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► To cite this version:

Alicia Fantasia, Emanuela Mattioli, Jorge E. Spangenberg, Thierry Adatte, Enrique Bernárdez, et al.. The middle-late Aalenian event: A precursor of the Mesozoic Marine Revolution. *Global and Planetary Change*, 2022, 208, <10.1016/j.gloplacha.2021.103705>. <insu-03710175>

HAL Id: insu-03710175

<https://insu.hal.science/insu-03710175v1>

Submitted on 5 Jan 2024

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1 The middle-late Aalenian event: a precursor of the Mesozoic Marine Revolution

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14

15 **Abstract**

16 The Aalenian was a time marked by profound environmental and carbon cycle
17 changes. Still, the scarcity of detailed studies hinders a better understanding of the triggering
18 mechanisms and the larger-scale context of Lower to Middle Jurassic environmental
19 perturbations. This study provides an unprecedented high-resolution biostratigraphically well-
20 constrained carbon isotope record ($\delta^{13}\text{C}_{\text{org}}$ and $\delta^{13}\text{C}_{\text{carb}}$) for the upper Toarcian–lower
21 Bajocian interval of two expanded limestone-marl alternation successions in France (French
22 Subalpine Basin) and Chile (Andean Basin). The comparison with available records from the
23 Tethyan and Boreal domains highlights that medium-term carbon isotope fluctuations are
24 reproducible across different palaeoceanographic settings from both hemispheres, providing
25 for the first time compelling evidence for recurrent perturbations of the global carbon cycle
26 during the Aalenian. Combined with a review of geological events, climate modes, abundance

27 and diversity of major fossil groups, and trophic conditions inferred from the calcareous
28 nannofossil record, our study fills the gap in our understanding of global environmental
29 changes in a so-far poorly documented Middle Jurassic stage, intercalated between the early
30 Toarcian Oceanic Anoxic Event and the early Bajocian carbonate crisis. Importantly, this
31 compilation indicates that the Aalenian was a pivotal time interval of environmental
32 perturbations, likely triggering the Mesozoic Marine Revolution.

33

34 **Keywords:** Carbon isotope stratigraphy; Recurrent carbon cycle perturbations; Biotic events;
35 Jurassic

36

37 **1. Introduction**

38 The Mesozoic was punctuated by several episodes of environmental changes
39 associated with climatic instabilities, severe biotic crises, and perturbations of the global
40 carbon cycle expressed as prominent carbon isotope excursions (CIEs) in marine and
41 terrestrial inorganic and organic materials (e.g., [Jenkyns et al., 2002](#); [Dera et al., 2011](#); [Bodin
42 et al., 2015](#); [Korte et al., 2015](#); [Storm et al., 2020](#)). These perturbations were often associated
43 with the development of marine anoxia and the deposition of organic-matter rich strata and
44 are commonly reported as Oceanic Anoxic Events (OAEs, see [Jenkyns, 2010](#) for a review).
45 Over the last decades, the Toarcian-OAE (or T-OAE; e.g., [Hesselbo et al., 2000](#); [van Breugel
46 et al., 2006](#); [Suan et al., 2011](#); [Bodin et al., 2016](#); [Xu et al., 2017](#); [Fantasia et al., 2018](#);
47 [Krencker et al., 2020](#)) and the early Bajocian Event (e.g., [Suchéras-Marx et al., 2013](#); [Bodin
48 et al., 2017, 2020](#)) have attracted much attention because they represent such episodes of
49 global environmental change associated with large-amplitude CIEs.

50 The T-OAE was one of the most extreme hyperthermal events of the Mesozoic, likely
51 triggered by intense volcanic activity in the Karoo-Ferrar Province and associated massive
52 release of greenhouse gases into the atmosphere-ocean system (e.g., [Courtillot, 1994](#); [Duncan](#)
53 [et al., 1997](#); [Pálffy and Smith, 2000](#); [Svensen et al., 2007](#); [Suan et al., 2008](#)). The defining
54 benchmark for the T-OAE is a negative CIE thought to result from the injection of
55 isotopically light volcanogenic, thermogenic, and/or biogenic carbon into the exogenic
56 reservoirs (e.g., [Hesselbo et al., 2000](#); [Kemp et al., 2005](#); [McElwain et al., 2005](#); [Cohen et al.,](#)
57 [2007](#); [Svensen et al., 2007](#); [Suan et al., 2008](#); [Them et al., 2017](#); [Krencker et al., 2019](#)). The
58 early Bajocian Event is characterized by faunal and floral turnovers in pelagic ecosystems,
59 which may represent part of the Mesozoic Marine Revolution ([Vermeij, 1977](#); [Wiggan et al.,](#)
60 [2017](#); [Suchéras-Marx et al., 2019](#); [Rojas et al., 2021](#)). These changes are associated with a
61 global carbon cycle perturbation expressed as a positive CIE, thought to reflect elevated rates
62 of organic carbon burial linked to enhanced primary productivity ([Bartolini et al., 1999](#);
63 [Jenkyns et al., 2002](#); [Hesselbo et al., 2003](#); [O'Dogherty et al., 2006](#); [Suchéras-Marx et al.,](#)
64 [2013](#); [Giraud et al., 2016](#); [Erba et al., 2019](#); [Bodin et al., 2020](#)). Magmatic pulses related to
65 major tectonic rearrangement during the early Middle Jurassic ([Bartolini and Larson, 2001](#);
66 [Bill et al., 2001](#); [Labails et al., 2010](#)) have been proposed as a potential trigger of the early
67 Bajocian Event. The injection of CO₂ and/or methane clathrates into the atmosphere may have
68 induced a cascade of environmental feedbacks, including greenhouse conditions, enhanced
69 hydrological cycle and weathering, marine eutrophication, and shallow water carbonate
70 production crisis (e.g., [Suchéras-Marx et al., 2015](#); [Bodin et al., 2017](#)).

71 Bracketed in between the Toarcian and the Bajocian, the Aalenian has received much
72 less attention, although it was likely a time of environmental instabilities marked by carbon
73 cycle perturbations and marine biotic turnovers (e.g., [O'Dogherty et al., 2006](#); [Sandoval et al.,](#)
74 [2008](#); [Gómez et al., 2009](#)). Hence, some important information can be gained from this

75 bridging time interval to put the T-OAE and early Bajocian Event into a broader context and
76 better understand global carbon cycle dynamics during the Mesozoic.

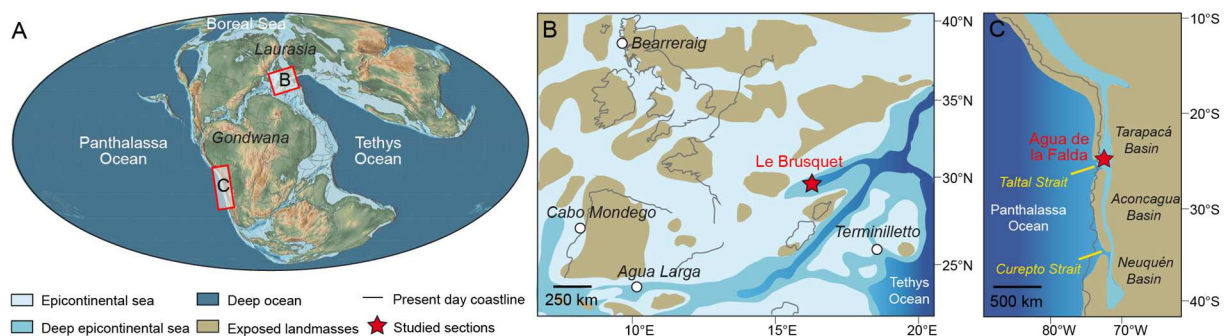
77 Here, we present a high-resolution biostratigraphic (calcareous nannofossils),
78 sedimentological and geochemical (stable carbon isotopes, Rock-Eval pyrolysis) study of two
79 expanded successions, namely Le Brusquet (French Subalpine Basin, SE France) and Agua de
80 la Falda (Andean Basin, N Chile). These successions were previously dated by ammonite
81 faunas and spanned the upper Toarcian–lower Bajocian interval. The main objective of this
82 paper is to assess the potential causal links between the carbon isotope perturbations and
83 palaeoenvironmental changes significantly affecting the carbonate factory, as depicted from
84 various inorganic, organic, and biotic proxies. Specifically, this study provides (i) detailed
85 carbon isotope records of bulk organic matter and carbonates, (ii) a correlation of the studied
86 sections with coeval sites using nannofossil biostratigraphy and carbon isotope stratigraphy,
87 and (iii) an evaluation of the stratigraphic reproducibility of the carbon isotope variations and
88 an appraisal of the influence of local processes on the global signal.

89

90 **2. Geological setting**

91 Le Brusquet and Agua de la Falda localities offer extended and continuously exposed
92 strata of upper Toarcian–lower Bajocian age and include both the Toarcian/Aalenian and the
93 Aalenian/Bajocian boundaries, according to previously established ammonite biozones (Le
94 Brusquet: [Mouterde et al., 1966](#); [Caloo, 1970](#); [de Graciansky et al., 1993](#); Agua de la Falda:
95 [von Hillebrandt and Schmidt-Effing, 1981](#); [Marticorena and Tapia, 1982](#)). The successions
96 are composed of marly limestone-marl alternations, deposited in the French Subalpine Basin
97 and the Andean Basin, respectively ([Fig. 1](#)).

98 The development of the French Subalpine Basin began during the Middle to Late
 99 Triassic (e.g., [de Granciansky et al., 1993](#); [Razin et al., 1996](#); [Mariotti et al., 2012](#)). During
 100 the Middle Jurassic, the basin was a transitional zone between the epicontinental sea of the
 101 Paris Basin and the deeper Ligurian Tethys, along the NW margin of the Tethys ([Fig. 1](#); [Ferry](#)
 102 [and Rubino, 1989](#); [Thierry, 2000](#)). The Le Brusquet succession is now exposed in SE France
 103 ([Fig. 1](#)), ~10 km NE of the town of Digne-les-Bains along the Bouinenc stream and the D22
 104 road linking the villages of Marcoux and Draix (GPS coordinates, base of the section:
 105 44°08'10.07''N; 06°18'14.19''E). In the studied area, Middle Jurassic sedimentary
 106 successions were covered by at least 3 km of Upper Jurassic to Eocene sedimentary deposits
 107 and are part of the Digne thrust sheets, which overthrust autochthon strata towards the SW
 108 ([Gidon and Paris, 1992](#); [Lickorish and Ford, 1998](#)). The Le Brusquet succession was buried
 109 under ca. 3 km overburden ([Haccard et al., 1989](#)) and was likely impacted by burial
 110 diagenesis and complex tectonic history. These transformations are also suggested by the
 111 degree of organic matter maturation (oil window-beginning of gas window stage; [Levert,](#)
 112 [1989](#)) of Middle Jurassic deposits in the Digne area.



114 **Fig. 1 :** (A) Global Middle Jurassic palaeogeographic reconstruction (after [Scotese, 2014](#)), with the location of the French
 115 Subalpine (B) and Andean (C) basins. (B) Palaeogeographic map of the western Tethys (after [Thierry, 2000](#)) with the
 116 location of Le Brusquet section (French Subalpine Basin, France) and other comparative key sites discussed in the text: Cabo
 117 Mondego (Lusitanian Basin, Portugal), Agua Larga (Subbetic Basin, Spain), Bearreraig (Hebrides Basin, the United
 118 Kingdom), and Terminillette (Umbria-Marche Basin, Italy). Note that the central Tethys was not yet open during the
 119 Aalenian. (C) Palaeogeographic map of the Andean Basin, which was divided into the Tarapacá, Aconcagua and Neuquén
 120 subbasins. The location of the Agua de la Falda section and the position of the communicating straits with the Panthalassa
 121 Ocean are shown.

122

123 The Andean Basin was an elongated back-arc basin along the SW Gondwana margin,
124 which developed in response to extensional and/or transtensional tectonics related to the
125 subduction of the proto-Pacific plate and the formation of the Andean magmatic arc during
126 the Jurassic and Early Cretaceous (Uliana et al., 1989; Vicente, 2005; Charrier et al., 2007).
127 Despite the development of the volcanic arc to the west, a connection with Panthalassa Ocean
128 was likely possible through marine connecting straits during the Middle Jurassic (Gröschke et
129 al., 1988; Prinz et al., 1994). The Agua de la Falda succession is exposed in N Chile (Fig. 1),
130 near the Potrerillos locality in the Atacama Region (GPS coordinates: 26°30'34.03''S;
131 69°20'34.5''W). The succession was likely not too strongly affected by burial diagenesis and
132 eventually by the tectonic history related to the formation of the Andean Cordillera since this
133 was not the case for older deposits of Toarcian age in the same area (Fantasia et al., 2018).

134

135 **3. Material and methods**

136 *3.1. Sampling*

137 Le Brusquet and Agua de la Falda sections were measured and sampled for
138 geochemical analyses with a vertical resolution of 45–90 cm and 5–20 cm, respectively. In
139 parallel, the lithology, sedimentary features, fossil content, and ichnofossil associations were
140 described for each level sampled. The samples showing clear evidence of diagenetic
141 neoformed, recrystallized calcite, or containing macroscopic allochems were avoided. About
142 10–20 g of macroscopically homogeneous sample were selected, dried at 45°C, crushed, and
143 powdered using an agate mortar at the Department of Geoscience, Aarhus University prior to
144 analysis.

