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# Investigating the origin of magnetic perturbations associated with the FIP Effect<sup>★</sup>

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## ABSTRACT

Recently, magnetic oscillations were detected in the chromosphere of a large sunspot and found to be linked to the coronal locations where a first ionization potential (FIP) effect was observed. In an attempt to shed light on the possible excitation mechanisms of these localized waves, we further investigate the same data by focusing on the relation between the spatial distribution of the magnetic wave power and the overall field geometry and plasma parameters obtained from multi-height spectropolarimetric non-local thermodynamic equilibrium (NLTE) inversions of IBIS data. We find, in correspondence with the locations where the magnetic wave energy is observed at chromospheric heights, that the magnetic fields have smaller scale heights, meaning faster expansions of the field lines, which ultimately results in stronger vertical density stratification and wave steepening. In addition, the acoustic spectrum of the oscillations at the locations where magnetic perturbations are observed is broader than that observed at other locations, which suggests an additional forcing driver to the  $p$ -modes. Analysis of the photospheric oscillations in the sunspot surroundings also reveals a broader spectrum between the two opposite polarities of the active region (the leading spot and the trailing opposite polarity plage), and on the same side where magnetic perturbations are observed in the umbra. We suggest that strong photospheric perturbations between the two polarities are responsible for this broader spectrum of oscillations, with respect to the  $p$ -mode spectrum, resulting in locally excited acoustic waves that, after crossing the equipartition layer, located close to the umbra-penumbra boundary at photospheric heights, are converted into magnetic waves and steepen due to the strong density gradient.

**Key words.** Sun: abundances – Sun: atmosphere – Sun: magnetic fields – Sun: oscillations

## 1. Introduction

Although we expect the solar corona to have the same elemental abundances as the solar photosphere, this is not always the case (Pottasch 1963; Meyer 1985a,b; Widing & Feldman 1989, 1995; Sheeley 1995, 1996). The abundance variation observed in the corona depends on the first ionization potential (FIP) of an element. Elements with FIP less than approximately 10 eV are enhanced in the corona by a factor of 3–4 compared to the photosphere, whereas those elements with FIP greater than 10 eV tend to maintain their photospheric abundances. This FIP effect is measured using the FIP bias which is the ratio of an element's abundance in the solar atmosphere to its abundance in the photosphere. Interestingly, the FIP effect is also observed in the solar wind, where it was suggested as a means

to link components back to their source regions in the solar atmosphere (e.g., Brooks & Warren 2011; Brooks et al. 2015; Hinode Review Team 2019).

It is argued that the FIP effect can be due to the ponderomotive force linked to the magnetic oscillations associated with magnetohydrodynamic (MHD) waves (Laming 2015). The ponderomotive force arises from the reflection and/or refraction of the magnetic-like waves in the chromosphere and acts only on the low-FIP ions, while leaving the mainly neutral high-FIP elements unaffected. Ions are separated from neutral elements in the chromosphere and then only the ions are transported to the corona where they may be observed with enhanced abundances compared to those of the photosphere. However, no observational evidence of this scenario was available until very recently when, by exploiting a unique combination of high-resolution observations in the chromosphere and corona with magnetic modeling, it was possible to detect magnetic perturbations in a sunspot chromosphere and find a link with the

<sup>★</sup> Movie associated to Fig. 1 is available at <https://www.aanda.org>

high-FIP bias locations in the corona above the same sunspot (Stangalini et al. 2021; Baker et al. 2021, hereafter Papers A and B, respectively). These results were also in agreement with previous studies of the same magnetic structure, where the presence of intermediate (Alfvén) shocks were reported at the same locations (Houston et al. 2020). However, although providing observational support to link the FIP effect to magnetic-like waves (Laming 2015, 2017), Papers A and B put forward few possibilities to explain the surprising localized presence of magnetic perturbations only at particular locations within the sunspot umbra.

Paper A reported that the magnetic perturbations were only detected on one side of the sunspot, thus suggesting a possible role of the magnetic field geometry or the connectivity with surrounding diffuse magnetic fields. The authors suggested MHD mode conversion at the Alfvén-acoustic equipartition layer (i.e., where the Alfvén and acoustic speeds nearly coincide;  $v_A = c_s$ ) as a possible cause, in agreement with Houston et al. (2020) who detected intermediate shocks in the equipartition layer that was estimated to reside between the upper photosphere and lower chromosphere.

In general, waves entering the region where the Alfvén and acoustic speeds nearly coincide undergo a mode conversion or mode transmission process from one form (e.g., acoustic-like to magnetic-like wave) to another (Crouch & Cally 2005; Suzuki & Inutsuka 2005; Cally & Khomenko 2015). The term ‘mode conversion’ refers to the situation in which a wave retains its original character (i.e., fast-to-fast or slow-to-slow), yet converts its general nature in the form of acoustic-to-magnetic or magnetic-to-acoustic. On the other hand, ‘mode transmission’ generally refers to the situation in which the wave maintains its general nature (i.e., magnetic-like wave or acoustic-like mode), but changes character from fast-to-slow or slow-to-fast. In all cases the attack angle, which is the angle between the wavevector and the field lines, is the dominant factor in determining both the conversion ( $C$ ) and transmission ( $T$ ) coefficients (Cally 2001; Cally & Goossens 2008), with  $T + |C| = 1$ . In particular, the fraction of incident wave energy flux transmitted from fast to slow acoustic waves is

$$T = e^{-\pi k h_s \sin^2(\alpha)}, \quad (1)$$

where  $k$  is the wavenumber,  $h_s$  the thickness of the conversion layer, and  $\alpha$  the attack angle. The coefficient  $C$  is a complex energy fraction to take into account possible phase changes during the process of mode conversion (Hansen & Cally 2009). It was estimated that the thickness of the conversion layer can be on the order of 200–250 km (Stangalini et al. 2011). From the above equation, it is clear that the conversion  $C$  is larger when the attack angle is larger. This implies that the field geometry plays a significant role in the mode conversion, and therefore should be taken carefully into account, as postulated by Paper B.

In this work, in an attempt to shed light on the different mechanisms generating the FIP effect, we investigate the wave propagation across different heights above the sunspot as a function of the plasma and magnetic field parameters, as inferred from multi-height spectropolarimetric inversions. For this purpose we make use of a combination of high-resolution spectropolarimetric observations acquired by IBIS in the photosphere and chromosphere, SDO/HMI line-of-sight (LOS) dopplergrams, and SDO/AIA data to determine the wave flux across different layers of the solar atmosphere and analyze its

relation to the global parameters such as inclination angles, vertical gradients of the magnetic field, and density ratios of the magnetic region.

