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The protoplanetary disc around HD 169142: circumstellar or circumbinary?

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ABSTRACT

Stellar binaries represent a substantial fraction of stellar systems, especially among young stellar objects. Accordingly, binaries play an important role in setting the architecture of a large number of protoplanetary discs. Binaries in coplanar and polar orientations with respect to the circumbinary disc are stable configurations and could induce non-axisymmetric structures in the dust and gas distributions. In this work, we suggest that the structures shown in the central region of the protoplanetary disc HD 169142 are produced by the presence of an inner stellar binary and a circumbinary (P-type) planet. We find that a companion with a mass ratio of 0.1, semimajor axis of 9.9 au, eccentricity of 0.2, and inclination of 90°, together with a 2 M_J coplanar planet on a circular orbit at 45 au reproduce the structures at the innermost ring observed at 1.3 mm and the shape of spiral features in scattered light observations. The model predicts changes in the disc's dust structure, and star's astrometric parameters, which would allow testing its veracity by monitoring this system over the next 20 yr.

Key words: hydrodynamics – methods: numerical – planet–disc interactions – protoplanetary discs – binaries: close – stars: individual: HD 169142.

1 INTRODUCTION

One of the most outstanding features in some protoplanetary discs is the presence of a cleared-out central cavity, so-called transitional discs or TDs (Strom et al. 1989). In recent years, the dynamical origin for such central cavities of TDs has been gaining attraction (e.g. Calvet et al. 2002; Espaillat et al. 2010; Casassus 2016; Stolker et al. 2016; van der Marel et al. 2018; Price et al. 2018b; Francis & van der Marel 2020). However, the physical properties of the companions responsible for these cavities remain unconstrained by observations in all but a few cases (e.g. CoKu Tauri/4, HD 142527, and PDS 70,

Ireland & Kraus 2008; Biller et al. 2012; Lacour et al. 2016; Keppler et al. 2018; Haffert et al. 2019; Wang et al. 2020). Companions ranging from planetary to stellar mass, on circular and coplanar (e.g. van der Marel et al. 2013; Calcino et al. 2019) to inclined and elliptical orbits (e.g. Price et al. 2018b; Calcino et al. 2020; Poblete et al. 2020), have been proposed.

The spiral arms observed in the near-infrared, and structures in the thermal emission of dust grains at (sub)millimetre wavelengths among other substructures on the TDs, have been widely studied. Better understanding these substructures, through both observations and numerical modelling is necessary to assess their origin. In this context, Poblete, Cuello & Cuadra (2019) showed that the presence of dusty clumps embedded in a dust ring can provide information on the mass, inclination, and eccentricity of a companion located

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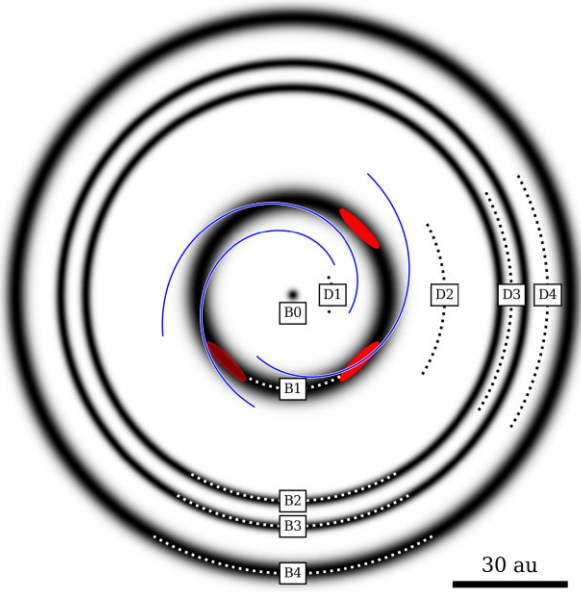


Figure 1. Schematic illustration of the structures observed in the disc of HD 169142. The disc exhibits numerous gaps or dips (D1, D2, D3, and D4), a central misaligned circumstellar disc (B0), and rings (B1, B2, B3, and B4 with centre at 26, 57, 64 and 77 au respectively). The B1 ring shows three dust clumps highlighted in red. The clumps appear to be separated by $\sim 90^\circ$ among them, with one of them less intense than the other two. Besides, three spirals (in blue) appear crossing the region defined by D1, B1, and D2.

inside the dust ring. Such clumps resemble some of the asymmetric structure seen in the protoplanetary disc around HD 169142 (Macías et al. 2017; Pérez et al. 2019), and thus they could be produced by an inner massive and undetected companion.

The star HD 169142 has been intensively studied at multiple wavelengths. At a distance of 113.6 ± 0.8 pc (Bailer-Jones et al. 2018; Gaia Collaboration et al. 2018) this F1 pre-main-sequence star (Gray et al. 2017) is estimated to have a mass of $1.65 \pm 0.5 M_\odot$ (Carney et al. 2018) and an age of 6_{-3}^{+6} Myr (Grady et al. 2007). The star is surrounded by an inner circumstellar disc with a radius of 2.2 ± 0.6 au (Pérez et al. 2019; Francis & van der Marel 2020) that is misaligned between $10\text{--}23^\circ$ with respect to an outer disc (Lazareff et al. 2017; Chen et al. 2018; Francis & van der Marel 2020). The outer disc is inclined by $\sim 12.5^\circ$ (Raman et al. 2006; Panić et al. 2008) and extends from 10 au to roughly 120 au; whilst the mm dust continuum emission extends from 26 au to roughly 90 au (Fedele et al. 2017; Macías et al. 2019; Pérez et al. 2019). The outer disc also shows several prominent features, such as a multiring structure (Quanz et al. 2013; Monnier et al. 2017; Pohl et al. 2017; Pérez et al. 2019), spirals (Bertrang et al. 2018; Ligi et al. 2018; Gratton et al. 2019), and dust clump structures at the innermost ring (Osorio et al. 2014; Macías et al. 2017, 2019; Pérez et al. 2019). Fig. 1 illustrates the disc morphology. Note that the spirals only appear after subtracting an azimuthal average or after filtering by Angular Differential Imaging. A detailed explanation of the spiral morphology is given in Section 3.1.

