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1 The 2011 Cordón Caulle eruption triggered by slip on the Liquiñe-Ofqui

Fault System

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18	Abstract

19 Determining the mechanisms that promote large silicic eruptions is one of the biggest 20 challenges in volcanic hazard assessment. The 2011-2012 Cordón-Caulle eruption in Chile 21 was one of the largest silicic eruptions of the 21st century and was characterized by a rapid 22 change from explosive to effusive behavior. This eruption was preceded by inflation from 23 2007 to 2009, followed by two years of barely any ground deformation. Despite intensive 24 monitoring by geodetic and seismological data, its trigger remains undetermined. Here, we 25 benefit from SAR imagery over the Puyehue Cordón-Caulle Volcanic Complex acquired by ALOS-1, ENVISAT and SENTINEL-1 data, to analyze the temporal and spatial behavior of 26 27 ground displacements before, during and after the eruption. First, we find that a similar 28 prolate spheroidal source explains the ground deformation for the pre-eruptive and post-29 eruptive periods. Then, we use 3D numerical elasto-plastic models to assess the failure 30 conditions resulting from the pre-eruptive magma injection. Our results show that such a 31 magma injection was too small to trigger the eruption. Therefore we explore other eruption 32 triggers. Analytical elastic inversion models show that the ground displacements observed during the explosive phase may have been produced by slip motion along a NNW-striking 33 34 dextral-strike slip, double-branch fault of the north-trending Liquiñe-Ofqui Fault System 35 (LOFS), or along a single southern branch fault of the LOFS and collapse of the caldera. When investigating the elasto-plastic deformation pattern resulting from dextral slip along this 36 37 branch-fault system, we obtain a sub-vertical dilatational plastic zone that connects the 38 reservoir wall to the surface in a location that coincides with that of the 2011 eruption. Hence, 39 we propose that this LOFS branch-fault eventually destabilized (perhaps weakened by the 40 2007-2009 episode of magma injection), and then slipped in a way that opened channels for 41 fluid migration from the magma reservoir up to the surface.

43 **1. Introduction**

Silicic volcanoes produce the most explosive and hazardous eruptions in the world, covering large areas with ash and inducing potentially global climatic effects. Therefore, understanding the mechanisms that control explosive activity is one of the most important topics in volcanic hazards forecasting. Many active silicic volcanoes are located in the Southern Volcanic Zone of Chile (SVZ), among which the Puyehue Cordón Caulle Volcanic Complex (PCCVC) stands as one of the largest and most active.

50 The PCCVC is composed of three main structures trending NW to SE: the ~10 km 51 wide Cordillera Nevada caldera to the north-west, the ca. 13 km long central Cordón Caulle 52 fissure system, and the Puyehue stratovolcano to the south-east (Fig. 1). Volcanic activity 53 started ca. 0.5 Ma with a wide range of compositions, evolving to mostly rhyodacitic and 54 rhyolitic magmas in the Late Pleistocene-Holocene for, both the Cordón Caulle fissure system 55 and the Puyehue volcano (Lara et al., 2006; Singer et al., 2008). The last three eruptions 56 (1921, 1960 and 2011) display similar magma compositions, indicating that they were fed by 57 the same reservoir at depth (Jay et al., 2014). However, they occurred at different places along 58 the volcanic complex. The 1921 vent was located close to the Cordillera Nevada Caldera 59 while the two others occurred along the southern and northern flanks of the fissure system 60 (Fig. 1).

The 2011 eruption at PCCVC was one of the largest sub-aerial eruptions of the 21st century. Despite intensive monitoring by geodetic and seismological data, its primary trigger remains an open issue (Jay et al., 2014; Wendt et al., 2017; Delgado 2020). The eruption was preceded by an inflation of 22 cm/yr spanning the 2007-2009 period, followed by two years of barely any ground deformation. Jay et al. (2014) associated this inflation stage with the injection of 0.05 km³ of mafic magma in a reservoir located at 4-9 km depth. Considering that 67 this volume of intruded magma was too small to fail the bedrock and, that no direct sign of 68 ascending magma was observed prior to the eruption, these authors concluded that this pre-69 eruptive inflation could not have triggered the 2011 eruption.

70 The 2011 eruption started on June 04 with an explosive phase of pyroclastic ejecta and 71 gas-venting from a ~10 km high column that lasted 7-9 days (Bonadonna et al., 2015), 72 followed by lava effusion on June 12-13 until March 2012 (Bertin et al., 2015). During the 73 explosive phase, a substantial interferometric signal was detected from an ENVISAT 74 ascending interferogram encompassing the first three days of the eruption, which was 75 interpreted as mainly due to subsidence (Jay et al., 2014; Wendt et al., 2017). These authors 76 proposed that this subsidence (reaching about 80 cm) was induced by the volume decrease of 77 about 0.11 km³ of two magma bodies located beneath PCCVC at 4 and 6 km depth, 78 respectively. Nevertheless, the inconsistency between this geodetic volume change at depth and the volume of erupted tephra (0.27 km^3 DRE) during the first three days of the eruption 79 80 led Wendt et al. (2017) to propose an alternative hypothesis. They modeled part of the ground 81 surface displacement as a closing dyke-like structure with an oblique left-lateral normal 82 strike-slip motion along the graben. While this proposition provides interesting insights on a 83 non-magmatic trigger of the eruption, the concept of a closing dyke structure remains 84 problematic because although it allows the transport of magma to the vent, it fails to explain 85 where the magma was extracted from.

The present paper performs a detailed investigation of the pre-existing tectonic structures at play during the pre-eruptive and the explosive phase of the 2011 eruption, associated with the observed InSAR LOS displacement. Combining analytical and finiteelement modeling, we assess the mechanical state of the crust induced by the 2007-2009 magma injection, and then we test two hypotheses of eruption trigger by analyzing elastic and elasto-plastic strain-stress patterns in the host rock surrounding the magma reservoir.

93

2. The tectono-volcanic setting of PCCVC

94 In the Southern Andean Volcanic Zone, the N78°E oblique convergence between the Nazca and South-America plates promotes a regional transpressional regime with the 95 96 maximum shortening axis trending N64°E (Cembrano and Lara, 2009; Perez-Flores et al. 97 2016). Within the SVZ, the 1200 km long margin-parallel Liquiñe-Ofqui Fault System 98 (LOFS) extends north-south between 36 and 46 °S as a dextral strike-slip fault, 99 accommodating at present 1 to 7 mm/yr of the ca. 70 mm/yr of plate convergence (e.g. 100 Stanton-Yonge et al., 2016). Large stratovolcanoes are elongated in the NE-SW direction, but 101 some also lie along NW-trending directions (Lara et al., 2006; Cembrano and Lara, 2009; 102 Sielfeld et al., 2019). Small monogenetic eruptive centers in turn are located along the master 103 fault of the LOFS. It has long been suggested that the NE-trending volcanic alignments 104 correspond to transfersional domains associated with the dextral transpressional setting of the 105 arc. In contrast, the NW-oriented volcanic complexes appear controlled by NW transverse 106 pre-Andean fault systems (Lopez-Escobar et al., 1995; Cembrano and Lara, 2009). Several 107 studies have argued that during interseismic periods, deformation is partitioned in the arc and 108 forearc domains, with the activation of N-S trending dextral strike-slip faulting, coeval with 109 extension along NE-striking structures and sinistral-reverse displacement along NW-striking 110 inherited structures (Perez-Flores et al. 2016; Sielfeld et al., 2019; Lupi et al., 2020).