This study can be preparatory for the scientific exploitation of future space missions such as Solar-C EUVST and Solar Orbiter.

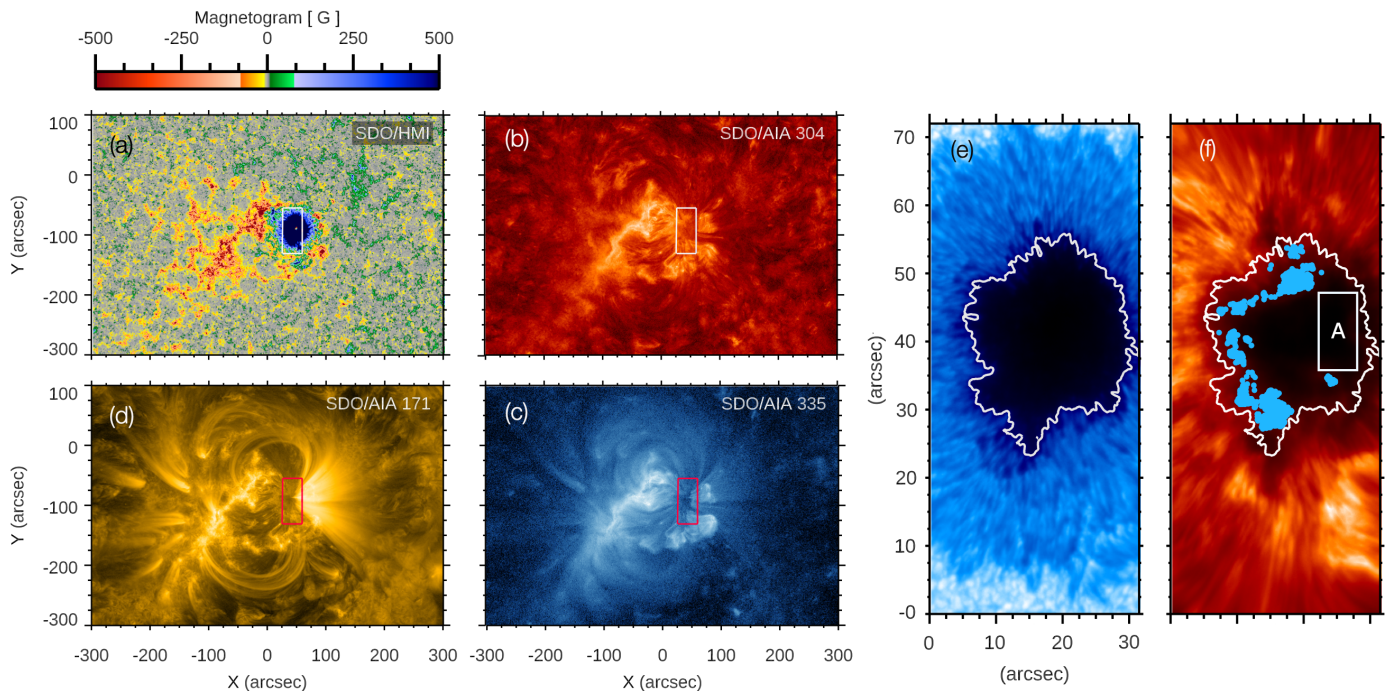
## 2. Observational data

The dataset used in this work was acquired with the Interferometric Bidimensional Spectrometer (IBIS; Cavallini 2006) instrument at the Dunn Solar Telescope (DST) on 2016 May 20 under excellent seeing conditions for more than two hours, between 13:40–15:30 UT. This dataset has been the main focus of other studies (see, e.g., Stangalini et al. 2018, 2021; Murabito et al. 2019, 2020; Houston et al. 2020; Baker et al. 2021), due to the quality of the data and the large-scale nature of the observed sunspot, which was the leading spot of AR 12546.

The observations were carried out using the Fe I 617.3 nm and Ca II 854.2 nm lines with a sampling of 20 mÅ and 60 mÅ, respectively. Both lines were acquired in spectropolarimetric mode with 21 spectral points and a cadence of 48 s. A standard calibration procedure (flat field, dark subtraction, polarimetric calibration) was first applied. In order to remove the residuals of atmospheric aberrations, the dataset was processed with the Multi-Object Multi-Frame Blind Deconvolution (MOMFBD; van Noort et al. 1993) technique. From the final IBIS cubes, the circular polarization (CP) signals (for the photospheric and the chromospheric lines) were calculated pixel-by-pixel following the definition given in Stangalini et al. (2021), using the maximum amplitude of the Stokes- $V$  spectral profile.

To complement the IBIS data and better study the wave power, we use full-disk dopplergrams acquired by the Helioseismic and Magnetic Imager (HMI; Schou et al. 2012) on board the Solar Dynamics Observatory (SDO; Pesnell et al. 2012) satellite in the interval 13:00–16:00 UT, with a cadence of 45 s. The pixel scale of these data is 0.5". We also analyzed simultaneous Atmospheric Imaging Assembly (AIA; Lemen et al. 2012) extreme ultraviolet (EUV) filtergrams taken in the 304 Å, 171 Å, and 335 Å passbands. The pixel scale of the SDO/AIA data is 0.6" and the cadence is 12 s.

The combined IBIS, SDO/HMI, and SDO/AIA data are used to investigate the spatial distribution of the wave power penetrating the higher layers of the sunspot atmosphere in order to obtain a tomographic view of the embedded MHD processes. Figure 1 shows an overview of AR 12546 as observed by the SDO/HMI and SDO/AIA EUV (304 Å, 171 Å, and 335 Å) instruments (panels a–d) and by the IBIS instrument (panels e and f) on 2016 May 20. The IBIS field of view (FOV) captured one of the biggest sunspots of the cycle, manifesting itself as a strong coherent leading positive polarity sunspot, as displayed in the SDO/HMI magnetogram and the photospheric IBIS continuum intensity maps in Fig. 1 (panels a and e). The AR at the time of the IBIS observations was located near the disk center at  $X = 35''$  and  $Y = -90''$ . The magnetogram also shows an asymmetric flux distribution between the trailing and leading side of the moat region around the biggest sunspot. Moving magnetic feature (MMF) activity is observed, which is asymmetric, being more extended and vigorous on the left (east) side of the umbra, coinciding with the segment where the blue dots are observed in Fig. 1f.



