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#### Research papers

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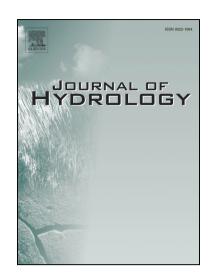
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# Hillslope-scale exploration of the relative contribution of base flow, seepage flow and overland flow to streamflow dynamics

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**Keywords:** Hillslope-scale, groundwater-surface water interactions, Boussinesq, equivalent hillslope, seepage flow

#### **Highlights:**

- Groundwater-surface water interactions are studied in two shallow aquifers
- A combination of hillslope processes shapes the catchment-scale streamflows.

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- Low-conductive hillslopes enhance overland flows and sustain late recessions.
- High-diffusive hillslopes shape the event- and seasonal-scale recessions.
- Hydraulic properties are significantly heterogeneous at the catchment scale.

#### **Abstract**

Surface and subsurface flows interact at different spatial and temporal scales through the development of saturated areas occurring when the aquifer reaches the surface. While this interaction exerts a strong control in the partitioning of water between base flow, seepage flow and overland flow, its quantification remains a challenge. Here, we propose a novel modeling approach based on two equivalent hillslopes to capture spatial and temporal variabilities of the main processes. We calibrate their subsurface hydraulic properties based on the temporal dynamics of stream discharge. The model is tested on two pilot catchments located in Brittany (France). For both catchments, the model is successfully calibrated on 40 years of stream discharge data. The results demonstrate that contrasted hydraulic properties are required, with: (1) a relatively low conductive hillslope (conductivities between 3x10<sup>-8</sup> m/s and 2x10<sup>-6</sup> m/s) enhancing overland flows during recharge periods while also sustaining low flows in the late recession period through slow aquifer discharge, and (2) a highly diffusive hillslope (diffusivity between 5x10<sup>-3</sup> m<sup>2</sup>/s and 3x10<sup>-1</sup> m<sup>2</sup>/s) dominantly shaping the event- to seasonal-scale streamflow recession behavior. The strong contrast of the two hillslopes reveals the fundamental role of heterogeneity in controlling groundwater storage-discharge functions, ruling out any homogeneous equivalent at the catchment scale. Low flows appear to be a nonobvious combination of the contributions of the two hillslopes, the less diffusive hillslope catching up to the more conductive one by the end of the low flow period. Catchment-scale responses integrate complex interactions between recharge, groundwater and overland flows through the volume of subsurface storage and the extent of the saturated area.

#### 1. INTRODUCTION

At the regional to continental scale, the feedback mechanisms between climate, groundwater storage and stream discharge dynamics are critical in controlling flow partitioning within the water cycle (Abbott et al., 2019; Anderson and Burt, 1978; Hewlett and Hibbert, 1967; Sophocleous, 2002). At the local streambed scale, these feedbacks are also key in the regulation of processes by enhancing biogeochemical activities through the mixing of different water types (Bartsch et al., 2014; Chae et al., 2006; Hyun et al., 2011). At the intermediary hillslope to catchment scales, they are also critical since the intersection of the groundwater table with the topography leads to the discharge of subsurface water and controls the emergence of the surface stream and wetland networks (Devauchelle et al., 2012; Dunne and Black, 1970; Messager et al., 2021). At the surface, groundwater seepage occurs under a variety of hydrogeological conditions such as low relief, limited aquifer depth, low hydraulic conductivity and porosity or when average recharge rates are significantly high with respect to aquifer transmissivity (Condon and Maxwell, 2015; Gleeson et al., 2011a; Haitjema and Mitchell-Bruker, 2005; Price, 2011). Such conditions have been found in numerous settings from the crystalline regions under temperate or cold climates (Dewandel et al., 2021; Kolbe et al., 2016, 2020; Wyns et al., 2004) to the glaciated headwater catchments (Devito et al., 1996) or the clay areas with undulating landscapes (Dahl et al., 2007). Groundwater-surface water interactions thus appear to be mainly shaped by the relative depth of the water table with respect to the geomorphic features of the landscape (Bresciani et al., 2014; Gleeson and Manning, 2008; Haitjema and Mitchell-Bruker, 2005).

Even though these interactions are well identified, groundwater and surface water processes have mostly been handled independently at the hillslope to catchment scales (Staudinger et al.,

2019). For example, the analysis of streamflow recessions has extensively been used to estimate hydraulic properties of the groundwater system assuming that overland flows can be neglected in low-flow periods. Analytical solutions are derived from the integration of simplified hillslope configurations neglecting surface-groundwater interactions (Brutsaert and Nieber, 1977). Relations between groundwater storage and stream discharge dynamics have been further extended with transfer functions (Kirchner, 2009). Similarly, models relying on interconnected reservoirs (Perrin et al., 2001) consider such interactions through the transfer of water between both surface and subsurface reservoirs where the fluxes are dependent on the subsurface storage and an exchange coefficient. Surface-groundwater interactions are, however, more complex than a first-order reservoir overflow. They imply spatial and temporal evolutions also driven by the variations of the saturated areas. At the hillslope scale, contribution of aquifers to stream discharge is typically composed of: i) fast groundwater transfers through shallow soil or highly permeable lithological units; ii) slow groundwater flow, mainly influencing baseflow regimes and; iii) fast saturation-excess overland flows (Brutsaert, 2005). The partitioning between these slow and fast flow components is controlled by the precipitations rates, the intensity of the seepage flow, and more fundamentally, by the depth and gradient of the groundwater table. Groundwater seepage and saturated areas occur at specific locations along the hillslope, typically emerging downslope, near the main stream channel (Dunne and Black, 1970). They can also develop after steep slopes (Kolbe et al., 2016), downhill of plateaus with low hydraulic conductivities (Bresciani et al., 2014; Ogden and Watts, 2000), or be induced by convergent geomorphology (Aryal et al., 2002, 2003; Paniconi et al., 2003). They occur predominantly in wet conditions, even though some of them may remain active during extended dry periods due to steady groundwater inputs (Ala-aho et al., 2017). An important scientific challenge remains to represent such key processes involved at the surface-subsurface interface both at the catchment and the regional scales and quantify their relative contribution (Blöschl et al., 2019).

While global scale climate and land surface models give the major trends of atmospheric and surface processes, they cannot go down to the hillslope scale (Fan et al., 2019; Xiao et al., 2019).

We therefore aim at studying the impact of these hillslope processes on streamflow dynamics by modeling two pilot catchments in Brittany (France) where poorly permeable and shallow aquifers within low-relief landscapes lead to the emergence of dense networks of streams and wetlands well connected to the groundwater system (Dewandel et al., 2021; Franks et al., 1998; Gascuel-Odoux et al., 2010). We use stream discharge time series to inform the hillslope model designed to capture the combination of processes and quantify their relative contributions. We consider an equivalent hillslope model to quantify the temporal dynamics of surface-subsurface interactions. The assumption of considering hillslope as the representative elementary scale to describe the dominant processes is supported by the strong hillslope organization of groundwater and seepage flow typical of lowland crystalline basement regions (Fan et al., 2019; Kolbe et al., 2016; Loritz et al., 2017). With this approach, we also aim at identifying the firstorder geomorphological and geological controls on streamflow dynamics. A better understanding of the processes and their relative contributions to the temporal dynamics of streamflow is essential for the management of water resources, which, to date, mostly relies on empirical data, sparse observations and simplified models to predict catchment-scale responses to climate forcing (Fangmann and Haberlandt, 2019). The need for accurate predictions is even reinforced in the perspective of global changes (Famiglietti, 2014). The parsimonious approach proposed in this study seeks to advance the incorporation of process-based predictions of surface-groundwater interactions to move toward efficient management of water resources.

