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Holocene in the South Caucasus (Vanevan, Armenia): a multiproxy approach including pollen, NPPs and brGDGTs

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20 21 Abstract

22 Relationships between steppe vegetation, human practices and climate changes in the past are crucial to disentangle human development in Eurasia. In this frame, our study investigates (1) modern 23 24 pollen-vegetation relationships and (2) changes in vegetation, human activity and climate in the 25 Holocene record of Vanevan peat (south-eastern shore of Lake Sevan, Armenia), using a multiproxy 26 approach including sediment geochemistry (XRF), pollen, Non-Pollen Palynomorphs (NPPs), and branched Glycerol Dialkyl Glycerol Tetraethers (brGDGTs). Climate reconstructions are provided by 27 28 (1) water-level changes, (2) brGDGTs, and (3) pollen transfer functions (multi-method approach: 29 Modern Analogue Technique, Weighted Averaging Partial Least Squares regression, Random Forest, and Boosted Regression Trees). Modern pollen assemblages are selected along an altitudinal transect in 30 Armenia. They show a dominance of Chenopodiaceae in semi-desert/steppe regions while meadows 31 steppes, subalpine, and alpine meadows are dominated by Poaceae. Past vegetation is characterized by 32 33 steppes dominated by Poaceae surrounded during the Mid-Holocene (8200-4200 a cal BP) by scarce 34 open woodlands. Humans have influenced the local vegetation, mainly through their agricultural practices present since 5200 a cal BP with several intensification steps. Our reconstruction indicates a 35 climate shift from a cold and arid Early Holocene toward a warmer and more humid Mid-Late Holocene. 36 37 An aridification trend marks the last 5000 years causing a drop in water level, which allowed humans to live and cultivate on Lake Sevan shores. Arid events are recorded at 6.2 ka, 5.2 ka, 4.2 ka and 2.8 ka 38 39 a cal BP, which are commonly related to multi-centennial-scale variations of Westerlies activity (North 40 Atlantic Oscillation). Through our temperature reconstruction, we can assign (1) the 5.2 and 2.8 ka 41 events as being cold and probably related to a strong Siberian High, and (2) the 4.2 ka event as being 42 warm associated with high Arabian subtropical pressures in the South Caucasus and the Near East. Our 43 study suggests a significant impact of these arid events on the Lake Sevan shore populations and they 44 are consistent with cultural phases in the South Caucasus, thus showing the impact of climatic variations on cultural, land use and occupation mode development in this crossroad region between Europe, Africa 45 and Asia. 46

Keywords: Vegetation dynamics; Human impact; Agriculture; Water level changes;

Paleoclimate; Arid climate events; Transfer functions; XRF 49

50 51

1. Introduction

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53 Understanding relationships between vegetation, climate, and anthropogenic impact over long 54 time periods is a major goal to stress out human development in arid and steppe environments of Eurasia. 55 Paleoecology is therefore a mandatory approach for the regions characterized by a strong human impact 56 such as the Mediterranean basin or the Near East. The Caucasus is among the areas that have been 57 influenced by humans the longest, since the Neolithic, which witness the rise of agriculture and animal husbandry (ca. around 6000 BC) (Badalyan et al., 2004; Chataigner et al., 2014). The variety of 58 59 landscapes of the region also resulted from its complex orography, geology, and climate (Volodicheva, 60 2002). The Caucasus is recognized as a "hotspot" of biodiversity (Connor and Kvavadze, 2008; Solomon 61 et al., 2014) and was an important tree refugium during glacial periods (Connor and Kvavadze, 2008). 62 The current vegetation of the South Caucasus is mainly dominated by steppe or desert (Bohn et al., 2000) and only 8% of Armenia's area is covered by exploited or deteriorated forests (Sayadyan, 2011). 63 In contrast, in the 18th century, the forest covered 18% (Sayadyan, 2011), thus questioning the 64 65 afforestation rate during the Holocene, either before or after the increasing impact of human societies 66 on the environment and the respective impact of climate and humans on ecosystems.

Paleoecological studies of the South Caucasus have recorded forested phases during the 67 Holocene (e.g. Connor et al., 2018; Messager et al., 2013; 2017). In Armenia however, the vegetation 68 69 dynamic is more complex and suggests steppes dominance throughout the Holocene (Joannin et al., 70 2014; Leroyer et al., 2016; Cromartie et al., 2020). At Vanevan, on Lake Sevan's shores, Leroyer et al. 71 (2016) also revealed steppes expansion but, as their core only covers the Mid-Holocene (from 7800 to 72 5100 a cal BP), this vegetation dynamic cannot be extrapolated for the whole Holocene. Old studies 73 based on palynological records with low temporal resolution have suggested that broadleaf deciduous 74 forests existed around 6000 uncalibrated years on the slopes of Lake Sevan (Takhtajyan, 1941; 75 Tumanyan, 1971; Tumajanov and Tumanyan, 1973; Sayadyan et al., 1977; Sayadyan, 1978, 1983; 76 Moreno-Sanchez and Sayadyan, 2005); archaeological sites have revealed animal remains and statues 77 of animals (deer, bears, wolf and foxes) associated with deciduous forests (Lalayan, 1931; Mnatsakanyan, 1952; Mezhlumyan, 1972) but their chronological frame is not precise enough. Further 78 79 investigations are required to better understand the history of forest and steppes in the South Caucasus 80 over the Holocene and to connect to nowadays issues with aridification and land use impact on soil 81 erosion.

82 Several studies suggest that humans have an impact on their environment since the Early 83 Holocene in the Near East, by fostering the maintenance of steppic vegetation with fires (Roberts et al., 84 2002; Turner et al., 2008, 2010). However, in the South Caucasus the regional fire activities do not

increase at this period (Messager et al., 2017; Joannin et al., 2014) and climate seems to play an 85 86 important role in the delayed regional postglacial reforestation in the Near East and the South Caucasus 87 (Wright et al., 2003; Stevens et al., 2001; Djamali et al., 2010; Leroy et al., 2013; Joannin et al., 2014; 88 Messager et al., 2013, 2017; Leroyer et al., 2016). On the shores of Lake Sevan, humans have a long 89 history and agriculture is attested since 5500 a cal BP (Biscione et al., 2002; Parmegiani and Poscolieri, 90 2003; Hovsepyan, 2013, 2017). As human activities (e.g. agriculture and deforestation) modify the 91 vegetation structure, composition and diversity, a major challenge is to identify and to distinguish the 92 relationships between climate, human, and vegetation. This issue is particularly difficult to address in 93 places (1) where human neolithization already took place during the Early to Mid-Holocene, (2) where 94 the openness of the landscape is not primarily determined by human pressure, and (3) when the technological advancement (e.g. water management systems, winery and fishery) preserves societies 95 96 from climate and environmental sudden changes (Lawrence et al., 2016; McGovern et al., 2017; Ollivier 97 et al., 2018; Roberts et al., 2019; Ritchie et al., 2021). Human influence is generally detected in 98 paleoecological records during the Early Bronze Age and becomes obvious during the last 3000 years (e.g. Wick et al., 2003; Cromartie et al., 2020). Moreover, wild cereals and other Poaceae produce pollen 99 100 grains of *Cerealia*-type pollen, which may have biased the interpretation of anthropogenic pollen 101 occurrence (Van Zeist et al., 1975).

102 Climate role on vegetation during the Holocene is not very well understood in the Caucasus 103 region because of its complexity, mainly due to seasonality influence and climate mechanisms. Few 104 climate reconstructions based on pollen data are available (Connor and Kyayadze, 2008; Joannin et al., 105 2014; Leroyer et al., 2016; Cromartie et al., 2020) and the climate of Armenia during the Holocene is poorly documented (Joannin et al., 2014; Cromartie et al., 2020). The abrupt climate changes are 106 107 difficult to detect and until now the 4.2 ka event, a major climate event around the Mediterranean basin 108 (e.g. Bini et al., 2019), has not yet been detected in the South Caucasus. The climate mechanisms are 109 not totally understood although the role of the North Atlantic Oscillation and the Siberian High is undeniable in this region (e.g. Joannin et al., 2014; Bini et al., 2019). Moreover, human impact can 110 substantially influence pollen-climate relationships even if the impact of this influence on climate 111 112 reconstructions is often difficult to quantify (Chevalier et al., 2020). Accordingly, pollen-based climate 113 reconstructions from records characterized by a strong human influence need to be evaluated carefully, 114 by comparison with independent climate reconstructions based on other proxies. Molecular biomarkers 115 are an emerging proxy that allow quantitative reconstruction of paleotemperature changes from lake or peat sediments. Specifically, brGDGTs (branched Glycerol Dialkyl Glycerol Tetraethers) or glycerol 116 117 tetraethers are ubiquitous organic compounds synthesized by bacteria (Weijers et al., 2006; Dearing 118 Crampton-Flood et al., 2020). Although bacteria that produce brGDGTs are still unknown (Sinninghe Damsté et al., 2018), the relationship between brGDGTs assemblages and temperature is well 119 established (Weijers et al., 2004; Schouten et al., 2007) and this new proxy allows annual 120 121 paleotemperature reconstructions (e.g. Dearing Crampton-Flood et al., 2020; Dugerdil et al., 2021; Stockhecke et al., 2021). To date, there are very few studies based on comparative approaches including
pollen and molecular biomarkers to quantify climate variability over time and more particularly abrupt
climate events (Watson et al., 2018; Martin et al., 2020; Dugerdil et al., 2021).

This study aims to document vegetation and climate changes around Lake Sevan in Armenia for the Holocene period. Based on a multi-proxy approach, we provide Holocene high-resolution sediment geochemistry, pollen, Non-Pollen Palynomorphs (NPPs), and molecular biomarkers records from a newly retrieved core in the Vanevan peat, located on the south-eastern shore of Lake Sevan. Our study goals are to:

- based on a collection of new modern samples from Armenia, understand the modern
 relationships between pollen and vegetation and reinforce the reliability of pollen-based climate
 reconstructions by the addition of new samples.
- 133 2) reconstruct the Holocene wetland dynamics and water level changes based on XRF data, aquatic134 pollen taxa and NPPs.
- 135 3) reconstruct the Holocene vegetation dynamics and identify the existence of deciduous forests136 or the persistence of steppes on the slopes of Lake Sevan.
- 4) identify human activity traces on vegetation records by distinguishing the "agricultural"practices present on the south-eastern shore of Lake Sevan.
- 5) provide a reliable climate reconstruction for the South Caucasus based on a multi-proxy approach including both brGDGTs and pollen (multi-method approach: MAT (Modern Analogue Technique), WAPLS (Weighted Averaging Partial Least Squares regression), RF
 (Random Forest) and BRT (Boosted Regression Forest)).
- finally infer relationships between vegetation dynamics, climate changes, and human practicesat a local scale and discuss these results at a regional scale (South Caucasus and Near East).
- 145
- 146 **2.** Study site
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148 <u>2.1 Geological and geographical setting</u>

149 The Caucasus Mountains are situated at the eastern edge of the Near East, between the Black 150 Sea and the Caspian Sea (Fig. 1A). They were formed by the Alpine and Himalayan orogeny with the 151 collision between the Arabian and Eurasian plates (Volodicheva, 2002). Located in the Lesser Caucasus 152 (i.e. a geological structure of the South Caucasus), Lake Sevan has a volcano-tectonic origin. Its northeastern shore is characterized by an ophiolitic structure dating from the Middle Jurassic to Early 153 154 Cretaceous while the western and southern shores are defined by volcanic ridges dating from the 155 Quaternary (Karakhanian et al., 2000; Sosson, et al., 2010). The lava flows from Porak volcano, located in the southeast, spread towards Lake Sevan and Vanevan peat (40°12'8.83"N, 45°40'24.03"E, Fig. 156 1AB). Several lava flows may date from the Holocene (Karakhanian et al., 2002). The most important 157 158 fault system in Armenia, the Pambak-Sevan-Syunik fault system extends through the Porak volcano and Lake Sevan. Seven strong earthquakes on this fault are attested during the Holocene (Karakhanian et al., 2017).

The hydrological system of Lake Sevan has a negative water balance and a slow turnover (50 161 162 years) due to higher evaporation (800 mm/year) than precipitation (360 mm/year) (Leroyer et al., 2016). 163 During the Soviet period, its water was intensively used for irrigation and electricity (Jenderedjian, 164 2005). Consequently, its level dropped approximately 20 m and its volume decreased by more than 40%. 165 The lake passed from oligotrophic to eutrophic conditions, accompanied by changes in the flora and fauna (Lind and Taslakyan, 2005). Among the 28 rivers draining the lake catchment (3650 km²), the 166 167 Masrik River is located in the South-East. Prior to the lake lowering and field management during the Soviet time, it crossed a wetland area named Gilli (Jenderedjian, 2005). After the drying of the wetland, 168 169 the area was used for agricultural (mainly wheat and barley), pastoralism (sheep and cattle), and peat 170 exploitation for fuel.

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172 <u>2.2 Modern climate and vegetation</u>

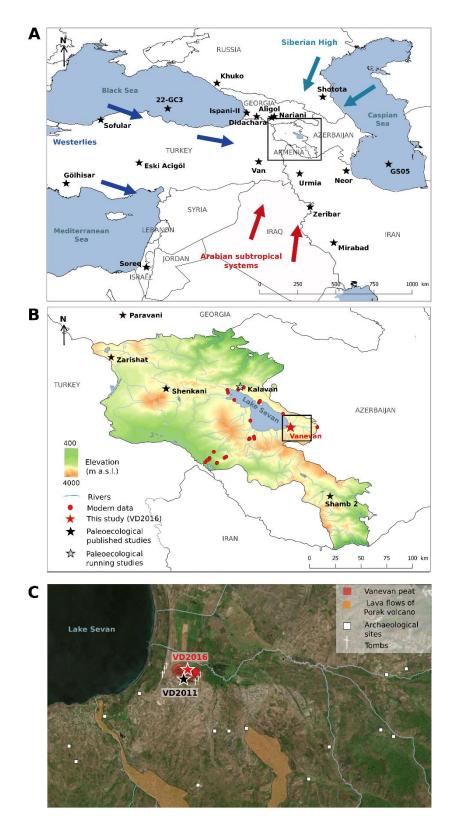
The climate of Armenia is dominated in winter by dry and cold air masses from Siberian High. 173 174 However, when these masses are weak, they are replaced by the Westerlies (associated with North 175 Atlantic Oscillation, NAO) with snowfall in winter and rainfall in spring. In summer, the climate is 176 warm and dry, and it is linked to Arabian subtropical high pressure in the west and Asian depression in the east (Volodicheva, 2002; Joannin et al., 2014). In the north of Lake Sevan, the annual precipitation 177 178 is about 500 mm and the annual temperature is 3°C, with a minimum in January of -8°C and a maximum 179 in August of 15°C (Sevan City meteorological station). The coastal belt of the lake receives between 350 and 450 mm of annual precipitation while the mountain zones receive around 800 mm 180 181 (Baghdasaryan, 1958). Thunderstorms are common around the lake in late spring, particularly in May-182 June.