**Fig. 1.** SDO/HMI magnetogram (*panel a*) and SDO/AIA 304 Å (*panel b*), 171 Å (*panel d*), and 335 Å (*panel c*) filtergrams at the time of the IBIS observations at 14:00 UT on 2016 May 20. The white and red boxes in *panels a–d* indicate the IBIS FOV shown in the *right panels*. The HMI magnetogram is saturated at  $\pm 500$  G for better visibility. *Panels e* and *f* show a photospheric continuum intensity map derived from the Fe I 617.3 nm line and a chromospheric Ca II 854.2 nm line core intensity map, respectively. The white contours represent the umbra-penumbra boundary derived from the continuum intensity. The blue dots indicate the locations where magnetic perturbations are detected (Stangalini et al. 2021). Box ‘A’ in the chromospheric Ca II 854.2 nm line core image (*panel f*) indicates where the probability density functions shown in Fig. 4 have been computed. A movie of HMI magnetograms is available in the [online material](#).

### 3. Methods and results

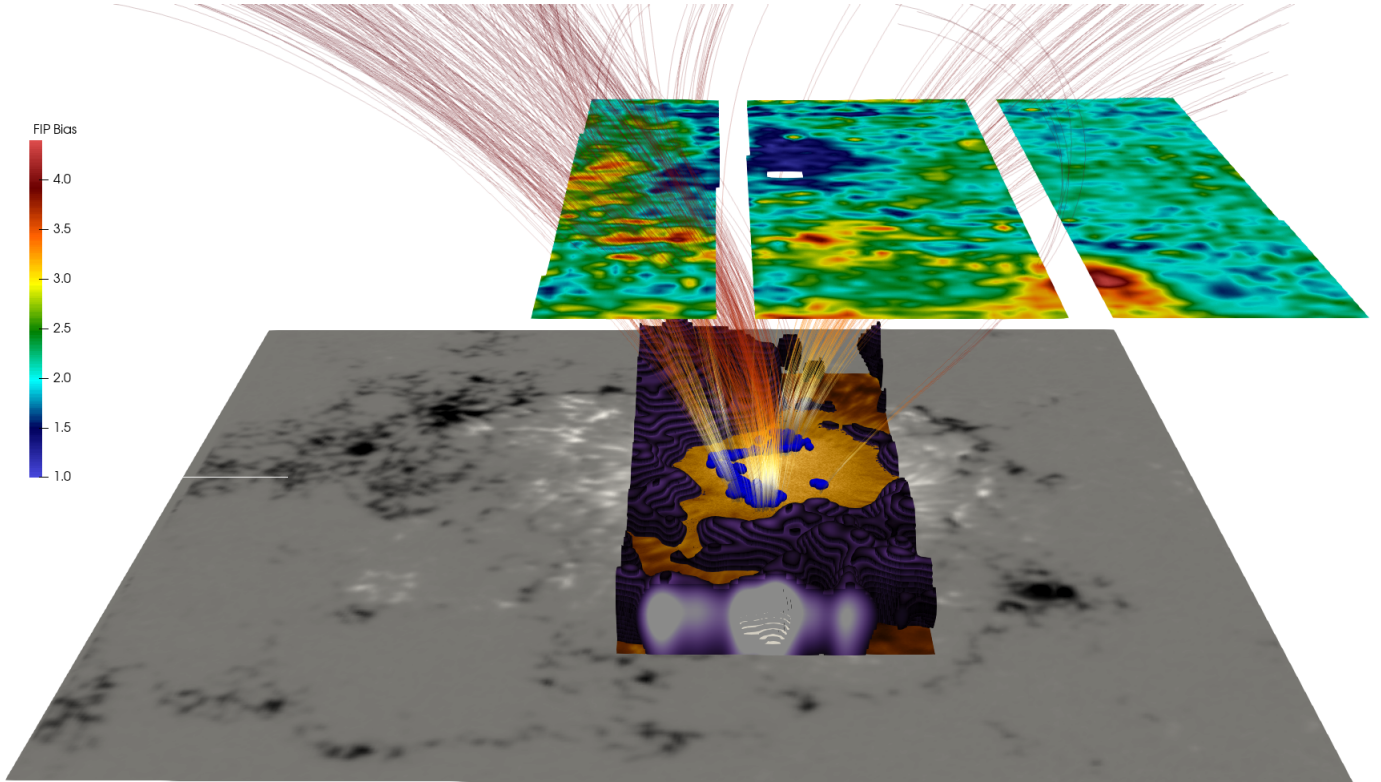
#### 3.1. Magnetic perturbations and local properties of the sunspot

In Paper B, the authors used observations obtained with the EUV Imaging Spectrometer (EIS; Culhane et al. 2007) on board the Hinode satellite (Kosugi et al. 2007) to make a spatially resolved map of coronal composition, or FIP bias, in the region of the sunspot (see Fig. 2). Highly fractionated plasma with FIP bias of  $3^+$  is observed in loops rooted in the penumbra on the eastern and southern edges of the sunspot, whereas the coronal field above the umbra contains unfractionated plasma (FIP bias of 1–1.5). On the western side, the FIP bias is approximately 2–2.5.

In order to investigate the role of the wave dynamics on the FIP effect observed at higher layers, we studied the spatial distribution of the wave power and compared it to the magnetic field and plasma parameters (namely, the field inclination, density ratio, and vertical gradient of the magnetic field) as inferred from spectropolarimetric inversions. The location of magnetic oscillations detected in Papers A and B are shown in Fig. 1 (*panel f*) and Fig. 2 as blue dots. These blue dots are not uniformly distributed within the umbra of the sunspot, but are only located toward the left side of it, close to the umbra-penumbra (UP) boundary, therefore on the same side as the trailing negative polarity of the AR (as shown by the magnetogram at the bottom of the three-dimensional view of Fig. 2). Using a Potential Field Source Surface (PFSS) extrapolation to model the magnetic field of the corona, the locations of the blue dots were magnetically linked to regions of high-FIP bias at coronal heights, as shown in Fig. 2 (see Paper B for more details).

