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## 1 Mapping discrete fracture networks using inversion of hydraulic

## 2 tomography data with Convolutional Neural Network: SegNet-Fracture

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Abstract: In this paper, we propose a new method to map the fracture network structure in a 6 heterogeneous aquifer from inversion hydraulic head data measured during pumping tests in hydraulic 7 tomography mode. This inversion tool is based on the new concept of convolutional neural networks, 8 which provides a direct approximation to the inverse function linking fracture geometry to hydraulic 9 10 data. In order to handle the highly nonlinear inverse function more effectively, an advanced neural network is developed from Segnet architecture with encoder-decoder structure, which excels in image 11 processing to translate the water level image associated with the pumping tests at the input into a 12 fracture map at the output. The network is trained with a synthetic dataset where the fracture structure 13 and matrix heterogeneity are randomly generated, and the hydraulic head are obtained by solving the 14 groundwater flow equation. The trained network accurately maps different complexity levels of 15 fractures embedded in a matrix with heterogeneous transmissivity. 16

As a data-driven approach, the accuracy of the mapping depends on the quantity, quality, and relevance of the synthetic dataset used in the training phase. While generating data to train the network requires effort, the trained network performs each inversion instantly. The inversion result appears to be stable even in the presence of data noise, reliably interprets the hydraulic data if they share comparable fracture and matrix properties as specified in the training models.

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23 Keywords: Neural Network, Fractured aquifer, Convolution Neural Network, Groundwater,
24 Inversion.

25

## 26 Introduction

Characterizing the hydraulic properties of fractured/karstic aquifers is known to be one of the most 27 challenging tasks in the quest to understand groundwater dynamics and contaminant transport in these 28 complex environments (Neuman, 2005). Indeed, due to the large variability in fractures and rock 29 matrix, as well as the permeability gap between them, these fractured aquifers exhibit extremely 30 complicated groundwater flow patterns. Water flows, in this heterogenous structure, are mostly 31 concentrated in a percentage of the highly permeable matrix and in sparse fracture networks that 32 emerge across a vast impermeable region (Budd & Vacher, 2004; Maréchal et al., 2004). 33 Identification of these fracture networks is therefore crucial in determining strategies for exploiting 34 and protecting any reservoir. 35

Various tools have been tested and developed in the literature to locate preferential flows associated 36 with the presence of fractures, including tracer tests, conventional pumping and cross-pumping 37 techniques (Dverstorp et al., 1992; Dahan et al., 1999, Dausse et al., 2019). Cross-pumping tests are 38 referred to as a hydraulic tomography technique that captures the spatial variability of the hydraulic 39 properties of the porous or fractured aquifer from joining hydraulic drawdown data recorded in 40 successive pumping tests (Illman, 2014). Typically, processing of these acquired hydraulic data is 41 performed using an inversion code to determine models of hydraulic conductivity and storativity 42 which are numerically consistent for the observed hydraulic data. The inversion method employs a 43 forward problem to numerically provide hydraulic responses for a given model of hydraulic properties 44 by solving for groundwater flow based on the Darcy equations. In this method, the forward operator is 45 solved repeatedly until achieving a satisfactory match between the observed and simulated hydraulic 46 data (Yeh, 1986). 47

For the characterization of fractured/karstic aquifers, the groundwater flow equation can be solved using one of two distinct approaches: Equivalent Porous Medium (EPM) and discrete fracture networks (DFN). In the ECM concept, fracture networks are approximated as a porous medium in which the fractured aquifer is fully discretized with small cells that represent the effective values of transmissivity and storativity that are being identified during the inverse process (Yeh & Liu, 2000;

Zha et al., 2015; Tiedeman & Barrash, 2020). This approach is straightforward to implement and 53 parameterize the spatial heterogeneities of the hydraulic property fields in the inverse process. It, 54 however, ignores the discontinuity features of groundwater flow in fractured environments (Wang et 55 al., 2016). In contrast, DFN concepts preserve the fracture shape and its hydraulic discontinuities via 56 57 parameterizing the fracture and conduit structures as lines in 2D or surfaces in 3D and linking their hydraulic properties to their apertures (Fischer et al., 2018; Ringel et al., 2019; Mohammadi & Illman, 58 2019). Despite its realistic representation, this parameterization is practically complicated due to the 59 significant time required in numerical simulation and the difficulties in determining both the spatial 60 geometries of the fractures and their apertures in the inverse process. For this reason, the number of 61 applications of DFN parameterization in the inverse problem with stochastic or deterministic 62 optimizers remains limited in comparison to EPM. 63

In this paper, we explore the potential of deep learning tools in the inversion of hydraulic data 64 monitored in pumping tests to identify conduit and fracture networks. Compared to stochastic and 65 deterministic algorithms, the deep learning algorithm performs a direct approximation of the inverse 66 function: linking the piezometric measurements as input to the hydraulic properties in the output. This 67 link between the two is made through multiple linear and nonlinear operations performed sequentially 68 on layers of the network. Such a decomposition provides an efficient way to approximate highly 69 nonlinear functions as in the case of inversion operators (Elanayar & Shin, 1994). The concept 70 involves the use of several multidimensional coefficients, called weights and biases, assigned to the 71 network layers. The determination of these coefficients is based on an optimization process performed 72 during the training operation, which uses a known set of input and output data, called the training 73 dataset. Thus, most of the effort and computational time required to build a neural network relates to 74 building the database for training and the training task itself. Once trained, however, the trained 75 network provides an end-to-end operator that performs inversions of experimental data instantly. 76

