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Research papers

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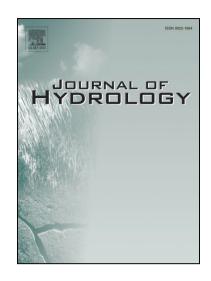
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- 1 Characteristics of hydraulic conductivity in mountain block systems and its effects
- 2 on mountain block recharge: Insights from field investigation and numerical
- 3 modeling
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HLW: High-level radioactive waste; MBR: Mountain block recharge; MFR: Mountain-front recharge; STEP: Scientific Expedition and Research Program; TDS: Total dissolved solid; URL: Underground

research laboratory; IQR: interquartile range

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- 23 Abstract
- 24 The process wherein groundwater flowing from mountain bedrock into lowland and
- adjacent alluvial aquifers, known as mountain-block recharge (MBR), is found across
- various climatic and geological settings. An understanding of the potential groundwater
- 27 flow paths in mountain block systems is necessary for comprehending MBR spatial
- distribution. However, poorly characterized mountain block hydraulic properties, and
- especially a lack of direct measurements of hydraulic conductivity (K) at depths >200

m, limit the characterization and quantifications of the MBR processes. In this study, we analyze hydraulic data set, namely 555 in-situ K measurements at various depths from two borehole sections extending from mountain block to mountain front in a potential disposal site for high-level radioactive waste. The K dataset was categorized into two groups: one for bedrock and another for fault zones, which was further classified into fault core K, damage zone K, and general fault zone K. Using a permeability conceptual model and multiple scenarios numerical modelling, this study examined the potential flow paths of MBR processes, mainly focusing on the characteristics of *K* in bedrocks and the hydraulic role of fault zones in mountain block systems. The distribution of Bedrock K supports the assumption of decreased trend with depth. A logarithmic fit through Bedrock K and depth pairs resulted in Log(K) = -1.62*Log(z) - 6.52, with low predictive power. This study illustrated the localized effects and spatially variable roles of fault zones in MBR within this particular hydrogeological configuration in Beishan, China. Our results provide insights into the MBR process in crystalline mountain block systems. Additionally, the hydraulic conductivity presented here provides data on the subsurface properties of mountain block systems in a crystalline area, and further facilitates the characterization and quantification of mountain-block recharge.

48 **Keywords:** mountain block recharge, mountain hydrogeology, fractured aquifer

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1 Introduction

51 Mountainous areas play an important role in the global water cycle and constitute the 52 water source for numerous populated regions at lower elevations (Jódar et al., 2017; Lone et al., 2021; Somers and McKenzie, 2020; Viviroli et al., 2020; Wilson and Guan, 53 54 2004). Water from mountainous areas recharges lowlands via mountain-front recharge 55 (MFR) and mountain-block recharge (MBR; (Aishlin and McNamara, 2011; Ajami et 56 al., 2011; Bresciani et al., 2018; Manning and Solomon, 2003; Markovich et al., 2019; 57 Peng et al., 2018; Taucare et al., 2020; Wilson and Guan, 2004). MFR refers to the 58 focused and net infiltration from perennial and ephemeral streams originating in the mountain block, which occurs at the piedmont zone (Markovich et al., 2019; Wilson 59 60 and Guan, 2004). MBR refers to the groundwater flow from the mountain block into an 61 adjacent alluvial aquifer, and is also referred to as "inter-aquifer recharge" (Healy, 2010) or "subsurface inflow" (Manning and Solomon, 2003). MBR may recharge adjacent 62 63 aguifers by two processes: diffuse MBR and focused MBR (Wilson and Guan, 2004). 64 Diffuse MBR occurs widely within mountain blocks, including the mountain front area 65 (Markovich et al., 2019; Wilson and Guan, 2004). Focused MBR corresponds to 66 groundwater flow through permeable colluvium-alluvium corridors in gullies or canyons joining the adjacent basin, and oblique basement faults crossing the mountain 67

68 front area, which may promote groundwater flow into the adjacent basin (Figueroa et 69 al., 2021; Markovich et al., 2019; Wilson and Guan, 2004). 70 Previous studies have largely focused on understanding the fundamental factors 71 determining the location and extent of MBR, with the hydraulic conductivity (K) 72 distribution within mountain bedrock and the role that fault zones play being two vital 73 factors (Gleeson and Manning, 2008; Markovich et al., 2019; Welch et al., 2012; 74 Wilson and Guan, 2004). K distribution was identified as one of the first-order controls 75 of the rate and distribution of MBR (Forster and Smith, 1988; Gleeson and Manning, 2008; Haitjema and Mitchell-Bruker, 2005; Markovich et al., 2019; Welch et al., 2012; 76 77 Wilson and Guan, 2004). The general conceptual model for mountain groundwater flow 78 systems is composed of a higher-K "active" zone (the aquifer) that overlies a deep low-79 K zone (relatively impermeable bedrock) (Manning and Caine, 2007; Markovich et al., 80 2019; Welch et al., 2012). The existence of an "active" zone with $K > 10^{-8}$ m⁻¹ where 81 active mountain groundwater flow can be produced, and a recharge rate greater than 82 roughly 10 mm/year are prerequisites for the occurrence of MBR (Markovich et al., 83 2019). If some MBR exists, major faults near the mountain front can potentially either 84 impede or enhance MBR. Theoretically, major faults oriented at a high angle to the 85 MBR flow paths might act as barriers to MBR as the groundwater must flow across the 86 fault zone to enter the adjacent aquifers (Chowdhury et al., 2008; Delinom, 2009;

87	Figueroa et al., 2021; Kebede et al., 2008). In contrast, obliquely oriented faults (more
88	parallel to MBR flow paths) within the bedrock could act as conduits for groundwater
89	flow and promote MBR (Figueroa et al., 2021). However, the results from field
90	examination, hydrochemical, and groundwater age assessment provide evidence that
91	the orientations of faults do not determine their role in mountain block hydrogeological
92	processes (Caine et al., 2017; Kebede et al., 2008), and the oblique oriented faults could
93	act as barriers to MBR. Therefore, the possible permeability structure and the actual
94	hydraulic influence of mountain-block faults in MBR processes (impeding or
95	enhancing) remain speculative.
96	Although the vital controls of the MBR process have been identified, the
97	characterization and quantification of MBR processes remain challenging in mountain
98	block hydrogeology because of the intrinsic heterogeneity of K distribution and fault
99	zones and data limitation, particularly of subsurface data from the mountain block and
100	mountain front (Markovich et al., 2019; Yao et al., 2017).
101	
101	Several studies proposed to bypass determining actual K distribution by employing
102	synthetic or semi-synthetic subsurface K structures in models (Engdahl and Maxwell,
103	2015; Gleeson and Manning, 2008; Voeckler et al., 2014; Wang et al., 2018a; Welch et
104	al., 2012). Few studies are based on the actual K , using data sets at depths of 0–20 m
105	(Ameli et al., 2018) and 10–200 m (Welch and Allen, 2014). Most of these works have

