

# Micro- and nanoplastics' transfer in freezing saltwater: Implications for their fate in polar waters

Alice Pradel, Maud Gautier, Dominique Bavay, Julien Gigault

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## **Environmental Significance Statement**

Accumulation of plastic debris in the Arctic Ocean has been predicted by ocean general circulation models and confirmed by field measurements. Understanding the behavior of plastic debris between seawater and ice is crucial to determine their distribution, transport, and accumulation in polar regions and to predict the potential impact of these particles on organisms and physical and biogeochemical processes. Our study proposes a new lab-scale experiment that reproduces sea-ice growth to evaluate the dynamic of microplastic vs. nanoplastics at the sea-ice interface. Our results demonstrate that while microplastics are retained in ice, nanoplastics are expulsed from them, raising new questions about the possible localization, sink, and impact of plastic debris in the Arctic.

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## Micro- and nanoplastics' transfer in freezing saltwater: Implications for their fate in polar waters

3 Pradel Alice<sup>1,2</sup>, Gautier Maud<sup>1</sup>, Bavay Dominique<sup>1</sup>, Gigault Julien<sup>1,2</sup>

<sup>1</sup> Univ Rennes, CNRS, Géosciences Rennes - UMR 6118, 35000 Rennes, France

<sup>2</sup> TAKUVIK, CNRS, Université Laval – UMI 3376, Quebec, Canada

## 7 Abstract:

Plastic debris accumulate in the Arctic by way of oceanic and atmospheric circulation. High concentrations of microplastics (1 $\mu$ m to 5 mm) have been measured, and nanoplastics (<1 $\mu$ m) are expected to be abundant as well. However, little is known about the mobility of micro-and nanoplastics at the seawater/ice interface. This study investigates the fate of micro- and nanoplastics during sea ice formation. A novel experimental approach simulates the growth of sea ice by progressively freezing a saline solution. After different durations of freezing, the concentration of NaCl, natural organic matter, microplastics, and nanoplastics was measured in the ice and liquid. Micro- and nanoplastics' distribution coefficient between saltwater and ice was determined, reflecting their behavior during congelation sea ice growth. The results show that microplastics are retained in ice while nanoplastics are expulsed from it. Furthermore, natural organic matter plays a crucial role in stabilizing nanoplastics at this interface. These results raise new questions concerning the impact of micro- and nanoplastics in fragile polar environments and the analytical strategy to detect them. 

## 24 Keywords:

25 Plastic debris, Arctic, Cryosphere, Pollution, Sea ice, Transfer, Natural Organic Matter

#### Introduction

Plastic debris is globally recognized as an urgent and multi-faceted issue(1–3). More than half of all of the total plastic produced on Earth has been discarded(4) and due to mismanagement, it is estimated that over 25% of all the plastic produced makes its way into the environment(5). Microplastics (1µm to 5 mm) have already contaminated Earth's compartments, from freshwater and terrestrial systems(6) to more remote areas such as the Arctic Ocean(7-20). Indeed, microplastics were ubiquitous throughout Arctic waters and sediments, with surface water concentrations ranging from  $1.1 \times 10^2$  to  $1.3 \times 10^3$  particles per m<sup>3</sup> (14). Although comparing microplastic concentrations from different studies is difficult due to the diversity of sampling and characterization methods, several studies suggest that Arctic concentrations are close to those reported in populated areas and accumulation zones(7,10-20). Indeed, average concentrations in surface waters of the Arctic Ocean of 0.34  $\pm 0.31$  particles per m<sup>3</sup> are in the same order of magnitude than at the surface of the North Downloaded on 9/13/2021/3:38:42 AM. Pacific and North Atlantic subtropical gyres(7). Other studies found lower(10) similar(11,12,12,16,17,19) or higher(14,16,18,19) surface and subsurface concentrations of microplastics. Another study has concluded that while maximal concentrations are still higher in subtropical gyres compared to the Arctic Ocean, median concentrations are similar(20). As for other anthropogenic contaminants, long-distance transport of microplastic by atmospheric and oceanic currents is responsible for their high concentration in the Arctic Ocean, where the direct human impact is low(21,22). Indeed, Atlantic waters were identified as the primary source of microplastics, followed by Siberian river waters(10). While analysis of fibers' surface chemistry revealed that particles found in the western Arctic were increasingly Rublished on 09 September 2021. weathered, suggesting that inputs from the Atlantic Ocean or atmospheric deposition were important sources(18). The number of microplastics in Arctic sediments are three orders of magnitude higher than in surface and subsurface waters, corroborating that sediments are one of the potential sinks of microplastics in the Arctic(14). 

