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# Imaging the hydraulic properties of a contaminated alluvial aquifer perturbed with periodic signals

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#### 1 Abstract

Imaging characterization of a heterogeneous alluvial aquifer at a decametric scale is presented. 2 The characterization relies on responses to oscillatory pumping tests led in two different wells 3 and at two different periods of oscillation (5 and 10 min). These specific oscillatory responses 4 are extracted from the hydraulic pressure values, measured in 13 boreholes during the pumping 5 tests, through a data processing procedure. Then, a deterministic inversion process, led with a 6 7 two-dimensional hydraulic properties model, aims to reproduce this set of oscillatory responses, in a frequency domain, by optimizing the distribution of the property values in the model. Two 8 9 inversion processes are led separately with each set of responses corresponding to the two periods of oscillation used, and one joint inversion is led with the set of all responses together. 10 The inversion results image the heterogeneities in the distribution of the field properties. The 11 12 results suggest that longer periods of oscillation (in this case 10 min) permit characterization of larger areas around the pumping wells. In contrast, shorter periods (in this case 5 min) propagate 13 more readily in the conductive zones near the pump and provide less information for the less 14 conductive areas. Therefore, it appeared that performing a joint inversion, combining the 15 information carried by the responses to both periods, provided more information on the 16 heterogeneous distribution of the field properties and resulted in better constrained property 17 maps than the ones obtained from separate inversions. 18

#### 19 **1. Introduction**

20 The assessment of subsurface hydraulic properties at field scale is a critical step for the selection 21 and the implementation of an effective remediation protocol for contaminated aquifers. 22 Generally, estimates of these properties (mainly transmissivity and storativity) are obtained 23 from the analysis of measured hydraulic signals in response to extraction of water from a pumping well (Butler 2005; Batu 1998; Neuman and Witherspoon 1972; Le Borgne et al. 2004; 24 25 Li et al. 2008; Wen et al. 2010). The interpretation of pumping tests can be made with an analytical model of the groundwater flow equation, with the assumption of homogeneity, to 26 27 deduce averaged values of these properties (Sanchez-Vila et al. 1999), or by using hydraulic tomography to determine their spatial heterogeneity (Yeh and Lee 2007). Hydraulic 28 tomography has been widely explored during the last few decades to identify hydraulic features 29 30 of aquifers with various hydrogeological conditions (Yeh and Liu 2000; Zhu and Yeh 2005; Bohling et al. 2007; Illman et al. 2009; Cardiff et al. 2009; Berg and Illman 2015; Fischer et al. 31 2017). The approach is mainly based on the implementation of an optimization code to find the 32 spatial distribution of the hydraulic properties able to reconstruct all recordings of hydraulic 33 data collected during the pumping tests. 34

Illman et al. (2010) show and underline the fact that an accurate spatial imaging of the hydraulic 35 properties is key to a better prediction of the contaminant transport in heterogeneous 36 37 contaminated aquifers. These predictions represent the basis for the design of protocols of remediation for polluted sites. Therefore, characterizing the hydraulic properties in a 38 contaminated field site represents a specific research problem discussed in several recent 39 articles (Wagner 1992; Rasmussen et al. 2003; Berg and Illman 2013; Hochstetler et al. 2016). 40 However, use of the traditional pumping test requires an extraction of large water volumes in 41 order to generate measurable drawdowns, and this procedure results in additional costs for 42 43 treating or storing these quantities of polluted water.

Rasmussen et al. (2003) thereby elaborated a pumping-injection system, in which the pumped water is stored in a tank, before being reinjected into the aquifer. This system generates an oscillatory solicitation signal, with pumping rates oscillating between positive and negative extrema, which induces oscillation in the groundwater pressure. Such oscillation of pressure can also be generated without pumping, by movement of mass at the air-water interface in a well (Guiltinan and Becker, 2015).

Making use of oscillatory rates, instead of constant rates, during the pumping tests, for the 50 characterization of the distribution of field properties, has attracted significant attention 51 (Lavenue and de Marsily 2001; Cardiff et al. 2013; Rabinovich et al. 2015; Zhou et al. 2016; 52 53 D'Oria et al. 2018). These oscillatory rates generate oscillatory responses over the hydraulic level. A guideline has been proposed by Cardiff and Barrash (2015) for the implementation of 54 oscillatory pumping tests at field scale. The oscillatory responses can be easily extracted from 55 the other responses and noises in the measurements (Bakhos et al. 2014; Cardiff and Slayer 56 2016). Then, the amplitude decay and phase shift of the signal between a measurement point 57 and the pumping point permit assessment of information on the subsurface properties. 58

The efficiency of such signals has already been exploited to create an image of the property distribution in a heterogeneous medium (Zhou et al. 2016, Cardiff et al. 2019), to estimate the hydraulic connectivity between wells at a fractured site (Guiltinan and Becker 2015), to image a conduit network in a karstic aquifer (Fischer et al. 2018), to detect leakage from a subsurface formation (Sun et al. 2015), or to localize non-aqueous phase liquid (NAPL) sources and monitor their spreading (Zhou and Cardiff 2017).

This work aims to quantitatively characterize, at a decametric scale, the subsurface properties of a contaminated heterogeneous alluvial aquifer that is hydraulically influenced by a natural tidal signal, complicating extraction of the observed response. Furthermore, as the site is contaminated, the volume of water to be extracted for the characterization has to be limited.