106	generally converged that the typical groundwater active circulation depth for fractured
107	crystalline rock environments may extend to 200 m subsurface, with $K = 10^{-8}$ m·s ⁻¹
108	(Manning and Ingebritsen, 1999; Markovich et al., 2019; Rapp et al., 2020), surpassing
109	the K data depth previously used. In addition, the target depth of geo-environmental
110	systems engineering related to mountain groundwater systems is much deeper. For
111	example, the site selection for long-term nuclear waste is targeted at 300-500 m (Tóth
112	and Sheng, 1996; Voss and Provost, 2001; Wang et al., 2018b); whereas CO ₂ geological
113	storage target depths are ≥ 800 m (Bickle, 2009; Gunter et al., 2004). In contrast, direct
114	subsurface measurements of K at depths > 200 m are rare (Markovich et al., 2019).
115	Therefore, studies providing access to a range of actual bedrock properties (especially
116	K) at depths > 200 m should be prioritized in mountain block system hydrogeology.
117	In this study, we report a series of in-situ measured hydraulic conductivities ($n = 555$)
118	from a crystalline mountain block system to complement hydrogeological databases at
119	depths $>$ 200 m in mountain systems. The data set includes K measured in crystalline
120	bedrock ($n = 512$) and within fault zones ($n = 43$), ranging from 0 m to 592.3 m below
121	the ground surface (mbgs). All data were obtained from the Beishan area, Gansu
122	Province, China, a disposal site for high-level radioactive waste (HLW). Given the
123	possibility of groundwater acting as a carrier of radionuclides, understanding the flow
124	paths in MBR processes in the study area is critical for determining the safety of HLW

disposal. Based on a permeability conceptual model and numerical modelling built on in-situ measurements, this study explores the possible flow paths for MBR in this area, focusing on the K distribution, associated heterogeneity and the hydraulic role of fault zones.

2 Study area

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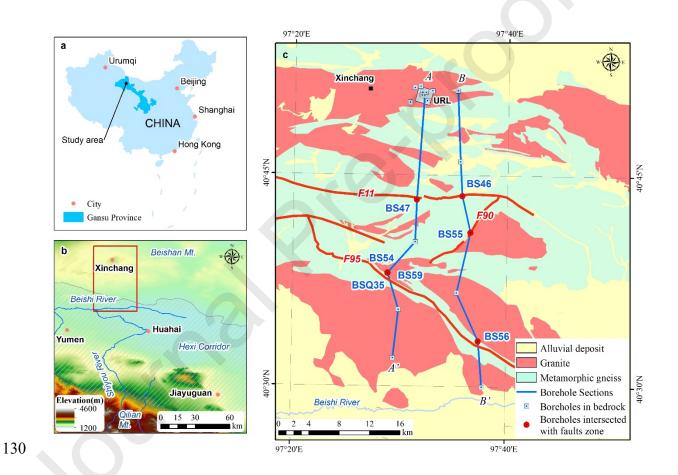


Figure 1. a. Location of the study area, b. topography of the study area, and c. borehole sections (where field data were obtained) and geology.

131 This study was conducted in the Beishan area situated in the Gansu Province of

northwestern China (Fig 1a). The study area is an inland mountain range covering an
area of approximately 3,973.9 km² and is located north of the Huahai Basin in the Hexi
Corridor, where multiple cities (including Yumen, Jiayuguan, and Huahai) with more
than 9 million inhabitants are located. With an altitude ranging between 873 m and
2,556 m above sea level, the Beishan area primarily consists of low altitude mountains
and small hills. The Xinchang Site, which is the prospective location for China's HLW
repository and the location of China's first underground research laboratory (URL), is
located to the southwest of the Beishan area and is approximately 60 km from the
nearest city of Yumen (Fig 1b). The site covers an area of >100 km² with an altitude
ranging between 1,400 and 1,800 m (Zhou et al., 2020)
Metamorphic rocks (mainly Ordovician or Silurian gneiss), intrusive rocks (mainly
Permian granite) and sediments (mainly Quaternary clay and sandy loam weathered
from gneiss and granite) comprise the main lithology of the study area, with occasional
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Mountain and flows eastward passing the study area, no other perennial river is found within the area due to extreme drought, although ephemeral deluge can occur after brief precipitation events in the summer (Cao et al., 2017a; Cao et al., 2017b; Dong et al., 2009).

Several faults have been identified in the study area, including two major faults (F11 and F95), and several local faults (F90). The fault kinematics and architectures, as determined by surface geological mapping and borehole drilling, are provided in Table 1 (Gansu Geology Survey, 1978; Guo et al., 2003; Guo et al., 2014; Wang et al., 2018b). The F11 fault is located in a metamorphic gneiss south of the Xinchang Site and is approximately perpendicular to the mountain slope. F90 is the most representative of the secondary faults of F11 and is located in granite and metamorphic gneiss (Gansu Geology Survey, 1978). The F95 fault is an NW striking fault developed in metamorphic gneiss between two intrusive granite zones and extends directly from the mountain front to the Huahai Basin (Gansu Geology Survey, 1978; Guo et al., 2003; Guo et al., 2014; Wang et al., 2018b).

Table 1. Fault kinematics and architectures.