Sea ice is particularly impacted by microplastic contamination, since microplastics accumulate in sea ice compared to seawater. Only one study simultaneously sampled microplastics in both sea ice and the underlying seawater during the same expedition. In the Arctic Central Basin, they found that the majority of microplastics detected were fibers and their concentrations were approximately 3 orders of magnitude higher in sea ice than seawater(19). Similar enrichment of microplastic in Antarctic sea ice was reported with average concentrations of 3.1 x 10<sup>-2</sup> particles per m<sup>3</sup> in surface water and 1.2 x 10<sup>4</sup> in sea ice(23). Similarly, concentrations of microplastics in sea ice were significantly higher than in accumulation zones such as the Pacific Gyre(8,9,15). When studying sea ice cores, snow, and meltwater in the Western Arctic, the snow had a limited contribution to the overall sea ice load of microplastics, suggesting instead that incorporation of microplastics dispersed in seawater is the dominant pathway for accumulation in sea ice(15). After their accumulation in sea ice, microplastics can be transported by sea ice drift and redistributed during sea ice melt(9,19). Indeed, a thorough investigation of microplastic concentrations in polar waters and sediments found that the highest concentration of their study (which was conducted during one expedition, using the same sampling and analysis methods) was  $(1.3 \times 10^3)$ particles per m<sup>3</sup>) at the marginal ice zone in the surface waters of the Greenland Sea(14). Therefore, it is crucial to better understand how plastic debris transfer between seawater and sea ice, in order to determine their distribution, transport, and accumulation in polar regions. 

This is especially critical since sea ice is a habitat that supports large communities of algraduate Online 71

- which form the base of the food web(24,25). Furthermore, due to climate change, sea ice is 72 shifting from multi-year ice to thinner annual ice, making it especially important to 73
- understand the mobility of plastic debris during sea ice growth(26). 74

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While microplastics' presence in the Arctic and its impact on polar organisms have garnered 75 much attention(11.12.27.28), nanoplastics (<  $1\mu$ m)(29) represent an invisible portion of 10 76 11 77 plastic debris unaccounted for in oceanic general circulation models(30,31) and impact 12 assessment(32,33). Indeed, during the lifecycle of plastic debris, photo-oxidative and 78 13 mechanical degradation produce nanoplastics(34-37). Due to their colloidal properties, such 79 14 as their high diffusivity and specific surface area, nanoplastics pose a potentially more 80 15 insidious risk compared to other sizes of plastic debris(38). Despite the scientific, political, 81 16 and public concern(1,33,39,40), to our knowledge, nanoplastics have not yet been detected in 82 17 18 83 the Arctic Ocean. This lack of evidence is due to their heterogeneous properties (size, shape, 19 84 composition, etc.) and the associated analytical challenges to characterize them in environmental samples(29,41). However, nanoplastics are expected to be present due to the 85 long-range transport of plastic debris, allowing for more degradation. In particular, the ozone 86 87 depletion in polar regions is expected to accelerate plastic degradation into nanoplastics by photo-oxidation(21,34,42). 88

Bublished on 09 September 2021. Boyal raded on 9/137402173841 AM. 6 8 2 9 5 7 6 6 7 1 0 6 8 2 9 5 7 6 6 7 1 0 Since quantifying microplastics and nanoplastics in polar environments is highly challenging 89 and since particles' mobility between seawater and ice is a complex process, experimental 90 modeling must be used to understand and predict the fate of these plastic debris(19). Indeed, 91 on top of analytical challenges, sampling microplastics and nanoplastics within sea ice and 92 polar waters presents additional challenges such as the variability of natural features (ice 93 94 structure, temperature, biological activity, etc.), seasonality, and lack of infrastructure. 95 Furthermore, the processes by which dissolved or particulate material is either rejected from sea ice or captured in sea ice are complex(43.44). When water freezes, it rejects impurities 96 97 from its crystalline structure. A well-known example of this is the rejection of brine from sea ice, which causes the sinking of cold and dense water, in turn driving the thermohaline 98 circulation(45,46). However, many of these dissolved species accumulate in localized areas of 99 the sea ice, which leads to the formation of bubbles (when gas' solubility limits are exceeded) 100 and brine pockets (as salts lower the freezing temperature of the liquid)(44,47). Different 101 40 processes lead to the accumulation of particulate species in sea ice. High enrichment of 102 41 103 particulate matter occurs during the growth of frazil ice in turbulent waters, which can lead to 42 the production of "dirty ice" enriched in sediments(48–50). Also, particles can be captured in 104 43 44 thick sea ice during congelation freezing in calmer waters. At this point, buoyant particles can 105 45 become entrapped in sea ice given a sufficient speed of advancement of the freezing 106 46 107 front(44,51–53). Globally, the capture of impurities in sea ice depends on parameters such as 47 and density(48,51,54), which the 108 particle size the speed at freezing front 48 advances(52,53,55,56), water turbulence(49,50) as well as compatibility of the impurity with 109 49 solid water(51). In particular, for frazil ice growth, larger and denser sediments require more 110 50 turbulence to become suspended and entrapped in frazil ice crystals(48). For congelation 111 51 52 growth, larger particles can be entrapped at a lower speed of advancement of the freezing 112 53 front, compared to smaller particles(53,56). Since many processes co-occur, it is necessary to 113 54 understand how these separately affect the mobility of microplastics and nanoplastics. 114 55 Recently, a mesocosm experiment has studied the incorporation of microplastics during sea 115 56 ice growth(57). They found that microplastics were enriched in sea ice relative to the 116 57 underlying seawater. In particular, concentrations were highest at the surface, suggesting 117 58 efficient incorporation during initial sea ice formation and slower accumulation rates during 59 118 60

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This study aims to quantify microplastics and nanoplastics' flux between saltwater and a freezing front in non-turbulent conditions. A novel lab-scale freezing reactor simulates the seawater/ice interface and allowed the quantification of microplastic and nanoplastic transfer between liquid water and a growing ice front. This freezing reactor has more controlled freezing conditions than previous mesoscale studies(57), allowing for a more mechanistic understanding of plastic particles' fate. Nanoplastics' stability at this interface was assessed in light of their physical properties (size, surface, shape) and the presence of relevant natural organic matter (NOM). 