#### 2. STUDY SITES AND MODELING FRAMEWORK

#### 2.1. Study sites description

We investigated the relative importance of base flow and seepage flow on the two Arguenon and Nançon headwater catchments located in the Brittany region (Fig. 1). Both catchments have been previously monitored for freshwater supply (Dewandel et al., 2021) and for water quality issues linked to high nitrate inputs for agriculture (Dupas et al., 2020; Widory et al., 2004). They are subject to the same temperate oceanic climate typical of the north-western regions of France. Hydrometeorological data, i.e. precipitation, evapotranspiration, infiltration and surface runoff - were extracted from the SAFRAN reanalysis and ISBA land surface model (Habets et al., 1999; Noilhan and Planton, 1989) available in the SURFEX modeling platform developed by the French meteorological service Météo-France on an 8 km by 8 km grid (Le Moigne, 2009; Masson et al., 2013). Climatic conditions are similar for both catchments with an average annual precipitation of about 850 mm/yr. On average 550 mm/yr returns to the atmosphere through evapotranspiration, 65 mm/yr runs off directly to the river network due to infiltration excess capacity and 235 mm/yr infiltrates and constitutes the aquifer recharge. Both catchments have similar morphologies with catchment areas of 104 km<sup>2</sup> and 67 km<sup>2</sup> for the Arguenon and Nançon, average slopes of 3-4% and divergent shapes, as typically encountered in Armorican landscapes (Crave and Davy, 1997; Lague et al., 2000). They differ by their drainage density with higher values for the Nançon (1.5 km<sup>-1</sup>) compared to the Arguenon (0.67 km<sup>-1</sup>) and by their average hillslope length of about 1000 m for the Nançon and about 2250 m for the Arguenon. The Arguenon also has less wetlands (5% of the surface compared to 9% for the Nançon) according to a field survey from the "water development and management plan" authorities.

Streamflow data are made available by the Regional Department for the Environment, Development and Housing (DREAL) at a daily time-step at the catchment outlets and are compiled in the national hydroportail database from 1970 to 2012 for the two catchments studied (http://www.hydro.eaufrance.fr/). Neither of the catchments is influenced by strong anthropogenic influences like dams or intensive pumping. Their annual water balances are similar as shown by their respective average specific discharge of 0.25 m/yr and 0.29 m/yr for the period 1970-2012. Their maximum peak specific discharges over the 42 years are of the order of 10<sup>-3</sup> m/d. They differ by more pronounced low flows of 10<sup>-5</sup> m/d for the Arguenon compared to 10<sup>-4</sup> m/d for the Nançon. Such differences are classical in the Brittany region suggesting the potential influence of geological settings as previously suggested (Mougin et al., 2008). Both catchments are located in crystalline areas where the primary hydrodynamic properties coming from metamorphic and granitic rocks are known to be low (Gleeson et al., 2011b, 2014). They differ by their lithologies. The Nançon catchment is principally covered by granitic rocks, mainly granodiorite while the Arguenon is composed of around 25% of granitic rocks (granodiorite) and 75% of metamorphic rocks, mainly Brioverian schists and shales (Fig. 1). Secondary processes resulting from phases of erosion, tectonic activities and weathering have modified permeability and connectivity at the catchment scale (Ballèvre et al., 2009; Bonnet et al., 2000; Guillocheau et al., 2003), enhancing groundwater storage and flow as typically encountered in shallow subsurface aguifers (St. Clair et al., 2015; Taylor and Howard, 2000; Wyns et al., 2004). The structure of the aguifer system is described as stratiform composed from top to bottom of a capacitive saprolite layer, a transmissive fissured horizon and a poorly permeable fresh basement except for large tectonic fractures (Acworth, 1987; Dewandel et al., 2006; Roques et al., 2014, 2016; Taylor and Howard, 2000; Wright and Burgess, 1992).

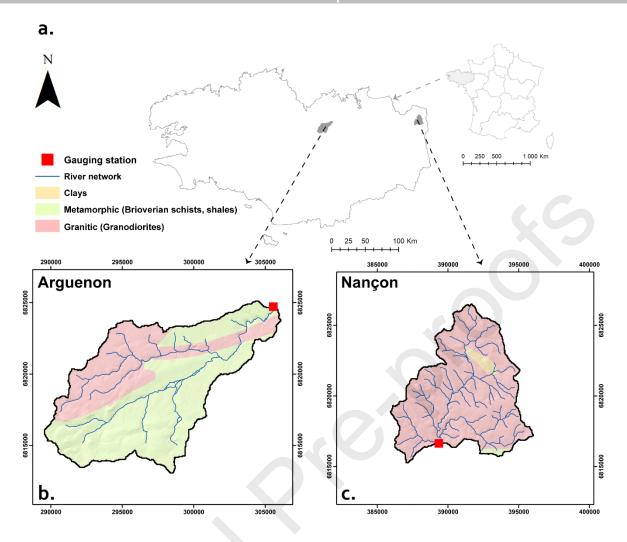


Fig. 1. Location and simplified geological map of the two headwater catchments Arguenon and Nançon. The red squares identify the locations of the gauging stations where discharge was measured.

# 2.2. Hydrological modeling scheme

#### 2.2.1. Hydrometeorological model SURFEX

We use the land surface model SURFEX for the infiltration and surface runoff handled at a 8 km by 8 km resolution as forcing terms to the essential sub-grid hydrological processes modeled in this study (Fig. 2a) (Le Moigne et al., 2020; Masson et al., 2013; Vergnes et al., 2020a).

SURFEX is a modeling platform solving the water and energy budgets with the ISBA soil-biosphere-atmosphere model forced by the atmospheric inputs of the SAFRAN meteorological reanalysis (Durand et al., 1993; Quintana-Seguí et al., 2008; Vidal et al., 2010). SURFEX computes the partitioning of precipitations between evapotranspiration, surface runoff, and soil drainage considered as infiltration and thus as the aquifer recharge in this study (Decharme and Douville, 2006; Habets et al., 1999). The surface runoff is routed directly to the stream network through an offline coupling and added to the groundwater flows (Fig. 2b) computed from the implemented model (Caballero et al., 2007; Habets et al., 2008; Vergnes et al., 2020a). Note that we normalize the infiltration and surface runoff terms by the observed discharge to equilibrate the water balance (normalization factors of 1.24 for Arguenon and 0.94 for Nançon).