Deep learning algorithms are progressively gaining ground in the geosciences, particularly the emergence of the new powerful neural networks that enables to handle complex problems in

geophysical imaging, remote sensing and other environmental monitoring (Benjamin et al., 2020; 79 Lary et al., 2016; Moseley & Krischer, 2020). Some preliminary investigations adopted deep learning 80 algorithms using vanilla neural networks, for example, to interpret measurements from pumping or 81 tracing tests (Aziz & Wong, 1992; Zio, 1997; Balkhair, 2002; Trichakis et al., 2009). Comparative 82 83 analyzes reveals the efficiency of neural network as a replacement for traditional interpretations. However, fully connected layers in a vanilla neural network with a high number of learnable 84 coefficients lead to training task overload when dealing with high-dimensional problems. Recent 85 breakthroughs in the field of data science have given rise to the new networks based on the 86 convolutional concept that efficiently takle tomography problems with reasonable effort (LeCun & 87 Bengio, 1998). Convolutional neural networks (CNN) translate the input image by scanning the 88 shared small filters region-by-region to extract features on the entire image and construct local 89 connections between neighboring pixels. Such a sharing mechanism facilitates the training task and 90 information extraction compared to processing point-by-point as in the vanilla network (Rawat & 91 Wang, 2017). 92

Convolutional architecture performance has sparked a surge of research and applications in 93 geophysical and hydraulic imagery for approximating inversion operators to identify the subsurface 94 properties from sparse observations on the boreholes or at the surface. Among them, Liu et al. (2020) 95 built a CNN architecture to map the 2D electrical resistivity in the subsurface from apparent resistivity 96 images inferred from surface data, while Vu & Jardani (2021) developed a CNN-ERT3D neural 97 network to process 3D resistivity tomography. Other efforts applied the networks in seismic 98 exploration to reconstruct the seismic velocity models directly from seismogram data (Li, et al., 2020; 99 Zhang & Lin, 2020, Apolinario et al., 2019; Park & Sacchi, 2020). Similar ideas were tested for the 100 inversion of electromagnetic data to identify the 1D or 2D subsurface resistivity (Puzyrev & 101 Swidinsky, 202; Puzyrev, 2019) 102

In hydrogeology, Laloy et al accessed the effectiveness of a generative adversarial network (GAN) in mapping hydraulic conductivity heterogeneities in 2D and 3D binary pattern (Laloy et al., 2017; Laloy et al., 2018). Bao et al. (2020) extended the coupling of GAN and Ensemble Smoother with Multiple Data Assimilation, to reconstruct the binary media and reduce the prediction uncertainty. Sun (2018) suggested a composition of GAN and state parameter identification to map simultaneously the parameter space, as the permeability, and the corresponding model state, as the subsurface structure. Other initiatives employed encoder-decoder architectures to image the spatial distribution of the transmissivity field from measurements of hydraulic heads (Jardani et al., 2022) or tracer concentrations (Vu & Jardani, 2022). However, all these applications focus solely on mapping heterogeneity in a porous aquifer; to our knowledge, only a few works contribute to determination of the fracture network geometry.

In this paper, we propose a CNN based on the SegNet architecture to identify the fracture network 114 structure from synthetic data of hydraulic head monitoring in a fractured aquifer. The study is 115 structured as follows: Section 2 outlines the theoretical background which summarizes the forward 116 problem with groundwater equations, the concept of the inverse problem approximated by Segnet and 117 its differences from classical inversion methods. Details of the data generation, learning process and 118 validation of the trained network follows in Section 3. Section 4 is devoted to accessing the 119 performance of the proposed network in the face of possible interferences in practice, which could be 120 related to the data quality and quantity, the heterogeneity in matrix, or the relevance of dataset 121 features. Finally, a summary of the main findings with suggestions for future work concludes the 122 paper. 123

### 124 **2. Theoretical Background**

In this section we summarize the theory of which the first part includes the forward problem, the concept of the inverse problem with neural network, followed by the description of proposed neural network architecture and its working mechanisms.

#### 128 2.1 Forward problem: Groundwater modelling

As mentioned earlier, inversion by a deep learning algorithm is also based on the forward problem 129 where generating the training data set involves solving the groundwater flow equation. This training 130 131 data consists of the numerically computed hydraulic response to the pumping tests corresponding to a given synthetic transmissivity field and discrete fracture networks. Fractures in the aquifers are 132 geometrically represented by 1D lines and assigned to an equivalent transmissivity, whereas matrix 133 rock is characterized as a porous medium - a heterogeneous transmissivity field. The neural network 134 then learns the relationship between the hydraulic head responses and the permeable fracture 135 structures rooted in the training dataset. In this work, the inversion task aims only to reconstruct the 136 geometric structure of fractures without rebuilding the transmissivities in fracture and matrix. 137

In steady-state conditions, numerical simulation of groundwater flow in a fractured aquifer is performed using two connected equations derived from Darcy's Law that describe water flows in the rock matrix and fractures (Kohl et al., 1997):

<sup>141</sup> 
$$\begin{cases} \nabla(-T_m \nabla h) = q \text{ in the matrix } \Gamma_m \\ \nabla_T (-T_f \nabla_T h) = q \text{ in the fracture } \Gamma_f, \end{cases}$$
(1a)

subjected to the following boundary conditions

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$$h = h_D \text{ at } \Gamma_D, \tag{1b}$$

where  $\nabla$  and  $\nabla_T$  are the gradient and tangent gradient operators, respectively;  $T_m$  and  $T_f$  [m<sup>2</sup>/s] 144 denote the transmissivity of heterogenous matrix ( $\Gamma_m$ ) and fractures ( $\Gamma_f$ ), respectively. The fractures 145 are shaped as lines which is randomly generated, while their equivalent transmissivity is assumed 146 constant. h [m] is the hydraulic head; q [l/s/m] represents the punctual water source at the 147 extraction/injection wells. To form boundary conditions, the observation area is embedded in a large 148 buffer zone assigned to the mean transmissivity of the matrix blocks. Such large bounds limit the 149 boundary effects on the computational results. For completeness, a constant hydraulic head  $(h_D)$  is 150 imposed at the buffer zone periphery ( $\Gamma_D$ ). 151

In this study, the source of water is the paired pumping wells, each pair consists of one extraction and one injection well located on opposite sides of the observation area. Each paired well pump at the 154 same constant rate over the operating period. Finally, we solve the set of flow equations numerically 155 using a finite element method in COMSOL software to determine the hydraulic head at observed 156 points, which are then taken as input to the neural network.