#### **Materials and Methods**

#### **Materials**

All solutions and colloidal dispersions were prepared with deionized (DI) water (Millipore, 18.2 M $\Omega$ ). A stock solution of NaCl (solid, LabKem ExtraPure) was prepared at a concentration of 100 g kg<sup>-1</sup>. The sodium alginate (SA) stock solution was prepared by adding SA (solid, Acros Organics) in DI water and agitating at 400 rpm for 12 hours. The stock solutions had concentrations of approximately 1 g kg<sup>-1</sup> as determined with a Total Carbon Analyzer (TOC-V CSH, Shimadzu, Japan). According to the SA's molecular composition, 1 mg kg<sup>-1</sup> of organic carbon was converted to 2.8 mg kg<sup>-1</sup> alginate. 

Bublished on 09 September 2021. The two spherical models studied, nPSL-200 and nPSL-350 were purchased from Polysciences<sup>®</sup> (Polybead<sup>®</sup> Carboxylate Orange Dyed Microspheres 0.20 µm and Polybead<sup>®</sup> Carboxylate Microspheres 0.35µm, Warrington USA). Stock dispersions at a concentration of 100 mg kg<sup>-1</sup> were prepared. The nanoplastic model with irregular shapes and polydisperse sizes (*nPS-360*) was produced by mechanical abrasion of industrial-grade polystyrene (PS) pellets (Total, Paris, France) with the method described by El Hadri et al. (2020)(37). Stock solutions had a concentration of around 40 mg kg<sup>-1</sup>. The PS pellets did not contain additives and were not aged. The microplastic model ( $\mu PS$ ) was obtained by grinding these same PS pellets in a coffee grinder for 3 minutes, with interruptions to avoid overheating, and then keeping the particles retained between sieves of 125 and 400 µm. In all experiments, nanoplastic and microplastic concentrations were 10 mg kg<sup>-1</sup> and 4.25 g kg<sup>-1</sup>, respectively. These concentrations are higher than those expected in the environment(58), but were necessary to remain within instruments' detection limits. All solutions' pH was fixed at 8 during 1 hour, using a pH-meter (F20, Mettler-Toledo, Schwerzenbach, Switzerland) and 0.1 

mol kg<sup>-1</sup> solutions of NaOH (Fisher Scientific, Analytical Grade). A summary: 10fo stock 100280E solutions' concentrations is provided in Supplementary Table 1.

#### Method

The effect of freezing on the nanoplastic dispersions was studied in two setups: i) bulk freezing and ii) partial and progressive freezing in a freezing reactor. For bulk freezing, glass vials were filled with 3.5 mL of solution with varying concentrations of NaCl (5 to 55 g kg<sup>-1</sup>) and SA (1 to 100 mg kg<sup>-1</sup>) and were either frozen at  $-22^{\circ}$ C for 3 hours and thawed, or kept at 24°C. Each experimental condition was performed in triplicate. Bulk freezing was used to study the effect of varying concentrations of NaCl and SA on the stability of nanoplastics. Nanoplastic' colloidal stability was assessed by measuring changes in size and concentration between the nanoplastic dispersions that underwent a cycle of freeze/thaw and those that 0 0 8 2 9 2 2 4 20 10 1 28:40 AM remained at room temperature. For the partial and progressive freezing experiments, the experimental protocol is thoroughly illustrated in Supplementary Figure 1. Briefly, 12 mL of solution was inserted in a vessel, which had a capacity of 22 mL. At the end of the experiment, the part of the solution that remained liquid was recovered, then the bottom of the vessel was then rinsed, and finally, the thawed ice was recovered. Once the solutions returned to room temperature, the mass of each phase was weighed, and the solutions were characterized by measuring pH, the concentration of NaCl, micro- and nanoplastics and SA, and the size of nanoplastics. The freezing reactors' vessel and the cold finger were composed of glass with thicknesses between 1.8 and 2 mm. The cold finger contains a cooling liquid in constant circulation through a thermostat (Ecoline RE106 Lauda, Delran, USA). Two reactors were set up in parallel to obtain duplicate experiments. 

The internal temperature of the cold finger was  $-6.7 \pm 0.6$ °C. Ice formation was described by time-lapse photography (Supplementary Figure 2). The first ice was observed after 7 minutes 

Rublished on 09 September 2021. in DI water and after 9 minutes in 35 g kg<sup>-1</sup> NaCl. The maximum freezing speed was 8 µm s<sup>-1</sup> in DI and 4  $\mu$ m s<sup>-1</sup> in NaCl. In DI, the ice grows first parallel to the sides of the refrigerating piece. Upon attaining the walls of the vessel (at 20 to 25 minutes), the ice then grows vertically and stabilizes after 3 hours. In NaCl, freezing follows the same dynamic, except the ice (i) has a more rounded shape, (ii) reaches the walls of the vessel after 25 to 30 minutes, and finally, (iii) the underneath of the ice, in contact with the liquid, systematically thaws after approximately 1.5 hours, after which the ice volume stabilizes. The volume of ice formed in DI is 35% superior to that formed in NaCl. 