SAFRAN is an atmospheric analysis that was first devoted to the Alps mountain (Durand et al., 1993) and then extended to France (Quintana-Seguí et al., 2008) and is available for more than 60 years (Le Moigne et al., 2020; Vidal et al., 2010). SAFRAN associates in-situ data with atmospheric reanalysis to provide all the surface atmospheric variables (solid and liquid precipitations, 2m temperature and humidity, 10m wind speed, direct and diffuse solar radiation, atmospheric radiation). The ability of the SAFRAN analysis to reproduce the in-situ data was assessed (Quintana-Seguí et al., 2008; Vidal et al., 2010) with especially good results on temperature and precipitation, and outperforms other existing atmospheric analyses (Raimonet et al., 2017). The quality of the SAFRAN analysis was part of the choice of the Rhone basin for the GEWEW experiment devoted to aggregation (Boone et al., 2004). The land surface scheme Interactions between Soil, Biosphere, and Atmosphere model (ISBA) is devoted to estimate the surface fluxes for weather numerical model, and thus, a special attention was paid to the assessment of the evapotranspiration, using either direct in situ data (Decharme et al., 2019; Habets et al., 1999; Napoly et al., 2017) or water balance approaches (Boone et al.,

2004; Habets et al., 1999; Le Moigne et al., 2020). The ECOCLIMAP database provides physical parameters of the soil and vegetation at a resolution of 1 km by 1 km (Faroux et al., 2013; Masson et al., 2003).

In this study, the soil and vegetation parameters are averaged at the same scale than SAFRAN, so that a single estimation of the evapotranspiration is provided at that scale. However, it is known that some variations of the evapotranspiration flux can occur at finer spatial scale, due to land use contrast, especially hedgerow that used to be common in Brittany, and topography that affect soil moisture accumulation and connection with the groundwater (Thomas et al., 2012). It is a recurrent issue in hydrology that the characteristic scale of the climate forcings may be different from that of the processes and must involve sub-grid models. This is for example the case for mountain catchments where variations in topography on a scale of 100 meters must be taken into account to represent orographic effects, snow cover (Giorgi et al., 2003; Lafaysse et al., 2011), their consequences on the triggering of floods (Vincendon et al., 2010; Yu and Lane, 2011) and on the monitoring of groundwater resources (He et al., 2016; Henriksen et al., 2003; Vergnes et al., 2020b, 2014). Similarly, the hydrological dynamics of the Arguenon and Nançon requires the implementation of a sub-grid model that represents the saturation dynamics of the hillslope linked to the relative alternance between the base flow, seepage flow and overland flow, itself conditioned by the geomorphological and geological structures of the hillslope. This is precisely what we achieve in this study by combining the quality of SURFEX reanalyses for precipitation and evapotranspiration at the 8 km scale and the need to represent hillslope hydrological processes at a much finer scale to capture the distribution of fluxes between its different surface and subsurface components.

#### 2.2.2. Hillslope morphology

The catchment area and stream network are defined from the location of the gauging station using the French Digital Elevation Model (DEM) BD ALTI® at a resolution of 25 m (https://geoservices.ign.fr/bdalti). We applied a surface flow accumulation routine to define the stream network and checked its accuracy with available 1:25000 maps from the national geographical institute (https://www.geoportail.gouv.fr). We assume that the hydrogeological catchment boundaries are similar to the one of the topographical one. This assumption is justified by the relatively low topographical gradients, the short hillslope lengths (1500 m) and the limited thickness of the aquifer on the order of 30 m (Gburek and Folmar, 1999; Kolbe et al., 2016; Roques et al., 2014).

From the DEM, we first compute the geometry of an equivalent hillslope at a resolution of 25 m for each of the catchments studied using standard DEM analysis methods (Bogaart and Troch, 2006; Schwanghart and Kuhn, 2010; Troch et al., 2003). The equivalent hillslope is defined by integrating the catchment properties on iso-distances to the streams. It is defined by its width profile  $\omega(x)$  [m] and its topographical slope  $\theta(x)$  [-] as functions of the iso-distance to the river x [m] (Fig. 2c,d) (Fan and Bras, 1998; Marçais et al., 2017). The equivalent hillslope is a simplified representation of the catchment integrating some of the main geomorphological characteristics of the catchment including the mean hillslope length, its planform shape (converging/diverging) and the overall evolution of its slope from the river to the water divide.

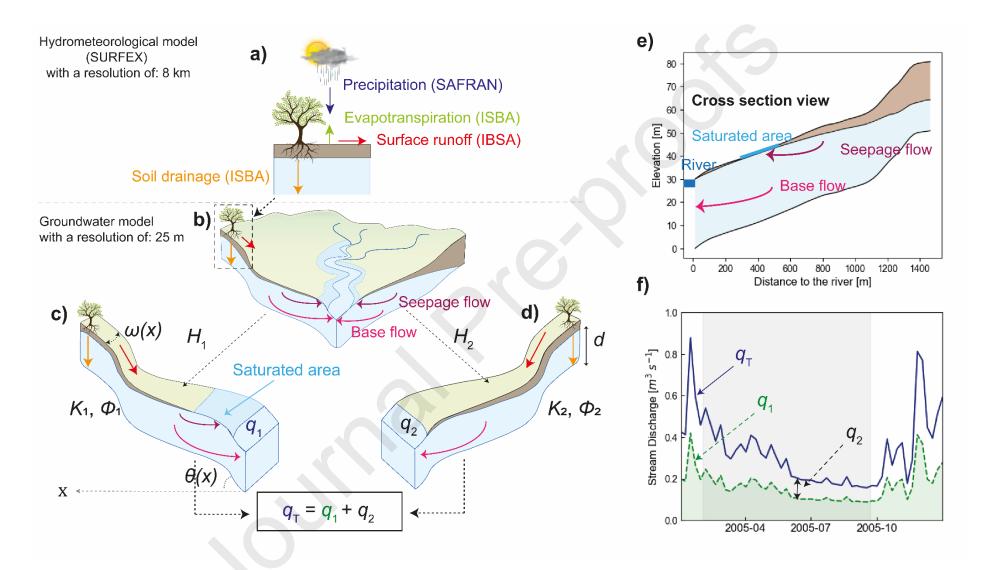


Fig. 2. Conceptual representation of the hydrological modeling scheme. a) The SURFEX hydrometeorological model partitions precipitation from SAFRAN reanalysis into surface runoff and infiltration at a resolution of 8 km. b) The groundwater model simulates the water table evolution and the hydrological sub-grid processes at a resolution of 25 m using the infiltration as input. When the water table reaches the surface, the generated seepage flow is added to the slow base flow and to the fast surface runoff routed directly to the river to yield the river discharge. c, d) The equivalent hillslope is duplicated in 2 equivalent hillslopes having the same morphology and hydroclimatic inputs but differing effective hydraulic properties to represent the spatial and temporal variations of the seepage flow and base flow. The two hillslopes sketch, respectively, a lower conductive hillslope  $H_1$  (c) leading to a fast response with high seepage flows and a slow base flow ( $H_1$  discharge  $q_1$ ) and a higher conductive hillslope  $H_2$  (d) with extended contribution after recharge periods ( $H_2$  discharge  $q_2$ ). e) Elevation profile of the equivalent hillslope as a function of the distance to the river at which processes are modeled. f) Hillslope contributions are summed to get the catchment scale discharge.

#### 2.2.3. Equivalent hillslope Boussinesq model for groundwater flows

Base flow is modeled at the hillslope scale assuming that flows can be vertically integrated leading to the equation of Boussinesq (Boussinesq, 1877). It eventually relates the local discharge to the spatial and temporal change in storage (Hilberts et al., 2004; Paniconi et al., 2003; Troch et al., 2003). Interception of the water table with the surface is introduced by thresholding the storage capacity (Marçais et al., 2017). When the water table intersects the surface, the flow in excess is returned to the surface as seepage flow (Fig. 2e).