Mechanisms to explain disc axisymmetrical structures include photoevaporation (Alexander, Clarke & Pringle 2006; Alexander et al. 2014), dead zones (Flock et al. 2015; Pinilla et al. 2016), disc–wind interactions (Suriano et al. 2018), magneto-hydrodynamic processes (Lesur, Kunz & Fromang 2014; Riols & Lesur 2018), dust processes (Pinilla et al. 2012a, 2017; Cuello, Gonzalez &

Pignatale 2016; Gonzalez, Laibe & Maddison 2017), and planet–disc interactions (Lin & Papaloizou 1986; Pinilla, Benisty & Birnstiel 2012b; Zhu et al. 2014; Bae, Zhu & Hartmann 2017; Zhang et al. 2018). The latter is the most promising to describe the multiring structure in HD 169142. Different planetary configurations have been proposed to reproduce the observations, but in general the disc structure suggests the presence of more than one planet. For the central cavity alone (noted D1 in Fig. 1), at least one planet is required to reproduce the cavity size (Pohl et al. 2017; Bertrang et al. 2018; Toci et al. 2020). Moreover, Pohl et al. (2017) and Toci et al. (2020) modelled the gap D2 as the result of one super Jupiter planet, while Bertrang et al. (2018, 2020) modelled it with two Jupiter-like planets and an eccentric ring B1. Finally, Pérez et al. (2019) could reproduce the B2, B3, B4, D3, and D4 features just adding one mini-Neptune at the B3 location (see Fig. 1).

Although planet–disc interaction explains the radial structures in the disc, with one or more planets it is difficult to explain the misalignment of the inner disc (B0) and the azimuthal structures at the innermost ring (B1). External gas inflows are able to produce a strong misalignment adding angular momentum (Dullemond et al. 2019; Kuffmeier, Goicovic & Dullemond 2020), but there is no evidence of such inflows in HD 169142. Even though planets have been proposed as triggers of azimuthal asymmetries in the dust (e.g. see van der Marel et al. 2013; Pérez et al. 2014; Pacheco-Vázquez et al. 2016; Fuente et al. 2017), the dust structures present at the B1 ring remain challenging to explain (we discuss this point in more detail in Section 4). More recently, Bertrang et al. (2020) and Montesinos et al. (2021) proposed that the clumpiness and its apparent azimuthal rotation observed in Bertrang et al. (2018), could be due to a shadow caused by a circumplanetary disc and thermal instabilities. In addition, Toci et al. (2020) found that the inner planet could be close to the inner edge of the B1 ring due to the dynamical interaction between the inner and the outer planet, and could be interacting with the dusty disc. Nevertheless, those scenarios currently do not yet provide a compelling match to the observations.

Inspired by our previous work on dusty clumps in circumbinary discs (CBDs; Poblete et al. 2019), in this paper we show that the structures at the innermost ring B1 and the cavity size D1 of HD 169142 can be explained by the presence of a polar, moderately eccentric ($e_B = 0.2$), low mass ratio ($q = 0.1$) inner stellar-mass companion. The parameters chosen are in agreement with the current observational constraints for the system. The detectability of such a companion is discussed in further detail in Section 4.1.2. This binary hypothesis would change the nature of HD 169142 from a TD to a CBD such as the already confirmed disc HD 142527 (Price et al. 2018b) and potential binary systems such as IRS 48 (Calcino et al. 2019), AB Aurigae (Poblete et al. 2020), and HD 143006 (Ballabio et al. 2021). We describe the numerical methods and initial conditions in Section 2. We report our results in Section 3. We discuss in Section 4 and draw conclusions in Section 5.

2 METHODS

We perform 3D hydrodynamical simulations of CBDs using the PHANTOM smoothed particle hydrodynamics (SPH) code (Price et al. 2018a). We use the dust-as-particles method in order to model the interaction between gas and dust particles as described in Laibe & Price (2012a,b) and Price & Laibe (2020), which include the back-reaction effect of dust on gas. Our model consists of a central stellar binary, a circumbinary (P-type) planet and a CBD.

We model the two stars and the planet as sink particles (Bate, Bonnell & Price 1995). The accretion radii are set to 1 au for the primary star, 0.5 au for the secondary star, and 1 Hill's radius (3.5 au) for the planet. This choice of parameters avoids the formation of a circumplanetary and circumstellar discs, which are numerically expensive to simulate.

The binary and disc parameters are similar to those in Poblete et al. (2019) for the polar alignment; the case that exhibits clumps very similar to HD 169142. We explored a limited number of combinations of semimajor axis, and eccentricity of the binary guided by the work previously mentioned to get the best values that reproduce the cavity size like the 1.3 mm observation (Pérez et al. 2019).¹ The binary is initialized with a mass ratio of $q = M_2/M_1 = 0.1$ with $M_1 = 1.7 M_\odot$ and $M_2 = 0.17 M_\odot$. The companion is placed on an orbit with a semimajor axis of 11 au, and an eccentricity $e_B = 0.2$, giving a period of roughly 26.68 yr. The CBD is inclined by $i = 90^\circ$ with respect to the binary plane at the start of the simulation. The initial argument of periaapsis (ω) is set to 0 and 90° in order to cover the extreme orientations of the polar binary. As usual, the line of nodes (Ω) is defined from the direction of the binary pericentre. It is set to 0 and 90° for the first and second case, respectively. The planet has a mass of $2 M_J$ and is initialized on a circular and coplanar orbit with respect to the disc at 50 au, i.e. the planet is on a circumbinary orbit (P-type configuration).

The gas disc in our simulations is initialized with 5×10^5 SPH particles in Keplerian rotation with a total gas mass of $0.01 M_\odot$. It initially extends from $R_{\text{in}} = 22$ au to $R_{\text{out}} = 125$ au, with a power-law surface density profile, $\Sigma \propto R^{-1}$. The disc is vertically isothermal and the temperature profile follows a shallower power law, $T \propto R^{-0.25}$, giving a scale height of $H/R = 0.048$ at R_{in} and $H/R = 0.074$ at R_{out} . We set the SPH viscosity parameter $\alpha_{\text{AV}} = 0.3$, which gives a mean Shakura & Sunyaev (1973) disc viscosity of $\alpha_{\text{SS}} \approx 5 \times 10^{-3}$ (cf. Lodato & Price 2010). The initial dust distribution coincides with the gas spatial distribution, but with a lower particle number equal to 1×10^5 . We consider a dust-to-gas ratio of 0.1, a grain size of 1 cm, and an intrinsic grain density of 3 g cm^{-3} . These parameters give an initial Stokes number of ~ 2 for the dust at the inner rim.

Due to the complexity of determining the inner rim size given the binary parameters (see Hirsh et al. 2020), our simulated ring size was ~ 10 per cent larger than the observed one. Consequently, we set our code units to certain values to bring the simulation to a physical size that matches the observations: We reduced the length unit by 10 per cent and time units by ~ 15 per cent in order to keep the gravitational constant invariant. Doing so, we now have a binary semimajor axis of 9.9 au with a period of 22.7 yr and a planet with 45 au of semimajor axis. Note that the Stokes number is not affected by this change despite being 9 mm after the rescaling. Hereafter, we will refer to these rescaled values and not to the original ones.