The z-average hydrodynamic diameters  $(d_{zH})$  were determined by a dynamic light scattering (DLS) probe (Vasco-Flex, Cordouan Technologies, Pessac, France). The backscattered light is collected at a geometric angle of 170° with respect to the incident beam direction. Each measurement was obtained from an average of five correlation functions that were accumulated for 60 seconds.  $d_{zH}$  is obtained by fitting a monomodal, normal distribution to the raw data (Cumulant algorithm ISO 22412:2008). Nanoplastic concentrations were measured by absorption at a wavelength of 226 nm (UV-2600UV-Visible Spectrophotometer, Shimadzu, Japan). Alginate concentrations were measured by Total Carbon Analyzer (TOC-V CSH, Shimadzu, Japan). The ionic strengths and pHs were measured with a conductivity meter and pH meter (F30 and F20, Mettler-Toledo, Schwerzenbach, Switzerland). The surface potential of the nanoplastics was assessed using a Wallis zetameter (Cordouan Technologies, 

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Pessac, France). The zetameter measures the electrophoretic mobility by laser Doppleticle Online electrophoresis and applies the Smoluchowski model to determine the zeta potential. Mass balances were calculated with the mass of initial solution ( $M_{Init}$ ), and liquid ( $M_{Liq}$ ) and ice ( $M_{Ice}$ ) solutions recovered from the freezing reactor, as well as the SA or particle concentrations measured in the initial solution ( $C_{Init}$ ), and in the liquid ( $C_{Liq}$ ) and ice ( $C_{Ice}$ ) solutions recovered from the freezing reactor. Mass balance of liquid lost was calculated as:  $M_{Init} - (M_{Liq} + M_{Ice})$ 

*M*<sub>Init</sub>

A positive (negative) value indicates a loss (gain) of mass. The distribution of initial SA or

203	particle masses between liquid and ice were calculated as:
204	$(C_{Liq} * M_{Liq})$
204	$\overline{(C_{Init} * M_{Init})}$

205 for the liquid phase, and as:

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$$\frac{C_{Ice} * M_{Ice}}{C_{Init} * M_{Init}}$$

for the solid phases. Losses of SA or particle masses were calculated as 1- (Proportion in Liquid + Ice). Percent variations in concentration (C) and sizes  $(d_{zH})$  were given by the following equations:

$$\frac{(C_{final} - C_{initial})}{C_{initial}} * 100$$

211 and

$$\frac{(d_{zH\,final}\,-\,d_{zHinitial})}{d_{zHinitial}}*100$$

**Results and Discussion** 

Freezing reactors were designed to partially and progressively freeze aqueous solutions, simulating growing sea ice (Figure 1a and Supplementary Figures 1, 2 and 3a). These were composed of vessels containing a solution of 35 g kg<sup>-1</sup> NaCl at pH 8 and a cold finger that was inserted in the solution (t=0). The evolution of the ice and liquid's physical and chemical properties (water mass, ionic strength, and pH) were investigated (Figures 1b and 1c and Supplementary Figure 3b). The water mass balance (the difference between the initial water mass and the sum of liquid and ice masses recovered) is generally well conserved. Indeed, as can be seen in Supplementary Table 2, throughout the 64 data points, most had a final mass balance within  $\pm$  6% of the initial mass. 78% of data points have a positive mass balance indicating a loss of mass. This can be explained by liquid retained on the surfaces of the freezing reactor vessel and in the faucet by capillary action. On the other hand, gain of mass can be explained by condensation of water vapor in the air due to the cold temperatures (either during the experiment or when recovering liquid and ice). Only on 6 occasions, the 

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mass balance was superior to  $\pm 6\%$ , with a loss of 7%, 7%, 8%, and 22% and two gains of the Online 228 18%.

The initial NaCl concentration is 35 g kg<sup>-1</sup> which is typical of the Atlantic sector of the Arctic Ocean and areas below 80° N(59). During freezing, NaCl segregates between liquid and ice: its concentration decreases in ice and increases proportionally in the liquid phase, reaching 40 g kg<sup>-1</sup> (Figure 1b). This is due to freeze-exclusion, the mechanism by which impurities such as ions are expulsed from the crystalline structure of ice. Some of the NaCl is not expulsed out of the ice structure but is incorporated in it, forming the brine channels and pockets(55,60). These zones remain liquid since the accumulation of salt lowers the freezing point of brine. Hence, in ice, substantial heterogeneity in NaCl concentrations is expected. Nonetheless, the average salinity of ice decreases from 14.6 g kg<sup>-1</sup> at 2 hours, which is typical of the early stages of freezing(60,61) to 5.6 g kg<sup>-1</sup> at 24 hours, which is typical for first year ice(46,62). The mass balance of salts was also generally conserved. Although the amount of NaCl losses  $(7.6 \pm 3.3 \%)$  was superior to the mass of water losses  $(2.0 \pm 5.6 \%)$  it was less variable. There is only a slight positive correlation between mass of water lost and mass of NaCl lost ( $R^2 =$ 0.19). There is no correlation between mass of particles or alginate lost and mass of NaCl lost  $(R^2 = 0.08)$  (Supplementary Table 2). The loss of NaCl cannot be attributed to precipitation since NaCl is soluble at these temperature and concentrations. However, since conductivity is positively correlated to temperature, this loss of NaCl could be a deviation caused by measurements of conductivity at temperatures slightly lower than the ambient temperature, despite the care in waiting for samples to return to ambient temperature. 