#### 2.2.4. Effective parameterization

To represent the spatial variability in a parsimonious way, we duplicated the equivalent hillslope in two equivalent hillslopes  $H_1$  and  $H_2$ . Both equivalent hillslopes have the same morphologic profiles and hydroclimatic inputs but different effective hydraulic conductivities K [m/s] and porosities  $\phi$  [-] (Fig. 2c,d). Effective hydraulic properties are considered as a bulk representation of the distribution of hydraulic conductivity and porosity at the hillslope scale. It upscales the local heterogeneity arising from the presence of different lithologies, fractures, geological discontinuities and geometry of the weathering profile. We set a constant aquifer thickness d [m] of 30 m on both hillslopes in agreement with the observed thickness of shallow weathered/fractured compartment on the two pilot catchments (Mougin et al., 2008). This constant aquifer thickness is consistent with the general conceptual model of stratiform crystalline aquifers, whose thicknesses of the main transmissive aquifer are commonly estimated to be between 10 m and 40 m (Mougin et al., 2008). Hence the effective representation of the hillslope assumed a constant thickness of the conductive zone. Note that the 30 m thickness is larger by a factor of 10 than the annual water table fluctuation observed in the region (Dewandel et al., 2021; Kolbe et al., 2016; Mougin et al., 2008). Further results will be presented using the maximal water height defined as the product of the porosity with the aquifer thickness. We force the two equivalent hillslopes to contribute, on average, equally to the observed discharge by attributing the same representative area to each of them. Instantaneous contributions can still be highly different. We assumed that the surface runoff is uniformly distributed along the two equivalent hillslopes and add it to the hillslope flows  $Q_1$  [m/d] and  $Q_2$  [m/d] (Fig. 2f). Calibration of the groundwater model to the discharge data provides two sets of hydraulic conductivities and porosities.

#### 2.3. Numerical methods

Uppermost and lowermost boundary conditions are taken as no-flows and fixed storage downstream to ensure a constant connection with the river. The resulting equations discretized with the mixed-element scheme leads to a differential-algebraic system of equations solved with the backward differentiation method implemented in the sundials software suite (Reynolds et al., 2019). All details can be found in Marçais et al. (2017).

In the relatively humid conditions considered in this study, the stream will be assumed to be always gaining and never losing. This agrees with local observations on the Nançon watershed made in summer 2017 (low water level conditions) by Dewandel et al. (2021) showing piezometric levels remaining above the stream elevation.

Effective hydraulic conductivities and porosities are calibrated by minimizing the error between observed and modeled discharge timeseries averaged at the weekly time scale. The weekly time scale has been chosen to highlight the processes related to the subsurface and filter the rapid processes occurring in the river. The approach is straightforwardly configured by the DEM analysis and enables a fast exploration of the parameter space for calibration, while also keeping a physical description of the base flow, of the seepage flow and of the variability of the saturated areas (Marçais et al., 2017). Calibration is performed by a regular logarithmic parameter space exploration from 10<sup>-8</sup> to 10<sup>-2</sup> m/s for the hydraulic conductivity based on previous studies on crystalline basement catchments in Brittany (Clément et al., 2003; Dewandel et al., 2021; Kolbe et al., 2016; Le Borgne et al., 2004; Martin et al., 2006; Roques et al., 2014). Porosity is explored in the range of 0.1% (Singhal and Gupta, 2010) to 50% (Kovács, 2011; Wright and Burgess, 1992). In the cases handled below, a resolution of 50 values for each of the parameters has been

found to be adequate. Finer resolutions tested on preliminary simulations led to the same conclusions.

Parameter space exploration has been optimized by performing the simulations first considering only one equivalent hillslope providing 2500 (50<sup>2</sup>) aggregated simulations. Then, we aggregate again the simulations with their duplicates for the two-equivalent hillslope model, resulting in 6250000 (2500<sup>2</sup>) simulations. Calibration is performed with two selection criteria described below:

- The first criterion refers to the presence of a saturated area developing uphill of the stream during the wet period as reported by previous works (Dewandel et al., 2021). Indeed, it is observed that, the relatively wet conditions of Brittany extend the saturated area to the side of the river during the recharge period. Assuming that the saturated area is not limited to the river is a critical point of the modeling approach to ensure representation of both seepage and base flows. Any model that would not comply with this criterion is excluded. It is especially the case of highly diffusive models for which the water table of the aquifer would remain all year much below the surface. Although defined as a binary selection criterion, i.e. of presence or absence of saturated area, we will see that it is a key constrain to reduce model uncertainty and describe the major processes involved.
- The Second model selection criterion is related to the estimation of the catchment discharge. The Nash Sutcliffe Efficiency index (*Nash*) is taken as the optimization criterion (Nash and Sutcliffe, 1970). It is computed over the logarithm of the discharge data to equilibrate the relative importance of the low and high flows in the calibration process. Full agreement is obtained for a value of the *Nash-log* coefficient of 1. Models can be considered acceptable for Nash-log values larger than 0.65 and good when higher than 0.8 (Ritter and Muñoz-Carpena, 2013). Simulations were selected when their corresponding *Nash-log* value were

at least equal to 97.5% of the maximum *Nash-log* values obtained over the whole parameter exploration space.

#### 3. RESULTS

#### 3.1. Model performances

Models on both catchments were calibrated using the systematic exploration method described in section 2.3. Simulations were performed with the infiltration forcing term extracted from SURFEX (Fig. 3a,b). The calibrated models provide accurate representation of streamflow dynamics for both Arguenon and Nançon catchments (Fig. 3c,d). The modeled discharge (blue line) follows closely the observed discharge (red line) over the three year period displayed here (2004-2006) as well as for the full time series 1970-2012 (Figs. A.1-2-3). According to the two selection criteria given in section 2.3, 15845 and 10673 models out of the 6250000 models were retained. It represents around 0.2% of the total model explored with mean *Nash-log* values of 0.83 and 0.78 for the Arguenon and Nançon, respectively (Table 1). Despite their relatively large numbers, the calibrated models share the same trends as shown by their limited variability (cyan area on Fig. 3c,d).

