

# Early metal enrichment of gas-rich galaxies at z $\sim 5$

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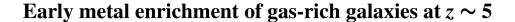
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### **ABSTRACT**

We present abundance measurements of elements O, C, Si and Fe for three gas-rich galaxies at  $z \sim 5$  using observations from the Very Large Telescope (VLT) and the Keck telescope in order to better constrain the early chemical enrichment of gas-rich galaxies. These galaxies show strong Lyman  $\alpha$  absorption in the spectra of background quasars, with neutral hydrogen column densities  $\log N_{\rm H_{I}} ({\rm cm}^{-2}) = 20.10 \pm 0.15, 20.10 \pm 0.15$  and  $20.80 \pm 0.15$ . Using the undepleted element O, we find the metallicities [O/H] to be in the range of -2.51 to -2.05 dex. Our study has doubled the existing sample of measurements of undepleted elements at z > 4.5. Combining our measurements with those from the literature, we find that the  $N_{\rm H\,I}$ -weighted mean metallicity of  $z \sim 5$  absorbers is consistent with the prediction based on z < 4.5 damped Lyman  $\alpha$  absorbers. Thus, we find no significant evidence of a sudden drop in metallicity at z > 4.7 as reported by some prior studies. We also determine the extent of dust depletion using a combination of both the volatile element O and the refractory elements Si and/or Fe. Some of the absorbers show evidence of depletion of elements on dust grains, e.g. low [Si/O] or [Fe/O]. The relative abundances of these absorbers along with other  $z \sim 5$  absorbers from the literature show some peculiarities, e.g. low [C/O] in several absorbers and high [Si/O] in one absorber. Finally, we find that the metallicity versus velocity dispersion relation of  $z \sim 5$ absorbers may be different from that of lower redshift absorbers.

**Key words:** ISM: abundances – galaxies: high-redshift – quasars: absorption lines.

### 1 INTRODUCTION

Understanding the early phases of metal and dust production in the universe is fundamental to many areas of astrophysics. The epoch  $z \gtrsim 5$  spans roughly the first 1 billion years after the big bang. This exciting period included the emergence of Population III stars and the early generations of Population II stars, which injected the surrounding medium with metals. Thus, one may expect to see rapid metallicity evolution and peculiar element abundance patterns in the interstellar medium (ISM) of  $z \gtrsim 5$  galaxies, reflecting outputs of early stars (e.g. Kulkarni et al. 2013; Maio & Tescari 2015).

Powerful probes of metals in distant galaxies are provided by the damped Lyman  $\alpha$  (DLA) and sub-damped Lyman  $\alpha$  (sub-DLA) absorbers in background quasar spectra, which have strong neutral hydrogen column densities (log  $N_{\rm H\,I} \geq 20.3$  and  $19.0 \leq \log N_{\rm H\,I} < 20.3$ , respectively). This absorption-line technique samples galaxies simply by gas cross-section, independent of their brightness, and is not limited to only the bright or actively star-forming galaxies (SFGs) probed by flux-limited imaging sur-

veys. DLAs and sub-DLAs dominate the neutral gas mass density in the universe (e.g. Zafar et al. 2013; Popping, Somerville & Trager 2014). Observations of high-z DLAs can thus constrain galaxy evolution models (e.g. Dave, Oppenheimer & Finlator 2011; Fumagalli et al. 2011; Cen 2012). Furthermore, relative element abundances in DLAs can in principle be measured well into the reionization epoch, and can constrain the nucleosynthesis and initial mass function (IMF) of Population III stars (e.g. Kulkarni et al. 2013).

Observations of DLAs at 0 < z < 4.5 indicate that their metallicity decreases at a modest rate of about 0.2 dex per unit redshift (e.g. Prochaska et al. 2003a; Kulkarni et al. 2005, 2007; Rafelski et al. 2012; Jorgenson, Murphy & Thompson 2013; Som et al. 2013, 2015; Quiret et al. 2016). Remarkably, this rate of DLA metallicity evolution is similar to the rate of metallicity growth inferred from comparing the mass—metallicity relation (MZR) of low-mass SFGs at  $z \sim 0$  and  $z \sim 2$  (e.g. Tremonti et al. 2004; Erb et al. 2006; Henry et al. 2013). To understand evolution of DLAs and their relation to SFGs at earlier cosmic epochs, it is necessary to extend the redshift baseline further to  $z \gtrsim 5$ . This is only now becoming feasible with the discovery of a number of DLAs with  $z \gtrsim 5$  in the Sloan Digital Sky Survey (SDSS; e.g. Noterdaeme et al. 2012).

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Table 1. Summary of targets and observations.

QSO	$m_i$	Zem	Zabs	Instrument	Exposure time (s)	Wavelength coverage (Å)	Spectral resolution (R)
Q0231-0728	19.48	5.423	5.335	Keck ESI	2 × 1800	4000-10 000	5400
Q0824+1302	19.95	5.212	4.809 4.829	VLT X-Shooter	3 × 2400	3000–25 000	VIS: 8800 NIR: 5300

Rafelski et al. (2012, 2014) reported a sudden drop in DLA metallicity at z > 4.7 which, if real, may suggest changes in gas enrichment processes. However, this relatively small z > 4.7 sample is based almost entirely on the elements Si and Fe which show significant depletion on dust grains in the ISM of the Milky Way (MW; e.g. Jenkins 2009). Indeed, Si and Fe are observed widely to be depleted in DLAs as well (e.g. De Cia et al. 2016). If these elements are depleted in the z > 4.7 DLAs, the true metallicities could be higher, and the drop in metallicity at z > 4.7 may be smaller. Dust depletion needs to be considered at  $z \sim 5$ , given the evidence for dust in high-z galaxies, e.g. from  $z \sim 5$  sub-mm galaxies, and from dust evolution models (e.g. Walter, Decarli & Carilli 2012; Casey, Narayanan & Cooray 2014). Volatile elements like O and S show much weaker depletions than Si and Fe in warm and cool MW ISM and in DLAs at z < 4.2 (e.g. Savage & Sembach 1996; Jenkins 2009; De Cia et al. 2016). Absorption lines of undepleted elements such as S or O thus offer more accurate metallicity indicators, but often lie in the Lyman  $\alpha$  forest. Therefore, current samples contain only a handful of O and S measurements at z > 4.5 including only one for a sub-DLA at z = 4.977 (Morrison et al. 2016).

In this paper, we report element abundance measurements, including those for undepleted elements, in three absorbers at redshifts z > 4.8. We combine these results with those for other z > 4.5 absorbers, and compare them with lower redshift measurements to obtain improved constraints on the early chemical enrichment history of galaxies. We also examine relative element abundances. Section 2 describes the observations and data reduction. Section 3 discusses the results for individual absorbers. Section 4 presents a discussion of our results. Finally, Section 5 summarizes our conclusions.

### 2 OBSERVATIONS AND DATA REDUCTION

The spectrum of the quasar Q0824+1302 ( $m_i = 19.95$ ) shows two absorbers at z = 4.829 and z = 4.809. The spectrum of the quasar Q0231-0728 ( $m_i = 19.48$ ) shows an absorber at z = 5.335. Table 1 summarizes the properties of these targets and details of the observations. For Q0824+1302, three exposures of 2400 s each were obtained with VLT X-Shooter on 2014 December 15 as a part of the programme 094.A-0793(A) (PI. S. Quiret). The X-Shooter Common Pipeline Library (Goldoni et al. 2006) release 6.5.11 was used to reduce the science raw images and to produce the final 2D spectra. All of our science data were taken in the NODDING mode, so a standard procedure of data reduction was used as follows. An initial guess was first computed for the wavelength solution and the position of the centre and the edges of the orders. Then the accurate position of the centre of each order was traced, and this step was followed by generating the master flat frame out of five individual lamp flat exposures. Next a 2D wavelength solution was found, and it was modified by applying a flexure correction to correct for the

shifts that can be of the order of the size of a pixel. Finally, having generated the required calibration tables, each pair of science frames was reduced to obtain the flat-fielded, wavelength-calibrated and sky-subtracted 2D spectrum. The 1D flux of the quasar was extracted using a spectral point spread function (SPSF) subtraction as described in Rahmani (2016). In summary, the quasar's PSF was modelled using a Moffat function whose full width at half-maximum (FWHM) and centre were smooth functions of wavelength. The light profile was then integrated at each wavelength to obtain the quasar's flux. The data for Q0231-0728 were downloaded from the Keck Observatory Archive (KOA), and consisted of observations obtained with the Keck Echellette Spectrograph and Imager (ESI) as part of programme C70E (PI S. Djorgovski). Individual spectra were extracted and normalized by fitting a cubic spline polynomial. typically of the sixth order, using the CONTINUUM task in IRAF<sup>2</sup> and were combined thereafter.

