programme (project EXPERTS, EXploring Planet formation with lab ExpeRimenTS), by the LOAC-Spatial project funded by the French Space Agency CNES, by the Centre Commun de Ressources en Microondes CCRM, and by the "Groupement de Recherche N°3622 SUIE". The authors thanks F. Onifri for the DLA software dedicated to the generation of the aggregates.

#### 447 **References**

448

Hadamcik E, Lasue, J, Levasseur-Regourd AC, Renard, JB. Analogues of interplanetary dust
particles to interpret the zodiacal light polarization. Planet Space Sci 2020;183:104527.

- 452 2. Levasseur-Regourd AC, Baruteau C, Lasue J, Milli J, Renard JB. Linking studies of tiny 453 meteoroids, zodiacal dust, cometary dust and circumstellar disks. Planet Space Sci 454 2020;186:104896.
- 455

456 3. Levasseur-Regourd AC, Renard JB, Hadamcik E, Lasue J, Bertini I, Fulle M. Interpretation
457 through experimental simulations of phase functions revealed by Rosetta in 67P dust coma.
458 Astrony Astrophys 3019;630:A20. DOI:10.1051/0004-6361/201834894.

459

460 4. Frattin E, Muñoz O, Moreno F, Nava J, Escobar-Cerezo J, Gomez Martin JC, Guirado 461 D, Cellino A, Coll P, RaulinF. Experimental phase function and degree of linear polarization of 462 cometary dust analogues. MNRAS 2019 ; 84P :2198–2211. DOI :10.1093/mnras/stz129.

463

Muñoz O, Moreno F, JGómez-Martín JC, Vargas-Martin F, Guirado D, Ramos
 JL, Bustamante I, Bertini I, Frattin E, Markannen J, Tubiana C, Fulle M, Güttler C, Sierks H,
 Rotundi A, Della corte V, Ivanovski SL, Zakharov VV, Bockelée-Morvan D, Blum J, Merouane S,
 Levasseur-Regourd AC, Kolokolova L, Jardiel T, Caballero A. Experimental Phase Function and
 Degree of Linear Polarization Curves of Millimeter- sized Cosmic Dust Analogs. Astrophysical J
 Suppl 2020; 247:19. DOI: 10.3847/1538-4365/ab6851.

470

6. Milli J, Engler N, SchmidH, Olofsson J, Menard F, Kral Q, Boccaletti A, Thébault P, Choquet
E, Mouillet D, Lagrange AM, Augereau JC, Pinte C, Chauvin G, Dominik C, Perrot C, Zurlo A,
Henning T, Beuzit JL, Avenhaus H, Bazzon A, Moulin T, Llored M, Moeller-Nilsson O, Roelfsema
R, Pragt J. Optical polarised phase function of the HR 4796A dust ring. Astron. Astrophys. 2019;
626:A54, DOI: 10.1051/0004-6361/201935363.

476

477 7. Andersson BG, Lazarian A, Vaillancourt JE. Interstellar dust grain alignment. Ann. Review478 Astron. Astrphys. 2015; 53:1, 501-39.

479

8. Daugeron D, Renard JB, Gaubicher B, Couté B, Hadamcik E, Gensdarmes F, Basso G, Fournier
C. Scattering properties of sands, 1. Comparison between different techniques of
measurements/ Applied Opt 2006;45:8331-37.

483

484 9. Maconi G, Penttilä A, Kassamakov I, Gritsevich M, Helander P, Puranen T, Salmi A,
485 Hæggström E, Muinonen K. Non-destructive controlled single-particle light scattering
486 measurement. J Quant Spectrosc Radiat Transf 2018; 204:159-64.

487

10. Renard JB, Worms J-C, Lemaire T, Hadamcik E, Huret, N. Light scattering by dust particles
in microgravity: polarization and brightness imaging with the new version of the PROGRA2
instrument. Appl Opt 2002; 41(4):609-18.

492 11. Renard JB, Hadamcik E, Couté B, Jeannot M, Levasseur-Regourd AC. Wavelength
493 dependence of linear polarization in the visible and near infrared domain for large levitating
494 grains (PROGRA2 instruments). J Quant Spectrosc Radiat Transf 2014; 146:424-30.
495

Hovenier JW, Volten H, Muňoz OK, Van der Zande W, Waters LB. Laboratory studies of
scattering matrices for randomly oriented particles: potentials, problems, and perspectives. J.
Quant. Spectrosc. Radiat. Transf 2003; 79-80:741-55.