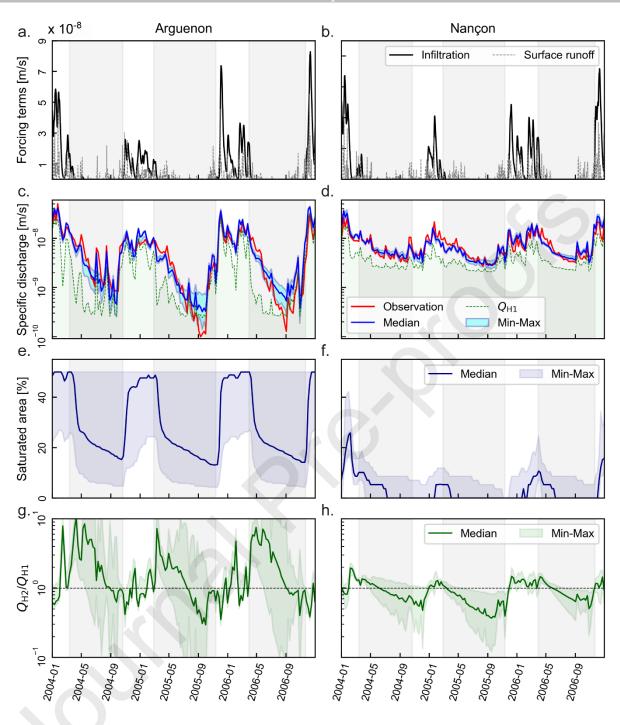


Fig. 3. Results and performances of the calibrated models for the 2004-2006 period. Results over the whole 1970-2012 period are given in Figs. A.1-2. Left and right columns represent respectively the results for the Arguenon and Nançon catchments. The successive grey and white bands underline the overall recession period from April to October and recharge period from November to March. a) and b) show the infiltration timeseries and the surface runoff given by the SURFEX hydrometeorological model. Precipitation and evapotranspiration timeseries are given in Appendix (Fig. A.4.) c) and d) display observed (red) and modeled (blue and green) specific discharge timeseries. Green curves represent the median discharge from the selected  $H_1$  hillslope models, i.e. the hillslope with the lower hydraulic conductivity. The blue lines and cyan areas represent the cumulated modeled discharge and its variability for the two equivalent hillslopes  $H_1$  and  $H_2$ . The difference between the blue and green curves illustrates the contribution of the less saturated hillslope  $H_2$ . e) and f) display the evolution of the median catchment saturated area among models (navy blue line) and its variability (navy blue area). g) and h) show the ratio of discharge between the two hillslopes  $Q_{H2}/Q_{H1}$ . The horizontal dashed line underlines the value of 1 above which discharge is dominantly controlled by the hillslope  $H_2$  and below which it is dominated by  $H_1$ .

A detailed inspection of the discharge time series shows that the representation of both low and high flow dynamics are accurate without showing any systematic deviation. For the higher flows, the good model performances come from the quality of the reanalysis provided by the land-surface model SURFEX and by the ability of the groundwater model to partition the recharge flux to a part quickly routed to the river as seepage flow, and another part, much slower, transferred by base flow with a sub-grid discretization at a resolution of 25 m. The proportion of the discharge that is quickly routed to the river is conditioned by the extension of the saturated area. Larger saturated areas lead to more intense seepage flows during

precipitation events. It speeds up the response of the catchment and produces the rapid variations of the observed discharge. The modeled saturated area can go up to 50% of the total catchment surface for the Arguenon (Fig. 3e). It rapidly expands at the beginning of the wet season when the intensification of precipitations and the decrease of evapotranspiration enhance infiltration and groundwater storage. For the Nançon, the modeled saturated area displays lower values with less variability, in agreement with the high piezometric values only close to the streams (Fig. 3f). The limited extent of the modeled saturated area suggests higher infiltration rates and, in turn, higher transient storage with more resilient contribution to discharge during the recession period (Fig. 3d).

The higher buffering capacity of the Nançon is confirmed by the relative contributions of the two hillslopes  $H_1$  and  $H_2$  (Fig. 3e,f). For the Arguenon catchment,  $H_1$  shows higher contributions to the total discharge during the high flow period (white bands) as shown by a predominance of  $Q_{H1}$  over  $Q_{H2}$  ( $Q_{H2}/Q_{H1}$ <1, Fig. 3g). An important proportion of the infiltration input is directly intercepted by the extended saturated area (Fig. 3e).  $Q_{H2}/Q_{H1}$  widely varies between 0.3 and 10. For the Nançon catchment, contributions of the two hillslopes appear to be more equilibrated with  $Q_{H2}/Q_{H1}$  only varying between 0.4 and 2 (Fig. 3h). The larger buffering capacities of the Nançon are directly related to smaller differences between the contributions of quick and slow hillslopes  $H_1$  and  $H_2$  and by lower heterogeneities as will be further investigated in the next section.

By the end of the recession, the contribution of  $H_1$  becomes larger because  $H_2$ , with its higher diffusivity, emptied faster leading eventually to lower contributions. In some sense, the contributions of the two hillslopes tend to equilibrate in the low flow period when the less conductive hillslope compensates the lack of recharge by a slower discharge. Low flows thus appear to be a non-obvious combination of the contributions of the two hillslopes. It is not a

simple dominant contribution of one of the hillslopes nor a simple succession of contributions of the two hillslopes. It is a more intricate combination of the two hillslopes, the less diffusive hillslope catching up the more conductive one by the end of the low flow period. It also explains how the two-equivalent hillslope models can comply with a much larger diversity of low flow signals. It should eventually be noted that the combination of the hillslope contributions would be difficult to catch analytically as it does not only depend on their diffusivities but also on their initial storage, itself determined by the share between the recharge and the overland flow during the wet season.

#### 3.2. Model parameters

Estimated hydraulic conductivities  $K_I$  and  $K_2$  from selected models cover five orders of magnitude between  $2.8 \times 10^{-8}$  m/s (Log  $K_1 = -7.56$ ) and  $2.8 \times 10^{-3}$  m/s (Log  $K_2 = -2.55$ ) (Table 1). They span the full range of values explored by the model (set to  $10^{-8} \cdot 10^{-2}$  m/s). For both Arguenon and Nançon, the two hillslopes  $H_I$  and  $H_2$  display quite different properties. The hydraulic conductivity and maximal water height of  $H_I$  are better defined than the ones of  $H_2$ .  $K_I$  and  $\phi_I$  d vary by factors of 21 and 11, respectively, while  $K_2$  and  $\phi_2$  d range by factors of 100 and 50.  $K_2$  and  $\phi_2$  d are poorly defined but the diffusivity  $K_2 d/\phi_2$  is well constrained and only ranges by a factor of 4 at most. The less pervious hillslope ( $H_I$ ) has a well-defined hydraulic conductivity while the more pervious hillslope ( $H_2$ ) is characterized by a well-defined diffusivity. We investigate more closely the specific hydraulic behaviors of  $H_I$  and  $H_2$ .

Table 1. Calibrated parameters and model performance

	Arguenon			Nançon		
	min	mean	max	min	mean	max
$Log K_1$	-7.56	-6.89	-6.23	-5.72	-5.66	-5.62
$\phi_1 d$ [m]	1.3	3.7	8.9	1.3	6.8	15.0
Log K <sub>2</sub>	-4.29	-2.80	-2.55	-5.21	-3.98	-3.37
$\phi_2 d$ [m]	0.3	6.8	15.0	0.6	7.8	15.0
$Log K_1 d/\phi_1$	-5.5	-4.32	-3.37	-3.94	-3.42	-2.76
$Log K_2 d/\phi_2$	-0.86	-0.67	-0.44	-2.25	-1.92	-1.59
$Log K_2/K_1$	2.24	4.28	5.0	0.41	1.68	2.24