Therefore, oscillatory pumping rates appear as an interesting alternative, thereby avoiding 69 70 problems caused by these two issues at the same time. Thus, two oscillatory pumping tests (led with two different periods of oscillations) were performed at the study site, and the responses 71 72 were used with a deterministic inversion process to interpret the distributions of transmissivity, storativity and diffusivity over the field site. Inversion processes are led separately with each 73 set of responses corresponding to both periods of oscillation used (5 min and 10 min), and also 74 jointly using both sets of responses together. The different interpretations made from these 75 different inversions will provide information, brought about by the responses to different 76 periods of oscillations at this scale. 77

The article begins with a presentation of the study site, the oscillatory pumping tests and the data processing approach. Then, the modeling method and the inverse problem are detailed. In the last section the results of the hydraulic imaging are provided and there is a discussion concerning the choices involved in using oscillatory responses.

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#### 2. Site and data presentation

#### 83 **2.1. Study site**

This work investigates the subsurface under a former petroleum refinery located near the town of Rouen (Normandy), in the North West of France. The buildings of the refinery have been demolished and the field site is actually in a depollution stage. The studied site corresponds to a 100 m  $\times$  100 m parcel of the overall field site (see Figure 1). The subsurface of the study site is composed of 10-m depth of a heterogeneous deposit (silty sands, coarse sands and chalk/flint blocks) lying on a chalk horizon.

90 The investigated aquifer is limited to this heterogeneous superficial medium, wherein complex 91 flows are occurring. In order to understand the heterogeneity of this aquifer, the site is equipped 92 with 21 boreholes, drilled through the whole aquifer unit. The boreholes are made of HDPE

93 with a diameter of 163 mm and are screened from 3 m to 10 m depth. The geological logs of these boreholes indicate that some wells intersect only silty sands over the 10-m thickness of 94 the aquifer, while some others intersect the same silty sand unit in the first 6 m and then a more 95 permeable unit composed of coarse sands and flint blocks from 6 m to 9 m depth (Figure 1). 96 From the logs of excavations on the wider site (near to the investigated area and showing the 97 same geological successions) and a previous hydrogeophysical study of this field site (De 98 Clercq et al., 2020), it was observed that this flint/chalk block unit can include very conductive 99 flow paths, and thus generate localized, but important, flow velocities within the site. Therefore 100 it is expected that the property distribution in this field site is highly contrasted, as this very 101 102 conductive unit is not spatially continuous.





<u>Figure 1:</u> a. Location of the study site in France and b. Map of location of the existing boreholes
 (circles) on the study site. Boreholes indicated with red circles represent the pumping wells and
 boreholes indicated with blue circles represent the measurement wells. The geological log of
 each borehole, from surface to 10-m depth, is shown on the map as a colored column.

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The superficial aquifer is considered as semi-confined under the silty sands. The static water level in the aquifer is mainly influenced by the sea tidal signal of the Seine River located 500 m on the west side of the study area. Floating light non-aqueous phase liquid (LNAPL) was measured with a SOLINST interface meter before pumping; two wells had significant LNAPL- layer thickness prior to pumping: P19 (60 cm, i.e. 12% of the groundwater column) and P16
(120 cm, i.e. 24% of the groundwater column). No floating LNAPL was present in a significant
quantity in the other monitored wells of the study site during the pumping investigation.

The floating NAPL was regularly measured in each well of the field site between 2016 and 2019. Thus, frequencies of occurrence of floating NAPL have been measured with the 30 measurements performed, in each well, during this period. It is believed that this floating NAPL is more likely to be entrapped in less diffusive areas of the site. Therefore, these frequencies of occurrence were used to eventually assess the modeled spatial distribution of hydraulic diffusivity.

#### 123 **2.2. Piezometric responses to the pumping signals**

124 Two different pumping tests were conducted in P13 and P16, each time for two different periods of oscillation of the pumping signal (5 min and 10 min). The oscillation signal was generated 125 around a constant mean pumping signal, so that the pumps were constantly pumping, at varying 126 rates, during the investigation. The oscillating rates were generated and piloted by an automated 127 device, based on an electric variator, connected to the pump. This device was modified 128 internally, as such devices are not produced for sale currently, and has been designed to control 129 any type of pump. The different pumping tests were conducted, for each period of oscillation, 130 long enough to produce 6 to 7 oscillations (total duration of 1 h maximum for the period of 10 131 132 min). The temporal evolution of the pumping rate of these tests is given by:

133 
$$Q(t) = Q_{\text{mean}} - Q_{\text{amp}} \cdot \cos(\omega t)$$
(1)

134 where *Q* is the pumping rate (m<sup>3</sup>/s), *Q*<sub>mean</sub> is the pumping constant mean signal (m<sup>3</sup>/s), *Q*<sub>amp</sub> is 135 the pumping constant amplitude of oscillation (m<sup>3</sup>/s) and  $\omega$  is the angular frequency (rad/s) also 136 equal to  $\frac{2\pi}{P}$  where *P* is the period (s). 137 The harmonic pumping tests were led with  $Q_{\text{mean}} = 8.8 \text{ L/s}$  and  $Q_{\text{amp}} = 3.7 \text{ L/s}$  in P13, and with 138  $Q_{\text{mean}} = 9.7 \text{ L/s}$  and  $Q_{\text{amp}} = 4 \text{ L/s}$  in P16.