Section Fault	Strike	Dip	Geology	Thickness

					Total zone (m)	Fault core (m)	Damage zone (m)
A-A'	F11	W-SW	70	Metamorphic gneiss	-	10.6	-
A-A	F95	NW-SE	78	Metamorphic gneiss	64.4	30.2	34.2
	F11	W-SW	60	Metamorphic gneiss		1.4	
В-В'	F90	NE-SW	60	Granite & Metamorphic gneiss	52.4	-	-
	F95	NW-SE	75	Metamorphic gneiss	67.0	1.6	65.4

Hydrogeochemical characteristics reveal the evolution processes of groundwater by recording the interaction between groundwater and the aquifer medium. Accordingly, the hydrogeochemical data of groundwater in the Beishan area were comprehensively collected from published literature, revealing a range of pH values from 7.39 to 10.14, groundwater temperature between 8 and 17 °C, and a total dissolved solid (TDS) content of 0.7–19.0 g·L⁻¹ (Guo et al., 2014; Li, 2020; Wang et al., 2018b; Wang, 2015). In particular, high TDS was observed in shallow groundwater, indicating that the

174	groundwater underwent strong evaporation. Based on isotopic data and groundwater
175	age, previous studies have also shown that groundwater in the study area is recharged
176	primarily by local precipitation (Li, 2020). The groundwater flow is controlled by
177	topography (Dong et al., 2009; Wang, 2015).

3. Methods

- *3.1 Hydraulic conductivity measurements, categories, and characterization*
- 180 3.1.1 *K* measurements and categories
 - A total of 555 hydraulic conductivity measurements were obtained from 22 boreholes (Table 1). Boreholes were drilled through the surface weathering rock and into the bedrock, reaching a maximum depth of 690.7 m, permitting the characterization of the mountain block hydraulic conductivity. All data were obtained via in-situ tests (including constant-head injection, plug, or slug tests using packer systems); therefore, these data are all defined as bulk permeability instead of matrix permeability (Scibek, 2020; Scibek et al., 2016). The measuring intervals of in-situ tests differ from 12 to 50 m along the boreholes, and were also extended to 202 m to investigate the fault zones intersected by the boreholes.
- 190 Seven of the 22 boreholes have intersected fault zones. Data from all 555 hydraulic

conductivity measurements were classified into two categories based on the measured
locations: Bedrock K, which refers to K obtained from in-situ tests conducted away
from any fault zones ($n = 512$, shown as blue squares in Figure 2), and K measured in
fault zones (n = 43, shown as circles in Figure 2). For K measured in fault zones, the
data were further divided into three categories: Core zone K, which refers to the data
obtained within the zone that is clearly identified as a fault core and isolated in the in-
situ tests (n = 2, shown as yellow circles in Figure 2); Damage zone K , which refers to
the data measured only within the fault damage zone ($n = 33$, shown as red circles in
Figure 2); and General fault K , which refers to the data from test intervals including
both fault damage zones and the main fault core zones (n = 8, shown as green circles in
Figure 2). General fault K occurred due to either of two reasons: the isolation of the
fault core zone or damage zone was not available for in-situ tests due to potential
collapsibility of boreholes, or, it was difficult to clearly identify the damage and fault
core zones within a fault zone.
The Bedrock K data (n = 512, shown as blue squares in Figure 2a) was divided into
measurement depth intervals of 50 m, and the arithmetic mean, median, 10% and 90%
confidence distribution of each interval were calculated. A linear regression of log
hydraulic conductivity ($\log K$) over $\log \operatorname{depth}$ ($\log z$) was performed on the Bedrock K
data, considering the previous understanding that K decays exponentially with an

210	increase in depth (Achtziger-Zupančič et al., 2017).
211	3.1.2 Characterization of permeability structure
212	A vertical layer profile of the study area was created based on the data. Instead of
213	adapting detailed definitions and descriptions of weathering and fracture, the vertical
214	distribution of K and its relative differences established the primary principles for
215	constructing a conceptualized model of the mountain block systems.
216	From a hydrogeological perspective, a domain can be viewed as a pervious formation
217	with $K \ge 1.00 \text{ E-8 m} \cdot \text{s}^{-1}$, where active groundwater flow may be produced (Katsura et
218	al., 2009; Markovich et al., 2019; Welch and Allen, 2014). Therefore, a threshold value
219	of $K_0 = 1.00$ E-8 m·s ⁻¹ was adapted to indicating K's relative difference by depth in
220	bedrock. The probability $K > K_0$ (P) and the arithmetic mean K value of every 50 m
221	depth were calculated. Based on the calculated results and the vertical conceptual model
222	for fractured crystalline-rock mountain block system of Welch and Allen (2014), the
223	vertical permeability structure of the mountain block in the study area was then divided
224	into three layers: the Weathered Zone (including soil and highly weathered rock), the
225	Fractured Zone, and the Inactive Zone (relatively impermeable bedrock with majority
226	of $K < K_0$, where active groundwater may not be produced).

The permeability ratios (Scibek, 2020) can be used to quantify the "conduit" or "barrier" 14

magnitudes of a fault zone using the permeability of the general fault zone (or fault core) and host rock obtained at specific locations (ideally along the same drillhole or outcrop section). In this study, permeability (m²) was replaced with hydraulic conductivity (m·s⁻¹) in the calculation for data consistency, and therefore refers to this ratio as hydraulic conductivity ratio (*K* ratio).

$$K \ ratio = log_{10} \frac{K_{General \ fault \ zone/\ Core}}{K_{Host \ rock}}$$

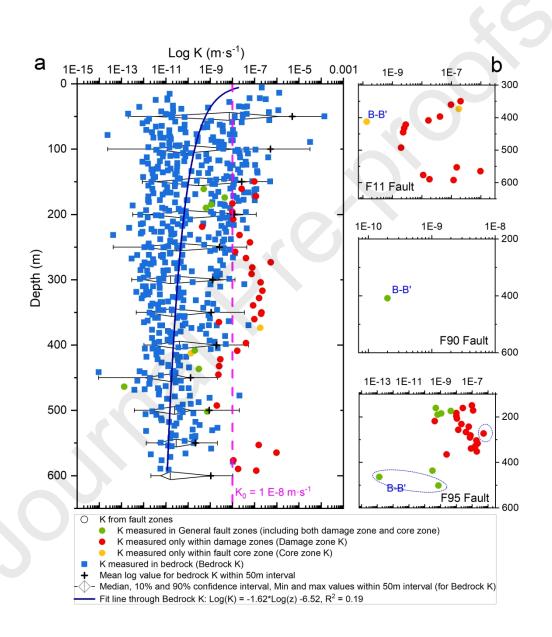
3.2 Groundwater flow analysis—numerical modelling

To investigate the flow behavior of faults in the study area, a 2D hydrogeological numerical model coupled with particle tracking was applied. MODFLOW-USG was used to simulate the hydrogeological processes of mountain blocks, and Darcy's Law Module was used to describe groundwater flow. We constrained the model based on the topographical section B-B', as well as the hydraulic properties described in Section 4.