183 The Armenian vegetation is dominated by steppes and only 8% are represented by forests 184 (Sayadyan, 2011). Armenia has a rich biodiversity and a high level of endemism (Fayvush et al., 2013). 185 The mountainous relief favors very different ecological environments (Stanyukovich, 1973; 186 Volodicheva, 2002; Fayvush et al., 2017). The lower landscapes (480–1200 m a.s.l.) are covered by semi-desert vegetation, dominated by Artemisia fragrans. The middle mountains (1200-1800 m a.s.l.) 187 188 are covered by steppes, mainly dominated by Stipa spp., or sparse arid woodland composed by Pistacia 189 atlantica subsp. mutica, Amygdalus fenzliana and Rhamnus pallasii. On the slopes (1700-2300 m a.s.l.) arid woodland may develop with Juniperus spp. The upper mountains (1900-2300 m a.s.l.) are 190 characterized by meadow steppe, rich in Poaceae. The subalpine mountains (2300-2900 m a.s.l.) are 191 192 covered by subalpine meadows, generally dominated by Festuca varia, and some Quercus macranthera 193 woodlands. The alpine mountains (2700-3700 m a.s.l.) are covered by alpine meadows rich in Poaceae (such as *Poa alpina*) and including *Taraxacum stevenii*, *Alchemilla* spp., *Potentilla* spp., *Primula* spp., 194 195 Geranium spp., Campanula spp. and Pedicularis spp. The vegetation around Lake Sevan is mostly

- 196 composed of meadow steppes dominated by Poaceae. Only the borders of the lake have trees, principally
- 197 pines planted during the 1980s and some arid woodland on north-facing slopes. In the southeast slopes
- 198 of Lake Sevan, some *Juniperus* spp. are also present. The detailed description of potential vegetation of
- 199 Bohn et al. (2000) around Lake Sevan is presented in Leroyer et al. (2016). At a local scale, the
- 200 vegetation of Vanevan peat is dominated by Poaceae, Cyperaceae and Juncaceae.
- 201

202 <u>2.3 Archeology and modern human activities</u>

During the Holocene, the first signs of human occupation (hunter-gatherers) in Armenia have 203 204 been recorded during the Mesolithic in the lower Kasakh valley (Arimura et al., 2012). Agriculture is 205 established during the Late Neolithic (8000-7500 a cal BP) on the Ararat plain (Badalyan et al., 2004; 206 Hovsepyan and Willcox, 2008; Badalyan and Harutyunyan, 2014) where cereals, vetch and lentil have 207 all been recorded along with the presence of sheep (Ovis aries), goat (Capra hircus), cattle (Bos taurus) and scant evidence of pigs (Sus domesticus) (Hovsepyan and Willcox, 2008). On the shore of Lake 208 209 Sevan, the first traces of agriculture date around 5500 a cal BP during the Early Bronze Age (Hovsepyan, 2013, 2017). During the Early, Mid-, and Late Bronze Ages, Early Iron Age, and medieval period, 210 cereals are the primary subsistence crop in the southeast of Lake Sevan around Gilli wetland (Biscione 211 et al., 2002; Parmegiani and Poscolieri, 2003; Hovsepyan, 2013, 2017). The long-term occupation of 212 this area is also attested by the presence of many tombs in Gilli wetland (Fig. 1C). Several empires 213 including the Persians (Achaemenids and Sassanids), Arabs, and Mongols and states including Urartu, 214 Ottoman Turkey, Imperial Russia, and the USSR have succeeded in Armenia (Lindsay and Smith, 2006). 215 216 The Urartian Empire was present during the Iron Age and centered around Lake Sevan (Biscione et al., 2002; Parmegiani and Poscolieri, 2003). During this period subsistence focused on the cultivation of 217 218 cereals, vines, fruit trees, and pastoralism. Today, the activities are centered around agriculture and 219 extensive pastoralism. To date, no pollen study has been able to compare pollen indicators of human 220 activities with archeological findings in the Sevan area. In the work done by Leroyer et al. (2016) at Vanevan, the pollen sequence does not cover the last 5700 years BP. 221



223 Figure 1. A) East Mediterranean region with selected paleoenvironmental studies: Lake Khuko (Grachev et al., 224 2020), Shotota swamp (Ryabogina et al., 2018), 22-GC3 (Shumilovskikh et al., 2012), Ispani-II mire (Connor and 225 Kvavadze, 2008), Lake Aligol (Connor and Kvavadze, 2008), Didachara Mire (Connor et al., 2018), Nariani 226 wetland (Messager et al., 2017), Sofular cave (Göktürk et al., 2011), Lake Van (Wick et al., 2003), Eski Acigöl 227 (Roberts et al., 2001), Lake Neor (Sharifi et al., 2015), Lake Urmia (Djamali et al., 2008), Lake Gölhisar (Eastwood 228 et al., 2007), GS05 (Leroy et al., 2013), Lake Zeribar (Stevens et al., 2001), Lake Mirabad (Stevens et al., 2006), 229 Soreq cave (Bar-Matthews et al., 1997). B) Topography of Armenia with the location of Vanevan peat and modern 230 samples (mosses, soils and botanical records). Black and gray stars represent published and ongoing paleoecological studies, respectively: Lake Paravani (Messager et al., 2013; 2073 m), Zarishat fen (Joannin et al.,
2014; 2116 m), Lake Shenkani (Cromartie et al., 2020; 2193 m), Lake Kalavan (Joannin et al., in prep; 1603 m),
Shamb 2 (Ollivier et al., 2011). C) Southeastern shore of Lake Sevan with the location of Vanevan peat (VD2016
core, this study; VD2011 core, Leroyer et al., 2016) and archeological sites (Biscione et al., 2002; Parmegiani and
Poscolieri, 2003; Hovsepyan, 2013, 2017). Image modified from Google Earth (Image © 2020 CNES/Airbus, ©
2019 Google, © 2019 Basarsoft).

237 238

3. Material and methods

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240 <u>3.1 Field campaign</u>

241 3.1.1 Core retrieval

The present study focuses on a new core VD2016 (40°12'8.83"N, 45°40'24.03"E, 1916 m a.s.l.) retrieved from approximately 850 meters north of the previous Vanevan study (Fig. 1C). Two parallel cores (cores A and B) were taken with a 1 m Russian corer with a 6.3 cm diameter chamber. The mastercore (MC), was built from sections of both cores using the lithology and XRF data for correlation. The complete continuous sequence measures 601 cm in total.

247

248 *3.1.2 Modern samples*

249 A total of 28 modern pollen samples along an altitudinal transect from the Ararat plain (808 m 250 a.s.l.) to the mountains of Lake Sevan (2699 m a.s.l.) were collected in May 2016, 2017, and 2019 (Fig. 251 1B and Supplementary Table S1). This transect records the vegetation in semi-desert steppes, meadow 252 steppes, subalpine, and alpine meadows in Armenia (Stanyukovitch, 1973; Volodicheva, 2002). Several 253 sampling sites are located around Lake Sevan and three altitudinal transects were performed in the 254 mountains around it (Mount Artanish, Mount Armaghan, and Mount Katarajayr). In each sampling site, 2-5 moss polsters or soil were collected within a radius of 5 m and then combined into one sample. The 255 256 vegetation within a radius of 10 m, representing the local vegetation, was recorded by visual estimation 257 of percentage cover for 19 sites (adaptation of the Braun-Blanquet method; Braun-Blanquet and 258 Schoenichen, 1964). For the other sites, the local vegetation was not quantitatively identified but qualitatively estimated. The vegetation within a radius of 100 m is considered as the extra-local 259 vegetation and beyond this distance as the regional vegetation. The modern climate data were calculated 260 261 with the New_LocClim 1.10 software (Grieser et al., 2006) and then corrected according to the site 262 elevation.

263

264 <u>3.2 Age model, lithology, and geochemistry</u>

265 3.2.1 Age model and lithology

The core chronology is based on 12 accelerator mass spectrometry (AMS) ¹⁴C dates (Table 1). For seven samples, plant macrofossils (plant fibers, seeds) and charcoal were selected for dating. In addition, bulk sediment was used for another set of 5 samples in which the quantity of macrofossils was insufficient. Radiocarbon ages were calibrated in years cal BP using *Calib 8.2* software with the

- 270 IntCal20 calibration curve (Reimer et al., 2020) and the median calibrated ages with the 2 σ confidence
- 271 intervals (95%) are reported in Table 1. The age-depth model was constructed using an interpolated
- 272 linear curve with the R 'Clam' program with 95% confidence intervals (Blaauw, 2010). Three zones
- 273 were defined upon visual differences in lithology.
- 274

Table 1. AMS-radiocarbon dates (Radiocarbon Laboratory, Poznań), calibrated median ages, with 2 σ range of
 calibration from Vanevan peat A and B cores. *: Age rejected

	Depth MC			AMS 14C	Age (a cal	Median age
Sample ID	(cm)	Lab code	Material	age (a BP)	BP) (2 σ)	(a cal BP)
A0 15-17	15	Poz-111218	Plant fibers	875 ± 30	692-903	768
A0 42-43	42	Poz-88797	Plant fibers	1860 ± 50	1623-1916	1773
A1 16-17	59	Poz-110035	Plant fibers, seeds	2355 ± 35	2326-2665	2377
A1 55-57	98	Poz-89222	Plant fibers	4380 ± 40	4848-5255	4943
A2 16-17	126	Poz-110036	Plant fibers, seeds	4400 ± 35	4859-5263	4966
A2 56-57	165	Poz-119280	Plant fibers, charcoals, seeds	4450 ± 30	4885-5284	5112
A2 86-87*	195	Poz-122005	Bulk	6605 ± 35	7429-7568	7495
A3 39-40	247	Poz-122006	Bulk	6690 ± 35	7479-7651	7554
A3 81-82	289	Poz-119285	Bulk	6920 ± 40	7670-7842	7746
A5 80-81	486	Poz-119281	Bulk	7980 ± 50	8646-8998	8844
B5 29-31	534	Poz-89221	Plant fibers	8230 ± 40	9026-9401	9197
A6 91-92*	597	Poz-121074	Bulk	6980 ± 50	7690-7931	7810

278 *3.2.2 Geochemistry*

279 The running chemical composition of the sediment cores was performed using an Avaatech 280 XRF (EDYTEM Laboratory) core scanner at a 0.5 cm interval (elements presented here: Si, K, Ti, Al, 281 S, Fe, Ca, Mg, P). XRF measurements were carried out on split cores with a duration step of 10 s. A 10 282 kV voltage and a 1000 µA current was applied to detect elements. Because of the influences of variable water content and grain size on the sediment matrix, the XRF scanner provides an estimate of the 283 geochemical composition, and the acquired counts are semi-quantitative. The selected elements are 284 indicative of the sediment geochemistry itself depending on erosive and deposit conditions, and of 285 sources in the catchment (Croudace and Rothwell, 2015). Principal component analysis (PCA) was 286 performed on XRF data with FactoMineR 2.4 package (Lê et al., 2008). Titanium (Ti) content is 287 considered as a terrigenous indicator because it is weakly affected by weathering and redox conditions 288 289 (Arnaud et al., 2012). Silicon (Si) content may be derived from diatoms, radiolaria, siliceous sponges, or from phytoliths contained in aquatic and terrestrial plants. The ratio Si/Ti allows to understand the 290 291 respective role of organic production or terrigenous inputs (Brown et al., 2007).

292

293 <u>3.3 Pollen, NPP analysis, and pollen-inferred climate reconstruction</u>

295 *3.3.1 Pollen, NPP extraction, and counting*

296 A total of 28 modern and 94 fossil pollen samples from the Vanevan core (2 cm resolution between 43-99 cm, 4 cm resolution between 99-170 cm, 10 cm resolution between 170-600 cm) were 297 extracted for analysis. For each sample, 1 cm³ of sediment was processed and 3 Lycopodium tablets 298 were added to calculate the absolute abundance of pollen grains. The core samples were treated with the 299 300 standard procedure (Fægri et al., 1989; Moore et al., 1991) including HCl, KOH, acetolysis and HF. The 301 pollen and NPP counts were carried out with a Leica DM1000 LED microscope at a standard magnification of 400x. Pollen and NPP taxa were identified using photo atlases (Reille, 1992–1998; 302 Komárek and Jankovská, 2001; Van Geel, 2002; Beug, 2004) and a modern reference collection (ISEM, 303 304 University of Montpellier). A minimum of 300 or 200 pollen grains of terrestrial taxa (excluding aquatic 305 plants, mainly Cyperaceae) was counted by slide for the richest and the poorest samples, respectively, to obtain a representative assessment of pollen types (Lytle and Wahl, 2005; Djamali and Cilleros, 306 307 2020). Grass pollen grains greater than 40 μ m were classified as *Cerealia*-type (Beug, 2004). Aquatic 308 taxa, fern spores, and NPPs (algae and fungal spores) were counted alongside pollen. The pollen 309 diagrams were constructed with the R package Rioja (Juggins, 2020).

310

311 3.3.2 Pollen-inferred climate reconstruction

312 To reconstruct climate parameters from pollen data, currently available methods have their own 313 set of advantages and limitations and the selection of the most appropriate technique to be used on the 314 fossil pollen record can be complex. A multi-method approach is the best choice to increase the 315 reliability of the climate reconstruction (e.g. Brewer et al., 2008; Peyron et al., 2013; Salonen et al., 316 2019). Four methods have been selected here among the most accurate (Chevalier et al., 2020): the 317 Modern Analog Technique (MAT; Guiot, 1990), Weighted Averaging Partial Least Squares regression 318 (WAPLS; Ter Braak and Van Dam, 1989; Ter Braak et al., 1993), and regression Trees: Random Forest 319 (RF; Breiman, 2001; Prasad et al., 2006) and Boosted Regression Trees (BRT; De'ath, 2007; Elith et al., 320 2008). The MAT and the WAPLS are often selected to reconstruct past climate changes while RF and 321 BRT have been developed recently to reconstruct palaeoclimate changes (Salonen et al., 2016, 2019) 322 and have never been tested on Caucasus pollen records. Based on machine learning, these classification 323 trees are used to partition the data by separating the pollen assemblages based on the relative pollen 324 percentages. RF is based on a large number of regression trees, each tree being estimated from a 325 randomized ensemble of different subsets of the modern pollen dataset by bootstrapping. BRT is also based on regression trees and differs from RF in the definition of the random modern datasets. In RF, 326 each sample gets the same probability of being selected, while in BRT the samples that were 327 328 insufficiently described in the previous tree get a higher probability of being selected. This approach is 329 called 'boosting' and increases the performance of the model over the elements that are least well predicted. 330