Bublished on 09.5 entermber 2021. Boyul mudeh on 9/137402173841, AM. 6 8 2 9 5 4 6 7 7 1 0 6 8 2 9 5 7 6 7 7 0 Freezing is known to produce changes in pH(61,63) and nanoplastics are sensitive to pH changes when they contain surface functional groups such as carboxylic acids (which is the case for nPSL-200 and nPSL-350)(5). Therefore, the pH changes during freezing were investigated. Initially, the pH of all solutions studied are fixed at  $8.07 \pm 0.05$ , as illustrated by "Reference (Initial)" in Figure 1c. During the experiment, a part of this initial solution is kept at room temperature and shows a decrease in pH of  $1.42 \pm 0.12$  pH units, as illustrated by "Reference (final)" (Figure 1c). This is due to the reaction of atmospheric CO<sub>2</sub> with hydroxide ions which forms carbonates. The pH of the liquid and thawed ice recovered from the freezing reactors, were measured at room temperature. In the liquid phase, the decrease in pH is not significantly different from that of the same solution kept at room temperature (-1.50  $\pm$  0.29 pH units). However, the thawed ice is systematically more acidified: with a decrease of  $2.04 \pm$ 0.25 pH units. This is due to the higher solubility of CO<sub>2</sub> at lower temperatures, which increases the transfer of aqueous  $CO_2$  to the colder section of liquid in the freezing reactor. This is supported by the fact that there is no enhanced acidification after complete (bulk) freeze/thaw experiments, compared to a solution that remained at room temperature. This evolution of pH typical of that of sea ice without photosynthetic activity, since in natural sea ice, the excess  $CO_2$  in ice is consumed by photosynthetic organisms(61). 

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Figure 1 : a) Freezing reactor at the beginning of freezing (T=0) and after 24 hours of freezing (T=24h) of 35 g kg<sup>-1</sup> NaCl. Evolution of (b) NaCl concentrations and (c) pH of the liquid, thawed ice, and reference solution, for initial solutions containing 35 g kg<sup>-1</sup> NaCl at pH 8 frozen in the freezing reactor. (n=40, i.e.: duplicates of 20 different solutions; whiskers represent standard deviation).

 Model nanoplastics and microplastics were used to study the segregation of these particles are online

presented in Supplementary Figure 4. Concerning nanoplastics, two spherical polystyrene latex (PSL) particles with monodisperse sizes of 200 nm (*nPSL-200*) and 350 nm (*nPSL-350*) and a more environmentally relevant nanoplastic model (nPS-360) were studied. nPSL-200 contain trace amounts of surfactants whereas *nPSL-350* have been purified. Finally, *nPS-360* is produced by mechanical abrasion of polystyrene (PS) pellets. Due to the synthesis method, nPS-360 are surfactant-free and have an irregular, asymmetrical shape and polydisperse sizes. Nanoplastics' behavior was assessed in the presence of sodium alginate (SA). SA is a natural organic matter (NOM) that serves as a proxy for exopolymeric substances (EPS)(64) which are abundant in sea ice(65). The surface potential showed that *nPSL-200* and *nPSL-350*, with zeta-potentials of  $-50.5 \pm 5.5$  mV and  $-43.7 \pm 5.1$  mV, respectively, are more negatively charged than *nPS-360*, which has a zeta-potential of  $-28.1 \pm 5.6$  mV. This also contributes to making nPS-360 a more environmentally relevant particle. The presence of SA did not significantly modify the surface potential of nanoplastics (Supplementary Figure 5). Finally, a microplastic model ( $\mu PS$ ) was produced using the same PS pellets as for *nPS-360*.

Table 1: Characteristics of the nanoplastic (nP) and microplastic ( $\mu$ P) models studied.

	Name	Synthesis Method	d <sub>zH</sub> <sup>a</sup> or Sieve Mesh Size* (μm)	PDI <sup>b</sup>	Morphology	Natural Organic Matter	Environ- mental Relevance
	nPSL-200	Emulsion polymerization	0.168	0.0261	Spherical	No	-
	<i>nPSL-200</i> + SA						+
	<i>nPSL-350</i> + SA	Emulsion polymerization Surfactant-free	0.337	0.0889		Alginate	++
	nPS-360 + SA μPS	Mechanical abrasion of PS pellets	0.364	0.102	Asymmetrical and irregular		+++
			125 to 400 *	NA	Asymmetrical and irregular	No	++

<sup>a</sup>z-average hydrodynamic diameter; <sup>b</sup>Polydispersity index (PDI), defined as the variance of the Gaussian-fitted size distribution.