During these two pumping tests, the hydraulic level variations in 13 boreholes (including the
two pumping boreholes) were continuously measured, in terms of pressure, with CTD Diver
probes with an accuracy of +/- 0.05 %FS (%FullScale).

#### 142 **2.3. Data processing**

Figure 2 presents an example of hydraulic pressure measured in one well during the pumping test in P16. Data processing on the hydraulic level measurements needs to be realized in order to extract the specific oscillatory responses, in the different wells, to the oscillation induced by the pumping. These specific responses will be described in terms of amplitude and phase offset (relative to the pumping signal).

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Figure 2: Graphs of the responses measured in P17b (during a pumping in P16) superposed on
 the tidal signal induced by the nearby Seine River. The left graph represents the responses
 measured during the entire pumping test in P16, while the right graph represents a zoom on the
 responses to the period of oscillation 5 min.

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155 First, the global trend, mainly controlled by the Seine tidal signal is removed from the raw 156 measurements, as presented in the two examples in Figure 3. At the time scale of the pumping tests (max. 1 hour) the global trend can be assimilated to a second-order polynomial, adjusted
to the measured data, which is then subtracted from the raw measurements. After this operation,
only the noisy oscillatory responses to the oscillations of the pumping tests remain.

The second process consists of a Fast Fourier Transform (FFT) on the oscillatory responses in order to denoise the signal and interpret each oscillatory response in terms of amplitude and phase offset values, which are timeless and can be used in the oncoming frequency domain modeling. The FFT is performed in MATLAB with the FFT function which returns complex numbers used to calculate the amplitude and phase for each frequency of oscillation contained in the signal. It was then verified that, for each FFT performed, the interpreted oscillation was coherent with the measurement.

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168

169 <u>Figure 3:</u> Graphs of the responses measured in **a** P17b (during a pumping in P16) and **b** P7 170 (during a pumping in P13) as examples of the data processing performed on each measured 171 response. The global trend is subtracted from the hydraulic pressure (this trend is approached 172 with a quadratic equation as shown with the dotted red lines) to isolate the oscillatory response 173 in each measurement. Then a FFT is performed on these oscillatory responses to interpret their 174 amplitudes and phase offsets as purely sinusoidal signals (red lines).

Once the data processing has been performed for each measured well, a map of amplitude and phase offset responses can be produced (Figure 4). The amplitude and phase responses appear to be correlated: globally, a low amplitude response is associated to a high phase offset. Therefore, the amplitude of the signal is decaying when propagating away from the pumping well, while its phase offset increases. These amplitude and phase responses are related to the heterogeneity of the hydraulic properties of the aquifer. Thus, their distribution allows one to describe, qualitatively or quantitatively, the heterogeneity of the field site.

183



185 <u>Figure 4:</u> Maps of the amplitudes (in blue, in mm) and phase offsets (in orange, in °) interpreted 186 for each measurement point: **a** pumping in P13, **b** pumping in P16. The maps are presented for 187 the two pumping wells (designated as a red 'P') and for the two periods of pumping signal (P188 = 5, 10 min.). Uncaptioned boreholes represent an absence of oscillatory response in the 189 measurement (amplitude < 0.1 mm).

These maps permit visualization of the spatial evolution of the oscillatory responses for each 191 pumping well when the period of solicitation signal is modified. The heterogeneity of the study 192 193 site can already be perceived here, as the influence of each pumping does not propagate circularly. In fact, the areas impacted by the pumping tests show particular directions of 194 propagations of the oscillatory signal. For example, for a pumping in P16 for the period 5 min, 195 the signal propagates mainly to the south and only with lower responses to the boreholes to the 196 197 east. This may highlight a preferential path of propagation southward from P16. However, for the period 10 min, the responses remain localized to the south and east of the pumping location 198 199 but show more homogeneous responses in these two directions.

These oscillatory responses can be associated to an inversion process in order to quantitatively characterize the heterogeneity and to estimate the spatial distribution of the properties over the study site. It is then possible to check if the modeled property distributions can be correlated to the known geology of the field site and the measured pollution appearance (frequency of occurrence of floating NAPL), in order to better understand the propagation of the pollutants throughout the site.

#### 206 **3. Modeling strategy**

#### **3.1. Forward problem and model parameterization**

For the modeling part, the aquifer and the flows are considered to be two-dimensional (2D; topdown view). In fact, geologically, the main spatial variation is generated by the existence of localized deposits of flint and chalk blocks, which are always intersected at the same depths (6 m to 9 m) by some boreholes. Furthermore the groundwater flows generated by the pumping tests induced generally horizontal streams. In fact, the most important drawdowns (30 cm to 1 m), which are associated to vertical flows, were localized only at the pumping wells, whereas, over the rest of the field site, the drawdowns were of an order to magnitude of millimeters (seeFigure 4).