The modern pollen dataset used here (382 samples) is part of the large Eurasian/Mediterranean 331 dataset compiled by Peyron et al., (2013, 2017) which include more than 3200 modern pollen samples 332 (moss polster, soils and top-cores). A recent study showed that (1) large databases are not reliable for 333 334 the reconstruction of steppic environment/climate and (2) local calibrations including data from steppe 335 and desert-steppe sites are necessary to better calibrate arid environments (Dugerdil et al., 2021). 336 Therefore, the large database has been cropped to optimize the selection of surface samples consistent 337 with our paleosequence environment: we used here a reduced dataset of 382 "cold steppe" samples, including new samples from Mongolia (Peyron et al., 2013, 2017; Dugerdil et al., 2021), Georgia 338 (Connor et al., 2004) and Armenia (seventy-five new modern samples, this study). We applied here a 339 340 biome constraint (Guiot et al., 1993), which is essential to define steppe environments and to distinguish 341 between cold and warm steppes. Therefore, we have finally used for the calibration of each method the samples attributed to the biomes "cold steppes" following the biomization procedure (Prentice et al., 342 1996; Peyron et al., 1998). The location of these 382 cold steppe samples is given in the Supplementary 343 344 Fig. S2. The surface calibration methods and datasets to reconstruct paleoclimate in arid environments are discussed in Dugerdil et al., (2021). In order to estimate the performance of each method, the transfer 345 346 functions have been tested on the modern "cold steppe" dataset, 60% of the dataset has been used to calibrate and 40% to test the transfer functions, thereby preventing a circular reasoning. Correlations 347 have been realized between the current climate parameters extracted from WorldClim 2 (Fick and 348 349 Hijmans, 2017) and the estimated climate parameters reconstructed by each method (Supplementary 350 Fig. S3). Then, the performance of each method and each calibration training was statistically tested 351 with a bootstrap technique (for more details, see Dugerdil et al., 2021). The transfer functions have been applied 500 times on the modern "cold steppe" dataset, thereby obtaining the Root Mean Square Error 352 (RMSE) and the R² and determining if modern samples are suitable for quantitative climate 353 354 reconstructions (Supplementary Table S4). Thereafter, the function transfers have been applied on the 355 Vanevan core samples with the modern "cold steppe" dataset. Four climate parameters were 356 reconstructed, mean annual air temperature (MAAT), mean annual precipitation (MAP), mean 357 temperature of the warmest month (MTWA), and summer precipitation (Psummer) including July, August, and September. For each climate parameter, the methods fitting with the higher R² and the lower 358 RMSE were selected. The WAPLS and the MAT methods were applied with the R package Rioja 359 360 (Juggins, 2020), the RF with the R package randomForest (Liaw and Wiener, 2002) and the BRT with 361 the R package dismo (Hijmans et al., 2020). Cyperaceae and ferns of Vanevan record have been excluded because they indicate local dynamics in Armenia (Joannin et al., 2014; Cromartie et al., 2020). 362 363

364 <u>3.4 GDGT analysis and annual temperature reconstruction</u>

366 *3.4.1 BrGDGT analyses*

A total of 46 core samples (4-20 cm resolution) were used for GDGT analysis. After freeze-367 drying and grounding, a subsample (0.8 g for soil, 1 g for clay and 0.6 g for peat sediments) was extracted 368 369 using a Microwave oven (MARS 6; CEM) with a mix of dichloromethane and methanol (3:1). The total 370 lipid extract was split on a silica SPE cartridge, using hexane: DCM (1:1) and DCM:MeOH (1:1). 371 GDGTs were analyzed using a High-Performance Liquid Chromatography Mass Spectrometry (HPLC-APCI-MS, Agilent 1200) with detection via selective ion monitoring (SIM) of m/z 1050, 1048, 1046, 372 1036, 1034, 1032, 1022, 1020, and 1018 for brGDGTs (Hopmans et al., 2016; Davtian et al., 2018). 373 GDGT concentrations were calculated based on the internal standard (C₄₆ GDGT, Huguet et al., 2006). 374 The linearity and analytic reproducibility were assessed based on an internal standard sediment. 375 376

377 3.4.2 brGDGT-based proxy calculation and global calibration datasets

378 The formulae for the brGDGT indexes are presented in Table 2. The proportions of tetra-(I), penta- (II) and hexa- (III) methylated brGDGTs were calculated with the fractional abundances of 379 brGDGTs including the 5-methyl (X), the 6-methyl (X') and the 7-methyl brGDGT (X₇) (Ding et al., 380 381 2016). The Σ IIIa/ Σ IIa ratio proposed by Xiao et al. (2016) was calculated according to the equation 382 modified by Martin et al. (2019) including the 5-, 6- and 7-methyl brGDGTs. The CBT and MBT 383 indexes were developed by Weijers et al. (2007) and the MBT'_{5me}, only based on the 5-methyl brGDGTs, 384 by De Jonge et al. (2014). The mean annual temperature was calculated with the global soil calibration developed by Naafs et al. (2017a) and the global lacustrine calibration developed by Sun et al. (2011). 385 The calibrations are applied according to the sediment types in paleorecords (e.g. Martin et al., 2019) 386 387 and can be homogenized using a Δ MAAT based on the mean value of each sediment type. The analytic reproducibility corresponds to 0.005 for CBT, 0.006 for MBT, 0.008 for MBT'_{5me}, 0.3 for MAAT_{soil5me} 388 389 and 0.2 for MAAT_{lake}.

	f the formulae for the main brGDGT indices	
Indice	Formula	Reference
%tetra	Ia + Ib + Ic	Ding et al.,
701211 U	$\Sigma brGDGTs$	2016
%penta	$IIa + IIa' + IIa_7 + IIb + IIb' + IIb_7 + IIc + IIc' + IIc_7$	Ding et al.,
<i>Mpenta</i>	$\Sigma brGDGTs$	2016
%hexa	$IIIa + IIIa' + IIIa_7 + IIIb + IIIb' + IIIb_7 + IIIc + IIIc' + IIIc_7$	Ding et al.,
Jonexu	ΣbrGDGTs	2016
Σ ΙΙΙα/Σ ΙΙα	$IIIa + IIIa' + IIIa_7$	Martin et al.,
2 111 <i>u</i> /2 11u	$IIa + IIa' + IIa_7$	2019
CBT	Ib + IIb	Weijers et al.,
UD I	$-log \frac{Ib + IIb}{Ia + IIa}$	2007

MDT	Ia + Ib + Ic	Weijers et al.,
MBT	$\Sigma brGDGTs$	2007
MBT'_{5me}	Ia + Ib + Ic	De Jonge et
ind Sme	$\overline{Ia + Ib + Ic + IIa + IIb + IIc + IIIa}$	al., 2014
$MAAT \dots (^{\circ}C)$	$39.09 \times MBT'_{5me} - 14.50(n = 177, R^2 = 0.76, RMSE = 4.1^{\circ}C)$	Naafs et al.,
man soil5me(0)	$57.07 \times MDT_{5me}$ 14.50($n = 177, n = 0.70, nM3L = 4.10$)	2017a
	$3.949 - 5.593 \times CBT$	Sun et al.,
MAAT _{lake} (°C)	+ 38.213	2011
	$\times MBT(n = 100, R^2 = 0.73, RMSE = 4.27^{\circ}C)$	

4. Results

394

395 <u>4.1 Sediment analysis</u>

396 4.1.1 Age-depth model

The Clam age-depth model (Fig. 2B) is based on ten calibrated ¹⁴C dates (Table 1). Two ¹⁴C 397 398 dates (A2 86-87 at depth 195 cm and A6 91-92 at depth 597) were excluded from the age-depth model. The age-depth model constructed with the R 'Bacon' program (Blaauw and Christen, 2011; not shown) 399 400 has rejected the two dates and the same information was used with the 'Clam' program. The VC2016 401 record extends from 9700 a cal BP to 800 a cal BP. The age-depth model has an error of \sim 50–260 years 402 between 15-550 cm and \sim 210–470 years for the base of the core (550-600 cm) (Fig. 2B and Supplementary Table S5). The age-depth curve shows a sedimentation rate (16.7 cm.100 yr⁻¹) that 403 decreases at 7560 a cal BP (3.3 cm.100 yr⁻¹) except between 5100 and 4950 a cal BP (43.5 cm.100 yr⁻¹) 404 ¹). From the base of the core to 43 cm depth, an average temporal resolution of 77 years was achieved for 405 406 pollen records and 202 years for brGDGTs.

407

408 *4.1.2 Lithology changes*

The core lithology is divided into 3 units (Fig. 2B). Unit 1 (600-558 cm) is characterized by a 409 410 light gray clay and sand sediment. Unit 2 (558-170 cm) is composed of a gray clay sediment. Unit 3 (170-15 cm) is divided into 4 subzones. Unit 3a (170-144 cm) is composed of a brown peat silt sediment. 411 Unit 3b (144-125 cm) is characterized by alternating yellow and dark peat silt sediments. Unit 3c (125-412 113 cm) is marked by a gray clay sediment. Unit 3d (113-15 cm) is composed of a peat silt sediment 413 414 with brown, dark and orange colors. The clay sediments are interpreted as open underwater 415 environments while the peat sediments indicate the accumulation of plant remains growing in place in restricted underwater or near-surface environments. 416

417

418 4.1.3 Geochemical composition

A principal component analysis (PCA) was conducted on XRF elements (Fig. 2B) and the
 sample map was colored according to the lithology units. The first two dimensions (PCA 1_{XRF} and PCA

- 421 2_{XRF}) explain 80% of the variability (64% and 16% respectively). Two major geochemical end-members
- 422 are identified: the first one represents terrigenous inputs (K, Al, Mg, Si, Ti, Fe) and are positively
- 423 correlated with PCA 1_{XRF} . Terrigenous elements are associated with clay sediments (Units 1 and 2)
- 424 deposited in open underwater environments, and are opposed to organic sediments (Unit 3) mainly
- 425 composed of peat sediments formed in restricted underwater or near-surface environments.
- 426 The second end-member represents carbonate components (Ca), sulfur (S) and phosphorus (P)
- 427 and are positively correlated with PCA 2_{XRF} . Calcium is abundant at the base of the core (Unit 1) and in
- 428 the first organic levels (Units 3a, 3b, 3c). Sulfur and phosphorus are only abundant in the first organic
- 429 levels (Units 3a, 3b, 3c). The upper part of the core (Unit 3d) is distinguished by a biogenic silica
- 430 production, visible in the ratio Si/Ti (Brown et al., 2007).

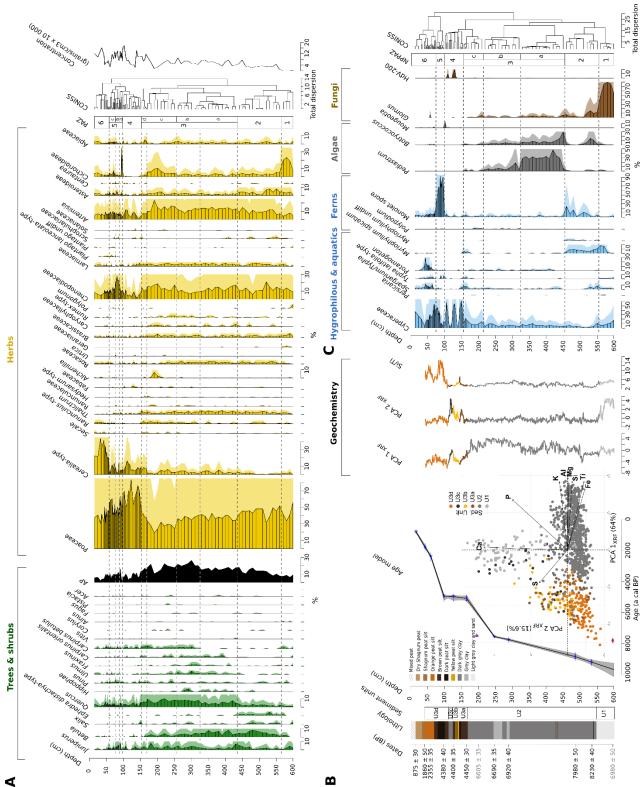


Figure 2. Pollen and sedimentology of Vanevan peat against core depth. A) Selected terrestrial pollen taxa. Tree, 435 shrub, and herb pollen taxa are expressed in percentages of total terrestrial pollen. AP: Arboreal Pollen. PAZ: 436 Pollen Assemblage Zones. B) Sediment lithology, age-depth model and geochemical data. The age-depth model 437 is based on calibrated radiocarbon ages (with 2σ errors) (AMS, see Table 1). Principal component analysis (PCA) 438 was done on XRF data according to the lithological units. The first two dimensions (PCA 1_{XRF} and PCA 2_{XRF}) of 439 PCA are arranged by depth. C) Selected hygrophilous and aquatic pollen taxa and NPPs. Hygrophilous and aquatic 440 pollen taxa are expressed in percentages of total pollen. Fern spores, algae and fungi are expressed in percentages 441 of total terrestrial pollen and NPPs. NPPAZ: Non-Pollen Palynomorph Assemblage Zones.

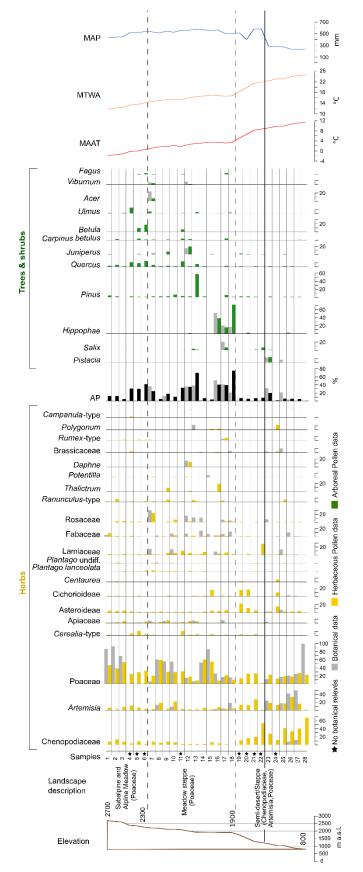
443 <u>4.2 Pollen analysis and pollen-based climate reconstruction</u>

444 *4.2.1 Surface samples, vegetation, and climate*

The modern pollen assemblages (Fig. 3) are dominated by herbaceous pollen taxa, including Poaceae, Chenopodiaceae and *Artemisia*. By comparing the pollen data with the vegetation, it appears that Poaceae is well associated with the local vegetation, while Chenopodiaceae, *Artemisia*, Asteroideae and Cichorioideae are over-represented. Arboreal taxa such as *Hippophae* is well associated with the local vegetation while *Quercus* and *Pinus* are over-represented.

The altitudinal vegetation gradient is well recorded in the modern pollen rain. The lower and 450 451 middle elevations (800-1900 m) are dominated by pollen of Chenopodiaceae (33%), Poaceae (19%), 452 Artemisia (16%), and indicate a semi-desert or steppe vegetation. A few pollen grains of Pistacia and 453 Salix are also recorded. These levels are distinguished by high percentages of Chenopodiaceae and Artemisia and by low percentages of arboreal pollen taxa (7%). The upper elevations (1900-2300 m) 454 record high percentages of Poaceae (30%), characteristic of meadow steppes, except when trees or 455 456 shrubs are present in the local (Hippophae, Salix, Juniperus, Acer, Viburnum) or extra-local vegetation (Pinus). Pinus was present in the extralocal vegetation of sites number 13 and 18. However, only site 457 458 number 13 records Pinus pollen. Ouercus, Carpinus betulus and Fagus are recorded with low percentages (<4%). Artemisia and Chenopodiaceae represent only 7% and 4%, respectively. The 459 subalpine and alpine environments are dominated by Poaceae pollen (38%) and few arboreal pollen 460 taxa, such as Quercus (7%), Betula (6%), Ulmus (3%), are recorded. At these elevations, Artemisia and 461 462 Chenopodiaceae represent 7% each.