# 2.1 Absorption-line measurements and column density determinations

Column densities of H I and the heavy elements were determined using the Voigt profile fitting program VPFIT³ (version 10.0). All the available lines of O I, C II, Si II and Fe II were fitted with the effective Doppler b-parameters and redshifts of the corresponding velocity components tied together. The abundances of each element X were calculated using the relation,  $[X/H] = \log (N_X/N_{H I}) - \log (X/H)_{\odot}$ , where  $N_X$  is the column density of element X,  $N_{H I}$  is the neutral hydrogen column density and  $\log(X/H)_{\odot}$  is the solar abundance of the element X. The atomic data required for the measurement of different lines were adopted from Cashman et al. (2017), and solar abundances were adopted from Asplund et al. (2009). The metal lines O I  $\lambda$  1302, C II  $\lambda$  1334, Si II  $\lambda$  1304, Si II  $\lambda$  1260, Si II  $\lambda$  1527, Fe II  $\lambda\lambda$  2587, 2600 used for the determination of the abundances were outside the Lyman  $\alpha$  forest, which allows us to calculate the column densities reliably.

We note that line saturation can be an issue in determination of the metal line column densities (e.g. Penprase et al. 2010). At the medium resolution ( $\sim$ 34–56 km s<sup>-1</sup>) of spectrographs such as X-shooter and ESI (used by us and other studies of  $z\sim$ 5 absorbers), it can be difficult to fully assess hidden saturation from much narrower components in single lines. Therefore, wherever possible, we have made use of multiple lines of different oscillator strengths. Further details are given in the description of the results for individual absorbers in Section 3.

We also note that the placement of the quasar continuum is difficult at these high redshifts due to the blending with the highly dense Ly  $\alpha$  forest lines. In many cases, at least one wing of the DLA line is blended with Ly  $\alpha$  forest lines. Moreover, the background quasars

<sup>&</sup>lt;sup>1</sup> http://www.eso.org/sci/facilities/paranal/instruments/xshooter/

<sup>&</sup>lt;sup>2</sup> IRAF is distributed by the National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.

<sup>&</sup>lt;sup>3</sup> https://www.ast.cam.ac.uk/rfc/vpfit.html

at these high redshifts are faint, making the spectra relatively noisy. Furthermore, the approach of doing a  $\chi^2$  minimization to estimate the H<sub>I</sub> column density and its uncertainty give unrealistically low uncertainties as discussed by Prochaska et al. (2003b). As is standard in such situations, we constructed a series of profiles for H<sub>I</sub> Ly  $\alpha$ , Ly  $\beta$  and/or Ly  $\gamma$  lines for a range of H<sub>I</sub> column density values, all centred at the redshift of the metal absorption lines, and compared them with the observed data. The comparisons took into account, for each available Lyman series line, both the wings and the core (which is essential for constraining the H<sub>I</sub> column density when the wings are blended). The H<sub>I</sub> column densities thus derived are fairly robust, since at the resolution of X-shooter and ESI, much of the Ly  $\alpha$  forest is resolved. The uncertainties in the H I column densities were estimated by examining the range of values for which the fitted profiles are consistent with the observed data within the noise level.

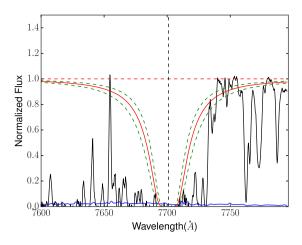
No ionization corrections were applied to the abundances derived from the observed metal ions and H  $\scriptstyle\rm I$ . The primary reason for this is that these high- $N_{\rm H\,\tiny I}$  systems are not expected to be much ionized. Furthermore, the ionization potential of the main species used here for metallicity determination (O  $\scriptstyle\rm I$ ) is slightly higher than that of H  $\scriptstyle\rm I$ ; so charge-transfer reactions keep O  $\scriptstyle\rm I$  / H  $\scriptstyle\rm I$  = O/H, thus making relative ionization effects minimal.

### 2.2 Determination of velocity dispersion

A strong correlation between metallicity and velocity dispersion has been reported for lower redshift DLAs and sub-DLAs (e.g. Péroux et al. 2003; Ledoux et al. 2006; Moller et al. 2013; Som et al. 2015). In fact, the metallicity, velocity dispersion and redshift values are found to lie in a Fundamental Plane (Neeleman et al. 2013). Furthermore, the velocity-metallicity relations for DLAs and sub-DLAs appear to be distinct (e.g. Meiring et al. 2007, 2009; Som et al. 2015; Quiret et al. 2016). If the velocity dispersion is linked with mass in some way, then the metallicity versus velocity dispersion relation can be used to infer the MZR of absorber host galaxies. On the other hand, the velocity dispersion may arise in part in inflows, outflows or turbulent motion. In either case, it is helpful to test whether the high-redshift velocity-metallicity relation follows the trend for lower redshift systems, or whether it has evolved. We have determined the velocity width  $\Delta V_{90}$  which is the velocity range within which 90 per cent of the absorption is contained. The velocity dispersions were measured using unsaturated and relatively weak lines whenever possible, as described in Quiret et al. (2016) and Morrison et al. (2016). We note, however, that the determination of  $\Delta V_{90}$  is somewhat uncertain, because different ions can give different values. We describe this below in more detail in the discussion of the individual systems.

### 2.3 Determination of dust depletion

Jenkins (2009) developed a technique for estimating the extent of dust depletion using observations of multiple elements. Based on observations of 17 chemical elements (C, N, O, Mg, Si, S, P, Cl, Ti, Cr, Mn, Fe, Ni, Cu, Zn, Ge and Kr) along the sightlines to 243 stars in the MW, he established that depletion of elements on dust grains can be well characterized in terms of three parameters. One of these parameters,  $F_*$ , represents a generalized depletion strength for the particular sightline being analysed. The scale of  $F_*$  is arbitrary, but it lies in the range of 0–1 for most sightlines in the MW, although warm ionized gas in the MW shows  $F_* = -0.1$  (Draine 2011). The higher the value of  $F_*$ , the more severe is the depletion. The other



**Figure 1.** Voigt profile fitting for hydrogen Lyman  $\alpha$  for the z=5.335 absorber towards Q0231-0728. The observed normalized flux is shown in black and the fitted profile for  $\log N_{\rm H\,I}=20.10$  is shown in red. The green dashed lines above and below the fitted profile represent  $\pm 0.15$  dex uncertainty. The  $1\sigma$  error in the normalized spectrum is shown in blue at the bottom. The vertical dashed line represents the centre of the profile and the horizontal dashed line in red shows the continuum level.

two parameters  $A_x$  and  $B_x$  are unique constants for each element X and were derived empirically by Jenkins (2009).  $A_x$  is the propensity of the element X to have a higher depletion value as the line-of-sight depletion factor  $F_*$  increases. In terms of these parameters and a zero-point offset  $Z_x$  (added to make the measurement errors in  $A_x$  and  $B_x$  independent of each other, and also derived empirically by Jenkins 2009), the gas-phase abundance of element X is described by the relation  $[X_{gas}/H] = B_x + A_x(F_* - Z_x)$ . Thus, the coefficients  $A_x$  and  $B_x$  denote the slope and the offset in the linear relationship of depletion versus  $F_*$ . In an extension of this work, Jenkins & Wallerstein (2017) presented the values of  $A_x$ ,  $B_x$  and  $Z_x$  in the Small Magellanic Cloud (SMC) for nine elements, and suggested that these values would be better suited for studies of depletion patterns in the low-metallicity DLA and sub-DLA absorbers in the distant universe.