The 2D forward problem, hereafter noted f, in the frequency domain, permits one to simulate the spatial amplitude and phase offset responses to a pumping signal from property values distributed over the plan model and from the following equation of flow continuity (associated to Darcy's law) (Cardiff et al. 2013):

220 
$$i \omega S_{\rm s} H_{\omega} - \nabla \cdot \left( K \cdot \nabla H_{\omega} \right) = \frac{Q_{\rm amp}}{V_{\rm el.}} \delta \left( x - x_{\rm q}, y - y_{\rm q} \right)$$
(2)

where *Ss* is the specific storage (1/m), *K* is the conductivity (m/s),  $H_{\omega}$  is a complex parameter holding the spatial amplitude and phase offset responses in the frequency domain, *i* is the imaginary unit,  $Q_{amp}$  is the amplitude of oscillation in the rate of a harmonic pumping (m<sup>3</sup>/s) led at a coordinate  $(x_q, y_q)$ ,  $\delta$  is the Dirac distribution and  $V_{el}$  is an elementary volume from the finite element mesh of the model associated to the pumping locations.

One considers  $H_{0}(x, y) = 0$  at the boundaries of the model as the boundary condition and all 226 over the model as the initial condition. In order to reduce the effect of the boundary conditions 227 on the simulations in the field area, it was necessary to enclose the area of interest in the model 228 229 in a larger buffer zone  $(500 \times 500 \text{ m}^2)$  associated with uniform regional hydraulic properties, thus moving the boundaries away from the areas impacted by the pumping tests in the models. 230 In this work, Equation (2) was solved on a triangular finite element mesh with COMSOL 231 Multiphysics. The meshing is adaptive, which means that it is refined automatically around the 232 borehole locations in the model. The mesh in the model is composed of 26,616 triangular 233 elements. 234

The amplitude and phase offset responses over the model can then be extracted from the complex parameter  $H_{\omega}$  as follows:

237 
$$A(x,y) = \sqrt{\left(\operatorname{Re}H_{\omega}(x,y)\right)^{2} + \left(\operatorname{Im}H_{\omega}(x,y)\right)^{2}}$$
(3)

with *A* the amplitude response (m), and Re and Im the functions returning respectively the real part and the imaginary part of  $H_{\omega}$ .

240 
$$\Phi(x,y) = \frac{180}{\pi} \operatorname{atan2}\left(-\operatorname{Im}H_{\omega}(x,y), \operatorname{Re}H_{\omega}(x,y)\right)$$
(4)

with  $\Phi$  the phase offset response (°) and atan2 the inverse tangent function with two arguments (rad).

Here, the frequency domain permits performance of a timeless simulation of the oscillation
signals and saves a consequent amount of time compared to modeling in the time domain,
especially for the inversion.

#### **3.2. Inverse problem**

The inverse problem involves a forward problem in order to link the distribution of the property values in the model (contained in a *m*-vector **p**) to the simulated amplitude and phase responses (contained in a *n*-vector **d**):  $\mathbf{d} = f(\mathbf{p})$ . The forward problem is then seen as an application  $f : \mathbb{R}^m \to \mathbb{R}^n$ . The aim of the inverse problem is to find a distribution of property values which minimizes the difference between the measured and the simulated responses. This goal can be achieved by minimizing the following objective function:

253 
$$\psi = \frac{1}{2} \left( \mathbf{d}_{obs} - \mathbf{d} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathrm{d}}^{-1} \cdot \left( \mathbf{d}_{obs} - \mathbf{d} \right) + \frac{1}{2} \left( \mathbf{p}_{prior} - \mathbf{p} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathrm{p}}^{-1} \cdot \left( \mathbf{p}_{prior} - \mathbf{p} \right)$$
(5)

where **p** and **p**<sub>prior</sub> are *m*-vector containing respectively the distribution of the property values (here transmissivity and storativity) over the model and their a priori values,  $\mathbf{d}_{obs}$  is a *n*-vector containing the measured responses and **d** is a *n*-vector containing the responses simulated from the forward problem (here amplitudes and phases).  $\mathbf{C}_{d}$  is a  $(n \times n)$  covariance matrix on the data, including the uncertainties on the observed and simulated data, and  $\mathbf{C}_{p}$  is a  $(m \times m)$ covariance matrix on the property values.

In a deterministic inverse problem, the minimization of Equation (5) is performed iteratively, starting from an acceptable assumption of property values in  $\mathbf{p}$ . Then, for each iteration of the inversion, a sensitivity analysis of the distributed property values to the simulated data is operated by computing the Jacobian of the operator f:

264 
$$\mathbf{J}_{k}(i,j) = \frac{\partial f_{i}}{\partial \mathbf{p}_{k}} \bigg|_{\mathbf{p}_{k}(j) = \mathbf{p}_{k}(j) + \Delta p}$$
(6)

with  $\mathbf{J}_k$  the  $(n \times m)$  Jacobian matrix computed at an iteration k using a finite difference method and  $\Delta p$  is the finite difference step. It can be noted that the same sensitivity analysis can be also performed with an adjoint state approach, as described in Cardiff et al. (2013), which is generally less demanding in computation time.