Although the modern sites are distant from agricultural areas, pollen of *Cerealia*-type are recorded, reaching up to 11%. Pollen indicator of pastoralism activities, such as *Plantago lanceolata*type or *Rumex*-type, represent less than 1% on average and a maximum of 6%. Otherwise, the pollen rain shows correspondence to climatic gradients (Fig. 3). The highest percentages of Chenopodiaceae and *Artemisia* pollen correspond to high temperature (>5°C) and low precipitation (MAP<500 mm). The limit between cool and warm steppe biomes correspond to a change in Chenopodiaceae percentages and in MAP. In contrast, percentages of Poaceae pollen increase when MAAT decreases.



472 Figure 3. Selected modern pollen assemblages, botanical relevés and climate values along an altitudinal transect

473 in Armenia. MAAT=mean annual air temperature, MTWA= mean temperature of the warmest month, MAP=

474 mean annual precipitation.

476 4.2.2 Pollen sequence: terrestrial, hygrophilous vegetation, and Non-Pollen Palynomorphs

A total of 76 terrestrial pollen taxa were identified in the Vanevan core VC2016. Herbaceous pollen taxa dominate the pollen assemblages along the sequence ranging from 73 to 99%. The pollen diagram is divided into 6 pollen assemblage zones (PAZ) according to the CONISS method (Grimm, 1987) and visual differences for subzones transitions (PAZ 3a/3b) (Fig. 2A, Table 3). The diagram of NPPs and hygrophilous vegetation is divided into 6 Non-Pollen Palynomorph assemblage zones (NPPAZ) according to the CONISS method (Grimm, 1987) (Fig. 2C, Table 3). The limits of NPPAZ generally follow changes in lithology.

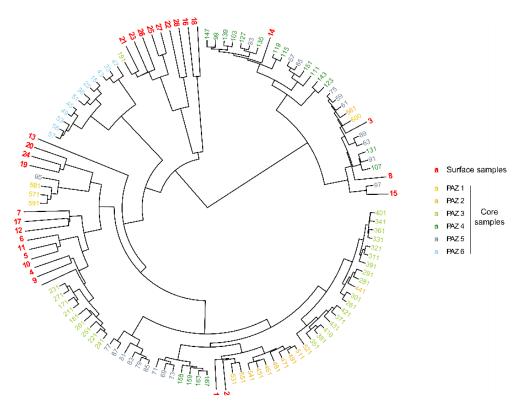
Table 3. Inventory of pollen assemblage zones (PAZ), depth, estimated ages, total of arboreal pollen (AP_t),
common and rare pollen types (CPT, RPT) for arboreal and herbaceous taxa, Non-Pollen Palynomorph assemblage
zones (NPPAZ) and main hygrophilous pollen taxa and Non-Pollen Palynomorphs (NPPs). Common pollen types
(CPT) include pollen taxa with percentages > 5% and rare pollen taxa (RPT) percentages < 5%

PAZ	· · ·		Arboreal and herbaceous pollen	NPPAZ	Hygrophilous pollen
	0		Common pollen types (CPT)		NPPs
	BP)		Rare pollen types (RPT)		<u> </u>
6	58-15	AP _t 7	CPT: Poaceae, <i>Cerealia</i> -type, Chenopodiaceae,	6	Cyperaceae, Potamogeton
	2350-790		Artemisia RPT: Cichorioideae, Asteroideae, Apiaceae Rosaceae,		<i>Sparganium/Typha</i> , Cyperaceae
			Ranunculus-type, Quercus, Carpinus betulus, Juniperus		Cyperaceae
				-	
5c	76-58		CPT: Poaceae, Chenopodiaceae, Cerealia-type,		
	3500-2350		Artemisia		
			RPT: Cichorioideae, Asteroideae, Apiaceae; Quercus,		
-1	00 54		Juniperus, Carpinus betulus, Pinus		
5b	88-76		CPT: Poaceae, Chenopodiaceae, Artemisia, Cerealia-		
	4300-3500		type		
			RPT: Asteroideae, Cichorioideae, Apiaceae, Juniperus,		
5a	98-88		<i>Quercus, Pinus, Carpinus betulus</i> CPT: Poaceae, Cichorioideae, Chenopodiaceae,		
Ju			Artemisia		
	4950-4300		RPT: Cerealia-type, Asteroideae, Lamiaceae,		
			Thalicthrum; Quercus, Juniperus, Pinus, Carpinus		
			betulus		
5	98-58	APt 9	000000	5	Monolete spores, Typha
	4950-2350				<i>latifolia</i> -type, Cyperaceae
4	153-98	APt 8	CPT: Poaceae, Cerealia-type, Chenopodiaceae,	4	Alternating episodes with
-	5100-4950		Artemisia	-	or without Cyperaceae
	5100-4950		RPT: Lamiaceae; Quercus, Juniperus, Carpinus betulus	2	accompanied by
			$==========, \underline{z}_{1}, \ldots, \underline{z}_{r}, \ldots, \underline{r}_{r}, \ldots, \underline{r}$		Sparganium/Typha,
					Myriophyllum spicatum,
					fungi HdV-200, Mougeotia
3d	256-153		CPT: Poaceae, Chenopodiaceae, Cerealia-type,		
	5200-5100		Artemisia		
			RPT: Cichorioideae, Asteroideae, Quercus, Carpinus		
			betulus, Juniperus, Carpinus orientalis		
3с	256-169		CPT: Poaceae Chenopodiaceae, Artemisia,		
	7600-5200		Cichorioideae, Quercus, Alchemilla		
			RPT: Cerealia-type, Asteroideae, Thalictrum,		
			Brassicaceae, Lamiaceae, Rosaceae, <i>Ranunculus</i> -type,		
			Caryophyllaceae, Rumex-type; Betula, Juniperus,		
			Carpinus betulus		
	226 256		CPT: Poaceae, Artemisia, Chenopodiaceae, Quercus		
3b	326-256				
3b	326-256 8000-7600		RPT: Cerealia-type, Thalictrum, Cichorioideae,		
3b					

		Rumex-type; Betula, Juniperus,Ulmus, Fraxinus, Hippophae, Carpinus betulus, Carpinus orientalis		
3а	436-326 8600-8000	CPT: Poaceae, Artemisia, Chenopodiaceae RPT: Cerealia-type, Thalictrum, Cichorioideae, Brassicaceae, Apiaceae, Lamiaceae, Rosaceae, Asteroideae, Caryophyllaceae; Betula, Quercus, Ulmus, Fraxinus, Carpinus orientalis, Hippophae, Pinus		
3	436-153 APt 17 8600-5100	Maximum of arboreal pollen percentages and diversity. Progressive decrease in Poaceae and trees until 5900 a cal BP.	3	Planktonic algae (<i>Pediastrum,</i> <i>Botryococcus</i>), Cyperaceae
2	566-436 APt 13 9400-8600	CPT: Poaceae Chenopodiaceae, Artemisia RPT: Cichorioideae, Asteroideae, Thalictrum, Brassicaceae, Apiaceae, Lamiaceae, Rosaceae; Betula, Quercus, Juniperus, Ephedra distachya-type, Salix, Hippophae	2	Myriophyllum spicatum, Monolete spores. At 9200 a cal BP, peak of Botryococcus Glomus, Sparganium/Typha
1	600-566 AP _t 8 9700-9400	CPT: Poaceae, Cichorioideae, Chenopodiaceae Artemisia RPT: Asteroideae, Polygonum, Thalictrum, Brassicaceae, Apiaceae; Juniperus, Quercus, Betula, Carpinus betulus	1	Glomus, Cyperaceae, Myriophyllum spicatum

490 *4.2.3 Comparison between surface and core samples*

491 A classification by hierarchical cluster analysis was conducted on Armenian surface samples 492 and core VD2016 samples (Fig. 4). The surface samples are well distributed within the core samples: the surface samples dominated by Poaceae (n. 1-3, 8, 14, 15), are close to core samples which record a 493 large percentage of Poaceae (PAZ 1, 2, 4, 5) whereas those recording regional arboreal taxa (n. 4-7, 9-494 495 12, 17) approach the core samples of PAZ 3 representing the most forested phase. At lower and middle 496 elevations, the surface samples with a large percentage of Cichorioideae (19, 20, 24) are close to the 497 PAZ 1 core samples recording a large proportion of this taxon whereas those dominated by 498 Chenopodiaceae, Artemisia and Poaceae (n. 13, 16, 18, 21-23, 25-28; i.e. mainly lowland samples) seem more different of the core samples. 499



500

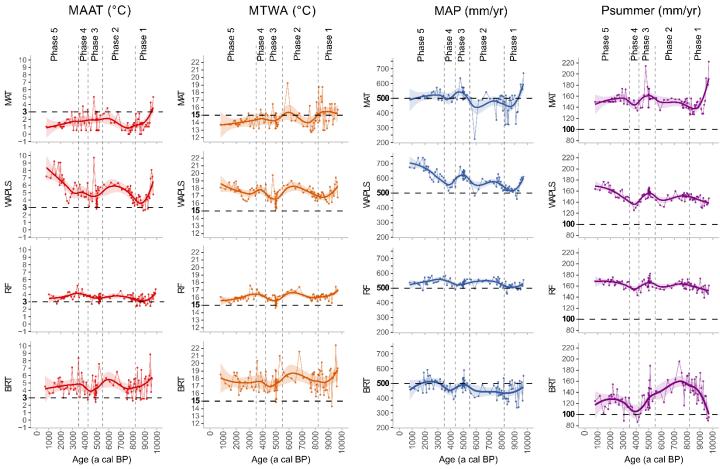
Figure 4. Classification by hierarchical cluster analysis on surface samples of Armenia (presented in Fig. 3) and core samples of VD2016 expressed in depth (presented in Fig. 2). The color of core samples corresponds to the six pollen assemblage zones (PAZ) defined with the CONISS method. The distance matrix was calculated using Euclidean distance and Ward's algorithm was applied for clustering.

506 4.2.4 Pollen-inferred climate reconstruction

Climate changes at Vanevan are estimated using four methods: MAT, WAPLS, RF, and BRT 507 508 (Fig. 5). The climate patterns reconstructed are consistent and do not seem method-dependent. The MAT 509 and the BRT appear as the most sensitive methods and the results show important sample-to-sample 510 variability; the WAPLS method shows large variations along the Holocene and the inferred values are 511 significantly higher than the modern values; the RF is the less sensitive method (Fig. 5). Correlations 512 between current and estimated climate parameters show the maximum R² for the RF and BRT methods (Supplementary Fig. S3). However, the RF method does not reconstruct correctly the high or the low 513 current climate values. Statistical results of the model performances are presented in Supplementary 514 Table S4; the BRT method presents the maximum R^2 and the minimum RMSE for all climatic 515 516 parameters.

- 517 Based on the multi-method approach, the Vanevan climate reconstruction (Fig. 5) shows 518 remarkably consistent trends during the Holocene, except for the most recent periods. Five climatic 519 phases have been defined and are described below.
- 520 Phase 1 (9700 8200 a cal BP) is first characterized by warm and wet conditions. For temperature and
- annual precipitation, all methods show the same trend although the reconstructed values can be different.
- 522 Then, the reconstructions show a drop in temperature and precipitation with a minimum between 8600
- 523 and 8200 a cal BP.

- Phase 2 (8200 5500 a cal BP) is marked by warmer conditions than previously. Temperatures increase
 and reach an optimum around 6000 a cal BP (2.5-6°C for MAAT and 17-19°C for MTWA). Considering
- 526 precipitation, it first increases and then declines around 6000 a cal BP (350-530 mm/year for MAP and
- 527 130-150 mm/year for Psummer).
- 528 Phase 3 (5500 4300 a cal BP) shows cooler and wetter conditions than previously. Precipitation
- 529 increases for all methods reaching 460-550 mm/year for MAP and 130-170 mm/year for Psummer.
- 530 Phase 4 (4300 3500 a cal BP) is mainly characterized by a drop in annual precipitation and particularly
- 531 in Psummer with a minimum at 4100 a cal BP (90-150 mm/year).
- 532 Phase 5 (3500 800 a cal BP) is marked by divergent trends according to the methods. The MAT, RF,
- and BRT methods record a decrease of temperature and precipitation. In contrast, the WAPLS method
- shows the warmest and the wettest conditions of the Holocene. At 2800 a cal BP, a decrease intemperature and precipitation are recorded by WAPLS and RF methods.
- 536



⁵⁵⁷

Figure 5. Pollen-inferred climate changes estimated using four methods: MAT (Modern Analogue Technique),
WAPLS (Weighted Averaging Partial Least Squares regression), RF (Random Forest) and BRT (Boosted
Regression Trees). Dotted lines correspond to modern values (Sevan city meteorological station). MAAT: mean
annual air temperature. MTWA: mean temperature of the warmest month. MAP: mean annual precipitation.
Psummer: summer precipitation.

565 <u>4.3 GDGT analysis</u>

566

567 *4.3.1 Distribution of brGDGTs*

The concentration of brGDGTs (Fig. 6D) ranges from 0.04 to 11.6 μ g/g dry sediment. The 568 569 fractional abundances of brGDGTs (Fig. 6A) show a dominance of pentamethylated brGDGTs (II, 49%) 570 in particular brGDGT IIa (20%), brGDGT IIa' (11%), and brGDGT IIb (9%). The relative abundance 571 of tetramethylated brGDGTs (III, 26%) is explained by the abundance of brGDGT Ia (14%) and 572 brGDGT Ib (10%). Finally, the relative abundance of hexamethylated brGDGTs (III, 25%) can be 573 explained by the presence of brGDGT IIIa (11%) and brGDGT IIIa' (9%). The relative abundances of tetra, penta- and hexamethylated brGDGTs (Fig. 6B) differ according to the type of sediment in the 574 core. The peat sediment samples are close to global soils and peats, whereas the clay sediment samples 575 576 are closer to global lakes.

577 A principal component analysis (PCA) and a hierarchical clustering on principal components 578 (HCPC) were conducted on the fractional abundances of brGDGTs with FactoMineR 2.4 package (Lê et al., 2008) (Fig. 6C). The first two dimensions (PCA 1 and PCA 2) explain 86% of the variability (54% 579 580 and 32% respectively). Three groups are identified by HCPC: the first one is associated with 581 tetramethylated brGDGTs and the samples are negatively correlated with PCA 1. This group is 582 composed of peat sediment samples. The second one is associated with brGDGTs Σ IIa and the samples 583 are negatively correlated with PCA 1 and PCA 2. The group is composed of samples mainly located in 584 the lithological transition zone of the core between peat and clay sediments. The third one is associated 585 with hexamethylated brGDGTs, brGDGTs Σ IIb and brGDGTs Σ IIc and the samples are positively 586 correlated with PCA 1. The group is mainly composed of lake-type sediment samples.