The Puyehue Cordón Caulle Volcanic Complex (PCCVC, **Fig. 1**) is one of those volcanic centers lying on top of a basement NW-trending structure, and like others it is characterized by a wide variety of magma compositions including felsic volcanism, as opposed to dominantly mafic volcanism along NE-trending domains. López-Escobar et al., (1995) proposed that the felsic compositions result from the NW-trending domains being compressional in nature since they strike nearly orthogonal to the interseismic shortening 117 direction, hereby favoring long-term intra-crustal residence of magma. The Cordón Caulle 118 geothermal area is located inside a depression that forms a 13km x 6 km, NW-SE elongated 119 volcano-tectonic corridor hosting numerous monogenetic centers and hot-springs, most of 120 which stand along its edges (Sepulveda et al., 2005, Fig. 1). NE-trending gravimetric profiles 121 across this depression yield a residual negative anomaly reaching about 20 mGal at its center, 122 attributed by Sepulveda et al. (2005) to the presence of an infill formed by dacite-rhyolite 123 lavas and tephra in the first ~ 600 m depth, and which is bordered by inward dipping normal-124 like fault zones. Kilometers away where lava cover disappears, exposures of granitic rocks 125 from the crystalline basement are mostly observed (Lavenu & Cembrano, 1999). Here, as a 126 first hypothesis for the 2011 eruption trigger, we shall consider the influence of this NW-127 oriented caldera-graben structure by considering a mechanically weaker domain.

128 As a second hypothesis for the eruption trigger, we shall consider the influence of a 129 dextral-slip motion of the LOFS or of one of its numerous branching faults, in consistency 130 with mapped meso-scale brittle faults and seismological data. On the day of the 2011 131 eruption, the USGS Catalog reported 22 earthquakes of Mw between 3.6 to 5 and depths 132 between 3 and 20 km. The focal mechanism of the largest Mw 5.0 event was reported by the 133 GCMT project, with a dextral-strike slip motion nodal plane consistent with the LOFS 134 kinematics (Appendix A1), and located about 5 kilometers away from the volcanic complex. 135 We note that a similar focal mechanism was recorded in 1999 for a Mw 5.4 event at 15 km 136 depth (Cembrano & Lara, 2009) as well as another one in 2010 for a Mw 5.1 event about 90 137 km further north (CMT Catalog, Fig. A1). Our own moment tensor inversion of this event 138 confirms a dextral strike-slip motion, and re-estimates its location on the fault trace at 7 km 139 depth (Appendix A1). Additionally, satellite images show that the earliest activity of the 2011 140 explosive eruption was sourced from two cones aligned N18°W (Schipper et al., 2013). This

141 N18°W strike happens to be very close to the N20°W strike of the second nodal plane of the
142 Mw 5.0 seismic event.

143

144 **3. InSAR DATA and processing**

The SAR images covering the different periods of activity at PCCVC were acquired by three satellite missions: L-band Stripmap mode images from ALOS-1 operated by the Japan Aerospace Exploration Agency (JAXA), C-band ENVISAT ASAR Image Mode data and Cband Interferometric Wide Swath mode images Sentinel-1A/B, both from the European Space Agency. All treatment details of the InSAR images are explained in **Appendix A2**.

150

151 **4. Determining the geometry of the magmatic reservoir**

152 In a first step we search for the geometry and location of a reliable active source able 153 to explain the surface displacements observed during the complete eruptive cycle. Figures 2, 154 **3A** and **B** show the characteristic patterns of ground displacements observed by InSAR data at 155 PCCVC during the co-eruptive, pre-eruptive and post-eruptive periods. The interferogram of 156 the co-eruptive explosive phase covers the first 3 days of the eruption. The ground 157 displacement pattern during this period is characterized by two lobes of slant range increase 158 (ground moving away from satellite), one of ~ 80 cm located within the Cordillera Nevada 159 Caldera in the northwestern part of the graben, and the other one of ~30 cm located in the 160 southeastern part of the graben closer to the eruptive vent (Fig. 2A). A lobe of slant range 161 decrease of ~12 cm is also observed to the north-east of the volcanic complex. In contrast, 162 during the co-eruptive effusive phase of the eruption from July 2011 to February 2012, the 163 pattern of ground displacements shows a unique lobe of slant range increase that is 164 consistently oriented NW-SE, centered on the central part of the graben and close to the 165 eruptive vent (**Fig. 2B**, the interferogram covers December 2011 to February 2012).

A similar NW-SE oriented pattern of slant range decrease is clearly identified by visual inspection of the pre-eruptive ALOS-1 and the post-eruptive Sentinel-1 interferograms (**Fig. 3A-B**). Both these interferograms have approximately the same LOS unit look vectors [-0.6049, -0.1966, 0.7716] and [-0.6113, -0.2093, 0.7660]. This similar pattern suggests that a similar physical process drove them, leading us to search for a single source geometry able to explain both pre- and the post-eruptive observed ground displacements.

We use two stacks of three ascending and four descending Sentinel-1 interferograms, and two ALOS1 interferograms to search for the best geometry able to explain ground displacement at PCCVC (the earliest and the latest images used in the stack are indicated in Table **A2**). We assume an uncorrelated uncertainty of 1 and 1.5 cm for Sentinel-1 and ALOS1 data, respectively leading to uncertainties of 6 and 5 mm for the ascending and descending stacks, respectively.

178 We consider three different sources, including a spherical source (Mogi, 1958), a 179 prolate spheroid (Yang et al, 1988) and an Okada-type dislocation (Okada, 1985) embedded in 180 a homogeneous isotropic elastic half-space. Poisson's ratio $v\Box = 0.25$ was assumed as well as 181 a flat topography, considering that topographic slopes affected by the signal are less than the 182 10° limit for which their effects become significant (Cayol and Cornet, 1998). The model 183 fitness is quantified using the weighted root-mean square of the residual phase (rms). We use 184 a quadtree algorithm to subsample the data points, and a Levenberg-Marquardt algorithm to 185 minimize the residuals. In agreement with previous studies (Wendt et al., 2017; Delgado 186 2020) the best model is a prolate spheroidal reservoir yielding an rms of 4.1, 4.7 and 23.8 mm 187 for the descending and ascending Sentinel-1 stacks, and for ALOS1 data, respectively (Table 188 1). The source was also inverted using the ascending and descending stacks of Sentinel-1 data 189 conjointly, and yields only a slight increase of the resulting rms. Therefore a prolate 190 spheroidal source appears a reasonable model to explain pre and post-eruptive surface 191 displacements, acknowledging that the real medium is certainly more complex than in our 192 models.

193 Next, we investigate parameters uncertainties for this best-fit model using a Monte-194 Carlo simulation. We first estimate the correlated noise from small temporal Sentinel-1 and 195 ALOS-1 interferograms, and we approximate the InSAR noise using an exponential-type random noise of variance $0.8.10^{-4}$ m² and of correlation length 5 km. Next, we invert 200 196 197 independent trials with added correlated noise to the data, in order to estimate the confidence 198 interval shown in Table 1. ALOS-1 data inversions favor a source located a little further to 199 the west and striking a little further to the south compared to Sentinel-1 data inversions. 200 Despite these differences, other parameters range close to each other. We conclude that the observed inflation during the pre- and post-eruptive periods were driven by a similar 201 202 displacement source, best modeled by a prolate-spheroidal reservoir located at 4.5 km depth, 203 elongated in the 130° direction (N40°W) and with major and minor axes of about 8 km and 204 ~0.9 km (Fig. 3 and Table 1). With this geometry, the recorded InSAR pre-eruptive inflation 205 is explained by a pressure increase (ΔP) of 32 MPa, given a bedrock shear modulus (G) of 20 206 GPa.

207

208 **5. Mechanical state associated with the pre-eruptive inflation stage**

In order to investigate the pre-eruptive conditions for rock mass failure around the inflating magma reservoir, we use the finite-element code *Adeli* (details below) to explore stress and deformation patterns resulting from an overpressure applied at the walls of the prolatespheroidal source determined in section **4**. Below, we first describe the predicted patterns of stress and deformation assuming elastic behavior in a homogeneous domain, then in a heterogeneous domain containing a relatively weak caldera-graben structure. Second, elastoplastic rheology is considered to better track strain localization in the overlying crust where stresses exceed a failure threshold, for both shear and tensile failure modes. Accounting for this rheology allows to infer potentially fractures around the reservoir and their propagation throughout the bedrock upon loading, they may constitute pathways for magmatic fluids towards the surface.