#### 157 2.2 Inversion problem with Neural network: Mapping fracture from groundwater observation

As mentioned in the previous problem, equation (1) represents a numerical operator for computing piezometric data in response to a pumping test in an aquifer with matrix transmissivity  $T_m$ , fracture transmissivity  $T_f$  with geometry  $C_f$ . The operator can be expressed as the following function with three dependent parameters:

$$\boldsymbol{h} = f(\boldsymbol{T}_m, \boldsymbol{T}_f, \boldsymbol{C}_f), \tag{2}$$

The operator works as a data generator for training the neural network which performs an inverse function to determine the fracture geometry from the hydraulic head data (see Figure 1). Here we focus only on reconstruction of the fracture geometry, without identifying the transmissivity field of matrix rock and fractures which will be handled in a future study. Thus, the aim of this study is to retrieve the fracture geometry from the hydraulic data by approximating the nonlinear inverse operator  $f^{-1}$  between two fields using Segnet network based on thousands of synthetic data sets:

169 
$$\boldsymbol{C}_{f} = f^{-1}(\boldsymbol{h}) = \Psi(\boldsymbol{h}, \boldsymbol{\Theta}), \tag{3}$$

where  $\Psi$  represents the neural network, whose learnable parameters are denoted as  $\boldsymbol{\Theta}$ .





Figure 1: A scheme representing the prediction of fracture geometries from the hydraulic head data using Neural network. The approach consists of the learning phase: solving the forward problem to generate the training data, and the use of neural network to establish the relationship between the fracture geometry and the hydraulic data from the training models.

Indeed, the neural network, such as Segnet, offers a possibility to directly relate the hydraulic data to the fracture geometries by determining a set of learnable parameters defined as sequential linear and nonlinear operations in Segnet layers. Determination of the parameters is performed from solving an optimization problem with the following objective function

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$$\boldsymbol{\Theta} = \operatorname{argmin}\left\{\sum_{1}^{N} \left\|\boldsymbol{\mathcal{C}}_{f} \sim \Psi(\boldsymbol{h}_{i}, \boldsymbol{\Theta})\right\|\right\},$$
(4)

where the pair ( $C_f$ ,  $h_i$ ) denotes a model and its corresponding measurement, N is the number of pairs in the training dataset; the operator ~ denotes the cross entropy to measure the difference between the truth and predicted models.

A training process often demands a large dataset, of which the generation task takes the most time in the building of a neural network. However, once the training is completed, the trained network performs an inference instantly, an end-to-end operator without intervention from users.

#### 187 2.3 SegNet Architecture - Direct inversion function

In this paper, the inversion operator is approximated by the SegNet architecture which is designed to efficiently locate the fracture map from groundwater level measurements relied on the concept of convolutional neural network (see Figure 2). The SegNet architecture was developed by
Badrinarayanan et al. (2017) and has been rapidly proven in a variety of domains with numerous
applications, including: traffic scene detection (Kendall et al., 2017; Jiang et al., 2020; Qin et al.,
2020), satellite image processing (Khryashchev et al., 2018; Mohammed & Edward, 2019; Sariturk et
al., 2020), geophysics (Pham et al., 2018; Mukhopadhyay & Mallick, 2019; Vu & Jardani, 2021).
However, it is still uncommon in hydrology, as well as other advanced algorithms in this discipline,
where progress is modest compared to other geosciences such as geophysics.



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# Figure 2: SegNet architecture consists of 4 encoder-decoder levels, with 63 neural layers to interpret the fracture network geometry from groundwater level measurement.

Typically, a neural network consists of multiple layers of artificial neurons that mimic the operations of their biological counterpart through mathematical functions (Indiveri et al., 2011). When an input image is introduced into the network, each layer operates on activation functions to interpret features before passing them on to the next layer. The process ends with a mapping result reconstructed from the learned features. By matching the truth in the given datasets with its reconstruction from the network, all parameters in the activation functions are determined, which is then named as the training task. Network performance evidently relies on how efficiently the features are translated after this training process. A more complex network appears to better refine the learned
 features that is why the proposed neural network is based on an advanced architecture (Bengio et al.,
 209 2013).

The proposed architecture, as shown in Fig.2, consists of successive network blocks with four 210 211 encoders and four decoders, finalized by a pixel-wise classification layer to map the fractures. This Ushaped form consists of 63 layers divided into two paths: a contracting one with encoders and an 212 expanding one with decoders, each having the same structure but arranged in reverse order. The 213 214 encoders extract the features embedded in the input images using a set of sequential convolutions, each followed by a batch-normalization (BN) and a rectified linear unit (ReLU), and finally a max-215 pooling operation. On the opposite path, the decoders start with an un-max-pooling operation 216 followed by a sequence of convolutions, BN and ReLU layers. The max-pooling indices from each 217 encoder are assigned to the corresponding decoder to compensate for the resolution loss in the 218 encoders. This sharing mechanism is the core aspect of a SegNet architecture (Badrinarayanan et al., 219 2017). 220

But how does the network reconstruct the fractures? The concept of a convolutional neural network relies on scanning small filters over entire images to extract key features which represent the local connectivity among neighboring pixels. In this sequential convolution, the first layer usually extracts basic features, such as horizontal or diagonal edges, while more complex features are detected in the next layers, such as corners, edges, or shapes (Ghafoorian et al., 2017). The deeper it goes, the more complex features the network can detect (Fukushima, 1988). In this manner, convolutions translate the fracture information embedded in the input water level images to yield the fracture map.

Number of convolution level is designed in response to problem complexity; however, deeper convolutional translation inevitably entails a large system of filters that soon overburdens the training task. To save time and resources, a max-pooling operation is invoked to sort out only maximum values. By shrinking the spatial size of the convolved features, the operation speeds up the processing and is effectively deals with overfitting issue. By working only on maxima, the max-pooling conserves the most prominent invariant features along with dimensionality reduction (Nagi et al., 2011). Since the fracture is prominent in this case, the operation acts as a denoising agent to highlight fractures from the matrix heterogeneity. However, the operation results in a downsized output and lose of information. To compensate the side effects, state of each max-pooling is transferred to the corresponding un-max-pooling in decoders to counterbalance the degradation.