587

588 *4.3.2 Ratio and indices*

589 The Σ IIIa/ Σ IIa ratio shows a general decreasing trend from the beginning to the end of the core 590 (Fig. 6D). From 9700 to 5100 a cal BP (lake sediment), the average $\Sigma IIIa / \Sigma IIa$ ratio is equal to 0.83 and then from 5100 a cal BP to today (peat sediment), the average ratio is equal to 0.52. The MBT and the 591 592 MBT'5Me show similar variations but different absolute values (Fig. 6D). The MBT varies between 593 0.15 and 0.40 and the MBT'5Me between 0.30 and 0.51. From 9700 to 7300 a cal BP, the index values increase and then remain relatively stable. From 7300 a cal BP, they decline and from 5000 a cal BP 594 they largely increase. From 4200 to 3000 a cal BP, the index values progressively decrease and then 595 remain stable except at 1800 a cal BP. The CBT index shows variation between 0.17 and 0.42 except at 596 9200, 8900 and 5000 a cal BP where the values vary from 0.5 to 0.71 (Fig. 6D). 597

598

599 4.3.3 Temperature reconstructions

The reconstructed mean annual air temperature (MAAT) using soil (Naafs et al., 2017a) and
lake calibrations (Sun et al., 2011) show similar trends but different absolute values (Fig. 6D). For soil,

the average MAAT is equal to 0.66 °C during the Holocene and for lake the average MAAT is equal to 602 11.9 °C. Between 9700 to 7300 a cal BP, MAAT reconstructed values increase and then remain 603 relatively stable. For this period, the average MAAT is equal to 10.4 °C for lake calibration. From 7300 604 605 a cal BP, the MAAT reconstructed values decline, reaching 9.6°C for lake calibration at 6200 a cal BP. 606 Then shifting to soil calibration, a large increase is recorded from 5000 a cal BP and the MAAT reaches 607 5.7°C. From 4200 to 3000 a cal BP, the MAAT progressively decrease and then remains stable until the 608 present at around 0.86°C for soil calibration except at 1800 a cal BP when a peak is recorded. The ΔMAAT records low temperatures between 9700 and 8700 a cal BP and a plateau until 7400 a cal BP 609 610 followed by a continuous decrease onward. Inner variability in this last trend occurs at 4900-3600 a cal BP and a single peak at 1800 a cal BP. 611



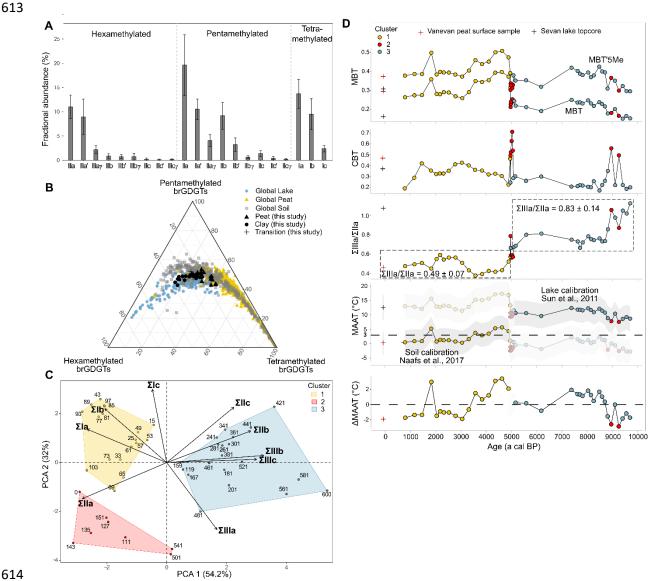


Figure 6. A) Average fractional abundance of individual brGDGT, B) Ternary diagram showing the fractional abundances of tetra-, penta-, and hexamethylated brGDGTs. The dataset of Vanevan core are plotted against that of lakes (Wang et al., 2012; Günther at al., 2014; Li et al., 2016; Zink et al., 2016; Dang et al., 2018; Weber et al., 2018; Martin et al., 2019; Ning et al., 2019), of global peat (Naafs et al., 2017b), and of soils (Yang et al., 2014;

Naafs et al., 2017a). C) Principal component analysis (PCA) and hierarchical clustering on principal components
(HCPC) with fractional abundances of brGDGTs. Labels correspond to sample depth. D) Degree of methylation
(MBT, MBT'5Me), Cyclisation ratio (CBT), ΣIIIa/ΣIIa ratio, Mean annual air temperature values (MAAT) based
on Naafs et al., 2017a and Sun et al., 2011, Difference of temperature (ΔMAAT) against age. ΔMAAT corresponds
to the centered values based on the mean value of the two sediment types (peat and lake).

625 626

5. Discussion

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628 <u>5.1 Pollen representation in modern vegetation and relationship with climate variables</u>

629 The relationship between pollen assemblages and modern vegetation depends on various factors, including pollen production, type of pollination, dispersion mechanisms, surrounding 630 vegetation, topography and microclimatic parameters (Jacobson and Bradshaw, 1981). Pollen rain 631 632 integrates local to regional vegetation (Jacobson and Bradshaw, 1981; Prentice, 1985). In Armenia, the vegetation is largely open which contributes to a greater pollen dispersion, but topography and 633 634 microclimatic parameters may also play significant roles. Understanding the representation of pollen and their origins are important for the reliability of the fossil record in vegetation and climate 635 636 reconstructions.

637 In our study (Fig. 3), the modern vegetation shows the dominance of herbaceous taxa whose pollen representation largely depends on the type of pollination. The insect-pollinated nature of 638 Fabaceae and Rosaceae explain their under-representation whereas the wind-pollinated nature of 639 640 Asteraceae (Artemisia, Asteroideae and Cichorioideae) and Chenopodiaceae explain their over-641 representation (Fig. 3). These results are consistent with previous studies in semi-arid regions of the 642 Caucasus where Chenopodiaceae represent 30% to 80% of the pollen signal (Connor et al., 2004). In 643 the desert regions of East Asia, Chenopodiaceae is the most abundant taxa and represents more than 644 60% of the pollen signal in China (e.g. Zhao and Herzschuh, 2009; Wei and Zhao, 2015; Zhang et al., 645 2018) and Mongolia (Ma et al., 2008). Artemisia, another taxa characterizing the semi desert-steppe environments of Armenia, is associated with the local vegetation along our sample gradient but is also 646 present without local presence (Fig. 3), indicating over-representation. This is consistent with 647 648 observations from the arid and semi-arid areas of Iran (up to 80% of the pollen signal in Djamali et al., 649 2009), China (e.g. Li et al., 2005; Xu et al., 2007, 2009; Zhang et al., 2018), and Mongolia (Ma et al., 2008) where Artemisia is the dominant taxa in steppe regions. In contrast, in Georgia (Connor et al., 650 651 2004) and Armenia, Artemisia is never the dominant taxa in modern pollen assemblages, although it is well recorded in semi desert-steppe environments of Armenia. According to previous studies in East 652 Asia (e.g. Li et al., 2008; Zheng et al., 2008), the over-representation of Chenopodiaceae and Artemisia 653 654 could be explained by wind transport dispersal, their long-distance transport capacity and a high pollen 655 production. In our study, Chenopodiaceae and Artemisia are registered in all modern samples although they are not necessarily present in the local vegetation. Chenopodiaceae is even well registered in 656

subalpine and alpine meadows whereas they do not appear in the vegetation, confirming the previousassumptions.

In steppes, subalpine and alpine meadows of Armenia, Poaceae is the dominant taxa and it is 659 660 reliably associated with the local vegetation (Fig. 3). In East Asia, Poaceae is very common in the semi-661 desert and steppe vegetation, even though it is generally not the dominant taxa in pollen assemblages 662 due to under-representation (e.g. Ma et al., 2008; Xu et al., 2014). However, in protected areas of 663 Mongolia, Poaceae is better recorded in pollen assemblages (Ma et al., 2008). Anthropogenic activities, 664 such as overcultivation and overgrazing, may prevent the flowering of Poaceae plants (Ma et al., 2008; Wei and Zhao, 2015). The rapid deterioration of Poaceae pollen after their deposition may also 665 contribute to the low representation of Poaceae in pollen assemblages of soils and mosses (Cao et al., 666 667 2007). In general, Poaceae is under-represented in pollen assemblages and often represents a quarter of vegetation (Ge et al., 2017). However, our study and Connor et al. (2004) show that in Georgia and 668 669 Armenia, Poaceae is well associated with the vegetation. In our case, the p/v ratio (average pollen 670 percentages/average vegetation cover percentages) is equal to 0.99, indicating a good correspondence between pollen and local vegetation. The reliable representation of Poaceae could be explained by the 671 672 abundance of Poaceae in Armenia and a limited anthropogenic pressure with extensive pastoralism.

Anthropogenic indicators, such as *Plantago lanceolata* and *Rumex*-type are present in very low proportion even with extensive pastoralism which is not easily detectable in the modern pollen assemblages. Considering *Cerealia*-type, the pollen percentages are low and no relationship was found between pollen and vegetation. These results are consistent since the selection of modern sites was done in areas remote from agricultural zones.

678 Arboreal pollen taxa are registered in all modern samples, but they are mainly present at mid-679 and high elevations (Fig. 3). In meadow steppes, trees and shrubs come primarily from local or extra-680 local vegetation whereas in subalpine and alpine meadows, trees come from regional vegetation. The 681 long-distance component varies depending on the location and the elevation of modern sites and it also 682 favored by the vegetation openness in Armenia. Arboreal pollen taxa representative of the local vegetation are Hippophae, Pistacia, Salix, Juniperus, Acer and Viburnum. In semi desert-steppe 683 684 environments, Pistacia can be present in areas close to sparse arid woodlands. In meadow steppes, 685 *Hippophae* is present in areas close to humid zones (Lake Sevan, rivers), *Salix* in riparian areas and 686 Juniperus on south-facing slopes. In the sample from the Lake Sevan surrounding, Hippophae is well 687 associated with the local vegetation even if it is a wind-pollinated tree (Li et al., 2005).

Other trees (*Pinus, Quercus, Carpinus betulus, Betula, Ulmus* and *Fagus*) recorded in our samples come from regional vegetation. Their over-representation may be partly explained by a wind pollination. *Pinus* pollen is registered at all elevations even if it is not present in the vegetation, except around Lake Sevan. There, *Pinus* was planted during afforestation program of USSR in the eighties. However, these pine plantations do not seem to produce pollen, as indicated by the low percentages of *Pinus* for the sample 18. A similar result occurs around the Mount Aragats in Armenia where *Pinus* is

poorly registered despite the presence of planted pine trees for approximately 40 years (Cromartie et al., 694 695 2020). *Pinus* is considered as a high pollen producer and it has a good pollen dispersion by wind (Connor et al., 2004). In subalpine and alpine meadows, *Ouercus* pollen averages 7% when oak forests are not 696 697 present. Interestingly, oak pollen is recorded at the same elevations inhabited by oaks on the reverse 698 slopes of the northeast mountains of Armenia and Azerbaijan. The over-representation of Quercus is 699 also reported from Georgia (Connor et al., 2004) and Iran (Ramezani et al., 2013) although Quercus has 700 a good pollen representation in the eastern part of Iran (Djamali et al., 2009). Quercus is a high pollen 701 producer and it is often well represented in the modern pollen assemblages of the Near East (Connor et 702 al., 2004). Our study confirms that *Quercus* pollen can be transported over long distance, even where 703 topographic barriers are high.

704 The relationship between modern pollen assemblages and climate variables is marked across 705 the altitudinal transect. Chenopodiaceae and Artemisia pollen dominate in semi desert-steppe vegetation 706 of Armenia when MAAT is high and MAP is low whereas Poaceae are dominant in meadow steppes, 707 subalpine and alpine meadows when MAAT decreases. Chenopodiaceae and Artemisia are indicators of continental climate characterized by cold winters and dry summers (El-Moslimany, 1990). In the 708 709 Near East and Asia, the Artemisia/Chenopodiaceae (A/C) ratio is used as an aridity index for desert and steppe environments (e.g. Herzschuh, 2007; Zhao and Herzschuh, 2009). However, in Georgia a low 710 711 relationship between A/C ratio and precipitation is observed compared to Chenopodiaceae percentages alone (Connor et al., 2004). In our study, Chenopodiaceae dominance also has higher relationship to 712 MAP ($R^2=0.40$) and MAAT ($R^2=0.58$) than A/C ratio to MAP ($R^2=0.02$) and MAAT ($R^2=0.16$). In the 713 714 South Caucasus, Chenopodiaceae changes seem to be a better aridity index than the A/C ratio.

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5.2 Holocene reconstruction at a local scale: wetland dynamics and human activities at Vanevan

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718 5.2.1 Validation of age-depth model

The comparison of the vegetation dynamics with that of the closest site (Shenkani, Cromartie et al., 2020) validates the age model. Similar trends are observed in both sites: occurrence of *Carpinus betulus* from 9700 to 9300 a cal BP, *Betula* beginning at 9600 a cal BP (Fig. 7), an arboreal taxa maximum at 7800 a cal BP, and a drop of *Betula* at 5000-4700 a cal BP.

723 At Vanevan, however, the trends evidenced in cores VD2016 (this study) and VD2011 (Leroyer 724 et al., 2016) are closely related but changes are not simultaneously (Supplementary Fig. S6). For example, the maximum of arboreal pollen dates to 7800 and 6800 a cal BP for VD2016 and VD2011, 725 respectively. Similarly, both sequences end with a peak of arboreal pollen at 5900 and 5600 a cal BP for 726 727 VD2011 and VD2016 followed by an increase of Poaceae, Cyperaceae, and then ferns at 5100 and 4900 728 a cal BP for VD2011 and VD2016. This comparison therefore reveals a temporal gap between the two cores ranging from 2200 years at the base to 300 years at the top of the VD2011 sequence. 729 730 Underestimation of the ages in the VD2011 sequence could be explained by an age model based on plant

- 731 macrofossils imbedded in clay sediments. It should, moreover, be noted that the most basal date of
- VD2011 core, rejected by the authors, is consistent with the older ages of the VD2016 core.

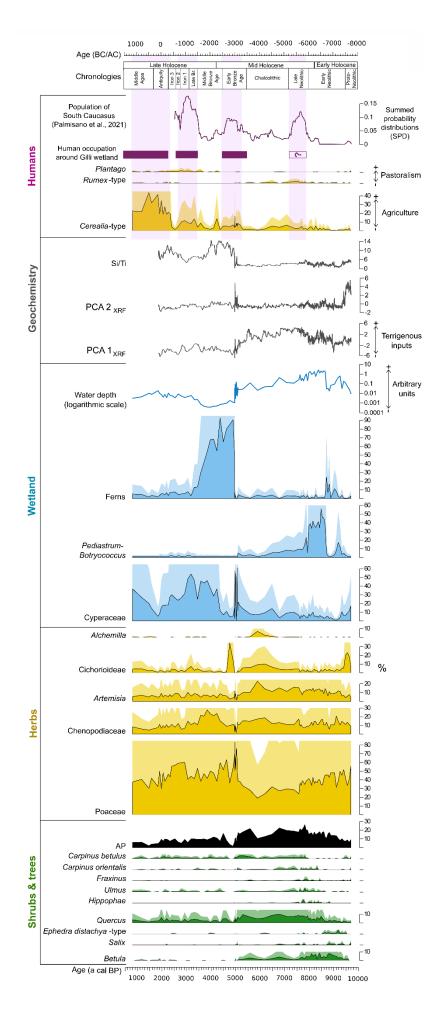


Figure 7. Selected Pollen taxa, NPPs, XRF, and archeological data of Vanevan peat against age. Water depth =
(Algae+1)/(semi-aquatic plants+1))/(ferns +1) plotted on a logarithmic scale. Algae: *Pediastrum, Botryococcus*.
Semi-aquatic plants: Cyperaceae, *Sparganium, Typha*. Ferns: Monolote spore, *Botrychium, Polypodium, Selaginella, Asplenium*.