220 5.1 Numerical method, assumptions and setup

221 The three-dimensional finite-element code Adeli uses the dynamic relaxation method (Cundall, 1989) to resolve the quasi-static equation of motion and determine stress and strain 222 223 fields in elastic and elasto-plastic media. This time-explicit method uses an adaptative time-224 step together with damping of out-of-balance forces. It has been used for more than 30 years in the engineering (FLAC code from Itasca Consulting Group) and Earth sciences 225 226 communities, hence implementation details can be found in numerous places (Hassani et al., 227 1997; Cerpa et al., 2015; Gerbault et al., 2018; Novoa et al., 2019; Ruz Ginouves et al., 2021). The finite-element mesh is first built using the GMSH mesher (illustrations and reference in 228 229 Appendix A3). Upon progressive loading, the initially elastic medium starts to yield 230 plastically when the yield stress envelope for either Drucker-Prager shear failure (F_{DP}) or 231 tensile failure (F_T) is reached :

232
$$F_{DP}(\sigma) = J_2(\sigma) + \frac{6sin(\phi)}{3-sin(\phi)} I_1(\sigma) - \frac{6Ccos(\phi)}{3-sin(\phi)} = 0, \qquad (1)$$

233
$$F_T(\sigma) = I_1(\sigma) - T = 0,$$

where ϕ is the friction angle, *C* is cohesion, *T* tensile strength. $I_1(\sigma) = \frac{1}{3}tr(\sigma)$ and $J_2(\sigma) = \frac{3/2 (s:s)^{1/2}}{\sqrt{2}}$ are first and second invariants of the stress tensor σ ($s = \sigma - I_1(\sigma)$. *I* is the deviatoric stress tensor). Below we also display the strain invariants $I_1(\varepsilon)$, $J_2(\varepsilon)$, which

237 derive similarly from the strain tensor ε . Sign convention is that positive I₁(σ) or I₁(ε) 238 describes expansion. Plastic deformation is then determined with an associative flow rule for 239 tensile failure and a non-associative flow rule for shear failure (here dilatancy is set to zero, 240 cf. Ruz Ginouves et al., 2021).

241 All simulations presented below were made assuming zero-friction angle and zero 242 gravity, in order to reproduce the minimal conditions for plastic failure. This simulates 243 effective properties of weakened crustal domains due to a variety of processes such as 244 lithostatic pore-fluid pressure and recurrent micro-fracturing (Sibson, 2003; Suppe, 2014), a 245 reasonable assumption considering that the PCCVC is one of the most active geothermal 246 systems of the SVZ. Nevertheless, the reader can find in Appendix A4 complementary 247 simulations assuming gravity and a friction angle of 30°, which represents dry and resistant 248 crust failing at maximal pressure-load conditions.

249 Rewriting Eqs. 1 for zero-friction angle and zero gravity leads to shear failure 250 initiating when $J_2(\sigma)=2C$ and tensile failure initiating when $I_1(\sigma)=T$. Cohesion and tensile 251 strengths are chosen proportional to the shear modulus (eg. Schultz et al. 1996), with a 252 proportionality factor of 10⁻⁴ equal to that assumed by Zhan et al. (2019) for the Laguna del 253 Maule Volcanic Complex, also located in the SVZ. For instance, a Young's modulus E=50254 GPa (corresponding to a shear modulus G=20 GPa), provides C=25 MPa and T=5 MPa. With 255 exact values being impossible to assess in the area, we assume a range of values E=12.5-50256 GPa, C=5-25 MPa and T=1-5 MPa, compatible with common estimates (Heap et al., 2020).

257

258 **5.2 Stress and Strain patterns assuming an Elastic bedrock**

259 First we simulate an overpressurized cavity embedded in a homogeneous elastic medium
260 (mesh shown in Appendix A3.1). The observed ~40 cm of LOS surface displacements during

261 the pre-eruptive period can be explained by an overpressurized source (ΔP) of 8 MPa or 32 262 MPa, given a shear modulus of either 5 GPa or 20 GPa, respectively. Both options produce 263 the same pattern of dilatation, with maximums at the tips of the spheroid's major axis and 264 constriction at its roof (Figs. 4A-B). These areas of maximum dilatation can be associated 265 with the opening of micro-fractures promoting seismicity (Simpson et al., 2001; Lamur et al., 266 2017), and may explain the seismicity reported in the Cordillera Nevada during the pre-267 eruptive period (Wendt et al., 2017). In turn, the constrictional zone at the reservoir's roof 268 tends to close the pore-space and impede fluid flow between the reservoir and the surface (as 269 suggested by Gerbault et al., 2018; Zhan et al., 2019).

Second, we simulate the caldera-graben system at PCCVC (mesh shown in **Appendix A3.2**), by inserting a weaker domain around the spheroidal reservoir, of shear modulus G=5 GPa and embedded in a stiff bedrock of G=20 GPa. An applied overpressure of 8 MPa (fitting surface displacements), produces dilatational areas mostly identical to the homogeneous case. However, constriction is intensified inside the compliant caldera-graben domain (**Fig. 4C**, **Fig. 4D**).

276

277 **5.3 Elasto-Plastic Deformation**

278 Then, we explore how bedrock failure might have been at play during the pre-eruptive 279 inflation period in both these homogeneous and heterogeneous configurations. Adeli models 280 with the failure parameters given above (section 5.1) show that the application of a reservoir 281 overpressure $\Delta P=32$ MPa provides first and second stress invariants maxima I₁(σ)_{max} = 13 MPa at the tips of the major axis, and $J_2(\sigma)_{max} = 55$ MPa around the minor axes (Fig. 5A-B-282 283 C-D). Hence, tensile failure expands outwards from the major-axis tips if bedrock tensile 284 strength T < 13 MPa, while shear failure concentrates along the central minor axis if cohesion 285 C < 27.5 MPa (Fig. 5E-F-G). Note that these plastic domains are restricted around the

286 reservoir walls, far from the top surface, which illustrates why the pre-eruptive inflation was 287 too small to promote an eruption. When we include a weak caldera-graben domain (e.g. the 288 heterogeneous configuration), similar restricted deformation patterns are produced, leading to 289 the same conclusion (Fig. 5H-I). Numerical simulations show that the reservoir overpressure 290 would need to be doubled in both configurations for plastic domains to expand and connect 291 the reservoir and the surface (this stage may be assimilated to the onset of an eruption, e.g. 292 Gerbault et al., 2018). Furthermore, this connection to the surface occurs near the Cordillera 293 Nevada, much further to the NW than the actual location of the 2011 eruptive vent (c.f. 294 Appendix A4). Thus in either configurations our numerical simulations show that the pre-295 eruptive inflation could not have promoted the eruption. The resulting potential damage zones 296 remain constrained close to the reservoir walls and never approach the location of the 2011 297 eruption. These results combined with the fact that no inflation was observed at the PCCVC's 298 surface during the two years prior to the eruption, lead us to eliminate a magmatic inflow 299 trigger.

300

6. Kinematic models of a tectonic trigger to the co-eruptive explosive phase

We explore now the possibility that slip along one of the tectonic structures identified in the area may have triggered the 2011 eruption. As a preliminary step, we need to subtract how much of the observed slant range increase associated with the explosive phase of the eruption could be attributed to the reservoir's emptying at depth. Therefore we estimate the volume change of the prolate reservoir during the explosive phase using (Mastin et al. 2008):

$$\frac{V_e}{DV} = -\left(1 + \frac{K_m}{K_r}\right) \tag{2}$$

Taking into account the erupted volume (V_e), estimated by Pistolesi et al. (2015), the compressibility of the bedrock (K_r) and the magma (K_m) inferred by Wendt et al., (2017) and Jay et al. (2014), respectively, the volume change associated with this deep source must have been of the order of 0.03 km³. This volume change can only account for about 20% of the subsidence observed during the first 3 days of the eruption, supporting the argument that the other 80% of cumulated InSAR displacements must have a non-magmatic origin.