The magnetic field geometry is examined by using the non-local thermodynamic equilibrium (NLTE) inversions already presented in Murabito et al. (2019), which were carried out using the NICOLE code (Socas-Navarro et al. 2015) on the same data (i.e., the best spectral scan of the data series in terms of contrast). The photospheric and chromospheric lines are inverted simultaneously, thus providing a three-dimensional stratification of the most relevant atmospheric parameters in the range of explored heights. More details on the inversion procedure can be found in Murabito et al. (2019), although here we summarize the key points for completeness. As a first step we investigated the atmospheric parameters obtained from the spectropolarimetric inversions at the location of the blue dots (Figs. 1f and 2), focusing our attention on the two atmospheric heights corresponding to the maxima of the response functions of the two spectral lines (to magnetic field perturbations):  $\log_{10}(\tau) \approx -1.0$  for the photospheric Fe I line and  $\log_{10}(\tau) \approx -4.6$  for the chromospheric Ca II line, as reported in Murabito et al. (2019) and in agreement with the previous study by Quintero Noda et al. (2016).

In Fig. 3a we show the photospheric magnetic field inclination maps. Given the location of the AR during the observations (i.e., at solar disk center), we neglect any projection effects. Hence, the displayed maps are consistent with the LOS reference frame. Here we see that at photospheric heights there is no significant difference in the inclination angle (on average) between the left and right sides of the umbra. However, at chromospheric heights we note a slightly larger magnetic field inclination corresponding to the location of the blue dots (see Figs. 1f and 2), and thus with the locations where the magnetic perturbations linked to the coronal FIP effect are detected (see the FIP bias map in Fig. 2). In order to examine the potential role of the mode



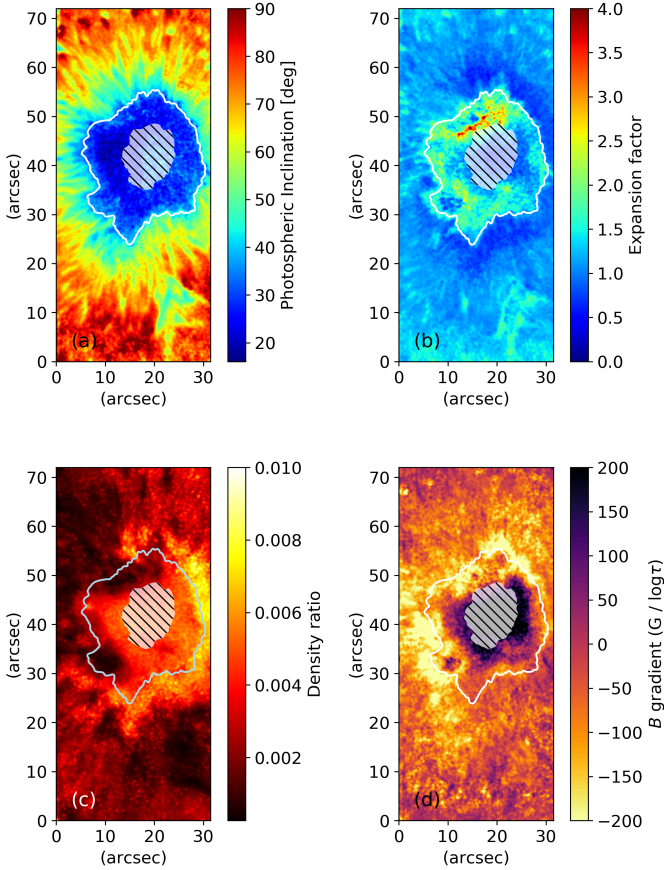
**Fig. 2.** Three-dimensional view of the AR 12546. *From bottom to top:* SDO/HMI magnetogram, IBIS Fe I core with blue dots overlotted, and Hinode/EIS FIP bias map. The purple surface represents the equipartition layer ( $0.8 < c_s/v_A < 1.2$ ) as inferred from the spectropolarimetric inversions. Selected field lines from a PFSS extrapolation of the coronal field link the blue dots with regions of high-FIP bias on the eastern and southern edges of the sunspot (i.e., in the penumbra; see [Baker et al. 2021](#) for more details).

conversion process, that occurs at the equipartition layer, we calculated the probability density function (PDF) of the optical depths (in  $\log_{10} \tau$ ) corresponding to this layer (i.e.,  $c_s = v_A$ ), which is displayed in Fig. 4a. This layer can play a significant role in the wave energy conversion ([Grant et al. 2018](#)), and as can be seen in Fig. 4a, it is predominantly located very close to the low photosphere ( $-1 \geq \log_{10}(\tau) \geq 0$ ). In particular, we note that the right side of the umbra (blue PDF in Fig. 4a) has the equipartition layer at much lower geometric heights, while on the opposite side (at the location of the blue dots, green PDF in Fig. 4a) this region extends more into the mid-photosphere.

To better investigate if the magnetic field geometry could be responsible for a possible mode conversion process, we plot the distribution of the magnetic field inclinations at photospheric heights, close to the equipartition layer, at the locations corresponding to the blue dots and an area on the opposite side (see box A in Fig. 1f). The PDFs of the photospheric magnetic field inclinations (Fig. 4b) show a similar distribution for both opposite locations in the umbra, with a field inclination centered at approximately  $25^\circ$ – $30^\circ$ . In any case, the small differences in the two distributions, if statistically significant, do not play a role in the mode conversion process (see Eq. (1)) and justify the presence of magnetic-like disturbances on only one side. This is true for waves traveling in all directions, which would experience a similar conversion efficiency. However, we cannot rule out the possibility of an asymmetry in the acoustic driver itself. This aspect will be better addressed in Sect. 3.2. For comparison, Fig. 4b displays the chromospheric inclination angles at the two considered sides (dashed histograms).

To better highlight the differences between the chromosphere and photosphere, we report the chromospheric magnetic field inclinations in relation to their photospheric counterparts (i.e., a measure of the expansion factor) in Fig. 3b. This map shows on the left and right sides of the umbra that the chromospheric magnetic field is more inclined (by about a factor 1.5), but on the left side, at the location of the blue dots, this factor is up to three or four times larger. This finding indicates that the left side of the umbra experiences an expansion of the field lines that is about two times faster than the opposite side.

