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1 **Correcting laser scanning intensity recorded in a cave environment for high-**  
2 **resolution lithological mapping: a case study of the Gouffre Georges, France**

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## 23 **Abstract**

24 Active remote sensing by laser scanning (LiDAR) has markedly improved the mapping of a cave  
25 environment with an unprecedented level of accuracy and spatial detail. However, the use of laser  
26 intensity simultaneously recorded during the scanning of caves remains unexplored despite it having  
27 promising potential for lithological mapping as it has been demonstrated by many applications in open-  
28 sky conditions. The appropriate use of laser intensity requires calibration and corrections for  
29 influencing factors, which are different in caves as opposed to the above-ground environments. Our  
30 study presents an efficient and complex workflow to correct the recorded intensity, which takes into  
31 consideration the acquisition geometry, micromorphology of the cave surface, and the specific  
32 atmospheric influence previously neglected in terrestrial laser scanning. The applicability of the  
33 approach is demonstrated on terrestrial LiDAR data acquired in the Gouffre Georges, a cave located in  
34 the northern Pyrenees in France. The cave is unique for its geology and lithology allowing for  
35 observation, with a spectacular continuity without any vegetal cover, of the contact between marble  
36 and lherzolite rocks and tectonic structures that characterize such contact. The overall accuracy of rock  
37 surface classification based on the corrected laser intensity was over 84 %. The presence of water or a  
38 wet surface introduced bias of the intensity values towards lower values complicating the material  
39 discrimination. Such conditions have to be considered in applications of the recorded laser intensity in  
40 mapping underground spaces. The presented method allows for putting geological observations in an  
41 absolute spatial reference frame, which is often very difficult in a cave environment. Thus, laser  
42 scanning of the cave geometry assigned with the corrected laser intensity is an invaluable tool to  
43 unravel the complexity of such a lithological environment.

44

## 45 **Key words**

46 Intensity correction, Terrestrial laser scanning, LiDAR, Cave, Lithological mapping

47

## 48 **1. Introduction**

49 Laser scanning has demonstrated its extensive potential for landscape mapping over the last few  
50 decades (Eitel et al., 2017). The majority of applications focus on acquiring 3D coordinates to  
51 parametrise the three-dimensional (3D) structure of the landscape while a smaller portion of published  
52 research makes use of the recorded intensity of the backscattered laser pulse, related to the surface  
53 parameters and recorded for each point position. As a result of laser scanning, a 3D point cloud is  
54 generated, capturing morphology in a high level of spatial detail along with specific spectral properties  
55 of the surface surrounding the laser scanner. The advantage of this active remote sensing technique  
56 has been employed in cave mapping, where the complex morphology and limited light conditions  
57 challenge studying the cave surface by direct contact or optical methods at a high spatial resolution  
58 (Gallay et al., 2015). Compared to the methods traditionally used in speleology and cave surveying,  
59 such as traversing with the compass or total station, laser scanning reduces the work time and is light-  
60 independent, unlike digital photogrammetry (Idrees and Pradhan, 2016a).

61 In the last decade, terrestrial laser scanning (TLS) of caves has been deployed in a range of  
62 scientific applications, e.g., archaeology (Burens et al., 2014; Kruger et al., 2016; Landeschi et al., 2019),  
63 geology and geomorphology (Silvestre et al., 2015; Fabbri et al., 2017; De Waele et al., 2018),  
64 geomorphometry (Gallay et al., 2016), and biospeleology (Azmy et al., 2012; McFarlane et al., 2015).  
65 The acquired 3D geometry is also beneficial for mapping and evaluating the ice surface morphology  
66 change (Milius and Petters, 2012; Berenguer-Sempere et al., 2014; Šupinský et al., 2019), assessing the  
67 natural hazards and collapse susceptibility (Santo et al., 2017; Idrees and Pradhan, 2018), for general  
68 visualization and creation of a 3D cave model (Núñez et al., 2013; Cosso et al., 2014), and creating a  
69 highly-detailed cave map (Šupinský et al., 2022). Recent studies also demonstrated the potential of  
70 using the TLS intensity for cave environment research, e.g., for the discernment of micro-  
71 morphologies, different speleogenetic phases, karstification processes, and identification of cave arts  
72 and engravings (Idrees and Pradhan, 2017; Jalandoni et al., 2021). As well in biospeleology, the