314 Next, we search for the best location and geometry of fault planes characterizing 315 nearby tectonic structures. Two disconnected faults structures are identified and are each 316 subdivided into multiple dislocation patches. To best fit the observed InSAR displacement 317 resulting from slip along these patches, we compute ground surface displacements using the 318 inversion algorithm provided by the Principal Component Analysis-based Inversion Method 319 (PCAIM) software, which uses Okada's equations as Green's functions throughout an elastic 320 medium (Kositsky and Avouac, 2010; Perfettini et al., 2010, technical details in Appendix 321 A5). In the following, the corresponding geodetic moments are also estimated, assuming G=322 20 GPa in the bedrock.

323 6.1 Testing slip motion along the Caldera-Graben structure

324 As a first hypothesis, we investigate if a combination of downdip slip along the 325 Cordillera Nevada and reverse-sinistral slip along the graben walls (consistent with the 326 structural data mentioned in Section 2) can explain the remaining subsidence observed during 327 the explosive phase. We use the fault system geometry defined in section 5.2 and discretize it into 902 elementary patches $1.1 \times 1.4 \text{ km}^2$ in size. In the PCAIM inversion, we constrain the 328 329 rake angle and direction to normal in the Cordillera Nevada caldera, and to reverse-sinistral 330 slip along the graben walls. In the end we could not obtain a satisfactory model fitting the 331 InSAR data with this geometry, because we always need to extend the limit of the northern 332 wall of the graben much further to the south-east than actually mapped (segment C-D in Fig. 333 **6.A**). This leads us to discard this hypothesis.

6.2 Testing slip motion along a branch fault of the LOFS

335 As a second hypothesis, we assess if the LOS displacement during the explosive phase 336 of the eruption could be related to the activation of a branch of the LOFS. Therefore, we take 337 the most north-south oriented plane deduced from the Mw 5.0 focal mechanism, whose 338 location coincides with a fault trace inferred by the OVDAS (Appendix A1). This nodal plane 339 resembles the main trace of the LOFS, which is generally described as a subvertical fault zone 340 (e.g., Sielfeld et al., 2019; Díaz et al., 2020). We use this assumption considering that at the 341 scale of the PCCVC, the LOFS cannot be recognized from geomorphological features nor 342 from the geophysical record. It is however supported by the N-S alignment of minor eruptive 343 centers south of Puyehue volcano (Lara et al., 2006), an orientation that is also observed in 344 other segments along the SVZ and that is thought to serve as pathways for primary basalts 345 along the arc (Cembrano and Lara, 2009; McGee et al., 2017). There is also evidence of a 346 near-vertical NS-trending fault from the geological record (Cembrano et al., 1996; Lavenu 347 and Cembrano, 1999), where deformed rocks are now exhumed and eroded by glaciers 348 shaping a large-scale fault system. The resulting fault zone is discretized into 638 elementary patches $1.2 \times 1.4 \text{ km}^2$ in size, for inversion with PCAIM based on InSAR data. The best 349 350 fitting model displays two slipping patches leading to a variance reduction of 86 % and a rms 351 = 0.05 m (Fig. 6B). This model fits InSAR data reasonably well, but systematic residuals 352 remain visible near the fault trace. The biggest slipping patch is located to the north east of the 353 graben, with a length of 15 km and a width of 7 km, while the smaller one is located to the 354 south of the graben with a length of 7 km and a width of 6 km (Fig. 6B). These northern and southern slip patches each imply a geodetic moment of about 2.4×10^{18} N·m and 6.1×10^{17} 355 356 $N \cdot m$, respectively (discussed in section 7).

357 **6.3 Slip motion along the southern branch-fault of the LOFS and Caldera collapse**

358 The two hypotheses above lead us to propose a third scenario, which assesses the 359 possibility that the LOS displacements were caused by a collapse of the Cordillera Nevada 360 and concomitant slip motion along the southern branch-fault of the LOFS. Inversions of the 361 LOS displacement in the vicinity of the caldera (section 6.1) combined with the Okada-model 362 constrained by the CMT focal mechanism along the southern-branch fault (section 6.2), 363 provides a best fitting model explaining 92 % of the variance with a rms of 0.042 m. The 364 patch located on the wall of the Cordillera Nevada Caldera provides a mean amount of slip of 365 about 1.25 m onto a ~10 km-deep and ~15 km-long fault surface (Fig. 6C). The geodetic moments associated with these two slipping patches yield 3.77×10^{18} N·m and 2.23×10^{17} N·m, 366 367 respectively.

368

369 **7. Discussion**

7.1 Geometry of the magmatic reservoir at PCCVC

371 The inversion of both pre- and post-eruptive InSAR ground displacements suggests 372 that deformation was driven by inflation of a source of similar shape. Nevertheless, this 373 similarity in shape remains difficult to link with the pattern of InSAR ground displacement 374 during the effusive phase, which has been interpreted as mainly resulting from the emptying 375 reservoir (Delgado 2020; Fig. 2B). This explains why the temporal and spatial patterns of 376 ground displacement had previously been interpreted with magma injection sources at 377 different and independent locations, for the pre- and post-eruptive periods (Jay et al., 2014; 378 Wendt et al., 2017; Delgado 2020). While these interpretations offer the possibility to explain 379 the ground displacements during the different phases of the volcanic cycle, they fail in 380 providing a consistent framework for the evolution of the shallow magma plumbing system. 381 The striking difference in pattern of ground displacement between the effusive phase and the

382 pre- and post-eruptive phases suggests that it could have resulted from damaging of the 383 shallow magma plumbing system and/or of the overlying hydrothermal system.

384 **7.2 Kinematic models for the explosive phase of the eruption**

Whereas 20% of the InSAR-observed subsidence is explained by 0.03 km³ of 385 386 decreasing volume of the magma reservoir, the remaining 80% can be accounted for by a 387 kinematic model of motion along a branch-fault of the LOFS, accompanied or not by the 388 collapse of the caldera (Figs. 6B-C). While the former model fits data slightly better (rms of 389 0.042 vs. 0.05 m), differences are small. In both solutions, systematic localized residuals are 390 visible probably because of the simplified assumptions of the structures geometry and 391 rheology. These two models yield a geodetic moment release during the explosive phase of the eruption of 3.01×10^{18} and 3.99×10^{18} N·m, respectively. In comparison, the 22 seismic 392 393 events reported by the USGS catalog on the first day of the eruption reach a total seismic 394 moment of about 2.35×10^{17} N·m, corresponding to a seismic magnitude Mw= 5.5. This yields 395 a geodetic/seismic ratio ranging from 13 to 17, depending on the model. Such high moment 396 ratios have been observed in other volcanic areas worldwide and authors have suggested that 397 a large proportion of the stress energy is likely released aseismically rather than by brittle 398 failure (Langbein et al., 1993; Pedersen et al., 2007). Note that moment ratios must be 399 invoked with caution since they are determined with an order of magnitude of uncertainty 400 (Pedersen et al., 2007).

401 **7.3 Role of a branch of the LOFS based on surface observations**

402 Our kinematical models (section **6**) suggest that right-lateral normal slip motion along 403 a branch fault of the LOFS played an essential role during the explosive phase of the 2011 404 eruption. This scenario differs from that of Wendt et al. (2017) of a closing dyke with a left-405 lateral normal strike-slip motion along the northern flank of the graben. As mentioned above, 406 the activation of a LOFS branch is strongly supported by independent seismic data, structural 407 field observations and available petrological data (section 2). In addition, structural and 408 satellite data show *en-échelon* fracture segments and aligned ground cracks in directions 409 parallel to an overlapping cone structure striking N18°W (Schipper et al., 2013). The 410 coincident location and strike of these structures with the buried fault obtained in our 411 inversion model, strengthens this interpretation. Finally, failure along a fault plane is also 412 invoked by Castro et al. (2013) to explain the transport of magma from depth to the vent at 413 very slow rates (~1 cm/yr).