Nanoplastics are sensitive to concentrations of NaCl and SA due to their colloidal properties. Indeed, on the one hand, NaCl may cause rapid aggregation and sedimentation by screening the electrostatic repulsion between particles. On the other hand, SA prevents this destabilization by electrostatic and steric repulsion(66). The ice growth in the freezing reactor produces gradients of NaCl and SA (the latter will be discussed below). Therefore, nanoplastics' stability after freeze/thaw was assessed with varying concentrations of SA and NaCl: in addition to the experiments conducted in the freezing reactor, nanoplastics' were studied in bulk experiments by entirely freezing solutions at -20°C and thawing them at 24°C. 

After bulk freeze/thaw nPSL-200 formed aggregates independently of NaCl and SA concentrations (Supplementary Figure 6). As solidifying water rejects particles from its crystalline structure, their concentration increases at the freezing front, which increases the possibility of collision and induces aggregation(67). Indeed, freezing is known to destabilize colloidal dispersions such as biopolymers (ex: milk) and solid colloids (ex: latex paints, 

nanoplastics)(67–69). In pure water (without NaCl), the aggregation is more likely due to which have a set of the set of strong mechanical stress caused by the growth of the homogenous crystalline structure of ice(70). However, with NaCl, the ice structure is more porous, causing less mechanical stress. In this case locally high concentrations of NaCl are more likely to cause aggregation. When *nPSL-200* are frozen in NaCl without SA, the large aggregates sediment, causing a 22 to 41% decrease in concentrations compared to particles that remained at room temperature (Figure 2a). However, with 50 mg kg<sup>-1</sup> SA the aggregates formed did not sediment (Figure 2a). SA also successfully stabilized nPSL-350 and nPS-360 after one freeze/thaw cycle with up to 55 g kg<sup>-1</sup> NaCl (Figure 2a). At 35 g kg<sup>-1</sup> NaCl, even low concentrations of SA (15 mg kg<sup>-1</sup>) are sufficient to stabilize all particles (Figure 2b). This demonstrates that the association of SA with nanoplastics prevents their destabilization during freezing. Since naturally occurring organic matter is expected to be more abundant than nanoplastics(58,71) and to study nanoplastics that retained their colloidal behavior during freezing, the initial concentration of SA in the freezing reactor was set at 50 mg kg<sup>-1</sup> (for an initial concentration of nanoplastics of 10 mg kg<sup>-1</sup>). 



Figure 2: Variations of concentration of nanoplastics (nPs) after bulk freeze/thaw a) as a function of NaCl concentration (keeping SA at 50 mg kg<sup>-1</sup>, if present) and b) as a function SA (keeping NaCl at 35 g kg<sup>-1</sup> NaCl). 

Bublished on 09 September 2021. When solutions are frozen in the freezing reactor, nanoplastics and SA can separate between liquid and solid phases, mimicking their mobility during sea ice growth in polar waters. Figure 3 shows the relative distribution of SA (Figure 3a) and *nPSL-200* (Figure 3b) between water and ice, in de-ionized water and in 35 g kg<sup>-1</sup> NaCl. All concentrations of species that were frozen in the reactor are presented in Supplementary Table 2. Both species are expulsed from ice to water, akin to NaCl. For both SA and *nPSL-200*, the expulsion from ice to water is less pronounced when NaCl is involved (Figure 2 and Supplementary Figure 7). As for bulk experiments, in the freezing reactor losses of SA and *nPSL-200* mass are higher in deionized water ( $12 \pm 10\%$  for SA and  $30 \pm 7\%$  for *nPSL-200*) than in 35 g kg<sup>-1</sup> NaCl ( $-1 \pm 4\%$  for SA and  $27 \pm 16\%$  for nPSL-200). The growth of pure water crystals without the porous areas formed by brine physically forces alginate molecules and *nPSL-200* particles together(68,70). When frozen in NaCl, they are subject to less shear strength in the brine pockets than between the pure ice crystals of deionized water. Therefore, SA and *nPSL-200* frozen in deionized water aggregate and either are not recovered from the faucet of the freezing reactor or, if recovered, sediment out of solution due to their large size. In both cases, this fraction will not be measured by absorbance or total organic carbon measurements, which leads to losses in the mass balance. The loss of SA and nPSL-200 when frozen in deionized water as well as the increased proportion of SA and *nPSL-200* present in ice when frozen in 35 g kg<sup>-1</sup> NaCl 

338 strongly suggests that SA and *nPSL-200* are trapped in brine pockets. Indeed View Haidle Online 339 accumulation of NOM in brine pockets has already been established (43,72).