145

146 3.2. *Calcareous nannofossil biostratigraphy*

147 Smear slides for calcareous nannofossil identification were prepared at the LGL-TPE,
148 Université Claude Bernard Lyon 1. A total of 76 samples from Le Brusquet and 20 from the
149 Agua de la Falda were selected at regular space intervals in the marl-limestone alternations. A
150 small amount of crushed rock was mixed with water before spreading onto a cover slide
151 (Bown and Young, 1998). Cover slides were then mounted onto the microscope slide using
152 Rhodopass B resin (polyvinyl acetate). Sample slides were then studied using a Leica
153 DM750P optical microscope at 1000x magnification. Two transverses of the slide (12.8 mm²)
154 were scanned and the encountered nannofossils were counted. The slides were further
155 analysed to recover rare species. The preservation state of the nannofossils was evaluated
156 based on etching and overgrowth of the specimens, using the preservation index and criteria
157 proposed by Roth (1994).

158

159 3.3. *Carbon and oxygen isotopes*

160 Carbon and oxygen isotope compositions ($\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$, ‰ VPDB) of aliquots
161 of powdered whole-rock samples (Le Brusquet: $n=395$, Agua de la Falda: $n=41$) were
162 determined using a Thermo Fisher Scientific (Bremen Germany) Gas Bench II connected to a
163 Delta Plus XL isotope mass spectrometer at the Institute of Earth Surface Dynamics of the
164 University of Lausanne (IDYST–UNIL). The measured $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ values were
165 normalized to VPDB scale using reference laboratory standard (Carrara Marble, $\delta^{13}\text{C} =$
166 $+2.05\text{‰}$, $\delta^{18}\text{O} = -1.7\text{‰}$) and international reference materials NBS18 carbonatite ($\delta^{13}\text{C} = -$
167 5.01‰ , $\delta^{18}\text{O} = -23.01\text{‰}$) and NBS19 limestone ($\delta^{13}\text{C} = +1.95\text{‰}$, $\delta^{18}\text{O} = -2.2\text{‰}$). Analytical
168 uncertainty (1σ) monitored by replicate analyses of the laboratory standard Carrara Marble
169 was not greater than $\pm 0.05\text{‰}$ for $\delta^{13}\text{C}$ and $\pm 0.1\text{‰}$ for $\delta^{18}\text{O}$.

170 Carbon isotope compositions of bulk organic matter ($\delta^{13}\text{C}_{\text{org}}$, ‰ VPDB) were
171 determined on decarbonated (treatment with 10% HCl) powdered whole-rock samples (Le
172 Brusquet: $n=689$, Agua de la Falda: $n=303$) by using an elemental analyser Carlo Erba 1108
173 connected to a Thermo Fisher Scientific Delta V Plus isotope ratio mass spectrometer at
174 IDYST–UNIL. The repeatability and intermediate precision of the $\delta^{13}\text{C}_{\text{org}}$ values were better
175 than 0.05‰.

176

177 *3.4. Rock-Eval pyrolysis*

178 Total organic carbon (TOC, wt.%) content, mineral carbon (MinC, wt.%) content,
179 hydrogen index (HI, mg HC/g TOC, HC=hydrocarbons), oxygen index (OI, mg CO₂/g TOC),
180 and T_{max} (°C) were measured on powdered whole-rock samples (Le Brusquet: $n=639$, Agua
181 de la Falda: $n=298$) using a Rock-Eval 6 (Espitalié, 1985; Behar et al., 2001) at the Institute
182 of Earth Sciences of the University of Lausanne (ISTE–UNIL). Measurements were
183 calibrated using the IFP160000 standard. T_{max} estimates of the thermal maturation level of the
184 organic matter (Espitalié et al., 1977; Peters, 1986). HI values were not interpreted for TOC
185 ≤ 0.2 wt.%, and T_{max} values were rejected when $S_2 \leq 0.3$ mg HC/g avoiding misinterpretation
186 of flat thermograms (Peters, 1986). The parameter $Q_{\text{carb}} = 7.976 * \text{MinC}$ (Jiang et al., 2017) was
187 used to estimate the proportion of carbonate with MinC values as the sum of mineral carbon
188 obtained during pyrolysis and oxidation phases (Lafargue et al., 1998).

189

190 **4. Results**

191 *4.1. Lithological description and biostratigraphy*

192 *4.1.1. Le Brusquet*

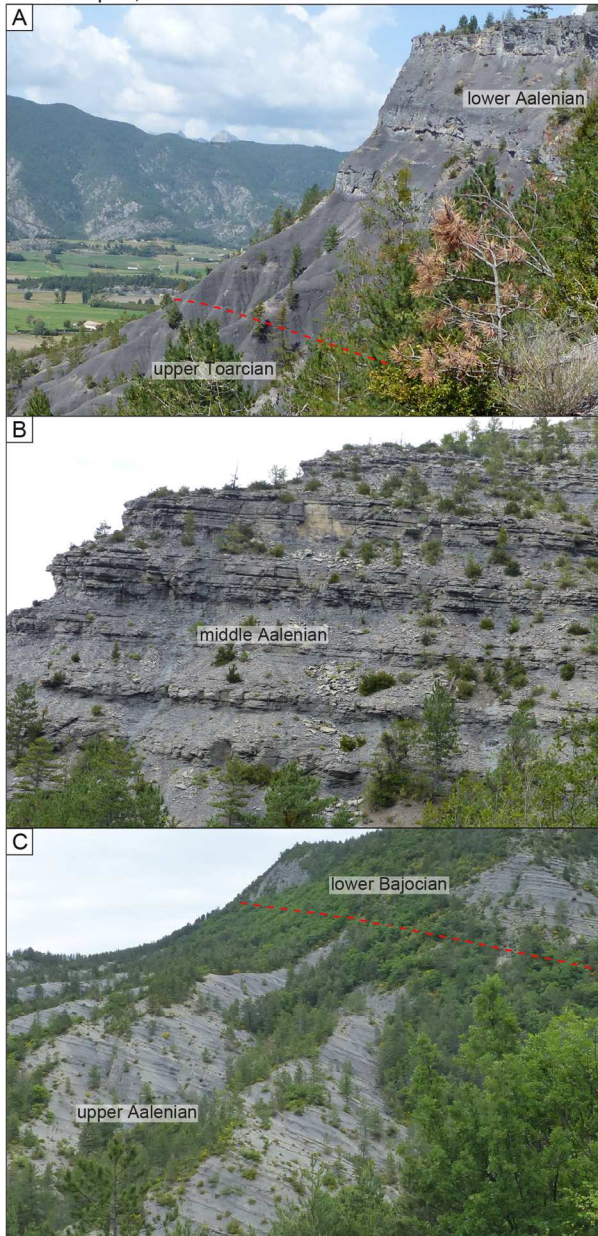
193 The upper Toarcian–lower Bajocian at Le Brusquet is about 400 m-thick and
194 dominated by dm- to m-thick alternations of offshore marl and marly limestone (Fig. 2). The
195 succession shows relatively monotonous lithology and is expanded compared to previously
196 documented contemporaneous European sections (Fig. 3; e.g., Sandoval et al., 2008; Gomez
197 et al., 2009; Price, 2010; Korte et al. 2015). The lithostratigraphy and ammonite
198 biostratigraphy of equivalent successions in Le Brusquet area have been previously described
199 by Mouterde et al. (1966), Caloo (1970) and de Graciansky et al. (1993), and the following
200 ammonite zones have been recognised: Aalensis (late Toarcian), Opalinum (early Aalenian),
201 Murchisonae (middle Aalenian), Concavum (late Aalenian), and Discites (early Bajocian).
202 The ammonite biostratigraphy is complemented here by nannofossil data (Fig. 3). The base of
203 the succession is dominated by dark grey marl, intercalated with few yellowish lenticular,
204 nodular, dm-thick limestone beds (Fig. 2A), assigned to the uppermost Toarcian (Aalensis
205 zone). The transition towards the Aalenian is marked by a progressive evolution to rhythmic
206 marly limestone-marl alternations (Fig. 2A). The lower Aalenian (Opalinum zone) is
207 composed of marly limestone-dominated rhythmic alternations, with few dm-thick lenticular
208 limestone beds at the base. A 5 cm-thick brown clayey layer is intercalated at 80 m. The
209 middle Aalenian (Murchisonae zone) is composed of massive marly limestone-marl rhythmic
210 alternations (Fig. 2B), with the limestone becoming progressively thinner and more marly
211 towards the top of the interval. The upper Aalenian (Concavum zone) shows marl with
212 rhythmic intercalations of thin marly limestone beds (Fig. 2C). The lower Bajocian (Discites
213 zone) is composed of marl, which grades into regular limestone-marl alternations (Fig. 2C).

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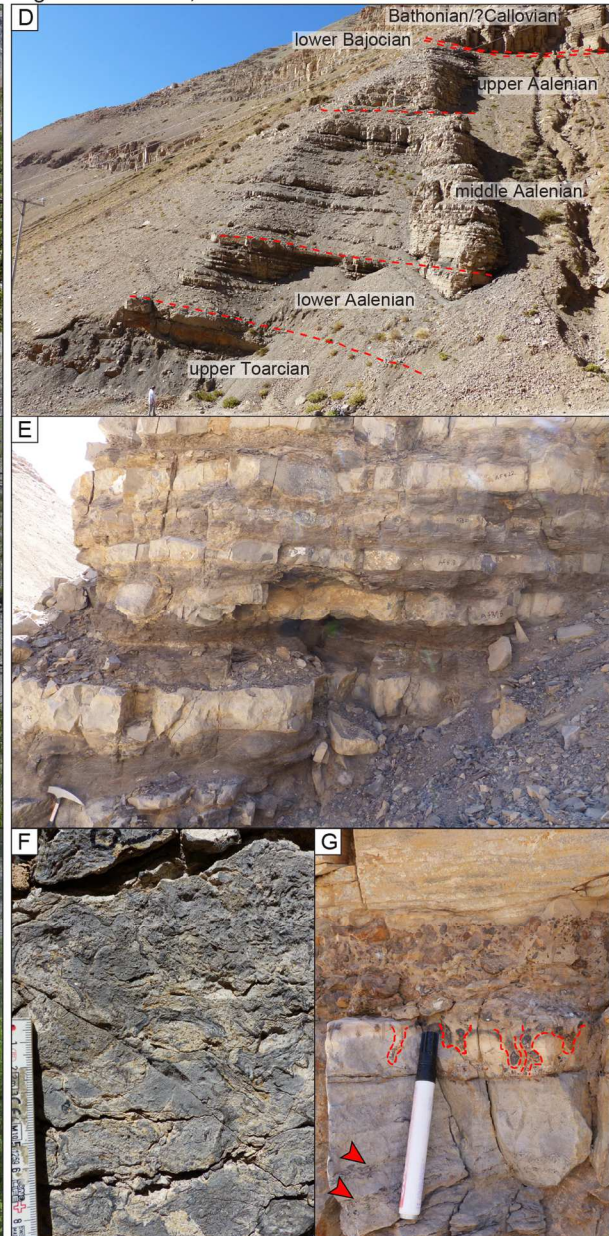
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216

Le Brusquet, France



Agua de la Falda, Chile



217

218 **Fig. 2 :** (A) Representative field photographs of Le Brusquet (A–C) and Agua de la Falda (D–G) sections. (A) The Upper
 219 Upper Toarcian–lower Aalenian transition is marked by an evolution from marl-dominated to limestone-dominated deposits. (B)
 220 The middle Aalenian is composed of limestone-marl rhythmic alternations. (C) The upper Aalenian shows marl with
 221 rhythmic intercalations of thin marly limestone beds, which grades into regular marl and limestone alternations in the lower
 222 Bajocian. (D) The upper Toarcian–lower Bajocian–Bathonian–(?) Callovian shows an alternation of marl and limestone. (E)
 223 Close-up view of the lower Aalenian marl (sometimes finely laminated)-limestone alternations. (F) Close-up view of the
 224 limestone marker bed at 26 m, which is almost entirely composed of oysters. (G) The upper Aalenian–Bajocian transition is
 225 marked by a limestone bed with bivalve concentrations (red arrows). The top of the bed, representing the unconformity
 226 between the lowermost Bajocian and the Bathonian–(?) Callovian, is a hard ground with borings (dashed lines) infilled with
 227 phosphatic clasts from the phosphorite conglomerate bed. This bed is the basis of a Bathonian–(?) Callovian succession
 228 composed of silicified limestone.