The difference in the magnetic field inclinations at chromospheric heights is further supported by the different magnetic field gradients obtained from the inversions. Figure 3d displays larger negative values (up to  $400 \text{ G}/\log_{10} \tau$ ) in the locations of the blue dots (i.e., the blue histogram in Fig. 4c), meaning more rapid decreases of the magnetic flux with atmospheric height. The opposite side of the umbra displays lower negative values (see the red histograms in Fig. 4c). It is worth noting that there are pixels on the right side of the umbra with a positive gradient of the magnetic field, which means that the chromospheric magnetic field is stronger than the photosphere. Although this finding may appear surprising, it can be explained by considering this area as a part of the central region where the magnetic sensitivity of the photospheric spectral line saturates due to the large magnetic fields, resulting in an underestimation of the photospheric magnetic field. On the other hand, the chromospheric Ca II line is not saturated, hence the chromospheric magnetic field inferred seems to be larger than that of the underestimated photospheric one.

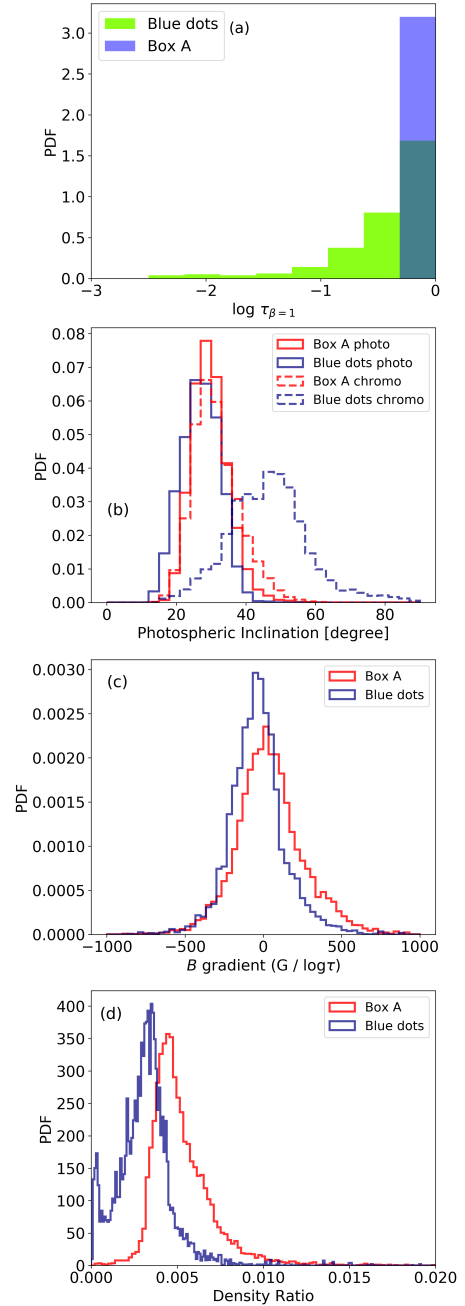


**Fig. 3.** Inclination angle of the magnetic field at  $\log_{10}(\tau) = -1.0$  (photosphere; *panel a*). Expansion factor of the magnetic field between photosphere and chromosphere (i.e., at  $\log_{10}(\tau) = -4.6$ ) (*panel b*). Density ratio between chromosphere and photosphere (*panel c*). Total magnetic field gradient (*panel d*). The white contour in four panels represents the umbra-penumbra boundary as seen in the continuum intensity. The hatched area indicates the central region of the umbra where saturation effects and low photon flux are detected in the photosphere (Stangalini et al. 2018). The magnetic field, the inclination angles, and the density ratios were derived from the NICOLE inversions.

The higher negative value of the magnetic field gradient found at the location of the blue dots further supports the idea of faster magnetic field expansion in the chromosphere for the regions associated with the coronal FIP effect as already seen in Fig. 3b (blue dots in Figs. 1f and 2). The density ratio between the chromosphere and photosphere is shown in Fig. 3c. In agreement with the above findings, this panel and its related histogram (Fig. 4d) illustrate that a significant density drop between the two heights occurs at the same location as the blue dots.

### 3.2. Wave power as a function of height

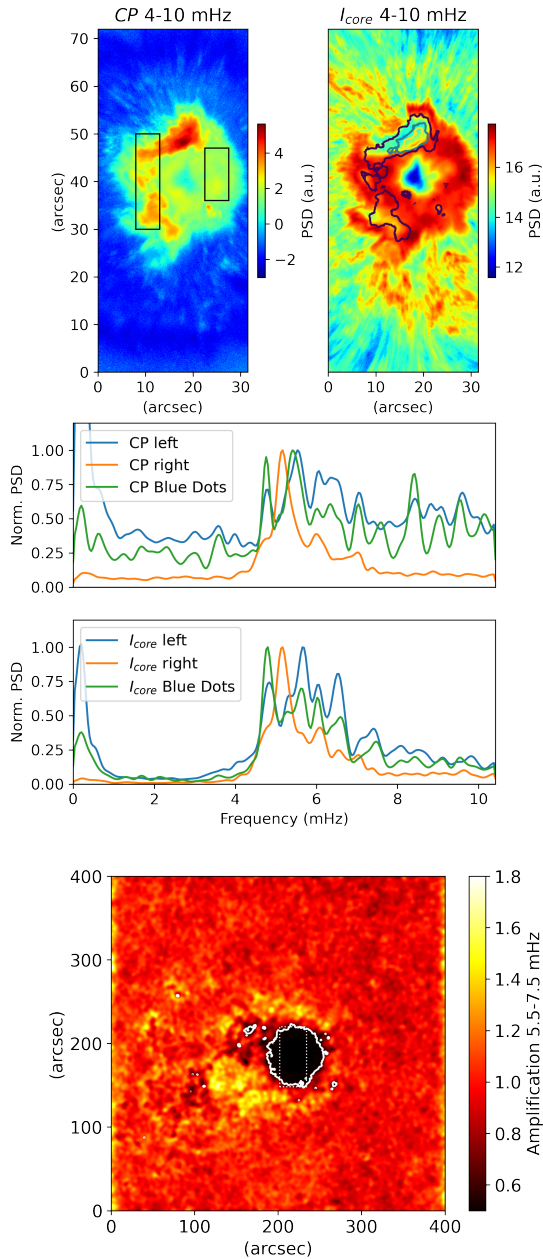
In addition to the analysis of the photospheric and chromospheric magnetic field geometries and their relation with the spatial distribution of the blue dots (Figs. 1f and 2), associated with enhanced coronal FIP effects (see Fig. 2), we examined the spatial distribution of wave power as a function of atmospheric height. In particular, by employing chromospheric IBIS Ca II circular polarization (CP) and line core Doppler compensated intensity ( $I_{\text{core}}$ ) measurements, we computed spatially resolved power maps averaged within the frequency range of 4–10 mHz, which are shown in the top panels of Fig. 5. This frequency



**Fig. 4.** *Panel a*: PDF of the optical depths,  $\log_{10}(\tau)$ , corresponding to the equipartition layer ( $v_A = c_s$ ) associated with the locations of the blue dots and the area inside box A shown in Fig. 1f. *Panel b*: PDFs of the magnetic field inclination angles at photospheric ( $\log_{10}(\tau) = -1.0$ , red and blue histograms) and chromospheric ( $\log_{10}(\tau) = -4.6$ ; dashed histograms) heights. *Panels c and d*: PDF distribution of the magnetic field gradient and density ratio for the selected region (i.e., the blue dots and box A).

range is chosen in such a way to include the maximum of the power spectrum which is dominated by frequencies in the range of 4–4.5 mHz in the chromosphere. These maps display unique changes in Fourier power that are cospatial with the blue dots depicted in Fig. 1f, which were previously linked to the coronal FIP effect (Baker et al. 2021; Stangalini et al. 2021).