Thanks to sharing max-pooling indices, the SegNet outperforms other networks such as FCN, DeepLab, DeconvNet in terms of accuracy (Badrinarayanan et al., 2017). For example, the proposed network with 4 encoder-decoder levels requires only 0.5 million parameters, which is far less than Unet with 8 million. A smaller size is also related to the fact that the network employs only  $3\times3\times64$ filters which are partly smaller than those in the Unet. Recall that our network is designed with 4 encoder-decoder levels, shallower than the original network as it processes fewer output segmentation labels.

## 245 **3.** Application

This section is devoted to the training process in a synthetic application. We begin with a generation of aquifer models, each with a fracture network embedded in a heterogenous ground. Water level responses in each aquifer model are observed with a monitoring scheme designed to mimic a real experiment, where the acquisition setup maps the groundwater level in injection tests. Following that, the acquired data is processed to feed training of the network. The training is then implemented, along with hyperparameters, evaluation metrics, and its evolution during the process. Typical results are shown to validate the trained network.

#### 253 3.1 Aquifer model: fracture network embedded in a heterogeneous ground

In this study, the heterogeneity in aquifer transmissivity is generated based on statistical parameters following a Gaussian distribution. For this purpose, we use the SGeMS code implemented in Matlab to create 35,000 transmissivity models, where the distribution of log<sub>10</sub>T is randomly constructed using

a Gaussian variogram with constant mean and variable range (Remy et al., 2009). Heterogeneity in 257 transmissivity models ranges from 10<sup>-8</sup> to 10<sup>-4</sup> m<sup>2</sup>/s in four orders of magnitude which corresponds to 258 the range of a permeable aquifer. The generated transmissivity fields are then assigned to a  $100 \times 100$ 259 m area with a locally constant aquifer depth (Figure 3). Each ground model is assigned a fracture 260 261 network geometry which consists of no to three fractures assigned randomly. The transmissivity of fracture is set at  $10^{-2}$  m<sup>2</sup>/s which is of orders conductive than the surrounding matrix with a mean of 262 10<sup>-6</sup> m<sup>2</sup>/s. All fractures are formed from 1D random generation using a Gaussian variogram in 263 SGeMS, and then randomly rotated to redirect their orientation. 264



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To establish a monitoring scheme, we install 49 observation wells in a regular array in both directions on the synthetic aquifers (Figure 3). At the field boundaries, we arrange 4 paired pump wells, in each pair an up-pumping well is in opposite position to its down-pumping. This arrangement of the hydraulic forces in pairs allows realizing a hydraulic disturbance of the whole investigation area. In addition, this configuration in practice saves water resources during the hydraulic tomography test.

#### **3.2** Data acquisition and data processing

In each model, groundwater responses due to pumping/injection tests are determined by computing the forward problem described in Section 3.1. Four pumping/injection tests are performed sequentially at a constant flow rate of 10 L/min in each well. Hydraulic measurements are acquired on the 49 observation wells and then interpolated using the Akima method to obtain 4 maps of hydraulic head.

The input and output maps in the training datasets use the same spatial resolution, being discretized 281 by a  $64 \times 64$  grid on the  $100 \times 100$  m study area. Recall that in this study, we focus only on mapping 282 the network of fractures as preferential flow paths during pumping/injection tests. The presence of 283 fractures is often associated with abrupt changes in groundwater flow. To better identify these 284 discontinuities, we calculate the gradient in both directions (x and y) of the hydraulic head maps 285 interpolated from the hydraulic head measurements. The resulting gradients are then reshaped into a 286  $64 \times 64$  grid. Each pumping/injection test generates 2 gradient maps (x, y) to build the gradient map 287 set of 8 channels (64×64×8) as the input of neural network training. For the output map, the fractures 288 are labelled as 1 and the others as 0 on the gridded map. 289

To quantitatively assess the predictions accuracy of the network, we use the accuracy coefficient as defined below

$$Accuracy = \frac{1}{N} \sum_{j=1}^{N} (y_j = = \hat{y}_j), \tag{5}$$

where y and  $\hat{y}$  denote the labels (fracture or ground) in the true model and its corresponding prediction, respectively. *N* represents the total pixel number in the output image (64×64).

#### 295 **3.3 Training process and results**

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For the training phase, we randomly separate 25,000 aquifer models with corresponding measured data: 20,000 for training the network and 5,000 for validation during the process. A high fraction of validation dataset aims to better evaluate efficiency of the training process. Training is performed
using the ADAM optimization algorithm implemented in MATLAB, on a Dell Precision Tower 5810
with a single GPU NVIDIA Quadro K2200. The task is completed in 5 hours, 60 epochs with a
constant learning rate of 0.01 and a batch size of 128. Figure 4 summarizes evolution of accuracy
along the training process.



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Figure 4: Accuracy evolution in the training process, including 20,000 data sets for training
 and 5,000 data sets for validation.

The trained network is then evaluated with 10,000 unseen models, each model is executed in 0.006 306 seconds, almost instantly. Fractures are efficiently mapped over 10,000 samples with an average 307 accuracy of 94.83%. The overall reconstruction quality is summarized in the histogram shown in 308 Figure 11. High accuracy prediction is related to the fact that fractures with higher conductivity drive 309 dynamics in the aquifer that favor their detection. To investigate the sensitivity of the proposed model, 310 we train the network 50 times with the same dataset and hyperparameters as above. The results show 311 a consistent solution, where the average accuracy of the testing dataset centers at 94.86 %, with a 312 standard deviation of 0.1 % over the trained models. 313

To discuss the accuracy of the reconstructions in detail, we select and show six representative results of the tested models in Figure 5. Six showcases are arranged in different levels of complexity of the fracture distribution, starting with the models without fractures and ending with the networks consisting of three fractures.





Figure 5: Six representative models are selected to illustrate the effectiveness of the trained network (Case 1). From top to bottom by row: the real, accuracy, and predictions; from left to right by column: the representative cases from Ex1 to Ex6. Fractures are efficiently mapped with a high accuracy. The accuracy of reconstruction relies on the density and complexity of the fracture network overall.