229

230

231

232 4.1.2. Agua de la Falda

233 The Agua de la Falda locality in the Potrerillos area was selected because it presents
234 one of the most expanded and well-exposed upper Toarcian–lower Bajocian succession in
235 Chile, offering a globally-distributed insight of the environmental conditions. It is composed
236 of a 52 m-thick alternation of marl and marly limestone (Fig. 2). The Agua de la Falda
237 outcrop belt can be followed laterally to the Quebrada El Asiento section (~2.5 km away from
238 Agua de la Falda), which was previously described for its lithostratigraphy and ammonite
239 biostratigraphy (von Hillebrandt and Schmidt-Effing 1981; Marticorena and Tapia 1982;
240 Perez 1982). In addition, the T-OAE interval has been identified further down in the
241 succession (Fantasia et al., 2018), bracketing the stratigraphic framework of the studied
242 succession. The ammonite biostratigraphy is based on the scheme developed for the Andean
243 regions and correlated with the European Standard Ammonite zones (von Hillebrandt and
244 Schmidt-Effing, 1981; von Hillebrandt and Westermann, 1985; Riccardi, 2008). Specifically,
245 the following ammonite assemblage zones were distinguished in the Potrerillos area: (i)
246 *Pleydellia* cf. *fluitans* (~Aalensis), (ii) *Bredya manflasensis* (~Opalinum), (iii) *Zurcheria*
247 *groeberi* (~Murchisonae), (iv) *Puchenquia malarguensis* (~Concavum), (v) horizon of
248 *Podagrosiceras maubeugei* (~Aalenian/Bajocian boundary). *Pseudotoites* cf. *sphaeroceroides*
249 indicates the lowermost Bajocian, (vi) (?) *Xenocephalites* sp. and (?) *Epistrenoceras* sp. of
250 late Bathonian to Callovian age (von Hillebrandt and Westermann, 1985).

251 The base of the section (Aalensis zone, upper Toarcian) is composed of wavy to
252 nodular marly limestone beds, rarely bioclastic, alternating with thin marl interbeds. Those
253 alternations grade up-section into a dark brown marl-dominated interval, which contains
254 limestone nodules and large ammonites (unidentifiable, ~30 cm diameter) and alternates with
255 nodular marly limestone beds. The marl-dominated interval is overlain by massive dm-thick
256 bioclastic limestone beds, equivalent to the beds 8 described by von Hillebrandt and Schmidt-

257 [Effing \(1981\)](#) at the Toarcian–Aalenian transition. The rest of the succession is dominated by
258 wavy to nodular, bioclastic (wackestone to packstone), bioturbated, marly limestone beds,
259 which alternate with dark brown, organic-matter rich, thin-bedded to laminated, marls ([Fig.](#)
260 [2E](#)). The marls contain limestone nodules and mm-scale phosphatic peloids. Several firm
261 grounds are present. The macrofossil content in the bioclastic limestones consists of bivalves
262 (mostly oysters), brachiopods (mostly terebratulids), crinoids, ammonites, and scarce
263 belemnites and gastropods. The shells are poorly sorted, wholly or almost entirely
264 fragmented, and are oriented along cross bedding, or are normally graded. At 26 m, a 60 cm-
265 thick normally-graded limestone bed with an erosive base and almost composed by articulated
266 oysters (*Lopha* sp.), is a marker bed in the studied succession ([Fig. 2F](#)). This coquina bed is
267 equivalent to bed 12 described by [von Hillebrandt and Schmidt-Effing \(1981\)](#), which is
268 assigned to a middle–late Aalenian age (Murchisonae–Concavum zones). The limestone and
269 marl become silty to sandy from 40 m upwards, and the proportion of marl relative to
270 limestone increases. The silty marl-dominated interval is capped by a 20 cm-thick erosive-
271 based limestone bed including thin-shelled bivalves accumulated in distinct layers ([Fig. 2G](#)).
272 The upper part of the limestone bed presents borings filled with phosphatic clasts. This is
273 overlain by a cm-thick phosphorite conglomerate bed ([Fig. 2G](#)), laterally discontinuous with
274 an erosive base containing heterometric, sub-angular to rounded, poorly sorted phosphate
275 clasts ([Fig. 2G](#)). The limestone bed below the conglomerate corresponds to bed 16 described
276 by [von Hillebrandt and Schmidt-Effing \(1981\)](#), which was assigned to an early Bajocian age.
277 The conglomerate of early Bajocian age is disconformably overlain by silicified limestone
278 ([Fig. 2G](#)), with ripple laminations, of late Bathonian–(?) Callovian age described as bed 17 by
279 [von Hillebrandt and Schmidt-Effing, 1981](#). Most of the Bajocian interval is hence largely
280 condensed and/or missing, and marked by reworked deposits.

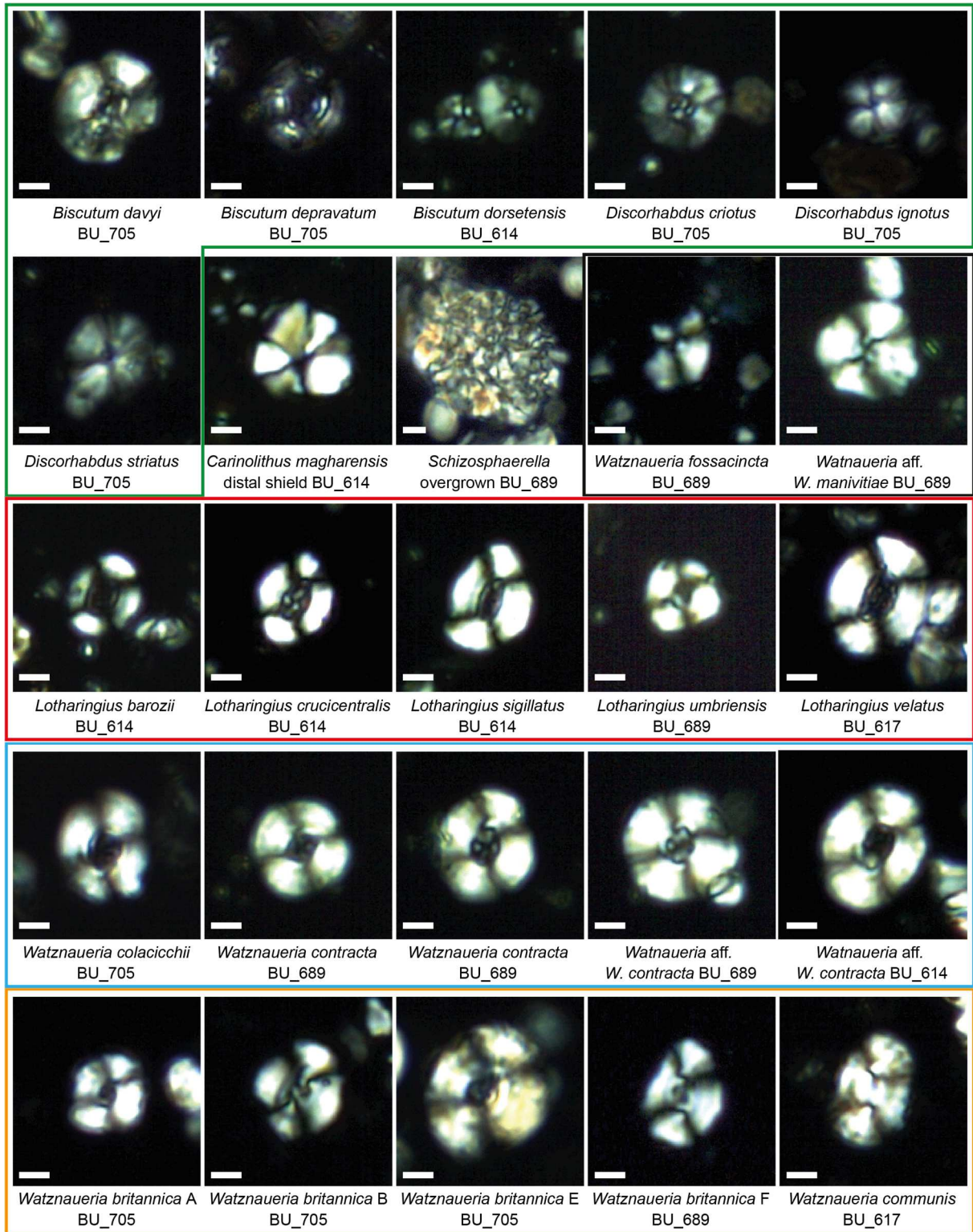
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282 4.2. Calcareous nannofossils

283 At Le Brusquet, calcareous nannofossils were identified in 76 samples throughout the
284 upper Toarcian–lower Bajocian interval providing a suite of biostratigraphic events (see
285 Supplementary Information and Fig. S1), whereas the analysed samples at Agua de la Falda
286 were all barren. At Le Brusquet, the dominant calcareous nannofossil taxa are represented by
287 *Schizosphaerella*, Biscutaceae (including various species of *Similiscutum*, *Biscutum* and
288 *Discorhabdus*), several species of *Lotharingius*, *Watznaueria* with a cross structure in the
289 central area (namely: *W. colacicchii*, *W. contracta*, and *Watznaueria* aff. *W. contracta*),
290 *Watznaueria* with a bridge in the central area (*W. communis* and several morphotypes of *W.*
291 *britannica* defined in Giraud et al., 2006), and *Watznaueria* without structures in the central
292 area (*W. fossacincta* and *Watznaueria* aff. *W. manivittiae*; Fig. 3). The assemblage
293 composition shows important variations across the Aalenian (Fig. 4). The base and
294 intermediate, carbonate-rich part of the succession (Aalensis to middle Murchisonae zones;
295 upper Toarcian to middle Aalenian) are characterized by the lowest nannofossil content and
296 by the dominance in nannofossil assemblage of *Schizosphaerella* spp. (an *incertae sedis*,
297 commonly considered to be a dinoflagellate cyst; Bown, 1987). The coccolith fraction,
298 although very rare, is dominated by Biscutaceae, with a peak at around 140–160 m
299 (Murchisonae zone; middle Aalenian), while *Lotharingius* coccoliths show an inverse trend.
300 However, being the dominant coccolith forms, such inverse trend in Biscutaceae and
301 *Lotharingius* can be due to the closed-sum effect. The carbonate-rich interval also bears
302 micrometre-sized plant debris commonly observed in the smear slides. A sudden change in
303 the assemblage composition is observed from ~220 m up to the top of the section (middle
304 Murchisonae to Discites zones; middle Aalenian to lower Bajocian), where nannofossil
305 amounts progressively increased and *Watznaueria* spp. became dominant. In particular, this

306 shift to *Watznaueria* spp. dominance is driven by elevated proportions (20 to 50%) of those
307 forms bearing a cross structure in the central area.

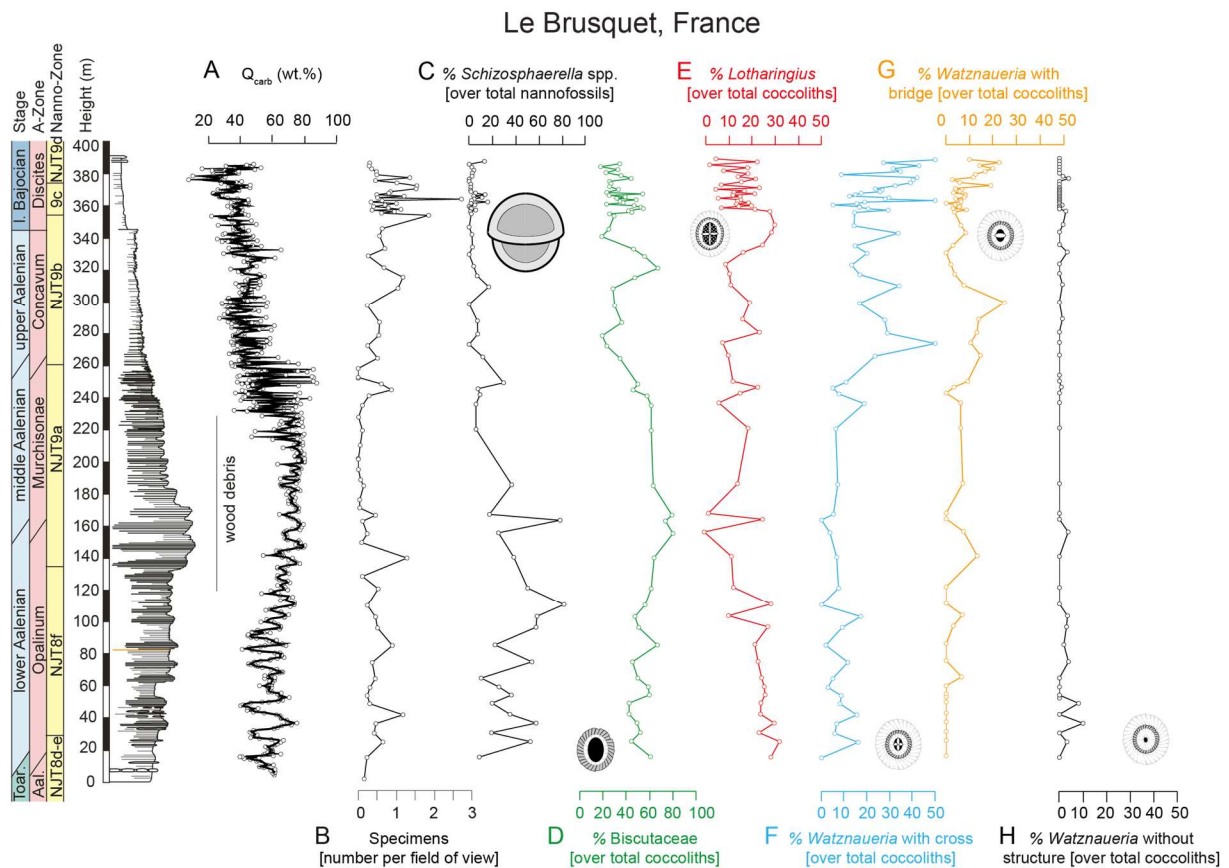
308



309

310 **Fig. 3 :** Micrographs of representative calcareous nannofossils from Le Brusquet section, under cross-polarized light. The
 311 colour code refers to the dominant taxa shown in Figure 5: Biscutaceae (green), *Lotharingius* (red), *Watznaueria* with a cross
 312 structure in the central area, (blue), *Watznaueria* with a bridge in the central area (orange), and *Watznaueria* without structures
 313 (black).