73 intensity data can be used for non-intrusive population surveys and species recognition for cave fauna,  
74 especially roosting bats (Azmy et al., 2012) or birds (Idrees and Pradhan, 2016b), with satisfactory  
75 results for the selected cave sections even without any radiometric processing or intensity calibration.  
76 However, the influence of individual factors is noticeable particularly for varying scanning ranges and  
77 data-overlaps of multiple scanning positions acquired in an extensive cave complex.

78 The recorded intensity values are influenced by several factors, including surface properties,  
79 sensor parameters, acquisition geometry, and atmospheric conditions during scanning (Kaasalainen et  
80 al., 2011; Tan and Cheng, 2016). However, the atmospheric effect on laser signal propagation is often  
81 neglected in TLS. The rationale is in the short scanning ranges within which the effect of the  
82 atmospheric attenuation is considered to be constant if the atmospheric conditions remain unchanged  
83 during scanning and the air transmittance is very high (Carrea et al., 2016). The content of the surface  
84 moisture also affects the recorded intensity values which decrease with increasing moisture for water  
85 absorbs the near-infrared wavelengths (Franceschi et al., 2009). Therefore, in an extensive cave  
86 environment with variable dimensions comprising narrow passages leading to grand domes and  
87 specific atmospheric conditions, the effect of the influencing factors is considerable. Moreover, the  
88 multi-scan TLS intensity data obtained by different acquisition campaigns are not directly comparable  
89 (Tan et al., 2015). For extraction intensity values proportional primarily to the scanned surface  
90 reflectance, other influencing factors should be eliminated. Kashani et al. (2015) provide a  
91 comprehensive overview of the processing methods of the intensity values mainly divided into  
92 theoretical correction models based on the radar equation (Höfle and Pfeifer, 2007; Wagner, 2010)  
93 and data-driven empirical models (Höfle and Pfeifer, 2007; Teo and Yu, 2015; Xu et al., 2017), both  
94 with specific limitations. Acquisition geometry is defined by the scanning range and the incidence  
95 angle, i.e., the angle between the incident laser beam and the normal vector of the surface  
96 (Soudarissanane et al., 2011). Kaasalainen et al. (2011) showed that the effect of incidence angle is  
97 caused by the target surface properties, whereas the distance effect is mainly influenced by the  
98 instrumental factors and does not entirely follow the radar equation range function. Specifically, near-

99 distance intensities up to several meters deviate from the radar range equation and vary across  
100 different laser scanning systems. Moreover, the correction of the incidence angle effect using  
101 Lambert's cosine law is not sufficient, especially for the natural surfaces, which do not behave as  
102 Lambertian reflectors (Carrea et al., 2016; Tan et al., 2016).

103 Current remote sensing techniques, including passive photogrammetry and hyperspectral  
104 imaging, allow for high-resolution mapping of difficult-to-access geological outcrops (Lorenz et al.,  
105 2021; Thiele et al., 2021). Recent studies have also demonstrated the potential of the corrected TLS  
106 intensity data for geological mapping and distinguishing rock types within the aboveground rock  
107 outcrops (Penasa et al., 2014; Matasci et al., 2015; Carrea et al., 2016; Živec et al., 2019), without the  
108 need of eliminating the atmospheric attenuation effect. The presented paper aims to define the  
109 method of correcting the laser scanning intensity acquired in the cave environment, where the variable  
110 dimensions, specific atmospheric conditions, and limited light conditions restrict the employment of  
111 optical sensing or direct use of the recorded intensity for the material differentiation. The proposed  
112 intensity correction approach combines the data-driven methods for the distance effect correction,  
113 applying correction of the incidence angle effect using the Oren-Nayar model (Carrea et al., 2016) and  
114 eliminating the atmospheric attenuation effect with the data-driven coefficients.