The accuracy of reconstructions overall refers to a clear dependency on the density of the mapped 324 fractures and their complexity level. Without fractures, Ex1 shows an accurate prediction where the 325 matrix transmissivity heterogeneities do not produce artifacts in the interpretation. A further 326 discussion on this ground impact is addressed in Section 4.5 with higher heterogeneity. In the 327 following cases, Ex2 and Ex3, the trained network also properly reproduces the models with one or 328 two fractures. However, in a more complex case in Ex4 where two fractures cross closely, there is a 329 minor misinterpretation with a fused joint. Even though, the reconstruction still faithfully represents 330 the main aspects of the fractures. 331

Case Ex5 otherwise presents a dense fracture network in which three fractures are oriented in different directions; these patterns are somewhat more complex than the previous ones, but the network is still able to identify the fracture paths with accuracy. Along the reconstructed fractures in Figure 5hij, other slightly deformed curves or a minor discontinuity can be observed, possibly due to 336 the influence of ground heterogeneity. The ground heterogeneity exhibits less influence on subsurface flow compared to fractures. However, by introducing more noise and locally changing the water table, 337 heterogeneity may misguide the understanding of fracture networks. A detailed discussion on this 338 problem is further elaborated in Section 4.1 for results from homogeneous models. In the last case, 339 340 Ex6 also consists of three fractures, but with a very complex geometry, including two fractures close to each other, which the network cannot distinguish in the reconstruction. This misinterpretation stems 341 from the fact that the two fractures behave as similar as a single fracture in the middle. In general, 342 343 however, the fracture reconstructions are very satisfactory.

Indeed, number of interferences can impact prediction efficiency which may occur in a real field under diverse situations, some of the key issues are addressed in the following sections.

#### 346 **4. Discussion**

This section is devoted to studying the impact of various sources of uncertainty that can affect the quality of the reconstructions with the network, such as: the uncertainty about the degree of heterogeneity of the ground transmissivity and the choice of the size and the nature of features of the training models. We also study the impact of the number of piezometers used in hydraulic tomography and the noise that can alter these hydraulic measurements.

#### 352 4.1 Effect of heterogeneity in the matrix

Both porous ground and fracture network geometry associates in forming the subsurface dynamics; yet the roles of each system in driving the groundwater are distinct. Dominant conductivity and connectivity of fracture network make it superior to the surrounding porous ground. While fractures regulate the flow regime, the permeable ground, even of a lesser order, is equally important.

To investigate the influence of heterogeneity in matrix transmissivity, we repeat the learning process described in Section 3, but this time with homogeneous transmissivity models. We regenerate 359 35,000 models using the same fracture network configuration embedded in ground with a constant transmissivity of  $10^{-6}$  m<sup>2</sup>/s. The network is re-trained using the same piezometric configuration and network hyperparameters as in Section 3.3. The overall average accuracy for 10,000 test models shows a significant improvement from 94.83% in Case 1 to 96.94% in this case. Details of the representative models are shown in Figure 6, and the histogram is summarized in Figure 11.





Figure 6: Examples of reconstruction with a homogeneous ground transmissivity of 10<sup>-6</sup> m<sup>2</sup>/s (Case 2). From top to bottom by row: the truth, accuracy, and predictions; from left to right by column: the representative cases from Ex1 to Ex6. Without ground heterogeneity, fractures are reconstructed with a better accuracy. Complexity in the fracture network is better delineated than in the previous case which prove the impact of the ground heterogeneity on overall accuracy.

The showcases in Figure 6 prove a better reconstruction due to the absence of disturbance effect associated with a heterogenous ground, particularly for the complex configurations in Ex4 and Ex6. The fused bottleneck in the prediction of Ex4 has clearly disappeared, accompanied by a better shape for both fractures. Other slightly deformed curves that appeared in Figure 5hij also do not appear in Figure 6hij. Similarly, all the fractures are well shaped overall in Ex6 where the two closed fractures are clearly delineated, even some minor disturbances are shown on the top of the fractures. Comparison of the accuracy between the two sets of reconstructions confirms the heterogeneity importance of the porous ground in determining the fracture network structure. Further discussion on
this issue is developed in Section 4.5 where the heterogeneity deviates from a Gaussian distribution
predefined in the training dataset.

#### 381 4.2 Effect of dataset size

In general, the effectiveness of a trained neural network in a deep learning algorithm depends strongly on the size of the dataset used in the learning phase. In our inverse problem, generating the training dataset involves solving a mass of a forward problem with numerical tools, which is the longest and most laborious phase in building a neural network. The ideal strategy is to determine the optimal size which reduces computation effort while resulting in an accurate prediction. This can be accomplished by gradually increasing the data size and periodically verifying the prediction accuracy in the training, validation and testing phases until a satisfactory result is achieved.

In this section we examine the impacts of training data size on driving the reliability of reconstructions by analyzing the predictions obtained with networks trained with three different sizes: 5000, 10000, 20000 and 30000. The networks formed with these datasets are tested on the 10000 unseen models as in Section 3.3, of which the metric results are reported in Table 1 and in Figure 11 for the histogram. We recall the six representative models as in the previous tests to analyze the impact of dataset size in detail (see Figure 7).



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Figure 7: Results from the network trained from 5,000 models in the dataset (Case 3). From top to bottom by row: the truth, accuracy, and predictions; from left to right by column: the representative cases from Ex1 to Ex6. The accuracy of fracture reconstruction relies on the size of training dataset. A small training dataset results in a degradation in the prediction accuracy with artifacts or missing feature in the reconstruction. Larger dataset enhances the prediction quality, an asymptotic enhancement.