3 RESULTS

The left and middle columns of Fig. 2 show the disc morphology after approximately 230 binary and 45 planet orbits. The disc exhibits prominent gas spirals and dusty clumps. These asymmetric structures in the disc change periodically with the planet-binary location. These aspects will be discussed in the next sections. To aid with our

discussion, we will use the regions defined in Fig. 1. Due to the large accretion radius used for the planet, at the end, the planet reaches a mass of $\sim 3.85 M_J$ and a semimajor axis of 43.46 au.

3.1 Gas spirals

The simulations exhibit multiple spiral arms in the gas distribution that can be classified spatially into three groups: those triggered by the planet, the ones located in the B1 ring, and those at the inner cavity D1.

The planet triggers two prominent spiral arms, one internal and one external to its orbit. Additional spiral arms with lower amplitude are also generated (Dong et al. 2015; Bae & Zhu 2018a,b), although only one additional outer spiral arm is visible in our simulations. The spiral arms interior to the planet interact with the spirals triggered by the stellar binary. The regions where the planet's spiral constructively interferes with the spirals originating from the internal binary result in higher gas densities.

The binary-triggered spiral arms are located at the B1 and D1 regions. The simulations show that these spirals are densest close to the B1 ring. Our previous work shows an azimuthal concentration of those spiral arms near the apocentre of the binary (Poblete et al. 2019). However, in this case, the inner planetary spiral concentrates most of gas in corotation with the planet. At the B1 ring, this corotation creates a sector with a gradient of angular velocity between the inner planetary spiral (sub-Keplerian movement) and the ones triggered by the binary, which can affect the dusty clumps. In addition, the planet prevents binary spirals to propagate beyond the D2–B1 region. These effects combined explain why there are no multiple spiral arms azimuthally concentrated as in HD 142527 (Avenhaus et al. 2014) and AB Aurigae (Fukagawa et al. 2004; Hashimoto et al. 2011).

At the central cavity D1, we observe the third kind of spirals, often called streamers in binary models, which feed the central regions (Dunhill, Cuadra & Dougados 2015; Ragusa et al. 2017; Price et al. 2018b; Poblete et al. 2019; Hirsh et al. 2020; Ragusa et al. 2020, 2021). These spirals exhibit a correlation between their shape and the binary orbital phase. This property is useful for inferring a companion and its location (see Poblete et al. 2020, for more details). Inside the cavity of HD 169142, Gratton et al. (2019) report three spiral arms seen in Near-Infrared (NIR) scattered light imaging, and they are summarized in Table 1. The upper- and lower-left panels of Fig. 2 show the spatial distribution of the observed spiral superimposed on our simulations, which have been rotated in order to get a close match with the observed spirals. The primary arm (shown in red) starts at the lower part of the cavity while the secondary and the tertiary (shown in blue and green, respectively) start near the upper part. These spirals arms are expected to connect with a planet but the location of this putative planet is uncertain. We find a qualitatively good match with the observed position of the spirals. It is worth noting that, since this is a highly degenerate problem, a variety of matches can be found for different combinations; from different combinations between rotations and binary orbital phase to different combinations of the binary parameters such as semimajor axis, eccentricity, among others. The snapshots of our simulation are thus only one of many examples that match the observed morphology. One potential way to break this degeneracy is to compare the observed gas kinematics with those in our simulations. However, current ALMA data has not enough resolution to draw any conclusions along these lines (Fedele et al. 2017). Even the dedicated kinematic study by Yu et al. (2021) only provides information for radii greater than 50 au, where the influence of the binary is negligible.

¹We remark that the problem is degenerate and other sets of values of semimajor axis, mass, and/or eccentricity of the binary can give the same cavity size.