We identify the hydraulic behaviors of  $H_1$  and  $H_2$  considering the mean saturated area. Fig. 4 shows phase diagrams of the hydraulic conductivity K on the x-axis along with the maximum water height  $\phi$  d on the y-axis. Blue and red dots identify the calibrated hydraulic parameters of  $H_1$  and  $H_2$ , respectively. The mean saturated area is represented by the background color map. Fig. 4 shows the restricted range of hydraulic conductivities  $K_1$  and the broader range of maximum water height  $\phi_1 d$ .  $K_1$  sets the location of the water table for an average steady state recharge.  $K_1$  thus primarily determines the proximity of the aquifer to the surface and its ability to overflow along the hillslope as seepage flow. By comparison,  $\phi_1 d$  only modulates the temporal variations of the storage and saturated area (Fig. 4a). For the Nançon, the mean saturated area in fact does not depend on the maximal water height. It only evolves with the hydraulic conductivity and remains limited to a range of 5% to 20% (Fig. 4b). As no constraint was given a priori on the range of saturated area beyond the requirement of its existence, the correlation between the calibrated hydraulic conductivity and the intermediary saturation values is a genuine property of the model in cases where overland flows remain limited like for the Nançon. For the more variable overland flows displayed by the Arguenon catchment, the porosity  $\phi_I$  also intervenes through its influence on the range of variations of the hydraulic head and of the relative extension of the saturated area between the wetter and dryer seasons (Fig. 4a). For both catchments, the hydraulic behavior of  $H_1$  adds up the two processes of a continuous base flow limited by a small conductivity and an intermittent seepage flow dominantly determined by the hydraulic conductivity and secondarily influenced by the porosity. The hillslope  $H_2$  has a simpler behavior without any saturated area and thus with base flow as the only transfer process confirming the previously discussed control by the diffusivity  $K_2 d/\phi_2$ .

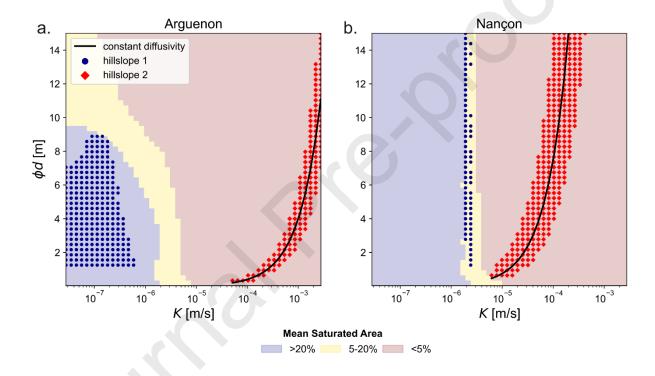


Fig. 4. Calibrated parameters of  $H_1$  and  $H_2$  marked by the blue and red dots respectively on top of the mean percentage of saturated area for a single hillslope (colormap) for the Arguenon (a) and Nançon (b). The black curve represents the mean constant diffusivity of the calibrated  $H_2$  models (Table 1).

The contrast of hydraulic conductivities  $K_2/K_1$  between the two hillslopes ranges between 0.4 and 2.2 orders of magnitude for the Nançon and between 2.2 and 5 orders of magnitude for the

Arguenon (*Table 1, Fig. A.5*). Even the smallest ratios remain significantly larger than 1 (around 3 and 100, respectively) showing that models with a single effective hillslope would result in larger discrepancies to the observed discharge. This is confirmed by the comparison of the seasonal variations of discharge obtained for the calibrated two-hillslope models and the best single hillslope model (Fig. 5). The single-hillslope model fails to capture both the amplitude and the temporality of the discharge data. Even more critically, the seasonal low flows are systematically overestimated by a factor of 2 for the Arguenon and by 30% for the Nançon. This would lead to significant bias in the assessment of the resources under current climates and, likely even more, under future climates. Heterogeneity in the catchment represented by the difference of the two hillslopes thus appears to be not only sufficient but also necessary to recover the main characteristics of the discharge data.

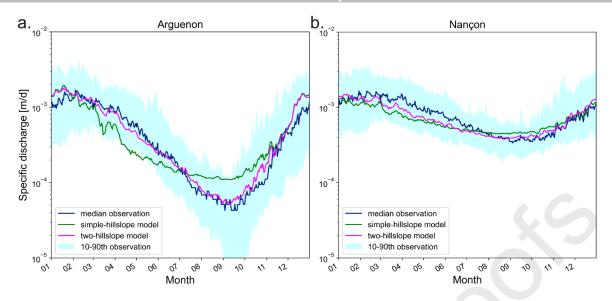


Fig. 5. Seasonal variations of specific discharge obtained by taking the mean over the 1970-2012 period for the median two-hillslope and best single-hillslope models for the Arguenon (a) and Nançon (b). Blue curve and cyan areas represent the median and the 10-90<sup>th</sup> quantile of observed discharge.

#### 4. DISCUSSION

The transfer of water at the catchment scale is driven by processes operating at variable spatial and temporal scales. Such variability is highly dependent on the interaction between the base flow, the development of a saturated area at the surface and the intermittency of seepage flow. Our model calibration performed on observed discharge time series has shown that sharp contrasts in hydraulic conductivities between the two equivalent hillslopes ( $H_1$  and  $H_2$ ) were required to ensure accurate predictions over the full spectrum of timescales, with at least a factor of 3, and, on average, 3 orders of magnitude, between  $K_1$  and  $K_2$ . We successively discuss:1) the consistency of these results with the available geological and hydrological information; 2) the emerging characteristics of the observed and modeled hydrological behaviors; 3) the

consequences on the interpretation of discharge data; and 4) the perspectives for the characterization and modeling of groundwater – surface water interactions in shallow aquifers.

# 4.1.Results consistency with the available geological and hydrological information

The degree of hydraulic heterogeneity emerging from the model is consistent with the differences in lithological units present in the catchment (Fig. 1). The hydraulic conductivities ratios inferred for the Arguenon catchment are by far larger than for the Nançon (Table 1, Fig. A.5). The Arguenon appears to be more heterogeneous than the Nançon. It is consistent with the geological map (Fig. 1). The Arguenon is characterized by a more heterogeneous geology, with the presence of two distinct lithologies, i.e., Brioverian schists (and shales) and granodiorite covering, respectively, 70% and 30% of the drainage area. The Nançon has mostly a homogeneous lithology, with the dominance of granodiorites.

A detailed analysis of the calibrated parameters shows that the maximum values of hydraulic conductivities  $K_I$  stand in close range for both catchments, which might be characteristic of the common granodiorite lithology. The estimated hydraulic conductivities are effective properties of the hillslopes. They upscale the lithology and the local heterogeneities arising from fractures, weathering and erosion structures. For the Nançon, the values of  $K_I$  (1.9x10<sup>-6</sup> m/s to 2.4x10<sup>-6</sup> m/s) are consistent with estimates from a well test analysis (mean K = 5.5x10<sup>-6</sup> m/s) and piezometric inversion (mean K = 3.9x10<sup>-6</sup> m/s) performed on the same catchment in (Dewandel et al., 2021). These values also agree with estimates from well tests in other granitoid formations of the Armorican massif (Clément et al., 2003; Le Borgne et al., 2004; Martin et al., 2006; Mougin et al., 2008, 2016). For the Arguenon, the lowest values of  $K_I$  might be linked to the properties of the metamorphic lithology (Brioverian schists and shales). We

hypothesize that such characteristics arise from differences in mechanical and rock texture properties, providing higher potential for fracturing and weathering to granites and enhancing their bulk permeability (Riebe et al., 2017; Worthington et al., 2016).