The use of a minimal number of training data is sufficient to results in reliable maps when the 402 reconstructed fracture networks are straightforward, as in the case of models Ex1 to Ex3. However, 403 when the configurations are difficult, as in the cases of models Ex4 to Ex6, the predictions do not 404 provide accurate identifications of the fracture structures with minor artifacts and missing features in 405 the reconstruction. A larger training dataset assists improving reconstructions in enriching the learning 406 process through introducing more complex features (Advani et al., 2020). Better generalization thus 407 requires a wide range of alternative models to cover the high complexity in karstic aquifer and to 408 tackle the overfitting issue. 409

Details of prediction accuracy in Table 1 reveal a clear dependence on the size of dataset, with overall mean accuracy increasing from 93.08% for the first subset (5,000 models in training), to 93.95% for the second (10,000 models in training), and to 95.19% of the third (30,000 models in

training). The enhancement in accuracy is, however, not proportional to the enlargement of dataset 413 size since results from subsets of 20,000 (Case 1) and 30,000 models show no clear difference (with 414 0.3% of improvement in accuracy for extra 10,000 samples). Overfeeding data overburdens the 415 training task since increasing data volume ineffectively contribute to improve accuracy when 416 417 performance reaches an asymptotic stage (Amari et al., 1997). As mentioned at the beginning of this section, the choice of training data size depends on the desired accuracy. It is reasonable to gradually 418 increase the size until this desired accuracy is achieved, to avoid generating a large amount of 419 420 unnecessary data.

#### 421 4.3 Effect of amount of observation wells

Number of observed wells is an important consideration in design a monitoring plan, as it determines measurement efforts and accuracy of any interpretation technique. A dense observation network engages a better understanding of the field when it better covers the dynamics subsurface. However, it also imposes higher requirement on the costs and feasibility of a monitoring plan in a real field sometimes. In this part we examine the importance of measurement points for reconstruction accuracy.

To achieve this, the study evaluates two additional configurations of observation wells which share an identical pump setting but using less wells compared to the original configuration containing 49 piezometers. The number of wells is first reduced by half (25 wells) and then by one third (16 wells); but we retain the pumping schemes with similar flow rates, paired pumping, and other conditions as performed for the original configuration of 49 wells in Section 3.1 (Figure 8). In practice, we rebuild new datasets in which the hydraulic head maps are interpolated with fewer measurements, which lowers the input resolution and worsens the inversion quality on the 10,000 test models (Table 1).





Figure 8: Altered monitoring configuration: lowering the number of observation wells to 25
 while maintaining all other settings: a) Equal spacing, b) Varied spacing.

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Figure 9: Reconstructions with a monitoring network of 25 wells (half number of the original
design) (Case 4). From top to bottom by row: the truth, prediction, and accuracy of Case 4a and
Case 4b; from left to right by column: the representative cases from Ex1 to Ex6. A coarse

# observation degrades in the prediction accuracy as the coverage of measurement data is crucial in determining the inversion quality.

The results of the 10,000 test models in Table 1 reveal a clear dependence of the reconstruction 445 accuracy on the piezometric density over three configurations. Degraded data coverage results in a 446 drop in overall reconstruction accuracy: from 94.83% (49 wells) to nearly 92% with 25 and 16 wells. 447 The degradation tendency is also confirmed visually in the representative models, where the 448 reconstructions of the fracture network geometry from 25-well setup are less accurate than in the 49-449 well (Figure 9). For simple fracture networks in Ex1 to Ex3, the reconstruction can be accomplished 450 accurately with only a limited number of observation wells. However, the dissimilarity in the results 451 for 25- and 16-well setups implies that the hydraulic information in these two cases is only sufficient 452 to infer the existence and overall shape of the fractures, but not to identify them more precisely in 453 complex cases. Another comparison of results between two configurations of observation wells in 454 Figure 9 highlights the impact of well spacing. A scattered distribution of observation wells leads to a 455 drop in accuracy of the fracture network reconstruction, as Case 4b shown in Figure 9, where the 456 reconstruction quality depends locally on the scarcity of observation wells. Indeed, the relationship 457 between the number of involved measurements and the reliability of resolved predictions is a common 458 issue in any inversion problem, regardless of the optimization method employed. To ensure an 459 accurate reconstruction, there must be a sufficient number of wells to cover all heterogeneities in the 460 target field. 461

#### 462 4.4 Effect of pumping schemes

To further investigate the impact of the amount of data in identifying fractures, in this section we reduce the number of pumping wells and keep the original configuration with the same pumping rate and observation wells (see Figure 10). This modification in the monitoring scheme results in a reduction of the amount of data to half and quarter of the original scheme.





Figure 10: Monitoring schemes with less pumping wells: a) 2 pairs of pumping wells, b) 1
 pairs of pumping wells.

The reduction in data size leads to an overall deterioration in the result for the subsurface fracture 470 network reconstruction, with the average accuracy dropping to 93.53% in Case 5a with 2 pairs of 471 pumping wells and 91.50% in Case 5b with a single pair. Details for the six representative examples 472 are shown in Figure 11 while the statistics are summarized in Table 1. Downsizing the observation 473 data in this case undoubtedly leads to less coverage of observed data, resulting in a less reliable 474 prediction. However, the consequence is less severe than the reduction in observation wells, where the 475 lack of local information results in a coarse resolution that affects details of the reconstructed map. 476 This comparison can be used as a guide to the design of the monitoring system in a real field. 477



Figure 11: Reconstructions with data from different number of pumping wells (Case 5). From top to bottom by row: the truth, prediction, and accuracy of Case 5a (2 pairs of pumping wells) and Case 5b (1 pair of pumping wells); from left to right by column: the representative cases from Ex1 to Ex6. Less observation data degrades the prediction accuracy.

In this section, we analyze the quality of reconstructions with piezometric data obtained with a low 483 pumping rate of 0.5 l/min while maintaining all other acquisition parameters, such as the number of 484 pumping wells and observation wells. The low flow rate hence entails a small hydraulic disturbance 485 confined to the vicinity of the pumping wells. The absence or low response that can be affected by 486 noise in distant wells cause a lack of information to perform a correct interpretation. Such difficulty 487 can be the origin of inaccurate reconstructions with artifacts where the interpretation cannot 488 distinguish the presence of a fracture network from the impacts of the heterogeneity of the 489 surrounding matrix (see Figure 12). 490



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Figure 12: Reconstructions with data from a monitoring scheme using a low pumping rate at all pumping wells (Case 5c). From top to bottom by row: the truth, accuracy, and prediction of Case 5c; from left to right by column: the representative cases from Ex1 to Ex6. A low pumping rate results in a small hydraulic disturbance focusing on the vicinity of the pumping wells, which limits the accuracy of fracture detection.