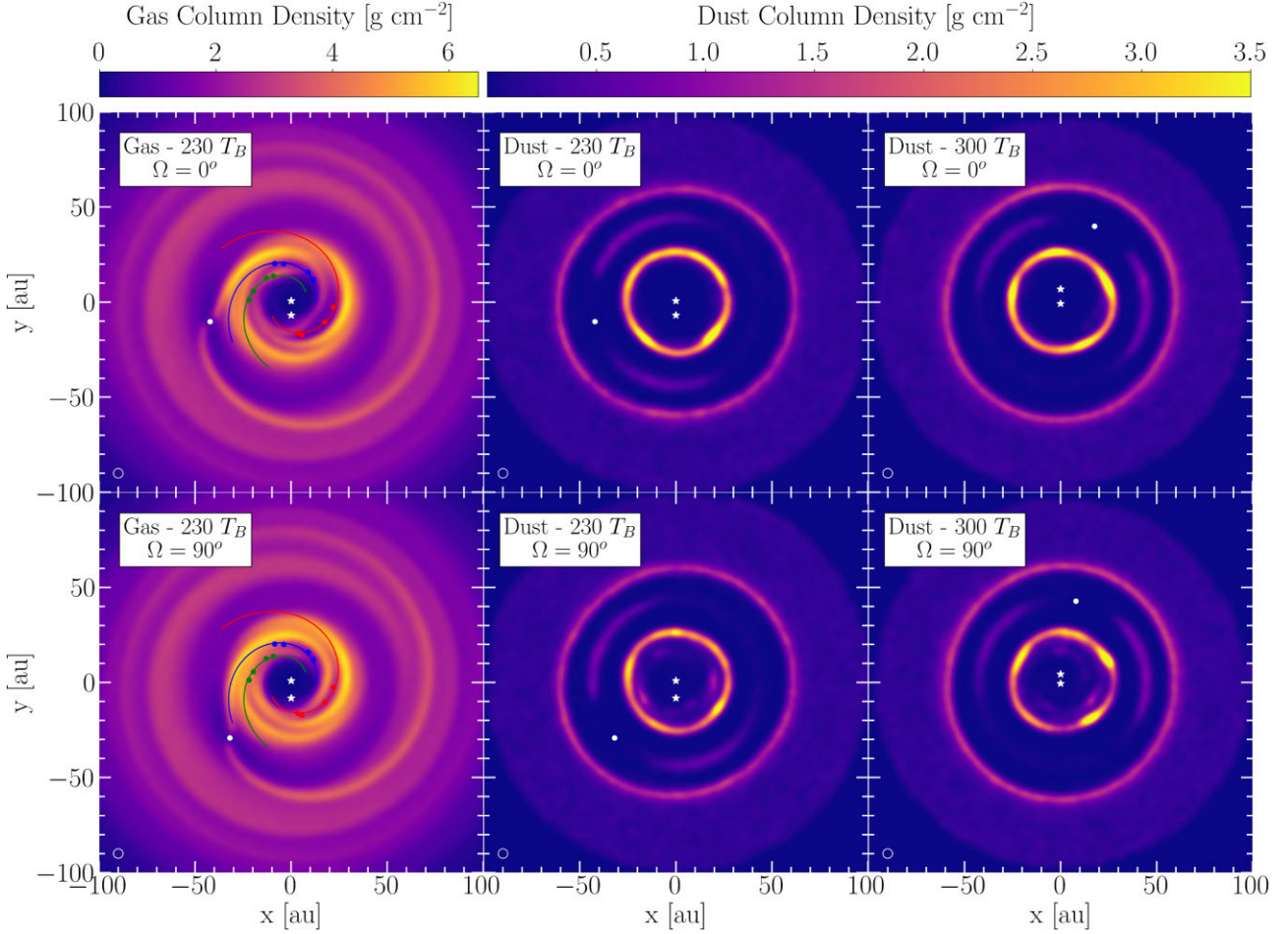


Figure 2. Morphology for the simulation after 230 binary orbits and roughly 45 planet orbits for $\Omega = 0^\circ$ in the upper row and for $\Omega = 90^\circ$ in the lower row. The upper-left and lower-left panels show the gas disc, while the rest of panels show the dust disc. Observed spiral arms in HD 169142 (see Table 1) are plotted along the gas morphology. The red spiral is the primary, the blue one is the secondary, and the green one is the tertiary. The respective coloured points are those reported in Table 1. The upper-right and lower-right panels show the dust morphology after 300 binary orbits for $\Omega = 0^\circ$ and $\Omega = 90^\circ$, respectively. The disc is seen face-on while the binary, located inside the cavity, is perpendicular to the disc. The white oval at the lower-left corner in all panels represent the smoothing size employed.

Table 1. Spiral position calculated in Gratton et al. (2019; see their table 6) and fit values. We consider a logarithmic spiral of the form $r(\theta) = Ae^{k(\theta-\theta_0)}$, where k is the pitch angle, and θ the angular polar coordinate. We keep their computed pitch angle, and we just fit the rest of the logarithmic spiral parameters.

Name	PA (degree)	R (mas)	Pitch angle (degree)	A (mas)	θ_0 (degree)
Primary	196.7	157	15.3	36.3	69.2
	203.0	172	—	—	—
	244.2	194	—	—	—
	268.3	209	—	—	—
Secondary	321.0	157	16.3	71.7	138.8
	335.9	172	—	—	—
	16.0	194	—	—	—
	28.0	209	—	—	—
Tertiary	38.9	157	20.8	48.6	91.3
	50.9	172	—	—	—
	79.0	194	—	—	—
	91.6	209	—	—	—

3.2 Dust structures

Radially, the simulations exhibit two well defined dust rings (see Fig. 2), and the gaps D1 and D2. Note that we only produce one ring at roughly 67 au where rings B2, B3, and B4 are observed. Additionally, we observe dust in D2 at the co-orbital region of the planet. The latter is densest closer to the planet and can be interpreted as dust trapped at the Lagrangian points L_4 and L_5 (Montesinos et al. 2020). In order to reproduce the triple ring B2, B3, and B4 an additional migrating planet would be needed as proposed by Pérez et al. (2019), where a $10 M_\oplus$ planet is able to split a single ring into three narrow rings.

The most outstanding aspect of the dust morphology is the formation of dusty clumps evenly distributed azimuthally at the B1 ring; similar to those reported in Poblete et al. (2019). Clump-like structures are observed in HD 169142 in multiple mm-continuum observations and our simulations display a dust distribution in B1 that is very similar to the observed one. Both simulations with $\Omega = 0^\circ$ and $\Omega = 90^\circ$ match reasonably well with the clumps' azimuthal separation.

The clumps do not necessarily have a stable behaviour, see e.g. Section 4.1 in Poblete et al. (2019). They continuously change in amplitude and position by the action of the gaseous spiral arms and

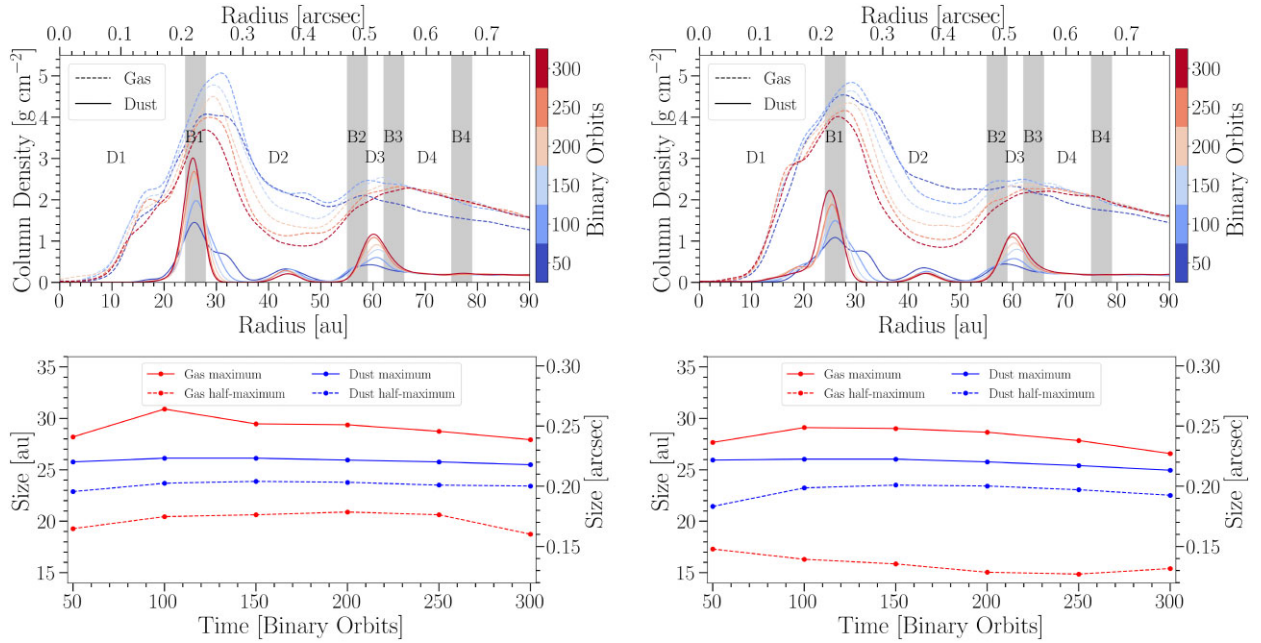


Figure 3. The upper panels show the evolution of the azimuthally-averaged radial profile of gas and dust for the case with $\Omega = 0^\circ$ (left-hand panel) and with $\Omega = 90^\circ$ (right-hand panel). The lower panels show the evolution of the cavity size of both dust and gas distribution, defined using different criteria. Red and blue lines represent the cavity size of gas and dust, respectively. The cavity size is computed following, on one hand, the radius of the maximum of the density radial profile (solid line), and on the other hand, the radius at the half-maximum (dashed line).

their own rotation, respectively. The time-scale of those changes is about one binary orbit. This would explain why the ALMA observations show only three clumps instead of four. Since one clump is needed to form a system of four clumps evenly distributed; we can use the missed clump as an observational test in future observations (see Section 4.2).