As further explained in the appendix A of Quiret et al. (2016), the observed abundance of element X relative to the solar level can be expressed as  $[X/H]_{obs} - B_x + A_x Z_x = [X/H]_{intrinsic} + A_x F_*$ . In other words, in a plot of the quantity  $[X/H]_{obs} - B_x + A_x Z_x$  versus  $A_x$ , the slope is the line-of-sight depletion factor  $F_*$ , and the intercept is  $[X/H]_{intrinsic}$ , the intrinsic depletion-corrected metallicity derived from element X. In this paper, we adopt the values of  $A_x$ ,  $B_x$  and  $Z_x$  for O and C from Jenkins (2009) and for Si and Fe from Jenkins & Wallerstein (2017) to estimate the  $F_*$  value and the intrinsic metallicity for each absorber. Furthermore, we take the uncertainties in  $A_x$  and  $B_x$  into account, while estimating the uncertainties in  $F_*$  and  $[X/H]_{intrinsic}$ .

### 3 RESULTS FOR INDIVIDUAL ABSORBERS

### 3.1 Absorber at z = 5.335 towards Q0231-0728

Voigt profile fitting to the Lyman  $\alpha$  line gave a column density  $\log N_{\rm H\,\textsc{i}} = 20.10 \pm 0.15$  (Fig. 1). This absorber is thus close to the boundary between DLAs and sub-DLAs. Absorption features of ions O I, C II and Si II were detected in this system. The results from Voigt profile fitting (Fig. 2) for these metal lines are summarized in Table 2. Total element abundances for O, C and Si (Table 3) were calculated using O I  $\lambda$ 1302, C II  $\lambda$ 1334 and Si II  $\lambda$ 1260, respectively.

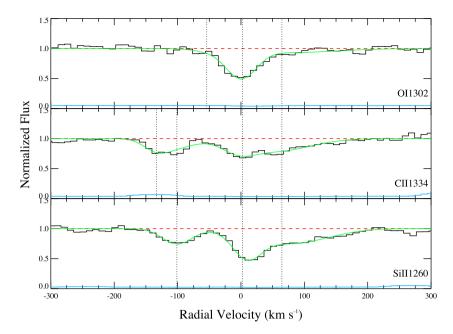


Figure 2. Velocity plots for metal lines in the z = 5.335 absorber towards Q0231-0728. In each panel, the data are shown in black and the fitted profiles are shown in green. The blue line at the bottom shows the  $1\sigma$  error in the normalized flux and the horizontal line in red represents the continuum level. The vertical dotted lines show the different velocity components included in the profile fits.

**Table 2.** Results of Voigt profile fitting for different elements in the z = 5.335 absorber towards Q0231-0728.

z	$b_{\rm eff}~({\rm km~s^{-1}})$	$\log N_{\rm O{\scriptscriptstyle I}}$	log N <sub>C Ⅱ</sub>	log N <sub>Si II</sub>
$5.33218 \pm 0.00001$ $5.33286 \pm 0.00004$	$10.20 \pm 2.12$ $18.37 \pm 4.73$		$13.36 \pm 0.17$ $13.40 \pm 0.11$	$12.65 \pm 0.03$
$5.33385 \pm 0.00002$ $5.33505 \pm 0.00003$ $5.33636 \pm 0.00015$	$12.71 \pm 2.36$ $13.53 \pm 3.69$ $72.73 \pm 7.14$	$13.24 \pm 0.31$ $14.41 \pm 0.05$ $13.89 \pm 0.15$	$13.54 \pm 0.10$ $13.83 \pm 0.07$	$12.91 \pm 0.06$ $13.08 \pm 0.05$
Total $\log N$		$14.55 \pm 0.05$	$14.18 \pm 0.05$	$13.39 \pm 0.03$

**Table 3.** Abundances of different elements in the z = 5.335 absorber towards O0231-0728.

Element	[X/H]	[X/O]
0	$-2.24 \pm 0.16$	
C	$-2.35 \pm 0.16$	$-0.11 \pm 0.07$
Si	$-2.22 \pm 0.15$	$0.02 \pm 0.06$

Our integrated column densities for O I, C II and Si II agree within  $\sim\!\!1\sigma$  with the values in Becker et al. (2012), who focused on relative abundances rather than absolute abundances. We were not able to determine the abundance of Fe for this absorber, because all the Fe II lines either fall outside the wavelength coverage or are severely blended with the Lyman  $\alpha$  forest.

### 3.1.1 Metallicity and relative abundances

The metallicity for this system based on the measurement of O is  $-2.24 \pm 0.16$  dex. The intrinsic metallicity determined using the Jenkins (2009) prescription is  $-2.28 \pm 0.17$  dex, which is consistent with the [O/H] value. The  $F_*$  value that exhibits the extent of dust depletion was found to be  $-0.27 \pm 0.24$ . C is slightly underabundant compared to O with  $[\text{C/O}] = -0.11 \pm 0.07$  dex. While  $[\text{Si/C}](0.13 \pm 0.07)$ 

 $0.06~{\rm dex})$  is enhanced, [Si/O]( $0.02\pm0.06~{\rm dex})$  is basically at the solar level, which is very different from values observed for the MW warm ISM, i.e. [Si/O] =  $-0.31~{\rm dex}$  and [Si/C] =  $-0.22~{\rm dex}$  (Jenkins 2009). Both Si and C have absorption features at  $z=5.332~86~{\rm but}$  O does not show any components at that redshift. Likewise, only C absorption is seen at z=5.332~18, with no corresponding Si or O. This peculiarity in the abundance pattern might be an indicator of an unusual nucleosynthetic process at this higher redshift or may be the result of photoionization.

# 3.1.2 Gas kinematics

Fig. 3 shows the optical depth and the absorption fraction as a function of radial velocity for the fitted profiles of Si II  $\lambda 1260$ , C II  $\lambda 1334$  and O I  $\lambda 1302$ . O I absorption for this absorber is limited to a narrower velocity range of  $172 \, \mathrm{km \, s^{-1}}$  than C II absorption and Si II absorption which have similar velocity dispersion values (257 and  $260 \, \mathrm{km \, s^{-1}}$ , respectively). This situation is similar to the smaller O I dispersion range than C II and Si II reported in a z=4.977 absorber (Morrison et al. 2016). Here, we adopt the velocity dispersion measured using Si II  $\lambda 1260$ .

A correlation between velocity dispersion and metallicity has been observed (e.g. Ledoux et al. 2006; Meiring et al. 2007; Som et al. 2015; Quiret et al. 2016). Using the metallicity-velocity

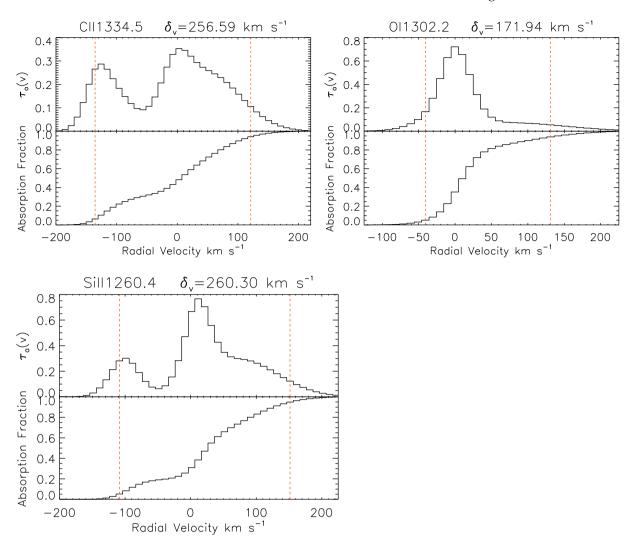


Figure 3. Determination of velocity dispersion for C II  $\lambda$ 1334, O I  $\lambda$ 1302 and Si II  $\lambda$ 1260 in the z=5.335 absorber towards Q0231-0728 based on our Voigt profile fits. In each case, the upper panel shows the optical depth profile and the lower panel shows the absorption fraction as a function of velocity. The 5 per cent and 95 per cent levels of the absorption are marked with two vertical dotted lines in red.