414 Slip motion along the LOFS also provides insight into the transition of the eruption 415 style from explosive to effusive: the coincident orientation of the vents, the inverted fault 416 plane and the location of the seismic swarm during the explosive phase all indicate that this 417 fault zone itself may have been the channel along which the magma rose to the surface. Based 418 on the coincident depth of the reservoir inferred from petrological data (2-5 km) and that of 419 the shallow seismicity reported two days before the eruption (2-6 km), Castro et al. (2013) 420 suggested that rock fracturing occurred in association with the creation of a dyke-like conduit 421 that fed the vent. Therefore, we propose that the transition from the explosive to the effusive 422 phases was associated with the transition from a shear-slipping fracture that allowed the 423 magma to degas, to its opening as a dyke through which magma withdrawal occurred and 424 reached the surface. A similar mechanism is also suggested for caldera collapses within strike-425 slip tectonic regimes (e.g., Holohan et al., 2008).

426 **7.4 Possible mechanisms triggering the 2011 eruption**

427 Our models of a magmatic overpressurization induce dilatation at the shallowest tip of 428 the reservoir located beneath the Cordillera Nevada Caldera. Such dilatational domains can 429 mobilize fluids and promote seismicity (references in section **5.2**). Similarly to Lundgren et 430 al. (2020) and Zhan et al. (2019), we suggest that the seismicity reported between 2007-2011 431 beneath the Cordillera Nevada Caldera resulted from such a dilatation induced by magmatic 432 injection during the pre-eruptive period (Fig. 4B). Our models also show that constriction 433 around the inflating reservoir does not facilitate magmatic fluid flow towards the surface (Fig. 434 4C-D), and thus rather inhibits an eruption. Whereas the lack of substantial pre-eruptive 435 inflation observed by InSAR in the two years prior to the eruption leads us to discard magma 436 supply from depth as the 2011 eruption trigger, we cannot exclude the possibility that a short-437 lived pre-eruptive inflation was compensated by co-eruptive deflation in a single orbital cycle. 438 The other scenario of a caldera collapse alone, which would have triggered reservoir 439 withdrawal and the eruption of magma, requires a tensile state of stress across the caldera-440 graben structure, and normal slip along the caldera and graben walls. This is inconsistent with 441 the regional interseismic state of compression expected at PCCVC. While Gudmundsson 442 (1998) argued that extension could be induced by flexural doming of a deeper pressure source 443 under the shallow chamber, here there is no evidence of large-scale precursory inflation at 444 PCCVC. However, once again we cannot exclude that the amplitude of ground displacement 445 induced by a deep source was below the accuracy of radar sensors, or was compensated by the 446 co-eruptive deflation.

447 Several studies have suggested that the seismic cycle of subduction megathrusts plays 448 a key role in modifying the stress field in the overriding plate and promoting transient tensile 449 stresses (Walter and Amelung, 2007). While Lara et al. (2006) proposed that the recurrent 450 shearing of pre-existent faults at PCCVC causes transient stress field rotations, promoting the 451 ascent of magma within coeval tensile conditions, there is no evidence that the 2011 Cordón 452 Caulle eruption was directly triggered by the 2010 Maule mega-earthquake: first, the latter 453 occurred far away (~500 km to the north), and second, according to Bonali et al. (2015) it 454 rather exerted a clamping of the PCCVC area, tending to impede any eruption.

456

Consequently, we need to search for another triggering mechanism to the 2011 Cordón Caulle eruption.

457 **7.5** The slipping LOFS branch hypothesis

458 The last mechanism that could lead to draining of the magma chamber would be slip 459 motion along a branch of the LOFS. Hence, we investigate now, how motion along a branch-460 fault of the LOFS could have triggered the eruption by setting up additional 3D models that 461 explore such a fault-reservoir interaction (mesh shown in Appendix A3.3). First we check the 462 response of this configuration to a pre-inflation with $\Delta P = 32$ MPa: assuming elastic behavior, 463 Fig. 7 shows constriction in the fault domains closest to the reservoir, which are squeezed by 464 the expanding stiffer surrounding bedrock. However, further away along the fault domain, 465 constriction switches to dilatation (positive volumetric strain); this may increase porosity, 466 favor the release of interseismic pre-existing stress and drive magmatic fluid pathways (eg. 467 Ruz Ginouves et al., 2021). Then considering elasto-plasticity, even low fault strength values 468 such as T= 1 MPa and C= 5 MPa generate only a restricted plastic domain. Inflation does not 469 trigger surface failure and is too small to be detected by InSAR observations.

470 Second, we apply slip motion along the walls of these fault zones, with magnitudes 471 consistent with the geodetic moment obtained from the inversions (section 6.2). This fault 472 activation promotes dilatation and constriction at the edges of the fault planes (Fig. 8A). At 473 reservoir depths, the induced dilatation exceeds by ~3 times the dilatation predicted during the 474 pre-eruptive period (Fig. 5A vs. Fig. 8A), and the shear stress is potentially ~7 times greater 475 (Fig. 5C vs. 8B). With cohesion and tensile strength identical to the pre-eruptive 476 configuration, this elasto-plastic model now predicts shear failure along the entire fault zone, 477 and tensile failure in the bedrock between the fault zone and the reservoir, Figure 8C. In 478 contrast to the pre-eruptive inflation models, this plastic dilatation domain develops through 479 the bedrock and connects the reservoir with the surface, in the SE part of the PCCVC where

the vent actually occurred (**Fig. 8D-E-F**). This sub-vertical dilatational domain can be interpreted as a channel that opens the bedrock pore space from which magmatic fluids can migrate all the way up to the surface (pathways 1-2 in **Fig. 8D-E-F**). Dilatation at the surface encompasses the location of the swarm of shallow seismicity reported by Wendt et al., (2017) a few days before the eruption (**Fig. 8F**). We note that pathway (1) may have been impeded with respect to pathway 2 because first, constriction during the pre-eruptive phase counteracts dilatation, and second, constriction close to the surface impedes magma out-flow.

The activation of a NNW-striking LOFS branch stands as the best candidate scenario to explain the 2011 Cordón Caulle eruption. In terms of timing, it is difficult to discern the exact moment when slip may have started on this fault. Based on the change in location of seismicity presented by Wendt et al., (2017) we suggest that it may have occurred a few days before the eruption. Our hypothesis is also consistent with Lara et al. (2006)'s proposition that shearing of pre-existent faults promotes magma ascent within transient tensile conditions, in a way similar to other studies (e.g. Diez et al., 2005).

494

7.6 Triggering of historical eruptions

495 The last three eruptions at PCCVC occurred at different places, along the ring faults of 496 the Cordillera Nevada Caldera in 1921 and along the opposite edges of the Cordón Caulle 497 graben in 1960 and in 2011 (Fig. 1). Geological mapping shows that this pattern is repeated 498 for the older units thus evidencing a long-term behavior. Our simulations show that when 499 considering a sufficient overpressure in the reservoir, the connection of the plastically yielded 500 domain between the reservoir and the surface occurs in the NW section of the PCCVC, under 501 the Cordillera Nevada Caldera and the NW portion of the graben. Consequently, we suggest 502 that the 1921 eruption and those previously fed from vents located along the ring faults likely 503 had a dominantly magmatic origin.

504 While the 2011 eruption would have responded to a combination of magmatic and 505 tectonic forcing, none of the mechanisms explored here explain the location of the 1960 506 eruption at PCCVC, which occurred along the graben's southern wall. This eruption occurred 507 only 36 hours after the Mw 9.5 Valdivia earthquake (Barrientos et al., 1994), just in front of 508 the major rupture zone. In relation to the argument cited above that the seismic cycle is able to 509 modify the regional stress field (Manga & Brodsky, 2006; Walter and Amelung, 2007), Lara et 510 al. (2004) argued that co-seismic extension inland promoted reshearing of the NW faults 511 along the graben, and generated a transient transtensional pattern that favored the eruption. 512 Moreover, the 2015 eruptive activity at Nevados de Chillan was also linked to the 2010 Maule 513 earthquake (Lupi et al., 2020). Future modeling should investigate the rotation of the regional 514 stress field due to far-field subduction earthquakes. The constraints provided by our models 515 here combined with those of Lara et al. (2004) feed the discussion about remote triggering of 516 volcanic eruptions.