499

505

- 13. Volten H, Muňoz O, Rol E, de Haan JF, Vassen W, Hovenier JW. Scattering matrices of
  mineral aerosol particles at 441.6 and 632.8 nm. J.Geophys Res 2001; 106(D15):17375-01.
- 14. Muňoz O, Moreno F, Guirado D, Dabrowska DD, Volten H, Hovenier JW. The AmsterdamGranada Light Scattering Database. J Quant Spectrosc Radiat Transf 2012; 113(7):564-74.
- 506 15. Mishchenko MI, Yurkin MA. On the concept of random orientation in far-field 507 electromagnetic scattering by non-spherical particles. Opt Lett 2017; 42(3):404-97.
- 16. Grimm H. Eatough DJ. Aerosol measurement: the use of optical light scattering for the
  determination of particulate size distribution, and particulate mass, including the semi-volatile
  fraction. J Air Waste Manage Assoc 2009; 59:101-07.
- 512
- 17. Gao RS, Telg H, McLaughlin RJ, Ciciora SJ, Watts LA, Richardson MS, Schwarz JP, Perring
  AE, Thornberry TD, Rollins AW, et al. A light-weight, high-sensitivity particle spectrometer for
  PM2.5 aerosol measurements. Aeros Sci Technol 2016; 50:88–9.
- 516
- 18. Renard J.B, Dulac F, Berthet G, Lurton T. Vignelles D, égou F, Tonnelier T. Jeannot M, Couté
  B, Akiki R. et al. LOAC, a light aerosols counter for ground-based and balloon measurements
  of the size distribution and of the main nature of atmospheric particles, Principle of
  measurements and instrument evaluation. Atmos Meas Technol 2016; 9:172142.
- 19. Zerull RH, Gustafson BÅS, Schulz K, Thiele-Corbach E. Scattering by aggregates with and
  without an absorbing mantle: microwave analog experiments. Appl Opt 1993;32: 4088–100.
  https://doi.org/10.1364/ao.32.004088.
- 525
- 20. Gustafson BÅS, Kolokolova L. A systematic study of light scattering by aggregate particles
  using the microwave analog technique: Angular and wavelength dependence of intensity and
  polarization. J Geophys Res Atmos 1999; 104:31711–20.
  https://doi.org/10.1029/1999JD900327.
- 530
- Sabouroux P, Stout B, Michel Geffrin J, Eyraud C, Ayranci I, Vaillon R, Selçuk N. Amplitude
  and phase of light scattered by micro-scale aggregates of dielectric spheres: Comparison
  between theory and microwave analogy experiments. J Quant Spectrosc Radiat Transf 2007;
  103:156–67. https://doi.org/10.1016/j.jqsrt.2006.06.001.
- 535
- 536 22. Merchiers O, Geffrin JM, Vaillon R, Sabouroux P, Lacroix B. Microwave analog to light
  537 scattering measurements on a fully characterized complex aggregate. Appl Phys Lett
  538 2009;94:2–4. https://doi.org/10.1063/1.3129196.

540 23. Merchiers O, Eyraud C, Geffrin J-M, Vaillon R, Stout B, Sabouroux P, et al. Microwave measurements of the full amplitude scattering matrix of a complex aggregate: a database for 541 542 the assessment of light scattering codes. Opt Express 2010;18:2056. https://doi.org/10.1364/oe.18.002056. 543 544 24. Vaillon R, Geffrin JM. Recent advances in microwave analog to light scattering 545 Radiat Transf 2014;146:100-5. 546 experiments. Quant Spectrosc J https://doi.org/10.1016/j.jqsrt.2014.02.031. 547 548 25. Vaillon R, Geffrin JM, Eyraud C, Merchiers O, Sabouroux P, Lacroix B. A new 549 550 implementation of a microwave analog to light scattering measurement device. J Quant 551 Spectrosc Radiat Transf 2011; 112:1753–60. 552 553 26. Yon J, Bescond A, Liu F. On the radiative properties of soot aggregates part 1: Necking and 554 overlapping. J Quant Spectrosc Radiat Transf 2015; 162: 197-206. 555 556 27. Bond TC, Bergstrom RW. Light absorption by carbonaceous particles: and investigative review. Aeros Sco Techn 2006; 40: 27-67. 557 558 559 28. Draine BT, Lee HM. Optical properties of interstellar graphite and silicate grains. Astrophys J 1984; 285:89D. 560 561 29. van de Hulst H. Light scattering by small particles. John Wiley and Sons eds., New York 562 (USA) 1957. 563 564 30. Saleh H, Charon J, Dauchet J, Tortel H, Geffrin JM. Microwave analog experiments on 565 566 optically soft spheroidal scatterers with weak electromagnetic signature. J Quant Spectrosc. Radiat Transf 2017; 196: 1-9. 567 568 569