269 The property values are then optimized, at an iteration *k* , from a linearization of the objective270 function:

271 
$$\mathbf{p}_{k+1} = \mathbf{p}_{k} + \left( \left( \mathbf{J}_{k} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathrm{d}}^{-1} \cdot \mathbf{J}_{k} + \mathbf{C}_{\mathrm{p}}^{-1} \right)^{-1} \times \left( \left( \mathbf{J}_{k} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathrm{d}}^{-1} \cdot \left( \mathbf{d}_{\mathrm{obs}} - \mathbf{d} \right) + \mathbf{C}_{\mathrm{p}}^{-1} \cdot \left( \mathbf{p}_{\mathrm{prior}} - \mathbf{p}_{k} \right) \right)$$
(7)

At the end of each iteration the value of the objective function is recalculated and the iterative process continues until the inversion has reached a sufficient minimization of the objective function. After the last iteration, the posterior variances on the different optimized values of propertiescan be estimated by computing the posterior covariance matrix:

277 
$$\mathbf{C}_{\mathrm{p,post}} = \left( \left( \mathbf{J}_{\mathrm{post}} \right)^{\mathrm{T}} \cdot \mathbf{C}_{\mathrm{d}}^{-1} \cdot \mathbf{J}_{\mathrm{post}} + \mathbf{C}_{\mathrm{p}}^{-1} \right)^{-1}$$
(8)

where  $\mathbf{C}_{p,post}$  is the  $(m \times m)$  posterior covariance matrix and  $\mathbf{J}_{post}$  is the covariance matrix computed during the last iteration. The diagonal entries of this matrix hold the posterior variances associated to each property value in the model, thus allowing for the establishment of uncertainty maps on the inverted property values.

#### **3.3. Inversion parameters**

Two separate inversions have been led with the responses associated to the two different periods of pumping signals (P = 5 and 10 minutes). This means that, for each separate inversion, both pumping test (P13 and P16) responses to one period of signal (5 or 10 min) are considered. Therefore, each separate inversion is led with a dataset of 26 couples of amplitude / phase-offset measured responses. Afterwards, a joint inversion, integrating the responses to the two periods of signals simultaneously, also for both pumping tests (P13 and P16), has been performed (with a dataset of 52 couples of amplitude / phase-offset measured responses).

The aim of these inversions was to image the 2D hydraulic properties of the study area (100 × 100 m<sup>2</sup>). Therefore 2D models of transmissivity (*T*) and storativity (*S*) were built, wherein the property values are distributed over a grid of *m* squared cells. In the inversion process, the property values were integrated in the form of log<sub>10</sub> values in **p** and **p**<sub>prior</sub>, which allows for more stability.

The inversions were initialized with uniform models of properties ( $T = 10^{-3} \text{ m}^2/\text{s}$  and  $S = 10^{-3}$ ). The same property values were also kept as prior information in the inversion process and for the regional buffer zone. These means that property values were chosen accordingly to a global site knowledge from pumping investigations at higher scale. Therefore, they represent a reasonable global estimate to begin the inversion and for the large-scale flows in the buffer zone.

For these inversions,  $\mathbf{C}_{p}$  and  $\mathbf{C}_{d}$  were considered as diagonal matrices, with  $\mathbf{C}_{p} = 0.1 \times \mathrm{Id}(m)$ 301 and  $C_d = \sigma^2 \times Id(n)$  where  $\sigma = 0.1 \text{ mm}$  for the amplitude responses and  $\sigma = 5^\circ$  for the phase 302 offset responses, and Id represents the identity matrix. It was decided not to integrate a 303 variogram constraint on the distribution of the properties in the inversion, as it was foreseen 304 that this distribution could be locally contrasted, which is incompatible with the smoothness 305 brought by such constraint. In fact a variogram constraint would force a smooth distribution of 306 the property values. However, the S values were constrained to remain within a range of 307  $10^{-1} < S < 10^{-5}$  in order to avoid unphysical values that could occur due to the absence of such 308 geostatistical constraints in the inversion.  $\Delta p = 10^{-5}$  was taken for the Jacobian computation. 309

310 **4. Results** 

#### 311 **4.1. Property maps**

The results of the different inversions are presented in term of maps of distributed transmissivity and storativity values in Figure 5, in term of maps of diffusivity (calculated from the maps of transmissivity and storativity:  $D = \frac{T}{S}$ ) values in Figure 6, and in term of root mean square error (RMSE) between the measured and simulated amplitudes and phase-offsets in Table 1. Figure 7 also presents the maps of uncertainties on the inverted property values and the scatterplots obtained on the datasets after the inversions.

Each separately inverted model allows for a good reproduction of the amplitude responses (0.1 to 0.3 mm RMSE) and the phase-offset responses (1° to 3° RMSE). The reproduction of the responses with amplitudes less than 1 mm appear to be less accurate (Figure 7). However, as 321 these responses approach the probe's measurement limits, they may be noisy and less relevant 322 for an exact reproduction in the inversion. The result of the joint inversion presents a slight increase in the RMSE between the responses simulated with the model and the measurements, 323 324 mostly on the amplitudes. It appears that this increase is mainly due to the responses from one borehole (P11 when pumping in P16) for which the amplitudes cannot be well reproduced for 325 the two periods simultaneously. If one does not consider this borehole, the amplitude RMSE 326 327 from the joint inversion becomes 0.3 mm. However the phases from these two boreholes remain well reproduced with the distribution in the joint inversion. Furthermore, an attempt was made 328 at improving the amplitude reproduction in the joint inversion, which then resulted in a very 329 330 bad misfit for the phase responses. Therefore, reproduction of the responses presents a globally 331 satisfying compromise between amplitudes and phases, especially regarding the complexity of the study area. 332

333

<u>Table 1:</u> Results of the separate and joint inversions: size of the property cells in the grid and
 RMSEs on the amplitude (Amp. RMSE) and phase-offset (P.-O. RMSE) responses.