314



315

316 **Fig. 4:** (A) Carbonate content (Q_{carb}) for Le Brusquet section is shown next to (B) the calcareous nannofossil abundances,
 317 and the percentages of the dominant taxa, i.e., (C) *Schizosphaerella*, (D) Biscutaceae, (E) *Lotharingius*, (F) *Watznaueria*
 318 with a cross structure in the central area, (G) *Watznaueria* with a bridge in the central area, and (H) *Watznaueria* without
 319 structures. Note the significant increase in *Watznaueria* across the middle–late Aalenian. Wood debris are particularly present
 320 in the middle Aalenian.

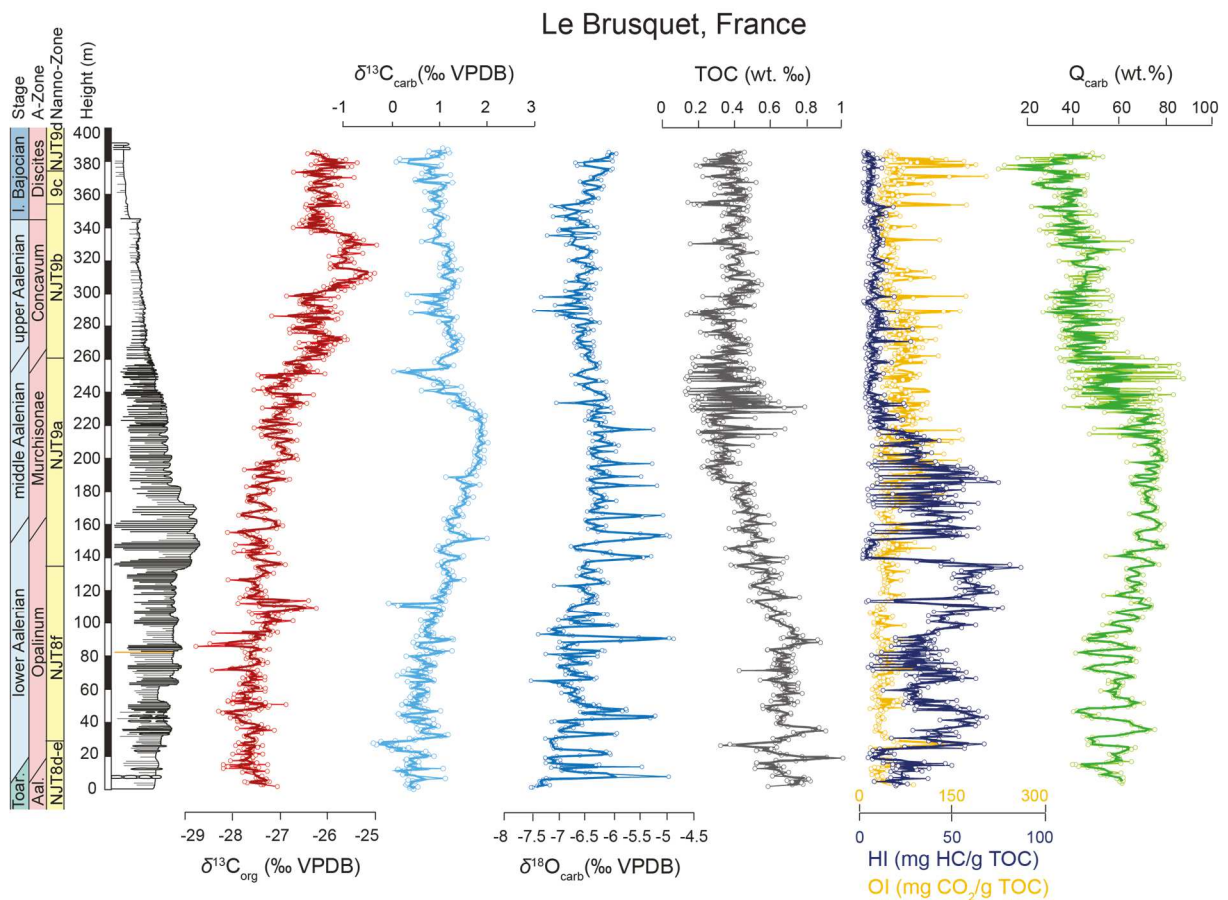
321

322 4.3. Organic matter and carbonate contents

323 4.3.1. Le Brusquet

324 At Le Brusquet, TOC contents are comprised between 0.1 and 1.0 wt.%, with
 325 maximum values in the Aalensis and Opalinum zones (Fig. 5). The TOC values are high
 326 (average 0.7 wt.%) up to the Opalinum–Murchisonae zonal transition before gradually
 327 decreasing up to the lower Murchisonae zone. This is followed by a scattered increase of the
 328 TOC in the upper Murchisonae zone, with values, up to 0.8 wt.% in the marl and minimum
 329 values of 0.1 wt.% in the limestone. This is followed by steady TOC contents up to the top of

330 the section, with values fluctuating around 0.5 wt.%. HI (2–87 mg HC g⁻¹ TOC; average 21
 331 mg HC g⁻¹ TOC) and OI (18–284 mg CO₂ g⁻¹ TOC; average 61 mg CO₂ g⁻¹ TOC) values are
 332 relatively low throughout the section (Fig. 5), with the highest HI and lowest OI recorded
 333 from the Aalensis zone up to the lower Murchisonae zone. This is followed by a decrease of
 334 the HI and generally higher OI (≤ 284 mg CO₂ g⁻¹ TOC) up to the top of the section. The *T*_{max}
 335 values are uniform within 494–498 °C. *Q*_{carb} values fluctuate between 7.8 and 87.3 wt.% and
 336 broadly mirror TOC values, with a long-term increasing trend from the base of the section up
 337 to the Murchisonae–Concavum zonal transition. This gradual increase in *Q*_{carb} values is
 338 expressed as a change to more massive marly limestone-marl rhythmic alternations. This is
 339 followed by a decrease in the Concavum zone and relatively steady values up to the top of the
 340 section (Fig. 5).



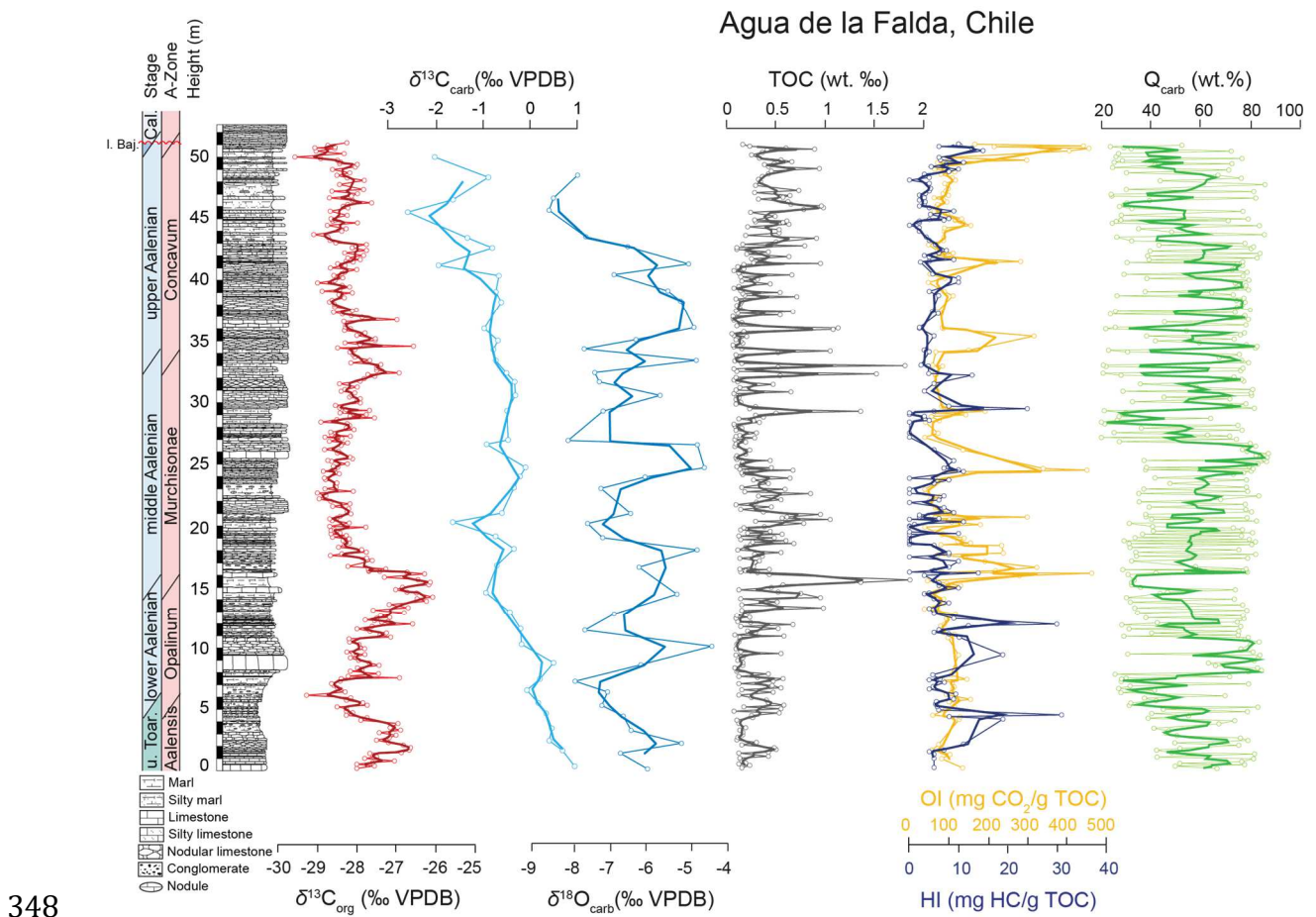
341

342 **Fig. 5:** Isotopic composition ($\delta^{13}\text{C}_{\text{org}}$, $\delta^{13}\text{C}_{\text{carb}}$, $\delta^{18}\text{O}_{\text{carb}}$), total organic carbon (TOC) content, hydrogen index (HI), oxygen
 343 index (OI), and carbonate content (*Q*_{carb}) at Le Brusquet. The trend lines correspond to a 4-points moving average.

344

345 4.3.2. Agua de la Falda

346 At Agua de la Falda, TOC content is generally higher than at Le Brusquet, with values
347 up to 1.9 wt.% (Fig. 6).



349 **Fig. 6:** Isotopic composition ($\delta^{13}\text{C}_{\text{org}}$, $\delta^{13}\text{C}_{\text{carb}}$, $\delta^{18}\text{O}_{\text{carb}}$), total organic carbon (TOC) content, hydrogen index (HI), oxygen
350 index (OI), and carbonate content (Q_{carb}) at Agua de la Falda. The trend lines correspond to a 4-points moving average.

351

352 A gradual increase is recorded up to the Opalinum–Murchisonae zonal transition, with
353 a maximum of 1.9 wt.% in the laminated marl. This is followed by a decrease at the base of
354 the Murchisonae zone and a slight increase up to the middle Murchisonae zone. This trend is
355 followed by a gradual decrease of the TOC values up to the upper Murchisonae zone, and

356 then a scattered increase across the Murchisonae–Concavum zonal transition. TOC values
357 show a general increase in the Concavum zone, followed by a decreasing trend near the
358 Bajocian–Bathonian–(?) Callovian disconformity surface. HI values are generally low (≤ 31
359 mg HC g⁻¹ TOC; average 6 mg HC g⁻¹ TOC), whereas OI values are moderately high (≤ 449
360 mg CO₂ g⁻¹ TOC; average 116 mg CO₂ g⁻¹ TOC), and both index fluctuate throughout the
361 section (Fig. 6). Q_{carb} values vary between 0.2 and 83.8 wt.%, and show a high scatter which
362 reflects the alternation between marl and limestone. Three intervals of decreasing Q_{carb} values
363 are recorded at the Toarcian/Aalenian boundary, in the Opalinum zone, in the upper
364 Murchisonae zone, and in the Concavum zone, respectively (Fig. 6).

365

366 4.4. Carbon and oxygen isotopes

367 4.4.1. Le Brusquet

368 At Le Brusquet, the $\delta^{13}\text{C}_{\text{org}}$ values range between -29.9 and -24.9‰ (Figs. 5 and 7)
369 and show a long-term gradual increase of about 3.0‰ from the upper Toarcian to the
370 uppermost Aalenian, which culminates with maximum values in the Concavum zone. Distinct
371 $\delta^{13}\text{C}_{\text{org}}$ medium-term fluctuations are superimposed on this long-term positive trend. The
372 $\delta^{13}\text{C}_{\text{org}}$ profile shows a $\sim 1\text{‰}$ negative excursion near the Toarcian/Aalenian boundary,
373 followed by a $\sim 1\text{‰}$ increase in the Opalinum zone, reaching values up to -26‰ with a first
374 maximum in the upper Opalinum zone. Two distinct $\sim 1.5\text{‰}$ negative shifts are recorded at the
375 Opalinum–Murchisonae zonal transition and in the Murchisonae zone, followed by an
376 increase in $\delta^{13}\text{C}_{\text{org}}$ values reaching -25.5‰ at the Murchisonae–Concavum zonal transition.
377 The $\delta^{13}\text{C}_{\text{org}}$ shows two ~ 1.0 – 1.5‰ negative shifts in the Concavum zone that interrupt a
378 progressive increase to a maximum value of -24.9‰ . The Aalenian/Bajocian boundary is
379 marked by a decrease in $\delta^{13}\text{C}_{\text{org}}$ (Fig. 5).