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When *nPSL-200* is dispersed in NaCl without SA, their concentration decreases in both liquid and ice, indicating a global loss of mass (Figure 3b). Indeed, losses amount to  $10 \pm 2\%$ ,  $29 \pm$ 5%,  $24 \pm 0\%$ ,  $52 \pm 3\%$  of the total mass at 2, 5, 7 and 24 hours (Supplementary Table 2). This is due to aggregation followed by sedimentation in the liquid, as predicted by bulk experiments (Figure 2a), and confirmed by size measurements (discussed below). Globally, SA's presence significantly reduced the loss of nanoplastics by sedimentation in 35 g kg<sup>-1</sup> NaCl with losses equal to  $9 \pm 7\%$ ,  $6 \pm 2\%$ ,  $7 \pm 7\%$ ,  $13 \pm 7\%$  of total mass at 2, 5, 7 and 24 hours (Supplementary Table 2). These losses show no time-dependency which confirms that SA has a stabilizing role, since aggregation is time-dependent. Similarly, losses of nPSL-350 and *nPS-360* in NaCl and SA did not show time-dependency and were of the same magnitude  $(11 \pm 3\% \text{ and } 8 \pm 3\% \text{ averaged over the four freezing durations, for$ *nPSL-350*and*nPS-360* respectively).



352 Time (hours)
353 Figure 3 : Variation of the concentration of a) SA and b) nPSL-200 in liquid and ice, as a function of the
354 duration of freezing in the reactor. The empty symbols represent de-ionized water at pH 8. Symbols with a cross
355 represent 35 g kg<sup>-1</sup> NaCl at pH 8. For nPSL-200, the full symbol represents a dispersion in 35 g kg<sup>-1</sup> NaCl and
356 50 mg kg<sup>-1</sup> SA at pH 8.

All nanoplastic models (*nPSL-200, nPSL-350*, and *nPS-360*) show the same global behavior in the presence of NaCl and SA (Figure 4). Their concentration increases in the liquid and decreases in the ice over time, following a similar trend as that of NaCl and SA (Supplementary Figure 8). In ice, *nPSL-200* concentration is reduced by 47 to 53%, while the concentrations of *nPSL-350* and *nPS-360* decrease continually (-45% to -63% and -38% to -90%, respectively). The larger *nPSL-350* is more slowly expulsed from ice than its smaller counterparts (*nPSL-200* and *nPS-360*). Indeed, most *nPS-360* particles are smaller than their hydrodynamic diameter ( $d_{zH} = 360$  nm), since due to the high polydispersity of the size distribution (PDI = 0.102) the scattered light is dominated by the larger particles(73,74). The diffusion coefficient drives the rate of expulsion from ice to saltwater, as expected theoretically(75,76). Indeed NaCl, which diffuses most rapidly, has a stronger expulsion rate (Supplementary Figure 8). However, SA is slowly expulsed from ice due to its linear structure which prevents it from diffusing like salts or colloids (Figure 2 and Supplementary Figure 8) 

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(43,65). Even though *nPS-360* has many particles that are similar in size to *nPSL*+2003 theyM00280E
are not expulsed from the ice at the same rate. Since particles are likely to aggregate in ice and
since nanoplastics are particularly sensitive to aggregation, differences in nanoplastic
retention in ice during freezing could be attributed to differences in aggregation states.



Figure 4: Variation of the concentration of nanoplastics (nPs) in the liquid and ice, as a function of the duration
of the freezing in the reactor. Nanoplastics were dispersed in 35 g kg<sup>-1</sup> NaCl and 50 mg kg<sup>-1</sup> SA at pH 8.

To understand differences in nanoplastic retention in ice, variations of nanoplastics' sizes recovered in liquid and ice were investigated (Figure 5). With NaCl and SA no aggregation is observed, and all nanoplastics (*nPSL-200*, *nPSL-350* and *nPS-360*) remain stable in both saltwater and ice. SA stabilizes nanoplastics at high ionic strength by wrapping the particles and providing electrostatic and steric repulsion between the particles (66). So, the differences in expulsion between different types of nanoplastic cannot be attributed to differences in aggregation state. Without SA, *nPSL-200* that remained in ice are aggregated, whereas they are not in the liquid. In the liquid, aggregated nPSL-200 can sediment and leave the suspension during the experiment, as illustrated by the significant losses of nPSL-200 in these conditions (Supplementary Table 2). However, in ice, nPSL-200 are trapped at high concentrations in brine pockets and channels. They aggregate due to higher collision rates and favorable collisions (screened the electrostatic repulsion), as observed in bulk freezing experiments and cannot sediment out of the ice (Supplementary Figure 6). 

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Figure 5: Variation of the hydrodynamic radius  $(d_{zH})$  of different nanoplastic particles dispersed in 35 g kg<sup>-1</sup> NaCl and recovered from liquid (left) and ice (right) after different durations of freezing in the reactor.

Due to their larger size and buoyancy, microplastics' showed an opposite behavior compared to nanoplastics (Supplementary Figure 9). The proportion of microplastics located in the ice was  $68 \pm 10\%$  after 2 hours of freezing,  $76 \pm 9\%$  after 5 hours,  $69 \pm 10\%$  after 7 hours and 77  $\pm$  4% after 24 hours. Total losses of microplastics are not time-dependent and were generally higher and more variable than for nanoplastics  $(14 \pm 8\% \text{ and } 9 \pm 4\% \text{ for microplastics and})$ nanoplastics, respectively, Supplementary Table 2). Contrary to nanoplastics or others colloidal materials, microplastics are not a homogenous dispersion. Therefore, small losses of water mass can lead to large losses of microplastic particles. Also, due to their larger size, it is more likely that microplastics be retained in the vessel. 