517

518 8. Conclusions

In this study we used geodetic data recorded before, during and after the 2011 Cordón Caulle eruption, to understand the mechanical conditions associated with its eruptive cycle. We found that the ground displacements observed before and after the eruptive event can be linked with a similar inflating source, suggesting that the geometry of the shallow plumbing system regained its pre-eruptive shape. Nevertheless, the subsidence observed during the explosive phase of the eruption requires an additional cause, for which we tested two hypotheses implying fault slip motion.

526 Our results indicate that part of the observed subsidence during the explosive phase 527 was due to dextral-slip motion along a pre-existing NNW-striking branch-fault of the LOFS. 528 The pre-eruptive magmatic inflation potentially weakened this branch-fault, which would529 have been reactivated before the eruption.

530 We relate this dextral-strike slip motion to the earthquake swarm including the Mw 5.0 531 earthquake that occurred quasi-simultaneously with the onset of the eruption. The greater 532 geodetic moment deduced from our analysis than the seismic moment released by the swarm 533 suggests that this slip motion was mainly aseismic. However, the lack of continuous GNSS 534 recording stations in the vicinity of LOFS prior to the eruption makes it impossible to 535 determine a precise timing of this slow slip event. We propose that this largely aseismic slip 536 motion changed the local stress state from compressive to tensile within the volcanic 537 complex, leading to magma rising to the surface.

Although standard elastic models provide good information to constrain the geometry, location and overpressure conditions on a magma reservoir from the inversion of observed surface displacements, 3D elasto-plastic models accounting for rheological heterogeneities help assessing the mechanical state, the potential weakening induced by magmatic injection, and the tectonic trigger of explosive eruptions. In that line, 3D numerical methods accounting for non-linear (poro-visco-elasto-plasticity) rheology will soon become essential to move forward in understanding silicic eruptions.

545

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- 700

701 **TABLES**

Table 1. Best fitting prolate spheroidal sources parameters. Latitude and longitude are
expressed in km (UTM WGS84 zone 18 South).

Parameter	DSC_	95 % CI	ASC_	95 % CI	ASC	95 % CI	DSC	95 % CI
8	STACK		STACK		ALOS1		STACK-	
							ASC	
							STACK	
Longitude	736.332	(735.745;736.912)	737.680	(736.136;738.796)	737.724	(736.713;738.840)	736.598	(736.082;736.927)
(km)								
Latitude	5514.63	(5514.151;5515.037	5513.53	(5512.537;5514.326	5509.91	(5508.057;5511.366	5514.24	(5513.952;5514.781
km)	6)	1)	5)	4)
Depth	4.965	(4.530; 5.379)	4.526	(3.582;5.185)	3.666	(3.056;4.683)	4.512	(4.326;4.99)
(km)								
Length	7.600	(6.643;8.465)	9.474	(7.866;11.000)	10.395	(8.3168;12.6156)	7.768	(6.891;8.29)
(km)								
Dip (°)	-2.5	(-9.2;5.7)	17.5	(10.6;20.0)	12.3	(10.2;16.3)	6.9	(-2.3;10.5)
Strike (°)	126.9	(1198.1353)	126.1	(120.9.134.5)	142.3	(138.4.148.0)	129.1	(121.0.135.2)
Suike ()	120.7	(11).0,155.5)	120.1	(120.),154.5)	142.5	(130.4,140.0)	127.1	(121.0,155.2)
RMS	4.1		4.7		23.8		6.1	
(mm)								

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705

706 FIGURE CAPTIONS

Figure 1. The Cordón Caulle volcanic complex area (PCCVC), displaying fault traces and the
last three eruptive vents. See appendix A1 for a regional map view of structures and
seismicity in the SVZ.

710 Figure 2. Subset of unwrapped interferograms overlaid onto a shaded relief map. A) 711 Ascending ENVISAT encompassing the explosive eruption occurred at the beginning of June 712 2011, for 7-9 days. B) Ascending ENVISAT interferogram encompassing the effusive period 713 of the eruption, from June 12-13 to March 2012. The satellite to ground radar line of sight 714 (LOS) is shown with a black arrow. LOS displacements toward the satellite are positive (i.e., 715 slant range decrease). Areas in grey correspond to low coherence areas or to areas 716 characterized by unwrapping errors. Coordinates are expressed in UTM-WGS84 (18 zone 717 South).

Figure 3. Wrapped InSAR interferograms and inversion results for a prolate-spheroidal reservoir. Observed, modeled and residual ground displacements, for comparison between pre- and post-eruptive periods. Up) ALOS1 interferograms scene pairs 07 January 2007 to 10 January 2008. Middle) Stack of three ascending Sentinel-1 interferograms during the post-

eruptive period. Bottom) Stack of four descending Sentinel-1 interferograms during the posteruptive period. Each fringe (full color cycle) represents 2.83 cm (ASAR) or 11.81 cm
(PALSAR) of range of change between the ground and the satellite. Areas that lack
interferometric coherence are uncolored. Interferometric phase images are shown
superimposed on the shaded-relief image.

Figure 4. Computed first invariant of the strain $(I_1(\varepsilon))$ with *Adeli*, for an overpressurized prolate-spheroidal cavity that best fits the pre-eruptive surface displacements in two configurations. A-B: the cavity is embedded in a homogeneous elastic medium with shear modulus *G*= 20 GPa. C-D: the cavity is surrounded by a weak caldera-graben domain with *G*=5 GPa embedded in a medium with G= 20 GPa. Areas of dilatation (positive I₁(ε)) shown in red. A-C) Sub-horizontal plane view following the inclined major axis of the cavity, B-D) Vertical cross section following profile AB parallel to the cavity's major axis.

734 Figure 5. Stress and strain patterns computed with Adeli throughout an homogeneous elastic 735 bedrock of shear modulus G=20 GPa and submitted to a (pre-eruptive) overpressure ΔP at the 736 walls of a prolate-spheroidal reservoir, for elastic (A-B-C-D) and elasto-plastic (F-G) media. 737 Two vertical cross sections are displayed: AB and OP. A-B) First stress invariant when $\Delta P=32$ 738 MPa : maximum $I_1(\sigma)=13$ MPa occurs at the tips of the reservoir, where tensile failure is 739 expected. C-D) The second stress invariant concentrates along the minor axis of the reservoir. 740 **E)** Predicted modes of failure in the elasto-plastic domain depending on tensile strength (T)741 and cohesion (C). The yellow circle locates the failure mode when T=5 MPa and C=25 MPa 742 (friction= 0° and no gravity), illustrated below. **F-G**) The predicted patterns of failure satisfy 743 Eqs. (1): tensile failure represented by red regions occurs at the tips of the major axis where 744 $I_1(\sigma) > 5$ MPa. Shear failure represented by blue regions occurs elsewhere around the 745 reservoir where $J_2(\sigma) > 50$ MPa. **H-I**) Shear and tensile failure patterns for an overpressurized 746 reservoir embedded in weak caldera-graben structure with G=5 GPa in contrast to the rest of 747 the bedrock where G=20 GPa, when ΔP =8 MPa.

Figure 6. InSAR best fit models for the interferogram shown Fig. 2A after removing the subsidence pattern caused by a volume change of ~0.03 km3 of the prolate spheroidal reservoir (left displays the modeled data, right displays its residual with observations). A) Caldera-Graben structure model. Black Line shows the geometry of the structure. Pure normal slip is imposed on the caldera border fault and reverse sinistral slip is imposed on the graben faults. B) Activation of a branch fault of the LOFS, along with a dextral-strike slip is imposed.

Black Line shows the trace of the fault. This model explains 86 % of the observed variance (rms 0.05 m). **C**) Caldera collapse and activation of the southern branch-fault only. The black rectangle represents the Okada-fault zone and the black line shows the location of the Caldera-border fault. This model explains 92 % of the observed variance (rms 0.042 m).

Figure 7. Computed first invariant of the strain $(I_1(\varepsilon))$ with *Adeli*, for an overpressurized prolate-spheroidal cavity that best fits the pre-eruptive surface displacements considering a weak crustal fault zone near the cavity, with *G*=5 GPa, and an elastic bedrock with G=20 GPa. **A**) Sub-horizontal plane view following the inclined major axis of the cavity. **B**) Vertical view of the fault plane along profile EF. Areas of dilatation shown in red.