380 The $\delta^{13}\text{C}_{\text{carb}}$ values vary between -0.5 and 2.0‰ (Fig. 5) and show a general
381 increasing trend from the Aalensis zone up to the middle Murchisonae zone, which mimics
382 the increase of carbonate content in the section. The increasing trend is followed by a shift to
383 more negative $\delta^{13}\text{C}_{\text{carb}}$ in the upper part of the section, where the lithology changes toward
384 marl-dominated deposits. The $\delta^{18}\text{O}_{\text{carb}}$ values fluctuate between -7.5 and -1.7‰ (Fig. 5),
385 displaying broadly a similar trend as the $\delta^{13}\text{C}_{\text{carb}}$ values.

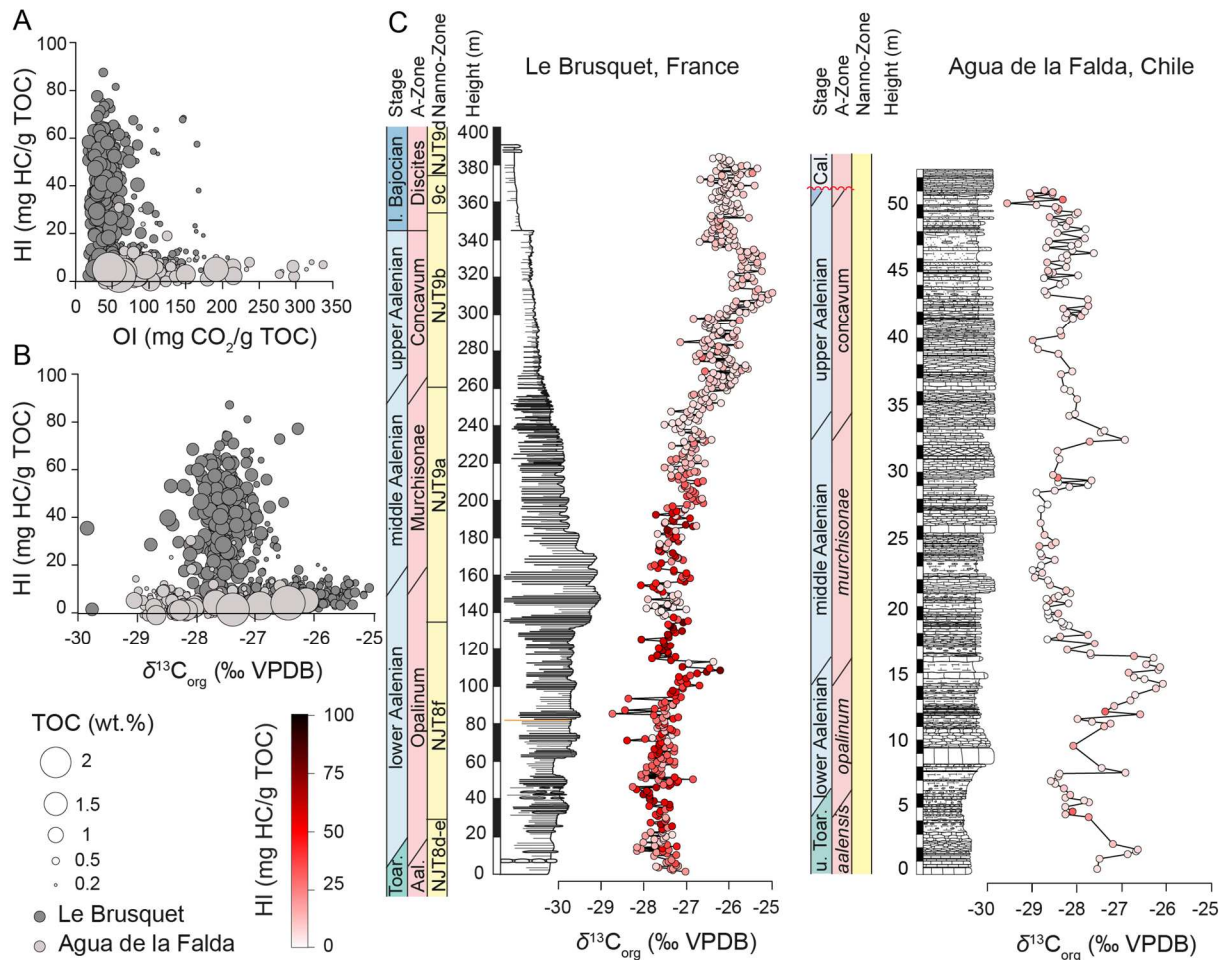
386

387 4.4.2. Agua de la Falda

388 At Agua de la Falda, $\delta^{13}\text{C}_{\text{org}}$ values range between -29.6 and -25.1‰ , with
389 consecutive medium-term shifts of ~ 1.0 – 2.5‰ magnitude (Figs. 6 and 7). The $\delta^{13}\text{C}_{\text{org}}$ profile
390 shows a $\sim 2\text{‰}$ negative shift at the Toarcian/Aalenian boundary, followed by an increase of up
391 to -26‰ at the Opalinum–Murchisonae zonal transition, associated with high TOC. The
392 Murchisonae zone shows a broad stepped $\sim 2.5\text{‰}$ negative $\delta^{13}\text{C}_{\text{org}}$ shift, followed by an
393 increase to a maximum value of -27‰ near the Murchisonae–Concavum zonal transition,
394 coupled with an increase in TOC. The $\delta^{13}\text{C}_{\text{org}}$ values show two $\sim 1.5\text{‰}$ negative shifts in the
395 lower Concavum zone and a decrease near the Aalenian/Bajocian boundary. These negative
396 shifts are followed by a marked increase to the maximum value of -25.1‰ below the lower
397 Bajocian–Bathonian–(?) Callovian disconformity surface (Fig. 6).

398 The $\delta^{13}\text{C}_{\text{carb}}$ values fluctuate between -3.3 and 1.0‰ (Fig. 6) and show a long-term
399 decreasing trend throughout the section, interrupted by more positive values across the
400 Murchisonae–Concavum zonal transition. The $\delta^{18}\text{O}_{\text{carb}}$ values fluctuate between -8.6 and $-$
401 4.3‰ (Fig. 6).

402



404

405 **Fig. 7:** (A) Scatterplot of the hydrogen index (HI) versus the oxygen index (OI) in relation with the total organic carbon
 406 (TOC) content for Le Brusquet and Agua de la Falda sections. (B) Relationship between $\delta^{13}\text{C}_{\text{org}}$ and hydrogen index for Le
 407 Brusquet and Agua de la Falda sections. (C) Bulk organic matter isotopic composition ($\delta^{13}\text{C}_{\text{org}}$) for Le Brusquet and Agua de
 408 la Falda sections, compared to the stratigraphic evolution of the hydrogen index (HI) values. Note the absence of clear
 409 relationship between the type/preservation of the organic matter and the $\delta^{13}\text{C}_{\text{org}}$ trend.
 410

411 5. Discussion

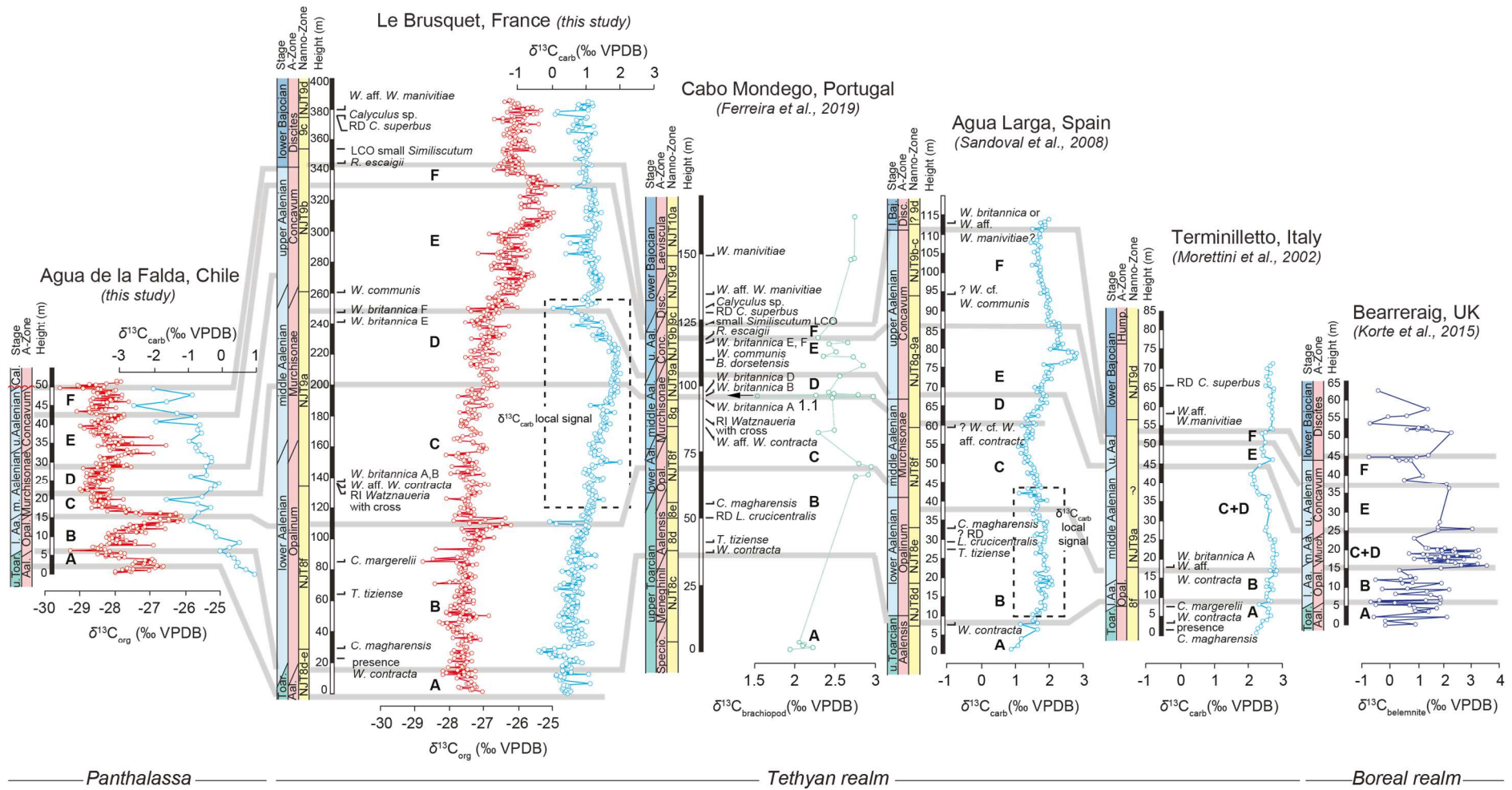
412 5.1. Calcareous nannofossils

413 5.1.1. Biostratigraphic constraints

414 The chronostratigraphic frame and age assignment provided by ammonites for Le
 415 Brusquet section (Caloo, 1970; de Graciansky et al. 1993) is confirmed by our new calcareous
 416 nannofossil biostratigraphy (Fig. S1). In particular, the occurrence of *W. contracta* and *C.*

417 *magharensis* approximate the Toarcian/Aalenian boundary, which correlates to the base of the
418 NJT8 zone of the [Ferreira et al. \(2019\)](#) biochronological scheme (also used in the GTS 2020).
419 The middle Aalenian was identified by the consecutive FOs of *W. britannica* morphotype A
420 and *W. communis* (NJT9a zone). The Aalenian/Bajocian boundary and basal Bajocian were
421 recognised by the FO of *R. escaigii* and the LCO of small *Similiscutum* (NJT9c zone).