Microplastics' incorporation in ice depends on their location at the moment of freezing. Since all microplastic particles studied here are buoyant, they were preferentially entrapped in the ice which grows from top to bottom. Since the volume of microplastics in ice are much higher than volume of brine pockets and channels it is expected that these particles are not solely trapped in brine pockets and channels (as are nanoplastics), but rather entrapped by the growing ice crystals. Microplastics' buoyancy is attributed to their density and to their hydrophobicity. Microplastics' density of 1055 kg em<sup>-3</sup> is relatively close to that of 35 g kg<sup>-1</sup> NaCl (1020 kg m<sup>-3</sup>). Also, microplastics were hydrophobic since they were produced from pure PS which was mechanically degraded but not oxidized. Due to their hydrophobicity, a large part is attracted to the air/water interface due to the surface tension of water(77), similar to accumulation of microplastic at sea surface microlayer(78). Mesocosm experiments also 

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View Article Online showed that during the initial stages of freezing, ice crystals preferentially trap down density MO0280E microplastics(57).

The use of initial microplastic mass concentrations that are higher than nanoplastic concentrations could lead to differences in the morphology and speed of the freezing front, and therefore to their microplastics' distribution between liquid and ice(75,79). However, we observed no differences in the speed of advancement of the freezing front, in the proportion of liquid and ice formed, nor in the distribution of NaCl between liquid and ice. High particle concentrations can also lead to entrapped of multiple particles at a time which could lead to higher enrichment rate in ice(79). However, this is a minor effect compared to the importance of the speed and morphology of the freezing front(79). Therefore, our conclusions about nanoplastics and microplastics behavior in saltwater remain valid despite our use of concentrations that are significantly higher than concentrations measured or estimated in the environment(58). 

Due to their large size, microplastics movement is solely affected by gravity and water advection. In this experimental setup, there is only water convection caused by differences in salinity and temperature, which is minimal compared to the water flow expected in the Arctic Ocean. So, while we show that micrometric fragments of PS will be trapped by ice if they are in the vicinity of the ice front, processes are expected to be more complex in the environment. First of all, water turbulence can move microplastics into the sea ice matrix, comparable to microplastic transport in porous media. Secondly, differences in behavior can be expected depending on microplastic composition and shape. Microplastics in polar waters have a wide array of compositions (polyethylene, polyethylyene terephthalate, etc) and some studies suggest that the majority are fibers(8,15,17–19). Finally, microplastics in the Arctic Ocean are mostly expected to come from distant areas. Therefore, their surface is expected to be highly oxidized and covered with an eco-corona. Due to this surface aging, they may be less hydrophobic and have less affinity for the air/water interface. 

Based on this experimental work, we propose, for the first time, a distribution coefficient of nanoplastics and microplastics between the ice and the saltwater  $log(\frac{C_{ice}}{C_{liquid}})$ , illustrated in Figure 6 according to the freezing time. This experimental work shows that plastic debris have size-dependent behavior at this saltwater/ice interface. This is expected from previous experiments and field studies concerned with the distribution of natural particles between saltwater and ice(43,51,56,75,76,79). Indeed, the distribution coefficient is negative for nanoplastics (ranging from -0.27 to -1.10) and positive for microplastic (0.85 to 1.26). For both the microplastics and nanoplastics, except *nPS-360*, no clear evolution of the distribution coefficient is observed over time, showing the importance of the first stage of ice formation on the segregations of plastic debris according to their size. The continued expulsion of *nPS*-360 in ice at 24 hours, should be further explored. These distribution coefficients can be inform numerical models of plastic debris' fate in the Arctic(80). 

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457 458 Figure 6: Logarithm of nanoplastics' and microplastics' distribution between ice and liquid phase ( $C_{ice}/C_{liquid}$ ) as 459 a function of the duration of freezing in the freezing reactor. Experiments were conducted with 35 g kg<sup>-1</sup> NaCl 460 and 50 mg kg<sup>-1</sup> SA.

The different behaviors of micro- and nanoplastics expected during congelation growth of sea 461 462 ice are summarized in Figure 7. Due to their colloidal nature, most nanoplastics are rapidly 463 expulsed from sea ice, whether formed from freshwater (left) or saltwater (middle and right). The nanoplastics retained in freshwater ice may be strongly aggregated by the shear stress of 464 465 growing ice crystals (aggregates not shown). Nanoplastics frozen in saltwater will be able to 466 accumulate in the brine pockets of sea ice. The growing ice front entraps non-colloidal plastics (microplastics). In saltwater, SA stabilized nanoplastics during freezing (right), 467 suggesting that native NOM with high polysaccharide content will cause nanoplastics to 468 469 remain suspended under sea ice. However, this saltwater/ice interface is also rich in sinking materials of chemical and biological origin such as gypsum and algae, which will propel 470 nanoplastics down the water column to sediments(25,61). So, while sea ice acts as a medium 471 to short-term sink for microplastics, it may accelerate nanoplastics' sedimentation. This 472 highlights the fact that sub-micrometric plastic debris cannot be lumped into the same 473 474 category as microplastics(29).



477 Figure 7: Summary of nanoplastics' and microplastics' behaviors at the water/ice interface as a function of
478 water composition

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