241 4. Results

242 4.1 Characteristics of hydraulic conductivity

243 4.1.1 Hydraulic conductivity of bedrock



- Figure 2. Distribution of hydraulic conductivity (K) with depth, a. Depth trend of K for bedrock (n = 512, shown as blue squares) and fault zones (n = 43, shown as circles) derived from in-situ hydraulic testing in 22 boreholes; b. K from three faults, i.e., F11 fault, F90 fault and F95 fault.
- Figure 2a shows *K* distribution with depth in the study area, including Bedrock *K* (n = 512) and *K* from fault zones (n = 43). Both the highest hydraulic conductivity (1.40 E-4 m·s⁻¹ at 50.0 m depth) and lowest hydraulic conductivity (9.39 E-15 m·s⁻¹ at 441.5 m depth) were observed in Bedrock *K*. Only two of the 555 *K* data are greater than 1.00 E-5 m·s⁻¹, both within the depth less than 100.0 m. The hydraulic conductivity data is shown to be more scattered at shallow depths than at deeper ones, and shows substantial variance near the surface.
- A logarithmic fit through Bedrock K and depth pairs resulted in Log(K) = -1.62 * Log(z) -6.52, with low predictive power ($R^2 = 0.19$). The slope of the regression reveals that the hydraulic conductivity averages 1.62 orders of magnitude per order of magnitude of depth change.
- 256 4.1.2 Fault zone hydraulic conductivity
- Figure 2b shows 43 *K* measured in fault zones (2 Core zone *K*, 33 Damage zone *K*, and 8 General fault zone *K*), revealing the permeability of three faults: F90, F95 and F11.

- For F11, two Core zone *K* were measured in boreholes at different locations that showed
- a large variance: 1.80 E-7 m·s⁻¹ in the western part (A-A'), and 1.34 E-10 m·s⁻¹ in the
- 261 eastern part (B-B'). All Damage zone K of F11 were obtained from the same borehole
- located in the western part (A-A') and are distributed within 2 orders of magnitudes
- 263 $(1.97 \text{ E-9 m} \cdot \text{s}^{-1} 9.71 \text{ E-7 m} \cdot \text{s}^{-1}).$
- The overall hydraulic conductivity of F90 (including fault core and damage zone) was
- low permeable with a General fault zone K of 2.00 E-10 m·s⁻¹. For F95, General fault
- 266 zone K obtained from the western part (B-B') ranged from 1.33 E-13 m·s⁻¹ to 7.31 E-
- 267 10 m·s⁻¹, with the ones from the western part (A-A') showing a larger variance. Damage
- zone K were all centralized between 4.31 E-10 m·s⁻¹ and 5.35 E-7 m·s⁻¹.
- 269 4.2 Mountain-basin conceptual model
- 4.2.1 Bedrock permeability structure
- 271 Based on the calculated results and the vertical conceptual model for fractured
- 272 crystalline-rock mountain block system of Welch and Allen (2014), the vertical
- 273 permeability structure of the mountain block in the study area can be classified into
- 274 three layers: 0–50 mbgs for the Weathered Zone (including soil and highly weathered
- 275 rock), 50–350 mbgs for the Fractured Zone, and >350 mbgs for the Inactive Zone
- 276 (relatively impermeable bedrock with majority of $K < K_0$).

277	The arithmetic mean value of K was 4.80 E-6 m·s ⁻¹ , 1.01 E-7 m·s ⁻¹ , and 9.08 E-10 m·s ⁻¹
278	for the Weathered Zone, Fractured Zone, and Inactive Zone respectively. The
279	proportion of $K \ge K_0$ was 48.28% within the Weathered Zone, whereas it decreased to
280	13.22% within the Fractured zone and to 2.24% within the Inactive Zone (350–600 m).
281	To better reveal the hydraulic properties of each layer, the interquartile range (IQR)
282	measure of statistical dispersion was used to remove extreme K values in each layer.
283	Subsequently, the arithmetic mean K values of the three layers were recalculated as
284	1.16 E-8 m·s ⁻¹ for the Weathered Zone, 5.69 E-9 m·s ⁻¹ for the Fractured Zone, and 9.08
285	E-10 m·s ⁻¹ for the Inactive Zone.
286	4.2.2 Permeability structure of faults
287	Table 3 lists the calculated K ratios of faults, indicating their potential permeability
288	structures in the study area. The K ratio of F11 in the western part (A-A') was 2.64,
289	which indicates a potential conduit for groundwater; however, the ratio in the eastern
290	part (B-B') was -1.81, which suggests a potential barrier for groundwater. This
291	inconsistency in hydraulic properties implies possible spatial variability in permeability
292	structure for the same fault.
293	In contrast to F11, F95 shows consistency in K ratios: -0.75 and -0.53 for the western
294	part (A-A') and the eastern part (B-B') respectively, indicating that F95 may impede

295 groundwater flow and acts as a "barrier". *K* ratio of -0.96 for F90 also suggests a 296 potential "barrier" for groundwater flow.

Table 2 Fault information and calculated K ratios (*represented averaged values)

Section	Fault	General fault zone K	Core zone K	Host rock K	K ratio
A A ?	F11	-	3.00E-7	4.15E-10*	2.86
A-A'	F95	1.41E-9*	-	7.89E-9*	-0.75
	F11	-	1.34E-10	8.74E-9*	-1.81
В-В'	F90	2.00E-10	-	1.84E-9*	-0.96
	F95	3.68E-10*		1.25E-9*	-0.53

297 4.2.3 Conceptual model

A conceptual hydrogeological model of the study area is shown in Figure 3. Based on the permeability structures of bedrock and faults, the 2D conceptual model was vertically divided into three layers. The arithmetic mean K values with extreme values removed by the interquartile range (IQR) method were selected to represent each zone. Three faults (F11, F90, and F95) were considered in this conceptual model. The hydraulic conductivity of F95 and F90 were labelled according to the information obtained on the eastern part (B-B'). However, their hydraulic impact remains the primary source of uncertainty in this conceptual model for characterizing groundwater flow patterns in the study area.