422 Calcareous nannofossil data are also available for the Agua Larga (Spain, [Sandoval et](#)
423 [al., 2008](#)) and Terminilletto (Italy, [Baumgartner et al., 1995](#)) sections, where whole-rock
424 $\delta^{13}\text{C}_{\text{carb}}$ have been measured ([Aguado et al., 2008](#); [Sandoval et al., 2008](#); [Morettini et al.,](#)
425 [2002](#)). The nannofossil and carbon isotope data of these sections were compared with the new
426 dataset from Le Brusquet and Agua de la Falda ([Fig. 8](#)). To ensure accurate comparisons with
427 the studied sections, nannofossil data from Terminilletto (studied by one of us, EM) and from
428 Agua Larga ([Aguado et al., 2008](#)) were reconsidered in the light of recently published
429 taxonomic revision (see the synthesis in [Ferreira et al., 2019](#)). In particular, the specimen of
430 *W. contracta* shown by [Aguado et al. \(2008; figure 5-39\)](#) is larger than 7 μm ; thus it should be
431 considered as a *W. aff. W. contracta* according to [Tiraboschi and Erba \(2010\)](#). [Aguado et al.](#)
432 [\(2008\)](#) reported a sharp increase in proportions of *W. contracta* in the Agua Larga section
433 from 59.40 m. After reconsideration, this increase may instead correspond to the inception of
434 *W. aff. W. contracta*. If so, the record of the Agua Larga section is consistent with that of Le
435 Brusquet ([Fig. 8](#)). Likewise, the specimens of *W. britannica* shown by [Aguado et al. \(2008;](#)
436 [figure 5-44\)](#) might correspond to a transitional form close to *W. manivittiae*. We hence added
437 the FO of *W. aff. W. manivittiae* as an alternative to the FO of *W. britannica* reported by
438 [Aguado et al., 2008 \(Fig. 8\)](#).



439

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444

Fig. 8: High-resolution carbon isotope records ($\delta^{13}\text{C}_{\text{org}}$, $\delta^{13}\text{C}_{\text{carb}}$) of the upper Toarcian–lower Bajocian interval of Le Brusquet and Agua de la Falda sections, and comparison with available key records from the European area: Cabo Mondego, Lusitanian Basin, Portugal (Ferreira et al., 2019); Agua Larga, Subbetic Basin, Spain (Sandoval et al., 2008); Terminilietto, Umbria-Marche Basin, Italy (Morettini et al., 2002); Berreraig, Hebrides Basin, the United Kingdom (Korte et al., 2015). Note that the grey lines correspond to correlations proposed in this study, based on biostratigraphy and chemostratigraphy and highlights carbon isotope shifts (intervals A–F) that can be correlated between the different sites from the Panthalassa, and Tethyan and Boreal realms. At Le Brusquet and Agua Larga, the box with dashed line indicates the interval where the $\delta^{13}\text{C}_{\text{carb}}$ signal is likely influenced by the carbonate ooze exported from adjacent platforms.

445 The comparison between nannofossil events at Le Brusquet, Agua Larga, and
446 Terminilietto shows that some events can be traced across the three sections (Fig. 8). The
447 nannofossil record of Agua Larga and Terminilietto allows a tentative application of the
448 nannofossil zones of Ferreira et al. (2019) that provides correlation with Le Brusquet record
449 (Fig. 8). In particular, the occurrence of *W. contracta* is relatively consistent between the three
450 sections, and similarly to Le Brusquet, *W. aff. W. contracta* and *W. britannica* A occur close
451 to each other at Terminilietto. According to our stable isotope and biostratigraphic data, the
452 FO of *W. aff. W. manivittiae* is consistent between the three sections and further allow
453 potential recognition of subzone NJT9d despite the lack of observation of the LO of
454 *Calyculus* spp. at Agua Larga and Terminilietto (Fig. 8).

455

456 5.1.2. Palaeoenvironmental implications

457 Although calcareous nannofossils are very rare at Le Brusquet (Fig. 5), the percentage
458 of the dominant taxa can be interpreted in terms of palaeoenvironmental changes, especially
459 in the carbonate-rich part of the section between 130 and 220 m. Calcareous nannofossil
460 abundances (Fig. 4B) provide raw information on the general trends. The Aalensis to
461 Opalinum zones (upper Toarcian to lower Aalenian, 0–130 m) display moderate values of
462 nannofossil abundances along with relatively high percentages of shallow-dweller taxa,
463 proliferating either in times of relative oligotrophy (such as *Schizosphaerella* spp.; Fig. 4C) or
464 meso-eutrophic conditions of surface waters (such as Biscutaceae and *Lotharingius* spp.; Fig.
465 4D–E). *Schizosphaerella* was likely better adapted to overall oligotrophic conditions in
466 shallow-waters, where nutrients were probably recycled in times of intensified storms
467 (Mattioli and Pittet, 2004; Aguado et al., 2008; Reggiani et al., 2010). However, some authors
468 (Claps et al., 1995; Erba, 2004; Tremolada et al., 2005) interpreted *Schizosphaerella* as a

469 deep-dweller taxon, proliferating in time of surface-water oligotrophy. Biscutaceae are
470 commonly associated with eutrophic environments both in the Jurassic and in the Cretaceous,
471 thriving in high-fertility surface-waters (Roth and Bowdler, 1981; Premoli Silva et al., 1989;
472 Watkins, 1989; Erba, 1992; Mattioli and Pittet, 2004; Tremolada et al., 2006; Mattioli et al.,
473 2008). *Lotharingius* probably dwelled in shallow-waters and was adapted to moderately high
474 nutrient concentrations (Pittet and Mattioli, 2002; Mattioli and Pittet, 2004; Olivier et al.,
475 2004; Tremolada et al., 2005; Mattioli et al., 2008). Overall, calcareous nannofossil
476 assemblage at the base of the Le Brusquet section can be considered as indicative of
477 alternating high- and low-fertility conditions in surface waters.

478 The Opalinum to middle Murchisonae zones (lower to middle Aalenian, ~130–220 m)
479 is marked by the lowest relative abundances in nannofossils, decreasing proportions of
480 *Schizosphaerella* spp. and low percentages of *Lotharingius* spp., whereas Biscutaceae show
481 the highest values (Fig. 4C–E). Moreover, this interval also corresponds to the most
482 carbonate-rich part of the succession and with the highest concentration in micrometer-sized
483 wood debris observed in smear slides (Fig. 4). Such observations may suggest either
484 increased continental runoff and bio-limiting elements input to epicontinental basins or
485 relative proximity from source areas at times of platform progradation. Whatever the cause,
486 the observed dominance of Biscutaceae may have been triggered by enhanced continent-
487 derived nutrient input.

488 The dominant features observed in the middle Murchisonae to Discites zones (middle
489 Aalenian to lower Bajocian, ~220–400 m) comprise the overall decreasing trend in
490 Biscutaceae proportions and the progressive and prominent increase in *Watznaueria* bearing a
491 cross in its central area (Fig. 4D and F). This trend encompasses an increase in *Watznaueria*
492 bearing a bridge in the central area (Fig. 4G), which were likely opportunistic forms,
493 proliferating in times of global eutrophication related to major oceanic reorganisation (Giraud

494 [et al., 2016](#)). Alternatively, they may have developed the ability to bloom in nutrient-rich
495 environments previously unexploited by other species ([Suchéras-Marx et al., 2015](#)). Likewise,
496 major changes have been documented in the siliceous microplankton, with a major radiolarian
497 turnover across the middle–late Aalenian ([Yao, 1997](#); [Bartolini et al., 1999](#); [Aguado et al.,](#)
498 [2009](#)). This faunal change has been interpreted as the biological response to palaeotectonic
499 rearrangements in the Western Tethys linked to the central Atlantic spreading, which likely
500 induced palaeoceanographic modifications, with global ocean chemistry changes and
501 eutrophication (e.g., [Aguado et al., 2008](#); [Sandoval et al., 2008](#)).

502

503 *5.2. Effects of diagenesis and different carbonate phases on the isotope records*

504 The potential diagenetic overprint on the marly limestone-marl alternations was
505 evaluated at Le Brusquet and Agua de la Falda to assess if the $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ data could
506 be used as primary environmental indicators. Diagenetic processes on pelagic carbonates
507 generally have less influence on the carbon isotopes than oxygen isotopes (e.g., [Schrag et al.,](#)
508 [1995](#)). The organic matter mineralization releases ^{13}C -depleted dissolved inorganic carbon
509 (DIC). Therefore, recrystallization-neomorphism of carbonate phases during burial diagenesis
510 will lower the $\delta^{13}\text{C}_{\text{carb}}$ values (e.g., [Weissert et al., 1979](#); [Marshall, 1992](#); [Schrag et al., 1995](#)).
511 Actually, Le Brusquet section is composed of micrite-rich deposits, which are less prone to
512 diagenetic fluid migration and carbon buffered. At Le Brusquet, the moderate correlation
513 between $\delta^{13}\text{C}_{\text{carb}}$ and Q_{carb} values ($r=0.45$, $p<0.001$) suggests a relationship between the
514 whole-rock carbonate content and the carbon isotope composition. The long-term marine
515 carbon isotope record is mainly governed by changes in the organic carbon fluxes relative to
516 the total carbon in the different carbon pools (e.g., [Bernier, 1987](#)). Long-term $\delta^{13}\text{C}_{\text{carb}}$ records
517 can however potentially be influenced by regional carbon cycling processes. These include

518 the incorporation of carbonate particles with varying carbon isotope compositions exported
519 from platforms and pelagic mud (e.g., [Swart and Eberli, 2005](#); [Godet et al., 2006](#); [Bodin et al.,](#)
520 [2016](#)). It has however been demonstrated that the contribution of nannofossil carbonate to the
521 global oceanic carbon cycle is negligible during the Middle Jurassic ([Suchéraz-Marx et al.,](#)
522 [2012](#)) and hence did likely not significantly contribute to the $\delta^{13}\text{C}_{\text{carb}}$ record of Le Brusquet.
523 Long-term fluctuations in the contribution of riverine DIC fluxes to the ocean can also induce
524 shifts in the $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ profiles (e.g., [Kump and Arthur, 1999](#); [Kemp et al., 2020](#)),
525 which are decoupled from global changes in the carbon cycle. Given the difference between
526 the carbon isotope record from Le Brusquet and other European sites, it is hence not excluded
527 that the $\delta^{13}\text{C}_{\text{carb}}$ profile from Le Brusquet likely do not solely reflect changes in the global
528 carbon cycle but also the superimposed influence of local carbon cycling
529 processes. Furthermore, in the studied area, Middle Jurassic sedimentary successions were
530 covered by at least 3 km of Jurassic to Eocene sediments and underwent a complex regional
531 alpine tectonic history (Digne Nappe; [Levert, 1989](#); [Lickorish and Ford, 1998](#)), pointing to the
532 influence of burial diagenesis on the deposits. At Le Brusquet, the relationship between
533 $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ values ($r=0.57$, $p<0.001$) together with generally low $\delta^{18}\text{O}_{\text{carb}}$ values
534 suggest a significant overprint of burial diagenesis, precluding the use of the $\delta^{18}\text{O}_{\text{carb}}$ as a
535 reliable environmental proxy. The influence of burial diagenesis is further supported by high
536 T_{max} values (494–498°C), which indicate mature organic matter (gas window; [Espitalié et al.,](#)
537 [1985](#)).

538 At Agua de la Falda, the correlation between $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{18}\text{O}_{\text{carb}}$ values is very weak
539 ($r=0.19$, $p=0.12$), suggesting that burial diagenesis had no significant influence on the carbon
540 isotope values. This low diagenetic overprint is also supported by the lack of correlation
541 between $\delta^{13}\text{C}_{\text{carb}}$ and Q_{carb} values ($r=0.18$, $p=0.11$). Nonetheless, the long-term negative
542 $\delta^{13}\text{C}_{\text{carb}}$ trend is paralleled by a lithological transition towards the shallowest facies observed

543 throughout the succession and the increasing proportion of detrital material. This suggests that
544 diagenetic processes, including organic matter oxidation and incorporation of ^{13}C -depleted
545 carbon and/or changes in the relative content of carbonate ooze, may have driven the negative
546 $\delta^{13}\text{C}_{\text{carb}}$ trend without any link with the global signal. Interpretation of $\delta^{18}\text{O}_{\text{carb}}$ values is
547 excluded from the discussion since they are particularly low, suggesting diagenetic overprint
548 related to dissolution and recrystallization-neomorphism (Schrag et al., 1995). The absence of
549 S_2 peak hampers any reliable interpretation of T_{max} values and the influence of thermal
550 diagenesis.

551

552 *5.3. Evaluation of the degree of preservation of the organic matter*

553 At Le Brusquet, burial diagenesis impacted the type of preserved organic matter. The
554 more refractory terrestrial organic matter was likely preferentially preserved during burial
555 diagenesis than the labile marine organic matter (e.g., de Lange et al., 1994; Arndt et al.,
556 2013). Low HI and OI values (averages 21 mg HC g⁻¹ TOC and 61 mg CO₂ g⁻¹ TOC,
557 respectively; Fig. 5) and high T_{max} values (494–498°C) well within the gas window point to
558 overmature organic matter in these rocks. This high thermal maturity prevented the
559 assessment of the organic matter type in the HI vs. OI plot (Fig. 7A). Although a minor
560 contribution of overmature phytoplankton-derived marine organic matter can not be excluded,
561 the occurrence of microscopic and macroscopic fossil wood particles (e.g., between 130-220
562 m) suggests a predominance of terrestrial organic matter, likely conveyed to the French
563 Subalpine Basin from the surrounding emerged landmasses such as the Massif Central. At
564 Agua de la Falda, the source of the preserved organic matter is difficult to assess because it
565 has been overprinted by diagenetic processes ($\text{S}_2 < 0.3$ mg HC/g and therefore not reliable T_{max}
566 values). The absence of smectite in the Pliensbachian–Toarcian interval from the same area

567 (Fantasia et al., 2018) confirms that burial diagenesis affected the deposits. However, this
568 overprint was likely not too strong in the studied area as indicated by the presence of kaolinite
569 (Fantasia et al., 2018), which supports higher thermal burial than smectite before
570 transformation into illite or chlorite (e.g., Burtner and Warner, 1986; Chamley, 1989).