763 Figure 8. Patterns of stress and deformation using Adeli when a dextral motion of 2.5 m and 764 75 cm is applied along the north-western and south-eastern walls of a LOFS branch-fault zone 765 respectively, to the east of the reservoir (not overpressurized here). A-B) First and second 766 stress invariants assuming an elastic bedrock, viewed along a sub-horizontal plane inclined 767 along the reservoir's major axis. Note the reduced color palette. Maximum dilatation occurs at 768 the fault's edges and around the reservoir nearby. Shear stress is maximum inside the fault 769 zones and at their edges. C) Failure pattern assuming an elasto-plastic bedrock: plastic failure 770 inside the two fault zones and tensile failure throughout the bedrock connecting them to the 771 reservoir, from which dyking can be generated along two channels (1 and 2). D-E-F) 772 Volumetric deformation $I_{l}(\varepsilon)$ induced by the fault motion, assuming an elasto-plastic bedrock 773 and along vertical profiles AB and OP. **D-E**) Magma potentially rises following one of the two 774 highly dilatational channels (1 and 2). Dotted white lines indicate the fault zones intersections 775 with profile AB. F) Volumetric deformation $I_1(\varepsilon)$ at the top surface. The 2011 eruptive vent 776 (white star) and the pre-eruptive superficial seismicity (blue points, Wendt et al., 2017) are 777 located within the dilating area near the reservoir and the fault zone intersection.

779 Appendices

780 Appendix A1: 04/06/2011 Earthquake mechanism.

781 The GCMT project provided a solution for the largest seismic event on the day of the 782 eruption (at the top of table A1). In order to check the validity of the solution, we used 783 teleseismic data from the IRIS repository to perform a moment tensor inversion under a 784 probabilistic approach using the GROND software (Heimann et al, 2018). We used only 785 waveforms with a good signal-to-noise ratio, ensuring the best possible data fit. The best 786 solution is shown at the bottom of Table A1. It is located on the fault trace south of the 787 PCCVC at 7 km depth. It also shows a dextral strike-slip focal mechanism with 10% of non-788 double couple component.



Solutions	Date and time	Geometrical parameters	Focal Mechanism	Mw
GCMT solution	04-06-2011 16:28:47	Hypocentre: -40.59, -72.22 Depth : 21.2 km NP1 : Strike : 65° Dip : 77° Rake : -27° NP2 : Strike : 164° Dip : 74° Rake : -165°		5.0
Our solution	04-06-2011 16:28:47	Hypocentre: -40.62143, - 72.11687 Depth : 7.1 km NP1 : Strike : 250° Dip : 44° Rake : -1° NP2 : Strike : 340° Dip : 89.5° Rake : -130°		5.0

790

Heimann, Sebastian; Isken, Marius; Kühn, Daniela; Sudhaus, Henriette; Steinberg, Andreas; Daout, Simon;
Cesca, Simone; Vasyura-Bathke, Hannes; Dahm, Torsten (2018): Grond - A probabilistic earthquake source

793 inversion framework. V. 1.0. GFZ Data Services. https://doi.org/10.5880/GFZ.2.1.2018.003



795 Fig. A1) Regional scale map of the Southern Volcanic zone of Chile, displaying main recent earthquakes, fault 796 traces and volcanic complexes. Momentum tensors and their focal mechanisms were extracted from the gCMT 797 catalogue and includes crustal events of $M \ge 5$ (purple beach balls). The blue beach balls indicate crustal 798 events near the PCCVC, which have a similar focal mechanism to that which occurred on the day of the eruption 799 (indicated by a black beach ball). The 2010 Mw 8.8 Maule is indicated by the pink beach ball. Most of these 800 earthquakes were extracted from the collection of superficial crustal events compiled by Siefeld et al., 2019; as 801 well as the trace of the LOFS which is indicated by the black line with a dextral motion. ATF are indicated by the 802 red lines.

803 Appendix A2: InSAR processing method

804

805 All the interferograms used in this study (Table A2) were processed with the InSAR Scientific Computing Environment (ISCE) software (Rosen et al., 2012). We used the 1 arcsec 806 807 Shuttle Radar Topographic Mission digital elevation model (SRTM) to remove the 808 topographic contribution and to provide a geographic framework (UTM WGS84) for the 809 interferograms. We used a weighted power spectral density filter (Goldstein et al., 1988) to 810 filter the interferograms which were later unwrapped using an implementation of the 811 Statistical cost, Network-flow Algorithm for Phase Unwrapping -SNAPHU (Chen and 812 Zebker, 2002). The main difficulty to carry out InSAR data analysis in the study area arises 813 from the temporal behavior of the interferometric coherence. Snow cover prevents computing 814 interferograms during the winter months from May to November. Furthermore, 815 interferograms from SAR images acquired during the five months of summer, show generally 816 a reduced spatial extent of coherent area. Consequently, despite a large number of available 817 interferograms, few interferograms are suitable for InSAR. Many coherent interferograms 818 reveal large-wavelength phase signals or phase signals varying with topography. As the 819 magnitude of these signals is not correlated with the perpendicular baseline, we considered 820 that they are produced either by a change in variation of water vapor or by a pressure change. 821 To mitigate the phase delays we corrected the interferograms using a simple empirical 822 function that correlates phase and topography and a ramp function (linear function and a 823 phase offset) to account for long wavelength signals after masking the area affected by 824 displacements. This step also enabled us to estimate the uncertainty for each interferogram, 825 and to make phase values comparable in both space and time.

826

827 Table A2 InSar data

Satellite	Orbite	Track	Start date	End date
ALOS1	Ascending	118	2007/06/23	2011/02/16
ALOS1	Ascending	119	2007/07/10	2011/03/05
ALOS2	Ascending	176	2016/07/20	2018/07/14
Sentinel-1	Ascending	164	2015/02/25	2018/12/30
Sentinel-1	Descending	83	2014/11/16	2018/09/20

Chen, C. W., Zebker H. A. (2002), Phase unwrapping for large SAR interferograms: Statistical segmentation and
 generalized networkmodels, IEEE Transactions on Geoscience and Remote Sensing, 40, 1709-1719.

Rosen, P. A., Gurrola, E., Sacco, G. F., & Zebker, H. (2012). The InSAR scientific computing environment. In
 EUSAR 2012; 9th European Conference on Synthetic Aperture Radar, pp. 730-733.

835 Appendix A3: Numerical domain setup for the three Adeli models.

836 <u>A3.1 Homogeneous Model</u>

837 The model domain consists of a 3D block of dimensions $80 \times 80 \times 60$ km and is meshed 838 with the Gmsh free software (gmsh.info, Geuzaine & Remacle, 2009), with a resolution 839 increasing from about 90 meters at the cavity walls to 8 km at the model borders. The domain 840 borders are set free-slip except for the top free surface and the magma cavity, which remains 841 un-meshed and whose walls are loaded with incremental overpressure (ΔP).



842

FigA3.1 Homogeneous model. The entire mesh domain, contains only a cavity in the homogeneous
case, which has the geometry of the prolate-spheroidal magma reservoir. The small parallelepiped display above
only serves to separate higher and lower resolution domains.

846

847 <u>A3.2 Caldera-Graben Model</u>

The geometrical parameters determining the Cordillera Nevada Caldera and the northern and southern graben's walls are set based on mapped surface structures (Lara et al., 2006). Then, we extrapolate these structures at depth following the inner dipping boundaries of the caldera-graben walls suggested by Sepulveda et al. (2005) from gravity modeling. The dip angle is strongly constrained by the structure of the graben and ranges between 90 to 60 °, therefore we choose a mean value of 75°. The resulting mesh is displayed **Fig. A3.2**.



FigA3.2 Caldera-graben mesh model.

856

857 <u>A3.3 LOFS fault model.</u>

Fault volumes are meshed with a thickness 300 m and extend over 15 km using the best fit geometry obtained from inversions of section **6** and assuming G = 5 GPa and 20 GPa in the fault volume and bedrock, respectively. In **Fig.7** an overpressure is applied at the walls of the cavity whereas in **Fig.8** a constant tangential velocity is applied along the western wall of the fault zones.