In particular, at the locations of the blue dots, we find an excess of magnetic wave power (upper left panel of Fig. 5) and a power deficit of magnetoacoustic wave power (upper right panel



**Fig. 5.** Chromospheric IBIS CP (*upper left*) and  $I_{\text{core}}$  (*upper right*) power maps within the 4–10 mHz range. The two boxes on the CP map indicate where spectral averaging has been performed to derive the results shown in the *middle panels*. The black contour on the  $I_{\text{core}}$  map represents the CP power contour where strong magnetic wave power is detected. Plots of the CP (*middle top*) and  $I_{\text{core}}$  (*middle bottom*) wave power averaged across the two boxes drawn in the upper left panel. For completeness, also shown are the spectral averaging for the blue dot locations (green plots). The SDO/HMI velocity 5.5–7.5 mHz amplification map with respect to the quiet sun velocity power is displayed in the lower panel. The contour represents the penumbra boundary. The dotted white box on the SDO/HMI velocity amplification map (for 5.5–7.5 mHz) indicates the IBIS FOV.

of Fig. 5). In addition, after averaging the whole spectra in two isolated boxes (toward the left and right sides of the umbra; see the upper left panel of Fig. 5), it is possible to see a broader overall magnetic and magnetoacoustic power spectra (i.e., relatively high power over a wider range of frequencies) toward the left side of the umbra (middle panels of Fig. 5) compared to those

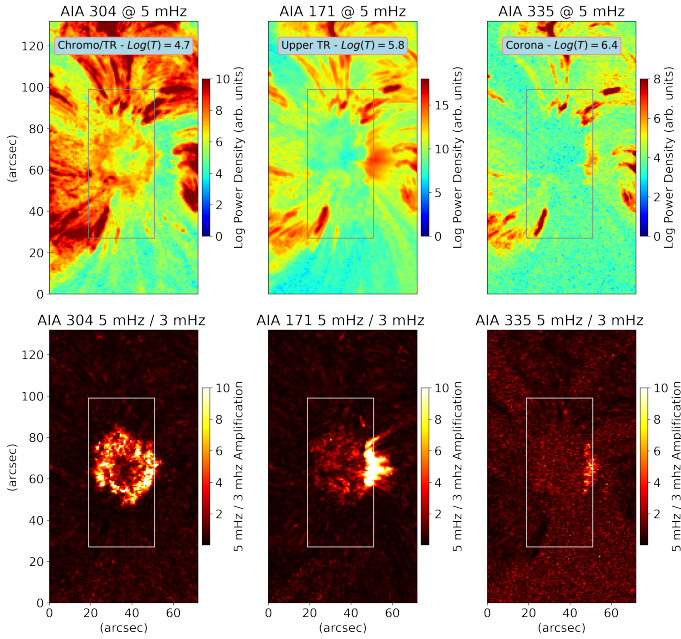
on the right side. For completeness, we also plot the spectra at the location of the blue dots (green curves in the two middle panels).

Many authors (e.g., [Brown et al. 1992](#)) have reported a high-frequency power enhancement in the 5.5–7.5 mHz band around active regions at photospheric and at chromospheric heights. This effect is also referred to as acoustic halos, and is characterized by a power enhancement of up to 40–60% with respect to the nearby quiet sun ([Hindman & Brown 1998](#)). It was suggested that this acoustic enhancement could be due to fast wave refraction caused by inclined magnetic fields in the proximity of the equipartition layer ([Khomenko & Collados 2009](#)).

In order to check whether the observed differences in the power spectrum between the left and right side of the umbra are accompanied by a similar asymmetry of the acoustic halo, we computed the amplification map of the Doppler velocity power at high frequency (5.5–7.5 mHz), with respect to the quiet sun, using SDO/HMI dopplergrams from a larger FOV centered around the sunspot. As seen in the lower panel of Fig. 5, a high-frequency acoustic flux imbalance (with respect to the quiet sun) is detected toward the left side of the sunspot, cospatial with the locations where enhanced magnetic wave activity and suppressed magnetoacoustic wave activity is found in the IBIS data sequence. The acoustic halo displays a power enhancements on the order of 50%, thus in agreement with previous studies ([Hindman & Brown 1998](#)), although asymmetric with respect to what is generally reported.

Furthermore, we investigated the wave power extracted from EUV intensity data associated with different temperature responses in the SDO/AIA filtergrams. In particular we used the three SDO/AIA channels at 304 Å ( $\log_{10} T \approx 4.7$ ), 171 Å ( $\log_{10} T \approx 5.8$ ), and 335 Å ( $\log_{10} T \approx 6.4$ ), where  $T$  is the peak temperature response of the relevant channels ([Boerner et al. 2012](#)). Thus, the selected SDO/AIA channels sample different approximate heights in the solar atmosphere, ranging from the lower transition region through to the upper corona. In particular, we look for differences in the acoustic flux transmission between these layers that can be linked to the locations where the coronal FIP effect is present (blue dots and FIP bias map shown in Fig. 2). In the upper panels of Fig. 6 we show the spatially resolved power maps for the three SDO/AIA channels at a frequency of 5 mHz, which is the same frequency that dominates the chromospheric locations corresponding to the blue dots in Fig. 1f. In addition, the lower panels of Fig. 6 show the oscillatory power ratios of the 5 mHz to 3 mHz frequencies for each channels. Once again, despite the apparent visual symmetry of the sunspot (see Fig. 1e), we observe an acoustic flux imbalance between the left and right sides of the magnetic structure. For the coronal channels (171 Å and 335 Å), we note that specific spatial locations exist, notably toward the opposite side of the blue dot locations, where there is a 5 mHz power excess, while this is not the case for the 304 Å map, which is formed lower in the solar atmosphere and displays an almost homogeneous ring of oscillatory power (similar to that shown in comparable upper-chromospheric circular-shaped sunspot umbrae; [Jess et al. 2013](#)).