## 497 **4.5** Effect of observation uncertainty

Removing the noise that affects hydraulic data can be a complex task to perform prior to 498 interpreting input data in an inversion algorithm. Thus, identifying the influence of noise on imagery 499 quality is a crucial step in determining the degree of uncertainty in the interpretation. To analyze this 500 influence, we contaminate the hydraulic data with random Gaussian noise, where three standard 501 deviations represent 5%, 15%, and 25% of the original signal, respectively. Contaminated data is then 502 interpreted using the network formed with the original data (Section 3.3). To illustrate the result for 503 10000 testing samples, we group the histogram in Figure 11 and summarizes the metric detail in Table 504 1 while the predictions for the benchmark cases are shown in Figure 10. 505





Figure 13: Prediction from measurement contaminated with a Gaussian noise of 15% (Case 6). From top to bottom by row: the truth, accuracy, and predictions; from left to right by column: the representative cases from Ex1 to Ex6. The network faithfully reconstructs the fractures network with modest artifacts. Minor noise impact is associated to the neural layers which operates a region-wise convolution and de-noises in processing feature images.

According to the metric assessment (average of all reconstruction accuracies), the increase in noise 512 correspond to a slight decrease in reconstruction accuracy from 94.59 percent for 5% noise to 93.38 513 percent for 15% and 91.90 percent for 25% noise. Noise appears to have only a minimal effect on the 514 reconstruction accuracy of the fractures. Figure 10 details reconstructions of typical models where the 515 fractures are well reconstructed despite some minor artifacts. Local noise that mimics the dynamic 516 behavior of fractures in groundwater likely leads to the misinterpretation that produces these artifacts. 517 Such a disturbance is then attributed to false segments, as in Ex1, or to slight misalignment of 518 fractures, as in the other cases Ex4 to Ex6. However, the fracture networks are well identified overall, 519 regardless certain degree of uncertainty in the hydraulic data. 520

This minimal noise effect has been reported in the literature when applying CNN architectures to solve inversion problems, e.g., in electrical resistivity tomography (Vu & Jardani, 2021), seismology (Wu & Lin, 2018), or hydrology (Jardani et al., 2022). As explained in Section 2.3, the operation of CNN network relies on a convolutional mechanism that interpret feature on a region-by-region basis rather than treating each observation point separately, as is the case with a conventional inversion

- method. Such a mechanism minimizes the impact of local changes due to random noise. Since the learning process includes max-pooling operations, which also contribute to denoising the information by keeping only the maximum values during the process.
- 529 To summarize, we collect all the result discussed previously in Figure 11 for the histograms and in
- 530 Table 1 for the accuracy of reconstructed fractures.



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Figure 14: Histogram of fracture reconstruction accuracy of 10,000 models in the testing dataset. From top to bottom by row: the cases from Case 1 to Case 6; from left to right by column: contexts of data used in the training and the corresponding histograms. Impacts of interferences is not equal, the accuracy is most sensitive to the number of observed wells, while showing certain resistance to the noise in measurements and heterogeneity in the ground matrix.

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Table 1: Summary of prediction accuracy in the discussion above, including the representative showcases and the mean for 10,000 models in the testing dataset.

Data type		Case	Accuracy						
			Ex1	Ex2	Ex3	Ex4	Ex5	Ex6	Mean
Complete dataset	20.000 samples, 49 obs. wells, heterogenous ground	C1	100.00	97.31	96.51	94.78	91.94	91.21	94.83
Homogeneous ground		C2	100.00	99.24	98.12	95.95	95.36	95.63	96.94
Size of dataset in training	5.000 samples	C3	100.00	96.44	92.82	93.02	90.06	89.23	93.08
	10.000 samples		100.00	97.44	95.48	91.75	93.68	92.46	93.95
	30.000 samples		100.00	98.32	94.80	93.82	93.14	93.58	95.19
Lower number	25 obs. wells	C4a	98.90	97.31	95.24	92.02	88.94	87.28	92.92
of observation	25 obs. wells	C4b	100.00	97.53	90.14	91.94	85.55	89.87	92.53
wells	16 obs. wells		100.00	97.66	90.31	88.50	84.25	90.63	91.45
Lower number	2 pairs	C5a	100.00	97.85	95.73	95.29	86.57	91.38	93.53
of pump wells	1 pair	C5b	100.00	96.41	93.55	92.11	86.65	90.21	91.50
Lower pumping rate		C5c	99.39	97.14	87.18	88.35	81.37	84.91	90.25
Contaminated	5%	C6	100.00	97.17	95.83	95.19	91.97	91.41	94.59
with a	15%		97.31	96.44	96.56	93.77	92.09	89.87	93.38
Gaussian noise	25%		98.19	95.17	91.58	92.85	90.21	90.14	91.90

## 541 **4.6** Influence of features in training models

The training datasets, being the main and most essential data, enable machines to learn the feature and make predictions from the learned features. Not only quality and quantity of training datasets but also its relevance therefore affect the prediction accuracy. All the datasets together must be consistent and relevant to the response expected from the neural network. Gathering a broadly featuring data then better approximates the complexity of a real field, but this often associates to overhead costs and challenges in practice. Often, the training dataset is constrained to be as similar as possible to priori knowledge in the field, which implies possible misinterprets if incomplete and inconsistent data
appears. This discussion investigates how well the trained model performs under different conditions
when the presented map differs from the training knowledge.