relation [X/H] =  $(1.52 \pm 0.08) \log \Delta V_{90}$  –  $(4.20 \pm 0.16)$  observed for lower redshift DLAs (Quiret et al. 2016), an absorber with  $\Delta V_{90} = 260 \, \mathrm{km \, s^{-1}}$  would typically have a metallicity of  $-0.53 \, \mathrm{dex}$ . The metallicity-velocity relation [X/H] =  $(1.61 \pm 0.22) \log \Delta V_{90}$  –  $(3.94 \pm 0.45)$  observed for sub-DLA would imply metallicity of  $-0.05 \, \mathrm{dex}$ . Thus, the observed metallicity of the z = 5.335 absorber is far below the typical metallicity indicated by the metallicity versus velocity dispersion relations for both DLAs and sub-DLAs at lower redshifts. Despite being more metal poor, this absorber has a significantly larger velocity dispersion than observed for the absorber at z = 4.977 (Morrison et al. 2016), which has a metallicity of  $-2.05 \, \mathrm{dex}$  and a velocity dispersion of  $84 \, \mathrm{km \, s^{-1}}$  for Si II.

### 3.2 Absorber at z = 4.809 towards Q0824+1302

Voigt profile fits to the Lyman  $\alpha$  and Lyman  $\beta$  lines for this absorber gave an H I column density of log  $N_{\rm H\,I}=20.10\pm0.15$  and are shown in Fig. 4. This absorber is thus also close to the boundary between DLAs and sub-DLAs. Absorption features of O I, C II, S I II and Fe II were detected in this system. The results from Voigt profile

fitting (Fig. 5) for these metal lines are summarized in Table 4. Table 5 summarizes the total element abundances for O, C, Si and Fe. The Fe abundance was calculated fitting Fe II  $\lambda\lambda$  2587, 2600 together, while the Si abundance was determined by fitting Si II  $\lambda$ 1304 and Si II  $\lambda$ 1527 together.

### 3.2.1 Relative abundances

The metallicity for this system based on the measurement of O is  $-2.51 \pm 0.16$  dex. The intrinsic metallicity determined using the prescription of Jenkins (2009) is  $-2.71 \pm 0.17$  dex, which is consistent with the [O/H] value. The  $F_*$  value that measures the depletion strength is  $-0.77 \pm 0.21$ . C is underabundant compared to O with  $[\text{C/O}] = -0.24 \pm 0.10$  dex, while  $[\text{Fe/O}] = 0.03 \pm 0.18$  dex is basically at the solar level. The [C/O] for this absorber is similar to the value obtained for the sub-DLA absorber at z = 4.977 with  $[\text{C/O}] = -0.25 \pm 0.09$  dex (Morrison et al. 2016). However, the [Fe/O] ratio is much higher than that in the latter absorber  $([\text{Fe/O}] = -0.58 \pm 0.14$  dex; Morrison et al. 2016). Si is enriched relative to O and C with  $[\text{Si/O}] = 0.20 \pm 0.15$  dex and  $[\text{Si/C}] = 0.70 \pm 0.16$  dex, respectively. Also, the abundance of Si relative to Fe

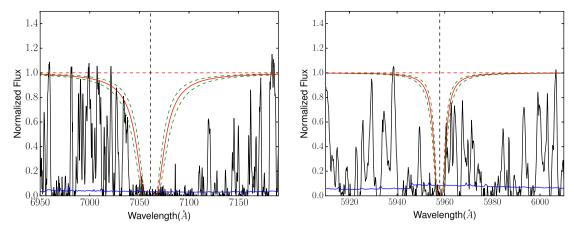


Figure 4. Voigt profile fitting for hydrogen Lyman  $\alpha$  (left) and hydrogen Lyman  $\beta$  (right) for the z=4.809 absorber towards Q0824+1302. Observed normalized flux is shown in black and the fitted profile for  $\log N_{\rm H\,I}=20.10$  is shown in red. Two green dashed lines above and below the fitted profile represent  $\pm 0.15$  dex uncertainty. The  $1\sigma$  error in the normalized spectrum is shown in blue at the bottom. In each panel, the vertical dashed line represents the centre of the profile, and the horizontal dashed line in red shows the continuum level.

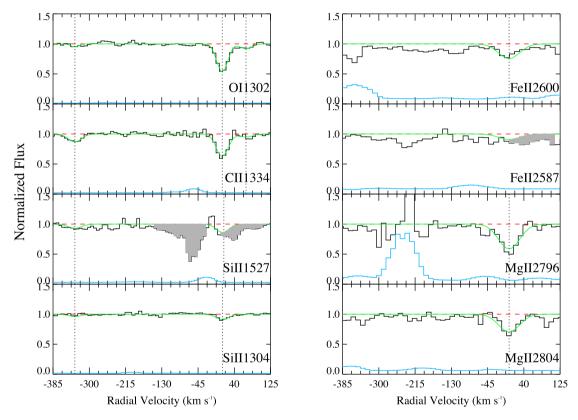


Figure 5. Velocity plots for metal lines for the absorber at z = 4.809 towards Q0824+1302. In each panel, the data are shown in black and the fitted profiles are shown in green. The blue line at the bottom shows the  $1\sigma$  error in normalized flux and the horizontal line in red represents the continuum level. Unrelated absorption features are shaded in grey. In each panel, the vertical dotted lines show the different velocity components included in the profile fits.

**Table 4.** Results of Voigt profile fitting for different elements in the z = 4.809 absorber towards Q0824+1302.

z	$b_{\rm eff}~({\rm km~s^{-1}})$	$\log N_{\rm O{\scriptscriptstyle I}}$	log N <sub>C II</sub>	log N <sub>Siπ</sub>	log N <sub>Fe II</sub>	$\log N_{ m MgII}$
$4.80082 \pm 0.00037$	$26.37 \pm 5.64$	$13.22 \pm 0.28$				
$4.80253 \pm 0.00013$	$10.12 \pm 2.23$	$13.01 \pm 0.29$	$13.13 \pm 0.19$	$12.74 \pm 0.28$		
$4.80900\pm0.00005$	$10.23 \pm 1.25$				$13.12 \pm 0.17$	$13.11 \pm 0.09$
$4.80922 \pm 0.00003$	$14.36 \pm 2.30$	$14.18 \pm 0.06$	$13.62 \pm 0.10$	$13.16 \pm 0.16$		
$4.81030\pm0.00019$	$17.13 \pm 3.41$	$13.13 \pm 0.29$	$12.68\pm0.32$			
Total log N		$14.28\pm0.06$	$13.77\pm0.09$	$13.30\pm0.14$	$13.12\pm0.17$	$13.11 \pm 0.09$

**Table 5.** Abundances of different elements in the z = 4.809 absorber towards Q0824+1302.

Element	[X/H]	[X/O]
0	$-2.51 \pm 0.16$	
C	$-2.75 \pm 0.17$	$-0.24 \pm 0.10$
Si	$-2.31 \pm 0.20$	$0.20 \pm 0.15$
Fe	$-2.48 \pm 0.23$	$0.03 \pm 0.18$

is consistent with being solar ([Si/Fe] =  $0.17 \pm 0.22$  dex). The overall enhancement of Si with respect to C and O makes this absorber very peculiar suggesting the effect of an unusual nucleosynthetic history.