571 Nonetheless, although the studied successions underwent diagenetic overprint related
572 to the complex diagenetic and tectonic history of the studied areas, similar medium-term
573 shifts and range of $\delta^{13}\text{C}_{\text{org}}$ values were recorded at both sites, suggesting that the carbon
574 isotope composition of the preserved organic matter may not have been strongly modified.
575 Furthermore, the isotope composition of the kerogen is generally preserved from low thermal
576 maturation degrees until relatively high degree of metamorphism (e.g., McKirdy and Powell,
577 1974; Schoell, 1984; Spangenberg and Macko, 1998) No high thermal gradients were reached
578 at Le Brusquet and Agua de la Falda, supporting the use of the $\delta^{13}\text{C}_{\text{org}}$ as an environmental
579 signal. Additionally, the absence of a relationship between the preservation of the organic
580 matter type (HI values) and the $\delta^{13}\text{C}_{\text{org}}$ values at both localities (Fig. 7) sustained the organic
581 carbon isotope records as an environmental proxy.

582

583 *5.4. Recurrent global carbon cycle disturbances across the Aalenian*

584 The Aalenian carbon isotope stratigraphy was hitherto derived from $\delta^{13}\text{C}$ records of
585 whole-rock carbonates and biogenic calcite from Tethyan and Boreal sites (Spain: Sandoval et
586 al., 2008; Gómez et al., 2009, Italy: Morettini et al., 2002, United Kingdom: Jenkyns, 2002;
587 Price et al., 2010; Korte et al., 2015, Portugal: Ferreira et al., 2015, 2019, Morocco: Krencker
588 et al., 2014). Besides the Agua Larga succession in Spain, which is presently the most
589 expanded high-resolution $\delta^{13}\text{C}_{\text{carb}}$ record for the upper Toarcian–lower Bajocian interval, the
590 other records generally present low resolution data, restricted to short stratigraphic intervals,

591 and/or poor biostratigraphic constraints. Based on the correspondence between the different
592 Tethyan and Boreal records, it has been suggested that some of the $\delta^{13}\text{C}_{\text{carb}}$ shifts may relate
593 to supraregional controls (e.g., [Price, 2010](#); [Sandoval et al., 2008](#)). Nonetheless, records from
594 outside the Tethyan and Boreal domains and derived from organic matter were inexistent
595 before our study leaving large uncertainties about the global extent of the carbon cycle
596 perturbations and about triggering mechanisms.

597 Comparing our $\delta^{13}\text{C}$ records and published datasets highlights that similar medium-
598 term $\delta^{13}\text{C}$ patterns are shared between sites from both hemispheres. However, the interplay
599 between global and local processes on the long-term carbon isotope signal varies between
600 palaeoceanographic settings. Consecutive medium-term shifts can indeed be compared across
601 Tethyan, Boreal, and Panthalassic sites ([Fig. 8](#)), providing compelling evidence that recurrent
602 global carbon cycle perturbations occurred during the Aalenian. The Le Brusquet carbon
603 isotope record not only depicts several medium-term shifts that were previously identified in
604 Tethyan and Boreal sites (e.g., [Price, 2010](#); [Sandoval et al., 2008](#)) but also other undescribed
605 fluctuations. Hence, the Aalenian $\delta^{13}\text{C}$ record appears much more complex than previously
606 thought as our results allow identifying seven intervals (A to F; [Fig. 8](#)) of global carbon cycle
607 perturbations.

608 At Le Brusquet, the uppermost Toarcian is marked by a negative shift (interval A) in
609 the $\delta^{13}\text{C}_{\text{org}}$ and $\delta^{13}\text{C}_{\text{carb}}$ values, reaching the lowest values at the Toarcian/Aalenian boundary.
610 This trend is also observed in Chile at Agua de la Falda. This negative carbon isotope shift
611 was previously identified in the $\delta^{13}\text{C}_{\text{carb}}$ records of hemipelagic carbonates and marine
612 biogenic carbonates at different sites from the Tethyan and Boreal realms (Spain: [Sandoval et al., 2008](#);
613 Portugal: [Ferreira et al., 2019](#); Italy: [Morettini et al., 2002](#); United Kingdom: [Price, 2010](#);
614 [Korte et al., 2015](#); France: [Harazim et al., 2013](#); Morocco: [Krencker et al., 2014](#)). The

615 lower Aalenian (Opalinum zone) is marked by positive shifts in $\delta^{13}\text{C}_{\text{org}}$ and $\delta^{13}\text{C}_{\text{carb}}$ (interval
616 B) at Le Brusquet and at Agua de la Falda and were previously identified in carbonates from
617 Tethyan and Boreal sites (Price et al., 2010; Sandoval et al., 2008). The lower–middle
618 Aalenian transition (Opalinum–Murchisonae zonal transition) from Le Brusquet is marked by
619 a negative $\delta^{13}\text{C}_{\text{org}}$ excursion (interval C) which was not observed in the $\delta^{13}\text{C}_{\text{carb}}$ record.
620 Nonetheless, a negative $\delta^{13}\text{C}_{\text{carb}}$ excursion marked the Opalinum–Murchisonae transition at
621 Agua Larga in Spain (Sandoval et al., 2008), suggesting that local conditions may have
622 modulated the $\delta^{13}\text{C}_{\text{carb}}$ record at Le Brusquet. Indeed, interval C at Le Brusquet shows the
623 highest carbonate content associated with more massive marly limestone-marl rhythmic
624 alternations (Fig. 5), suggesting that material exported from neritic environments may have
625 shifted the $\delta^{13}\text{C}_{\text{carb}}$ record towards more positive values. Hence, the influence of local
626 conditions related to different amounts of carbonate ooze exported from adjacent platforms
627 may explain differences between the $\delta^{13}\text{C}_{\text{carb}}$ profiles from Le Brusquet and Agua Larga (e.g.,
628 Swart and Eberli, 2005; Godet et al., 2006; Bodin et al., 2016) (Fig. 8). Alternatively, a
629 change in the organic matter type and/or preservation may explain differences between the
630 organic and inorganic records. However, the absence of a relationship between HI and $\delta^{13}\text{C}_{\text{org}}$
631 values suggests that the $\delta^{13}\text{C}_{\text{org}}$ trend reflects a genuine supraregional/global signal. This
632 interpretation is likely supported by a similar decrease in $\delta^{13}\text{C}$ values in the bulk carbonate
633 from Spain, belemnites from the United Kingdom and brachiopod shells from Portugal (Fig.
634 8, interval C). At Le Brusquet, the Murchisonae zone (interval D) is marked by a distinct
635 negative shift in the $\delta^{13}\text{C}_{\text{org}}$. A similar trend can be identified in Chile at Agua de la Falda, but
636 it is more difficult to trace in the less expanded successions (Fig. 8).

637 Notably, at Le Brusquet the Murchisonae–Concavum zonal transition (middle–late
638 Aalenian) is associated with a marked positive $\delta^{13}\text{C}$ trend (interval E) which can be identified

639 in both carbonates and organic matter. This is followed by a negative carbon isotope
640 excursion across the Aalenian/Bajocian boundary (interval F) and then a return to less
641 negative $\delta^{13}\text{C}$ values in the early Bajocian. These negative and positive excursions are
642 traceable in both organic matter and carbonates across the different sites from the Tethys,
643 Boreal domain, and Panthalassa (Fig. 9) and were previously identified as reflecting global
644 events (e.g., Spain: O'Dogherty et al., 2006; Sandoval et al., 2008; Gomez et al., 2009,
645 France: Corbin, 1994; Suchéras-Marx et al., 2013, Italy: Morettini et al., 2002; United
646 Kingdom: Jenkyns et al., 2002; Hesselbo et al., 2003; Price, 2010, Morocco: Bodin et al.,
647 2020).

648 The dataset from Le Brusquet and Agua de la Falda highlights for the first time the
649 occurrence of recurrent perturbations of the global carbon cycle during the Aalenian.
650 Although the long-term $\delta^{13}\text{C}$ trend seems to be influenced by local processes across the
651 Aalenian, medium-term shifts (intervals A–F) are reproducible between different
652 palaeogeographic settings in both hemispheres. Therefore, these shifts reflect synchronous
653 changes in the organic and carbonates carbon and calls for the implication of global driving
654 mechanisms.

655

656 *5.5. Aalenian environmental perturbations*

657 The evolution of environmental conditions across the Aalenian has been so far
658 undervaluated compared to early Toarcian and early Bajocian events. However, evidences of
659 climatic and environmental changes during the Aalenian (Fig. 9) indicate that it might
660 represent a key time interval to better understand the wider context and environmental
661 feedback mechanisms surrounding such major events.

662 Our results suggest that environmental changes occurred across the middle–late
663 Aalenian. At Le Brusquet, a general increase in carbonate content associated with a decrease
664 in calcareous nannofossil abundances is recorded across the middle Aalenian (Murchisonae
665 zone; [Fig. 7](#)). A similar pattern was recorded in contemporaneous hemipelagic settings from
666 Portugal ([Ferreira et al., 2019](#)) and Spain ([Sandoval et al., 2008](#)). Hence, the evolution of
667 carbonate content at Le Brusquet likely represents a supraregional trend and reflects the
668 intensity of carbonate production and export from surroundings platforms. The middle
669 Aalenian was likely a time of high carbonate export into basinal settings from the Tethyan
670 realm during a relative sea level fall ([Hardenbol et al., 1998](#); [Jacquin et al., 1998](#)). A relative
671 sea-level fall during the middle Aalenian has been inferred from the presence of
672 unconformities and development of prograding shallow platform sediments in most Western
673 Tethyan sites during this time interval and has been related to the Mid-Cimmerian tectonic
674 uplift ([de Graciansky et al., 1993](#); [Durlet and Thierry, 2000](#); [Léonide et al., 2007](#)). Middle
675 Aalenian platform environments surrounding the French Subalpin Basin (e.g., Beaujolais,
676 Provence and Grands Causses subbasins) and other Tethyan sites (e.g., Bulgaria, Saudi
677 Arabia, France, Germany) were marked by abrupt lithological changes such as the deposition
678 of bioclastic (crinoids, brachiopods, sponges) calcarenitic limestone ([Elmi and Rulleau,](#)
679 [1993](#); [Ciszak et al., 1996](#); [Rousselle, 2001](#); [Floquet 2000](#)), iron-rich oolitic limestones (e.g.,
680 [Ohmert et al., 1996](#); [Rulleau et al., 2001](#)), or hiatuses ([Metodiev, 1997](#); [Le Nindre et al., 1990](#);
681 [Delfaud et al., 2000](#)). Such changes in the sedimentation style during the middle Aalenian in
682 platform settings point out to the initiation of a deterioration in environmental conditions.
683 Importantly, these changes in the platform carbonate factory and pelagic carbonate producers
684 correspond to the onset of a positive carbon isotope trend in bulk organic matter and bulk and
685 brachiopod carbonate in contemporaneous Tethyan sites ([Fig.9](#); Portugal, Spain, France).

686 Severe palaeoenvironmental changes are recorded during the middle–late Aalenian
687 (Murchisonae–Concavum zonal transition) as attested by the sharp decrease in carbonate
688 content at Le Brusquet and in other contemporaneous successions from France, Portugal, and
689 Spain, where the highest calcareous nannofossil abundances are observed. In
690 contemporaneous platform settings from the Tethyan area, peculiar sedimentation unknown in
691 earlier sequences have been reported as thin-shelled bivalve buildups (Spain: [Molina et al.,](#)
692 [2018](#)), siliceous sponge mud-mounds (Portugal: [Duarte et al., 2001](#); France: [Floquet et al.,](#)
693 [2000](#); [2012](#)), iron-rich oolitic limestones (Switzerland: [Lauper et al., 2021](#)), and hiatuses
694 and/or condensed/reduced deposits (Arabia Saoudia: [Le Nindre et al., 1990](#); Bulgaria:
695 [Metodiev, 1997](#); France: [Delfaud et al., 2000](#); [Rousselle, 2001](#); Germany: [Ohmert et al.,](#)
696 [1996](#)). Such lithological changes in different palaeogeographic settings indicate a
697 supraregional event of carbonate factory demise. The relative sea-level rise recorded during
698 the late Aalenian in almost all series of Western Europe ([de Granciansky et al., 1998](#); [Durlet](#)
699 [and Thierry, 2000](#)) likely participated in the deterioration of neritic carbonate factory (e.g.,
700 [Gabilly et al., 1985](#); [Léonide et al., 2007](#)). Nonetheless, biotic changes ([Fig. 9](#)) indicate that
701 besides local factors, global changes in water mass circulation and chemistry across the
702 middle–late Aalenian may have played a key role in driving the environmental changes.
703 Specifically, this time interval saw the emergence of *Watznaueria*, in particular the forms
704 with a cross spanning the central area, which will dominate up to the early Bajocian ([Fig. 9](#);
705 [this study](#); [Suchéras-Marx et al., 2015](#); [Giraud et al., 2016](#)). These forms have been
706 interpreted as opportunistic, proliferating in times of environmental instability related to
707 enhanced nutrient concentrations or temperature changes ([Giraud et al., 2016](#)). The genus
708 *Watznaueria* as a whole was in fact very sensitive to paleoceanographic and paleoclimatic
709 changes across the Aalenian/Bajocian boundary and the rise of these forms is likely related to
710 the increase in carrying capacity in the photic zone ([Suchéras-Marx et al., 2015](#)). The