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740 5.2.2 Wetland dynamics and water-level changes

Aquatic taxa, fern spores, and NPP variations are environmental indicators of the type of wetland, the openness of the waterbody, and its water depth. Following Joannin et al. (2012), a ratio between such environmental indicators is used to estimate changes in water-level (Fig. 7), and for comparison with the conditions indicated by the lithology and XRF data. The ratio increases from 9700 to 8600 a cal BP, progressively decreases until 5100 a cal BP and remains low afterwards.

746 From 9700 to 5100 a cal BP, the Vanevan sequence is characterized by clay sediments formed 747 by inputs of detrital elements (PCA 1_{XRF} , Fig. 7). The poorly developed semi-aquatic vegetation and the presence of freshwater algae suggest a lake system seen in the water-depth reconstruction. This is 748 749 consistent with a transgression of Lake Sevan reported in Sayadyan's works (1977-1983). Within this 750 period, however, we can distinguish a low water-level phase from 9700 to 9400 a cal BP consistent with the regressive phase reported by Sayadyan (1977-1983) at the same period. Such conditions would 751 752 create an erosional context and may explain both the dominance of the mycorrhizal root-fungi Glomus 753 (Fig. 2C) and high calcium concentrations (PCA 2_{XRF} , Fig. 7). Glomus is often interpreted as an indicator 754 of close distance soil-related input (Wünnemann et al., 2010; Mudie et al., 2011) and the proportion of 755 calcium may be derived from evaporative concentration, biogenic production (Cohen, 2003) or 756 dissolution of the basaltic outcrops around or in the lake (detrital or in situ; Gudbrandsson et al., 2011). 757 This raises the question of whether the calcium recorded in Vanevan was produced during the regression phase or produced before and washed down during this phase. According to Sayadyan (2009), Lake 758 Sevan was high at the onset of the Holocene and it could have been connected with the Gilli wetland. 759 760 As calcium concentrations were high in Lake Sevan before the Soviet lowering (Alekin and Ulyanova, 761 1986), it could be due to the remobilization of previous carbonates formed during a high stand of the paleoSevan. The high percentages of Cichorioideae also fit in a regression scenario as it is not connected 762 to known regional vegetation development and therefore suggest local development or taphonomic 763 764 processes in erosive conditions leading to an over-representation of this resistant pollen taxa (Lebreton 765 et al., 2010).

A transitional phase is recorded between 5100 and 4950 a cal BP and shows large variations in Cyperaceae percentages. Succesive peaks of the saprophyte fungi HdV-200 may indicate alternating drying conditions followed by aquatic phases (Kuhry, 1985; van Geel et al., 1989). A peak of the green algae *Mougeotia* (Zygnemataceae) is recorded and may indicate changes in the trophic conditions and could play a role in the water-plant succession (Van Geel and van der Hammen, 1978; Van Geel and Grenfell, 1996). This phase is also characterized by high proportions of calcium, sulfur and phosphorus (PCA 2, Fig. 7) and corresponds to high fire activity (core VD2011, Leroyer et al., 2016; Supplementary 773 Fig. S6). The macrocharcoals reported in Leroyer et al. (2016) are assumed to reconstruct local scale 774 fires and the chemistry of the fire byproducts is likely recorded through the XRF data (Smith, 1969). The fire activity seems to have burned Cyperaceae sedges or grassland and favored developments of 775 776 ferns and Poaceae. The same vegetation dynamic after fire events are recorded at Shenkani (Cromartie 777 et al., 2020). In the Vanevan wetland, the ecological perturbation of fire also results in a brief but massive 778 peak of Cichorioideae recorded at 4800 a cal BP. Again, the presence of this taxa suggests a local 779 development on perturbed soil or a drying phase leading to an over-representation of the resistant pollen 780 taxa (Lebreton et al., 2010). The relative impact of human activities and climate changes on the rapid 781 water-level fluctuations between 5100 and 4800 a cal BP remains to be determined because agricultural 782 activities are present around Gilli wetland and climate changes are recorded at this period in the region. 783 After 4800 a cal BP, the Vanevan wetland then evolves towards a peatland characterized by peat deposits produced by a semi-aquatic vegetation, primarily Cyperaceae. The water level is low until 4500 784 785 a cal BP before the development of semi-aquatic vegetation. Abundant ferns are associated with the 786 increase of the ratio Si/Ti. The biogenic silica production is likely due to phytoliths associated with the

ferns (Brown et al., 2007). The water-level remains low and the wetland is dominated by Cyperaceae,
except from 2300 to 1800 a cal BP when *Potamogeton*, *Sparganium* and *Typha* dominated the signal
(Fig. 2C). This corroborates the Lake Sevan transgression (through underground influence) dating from
2350 to 1700 a cal BP (Sayadyan et al., 1977; Sayadyan, 1978, 1983). In Armenia, a water-level rise is
also registered at Shenkani between 2200 and 1500 a cal BP (Cromartie et al., 2020).

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793 *5.2.3 Human impact*

794

795 Agriculture

In our record, human activities are principally expressed through agricultural practices identified by *Cerealia*-type pollen. Although, *Cerealia*-type pollen may come from Poaceae species or wild cereals commonly present in the Near East (Van Zeist et al., 1975), our record matches the estimates of the population in the South Caucasus (Fig. 7) (Palmisano et al., 2021).

800 Low percentages of Cerealia-type pollen are recorded between 8300 and 6300 a cal BP (Late 801 Neolithic-Chalcolithic). However, there is limited evidence of a Neolithic presence around Vanevan 802 during this period evidenced only by an obsidian source, (Khorapor) located ~20 km away from the 803 Vanevan peatland, which may have been used by Neolithic populations (Chataigner and Gratuze, 2014). 804 Some evidence of Neolithic occupations is also recorded in the Kasakh valley, ~50 km from Lake Sevan 805 (Colonge et al., 2013) and agriculture during this period is primarily recorded on the Ararat plain at an 806 elevation of 850 m a.s.l. (Badalyan et al., 2004; Hovsepyan and Willcox, 2008; Badalyan and 807 Harutyunyan, 2014). During the Early and Middle Chalcolithic, the archeological site of Getahovit-2 in 808 north-eastern Armenia shows the presence of cereals. According to Chataignier et al., 2020, cereals were 809 not locally cultivated (Chataignier et al., 2020), however, such a transport of cereals at this period is not evidenced around the Lake Sevan. The presence of *Cerealia*-type pollen in our record questions the
conservation of archeological remains on the shores of Lake Sevan. The high degree of mobility of
Neolithic population (Ricci et al., 2018) has certainly not helped to the conservation of archeological
material.

Since 5200 a cal BP, archeological remains and *Cerealia*-type pollen occur simultaneously
when the environmental conditions shift from a lake to a peatland due to an abrupt drop of water level.
Percentages of *Cerealia*-type pollen are more important from 5200 to 4500 a cal BP during the
Early Bronze Age, a period marked by an increase of the population in the South Caucasus (Fig. 7) and
the first archeological evidence of agriculture around Gilli wetland (Hovsepyan, 2013, 2017). During
this period, the Kura-Araxes culture is present in the South Caucasus and archeological data show the

development of permanent village communities and agro-pastoral systems at any elevation in Armenia
(Badalyan, 2014).

Then, a maximum of *Cerealia*-type pollen is recorded at 4300 a cal BP followed by a strong 822 823 decrease from 4100 to 3700 a cal BP. The decline of agricultural practices at Vanevan is consistent with few archeological remains around Gilli wetland and with a decline of the population in the South 824 825 Caucasus (Hovsepyan, 2013, 2017; Palmisano et al., 2021). However, very little is known about the 826 Middle Bronze Age and archeological sites for this period are scarce. According to Smith (2015), this 827 period is characterized by a shift to more mobile lifeways and not necessarily accompanied by a decline 828 of the population. Our record indicates that agriculture, which was present at the beginning of the Middle 829 Bronze Age on the Sevan shores, became less important around the 4.2 ka climate event, which is 830 characterized by arid conditions around the Mediterranean basin (e.g. Kaniewski et al., 2018; Bini et al., 2019). 831

From 3600 to 2600 a cal BP (Late Bronze Age, Iron Age I and II), an increase of *Cerealia*-type pollen is recorded and is consistent with the large quantity of archeological sites located on the shores of Lake Sevan and an increase of the population in the South Caucasus (Biscione et al., 2002; Parmegiani and Poscolieri, 2003; Hovsepyan, 2013, 2017; Palmisano et al., 2021). At this period, the Lchashen-Metsamor culture is present around the Lake Sevan and the Urartu empire appears during the Iron Age II. The conception of forts, territory, politics and the development of agriculture, irrigation systems and pastoralism characterized this period (Badalyan et al., 2003).

From 2700 to 2400 a cal BP (Iron Age II and III), a strong decline of *Cerealia*-type pollen is recorded (Fig. 7) probably connected to the end of the Urartu empire and Lchashen-Metsamor culture centered on the north-west of Lake Sevan at 2600 a cal BP (Smith, 2015). At the scale of the Lesser Caucasus, a decline of population seems to occur at around 2700 a cal BP although the data from Palmisiano et al. (2021) are rather incomplete for this period. This period is also marked by the arrival of domination of the Persian Achaemenid Empire in Armenia between 2540 and 2281 a cal BP (Briant, 1996).

- From 2300 to 800 a cal BP, Cerealia-type pollen strongly increase during the Antiquity and 846 847 Medieval Period and are consistent with the presence of archeological sites located on the shores of Gilli wetland and Lake Sevan (Parmegiani and Poscolieri, 2003; Hovsepyan, 2013, 2017). Cerealia 848 849 percentages up to 40% suggest crops close or within the wetland. If located in the wetland, a drainage 850 system would have been required in conjunction with fire utilization to suppress semi-aquatic 851 vegetation. At this period, increase of fire activity is recorded in Armenia (Joannin et al., 2014; 852 Cromartie et al., 2020) and Georgia (Connor, 2011). This period is also consistent with the conquest of 853 Alexander the Great in 2281 a cal BP (Briant, 1996) and the foundation of the Kingdom of Armenia, 854 which contributed to a change of human practices.
- 855

856 Pastoralism

Pastoral activities are complex to detect in pollen records (Fig. 7). Similarly to the modern pollen samples, anthropogenic indicators such as *Plantago lanceolata* and *Rumex*-type (Behre, 1981) are present in very low proportion whereas extensive pastoralism existed since the Neolithic in Armenia (Badalyan et al., 2004; Hovsepyan and Willcox, 2008; Badalyan and Harutyunyan, 2014). During the Late Neolithic and the Chalcolithic (7900-6000 a cal BP), the occurrence of *Rumex*-type suggests two phases of grazing (Behre, 1981), corresponding to increases in cereal cultivation and population in the South Caucasus (Fig. 7) and was already observed by Leroyer et al. (2016).

From 4100 to 3700 a cal BP (Middle Bronze Age), no pollen indicators of pastoralism are recorded. At Vanevan, the decline of agriculture is not accompanied by a shift to more mobile lifeways centered on pastoralism as mentioned by Smith (2015). Several studies show a significant impact of the 4.2 ka climate event on Near East societies, hypothesize population decline or migration (Kaniewski et al., 2018; Palmisano et al., 2021). Our data seem to agree for a decline of local population around Lake Sevan.

During the last 3700 a cal BP, pollen of *Plantago lanceolota*, are continuously recorded at Vanevan, although in low percentages. This pollen may be an indicator of pastoralism activities (Behre, 1981). Since the Early Bronze Age (5500 a cal BP), bones of domestic animals are recorded in archaeological materials and confirm the presence of herds of cattle around Gilli wetland (Hovsepyan, 2017). At Zarishat, the last 3000 a cal BP are also marked by a continuous record for *Plantago* and *Rumex*-type (Joannin et al., 2014).

- The succession of *Rumex*-type then *Plantago* along the Holocene raises questions about pastoral practices and particularly livestock types for each period (cow, sheep, goat, horse). However, these anthropogenic taxa are also common in mountain steppe environment and it is difficult to unequivocally associate them with specific practices.
- 880
- 881 <u>5.3 Holocene vegetation dynamics for the Lesser Caucasus</u>
- 882

883 Steppe grassland vegetation with pioneer trees during the Early Holocene (9700-8000 a cal BP)

884 The Vanevan sequence covers most of the Holocene but does not document the Chenopodiaceae steppe recorded before 10,000 a cal BP when it got replaced by grassland steppes (Wick et al., 2003; 885 886 Messager et al., 2013; Joannin et al., 2014; Cromartie et al., 2020). From 9700 to 8000 a cal BP, the 887 steppe vegetation is primarily dominated by Poaceae and secondarily by Chenopodiaceae and Artemisia 888 (Fig. 7). During this period, open vegetation is also recorded in the Southern Caucasus and the Near 889 East mainly, although the dominant steppic taxa varies from (1) Poaceae (this study; Roberts et al., 2001; 890 Stevens et al., 2001; Wick et al., 2003; Stevens et al., 2006; Ryabogina et al., 2018; Cromartie et al., 891 2020), to (2) Artemisia, (Djamali et al., 2008; Joannin et al., 2014; also in Central Asia: Chen et al., 892 2008; Zhao et al., 2009, 2020) or (3) Chenopodiaceae (Leroy et al., 2013; Messager et al., 2013). In 893 contrast, the sites north-west of Armenia document forested phases during the same part of the Early Holocene (Connor, 2011; Shumilovskikh et al., 2012; Messager et al., 2017; Connor et al., 2018; 894 895 Grachev et al., 2020). At Vanevan, a low proportion of arboreal pollen taxa is recorded (Fig. 7). There, 896 the occurrence of Carpinus betulus, Betula and Quercus observed is also recorded at Shenkani (Cromartie et al., 2020), suggesting that these taxa mostly represent regional vegetation. From 9500 to 897 898 8500 a cal BP, Ephedra distachya-type, Hippophae, and Betula expand. Ephedra, which has a longdistance dispersal by wind (Herzschuh, 2007; Djamali et al., 2009), is indicative of dry climate 899 900 conditions and is also observed at Lake Van during the Early Holocene (Wick et al., 2003). Hippophae, 901 according to the modern relationship between pollen and vegetation, represents the local vegetation 902 bordering Gilli wetland (Fig. 3). Betula, which is simultaneously recorded at Shenkani (Cromartie et al., 903 2020) and Lake Van (Wick et al., 2003), is a pioneer taxon. After this pioneer phase, the arboreal taxon richness increases from 8500 a cal BP with the appearance of several deciduous trees that represent the 904 905 regional vegetation such as Carpinus orientalis and Carpinus betulus.