Finally, the case with $\Omega = 90^\circ$ exhibits dust concentrations just interior to the ring B1. These substructures are triggered by the gas spirals arms present in the cavity. These dust concentrations are significantly weaker in the case with $\Omega = 0^\circ$.

3.3 Density radial profiles

The azimuthally averaged radial profile and the cavity size for both gas and dust are displayed in Fig. 3 for the case with $\Omega = 0^\circ$ and $\Omega = 90^\circ$. Note we are overestimating the surface density by a factor of 10 in the B1 ring according to Fedele et al. (2017). The gas surface density decreases with time at all radii, contrary to the dust surface density which increases in the ring B1 and the outer edge of the gap D2. Given the high Stokes number for the dust, it concentrates in the rings that correspond to gas pressure maxima (Pinilla et al. 2012a,b). Changes in the inner cavity (D1) size are small, variations of a couple of au are observed in both gas and dust, with the strongest change in the gas between the first 50 and 100 binary orbits. The dust cavity size increases at the same period, then decreasing at a slow rate. Recent simulations have shown that cavity sizes can increase drastically in the long term; nevertheless, in polar configurations, the variations should remain at the level of a few au for our model parameters (Hirsh et al. 2020).

Finally, our simulations do not produce the circumstellar disc B0. This is likely because the large accretion radius and/or the high numerical viscosity inside the cavity trigger(s) a rapid draining of the disc into the star given the large sink particle accretion radius chosen. Nevertheless, a circumstellar disc can be misaligned by a

polar binary (see fig. 1 of Poblete et al. 2020). Therefore, the binary scenario could also explain the misalignment of the inner disc B0. A planet in an inclined orbit could warp the inner rim of a disc (Nealon et al. 2018). But in the B0 case, the proposed planet should be inside of the B0 disc and be massive enough to keep the disc inclined.

3.4 Synthetic 1.3 mm observation

In this section, we aim to compare the results from the SPH calculations with the ALMA observations by post-processing our simulations using radiative transfer calculations. While the simulated grain size of 9 mm (see Section 2) is larger than the typical grain sizes traced by the ALMA observations (~ 1 mm), we note that the gas surface density in our simulations is 10 times higher than the values measured by Fedele et al. (2017), as we mentioned in the previous Section. Therefore, the behaviour exhibited by the 9 mm grains in our simulations will be equivalent to the one done by 0.9 mm grains since the Stokes number would be the same. We, thus, use the distribution of 9 mm grains in our simulations as tracer of 0.9 mm grains.

We produce synthetic images using the Monte Carlo radiative transfer code MCFOST (Pinte et al. 2006, 2009). The dust grain size distribution is set to a power law with $dn/ds \propto s^{-3.5}$ for $0.03 \mu\text{m} \leq s \leq 1$ mm, and a gas to dust ratio of 100. The gas mass is adopted from the simulations and we assume spherical and homogeneous dust grains composed of astronomical silicate with an intrinsic grain density of 3 g cm^{-3} (Li & Draine 2001; Weingartner & Draine 2001). We assume the central star has an effective temperature $T_{\text{eff}} = 7650 \text{ K}$ and stellar radius of $R_* = 1.65 R_\odot$ (Meeus et al. 2010), giving a blackbody luminosity of $\sim 8.4 L_\odot$, consistent with estimated values (Dent et al. 2006). The companion is assumed to have $T_{\text{eff}} = 3200 \text{ K}$, $R_* = 1.07 R_\odot$, giving a luminosity of $\sim 0.11 L_\odot$. Using 10^8 Monte Carlo photon packets we computed the temperature and specific intensities at each wavelength, and produced images by ray tracing the computed source function.