Similarly, the lower panels of Fig. 6 highlight a power deficit at coronal heights cospatial with the locations displaying the enhanced FIP effect. In particular, we observe that the high-frequency acoustic flux is not able to penetrate the upper layers of the solar atmosphere at all locations, manifesting as a clear deficit of power in the location of the blue dots (shown in Figs. 1f and 2). This means that the wave energy is blocked or lost at some point in the lower atmosphere, suggesting that the umbral



**Fig. 6.** Acoustic power in the 5 mHz band (1 mHz width) for the three AIA channels spanning from the upper chromosphere to the lower corona (*top row*). Maps of 5 mHz to 3 mHz power ratio for the three AIA channels (*bottom row*). The box in all panels indicates the IBIS FOV.

magnetoacoustic waves linked with the blue dots in Fig. 1f are unable to reach coronal heights. It is important to keep in mind that the SDO/AIA filtergrams are sensitive only to intensity fluctuations (a proxy for density in optically thin media), and it is possible that these fluctuations exist, but that they are smaller than the detection limit of the instrument.

We summarize our main observational findings as follows:

1. The average photospheric inclination angles of the magnetic field lines are not significantly different between the left and right sides of the umbra
2. Despite the apparent symmetry of the umbra, at the locations corresponding to the blue dots in Fig. 1f (i.e., the locations linked with the coronal FIP effect), we measure a faster expansion of the magnetic field lines and a significant drop in vertical plasma density.
3. High-frequency (4–10 mHz) power maps of chromospheric IBIS CP and  $I_{\text{core}}$  measurements display both an excess of magnetic power and a deficit of magnetoacoustic wave power in the locations of the blue dots in Fig. 1f (toward the left side of the umbra). This region also displays broadened CP and  $I_{\text{core}}$  power spectra.
4. An asymmetric wave flux excess at high frequencies (i.e., acoustic halo 5.5–7.5 mHz) is observed in the photospheric SDO/HMI LOS velocity data.
5. The acoustic flux (at 5 mHz) on the left side of the sunspot (cospatial with the blue dots depicted in Fig. 1f) does not efficiently reach coronal heights, suggesting the presence of different wave propagation mechanisms at opposite sides of the sunspot umbra.

#### 4. Discussion and conclusions

In Papers A and B it was found that regions of high-FIP bias at coronal heights are magnetically linked to chromospheric locations where magnetic fluctuations are detected. The link between

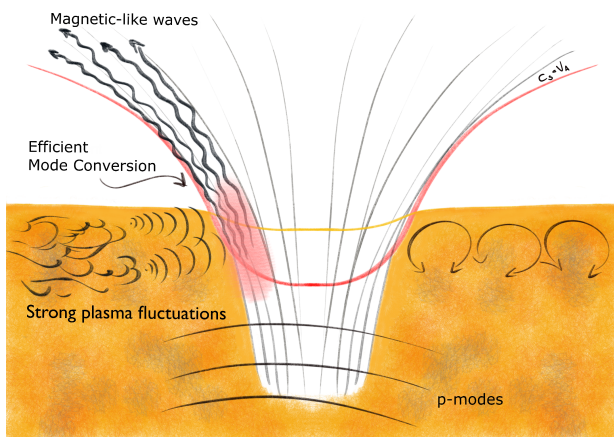
magnetic perturbations and high-FIP bias was already proposed by Laming (2015) and Baker et al. (2021), and these works represent observational evidence of this. In addition, in Papers A and B a surprising asymmetry in the spatial distribution of magnetic oscillations was observed, despite the apparent circular symmetry of the sunspot investigated. These magnetic perturbations at chromospheric heights were found to be linked to the high-FIP bias locations in the corona. It was also noted that the magnetic perturbations were all located on the same side of the trailing magnetic polarity of AR NOAA 12546. In addition, from the analysis of the same target at chromospheric height, Houston et al. (2020) observed the signatures of intermediate shocks. Although Papers A and B did not focus on the investigation of the spatially asymmetric wave power, but only on the detection of the magnetic perturbations and their link with high-FIP bias locations in the corona, a few options were put forward as a possible explanation for the excitation of the magnetic perturbations themselves. It was speculated that the magnetic field geometry or connectivity with the outside diffuse fields could play a role in the wave excitation and propagation. Along the same line, Houston et al. (2020) also argued that the increased level of wave activity found in the same region of the sunspot could be due to the magnetic field geometry, through the mode conversion mechanism which converts MHD waves in different modes with an efficiency depending on the magnetic field inclination with respect to the wavevector (Schunker & Cally 2006). This point was also further commented in Papers A and B. The authors in Paper A concluded that a possible role between the mode conversion and the detected magnetic disturbances could exist if the asymmetry of the distribution of the magnetic fluctuations is taken into account. In this regard, although this sunspot with its peculiarities is but one case study, the results reported here suggest a likely plausible explanation.

Our results show that the magnetic field inclination at the equipartition layer (i.e., where the mode conversion takes place), located very close to the low photosphere ( $-1 \geq \log_{10}(\tau) \geq 0$ ), is not significantly different on the opposite sides of the sunspot. This means that waves traveling in all directions (e.g.,  $p$ -modes) would experience the same conditions (i.e., attack angle), and therefore their conversion cannot justify the asymmetric wave power observed on the left side of the sunspot (blue dots). While on the one hand we can rule out the mode conversion for waves traveling in all directions ( $p$ -modes), we can hypothesize the existence of a driver that acts spatially in a different way. The study of the power spectrum at high frequencies for the chromospheric high-resolution CP signal and core intensity from IBIS data suggests to us an asymmetric photospheric driver. Furthermore, the analysis of the lower synoptic SDO/HMI observations shows an imbalanced LOS velocity power flux detected on the left side of the whole AR. This map has shown a broadening of the power spectrum, referred to as acoustic halo, with respect to that of a quiet sun area (where the contribution comes from the  $p$ -modes only). This broadening is also observed inside the umbra at the locations of the blue dots.