### 3.2.2 Gas kinematics

The C II absorption in this absorber is spread over a velocity width of 69 km s<sup>-1</sup> (Fig. 6). An absorption feature can also be seen at a velocity of  $-344\,\mathrm{km}\,\mathrm{s}^{-1}$  but was excluded because of its small contribution to the overall absorption. The higher velocity components including the one at 75 km s<sup>-1</sup> may signify outflows. Based on the metallicity-velocity relation [X/H] =  $(1.52 \pm 0.08)$  $\log \Delta V_{90} - (4.20 \pm 0.16)$  observed for lower redshift DLAs (Quiret et al. 2016), an absorber with  $\Delta V_{90} = 69 \, \mathrm{km \, s^{-1}}$  would typically have a metallicity of -1.39 dex. From the metallicity-velocity relation [X/H] =  $(1.61 \pm 0.22) \log \Delta V_{90} - (3.94 \pm 0.45)$  observed for sub-DLAs, the predicted metallicity for a sub-DLA with  $\Delta V_{90}$  =  $69 \,\mathrm{km} \,\mathrm{s}^{-1}$  would be typically  $-0.97 \,\mathrm{dex}$ . The observed metallicity for this absorber is thus far below the typical values indicated by the metallicity versus velocity dispersion relations for both DLAs and sub-DLAs at lower redshifts. Morrison et al. (2016) found a similar velocity width of  $84 \,\mathrm{km}\,\mathrm{s}^{-1}$  for a sub-DLA at z = 4.977 that has a metallicity of -2.05 dex.

# 3.3 Absorber at z = 4.829 towards Q0824+1302

Voigt profile fits to the Lyman  $\beta$  and Lyman  $\gamma$  lines for this absorber gave an H  $_{\rm I}$  column density of log  $N_{\rm H}_{\rm I}=20.80\pm0.15$  and are shown in Fig. 7. This absorber is thus a DLA. Absorption features of O  $_{\rm I}$ , C  $_{\rm II}$ , Si  $_{\rm II}$  and Fe  $_{\rm II}$  were detected in this system. The results from Voigt profile fitting (Fig. 8) for these metal lines are summarized in Table 6. Table 7 summarizes the total element abundances for O, C, Si and Fe. The Fe abundance was calculated fitting the Fe  $_{\rm II}$   $\lambda\lambda$  2587, 2600 lines together. The O  $_{\rm I}$  column density obtained from O  $_{\rm I}$   $\lambda$  1302 alone is log N<sub>O I</sub> = 15.54  $\pm$  0.20. To further improve the constraints on the O  $_{\rm I}$  column density, we use both O  $_{\rm I}$   $\lambda$  972 and O  $_{\rm I}$   $\lambda$  1302 (Fig. 9a,b). O  $_{\rm I}$   $\lambda$  972 for this absorber falls in the Lyman  $\alpha$  forest, but is less saturated than O  $_{\rm I}$   $\lambda$  1302 (which falls outside the Ly  $\alpha$  forest). We use both the cores and the wings of the lines to estimate that log  $N_{\rm O_{\rm I}}$  cannot be much lower than 15.29 or much higher than 15.59. We adopt log  $N_{\rm O_{\rm I}}=15.44\pm0.15$ .

# 3.3.1 Relative abundances

The metallicity for this system based on the measurement of O is  $-2.05 \pm 0.21$  dex. The intrinsic metallicity determined using the Jenkins (2009) prescription is  $-2.22 \pm 0.20$  dex which is consistent with the [O/H] value. The  $F_*$  value that exhibits the extent of dust depletion was found to be  $-0.45 \pm 0.22$ . C and Fe are underabundant compared to O with [C/O] =  $-0.30 \pm 0.19$  dex and [Fe/O] =  $-0.43 \pm 0.20$  dex. The [C/O] and [Fe/O] ratios for this absorber are similar compared to the z = 4.977 absorber from

Morrison et al. (2016), which has  $[C/O] = -0.25 \pm 0.09$  and  $[Fe/O] = -0.58 \pm 0.14$ . The abundance of Si relative to O in the z = 4.829 absorber is nearly solar, with  $[Si/O] = -0.04 \pm 0.17$  dex; but Si is overabundant in this absorber relative to Fe, with  $[Si/Fe] = 0.39 \pm 0.15$  dex.

### 3.3.2 Gas kinematics

Fig. 10 shows the optical depth and the absorption fraction as a function of radial velocity for Si II  $\lambda 1527$  using the fitted profiles. The gas in this absorber is spread over a velocity width of  $74 \, \mathrm{km \, s^{-1}}$ . Using the metallicity versus velocity dispersion relation [X/H] =  $(1.52 \pm 0.08) \log \Delta V_{90} - (4.20 \pm 0.16)$  observed for lower redshift DLAs (Quiret et al. 2016), an absorber with  $\Delta V_{90} = 74 \, \mathrm{km \, s^{-1}}$  would typically have a metallicity of  $-1.36 \, \mathrm{dex}$ . This shows that the z = 4.829 absorber has a metallicity far below the value predicted from the metallicity-velocity relation for DLAs. Morrison et al. (2016) found a similar velocity dispersion of  $84 \, \mathrm{km \, s^{-1}}$  for a sub-DLA at z = 4.977 that has a metallicity of  $-2.05 \, \mathrm{dex}$ .

### 4 DISCUSSION

We now discuss the chemical and kinematic properties of the absorbers in our sample, and compare those with the properties of other absorbers from the literature at z > 4.5 (e.g. Rafelski et al. 2012, 2014; Morrison et al. 2016). We note that all the absorbers are at velocity separations of  $> 3000 \,\mathrm{km}\,\mathrm{s}^{-1}$  from the background quasars, and therefore, not likely to be associated with the quasars. We also compare these z > 4.5 absorbers with those at z < 4.5 (e.g. Rafelski et al. 2012; Kulkarni et al. 2015; Som et al. 2015; Morrison et al. 2016; Quiret et al. 2016, and references therein).

# 4.1 Dust depletion

Fig. 11 shows the results using the prescription of Jenkins (2009) to determine the intrinsic metallicity and  $F_*$  values for all the absorbers analysed by us. The  $F_*$  values that exhibit the extent of dust depletion are in the range of  $-0.27 \pm 0.24$  to  $-0.77 \pm 0.21$ . The  $F_*$  value for the z=4.977 absorber from Morrison et al. (2016) is  $-0.14 \pm 0.17$ . Overall, the four  $z\sim 5$  absorbers with  $F_*$  measurements (three from this work and one from Morrison et al. 2016), have fairly negative  $F_*$  values, as also found for lower redshift DLAs and sub-DLAs (Quiret et al. 2016). These  $F_*$  values are much closer to the MW's halo gas ( $F_*=-0.28$ ) than to the MW's cool disc gas, warm disc gas or disc+halo gas ( $F_*=0.90$ , 0.12 and -0.08, respectively).

The relative abundances reported here, together with the measurements in the z=4.977 absorber from Morrison et al. (2016), show several interesting indications of dust depletion and unusual nucleosynthesis. Three out of the four absorbers show significantly subsolar [C/O] ratios. The [C/O] ratios found in these  $z\sim 5$  absorbers are similar to the typical value of  $-0.28\pm0.12$  for the very metal-poor (VMP) DLAs (Cooke et al. 2011; Cooke, Pettini & Steidel 2017). Two out of four of our  $z\sim 5$  absorbers show strong depletion of Fe relative to O. One out of the four shows strong Si depletion relative to O. Two other absorbers show mild Si depletion, with [Si/O] comparable to the typical value of  $-0.08\pm0.10$  for VMP DLAs (Cooke et al. 2011). The fourth absorber shows

<sup>&</sup>lt;sup>4</sup> We include the z = 5.1791 absorber towards Q1626+2751 in our sample, because it is not within the range of  $3000 \,\mathrm{km \, s^{-1}}$  from the quasar emission redshift of 5.288 (the latter based on the SDSS Data Release 14).