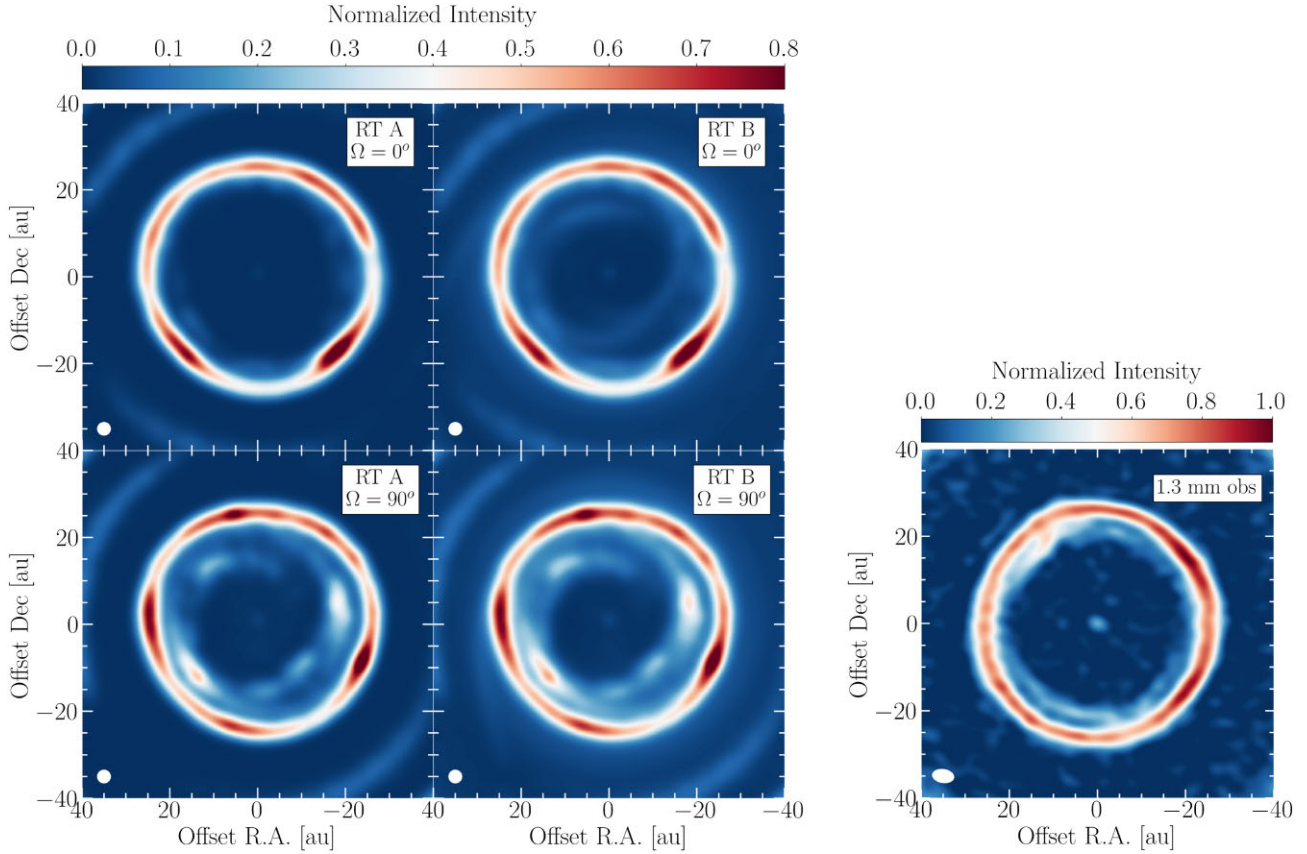


Figure 4. Left-hand panel: Radiative transfer calculations for the simulations. The left-hand column shows the RT model A while the right-hand column shows the RT model B. The upper row shows the simulation for the case with $\Omega = 0^\circ$ while the lower row shows the simulation for the case with $\Omega = 90^\circ$. Right-hand panel: 1.3 mm ALMA observation. The intensity is normalized to the peak value for each panel.

Since we include only a single dust grain size in our SPH simulations, assumptions are needed to complete the rest of the size spectrum. We developed two different models, to cover a range of possible behaviours:

- (i) RT model A: We assume that grains with sizes between 0.03 and 100 μm follow the gas particles, while grains between 100 μm and 1 mm follow the dust particles.
- (ii) RT model B: We assume that grains with sizes between 0.03 and 500 μm follow the gas particles, while grains between 500 μm and 1 mm follow the dust particles.

These radiative transfer models are meant to illustrate possible scenarios for the dust distribution in HD169142. The scalability of our model allows for this qualitative exploration. We note that we are not claiming that we can constrain the grain sizes with these models.

Fig. 4 displays two different radiative transfer calculations for both simulations after 230 binary orbits together with the 1.3 mm ALMA observation. The observed ring morphology is better reproduced in $\Omega = 0^\circ$ than in $\Omega = 90^\circ$ case. But the case $\Omega = 90^\circ$ can trap dust just interior to the ring B1. If we compare it with the 1.3mm ALMA observation, an intermediate scenario could be the solution.

If we just concentrate in the case $\Omega = 0^\circ$, the RT model B exhibits dust that is coupled to the gas highlighting the gas structures too prominently (disc and inner spiral arms, see Section 3.1). On the other hand, such features are completely suppressed in RT A as the emission is dominated by the large grains that are mostly concentrated in the ring B1. Taken individually, both our radiative

models fail to exactly reproduce the fainter emission just interior to the ring seen in the ALMA observation. That fainter emission, however, has a morphology that is similar to the dust distribution seen close to the inner rim in RT B. Thus, we speculate that it could be produced by grains smaller than ≈ 1 mm, slightly coupled to the gas, and still emitting significantly at 1.3 mm.

All in all, reproducing the ALMA observation would require a multidust species simulation, which is beyond the scope of this work.

4 DISCUSSION

Previous studies of HD 169142 had only focused on planet–disc interactions and had neglected the possibility of a stellar companion in the central cavity. Even though one or more planets are probably needed to reproduce the outer rings and gaps, dust structures in the innermost ring suggest a companion in the stellar mass regime. As shown in Section 3, the polar stellar binary has the capability of simultaneously triggering the formation of a large cavity, dusty clumps, and spirals.

4.1 Nature of the companion

4.1.1 Effects of the mass companion

Binary–planet interactions are able to explain the complex morphology of some protoplanetary discs. Recently Ballabio et al. (2021) proposed an inclined binary with a P-type planet to explain reasonably well the HD 143006 disc structures. Additionally, a

binary-planet system is stable in long-term evolution (Ballantyne et al. 2021). Although we have shown that the polar binary hypothesis could reproduce the non-axisymmetric features seen in the HD 169142's disc, there are a few important considerations to evaluate the likelihood of such scenario. It seems reasonable to assume that one or more planets are inside the central cavity D1 due to the system's age and the lack of evidence for a stellar companion. Nevertheless, in this particular case, planets have not been shown to reproduce the observed features thus far.

First of all, a typical planetary candidate would be coplanar and with an almost circular orbit. Such a planet configuration has already been considered in order to reproduce the gas disc of HD 169142 (Pohl et al. 2017; Bertrang et al. 2018), giving a good match for the density profile. To our knowledge, only Toci et al. (2020) have modelled the B1 dust ring with a similar Stokes to our work, and their simulations result in a central cavity like the observed one, but without any substructure or clumping at the innermost dust ring.²

If we consider a companion with a mass ratio $q = 0.01$, an order of magnitude lower than what we propose in this work and coplanar, the dust ring B1 should be quite axisymmetric (e.g. see fig. 2 of Ragusa et al. 2017), provided the disc viscosity is high enough to subdue the Rossby-wave instability. Additionally, if the mass ratio is increased further then an asymmetric 'horseshoe' feature begins to develop around the cavity (Ragusa et al. 2017, 2020). Such a structure is not observed in HD 169142 (Pérez et al. 2019). Another problem that arises with increasing the mass ratio of a coplanar companion is that the cavity begins to become eccentric (Ragusa et al. 2020), leading to the central source being misaligned with the projected centre of the cavity. But the central source in HD 169142 is well centred in the cavity (Pérez et al. 2019). These facts suggest either a low-mass inner companion, or a companion misaligned with respect to the outer disc. Indeed, a polar orientation can produce an alignment between both bodies in the sky plane, appearing to us as a well-centred system for low disc inclinations.

We highlight that the scenario where there are two or more planets inside the cavity has not been explored. Planets are able to induce dust trapping in a vortex (Ataiee et al. 2013; Birnstiel, Dullemond & Pinilla 2013; Lyra & Lin 2013), therefore there could be a special configuration that reproduces more than one dust trap in the same ring. Nevertheless, alternative models should consider the particular azimuthal separation of the observed clumps in HD 169142.

4.1.2 Detectability

Overall, our proposed binary can reproduce reasonably well the cavity size, the clump structure, and the presence of gaseous spiral arms. However, the system has been studied for a long time, and no stellar companion within the central cavity has been observed so far (see van der Marel et al. 2021, for a summary of the observational constraints).