906

907 Mixed steppe and open woodlands during a truncated Mid Holocene (8000-5100 a cal BP)

908 During the Mid Holocene, the vegetation remains steppic but becomes more mixed with 909 Poaceae, Artemisia, Chenopodiaceae and Cichorioideae (Fig. 7). From 5900 a cal BP, Poaceae decrease 910 progressively with the same dynamic recorded at Shenkani (Cromartie et al., 2020). The arboreal pollen 911 are dominated by *Quercus* (<10%) and the percentages remain low with a maximum recorded at 7800 a 912 cal BP. Older palynological studies have suggested the presence of deciduous forests on the slopes of 913 Lake Sevan during the Middle Holocene in particular around 6000 years (e.g. Sayadyan et al., 1977; Sayadyan, 1978, 1983; Moreno-Sanchez and Sayadyan, 2005). However, modern pollen-vegetation 914 915 relationships demonstrated that *Quercus* pollen could represent up to 15% even if no trees were present 916 in the catchment (Fig. 3). More likely, a *Quercus* forest was not present on the slopes of Lake Sevan 917 during the Mid-Holocene. In contrast, Juniperus is also well recorded at this period. According to Figure 3, Juniperus is mainly an indicator of the local vegetation and could have lived on the slopes such as 918 919 today where it grows as open woodland. Therefore, the Vanevan's landscape, with nearby grasslands and scarce open woodlands, resembles similar pollen assemblages from Armenia (Joannin et al., 2014;

921 Cromartie et al., 2020) and Iran (Djamali et al., 2008) during the Mid-Holocene. However, Vanevan's

922 pollen record differs by an abrupt drop of arboreal taxa between 6300-5700 a cal BP. The increase of

923 Artemisia, Chenopodiaceae, Cichorioideae and Alchemilla can be an indicator of both dry climate and

- 924 pastoralism activities.
- 925

926 Steppe grassland and limited tree diversity (5100-700 a cal BP)

927 The major vegetation change, initiated at 5400 and which fully settled after 5100 a cal BP, is 928 characterized by an increase of Poaceae, a drop of arboreal taxa (Quercus, Betula) and wetland changes 929 (Fig. 7). The decrease of *Betula* is also recorded in Armenia (Cromartie et al., 2020), Turkey (Wick et al., 2003), and at a larger scale in East Asia (Qian et al., 2019). At Vanevan, the vegetation changes 930 931 around 5100 a cal BP can be discussed in light of the presence of important fires (detected by macro-932 charcoals) that have affected the landscape and the wetland itself (Leroyer et al., 2016). Several 933 hypotheses explain the ignition of fires: (1) an anthropogenic cause with the lighting of fires by the local 934 population living around Gilli wetland; (2) a volcanic origin due to nearby lava flows from Porak volcano dated to the Mid-Holocene (Fig. 1C, Karakhanian et al., 2017; Meliksetian et al., 2021) or (3) 935 a climatic driver linked with the aridification period around 5000 a cal BP recorded in Armenia (Joannin 936 et al., 2014), Georgia (Connor and Kvadadze, 2008; Connor, 2011), Turkey (Wick et al., 2003), Iran 937 938 (Stevens et al., 2001, 2006) and in Israel (Bar-Matthews et al., 1997). Although this event could be 939 multifactorial, the regional scale of the changes points towards a climatic cause.

940 From 4200 to 800 a cal BP, the steppe vegetation continues to dominate the landscape with Poaceae (Fig. 7) in accordance with the Zarishat (Joannin et al., 2014) and Shenkani records (Cromartie 941 942 et al., 2020). According to the modern pollen-vegetation relationships (Fig. 3), Chenopodiaceae is better recorded in pollen assemblages at low and mid-elevations in Armenia (<1900 m) where the climate 943 944 conditions are more arid. The increase of Chenopodiaceae between 4400-3500 a cal BP may therefore be an indicator of aridification. A decline of agriculture is also highlighted around 4000 a cal BP and 945 946 abandoned crops may have favored the expansion of Chenopodiaceae. However, this dynamic is not 947 visible during other agriculture abandonments at Vanevan, therefore the climate appears as the main 948 driver of vegetation change at 4.2 cal yr BP (e.g. Kaniewski et al., 2018; Bini et al., 2019).

949

950 <u>5.4 Holocene climate reconstructions for the Lesser Caucasus</u>

951

952 5.4.1 Pertinence and reliability of climate reconstructions

953 Pollen-based climate reconstructions

The results of the four quantitative pollen-based climate reconstructions are consistent (Fig. 5), whether for commonly-used transfer functions/assemblages methods (WAPLS, MAT) or recent

"machine-learning" methods (RF, BRT). The minor discrepancies observed in the climate 956 reconstructions at Vanevan can be method-dependent. For the MAT, a major limitation can be the 957 occurrence of no-analogs, however, at Vanevan, it is clearly not the case because the fossil assemblages 958 959 mainly correspond to the modern Armenian analogs added in this study, as demonstrated by the 960 comparison of modern and core samples (Fig. 4). This method also tends to select analogs that are 961 geographically close to each other (spatial autocorrelation; Telford and Birks, 2005, 2009, 2011), 962 however, at Vanevan the spatial autocorrelation is relatively low (Moran's I<0.26, p-value<0.01). The MAT shows a high variability among the reconstructed values of close samples, and this variability is 963 linked to the high degree of sensitivity of the method (Brewer et al., 2008) but is probably overestimated 964 for the Holocene period (Fig. 5). The WAPLS performs well and this method is particularly useful for 965 966 local to regional scale reconstructions (Chevalier et al., 2020); its main disadvantage is the overestimation of the values (Fig. 5) linked to the implicit inverse regression in WAPLS that "pulls" the 967 predicted values toward the mean of the training set (Birks, 1998). The reconstructed values of the RF 968 show low amplitude variations in contrast to the values of other methods. The BRT include the 969 "boosting' which increases the performance of the model, however the curves present a high sample-to-970 sample variability. The BRT results are preferred for the regional climate comparison (Fig. 8) since this 971 method is the most performant (maximum R^2 and the minimum RMSE values for all climatic parameters 972 - Supplementary Table S4). 973

974 At Vanevan, the pollen-based climate reconstructions are problematic for two periods: (1) 975 between 9700 to 9400 a cal BP, the high temperature and precipitation trends are contradictory to all 976 other climate reconstructions available in the South Caucasus (Fig. 8) and to the brGDGT results, suggesting that this overestimation is probably due to the low pollen taxa diversity and the dominance 977 978 of Cichorioideae, a very resistant pollen grain (Lebreton et al., 2010); (2) From 2300 a cal BP onwards, 979 the climate trends of the different methods diverge, when the percentages of Cerealia-type become 980 important (19-44%). There, it is expected that human activities (agriculture, pastoralism) modified the 981 vegetation structure, composition, and diversity, influencing the paleoclimate reconstructions (St 982 Jacques et al., 2015). Therefore, the pollen-based climate reconstructions for these two periods (9700-983 9400, and 2300-800 a cal BP) are not considered in the comparison of climate reconstructions and in 984 the climate synthesis (Fig. 8).

985

986 **BrGDGT temperature reconstructions**

At Vanevan, the wetland dynamic changes along the Holocene with a lake system from 9700 a cal BP, a drying phase at 5000 a cal BP and finally the development of a peatland. The type of wetland, the water level and the surrounding vegetation may influence the brGDGT distribution and origins (catchment soils, rivers, in situ production in waters or sediments) (Martin et al., 2019; Martinez-Sosa et al., 2021). The water level and aquatic plant community changes of the wetland may have largely impacted the brGDGT distribution. For example, between 4800 and 3000 a cal BP, the high Δ MAAT is

associated with a low water-level and a switch to ferns. The peak of Δ MAAT at 1820 a cal BP is also 993 associated to a change in plant aquatic distribution, Cyperaceae largely decrease whereas Potamogeton 994 and Sparganium/Typha increase. The local dynamic has therefore largely impacted the brGDGT 995 996 distribution and could overprint the climate signal. The type of sediment has also impacted the 997 distribution of brGDGTs. The tetramethylated brGDGTs are more abundant in peat sediments whereas 998 the hexamethylated brGDGTs, brGDGTs Σ IIb and brGDGTs Σ IIc are more abundant in lake sediments 999 (Fig. 6BC), confirming the distributions of brGDGTs reported in lakes, peats and soils (Naafs et al., 1000 2018; Russell et al., 2018). Due to the difference in brGDGT distributions and the in-situ production in 1001 lakes, if a soil-based MBT-CBT calibration is applied on lake samples, the reconstructed temperatures 1002 are generally underestimated (e.g. Sun et al., 2011; Loomis et al., 2011, 2012; Russel et al., 2018).

In the Vanevan core, the difference in the distribution of brGDGTs according to the type of sediment is well identified (Fig. 6C), for this reason a lake calibration was applied from 9700 to 5100 a cal BP whereas a soil calibration was applied from 4900 to 790 a cal BP (Fig. 6D). A soil calibration is applied because the peat samples of Vanevan core are closer to global soils than global peats (Fig. 6B). Moreover, the temperature reconstructed for the surface sample of Vanevan peat is closer to modern temperatures when soil calibration is applied. The $\Sigma IIIa/\SigmaIIa$ values suggest important terrigenous sources of brGDGTs in the Vanevan peat (Xiao et al., 2016; Martin et al., 2020).

1010 Global lake calibrations applied to our modern lake samples (Sevan core top and Vanevan 1011 surface sample) tend to overestimate the reconstructed temperatures, with values close to warmest month 1012 mean (MTWA). The overestimation of reconstructed temperatures has already been evidenced in middle- and high-latitude lakes and could be linked to a seasonal bias (e.g. Foster et al., 2016; Dang et 1013 1014 al., 2018; Cao et al., 2020). Both higher brGDGT production during warm seasons (Pearson et al., 2011; 1015 Shanahan et al., 2013) or winter ice formation on lake surfaces limiting air/lake water exchanges (Cao 1016 et al., 2020) could explain this seasonal bias. To account for the overestimated temperature values in our 1017 sequence, they were normalized to their mean values on each part of the record in the following discussion (Δ MAAT, Fig. 6D). The development of local and regional calibrations 1018

1019

1020 Comparison of climate reconstructions based on pollen and brGDGTs (Fig. 8)

1021 The Early Holocene is characterized by cold and dry conditions as indicated by pollen and 1022 brGDGT climate reconstructions. The Mid-Holocene (8200-5500 a cal BP) shows warmer conditions 1023 associated with a decrease in precipitation by pollen and in temperature by brGDGTs at 6000 a cal BP. 1024 Between 5100 and 4800 a cal BP, an important change in wetland dynamic and a drying phase are 1025 recorded and have largely impacted the distribution of brGDGTs and the pollen conservation. Therefore, 1026 this part will not be considered for climate reconstructions. Then, the climate reconstructions based on 1027 pollen and brGDGTs during the last 5000 a cal BP differ because of (1) the change in water level and aquatic plant which impact the bacterial community and therefore the brGDGT distribution and (2) the 1028 1029 strong human impact during the last 3000 a cal BP which biased the climate reconstruction based on pollen. However, both climate reconstructions based on pollen and brGDGTs recorded a decrease intemperature between 6300-5700 a cal BP and 4200-3700 a cal BP.

- 1032
- 1033 5.4.2 Millennial-scale climate changes in the Lesser Caucasus

1034 A cold and arid Early Holocene (9700-8200 a cal BP)

1035 Vanevan climate reconstructions clearly indicate low annual temperature and precipitation during the Early Holocene (Fig. 8), followed by a rise from 8700 a cal BP. This climate improvement is 1036 1037 also accompanied by the increase in water level in Vanevan wetland. This climate pattern is echoed in 1038 Armenia and in Georgia: due to time resolution difference between these archives, the climate change 1039 goes from more progressive in Georgia (Connor and Kvavadze, 2008) to more abrupt in Armenia 1040 (Joannin et al., 2014; Cromartie et al., 2020). In Arid Central Asia, the Early Holocene is also 1041 characterized by arid conditions (Chen, et al., 2008). In contrast, in the Near and Middle East (Iran, 1042 Turkey and Israel), low values of the oxygen isotopes are generally interpreted as responding to higher 1043 water levels, suggesting higher precipitation during the Early Holocene (Roberts et al., 2001; Stevens et 1044 al., 2001, 2006; Wick et al., 2003; Bar-Matthews et al., 2003). However, the openness of the vegetation recorded at such a large extent (South Caucasus, Near East, Central Asia) brings into question this 1045 1046 climate interpretation. Therefore, our study brings a new argument to attribute cold and dry conditions 1047 during the Early Holocene for the whole Near East region. Going into details, several studies in Armenia (Joannin et al., 2014), Iran (Stevens et al., 2001), and Turkey (Wick et al., 2003) attributed the vegetation 1048 changes to low spring precipitation, a limiting factor for the plants' growing season, controlled by the 1049 1050 Siberian High (Wick et al., 2003). This is not contradictory with the wet Early Holocene identified by isotope data of the Near East if it is the result of high winter precipitation, a parameter typical of the 1051 1052 Mediterranean climate (Stevens et al., 2001, 2006; Djamali et al., 2010). Indeed, the winter snows have lower δ^{18} O values leading to more negative values and a lower total precipitation (Stevens et al., 2001, 1053 1054 2006). Moreover, according to Messager et al. (2017), the winter snow accumulated can melt during 1055 spring and summer, generating higher water level in lakes. However, isotopic and lake-level studies are 1056 rarely conducted together rendering this interpretation difficult to extrapolate for the Early Holocene. In 1057 addition, lake variations depicted in Sevan by our study and Sayadyan's works (1977-1983) do not 1058 corroborate a high lake level during the second half of the Early Holocene.

1059

Abrupt installation of warm and humid Mid Holocene progressively shifting to cooler and drierconditions

1062 The Mid Holocene starts with high precipitation and temperature suggested by brGDGTs, pollen 1063 and water-level changes (Fig. 8). This agrees well with the warm and humid Mid-Holocene documented 1064 in Armenia and Georgia (Connor and Kvavadze, 2008; Joannin et al., 2014; Cromartie et al., 2020), and 1065 in Arid Central Asia (Chen et al., 2008). In contrast, the isotopic curves of the Near East show a 1066 progressive decrease of precipitation throughout this period (Roberts et al., 2001; Stevens et al., 2001,

2006; Wick et al., 2003; Bar-Matthews et al., 2003). Our study thus records a mid-Holocene climatic 1067 1068 optimum and supports an increase of spring precipitation linked to the Westerlies (Wick et al., 2003; 1069 Joannin et al., 2014). This change marks the installation of the present-day climate dominated by late 1070 spring rainfall over the East Anatolia and North Iran. Since 5100 a cal BP, pollen and low water levels 1071 suggest a large decrease in precipitation, while brGDGTs shows a temperature decrease (Fig. 8). This 1072 trend agrees with Georgian and Central Asia records for declining precipitation. At the scale of the Near 1073 East, our study clarifies the climate pattern with conditions becoming colder, and aridification (Roberts 1074 et al., 2001; Stevens et al., 2001, 2006; Wick et al., 2003; Bar-Matthews et al., 2003). Since this period, 1075 humans were able to live and cultivate nearer Gilli wetland thanks to the water level drop.

1076

1077 5.4.3 Rapid/abrupt climate events in the Lesser Caucasus

1078 **6.2 and 5.2 ka arid events**

1079 At Vanevan, the Mid-Holocene is marked by two arid events. The first one is recorded at 6300-1080 5700 a cal BP and appears both in pollen and brGDGT reconstructions (Fig. 8). In the South Caucasus, 1081 the fire frequence history and abundance variations of sedge at Zarishat in Armenia show a drier phase 1082 at 6400 a cal BP (Joannin et al., 2014). At a larger scale, a drop in rainfall occurs at Lake Zeribar (Iran) 1083 at 6200 a cal BP (Stevens et al., 2006), at Sofular cave (Turkey) at 6200-6000 a cal BP (Zanchetta et al., 1084 2014) and in East Asia at 6.2 ka, linked to an abrupt monsoon event (Yu et al., 2006; Wu et al., 2018). 1085 Considering temperature, there is no clear trends according to our results (Fig. 8) and the other studies 1086 carried out in the South Caucasus and the Near East.