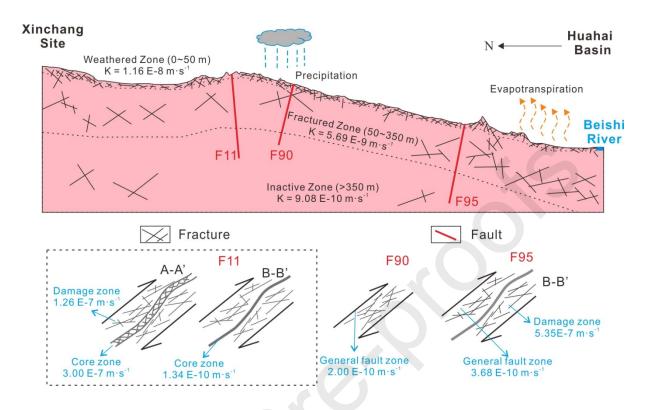


Fig 3. Conceptual hydrogeological model of the study area, and the permeability structures of faults (A-A', B-B' representing the sections where hydraulic conductivity was measured).

4.3 Groundwater flow patterns in study area – numerical model results

4.3.1 Model scenarios and setup

The following four field scenarios were developed based on the permeability conceptual model built in Section 4.2: homogeneous permeability, layered permeability, layered permeability with low permeable core zone of F11, and layered permeability

314	with high permeable core zone of F11. All scenarios were simulated in steady state flow,
315	with Scenarios 3 and 4 designed to investigate the potential hydrogeological role of F11,
316	F90, and F95 faults. The scenario details are as follows:
317	Homogeneous scenario: Served as the baseline model, where an arithmetic mean K
318	value of the weathered zone calculated in Section 4.1 (1.16 E-8 m·s ⁻¹) was applied
319	to represent the general hydraulic conductivity. This approach maintained a
320	constant hydraulic property of the model domain, allowing the results to reflect a
321	direct control of topography on groundwater flow patterns within the study area.
322	Layered: without faults (Layered permeability field) scenario: Here, the
323	permeability was consistent with the conceptual model in Section 4.2.3, except for
324	the faults. This scenario did not include faults, an appropriate assumption when
325	controlling for the vertical distribution of K along the hydrogeological conditions
326	of mountain blocks.
327	Layered: low K fault (Layered permeability field scenario with low permeable core
328	zone of F11) scenario: Building upon the former scenario, but considering three
329	faults (F11, F90, and F95). F11 and F95 were conceptualized as damage zones and
330	fault cores. The measurements of the western part (B-B') of F95 were used to
331	represent this fault: an average of General Fault zones K (3.68 E-10 m·s ⁻¹) was
332	assigned to the core zone (as no measurement was available within the F95 fault

333	core); the measured Damage zone K (5.35 E-7 m·s ⁻¹) was used for damage zone.
334	For F11, the lower measured value of 1.34 E-10 m·s ⁻¹ was selected to represent the
335	permeability of F11 fault core (Figure 3), and the damage zone hydraulic
336	conductivity was 1.26 E-7 m·s ⁻¹ .
337	Layered: high K fault (Layered permeability field with a highly permeable core
338	zone of F11) scenario: Building upon the former scenario, but replacing the K value
339	of F11 fault core zone with 3.00 E-7 m·s ⁻¹ .
340	The upper boundary of this model was conceptualized as a constant-head boundary to
341	reflect the high correlation between hydraulic head and topography within the study
342	area. At this boundary point, the groundwater head was identical to the ground elevation.
343	The Beishi River, located at the foot of the mountain bedrock, was also regarded as a
344	constant-head boundary; whereas the lateral and lower boundaries were specified as
345	no-flow boundaries. Precipitation and evaporation were not considered in the model.
346	While the study area has a typical arid climate (low precipitation, high evaporation),
347	this assumption is appropriate as the model simulates the general topography and
348	hydraulic properties of groundwater flow patterns under steady state flow. This
349	approach allows for a clearer understanding of the groundwater flow pattern response
350	to change in hydraulic properties (e.g., fault zones).
351	The model domain was divided into 39,056 unstructured grids, and the meshes were

352 required to be finer around the model boundaries and faults. Meshing quality was 353 examined to ensure that each fault zone contained multiple elements was fully 354 described in the model. Particle tracking was employed to investigate the differences in groundwater flow paths between model scenarios. A total of 2,038 particles were set 355 up along the ground boundary, and their traces were recorded and exported. 356 4.3.2 Model results 357 358 Simulation results for the four scenarios are shown in Figure 4, where multiple types of 359 streamlines distributions are presented (only representative streamlines were showed for distinguishability). Multiple types of streamlines (A, B, C, and D) are labelled to 360 361 illustrate the differences in groundwater flow patterns: A represents the streamlines reaching deep positions; B represents the streamlines at the location of F11; C 362 represents the streamline at the location of F90; D represented the streamlines at the 363 location of F95. 364 365 While A streamlines are commonly observed in the *Homogeneous* scenario (Figure 4a), they are absent in the layered scenarios. B streamlines in the Layered: without faults 366 367 scenario (Figure 4b) differ from those in the Layered: low K fault scenario (Figure 4c),

but they are similar to those in the Layered: high K fault scenario (Figure 4d), implying

impacts caused by the changes in permeability of the F11 fault core. In the Layered:

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low K fault scenario, groundwater is hindered from flowing across the F11 fault zone but is driven along the damage zone. However, the B streamlines in Layered: high K fault indicate groundwater flowing across the F11 fault zone. The C and D streamlines in the Layered: low K fault and Layered: high K fault scenarios show the same distribution as F90 and F95 had identical permeabilities in these scenarios. In both scenarios, C and D streamlines suggest impeded groundwater flow compared with that in the Layered: without faults scenario.

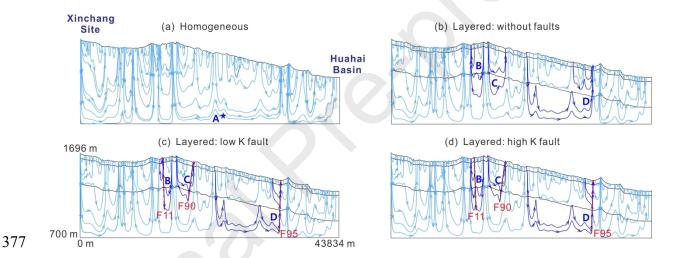


Figure 4. Streamline distributions of four model scenarios: A, B, and C represent observed streamlines to highlight the differences in groundwater flow patterns.