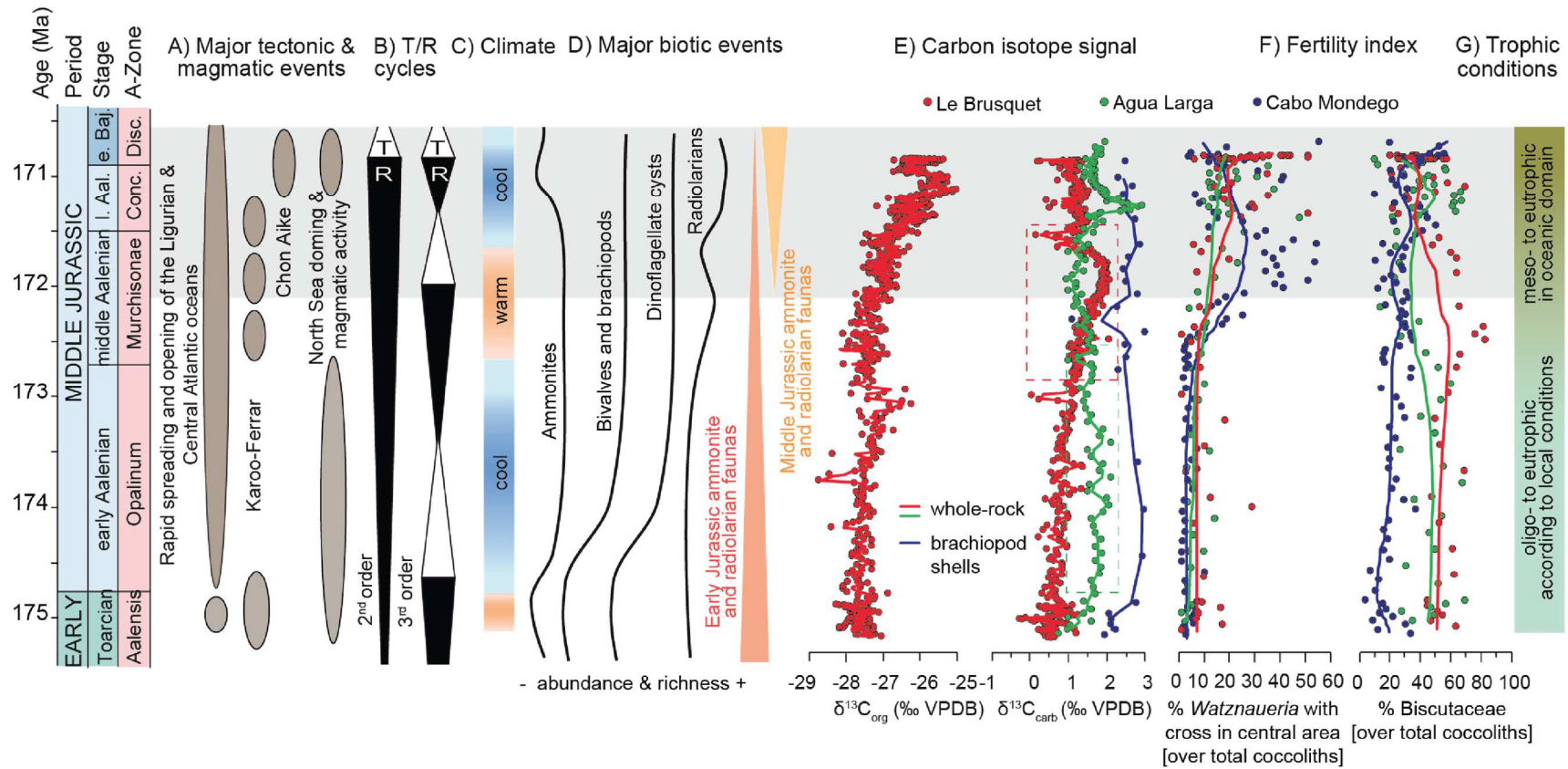
711 supraregional increase in *Watznaueria* also coincides locally with the dominance of
712 Biscutaceae (Sandoval et al., 2008; Aguado et al., 2009), generally also interpreted as
713 eutrophic forms developing in nutrient-rich surface waters (e.g., Roth and Bowdler, 1981;
714 Mattioli and Pittet, 2004; Tremolada et al., 2006). The concomitant emergence of
715 *Watznaueria* in different Tethyan sites (Fig. 9; Portugal, Spain, France) testifies to a global
716 change towards eutrophic conditions in the oceanic domain, whereas the presence of
717 Biscutaceae most likely relates to local conditions specific to each basin (Fig. 9). There is
718 compelling evidence that the Aalenian was a time of palaeoenvironmental changes, biotic
719 reorganisation and carbon cycle perturbation. The development of eutrophic conditions during
720 the middle Aalenian combined to relative sea-level variations likely triggered the initiation of
721 environmental changes while the most severe changes occurred across the late Aalenian.
722 Indeed, our results suggest that this time interval was marked by the demise of platform
723 carbonate factories associated to an increase in eutrophic calcareous nannofossils (genus
724 *Watznaueria*) which are concomitant with the most positive carbon isotope values. The
725 middle–upper Aalenian positive $\delta^{13}\text{C}$ excursion may hence reflect increasing productivity in
726 sea-surface waters as previously suggested (Sandoval et al., 2008) and a carbonate
727 productivity crisis. This middle–late Aalenian event certainly represents a precursor episode
728 of environmental changes prior to the early Bajocian event. The increase in TOC coupled to
729 the positive $\delta^{13}\text{C}_{\text{org}}$ values recorded at Le Brusquet and Agua de la Falda across the middle–
730 upper Aalenian can hence be interpreted as the record of increased marine primary
731 productivity and/or enhanced organic matter burial.

732 The early Middle Jurassic was a critical time interval of evolutionary and ecological
733 changes (Fig. 9; Table 1), major tectonic rearrangement, and major changes in Mesozoic
734 oceanic current patterns. A shift from a Toarcian warm mode to an Aalenian cold mode has
735 been suggested based on a compilation of oxygen isotope data (Korte et al., 2015). Though

736 the climatic evolution is still poorly documented across the Aalenian, rising seawater
737 temperatures have been deduced from oxygen isotopes of belemnites from Spain ([Gomez et](#)
738 [al., 2009](#)). However, the lack of supporting data hampers to evaluate the global or local extent
739 of this middle Aalenian warming event and to assess its causal mechanisms. The
740 coccolithophorid event presented in this study coincides with other important biotic changes
741 in other planktonic groups in worldwide distributed sites (e.g., [Wiggan et al., 2017](#)). Indeed, a
742 dinoflagellate turnover has been reported across the Aalenian, and radiolarians have a quasi-
743 completely replaced the Early Jurassic fauna ([Yao, 1997](#); [Sandoval et al., 2008](#)).

744 Interestingly, the revolution in planktonic biota coincides with the diversification of
745 planktivorous fish ([Friedman et al., 2010](#); [Guinot and Cavin, 2016](#)) and cephalopods, together
746 with the extinction of Early Jurassic ammonite taxa and their replacement by families that
747 dominated throughout the Middle Jurassic ([Fig. 9](#); [Sandoval et al., 2001](#); [O'Dogherty et al.,](#)
748 [2006](#); [Wiggan et al., 2018](#)). Conversely, within other nekton, a crisis has been documented in
749 belemnites ([Dera et al., 2016](#)). Moreover, bivalves, brachiopods, bryozoans, and benthic
750 foraminifera also underwent evolutionary changes across the Aalenian ([Hallam, 1976](#);
751 [Alm eras and Faur e, 2000](#); [Taylor and Ernst, 2008](#); [Canales and Henriques, 2008](#); [G mez et](#)
752 [al., 2009](#)). Hence, the change observed in planktonic groups across the middle–late Aalenian
753 likely triggered a reorganisation of the entire food chain. Our study provides compelling
754 evidence for biotic and environmental changes in both pelagic and neritic environments at a
755 supraregional scale, pointing out to a middle–late Aalenian event. So far, the lower Bajocian
756 event has been seen as the most extreme episode of environmental changes in the Middle
757 Jurassic, with a major carbonate crisis and a shift to biogenic silica production and
758 sedimentation ([Bartolini et al., 1996](#); [1999](#); [Cobianchi et al., 2002](#); [Gorican et al., 2012](#);
759 [Giraud et al., 2016](#)). However, our study indicates that palaeoceanographic,
760 palaeoenvironmental, and palaeoecological changes occurred already earlier, and that the

761 middle–late Aalenian event may hence represent a precursor to the early Bajocian event,
762 initiating the Mesozoic Marine Revolution. Although establishing a precise correspondence
763 between the onset of volcanic activity and environmental changes remains to date elusive, one
764 cannot exclude that magmatic pulses may have started earlier than previously thought, i.e.,
765 before the early Bajocian. Indeed, evidence of global warming, major biotic and carbon cycle
766 changes during the middle–late Aalenian suggests a similar cascade of environmental
767 feedbacks driven by volcanism known from other Mesozoic events (e.g., [Caruthers et al.,](#)
768 [2013](#); [Bond](#) [and](#) [Wignall,](#) [2014](#)).



769

770 **Fig. 9:** Summary of key features recorded during the Aalenian time interval. (A) Timing of the main tectonic and magmatic events (after Dera et al., 2011 and reference therein). (B) 2nd and 3rd
 771 order transgressive (T) and regressive (R) sequences (Hardenbol et al., 1998). (C) Climate modes are inferred from the oxygen-isotope data from belemnites, brachiopods and bivalves (Jenkyns
 772 et al., 2002; Gomez et al., 2009; Price, 2009; Dera et al., 2011; Korte et al., 2015; Ferreira et al., 2019). (D) Major biotic events observed in different taxa, refer to the text for more details
 773 (Hallam, 1976; Yao, 1997; Alméras and Fauré, 2000; O’Dogherty et al., 2006; Sandoval et al., 2008; Taylor and Ernst, 2008; Wiggan, 2017). (E) Compilation of carbon isotopic records from Le
 774 Brusquet in France (this study), Cabo Mondego in Portugal (Ferreira et al., 2019), and Agua Larga in Spain (Sandoval et al., 2008). At Le Brusquet and Agua Larga, the box with dashed line
 775 indicates the interval where the $\delta^{13}C_{carb}$ signal is likely influenced by the carbonate ooze exported from adjacent platforms. The trend lines correspond to a 4-points moving average. (F) Fertility
 776 index refers to the percentage of *Watznaueria* and Biscutaceae from Le Brusquet (this study), Cabo Mondego (Ferreira et al., 2019) and Agua Larga (Sandoval et al., 2008). The trend lines are
 777 calculated using a LOWESS smoothing ($\alpha = 0.2$) with the PAST software package (Hammer et al., 2001). (G) Trophic conditions are based on the proportions of *Watznaueria* and Biscutaceae.
 778 Note that the grey interval highlights the major increase in in calcareous nannofossils species indicative of fertility of oceanic water across the middle–late Aalenian.

779 **6. Conclusions**

780 This study provides high-resolution carbon isotope records for the Aalenian time
781 interval and shows for the first time a record from the southern hemisphere. Consecutive
782 medium-term $\delta^{13}\text{C}$ fluctuations expressed in different carbon sources and identified in
783 Tethyan, Boreal, and Panthalassic sites, suggest that the Aalenian was marked by recurrent
784 changes in the global carbon cycle. An increase in calcareous nannofossils species related to
785 seawater fertility coincides with an episode of platform carbonate factory demise across the
786 middle–late Aalenian, indicating that supraregional biotic and environmental changes in both
787 pelagic and neritic environments occurred earlier than the well-known Bajocian event. The
788 middle–late Aalenian coincided with evolutionary and ecological changes in different taxa in
789 worldwide-distributed sites, indicating that the middle–late Aalenian was a time of
790 palaeoceanographic and palaeoenvironmental changes. To date, there is no consensus about
791 the triggering mechanisms leading to these palaeoenvironmental changes. Still, tectonic
792 rearrangement and volcanic activity during the early Middle Jurassic might have potentially
793 played an important role. There is now compelling evidence that the Aalenian was marked by
794 recurrent global carbon cycle perturbations associated with palaeoenvironmental and
795 palaeoceanographic changes, which likely acted as precursors of the early Bajocian event,
796 initiating the Mesozoic Marine Revolution.

797

798 **Acknowledgements**

799 This research was funded by the Swiss National Science Foundation (project
800 P2LAP2_181440). We express our deepest gratitude to Myette Guiomar and Didier Bert from
801 the Reserve Géologique de Haute-Provence for providing fieldwork and sampling permits.
802 We would like to thank Tiffany Monnier, Brahimsamba Bomou (University of Lausanne),

803 and Mickaël Charpentier for their assistance in the laboratory, Ghislaine Broillet (Université
804 Claude Bernard Lyon 1) for smear slide preparation, Paula Engell and Lasse Christiansen for
805 their help during fieldwork. We acknowledge the constructive review by James Riding and
806 Helmut Weissert. Calcareous nannofossil slides are cured at the Collections de Géologie de
807 Lyon with a FSL number.

808

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