Ligi et al. (2018) presented high-contrast imaging data for the source. From their contrast curves obtained with SPHERE/IFS (Spectro-Polarimetric High-contrast Exoplanet Research/ Integral Field Spectrograph) in 2017 April (without coronagraph), we can derive mass upper limits for any putative companion beyond the inner working angle. To obtain the limits shown in Fig. 5, we converted the contrast limits into mass using an age for the system of 6^{+6}_{-3} Myr

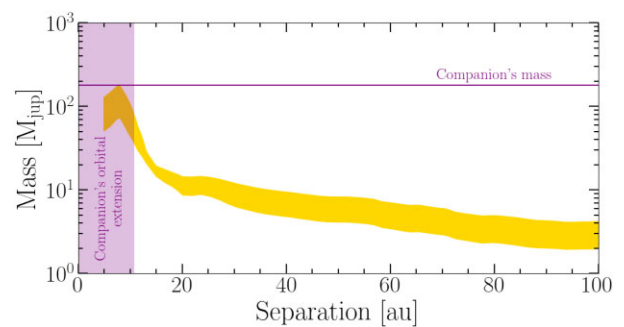


Figure 5. Contrast limits translated into upper limit on the mass from high-contrast imaging observations. The upper mass limit is displayed in yellow and the binary parameters modelled are displayed in purple.

and the evolutionary models BT-SETTL (Allard, Homeier & Freytag 2013).

Additionally, the target was observed by the 4.1 m *Southern Astrophysical Research Telescope* with the speckle camera, HRCam (Tokovinin 2018) in 2021 March. Two data cubes were taken, to exclude any sporadic phenomena. Assuming the same age and evolutionary model used in the SPHERE/IFS contrast curves, this speckle interferometry observation implies a non-detection limit for a companion $\gtrsim 400 M_J$ at separations > 4.6 au.

The proposed inner companion in this work would be at the limit of the detection. Fig. 5 displays the best current constraints from direct imaging observations. Interior to 4.7 au, the inner working angle, the constraints are very poor and the proposed companion would have been missed. For both binary scenarios we explore, we find that there is a large (~ 35 per cent) probability that the binary could have remained hidden and undetected at such small separations. Elsewhere along its orbital path, the companion would lie above the detection limit. Nevertheless, the proposed companion mass is very close to the limits exposed in Fig. 5 and thus its detection would be challenging. In addition, we find that there is a wide parameter space in mass, semimajor axis, and eccentricity in which the binary could roughly reproduce the morphology of the B1 ring. Future monitoring of this system could confirm our proposed scenario if the companion is detected, or provide additional multi-epoch constraints if it remains undetected.

Finally, it is worth mentioning there are no strong spectroscopic constraints of this system, which are ideal to assess the presence of binaries at close separations ($\lesssim 10$ au) like the one we are proposing. However, the spectral features associated to A- and F-types together with the unusual X-ray emission observed in HD 169142 lend support to the binary scenario (Grady et al. 2007). In summary, our proposed binary is consistent with the current published constraints for this system, and our scenario can be tested with further monitoring.

4.2 Future observational tests

The dust clumps can evolve over time, as shown by Poblete et al. (2019). This effect can be appreciated comparing the dust panels (middle and right-hand columns) of Fig. 2 where clumps can vanish at some epoch. We would be observing an epoch where only three clumps are at the B1 ring. As we discussed in Section 3.2 a single clump can disappear in roughly one binary orbit (22.7 yr) and form again in the same period. Since strong variations happen in time-scales that are similar to the binary period, future observations covering a baseline of 20 yr should be able to confirm our binary hypothesis. If the binary exists, a new clump can appear or disappear

²Note that Toci et al. (2020) use PHANTOM as well, which is not able to produce vortices given the high viscosity. Including such vortices, the B1 ring could exhibit substructures.

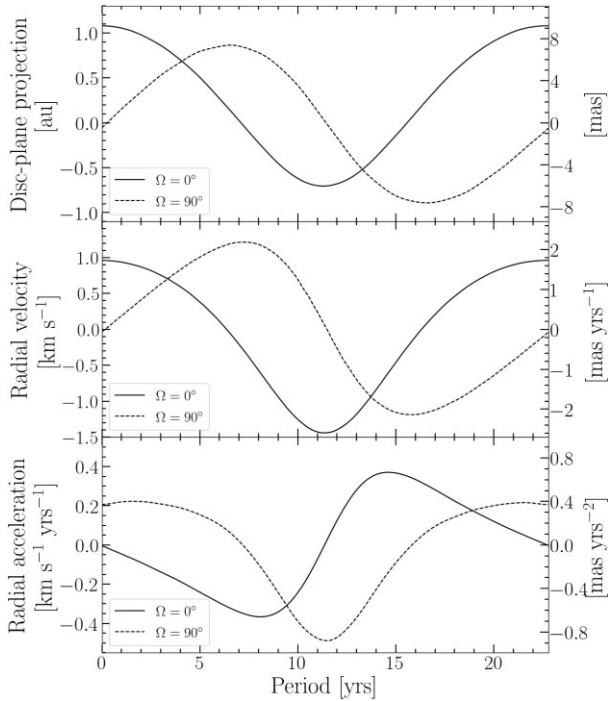


Figure 6. Observable tests for the primary star. The upper panel shows the movement of the central star in the disc plane, the middle panel shows the radial velocity, and the lower panel shows the radial acceleration for the primary star. We are assuming that the disc is completely face-on and the binary is perpendicular to it to build the panels. The case with $\Omega = 0^\circ$ is displayed as a solid line, while the case with $\Omega = 90^\circ$ is displayed as a dashed line in both panels.

in the B1 ring and this can be tested by monitoring this system over the next 23 yr.

Also, given *Gaia*’s astrometric precision of $20 \mu\text{as}$ (Gaia Collaboration et al. 2018) it should be possible to determine in the future if HD 169142 has an astrometric wobble that can be attributed to a companion more massive than a planet in the cavity. If the companion exists, the primary star HD 169142 should show a projected sky plane proper motion similar to the upper panel of Fig. 6. Indeed, Gaia Collaboration et al. (2021) give promising preliminary results for the astrometric excess noise that would favour our model: HD 169142 has 0.12 mas at 5.7σ level, similar to the 0.16 mas that HD 142527 has, a known $q \sim 0.1$ binary. Furthermore, long-term radial velocity measurements could confirm or rule out the binary scenario as well. It is worth noting that the presence of the circumstellar disc could give fake astrometric signals if there are shadows or other illumination effects. The middle and lower panels of Fig. 6 display the changes in the radial velocity and the radial acceleration of the primary star, respectively. Monitoring over 5 yr should be enough to detect a RV trend of $\sim 0.2 \text{ km s}^{-1} \text{ yr}^{-1}$.

In reality, since HD 169142’s disc is not perfectly face-on, the binary on a polar configuration will not be seen perfectly edge-on, so the effect on the radial velocity will be slightly lower than our estimation. Nevertheless, due to the low inclination between the disc of HD 169142 and the sky plane, Fig. 6 is a reasonable approximation.