378 5. Discussion

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- 379 5.1 Depth trend of Bedrock K in crystalline basement
- 380 The crystalline basement, being mostly granite and gneiss, is generally low-

conductivity media where interconnected fracture porosity and permeability determine
groundwater flow behaviors (Rutqvist and Stephansson, 2003). The permeability of
crystalline rocks varies in space both horizontally and vertically due to fracture
interactions, and the vertical permeability is generally assumed to decrease with depth
(Achtziger-Zupančič et al., 2017; Brace, 1980; Manning and Ingebritsen, 1999;
Ranjram et al., 2015; Stober and Bucher, 2007). The decrease with depth was also
observed in the K obtained in crystalline bedrock composed of gneiss and granite within
600 mbgs in this study (Fig 2a). High hydraulic conductivity values were mostly
observed within depths of <100 m (especially within depths <50 m). The range between
the maximum and minimum K decreased with depth from approximately 9 orders of
magnitude (1E-14 to 1E -4 m·s-1) near the surface to approximately 4 orders of
magnitude in the deepest interval (1E-12 to 1E -8 m·s-1) As lithology may be of less
importance in crystalline rock permeability at near-surface depth (<1,000 m), the higher
and more scattered K values near the surface may indicate greater sensitivity to
weathering and tectonic setting alteration (Achtziger-Zupančič et al., 2017; Ranjram et
al., 2015).
A total of 91% of Bedrock K is distributed between 1.03 E-12 m·s ⁻¹ – 9.82 E-8 m·s ⁻¹ ,
which is generally less permeable than Carnmenellis Granite (Cornwall, UK) of similar
depth (Watkins, 2007). As shown in Figure 5, the <i>K</i> range in the study area is of smaller

values and larger variance than that in the Black Forest area of Germany, which has a similar lithology of gneiss and granite (Stober and Bucher, 2007). The discrepancy is also obvious in the logarithmic fits for the depth trend of permeability. The resulting trend for Bedrock K in our study area yields a smaller slope and intercept than that derived in the Black Forest area by Stober and Bucher (2007), as well as the depth trend derived for a global database in crystalline rock by Achtziger- Zupančič et al. (2017). The slope and intercept are also substantially significantly lower than the widely cited curve derived by Manning and Ingebritsen (1999). This lack of agreement is expected as these fits are derived to describe permeability at larger depths than those reported in this study. Although Bedrock K in our study area verified the assumption of a decreased trend with depth, the low predictive power ($R^2 = 0.19$) demonstrated the invalidity of a consistent and generalizable equation capable of describing the K-z relationship in a crystalline basement. Similar results were reported for the analysis of crystalline rock datasets (Ranjram et al., 2015; Snowdon et al., 2021; Stober and Bucher, 2007).

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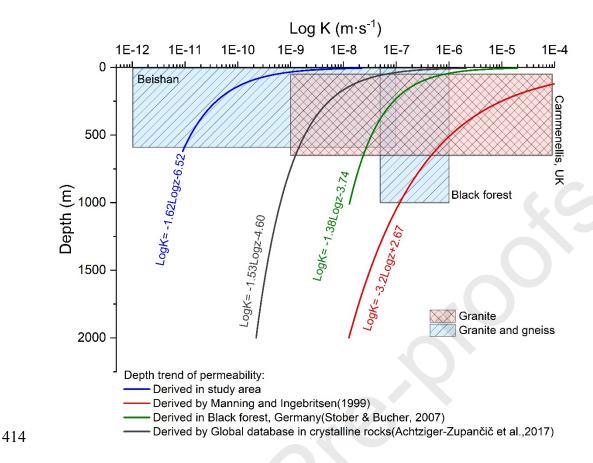


Figure 5. Comparison of hydraulic conductivity (K) ranges and the depth trends in crystalline bedrock.

415 5.2 Permeability and flow behaviors in fault zones

The permeability and flow behaviors in three faults (F11, F90, and F95) in this

mountain hydrogeological system are shown in Figures 3 and 4.

Fault zones created by brittle formation are composed of distinct components with

different permeability: fault cores and damage zones (Bense et al., 2013; Caine et al.,

420 1996; Rawling et al., 2001; Scibek, 2020). For a single fault, groundwater flows mainly

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through connected open fractures in the damage zone; the proportion of damage zone
thickness to total fault thickness (Fa) enables the description of fault architecture and
flow behavior (Caine et al., 1996). With a Fa value close to 1 (0.98), the western part
(B-B') of F95 is likely to be of the "distributed conduit" type. However, the same fault
was identified to be a potential "barrier" according to the permeability ratio (Table 3)
and simulated streamlines from 2D numerical modelling. This suggested the complex
and combined role of F95 in this particular hydrogeological setting. With low
conductivity for the general fault zone, the groundwater was hindered from flowing
across the F95 towards the south in this two-dimensional model. Nevertheless, the
existence of a relatively more permeable damage zone with higher conductivity (5.35
E-7 m·s ⁻¹) in F95 suggests potential flow along the damage zone towards the southeast.
For F11, the discrepancy in fault core conductivity causes the spatial variability in
permeability structures, and thus affects the flow behavior in numerical modelling.
Groundwater flow was impeded from flowing across the F11 fault zone in the <i>Layered</i> :
Low K fault scenario (corresponding to the measurements on the western part A-A'),
acting as a barrier in MBR processes (Bresciani et al., 2018; Figueroa et al., 2021).
However, the flow was allowed to pass the same fault zone in the Layered: High K fault
scenario (corresponding to measurements on the western part B-B'), connecting
groundwater from both sides of fault zone (Roques et al., 2014). Consequently, the F11