Six synthetic aquifers with different features are tested as shown in Figure 12. On the first three 551 aquifers (D1, D2 and D3), the transmissivity fields contain binary distributions that differ from the 552 Gaussian models predefined in the training dataset. The neural network reconstructions successfully 553 capture the heterogeneities of the aquifer, including the absence or presence of fractures in the target 554 models. However, in the second case D2, Figure 12b&h, an anomaly is evident due to the 555 interpretation of a segment at the interface between two hydro-facies. A transmissivity gap at the 556 hydro-facies interface causes fracture-like behavior in the groundwater, resulting in an artifact in the 557 prediction. As mentioned in Section 4.1 for Gaussian models, the ground heterogeneity can trigger 558 559 misinterpretations depending on the gap of heterogeneity in the ground matrix.



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Figure 15: Applying trained network to prediction models of different features to the training dataset. From top to bottom by row: the truth, accuracy, and predictions; from left to right by column: the tested cases from D1 to D6. While examples D1 to D3 involve matrix blocks with non-Gaussian transmissivity distribution, the left three examples, D4 to D6, consist of more fracture features. With minimal misinterpretations, the trained network properly reconstructs the fracture systems. For the remain cases (D4, D5 and D6), we retain the Gaussian nature of the transmissivity as used in the training data, but the fracture network structures are more complicated. In the case D4, the fracture network consists of some short segment which differs from the training data containing only long fractures. The reconstruction of Case D4 efficiently detects the major fracture, but the neural network fails to detect minor fractures that were not learned during the training phase. In general, it is more complex to capture short fractures in hydraulic tomography with a poor resolution of the piezometric coverage (Fischer et al., 2018).

574 In the following cases, D5 and D6, the trained network is challenged to interpret the models with 4 and 5 fractures, respectively, which include more features than the trained data with at most 3 575 fractures. The reconstructed maps mostly locate the fracture traces from the interpreted segments. 576 When the complexity of the tested fracture system exceeds the coverage limit of the input data, certain 577 fracture geometries can be simplified. In practice, the nature of fracture networks and the degree of 578 heterogeneity in the transmissivity field coved in the training data reconstruction must be pre-579 specified based on prior knowledge of the study area. As the rest of the classical deterministic or 580 stochastic inversion methods, inversion with deep learning tools requires priori conditions to constrain 581 the inversion and reduce the non-uniqueness issue in the solution. 582

In this study, the focus is on the theoretical development of an advanced neural network to map the fracture system. Alternative approaches with comparable configuration can be found in the literature (Wang et al., 2016; Wang et al., 2017; Fischer et al., 2018; Ringel et al., 2019) as some of them are addressed and relatively analyzed along the discussions; however, the implementation of a conventional inversion approach is beyond the scope of this work. When processing a real dataset, a more detailed comparison of the approaches should be considered.

#### 589 Conclusion

In this paper, we present a novel and practical method for identifying fracture systems associated to spatial measurements of the water table in a fractured aquifer. Based on Segnet, the involving advanced neural network is designed to be efficient in terms of memory and processing time during inference, with a substantially lower number of trainable parameters than competing designs. The architecture as a deep fully convolutional neural network, is topologically composed of encoderdecoder structures that directly translate the inverted function in terms of trainable parameters, rather than the indirect approach used in classical inversion techniques.

As with any deep learning approach, the algorithm requires a large synthetic training database to 597 establish a reliable generalization capable of predicting the data not seen in the learning stage. The 598 construction of this database was achieved by the geostatistical generation of fracture network models 599 whose number varies between 0 and 3 and whose transmissivity is assumed constant and placed on a 600 rock with heterogeneous matrix transmissivity, also generated according to a geostatistical variogram. 601 Based on these synthetic aquifers, we performed pumping tests according to the hydraulic 602 tomography model by solving the flow equation with the discrete fracture network parameterization. 603 The neural network was trained using 20,000 synthetic aquifers with their hydraulic responses, while 604 605 another 10,000 datasets test the relevance of the approach. Results show that the trained network succeed in accurately mapping the fracture network geometry and the performance of the network is 606 then discussed in the context of a variety of potential interferences in practice. 607

First, accuracy shows a clear relationship with the size of training dataset. When the network is 608 trained with a limited dataset, the quality of identification of complex fracture networks degrades, 609 while data abundance guarantees a high-quality response. The number of monitoring also affects the 610 quality of the reconstruction, with accuracy improving if the complexity of groundwater dynamics in 611 the fractured aquifer can be captured by the availability of planned monitoring wells. This dependence 612 of inversion results on the number of wells also occurs in conventional deterministic or stochastic 613 inversion methods. However, the neural network shows less impact of data noise on the inversion 614 accuracy, which is due to the convolutional operation and max-pooling that de-noise input data 615 through region-wise interpretation. 616

The quality of the inversion results also depends on the nature of models used for training. As soon as the network is confronted with the processing of hydraulic data from models whose properties are very different from those used in the training, the quality of the inversion deteriorates. The choice of
training model features must be based on a priori information in order to obtain an accurate inversion.
Like other conventional inversion methods, inversion using a deep learning approach also requires the
use of a priori information; and the trained neural network only covers a specific set of models with
specific predefined features.

A series of tests also reveals the importance of the matrix heterogeneity in reconstructing the fracture network structure. If the transmissivity of the matrix is very low compared to that of the fractures, the matrix contributes little to the dynamics of the flow field and cannot mask the effects of the main fractures. On the other hand, if the matrix contains secondary fractures that are represented together with the matrix in an equivalent porous medium with a slightly permeable transmissivity, this can show the effect of masking the identification of the main fractures.

In this work, our effort focuses on the geometric identification of the main fractures of an aquifer where only constant aperture of fractures is considered. However, the fracture characteristics can be more complex bringing more feature such as the hydraulic transmissivity variation along the fracture and between the individual fracture. In the next work, other fracture apertures and the matrix heterogeneity will be tackled which requires the development of a new multi-task network.

In this work, our effort focuses on the geometric identification of the major fractures of an aquifer where solely constant aperture fracture is considered. However, in practice fracture characteristics may be more complicated evolving additional features, such as hydraulic transmissivity varying along the fracture and between individual elements of the fracture network. To address this issue, the fracture apertures and matrix heterogeneity are both tackled in the following study, which requires the development of a new multi-task network.

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