Overall, these results suggest that lithological information might be at least partially correlated with the estimated effective hydraulic properties. Previous studies have explored the control of geology on hydrological behaviors, revealing strong differences among classes of lithologies categorized as crystalline, carbonates, fine and coarse sedimentary rock (e.g. Carlier et al., 2018; Floriancic et al., 2022; Gleeson et al., 2011b). In the present case, the region is mostly composed of crystalline aquifer with only a few percent of the area covered by sedimentary aquifers. The correlation between lithology and effective hydraulic properties might however be only partial, since permeability may be enhanced by secondary fracturing, weathering and erosion processes, which are highly variable across bedrock regions because of tectonic, paleoclimate and geomorphological evolutions (Acworth, 1987; Boutt et al., 2010; Dewandel et al., 2006; Krasny, 2002; Lachassagne et al., 2021; Riebe et al., 2017; Wyns et al., 2004). Aquifers in crystalline rocks are characterized by strong spatial and vertical heterogeneities even within a single lithology (Courtois et al., 2010; Dewandel et al., 2021; Gleeson et al., 2009; Lachassagne et al., 2021; Le Borgne et al., 2004). Such heterogeneities often limit simple upscaling of locally determined hydrodynamic parameters through well tests (Clauser, 1992) and motivate the development and deployment of larger scale assessment of effective hydraulic properties. At the catchment scale, while weathering profiles may be visible in some parts, it can as well be removed by erosion. In that case, the transfer of water would be controlled by the underlying fractured bedrock resulting in different dynamic and hydrological behaviors (Dewandel et al., 2021; Kolbe et al., 2016; Mougin et al., 2008). As shown by the quality of the model results at the hillslope scale, effective parameters integrating those local heterogeneities

can effectively represent the observed hydraulic behaviour. Similar conclusions have been drawn from other studies and geological settings. In sandy and clayey loams, hydraulic properties evaluated from stream discharge time series lead to heterogeneous estimates for close lithologies (Matonse and Kroll, 2009). Regional scale analyses should be performed to confirm the informational content of the geological map on the hillslope- to catchment-scales hydrogeological characteristics.

## 4.2. Emerging diversity of hydrological behaviors

From the analysis of the hydrographs, both catchments display highly differing hydrological behaviors. Our modeling approach enables us to describe the relative importance of:1) base flow; 2) saturated area development; and 3) seepage flow in controlling this difference.

- 1) In the case of the Arguenon, the catchment responds with high variability in specific discharge spanning over more than 2 orders of magnitude. High flows are well predicted by rapid transfers over the persistent saturated area of  $H_1$  as seepage flow. The falling limb of the hydrograph after the recharge season is well captured by the second hillslope  $H_2$ . Its high diffusivity ensures the observed rapid drainage of the aquifer. At the end of the wet season, the hillslope  $H_1$  has been marginally recharged and the hillslope  $H_2$  is quickly discharging. Consequently, groundwater discharge to the river decreases sharply and remains limited to the small contribution of  $H_1$ , suggesting a low resilience of the groundwater resource.
- 2) In the case of the Nançon, the specific discharge is less variable, ranging over less than an order of magnitude between the recharge and recession seasons (Fig. 5). In the recession period, streamflow is supported by the contributions of both  $H_1$  and  $H_2$  reaching typically 2/3 and 1/3 of the discharge (Fig. 3h). The low variability and streamflow dynamics of the recession periods both suggest a more resilient groundwater discharge over a long drainage period.

The difference in hydrological behavior between the two catchments shows the role of groundwater-surface water interactions and their characteristic times in shaping the catchment-scale storage-discharge responses. It confirms the significant variety of hydrological responses across catchment lithology and heterogeneity discussed by the critical zone community (Blöschl et al., 2019; Fan, 2015; Gauthier et al., 2009; Gleeson et al., 2009; Harman et al., 2009; Hartmann, 2016; Hartmann et al., 2017).

## 4.3. Consequences on the interpretation of discharge data

The combination of hydrological processes operating at various timescales conditions the interpretation of discharge hydrographs. The analysis of streamflow recessions during low flow periods is the classical methodology used to evaluate catchment to regional scale hydraulic properties (Brutsaert and Lopez, 1998; Brutsaert and Nieber, 1977; Mendoza et al., 2003; Parlange et al., 2001; Troch et al., 1993; Vannier et al., 2014; Wittenberg, 1999). It assumes a simple linear or power law relationship between the discharge and its derivative over time. It assesses the non-linearity in storage-discharge functions (Berghuijs et al., 2016; Kirchner, 2009; Tashie et al., 2020a), and the resilience of water resources to climate change (Jachens et al., 2020; Tashie et al., 2019, 2020b). It relies on the prediction of the rate of change in stream discharge as a function of the reduction of head, storage and, consequently, flow from the aquifer connected to the stream (for a review, see Troch et al., 2013).

In cases where the aquifer water table remains under close interaction with the surface, such as those investigated here, the time periods over which this condition is fulfilled are difficult to identify. For extended periods, the catchment response is a function of the groundwater system but also from the late prevalence of the saturated area (Fig. 3e,f). It reduces the duration of the exploitable discharge and limits the capacity to identify long response times. More

fundamentally, short and long response times resulting from the hydraulic heterogeneity might both intervene on related periods of time and be difficult to identify independently. It would help explain the observed range of recession timescales often reported in discharge interpretations (Harman et al., 2009; Jachens et al., 2020; Tashie et al., 2020a). By filtering the short response times, the proposed methodology provides accurate predictions for the long response times making up the main recession signal previously shown by Fig. 5. This prediction is essential for the evaluation of the resilience of water resources through classical statistical metrics like the 5 Years Mean Monthly Annual Minimum discharges (5MMAM) or the 7-days low flow's (7Q2; 7Q10) commonly used for water resources management in the drier season (Fangmann and Haberlandt, 2019; Van Loon and Laaha, 2015).

# 4.4.Perspectives for the characterization and modeling of groundwater – surface water interactions in shallow aquifers

Mechanistic approaches for resolving subsurface flows and their interactions with the surface are important to assess the vulnerability of water resources to climate change. Among increasingly available physically based integrated surface-subsurface models (Aquanty, 2016; Kollet and Maxwell, 2006; Paniconi and Putti, 2015; Sulis et al., 2010), the hillslope approach used here presents the capacity to account in an effective way for both the groundwater dynamics and their interception with the surface (Marçais et al., 2017). Like other mechanistic approaches, it separates the geological influences from the climatic influences in these conditions where the proximity of the aquifer to the surface can rapidly develop saturated area or where evaporation might be enhanced by abundant shallow subsurface water (Maxwell and Kollet, 2008). The interest of the mechanistic models is reinforced by the numerous studies that have highlighted the role of saturated areas on the hydrological behavior and especially on the recession period (Ambroise, 2016; Lana-Renault et al., 2014).

Mechanistic models require additional observations for their calibration at a regional scale. While data of hydraulic head variations are interesting, providing direct information on the aquifer location and dynamics, they are also highly sensitive to local heterogeneities, preventing their use at regional scale. Saturated areas might be more generally available at a regional scale. The rate of change in saturated area is more difficult to determine. It results both from natural and anthropic factors. On some Britain catchments, the saturated area has been reduced by the intensive agricultural drainage developed. The observed saturated area would thus be likely smaller than expected. Saturated area would require additional analysis to be interpreted not so much in terms of overall quantity, which might be biased, but more in terms of location within the catchment and any information relating the groundwater interactions of the saturated areas with the river network (Antonelli et al., 2020; Glaser et al., 2018, 2020).