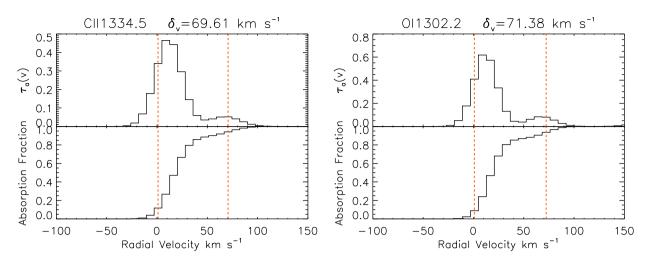


Figure 6. Velocity dispersion for  $C \ \pi \ \lambda 1334$  and  $O \ 1 \ \lambda 1302$  lines in the z=4.809 absorber towards Q0824+1302 based on our Voigt profile fits. The upper panel shows the optical depth profile and the lower panel shows the absorption fraction as a function of radial velocity. The 5 per cent and 95 per cent level of the absorption are marked with two vertical dotted lines in red.

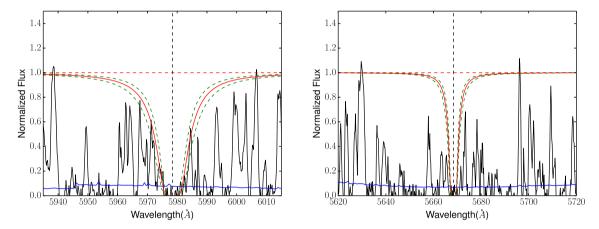


Figure 7. Voigt profile fitting for hydrogen Lyman  $\beta$  (left) and hydrogen Lyman  $\gamma$  (right) for the z=4.829 absorber towards Q0824+1302. Observed normalized flux is shown in black and the fitted profile for log  $N_{\rm H\,I}=20.80$  is shown in red. Two green dashed lines above and below the fitted profile represent  $\pm 0.15$  dex uncertainty. The  $1\sigma$  error in the normalized spectrum is shown in blue at the bottom. In each panel, the vertical dashed line represents the centre of the profile, and the horizontal dashed line in red shows the continuum level.

an excess of Si relative to O, which could be a leftover signature of nucleosynthesis by Population III stars. Indeed, a substantial Si/O ratio can be expected in a Population III IMF extending over  $100-260\,\mathrm{M}_{\odot}$  (e.g. Kulkarni et al. 2013).

Fig. 12(a) shows a plot of depletion of Si with respect to undepleted elements O or Zn or S versus metallicity. A correlation between depletion and metallicity has been noted before for lower redshift DLAs (e.g. Meiring et al. 2006, 2009; Noterdaeme et al. 2008; Kulkarni et al. 2015). One of the  $z\sim 5$  absorbers falls below this trend, while the others may be consistent with that trend. Fig. 12(b) shows a plot of depletion of Fe with respect to undepleted elements O or Zn or S versus metallicity. Three of the  $z\sim 5$  absorbers may be consistent with the correlation between depletion and metallicity for lower redshift DLAs. Measurements of undepleted as well as depleted elements in a larger sample of high-z absorbers are needed to further clarify the nature of this trend at  $z\gtrsim 5$ .

### 4.2 Metallicity versus velocity dispersion relation

Fig. 12(c) shows a plot of metallicity versus velocity dispersion for the  $z\sim 5$  absorbers from this work and the literature, as well as

lower redshift DLAs from the literature (Kulkarni et al. 2015). A strong correlation between metallicity and velocity dispersion has been reported for lower redshift DLAs and sub-DLAs (e.g. Péroux et al. 2003; Ledoux et al. 2006; Moller et al. 2013; Som et al. 2015). If the velocity dispersion is taken as a measure of the mass of the absorber, such a correlation may imply an MZR. A correlation between the gas-phase metallicity and the stellar mass is indeed well established in nearby and distant SFGs (e.g. Tremonti et al. 2004; Savaglio et al. 2005; Erb et al. 2006). Furthermore, the MZR shows evolution with redshift. Troncoso et al. (2014) report evolution in the MZR from z = 3.5 to 0, at a rate consistent with the overall rate of DLA metallicity evolution ( $\sim$ 0.2 dex) at those redshifts. Shapley et al. (2017) report the first metallicity measurement at z > 4 in an SFG based on nebular emission lines, and find a metallicity of about -0.77 dex, consistent with a drop of about 0.2 dex per unit redshift seen in lower redshift SFGs and DLAs.

Two of our  $z\sim 5$  systems fall distinctly below the metallicity-velocity trend for lower redshift absorbers, which can be understood as an evolution of the metallicity-velocity relation with redshift (e.g. Neeleman et al. 2013). However, it is interesting to note that our  $z\sim 5$  absorbers do not show much of a trend between metallicity

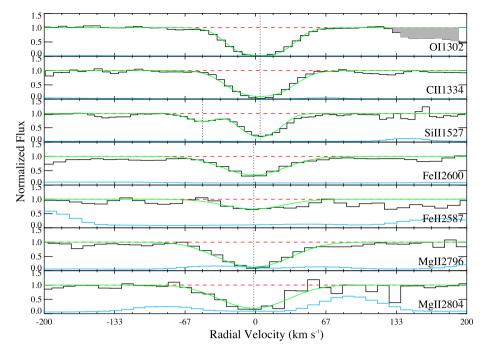


Figure 8. Velocity plots for metal lines for the absorber at z = 4.829 towards Q0824+1302. In each panel, the data are shown in black and the fitted profiles are shown in green. The blue line at the bottom of each panel shows the  $1\sigma$  error in the normalized flux and the horizontal line in red represents the continuum level. Unrelated absorption features are shaded in grey. The vertical dotted lines show the different velocity components included in the profile fits.

**Table 6.** Results of Voigt profile fitting for different elements in the z = 4.829 absorber towards Q0824+1302.

z	$b_{\rm eff}~({\rm km~s^{-1}})$	$\log N_{\mathrm{O}_{\mathrm{I}}}$	log N <sub>C II</sub>	log N <sub>Si II</sub>	log N <sub>Fe II</sub>	log N <sub>Mg II</sub>
$4.82802 \pm 0.00012$ $4.82908 \pm 0.00002$	$15.15 \pm 3.41$ $28.98 \pm 1.63$	$15.44 \pm 0.15$	$14.88 \pm 0.12$	$13.22 \pm 0.19$ $14.17 \pm 0.09$	12 92   0 12	14 14 + 0 12
$4.82891 \pm 0.00003$ Total log <i>N</i>	$32.35 \pm 2.09$	$15.44 \pm 0.15$	$14.88 \pm 0.12$	$14.22 \pm 0.08$	$13.82 \pm 0.13$ $13.82 \pm 0.13$	$14.14 \pm 0.12$ $14.14 \pm 0.12$

**Table 7.** Abundances of different elements in the z = 4.829 absorber towards Q0824+1302.

Element	[X/H]	[X/O]		
0	$-2.05 \pm 0.21$			
C	$-2.35 \pm 0.19$	$-0.30 \pm 0.19$		
Si	$-2.09 \pm 0.17$	$-0.04 \pm 0.17$		
Fe	$-2.48 \pm 0.20$	$-0.43 \pm 0.20$		

and velocity dispersion. A much flatter metallicity versus velocity dispersion relation at  $z\sim 5$  compared to that at lower redshifts, if confirmed, would have interesting implications. For example, it may suggest that the  $z\sim 5$  absorbers are tracing the more massive galaxies that did not get as enriched chemically; this may suggest that they have a higher M/L ratio and thus a lower  $M_*$  and a lower metallicity. We note, however, that the velocity dispersion could partly arise in gas flows within the circumgalactic medium, and thus may not necessarily be a measure of the mass of the galaxy; in this scenario, the  $z\sim 5$  absorbers may trace galaxies with stronger inflows of less enriched material. Observations of more high-redshift absorbers are needed to establish whether these differences are unique to these particular absorbers or are a common phenomenon at these early epochs.

## 4.3 Metallicity evolution

The metallicities of our  $z\sim5$  systems based on the undepleted element O are plotted in Fig. 13. Also shown for comparison are the binned mean metallicities for DLAs at z<4.5, and other measurements for individual absorbers at z>4.5 from the literature. The solid curve shows the mean gas metallicity from the computations of Maio & Tescari (2015), which do not include Population III stars. The dot–dashed curve shows the semi-analytic model of Kulkarni et al. (2013), which includes Population III stars of 100–260  ${\rm M}_{\odot}$ , but is influenced mainly by Population II stars.