Inversion	Cell size	Amp. RMSE	PO. RMSE
$P = 5 \min$	$3.3 \times 3.3 \text{ m}^2$	0.2 mm	1 °
$P = 10 \min$	$3.3 \times 3.3 \text{ m}^2$	0.3 mm	3 °
Joint	$3.3 \times 3.3 \text{ m}^2$	0.6 mm	4 °



338 <u>Figure 5:</u> Maps of the distribution of **a** transmissivity and **b** storativity obtained with the 339 separate inversions per period (P = 5, 10 min.) of pumping signals and the joint inversion. 340

The property maps remain globally similar between the separate inversions and the joint 341 inversion, showing a certain coherence of the datasets generated with different periods of 342 oscillation. The T and S maps show very high heterogeneities within the field site, with 343 important local variations and large ranges of values. This contrasted property distribution and 344 345 the large range of values can be linked to the known geology and especially the observed high velocity flows that can exist within the coarse sands and flint blocks unit. Local transmissivity 346 347 estimates from the joint inversion result were compared to measurements made at four locations (P6, P11, P14 and B4, a borehole located midway between P16 and P17b) by De Clercq et al. 348 (2020) and are shown in Table 2. These estimates were made by integrating the inverted values 349 350 in circles of 1-m diameter centered on the different locations. The inverted transmissivities are close to the measured ones. Except for P11, the transmissivity estimates appear as slightly 351 underestimated. 352

The transmissivity fields present higher values on the west side of the area, lower values on the 353 354 east side, and also decrease at the borehole locations, possibly indicating borehole effects on the flows. A similar degree of heterogeneity has been observed in the 3D conductivity field 355 inverted from constant-rate pumping tests conducted on this site by De Clercq et al. (2020). In 356 this 3D map, the heterogeneity is located mostly at the depths of the flint block unit, also 357 suggesting that this unit may be responsible for the complex flows occurring on this site. 358 359 Comparing these maps of transmissivity distribution to the conductivity model, they appear to be globally coherent, especially considering this study's result for a 10-min period, showing a 360 more conductive path along P6 and P12. The conductivity model also suggests a continuous 361 362 low-conductivity path from P14 to P21, to P20 and to P19, and a more conductive area than in 363 this study's results around P7.

The highest values of storativity are grouped in three zones around P7, P14 and P15/P20/P19. 364 In contrast, the lowest values of storativity seem to delineate a connectivity between P13, P17b, 365 P16 and P15 for P=5 min (and P21 and P6 for P=10 min). These six boreholes are all located 366 in the flint blocks unit (Figure 1), which might be continuous between them, and thus inducing 367 a preferential flow connection. However, P20 is not associated with this connectivity in the 368 model, whereas this borehole intersects the unit. This might be due to the fact that, if high flows 369 can occur in this unit, they are not necessarily connected. The results obtained with the joint 370 inversion indicate a less direct connectivity than suggested in P=10 min between P16 and P6, 371 but a higher connectivity in the direction of P21 than suggested in P=5 min. 372

374 <u>Table 2:</u> Comparison between transmissivities measured at several locations (De Clercq et al.
 375 2020) and transmissivities assessed from the joint inverted distribution.

Location	$\log_{10}(T)$ measured	$\log_{10}(T)$ inverted	Variation
P14	-3.70	-3.85	-0.15
P11	-3.01	-2.60	+0.41

P6	-2.93	-3.16	-0.23
B4 (between P16 and P17b)	-2.41	-2.76	-0.35

376

The coherence of the property distributions in the models can also be discussed by comparing
the inverted maps of diffusivity (Figure 6) to the frequencies of occurrence of observed floating
NAPL in each borehole of the field site.

380



381

382 <u>Figure 6:</u> **a** Maps of the distribution of diffusivity (T/S) obtained with the separate inversions 383 per period (P = 5, 10 min.) of pumping signals and the joint inversion. **b** Map presenting, for 384 each borehole, the value of frequency of occurrence of observed floating NAPL (in %), 385 superposed on the diffusivity map obtained with the joint inversion.

386

The diffusivity maps (Figure 6) generated by the inversions with periods of oscillation of 5 and 10 min image a globally similar heterogeneity over the field site. A path of more important diffusivity appears along P13, P17b and P16. The responses to the period of signal 10 min provide different information tending to indicate that this path continues beyond P16 and P17b,
to P6 and P21. As for the S maps, this path seems to be geologically coherent as, in their logs,
P13, P17b, P16, P21 and P6 intersect the unit of coarse sands and flint blocks.

393 The diffusivity map obtained with the joint inversion tends to reduce the importance of the diffusivity path toward P6 suggested in the result P=10 min, while confirming the path toward 394 P21 appearing on the same result. The diffusivity map can be linked to the values of frequency 395 of occurrence of observed floating NAPL in each well (Figure 6). In fact, the wells in which 396 floating NAPL is frequently observed are almost systematically associated to areas of low 397 diffusivity in the model, except P15. In contrast, almost all wells in which no NAPL is observed 398 are located on the continuous diffusive path imaged by the model, except P5. As the water table 399 in this field site is constantly moving with the tide signal, it is believed that floating NAPL may 400 401 be more likely entrapped in low-diffusivity areas.



403

404 <u>Figure 7:</u> **a** Uncertainty maps (standard deviations on the *T* and *S* exponents) and **b** scatterplots 405 of simulated vs. measured amplitudes and phases obtained for each separate inversion and the 406 joint inversion.