## 5. CONCLUSION

We analyzed the hydrological response of two catchments of 67 km² and 104 km² using discharge data over 40 years. The temperate climate, low relief and crystalline basement favor shallow subsurface transfers and close interactions with the surface. We use a parsimonious hillslopes-scale model to investigate the spatial and temporal variability of the catchment response. We find that, for both sites, the catchment response cannot be interpreted by a single equivalent hillslope but require two equivalent hillslopes of different hydraulic properties. One of the hillslopes is characterized by a low hydraulic conductivity between 2.8x10-8 m/s and 2.4x10-6 m/s. The aquifer remains close to the surface and the water table intersects the surface in the wetter season. The saturated area recedes slowly after the main recharge period. It provides rapid saturation-excess and infiltration-excess overland flows on the surface as well as slow subsurface transfers. The other hillslope has large diffusivities between 5.6x10-3 m²/s and 3.6x10-1 m²/s. It provides intermediary response times supporting the stream discharge at

the beginning of the dryer season. Low flows are supported by a complex combination of both hillslopes depending both on their response time and on their amount of recharge in the previous wetter season. The two sites display qualitatively similar behaviors. They differ by their degree of hydraulic heterogeneities, and, in turn, by the variability of their discharge and their resilience to extended dry periods. Our results show that catchment responses involve temporally and spatially varying transfer processes well captured by parsimonious mechanistic approaches based on hillslope-scale processes. They also show that hydraulic properties remain heterogeneous at the catchment scale. Conversely, they suggest that catchment-scale hydrological responses might be used to characterize effective hydrogeological properties in regions dominated by shallow subsurface transfers. They motivate more extensive regional approaches to map hydrogeological properties of crystalline basements from a correlative analysis of discharge data and geological information. They eventually contribute to the representation of the water cycle at the hillslope scale taken as sub-grid processes of the global climate models, which give the major trends of atmospheric and surface processes but cannot go down to the more local hillslope scales.

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# **APPENDICES**

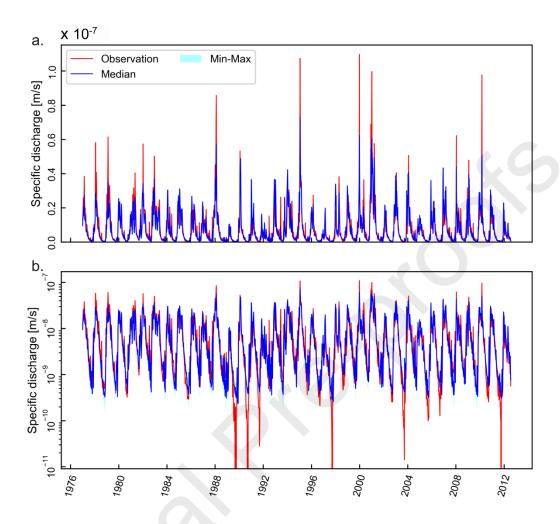


Fig. A.1. Results and performances of the calibrated models for the 1970-2012 period for the Arguenon catchment in linear scale (a) and logarithmic scale (b). Red and blue curves display observed and modeled specific discharge timeseries. Cyan area shows the variability of modeled specific discharge.

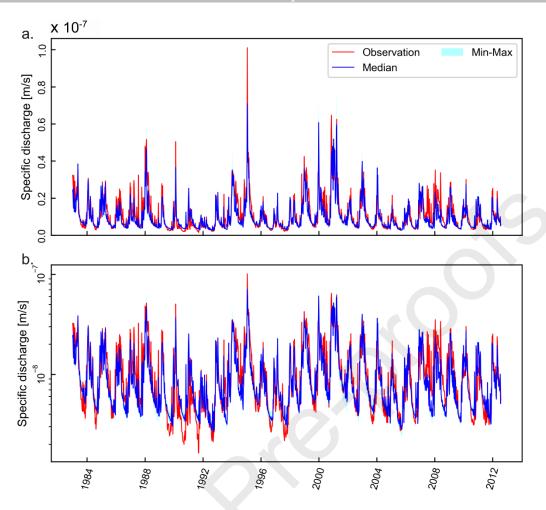


Fig. A.2. Results and performances of the calibrated models for the 1970-2012 period for the Nançon catchment in linear scale (a) and logarithmic scale (b). Red and blue curves display observed and modeled specific discharge timeseries. Cyan area shows the variability of modeled specific discharge.

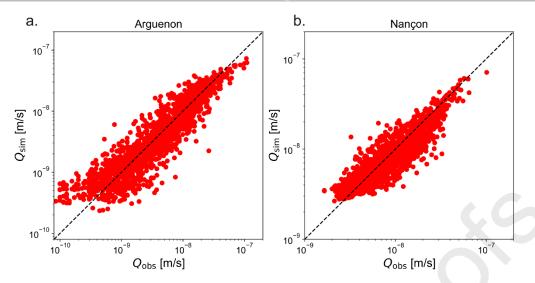


Fig. A.3. Results and performances of the calibrated models for the 1970-2012. Dark dashed curves represent a perfect fit between simulted and observed discharges ( $Q_{sim}$  and  $Q_{obs}$ ). Red points display the fit between these data at each point of the discharge timeseries.

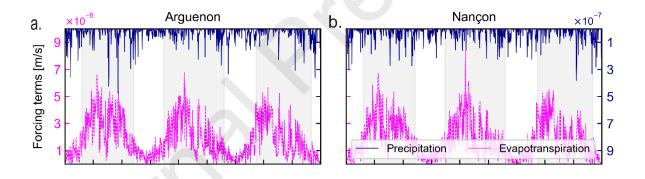


Fig. A.4. Precipitation and evapotranspiration timeseries for the 2004-2006 period. Left and right columns represent respectively the results for the Arguenon and Nançon catchments. The successive grey and white bands underline the overall recession period from April to October and recharge period from November to March. a) and b) show the precipitation timeseries and the evapotranspiration given by the SURFEX hydrometeorological model.

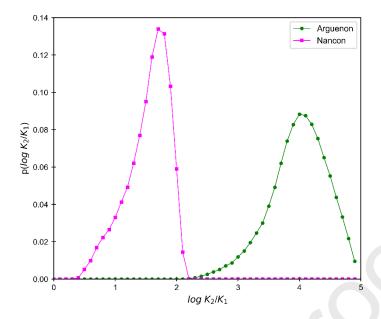


Fig. A.3. Distribution of hydraulic conductivity ratio between the two equivalent hillslopes for the Arguenon (fuchsia line) and Nançon (green line) catchments. Note that  $K_2 > K_1$  and  $log(K_2/K_1) > 0$ .

- Groundwater-surface water interactions are studied in two shallow aquifers
- A combination of hillslope processes shapes the catchment-scale streamflows.
- Low-conductive hillslopes enhance overland flows and sustain late recessions.
- High-diffusive hillslopes shape the event- and seasonal-scale recessions.
- Hydraulic properties are significantly heterogeneous at the catchment scale