Compared to predictions based on the metallicity–redshift relation for DLAs at z < 4.5 (Morrison et al. 2016), the metallicities of our systems at z = 4.809, 5.335 and 4.829 are lower at the  $4.1\sigma$ ,  $2.5\sigma$  and  $1.9\sigma$  levels, respectively. Combining these measurements with the others at z > 4.5 plotted in Fig. 13, it is clear that five out of the seven absorbers at z > 4.5 have metallicities [X/H]  $\gtrsim -2.0$ .

As a first step to examining whether the high- and low-redshift samples differ, we performed a two-sample Kolmogorov–Smirnov (K–S) test, comparing the cumulative probability functions of the metallicities based on undepleted elements for z < 4.5 and z > 4.5, and found these two samples to be distinct (K–S test statistic  $D_{\rm max} = 0.745$ ) at  $>3\sigma$  significance level (Fig. 14a). To explore this further, we next performed the two-sample K–S test on the absorbers with z < 3 and z > 3 (Fig. 14b), and also for absorbers with

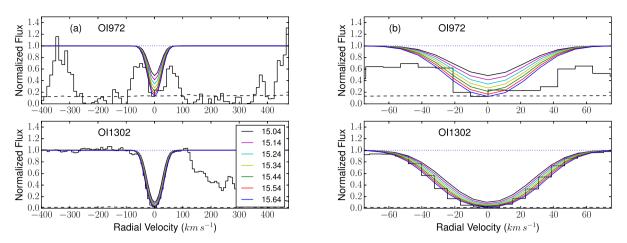


Figure 9. (a) Velocity plots for O 1  $\lambda\lambda$  972, 1302 metal lines showing the expected absorption profiles for different column density values with increment of 0.1 dex in each interval for the absorber at z=4.829 towards Q0824+1302. In each panel, the data are shown in black and the fitted profiles are shown in colours. The black dashed line at the bottom of each panel shows the  $1\sigma$  error in normalized flux and the horizontal line in blue represents the continuum level. (b) Same as (a) but showing a narrower velocity range for more clarity.

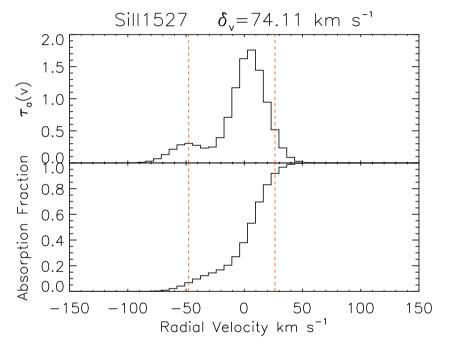


Figure 10. Velocity dispersion for  $Si \pi \lambda 1527$  line in z = 4.829 absorber towards Q0824+1302 based on our Voigt profile fits. The upper panel shows the optical depth profile and the lower panel shows the absorption fraction as a function of radial velocity. The 5 per cent and 95 per cent levels of the absorption are marked with two vertical dotted lines in red.

z < 3.5 and z > 3.5. In each of these cases also, there is an  $\sim 3\sigma$  evidence for a difference in these populations ( $D_{\rm max} = 0.312$  and  $D_{\rm max} = 0.410$ , respectively). This difference seems to arise from the fact that, in general, there is a gradual decrease in metallicity from low to high redshift (which is well known and shown in Fig. 13) and the z < 4.5, z < 3.5 or z < 3 samples include absorbers spanning a very wide redshift range stretching down all the way to z = 0. Indeed, the range in lookback times at 0 < z < 4.5 is much larger than that at z > 4.5. Thus, the results of the K–S test at redshifts above and below 4.5, by itself, is not necessarily an indication of a *sudden* drop in metallicity but rather a continuous decrease. To make the results of the K–S test less sensitive to the lowest redshifts (where the mean metallicity is the highest), we repeated the K–S test for the samples with 4 < z < 4.5 and z > 4.5 (i.e. 4.5 < z < 5.4). Both

these samples have the same number of systems, and are free of the lower redshift metal-rich systems. The K–S test statistic for these two samples ( $D_{\rm max}=0.571$ ) is not significant at even the  $2\sigma$  level, suggesting that there is no significant difference between the metallicities of undepleted elements in the absorbers at 4 < z < 4.5 and those at 4.5 < z < 5.4 (Fig. 14c). Likewise, there is no significant difference between the metallicities in the samples at 3.5 < z < 4.5 and 4.5 < z < 5.4 (Fig. 14c,  $D_{\rm max}=0.545$ ).

To examine more quantitatively whether there is a drop in metallicity, we calculate the  $N_{\rm H\,\tiny I}$ -weighted mean metallicity for the seven systems at z>4.5 shown in Fig. 13 that have measurements of undepleted elements. Use of the  $N_{\rm H\,\tiny I}$ -weighted mean metallicity, which traces the global (cosmological) mean metallicity, i.e. the ratio of the mean comoving densities of metals and hydrogen,

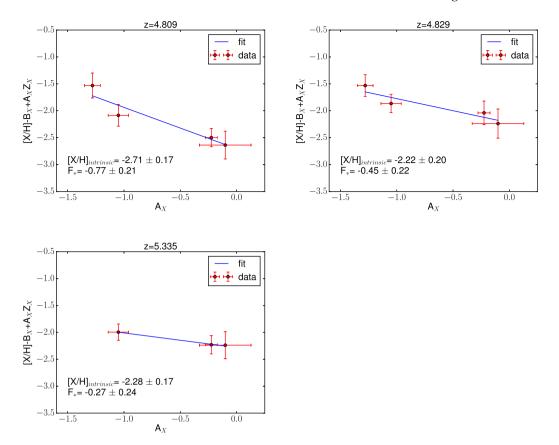
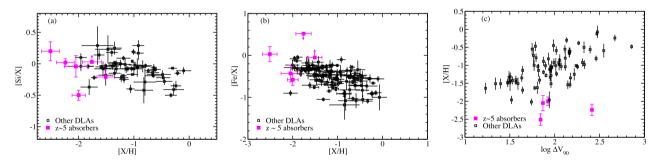


Figure 11. Results of the Jenkin's approach to determine the intrinsic metallicity and  $F_*$  value for the z = 4.809, z = 4.829 and z = 5.335 systems. In each panel, the data points are shown in red and the regression fit to the data is shown by a blue line.

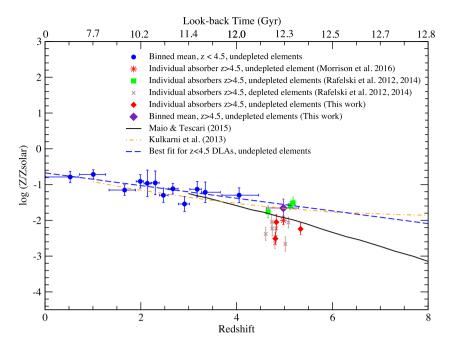


**Figure 12.** (a) Plot of [Si/X] versus metallicity [X/H] for  $z \sim 5$  absorbers (shown with magenta squares) and lower redshift DLAs from the literature (shown as black squares). (b) Plot of [Fe/X] versus metallicity [X/H] for  $z \sim 5$  absorbers (shown with magenta squares) and lower redshift DLAs from the literature (shown as black squares). (c) Plot of metallicity [X/H] versus velocity dispersion for  $z \sim 5$  absorbers (shown in magenta) and lower redshift DLAs (shown in black). In all panels, we use X=O for the  $z \sim 5$  absorbers, and X=O, S or Zn for the lower redshift DLAs.