1087 The second arid event recorded at Vanevan occurs at 5100-4800 a cal BP and is marked by a drop in arboreal trees, a drying wetland phase, high fire activity, and changes in brGDGT distribution 1088 1089 (Fig. 8). Major changes are also recorded in Armenia: at Zarishat, by fire frequence history and sedge changes, which show a dry phase at 5300-4900 a cal BP (Joannin et al., 2014); at Shenkani, by an 1090 1091 increase of fire activity for the same period (Cromartie et al., 2020); and in Georgia at Lake Aligol, by an increase of fires accompanied by a drop in rainfall at 5000-4500 a cal BP (Connor and Kvavadze, 1092 1093 2008). In the Caucasus, glacier advances are recorded at 5000-4500 a cal BP, supporting the hypothesis 1094 of a colder climate in the Caucasus (Solomina et al., 2015). In the Near East, an arid phase is visible in 1095 isotopic data at Lake Mirabad and Zeribar in Iran at 5200 a cal BP (Stevens et al., 2001, 2006), at 1096 Gölhisar in Turkey at 4900 a cal BP (Eastwood et al., 2007) and at Soreq cave in Israël at 5200 a cal BP 1097 (Bar-Matthews et al., 1997; Bar-Matthews and Ayalon, 2011). Magny et al. (2006) define the '5.2 ka 1098 event' as a global climate event, characterized by drier conditions around the Mediterranean basin. 1099 Although agriculture practices are present around Gilli wetland since 5200 a cal BP, the changes of 1100 vegetation and fire between 5300 and 4800 a cal BP are regional and seem to be largely affected by the 1101 aridification event.

1102

1103 The 4.2 ka arid event

1104 At Vanevan, a period of aridity between 4200 and 3700 a cal BP is recorded by the pollen 1105 climate reconstruction, water-level, and brGDGT changes. This event is characterized by a drop in 1106 annual and summer precipitation and an increase in summer temperature. The brGDGT reconstructions 1107 confirm warm conditions for this period (Fig. 8). In the South Caucasus, the other pollen sequences do 1108 not clearly record this arid event, except at Shenkani where an increase of the Br/Ti ratio indicates a 1109 decrease in terrigenous inputs between 4300 and 4100 a cal BP (Cromartie et al., 2020). In the Near 1110 East, the isotopic data of Lake Zeribar in Iran (Stevens et al., 2001), Eski Acigöl in Turkey and Soreq cave in Israel (Bar-Matthews et al., 2003) show a climate aridification around 4000 a cal BP. At Lake 1111 Van in Turkey, arid conditions at 4200-4000 a cal BP are expressed through the increase of fire, the 1112 1113 decrease of Quercus and low lake-level (Wick et al., 2003). This arid event is also characterized by an increase of dust deposits in the Near East (Ön et al., 2021) as at Lake Van in Turkey (Lemcke and Sturm 1114 1115 1997) and at Lake Neor in Iran (Sharifi et al., 2015). However, this dust event is not recorded in the South Caucasus (Cromartie et al., 2020). The 4.2 ka event is defined by a severe and prolonged drought 1116 1117 around the Mediterranean basin (global "megadrought", Weiss, 2016). It was first proposed by Weiss et 1118 al. (1993) for the Near East and is recorded at a global scale although not evidenced in all records (Cullen 1119 et al., 2000). The 4.2 ka event is today considered as the formal boundary of Late and Middle Holocene. 1120 This event is often characterized as a cold event (Cullen et al., 2000; Dixit et al., 2014), however for the 1121 Eastern Mediterranean the records show warmer conditions (this study; Bini et al., 2019). In this region, 1122 the 4.2 ka event is defined by climatic and environmental changes that extend between 4.3 and 3.8 ka (Bini et al., 2019). In the South Caucasus, the Vanevan sequence is the first to report the impact of a 1123 warm and arid 4.2 ka event. The concordance between the 4.2 ka event and the decline of agricultural 1124 1125 practices is easily linkable to the decline of local population but cannot tell whether it is due to societal 1126 collapse or migrations of population in the South Caucasus and the Near East (Kaniewski et al., 2018; 1127 Palmisano et al., 2021).

1128

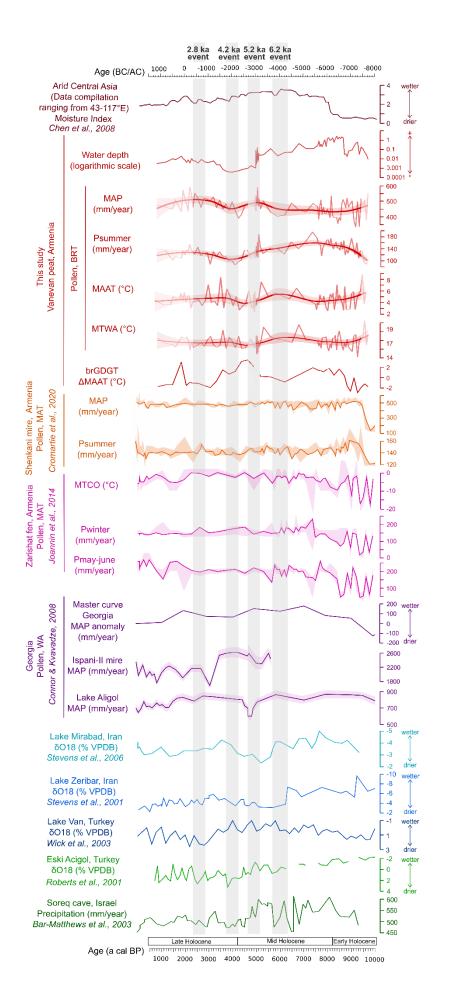
1129 The 2.8 ka climate event

Between 2900 and 2400 a cal BP, a cold and arid event appears at Vanevan, mainly with the 1130 1131 WAPLS method (Fig. 8). This pattern is consistent with the glacier advances recorded in the Greater 1132 Caucasus at 2900-2800 a cal BP (Solomina et al., 2015) and the drop in rainfall at Lake Van, in Turkey 1133 (Wick et al., 2003) and Soreq Cave, in Israël (Bar-Matthews et al., 2003). The 2.8 ka event is defined by cold conditions at a global scale and several studies have also identified its impact in East Asia 1134 1135 (Fukumoto et al., 2012) and Europe (Ivy-Ochs et al., 2009; Van Geel et al., 2014). In the South Caucasus, 1136 our climate reconstructions marked the impact of the 2.8 ka event, which is accompanied by a decline of agricultural practices around Lake Sevan, certainly due to a decline of local population (Fig. 8). This 1137 1138 climate event may have contributed to the decline of the Urartian empire centered around Lake Sevan and it coincides with the arrival of the Persians in Armenia. At the scale of the Caucasus, Palmisiano et al. (2021) also showed a decline of population at 2700 a cal BP although the data are limited for this period. According to several studies focused on the Near East, the demographic trends become dissociated from climate from 4000-3500 a cal BP because populations are more resilient due to the technological advancement (Lawrence et al., 2016; Roberts et al., 2019). This hypothesis might need to be revisited in the light of the present study, at least for the South Caucasus.

1145

1146 Atmospheric processes

1147 The abrupt climate events described here are all characterized by arid conditions in the Caucasus 1148 and the Near East. Temperature shows colder conditions for the 5.2 ka and 2.8 ka events whereas the 4.2 ka event is characterized by warmer conditions. Several studies indicate that the North Atlantic 1149 1150 Oscillation (NAO) is the main driver of precipitation variability in the Near East and the Caucasus during 1151 the Mid- and Late Holocene (Joannin et al., 2014; Jones et al., 2019). Therefore, arid events in the 1152 Eastern Mediterranean can be caused by a weak westerly flow associated to multi-centennial cyclicity 1153 of the NAO system (e.g. Magny et al., 2013; Zielhofer et al., 2017; Bini et al., 2019). Many studies suggest that the Westerlies decreasing influence is accompanied by the reinforcement and latitudinal 1154 expansion of the Siberian High and subtropical systems (Djamali et al., 2008; Joannin et al., 2014; 1155 1156 Zanchetta et al., 2016; Bini et al., 2019; Ön et al., 2021). The southward expansion of the Siberian High provides the incursion of cold-dry air masses in southern Europe (Zanchetta et al., 2016; Persoiu et al., 1157 2019). We hypothesize that the 5.2 ka and 2.8 ka events are mainly under the influence of the winter 1158 Siberian High as cold conditions are recorded in the South Caucasus and the Near East. According to 1159 1160 Persoiu et al. (2019), the 4.2 ka event is also characterized by a dominance of the winter Siberian High 1161 in Europe, however, the records of the South Caucasus and the Near East show warm conditions (this 1162 study; Bini et al., 2019). Other atmospheric systems could come into play in these regions during the 1163 4.2 ka event. According to Ön et al. (2021), precipitation of the southeastern Mediterranean is mainly controlled by the latitudinal migration of the Intertropical Convergence Zone and the subtropical high 1164 1165 pressure belt but the model does not specify clear trends on the aridity or temperature in the Near East. 1166 Noteworthy, the study of Sharifi et al. (2015) evidences the arrival of dust from the Middle East coming 1167 from the south, but does not identify the origins. We hypothesize that the 4.2 ka event in the South 1168 Caucasus and the Near East is influenced by the northward migration of subtropical systems providing 1169 warm and arid conditions. This migration is also reflected through the arrival of dust from the Near East 1170 but not reaching the South Caucasus. Considering the 6.2 ka event, it is also linked to the NAO variations 1171 (Joannin et al., 2014), however, the contradicting temperature reconstructions from Vanevan do not allow us to hypothesize whether the 6.2 ka arid event is due to polar or Arabian subtropical influences. 1172



1174 Figure 8. Synthesis of paleoenvironmental records over the last 10,000 yrs based on pollen, brGDGTs and δ^{18} O 1175 data. Gray vertical shading represents abrupt climate events. MAAT: mean annual air temperature. MTWA: mean 1176 temperature of the warmest month. MAP: mean annual precipitation. Psummer: summer precipitation. For 1177 location, refer to Fig. 1.

1178

1179 **6.** Conclusions

1180 Environmental dynamic, climate changes and human practices are reconstructed using multi-1181 proxies at Vanevan peat in Armenia during the last 9700 years. This study extends the Mid-Holocene 1182 record documented at Vanevan peat by Leroyer et al. (2016) and proposes new proxies as brGDGTs.

- 1183 For the first time in the South Caucasus and the Near East, our study provides climate • reconstructions based on brGDGTs and pollen coupled with a multimethod approach (MAT, 1184 WAPLS, RF, BRT). The climate reconstructions are complementary and show a good 1185 correspondence between the proxies and the methods used. However, our results reveal that it is 1186 essential to understand the local dynamic of the wetland to properly interpret the climate 1187 1188 reconstructions based on brGDGTs and pollen. The results show an arid and cold Early Holocene, 1189 a more humid and warmer Mid Holocene, and a more arid and cooler Late Holocene. Several abrupt 1190 events are detected at 6.2 ka, 5.2 ka, 4.2 ka, 2.8 ka and allow us to highlight the atmospheric 1191 processes in the Caucasus and the Near East. The four climate events are arid and seem linked to 1192 weak westerlies associated to multi-centennial cyclicity (NAO-like). The 5.2 ka and 2.8 ka are 1193 characterized by cold conditions and could be associated to a strong Siberian High. On the contrary, 1194 the 4.2 ka is characterized by warm conditions and would be influenced by the northward migration 1195 of Arabian subtropical systems.
- This study is also the first to investigate the modern relationship between vegetation and pollen in
 Armenia. It complements the study of Connor and Kvavadze (2008) for Georgia. The results show
 an abundance of Chenopodiaceae in semi-desert/steppe regions and Poaceae in steppes, subalpine
 and alpine meadows. Chenopodiaceae and *Artemisia* are over-represented whereas Poaceae is
 reliably associated with the local vegetation. In the South Caucasus, Chenopodiaceae percentages
 seem to be a better aridity index than A/C ratio.
- The vegetation during the last 9700 years shows a dominance of steppes predominantly composed of Poaceae. The surrounding vegetation of Lake Sevan was poorly forested, even during the Mid-Holocene and contrasts with the previous hypotheses which suggested the occurrence of a deciduous forest. This result brings into question the existence of open woodland around Lake Sevan and new studies are necessary to better estimate the vegetation around the entire lake and the relationship to open woodland.
- Humans have been able to live and cultivate when the Gilli wetland level dropped at around 5200 a
 cal BP. The expansion and decline phases of agricultural practices are remarkably correlated with
 the occupation and abandonment phases of archeological sites of Lake Sevan but also with
 demographic trends of the South Caucasus (Palmisano et al., 2021).

- Major changes in paleohydrological conditions are recorded by abundances of aquatic plants and algae at Vanevan during the last 9700 a cal BP. A lake system is initially present following by a drying phase at 4950 a cal BP associated with fire and finally a peatland dominated by Cyperaceae appears. The water level changes are consistent with the previously established variations of Lake Sevan in Sayadyan's works (1977-1983) and they are congruent with our climate reconstructions proposed for the South Caucasus.
- Contrary to several studies, which conclude to the dissociation between demographic trends and 1218 climate from 4000-3500 a cal BP in the Near East, our study suggests a significant impact of abrupt 1219 1220 climate changes on populations. The different events are consistent with the population 1221 abandonment phases and question the human enfranchisement in the face of climate changes due to 1222 their technological advancements. In our study, climate changes appear as one of the main drivers 1223 of vegetation and demographic changes in the South Caucasus. However, further research is needed to understand all the social complexities related to these changes although our study clearly shows 1224 1225 links between climatic changes and demographic shifts.
- 1226 In the line with the previous studies of Joannin et al. (2014), Leroyer et al. (2016) and Cromartie et al. 1227 (2020) for Armenia, this new study brings a better understanding of vegetation dynamics and the 1228 respective role of climate and humans in the South Caucasus. The multiproxy approach provided a 1229 robust chronicle of climate changes occurring during the Holocene.
- 1230

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1243

1244 Author contributions

1245 M. Robles: Conceptualization, Field work, Laboratory work, Formal analysis, Writing draft 1246 manuscript, Review, Funding acquisition. S. Joannin: Project administration, Conceptualization, Field 1247 work, Supervision, Review, Funding acquisition; O. Peyron: Conceptualization, Formal analysis, 1248 Supervision, Review, Funding acquisition. G. Ménot: Conceptualization, Supervision, Review, Funding

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- 1250 Review. V. Ollivier: Field work, Review, Funding acquisition. S. Ansanay-Alex and A.-L. Develle:
- 1251 Laboratory work. P. Tozalakyan and K. Sahakyan: Field work. K. Meliksetian and L. Sahakyan: Field
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- 1253 Funding acquisition.
- 1254

1255 Declaration of competing interest

1256 The authors declare that they have no known competing financial interests or personal 1257 relationships that could have appeared to influence the work reported in this paper.

1258

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