863

864

FigA3.3 Caldera-graben mesh model.

- Appendix A4: Complementary numerical models illustrating the patterns of plastic failure resulting from the inflation of a cavity embedded in a homogeneous crust. While the main text assumes a Young modulus E=50 GPa (G=20 GPa, Fig. 6, T=4 MPa and C=20 MPa), cases here have E=12.5 GPa, T=1 MPa and C=5 MPa, variable friction angle ϕ , gravity acceleration
- 870 \boldsymbol{g} and loading overpressure ΔP .
- 871 a) g=0 and $\phi=0^{\circ}$, plastic connection at $\Delta P=16$ MPa (compare with Fig. 5):



872 **b)** g=0 and $\phi=30^\circ$, plastic connection occurs at $\Delta P=20$ MPa, later than in a):



873 c) $g=10 \text{ m/s}^2$ and $\phi=0^\circ$, for $\Delta P=18$ MPa: tensile failure is inhibited (except top), shear failure occurs.



d) $g=10 \text{ m/s}^2$ and $\phi=30^\circ$, at $\Delta P=34 \text{ MPa}$, shear and tensile failure remain impeded (except top).



875

876 The figures above display in vertical cross section, to the left $I_{l}(\varepsilon)$ (dilatation in red, color 877 scale ranging from -5.10⁻⁵ to +5.10⁻⁵), and in the center, domains of tensile (in red) and shear

878 failure (in blue). To the right, a schematic Mohr-circle/stress amplitude plot is drawn, with the

- first and second stress invariants in abscissa and ordinates. This representation illustrates where failure can occur (stars) for shear and tensile failure, with tensile strength T and equivalent cohesion and friction : $C' = \frac{6Ccos(\phi)}{3-sin(\phi)}, \phi' = \arctan\left(\frac{6Csin(\phi)}{3-sin(\phi)}\right).$
- a) with g=0 gravity and friction $\phi=0^{\circ}$, this case compares with the main text homogeneous case (**Fig. 5**) except that the bedrock here has G=5 GPa instead of G=20 GPa. Hence, connection of the failure pattern between the cavity walls and the surface occurs for DP=16MPa, instead of 64 MPa in the main text case. At any location point in the model the initial stress $I_1 = 0$, and the stress circle increases (black circles) with increasing reservoir overpressure; tensile failure occurs first (red star).
- **b**) with g=0 and friction $\phi=30^{\circ}$ (depth dependent condition for shear failure), the deformation
- and failure patterns are very similar to **a**) with tensile failure still prevailing over shear failure. (a) with $g=10 \text{ kg/m}^3$ and friction $\phi=0^\circ$, I_1 at any depth z includes the gravity component $\rho.g.z.$ (b) shifting the stress state to negative abscissas along the "Mohr-circle". Hence, the applied magma overpressure needs to overcome this constrictional stress for the stress field to reach the failure envelope. Since the shear failure threshold is low ($\phi=0^\circ$), shear failure occurs around the inflating cavity while tensile failure is restricted to the surface (z<1 km).
- 895 d) with $g=10 \text{ kg/m}^3$ and $\phi=30^\circ$, both shear and tensile failure thresholds require greater 896 overpressure than in previous cases. The domain remains elastic except at the very top surface 897 where tensile failure is still allowed.
- 898 The simulations in the main text assume zero-friction and zero-gravity, as in case **a**) 899 here, and correspond to the extreme situation in which the entire crustal domain is saturated 900 with lithostatic pore-fluid pressure (the effective mean stress vanishes, Hubbert & Rubbey, 901 1959); we are in the most favorable conditions for bedrock shear and tensile failure at lowest 902 possible applied overpressure. Considering friction without gravity (case b) does not 903 influence much the results since it only raises the shear failure yield envelope with depth. 904 However, as soon as gravity is accounted for, the mean stress (I_1) is shifted to negative values. Zero-friction retains the shear failure threshold to 2C, but 30° requires the overpressure to 905 906 achieve about $\frac{1}{2}$, ρ , g, z for the reservoir walls to fail (Gerbault, 2012). Hence at the shallowest 907 reservoir tip where z=3 km, the required overpressure already would have to exceed ~40 MPa. 908
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- 915

916 Appendix A5: PCAIM and resolution tests for the Caldera-Graben and the LOFS917 models

To solve for the slip distribution on the different fault planes used in this study, we used Principal Component Analysis-based Inversion Method (PCAIM) software package. In our models the slip distribution **S** in elastic medium is related to the surface displacements through

$$X_{dat} = GS \tag{A5.1}$$

where G is the Green function operator that gives the displacement at the measuring sites resulting from a unit slip applied on the fault and X_{dat} are the InSAR observations. To compute the Green functions, we consider point sources embedded in a homogeneous elastic half-space [*Okada*, 1992], assuming a Poisson coefficient of 0.25.

927 In the PCAIM algorithm, we solve for

928
$$\left(\frac{X_{dat}}{0}\right) = \left(\frac{G}{\gamma\Delta}\right)S$$
 (A.5.2)

929 The Laplacian matrix Δ is a smoothing operator regularizing the inversion and γ the 930 associated parameter. The main principle of PCAIM can be found at:

931 <u>http://www.tectonics.caltech.edu/resources/pcaim/</u> and examples of applications can
932 be found in the works of Lin et al. (2010), Perfettini et al. (2010), Remy et al. (2015).

Here, we detail different resolution tests that we have performed to solve for the slip distributions on the fault planes used in our study. To quantify the spatial resolution of our slip models, we compute the contribution of each patch to the total displacement of the InSAR dataset using a approach similar to that used by [*Loveless and Meade*, 2011; *Perfettini and Avouac*, 2014]. The contribution of each patch is computed considering the cumulated displacement amplitude over all observation sites due a unit of slip on this given patch. Next, the resulting field is normalized to have values between 0 and 1. The contribution of each 940 patch to the total displacement is shown in FigA.5.1. Patches with no color are those for





Figure A5.1. Spatial resolution of the models. A) Spatial resolution of the LOFS model. Normalized contribution
of each patch to the total displacement of assuming a homogeneous slip with rake fixed to -165°. B) Spatial
resolution of the Caldera-Graben model assuming a normal slip for the Caldera and right lateral slip for the
flank of the graben.

The LOFS slip model, constrained by InSAR measurements is shown in Figure 1.A As expected, the normalized contribution of the patches decreases with depth and in the region of Puyehue where no InSAR measurements are available. In the same way, the normalized contribution of the patches for the Caldera-Graben slip model decreases for the patches located in the eastern part of the study area.

The spatial resolution of our model was further tested with additional resolution tests where we impose an initial slip distribution. For the LOFS model, this distribution consists of three rectangular asperities of about 4 km with unit slip. The distribution for the Caldera-Graben model consists of five rectangular asperities of about 3 km with unit slip. To the resulting modeled displacement we add a Gaussian noise with variance of 1.10^{-4} m².

The spatial resolution of our model was further tested with additional resolution tests where we impose an initial slip distribution. For the LOFS model, this distribution consists of three rectangular asperities of about 4 km with unit slip. The distribution for the Caldera-Graben model consists of five rectangular asperities of about 3 km with unit slip. To the resulting modeled displacement we add a Gaussian noise with variance of 1.10^{-4} m².



961 *Figure A5.2.* Synthetic Models. A) LOFS model. B) Caldera-Graben model.

The ability of our models to recover the imposed pattern, considering two values of the smoothing parameter is shown in Fig.A5.3. Not surprisingly, rough models always allow a better reconstruction of the initial slip distribution. The Caldera-Graben model shows a lower ability to recover the imposed slip pattern in the eastern part of the fault plane, even using a low value (rough model) of the smoothing parameter.





Figure A5.3. Recovered slip distributions. A and B) Using a low value of the smoothing parameter. The resulting
rms for both model is 0.01. C and D) Smooth models by increasing the smoothing parameter. The resulting rms
for these models are 0.014 and 0.015 m, respectively.

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