440	fault zone partially impedes groundwater flow from flowing south, likely acting as a
441	combined "conduit-barrier." Similar flow behavior and discontinuity in permeability of
442	faults have been examined with numerical modelling (Mechal et al., 2016) and field
443	investigation (Caine et al., 2017). The large discontinuity of the fault in the eastern
444	Española Basin, Rio Grande rift, New Mexico, indicated that it was unlikely to
445	systematically impede groundwater flow (Caine et al., 2017).
446	
446	According to simulated streamline C in Figure 4, F90 is likely a barrier for groundwater
447	flow in the study area. However, all three faults show only localized impact on
448	streamline distribution, indicating the limitation of faults' effects on the
449	hydrogeological process for this particular mountain bedrock groundwater system.
450	5.3 Possible MBR processes in the Beishan area
451	The streamline distributions in Figure 4 indicates no groundwater flow directly from
452	the Xinchang Site to the Huahai Basin under the control of topography and generally
453	low hydraulic conductivity in the Beishan area. Streamline A observed in the
454	Homogeneous scenario was absent in all Layered scenarios, indicating the vertical
455	heterogeneity of K might hinder groundwater from developing deeper circulation and
456	therefore may prejudice diffuse MBR to the Hauhai Basin.
457	Although as an oblique-oriented fault, the F90 is likely to act as a hydraulic barrier to 30

158	diffuse MBR with available data. Groundwater flow towards the Huahai basin was
159	impeded from crossing the fault core zone of the obliquely oriented fault F95 in the 2D
160	section. However, the damage zone with relatively high conductivity and large
161	thickness may also function as a conduit driving groundwater flow within the connected
162	fractures, which is referred to as focused MBR. Consequently, the F95 is likely to act
163	as a combined "conduit-barrier", impeding diffuse MBR while permitting focused
164	MBR.
165	The spatial variability in permeability results in the difference in the hydraulic role of
166	F11. As C streamlines shown in Fig 4, the F11 was unlikely to systematically and
167	significantly impede diffuse MBR by allowing groundwater flow across its core in
168	partial sections. Therefore, the F11 may also act as a combined "conduit-barrier" for
169	MBR in the study area.
170	Despite its spatial discontinuity and small thickness in the study area, the canyons of
1 71	alluvial sediment could present a potential flow path for focused MBR (Markovich et
172	al., 2019), especially within the mountain front area near the Huahai Basin. This process
173	corresponds to the groundwater recharge through focused infiltration contributed by
174	ephemeral streams, which is associated with rainy events or snowmelt (Taucare et al.,
175	2020) and is highly sensitive to local weather. Indeed, the absence of snow and rare
176	rainy events in the study area suppress focused MBR through canyons.

6. Conclusion

This study examines the potential flow paths of MBR processes, mainly focusing on the vertical heterogeneity of *K* and the hydraulic role of fault zones in hydrogeological settings. We also provide a series of hydraulic conductivity data obtained by in-situ measurements from a crystalline mountain block system at various depths (0–592.34 m). We deciphered the flow paths originating from the Xinchang Site, Beishan area, and feeding adjacent aquifers in the Huahai Basin using a permeability conceptual model and numerical simulations of bedrock sections. These findings help to explain the MBR processes critical in determining the safety of a future HLW disposal site. The primary conclusions are as follows:

Most Bedrock K (91%) is distributed between 1.03 E-12 m·s⁻¹–9.82 E-8 m·s⁻¹, which is generally lower than that in other sites with similar depth or lithology (Stober and Bucher, 2007; Watkins, 2007). Higher K values and larger variance were observed at shallow depths (<100 m, and especially <50 m), supporting the assumption that permeability is generally decreasing with depth. A logarithmic fit to Bedrock K (Log K = -1.62 Log Z(m) - 6.72, R2 = 0.19) shows a smaller slope and intersect than those derived by Achtziger-Zupančič et al. (2017), Manning and

495	Ingebritsen (1999), and Stober and Bucher (2007). The discrepancy in data and
496	trend line, as well as the low predictive power R2, suggesting the ineffectiveness of
497	a consistent and generalizable relationship between hydraulic conductivity and
498	depth in a crystalline basement.
499	- No direct groundwater flow from the Xinchang Site to the Huahai Basin was
500	observed according to the simulated streamlines. The vertical heterogeneity of K
501	distribution restrained groundwater from deeper circulation, and may suppress
502	diffuse MBR in the study area.
503	- Spatial variability of measured <i>K</i> in fault cores of fault F11 induces discontinuity in
504	permeability, and therefore the difference in hydraulic roles to MBR. F11 is
505	approximately perpendicular to the MBR flow direction, whereas it might act as a
506	combined "conduit-barrier" according to the distribution of simulated streamlines
507	in study area (Figure 4).
508	- With low conductivity of general fault zones, obliquely oriented faults F90 and F95
509	act as hydraulic barriers to diffuse MBR. However, the damage zone of relatively
510	high conductivity could function as a conduit driving groundwater flow within
511	connected fractures, promoting focused MBR of study area.
512	In summary, based on in-situ K data (n = 555), this study suggests the possible flow
513	paths of MBR in a crystalline mountain block system, providing insights into the

characteristics of K in mountain bedrock. The study also provides insights into the
localized effects and spatially variable roles of fault zones in hydrogeological processes
within this particular hydrogeological configuration in Beishan, China. In addition, the
hydraulic conductivity data presented here can provide information on the subsurface
properties of mountain block systems in crystalline areas, and further facilitate the
characterization and quantification of mountain block recharge.

CRediT authorship contribution statement

Yunmei Fu: Conceptualization, Investigation, Methodology, Software, Formal analysis, Visualization, Writing - original draft. Yanhui Dong: Funding acquisition, Methodology, Project administration, Supervision, Writing - review and editing.
Liheng Wang: Investigation, Writing - review and editing. Olivier Bour: Visualization, Writing - review and editing. Writing - review and editing. Zihua Zong: Resources, Investigation. Zhifang Xu: Supervision, Writing - review and editing. Zichao Zhou: Resources, Investigation.