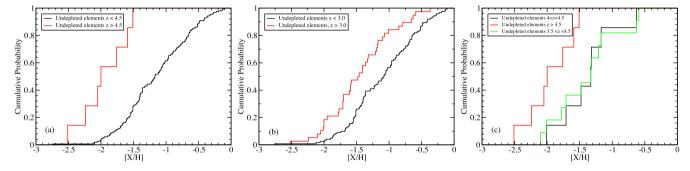
 $\Omega_{\rm metals}/\Omega_{\rm gas}$  (e.g. Lanzetta, Wolfe & Turnshek 1995; Kulkarni & Fall 2002), is standard practice in studies of DLA metallicity evolution (e.g. Prochaska et al. 2003a; Kulkarni et al. 2005, 2010; Rafelski et al. 2012, 2014; Som et al. 2015; Quiret et al. 2016). The  $N_{\rm H_I}$ -weighted mean metallicity for the z>4.5 systems with measurements of undepleted elements, shown in Fig. 13 as an indigo diamond, is  $-1.66\pm0.25$  (where the uncertainty includes the sampling uncertainties as well as the measurement uncertainties in the metal and H I column densities). At the median redshift of this high-z bin, i.e. z=4.98, the predicted mean metallicity based on the metallicity–redshift relation used in Morrison et al. (2016) for DLAs at z<4.5 is  $-1.55\pm0.18$ . Even ignoring the uncertainty in the predicted value, the difference between the observed and predicted  $N_{\rm H_I}$ -weighted mean metallicity values is  $<0.5\sigma$  significant.

We thus conclude that there is no evidence of a sudden drop in metallicity at z > 4.5. The primary reason our finding differs from that of Rafelski et al. (2012, 2014) appears to lie in the fact that we are focusing on elements that do not deplete on dust grains. Observations of undepleted elements in more absorbers are essential to further test whether or not there is a drop in metallicity.

We emphasize in this context that the  $N_{\rm H\,\textsc{i}}$ -weighted mean metallicity derived here is dominated by absorbers with the higher H I column densities. Thus, the inclusion of some absorbers at the boundary between DLAs and sub-DLAs in our sample has little impact on the  $N_{\rm H\,\textsc{i}}$ -weighted mean metallicity derived. We also note that our use of O I, which is not sensitive to ionization corrections, means that the higher mean metallicity derived by us here is not an artefact of ionization.



**Figure 13.** Metallicity–redshift relation for DLAs. Blue circles show binned data for DLAs at z < 4.5 (with each bin containing 16 or 17 DLAs) based on undepleted elements (Morrison et al. 2016), and the dashed curve in blue shows the corresponding line of best fit. The green squares and brown crosses show previous measurements for individual DLAs at z > 4.5 based on elements that are undepleted in the MW ISM, and those that are depleted, respectively (Rafelski et al. 2012, 2014). Red diamonds show results from this work based on the undepleted element O. Red star shows a sub-DLA from Morrison et al. (2016). Indigo diamond shows the  $N_{H_I}$ -weighted mean metallicity for undepleted elements at z > 4.5. Solid curve shows the prediction for the mean gas metallicity from Maio & Tescari (2015). The dot–dashed curve in orange shows the prediction of the semi-analytic model of Kulkarni et al. (2013) including Population III and Population III stars.



**Figure 14.** (a) A two-sample K–S test comparing the cumulative probability functions of the metallicities based on undepleted elements for z < 4.5 and z > 4.5. (b) Same as (a) but for z < 3 and z > 3. (c) Same as (a) and (b), but for z > 4.5, 4 < z < 4.5 and 3.5 < z < 4.5. The samples with z < 4.5 and z > 4.5 differ significantly, as do those with z > 3 and z < 3. However, the z > 4.5, 4 < z < 4.5 and 3.5 < z < 4.5 samples differ at  $< 2\sigma$  level.

Finally, we estimate the metallicity predicted at z=5.335, the highest redshift in our sample. Using the  $N_{\rm H\,\tiny I}$ -weighted mean metallicity in the highest redshift bin at z<4.5 and the value of -2.03 dex at z=4.85 from Rafelski et al. (2014) to estimate an approximate 'local slope', and extrapolating this relation further, one would expect the mean metallicity at z=5.335 to be -3.04 dex, if there was in fact a sudden drop in mean metallicity. Our observation of a metallicity of  $-2.24\pm0.16$  dex in the z=5.335 absorber in our sample would make this absorber  $5.0\sigma$  deviant from the expected mean at that redshift. While this is not entirely unlikely, the possibility of finding such an extreme value in the only z>5.3 absorber explored seems low.

A sudden drop in metallicity at z > 4.7 would also be surprising given that there is no evidence of a sudden change in the star formation history of the universe at redshifts leading up to  $z \approx$ 

4.7. Given the absence of such a drop in our larger sample based on undepleted elements, we suggest that such a sudden drop may not be present. At the same time, we note that a sample of seven systems is still too small to draw firm conclusions, and emphasize the need to obtain more measurements for undepleted elements at z > 4.5.

The metallicities of some of the  $z\sim5$  absorbers appear to be more consistent with the Maio & Tescari (2015) model, which does not include Population III stars. These observed metallicities are substantially lower than those predicted by the Kulkarni et al. (2013) model. But as pointed out by Kulkarni et al. (2014), the rate of metal enrichment depends on the delay in the chemical enrichment of the gas by supernovae. The difference between some of the  $z\sim5$  DLAs and the Kulkarni et al. (2013) model could be a result of a longer delay in the supernova-driven enrichment than assumed in the model.

### 5 CONCLUSIONS

We have measured element abundances in three z > 4.8 absorptionselected gas-rich galaxies. These measurements have roughly doubled the existing sample of measurements for undepleted elements in DLAs/sub-DLAs at z > 4.5. Our main results are as follows.

- (1) The  $z \sim 5$  absorbers generally show low [C/O] ratios. The [C/O] ratios, and in some cases the [Si/O] ratios, are comparable to those in the VMP DLAs.
- (2) Based on the measurements of [Fe/O] and [Si/O], depletion of metals into dust grains appears to be prevalent in some  $z\sim 5$  absorbers. Some absorbers lie below the depletion versus metallicity trend observed in the lower redshift absorbers, but others may be consistent with that trend.
- (3) The current data show hints that the metallicity versus velocity dispersion relation for  $z \sim 5$  absorbers may be different from that for lower redshift absorbers, although further measurements are needed to establish this more conclusively.
- (4) The  $N_{\rm H\,\textsc{i}}$ -weighted mean metallicity of  $z\sim5$  DLAs appears to be consistent with the prediction based on z<4.5 DLAs. Thus, our data do not suggest a sudden drop of metallicity at z>4.7, but rather a continuous decrease. We note that a similar conclusion has been reached recently by De Cia et al. (2017). Measurements of undepleted elements in many more high-redshift absorbers are needed to definitively determine any changes in the metallicity evolution trend at z>4.7.

Measurements of undepleted elements in a few dozen high-z DLA/sub-DLAs would help to definitively determine the evolution of metallicity and the velocity dispersion versus metallicity relation in the first ~1 Gyr of cosmic history. Measurements of both refractory (depleted) and volatile (undepleted) elements in the same absorbers are needed to determine the evolution of dust in this early epoch. More measurements of relative abundances, especially [Si/O], would also be useful to constrain parameters of early nucleosynthesis models, e.g. the Population III IMF. Comparison of the trends resulting from these studies with predictions of cosmic chemical evolution models (e.g. Pei, Fall & Hauser 1999; Somerville et al. 2001; Maio & Tescari 2015) would offer invaluable new insights into the early history of star formation and chemical enrichment in galaxies.

To continue to understand the full significance of high-z DLA/sub-DLAs to SFGs, it will also be important to compare the chemical and kinematic properties of the absorbers at  $z\gtrsim 5$  with SFGs at similar redshifts. While current SFG metallicity measurements extend only to  $z\sim 4$ , the James Webb Space Telescope is expected to allow metallicity measurements for SFGs out to  $z\sim 10$  (e.g. Shapley et al. 2017). It will be of great interest to compare the MZR and the redshift evolution of the MZR for SFGs with the corresponding quantities for absorption-selected galaxies such as those studied here.

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