407

The uncertainty maps in Figure 7 indicate that the joint inversion is better constrained than the two separate ones, showing that the responses to the two different periods of oscillation carry different information, as suggested in Cardiff et al. (2013). It is interesting to observe that the less uncertain areas in the T fields are located along the path of highest diffusivity in Figure 6, while the less uncertain areas in the S fields are more likely located in the zones of lowest diffusivity.

414 It was not possible to directly validate the values of T and S obtained in the inverted maps 415 with field data. However, their similar distribution in the results of the separate and the joint

416 inversions appeared as coherent regarding the given knowledge on the geology of the field site417 and its behavior to contaminant mobility.

#### 418 **4.2. Simulations**

This section discusses the maps of the amplitude and phase-offset spatial responses (Figure 8) 419 simulated with the property maps obtained from the separate inversions and presented in the 420 421 previous section (Figure 5). These maps present the propagation of the oscillatory signal in the field. The amplitude of the oscillation is presented with a green color showing the highest 422 amplitude responses (near to the pumping point) and an orange color in the area of the site 423 where the signal has lowered (< 1mm) or totally attenuated. The phase of the oscillation is 424 indicated with a low offset (in blue), near the pumping point, and with high offsets (in red). 425 Notice that the offset is cyclic, meaning that the signal response can be in phase with the 426 pumping point even at a long distance from it, but with one (or more) oscillation cycle delay. 427 However this is purely theoretical, as at such distances the signal has generally a too low 428 429 amplitude to be measured.

A clear evolution is visible in the interpreted simulations of the responses to an increasing period of signal. The impacted area tends to increase with the period of oscillation, although the amplitude of pumped flow rate remains the same. In fact, for a period of oscillation of 10 min, low amplitude responses appear in areas around the pumping locations that were not impacted with a period of oscillation of 5 min.



437 <u>Figure 8:</u> Maps of **a** amplitude and **b** phase offset responses simulated over the study site with 438 the inverted property distributions. These maps are presented for the two pumping wells and 439 for the two periods of pumping signal (P = 5, 10 min.). The grey isolines in the amplitude maps 440 represent the limit to emplitudes < 0.1 mm

441

442 A comparison between the simulations of the measured responses obtained for each separate

443 inversion and the joint inversion is presented in Figure 9. The comparison focuses on the

amplitude responses, which are easier to visualize than the phase-offset responses.

<sup>440</sup> represent the limit to amplitudes < 0.1 mm.



Figure 9: Comparison of the maps of amplitude responses simulated over the study site with the property distributions from the separate inversions (P = 5, 10 min.) and from the joint inversion. These maps are presented for the two pumping wells (**a** P13, **b** P16) and for the two periods of pumping signal (P = 5, 10 min.). The grey isolines in the amplitude maps represent the limit to amplitudes < 0.1 mm.

452

In the simulations made with the result of the joint inversion, when increasing the period of oscillation, an increase of the area impacted by the generated oscillatory signal is visible; this was observed previously in the simulations made with the results of the separate inversions.

By comparing the simulations made from the joint and separate models, it appears that, in the joint simulations, the areas associated to the higher amplitudes are close to the ones obtained in the P=5 min separate simulations. However the areas associated to low amplitude responses are more extended in the joint simulations, closer to the simulations obtained with the P=10 min models. These observations would tend to indicate that the lower period would rather mobilize flows associated to close conductive areas, while higher periods would mobilize flows in more extended areas, generating more low amplitude responses.

#### 463 **5. Discussion**

The use of oscillatory data to characterize the spatial hydraulic properties of the study site 464 permitted successful creation of an image of the high heterogeneity existing at this scale in the 465 aquifer. Furthermore, using oscillatory data here provided three main advantages. Firstly, 466 oscillatory data present advantages compared to pumping responses analyzed in a temporal 467 domain, as the oscillatory responses can be simulated in a model in the frequency domain, 468 469 leading to quick computation time, equivalent to simulations in a steady state, while also 470 characterizing both T and S. Furthermore, compared to pumping tests aiming to reach a steady 471 state, the global pumping duration can generally be reduced as, in this case, 6-7 generated oscillations are sufficient to extract exploitable responses. Secondly, the oscillatory responses 472 could be easily extracted from the field natural signal which is highly influenced by the tidal 473 signal of the nearby river (through a FFT). This was less the case for drawdown curves of 474 475 constant pumping rates led on the same site, for which the extraction of the responses became 476 problematic (in particular, separating the tide signal is not as easy as extracting pure oscillation signals without long-term measurements). Thirdly, oscillatory pressure signals propagate in 477 water, and their spatial responses are extractable, even with low amplitudes (mm amplitudes at 478 479 a field scale in this study). This property was useful in this study case as, due to the high heterogeneity of the field site, some pumping wells on the site show a quick growth of their 480 drawdown when increasing the pumping rate, which can sometimes lead to an emptying of the 481 well. Thus, in such pumping wells, oscillatory pumping allowed for a spatial characterization 482 483 with low pumping rates (also beneficial in this case as the study site is contaminated). 484 Moreover, with oscillatory signals, various distances impacted by the pumping can be explored without having to increase the pumping rate. In fact, as shown in these results, the area impacted 485 486 by the pumping can be extended by increasing the period of the oscillatory signal.