Declaration of Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Abstract

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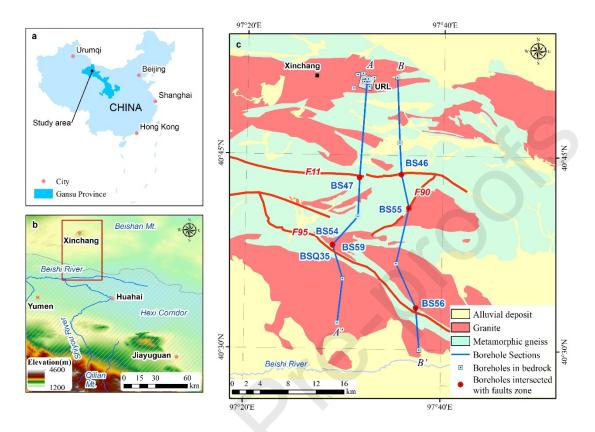
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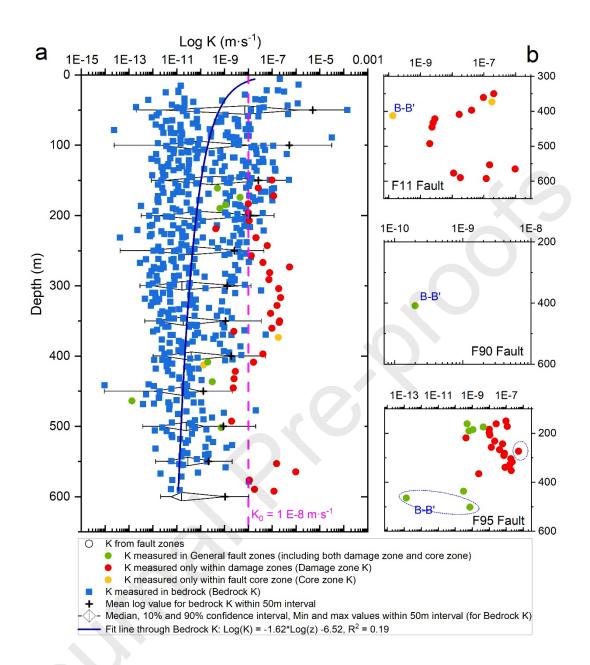
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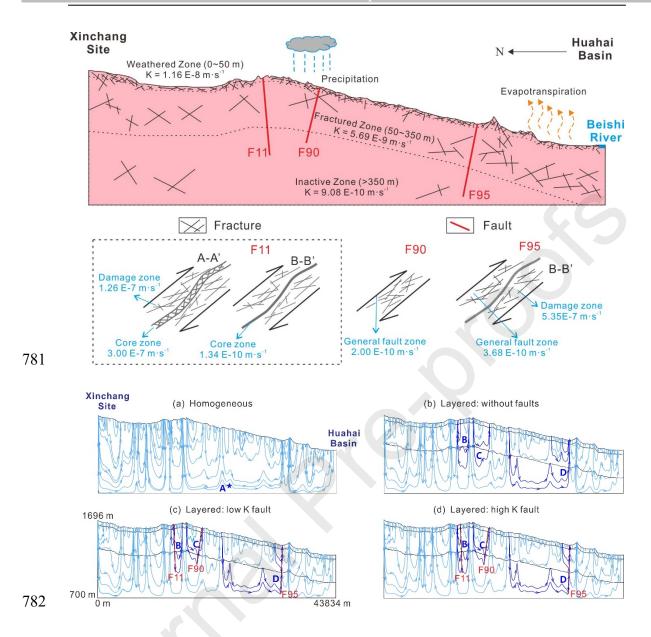
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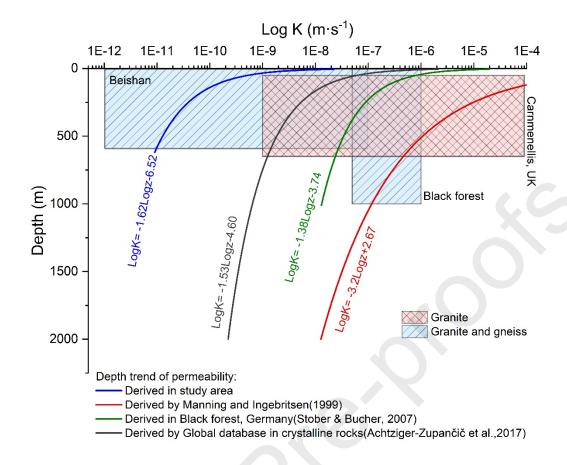
The process wherein groundwater flowing from mountain bedrock into lowland and adjacent alluvial aquifers, known as mountain-block recharge (MBR), is found across various climatic and geological settings. An understanding of the potential groundwater flow paths in mountain block systems is necessary for comprehending MBR spatial distribution. However, poorly characterized mountain block hydraulic properties, and especially a lack of direct measurements of hydraulic conductivity (K) at depths >200 m, limit the characterization and quantifications of the MBR processes. In this study, we analyze hydraulic data set, namely 555 in-situ K measurements at various depths from two borehole sections extending from mountain block to mountain front in a potential disposal site for high-level radioactive waste. The K dataset was categorized into two groups: one for bedrock and another for fault zones, which was further classified into fault core K, damage zone K, and general fault zone K. Using a permeability conceptual model and multiple scenarios numerical modelling, this study examined the potential flow paths of MBR processes, mainly focusing on the characteristics of K in bedrocks and the hydraulic role of fault zones in mountain block 47

754	systems. The distribution of Bedrock K supports the assumption of decreased trend with
755	depth. A logarithmic fit through Bedrock K and depth pairs resulted in $Log(K) = -$
756	1.62*Log(z) - 6.52, with low predictive power. This study illustrated the localized
757	effects and spatially variable roles of fault zones in MBR within this particular
758	hydrogeological configuration in Beishan, China. Our results provide insights into the
759	MBR process in crystalline mountain block systems. Additionally, the hydraulic
760	conductivity presented here provides data on the subsurface properties of mountain
761	block systems in a crystalline area, and further facilitates the characterization and
762	quantification of mountain-block recharge.
763	Keywords: mountain block recharge, mountain hydrogeology, fractured aquifer
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765 766	Declaration of interests
767	☑ The authors declare that they have no known competing financial interests or personal
768	relationships that could have appeared to influence the work reported in this paper.
769	
770 771	☐ The authors declare the following financial interests/personal relationships which may be
772	considered as potential competing interests:
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784 Highlights

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- Potential flow paths of MBR process in crystalline mountain block systems
 were explored based on hydraulic conductivity data up to ~592.34 m depth.
- Characteristics of hydraulic conductivity for bedrock and fault zones including
 core zones and damage zones were reported.
- Spatial discontinuities in the permeability may exist for the same fault, which lead to its role can be either a conduit or a barrier in the MBR process.

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