5 CONCLUSION

We performed 3D SPH gas and dust simulations of CBDs to explain the properties of HD 169142. Our models show that an unseen

stellar companion inside the disc cavity can reproduce many of the observed features in this system. If future observations detect such a companion, the disc around HD 169142 should be termed as *circumbinary* instead of *transitional*. Our main findings are summarized as follows:

(i) A stellar companion in a polar orbit is able to reproduce the dust structures (dust clumps and cavity size) observed in HD 169142. Nevertheless, in order to reproduce the faint dust emission just interior to the ring, a multigrain simulation is needed.

(ii) The companion produces spiral arms that coincide in scale with the observed ones at the disc cavity. But, due to the degeneracy between disc rotation and evolutionary stage of the binary in one orbit, we cannot provide a stronger orbit constraint. In addition, one of the spirals could connect with the external planet location.

(iii) We expect future observations to show changes in the dust innermost ring morphology.

(iv) According to our binary model, we expect future changes in the astrometric parameters of the star HD 169142. Specifically, we predict radial velocity variations of $\sim 0.2 \text{ km s}^{-1} \text{ yr}^{-1}$.

Our work follows the reinterpretation of some protoplanetary discs, such as HD 142527 (Price et al. 2018b), IRS 48 (Calcino et al. 2019), AB Aurigae (Poblete et al. 2020), and HD 143006 (Ballabio et al. 2021) where stellar-mass companions were proposed to explain the observed disc features. In this case, we are also considering a planet further out. Our results support the presence of a massive body and (at least) one P-type planet producing the dust structures in HD 169142. Future observations in the next two decades of the inner cavity and/or astrometric measurements could confirm or rule out our proposed polar binary.

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DATA AVAILABILITY

The data underlying this article will be shared on reasonable request to the corresponding author. The code PHANTOM used in this work is publicly available at <https://github.com/danieljprice/phantom>. MC-FOST is available on request. The reduced ALMA image that we used in Fig. 4 is directly available for download from Pérez et al. (2019). The SPHERE data are publicly available and can be queried and downloaded directly from <http://archive.eso.org/>. The *Southern Astrophysical Research Telescope* data were provided by Andrei Tokovinin under his permission. Such data will be shared on request to the corresponding author with the permission of Andrei. The data will be available without requests in early 2022 in <http://www.ctio.noao.edu/~atokovin/papers/>.

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APPENDIX A: NUMERICAL TESTS

Artificial dust clumping can appear when the dust/gas resolution is not optimal. In order to test it, we performed extra simulations with different resolutions for both binary configurations. Fig. A1 displays the dust morphology after 50 binary orbits for two dust particles resolution: 1×10^5 in the upper row, and 5×10^4 in the bottom row. The gas resolution is kept at 5×10^5 . We observe that the resolution does not affect the formation of the dust structures, namely rings and clumps. A similar test was presented in Poblete et al. (2019), but for a binary without a planet. Those results also show that the dust clumping is triggered by hydrodynamical effects rather than numerical ones.

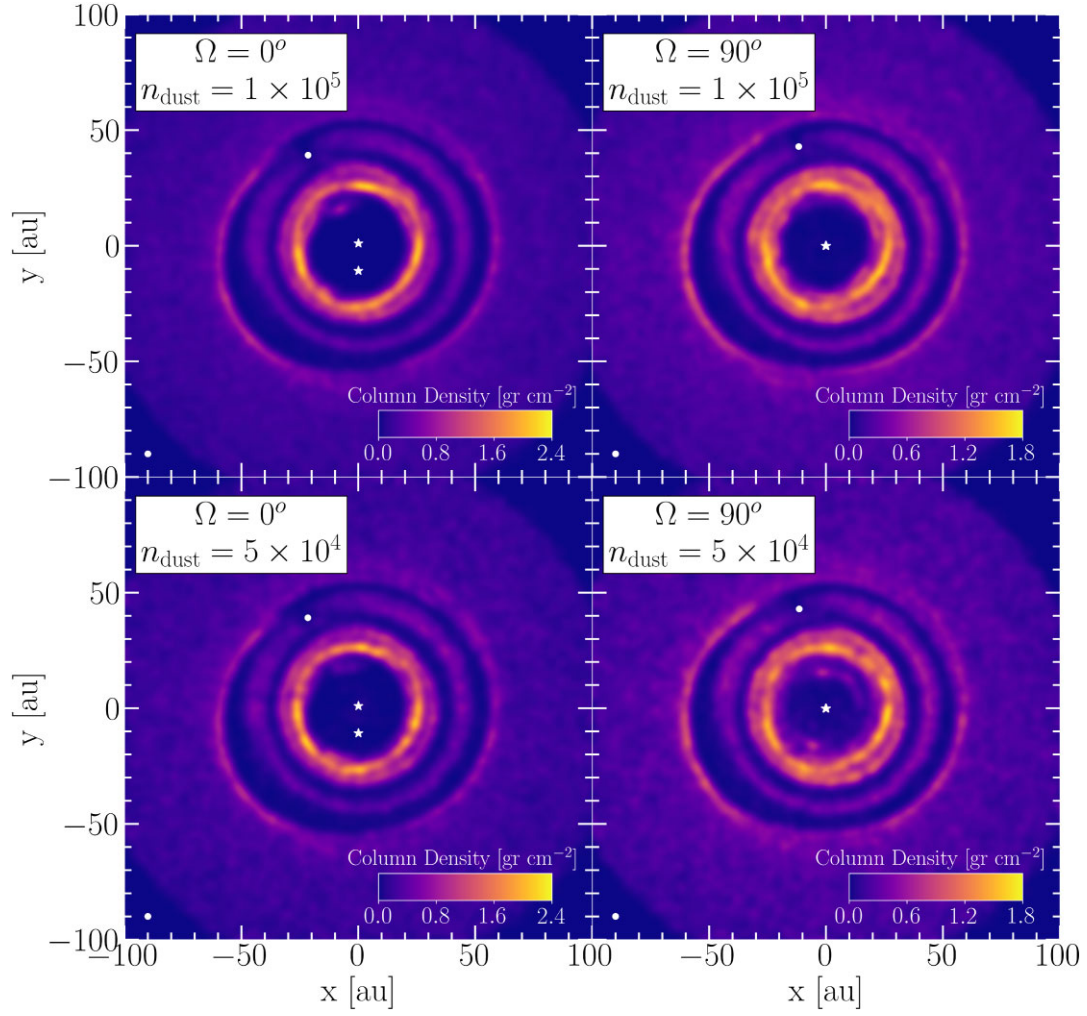


Figure A1. Dust morphology after 50 binary orbits for different binary configurations and dust particles resolutions. The first row shows the simulations with 1×10^5 dust particles for $\Omega = 0^\circ$ (left-hand panel) and $\Omega = 90^\circ$ (right-hand panel), whilst the bottom row shows the same simulations using 5×10^4 dust particles.

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