Similar behavior was observed by Cardiff et al. (2013) in their tomography on a synthetic 487 488 heterogeneous case at a decametric scale, with periods of oscillations of 5 s to 5 min. They concluded that higher frequencies could provide better information on the properties around the 489 wells, while lower frequencies provided more information on areas beyond the well locations. 490 Therefore they suggested performing joint inversions to combine the information carried by 491 both higher and lower frequencies. When applying oscillatory signals in a karstic aquifer, 492 493 Fischer et al (2018) noticed that with lower periods of oscillatory signals, the responses seemed to hold more specific information about the most conductive paths in the aquifer (karstic 494 conduits), while with higher periods the responses were affected also by flows in less 495 496 conductive paths (fractures and fissures in the rock). Similar behavior was already suggested by Rabinovich et al. (2015), who used a simple model to show that higher frequencies would 497 generate flows more specifically in the most conductive areas of an aquifer. This could also 498 499 explain why the conductivity distributed model interpreted from constant-rate pumping tests led on the same site as that used by De Clercq et al. (2020) is closer to this study's transmissivity 500 501 map inverted with the highest period (10 min) measurements (a constant signal being comparable to a signal with an infinitely high period). 502

The results of hydraulic imaging from this study, obtained from responses to oscillatory signals 503 504 at different periods, tend to validate these observations. When performing a joint inversion, as described by Cardiff et al. (2013), the result appears better constrained, suggesting that the 505 information from the different periods is non redundant. In this application in an alluvial 506 aquifer, the main difference in the information held by the responses to different periods of 507 508 oscillations appears to be the area of groundwater impacted by the oscillatory signal. Therefore, 509 with lower periods, the oscillatory signal impacted mostly conductive zones near the pumping wells, and with higher periods, the signal also generated low responses around the pumping 510 wells, in less conductive areas. In a recent application comparing the results obtained on the 511

same site with oscillatory data and constant-rate data, Cardiff et al. (2019) observed that the characterization with oscillatory data provided lower conductivity values in the zone associated to low conductivities, thus accentuating the contrast between high and low conductivity distribution. This could be explained by the fact that low-period oscillations generate responses with spatial distributions highly dependent on the contrast existing in the property distribution around the pumping well.

In this application, the oscillatory responses were extracted from a background tide signal. An 518 eventual impact of the tide signal on the groundwater condition (groundwater level) during the 519 pumping has been considered as negligible. This is mainly due to the fact that the background 520 521 tide signal, throughout the pumping tests, generated a movement of the water table not exceeding 10 cm (< 2 % of the water column). Nevertheless, it is known that during the most 522 influential sea tide ranges at this field site, the groundwater level can naturally move up to 1 m, 523 which then might have an impact on the groundwater condition. Therefore, before performing 524 525 a pumping investigation, it has been important to firstly study the movements induced by the 526 natural tide signal on the groundwater level of this field site, without any other solicitation. It 527 can also be noticed that the sea tidal signal (comparable to an oscillation) itself could be considered as a signal for the field characterization, thus also totally avoiding the need to pump 528 529 in the aquifer. However, its important period (about 12 h) would be rather more interesting for characterization of sites of larger areas, for example a site at a kilometric scale, as presented in 530 Jardani et al. (2012). It was also hypothesized that the main flows were horizontal in this alluvial 531 aquifer and Darcy's law was employed to simulate these flows. The authors believe that this 532 533 assumption is correct for a major part of the field site. However, locally at the pumping 534 boreholes, some vertical flows might occur. In particular, a participation of the underlying chalk aquifer is possible at the pumping locations, explaining why the amplitude responses are more 535 distinct at the pumping locations than at the other wells of the field site. 536

#### 537 6. Summary and conclusion

Natural or induced oscillatory signals in hydraulic tomography have been a topic of interest for
field characterization in hydrogeology for several years. Theoretical developments and field
applications have shown the advantages brought by these signals.

The results of the characterization described here, led with responses to oscillatory pumping 541 542 signals in a complex alluvial aquifer, allowed for an imaging of the heterogeneity of the subsurface properties at the decametric scale. Responses to two different periods of oscillations 543 (5 and 10 min) were used. The results show that increasing the period of oscillation results in 544 an increase of the area impacted by the oscillatory signal induced by the pumping test. The 545 lowest period of signals (5 min) provided more information on the conductive areas close to the 546 547 pumping wells, while the highest (10 min) permitted better characterization of the lessconductive areas around the pumping location, with the low amplitude responses. Performing 548 a joint inversion with all data obtained with the two periods of oscillations results in less-549 550 uncertain property maps than the ones obtained with separate inversions, thus confirming the fact that both datasets carry different and complementary information. 551

Oscillatory signals provided, for this application, several advantages. Firstly, spatial responses 552 are exploitable only after several oscillations (6-7 in this case), thereby allowing for a reduction 553 in the pumping time. Then, the ability to solve the model in a frequency domain with the 554 555 oscillatory responses, rather than in a time domain, permits a considerable reduction in the computation time required for the inversion. Secondly, the data processing allows extraction of 556 the responses even in an environment with a strong natural forcing, like in this case the tidal 557 558 variation induced by the nearby Seine River. Finally, the pumping rates can be globally lowered as, for example in this case, an oscillation's amplitude of an order of magnitude of millimeter 559

560 can be extracted from measured responses with global decimetric variations through a FFT561 process.

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