It was suggested that the halos are due to fast wave refraction in proximity to the equipartition layer and inclined magnetic fields (Khomenko & Collados 2009). However, in contrast to what was previously reported, in this case the halo is asymmetric and cospatial with the locations of the blue dots inside the umbra.

An excess of acoustic wave power between the two polarities, due to strong photospheric plasma fluctuations between the two polarities, could result in an excess of incident wave power on the left side of the sunspot itself (see Fig. 7). In this regard it is





**Fig. 7.** Cartoon of the excitation mechanism of the magnetic-like waves.

interesting to note that an excess moving magnetic feature activity between the two polarities is seen in HMI imagery covering the same observing window, and is depicted in Fig. 7 (see also [online movie](#)). This provides further evidence of a high level of small-scale plasma dynamics and perturbations that may result in a variation of the acoustic field and power. We recall that MMFs are manifestations of sunspot decay, and result from erosion of the sunspot's magnetic field by turbulent plasma motions (e.g., [Solanki 2003](#)). These waves traveling toward the umbra could experience a largely inclined equipartition layer before entering the umbra, as shown by the purple surface representing the equipartition layer in Fig. 2. In other words, the impact angle at the equipartition layer is particularly large, and this is an ideal condition for their conversion into magnetic-like waves. In Fig. 2 we also note that the blue dots correspond to regions within the umbra that a wave traveling from the left side would reach immediately after crossing the equipartition layer. In addition, the equipartition layer itself appears asymmetric with respect to the center of the umbra (i.e., a steeper increase on the left side with respect to the right), thus possibly affecting the conversion coefficient.

However, it is important to note that the computation of the equipartition layer requires the knowledge of gas density (to estimate the Alfvén speed). The NICOLE inversion code assumes hydrostatic equilibrium for the calculation of this parameter, and this may slightly shift the inferred position of the equipartition layer. However, we would like to point out that the most relevant aspect of our interpretation is that the equipartition layer crosses and intercepts the photosphere around the umbra, not its exact position. This is guaranteed by the extreme magnetic flux of the studied sunspot. If the above mode conversion scenario is true, we argue that the FIP effect would be aligned with the regions outside the sunspot where there is a local increase of the acoustic power. This could be directly checked in the Hinode/EIS data or, in the future, in the Solar Orbiter SPICE and Solar-C observations.

However, an additional aspect should be considered. The spectropolarimetric inversions also show a very interesting and unnoticed aspect. On the left side of the sunspot, where the magnetic perturbations were detected, the field lines undergo a faster expansion with height. As a natural result, this is also accompanied by a stronger decrease in the plasma density with height. We note that these two aspects determine the ideal conditions for the development of magnetoacoustic shocks at low

heights in the atmosphere, through which the energy contained into upward propagating waves can be dissipated well before reaching the temperature minimum, as one would expect. In particular, the fast density decrease with height determines the ideal condition for a fast steepening of the wave amplitude. The intermediate shocks reported by [Houston et al. \(2020\)](#) and the intense magnetoacoustic activity and magnetic perturbations reported in Papers A and B appear in agreement with this scenario. This is also independently corroborated by SDO/AIA observations indicating that, compared to the right side of the sunspot, acoustic wave energy on the left side is converted or dissipated much lower in the atmosphere. We argue that the large observed density drop could be responsible for the formation of shocks at very low altitudes. However, we note that the larger field inclinations found in the chromosphere toward the left side of the sunspot may provide the necessary conditions to support a high-frequency power halo outside the umbra, similar to that first reported by [Toner & Labonte \(1993\)](#) and [Braun & Lindsey \(1999\)](#). As revealed by [Khomenko & Collados \(2009\)](#), such power halos may be the result of wave refraction in the vicinity of the plasma- $\beta = 1$  layer. Inspection of Figs. 2 and 3d substantiate this hypothesis, whereby the plasma characteristics present toward the left side of the sunspot act to support wave refraction, mode conversion, and the ultimate dissipation of wave energy through shock formation.

Nevertheless, the question remains as to whether the faster expansion of the field lines on the left side is a consequence of the wave energy dissipation at low heights through these shocks or a consequence of the overall magnetic connectivity of the sunspot. Previous studies would suggest it is the latter, as the effect of shocks on magnetic field geometry is localized and any perturbations to the field are temporary as the field relaxes back to equilibrium (e.g., [de la Cruz Rodríguez et al. 2013](#); [Houston et al. 2018](#); [Bose et al. 2019](#)). Furthermore, only the intermediate shocks in [Houston et al. \(2020\)](#) are localized to the FIP region. They detect the intensity signatures associated with slow magnetoacoustic shocks across the entire outer umbra, inferring that if shocks were capable of a bulk change in the umbral field, this would be evident on the side of the sunspot unconnected with the FIP region.

In this regard, it is worth noting that the context magnetogram obtained by SDO/HMI over a larger FOV shows the presence of small-scale magnetic field of opposite polarity on the same side, which constitutes the trailing polarity of this AR. We argue that due to the magnetic connectivity the bending of the field lines is larger with the creation of low-lying loops. This is in line with the simulations reported in [Dahlburg et al. \(2016\)](#), which show that the FIP effect is stronger in short high-temperature loops.

In addition, it is also unclear whether the wave steepening and mode conversion are concurrent processes. In this regard, it is worth noting that the absence of intensity fluctuations in correspondence with the magnetic power seems to suggest that at these locations acoustic energy is mostly converted into magnetic-like waves. Further studies are necessary in order to shed light onto this aspect.

We would like to note that this kind of study has additional important implications. The FIP effect could be regarded as a proxy for the wave energy dissipation. This is a long-debated problem ([Jess et al. 2015](#)), and the identification of the physical processes with which energy is transferred from waves to the plasma remains a challenge.

Finally, our results highlight the importance of studying simultaneously different heights of the solar atmosphere, by

combining simultaneous or nearly simultaneous ground-based spectropolarimetric observations in the lower atmosphere with high spectral resolution data of the corona acquired from space. This is important not only for the investigation of the FIP effect, but also for the wave dissipation mechanisms for which the FIP effect itself could be considered a proxy.

It is worth noting that this possibility will be strengthened by the new solar mission Solar-C EUVST (Shimizu et al. 2011; Suematsu & Solar-C Working Group 2016), which will provide an unprecedented view of the corona at high temporal, spatial, and spectral resolution. In this regard, this case study can be considered as a pathfinder for the full exploitation of its data in combination with high-resolution spectropolarimetric imaging of the lower atmosphere.

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