115 The proposed method was demonstrated on the data acquired in a cave known as Gouffre  
116 Georges to distinguish two distinct rock types within the TLS point cloud. Part of the cave was formed  
117 along the lithological contact between marble and lherzolite, which name was derived from its type  
118 locality, the Étang de Lers (previously Étang de Lherz). This ultramafic igneous rock was formed in the  
119 upper mantle and is rarely observable exposed on the surface (Sorriaux et al., 2019). The corrected  
120 intensity values allow putting geological observations in an absolute spatial reference frame, which is  
121 often very difficult in a cave environment. Such results provide a combined view of underground and  
122 surficial geological settings which improves studying geological structures and understanding their  
123 formation. Along with conventional near-infrared spectroscopy the method can become applicable in  
124 mining for underground mapping and mineral exploration (Laukamp et al., 2012; Guha et al., 2015).

## 125 1.1. Background on laser scanning intensity

126 Laser scanning operates on the same physical principle as radar; therefore, the radar equation can  
127 be applied to describe the main factors affecting the received signal strength (Wagner, 2010),  
128 providing a theoretical basis for the model-driven approach of the intensity correction. This equation  
129 relates to the received power  $P_r$ , transmitted pulse power  $P_t$  and other parameters referring to the  
130 acquisition geometry and sensor, atmospheric, and target properties.

$$131 P_r = \frac{P_t D_r^2}{4\pi R^4 \beta_t^2} \eta_{sys} \eta_{atm} \sigma \quad (\text{Eq. 1})$$

132 Range  $R$  represents distance between the sensor and object,  $D_r$  is a diameter of the sensor  
133 receiver aperture,  $\beta_t$  is the transmitter beamwidth,  $\eta_{sys}$  and  $\eta_{atm}$  are system and atmospheric  
134 transmission factors, respectively. All target parameters are combined into backscatter cross-section  
135  $\sigma$  expressing the backscattering target characteristics as a function of the target size, reflectance, and  
136 scattering directionality (Wagner et al., 2006).

137 Assuming that the scanned area is an extended target larger than a laser footprint size and its  
138 surface has Lambertian scattering characteristics, the backscatter cross-section can be expressed as  
139 (Carrea et al., 2016; Xu et al., 2017):

$$140 \sigma = \pi \rho_\lambda R^2 \beta_t^2 \cos \alpha \quad (\text{Eq. 2})$$

141 where  $\rho_\lambda$  is the target surface reflectance at a certain wavelength  $\lambda$  and  $\alpha$  is the incidence angle, the  
142 angle between the surface normal vector and the incident laser beam.

143 Parameters  $P_t$ ,  $D_r$  and  $\eta_{sys}$  depend on the sensor and can be considered constant for a specific  
144 device as well as the atmospheric attenuation  $\eta_{atm}$  for the TLS data acquisition under stable  
145 conditions (Xu et al., 2017). After substituting Equation (2) into Equation (1) and simplifying for  
146 constants, the radar equation can be written as:

$$147 P_r = \rho_\lambda R^{-2} \cos \alpha \quad (\text{Eq. 3})$$

148 The intensity value  $I$  represents the measure of the signal strength obtained by converting and  
149 amplifying the received power  $P_r$ , which is transformed into Digital Number (DN) as a scaled integer  
150 value (Höfle and Pfeifer, 2007; Tan et al., 2016) or provided in arbitrary units depending on the LiDAR  
151 device. As the intensity is generally proportional to the received power, then:

$$152 \quad I \propto P_r \propto \rho_\lambda R^{-2} \cos\alpha \quad (\text{Eq. 4})$$

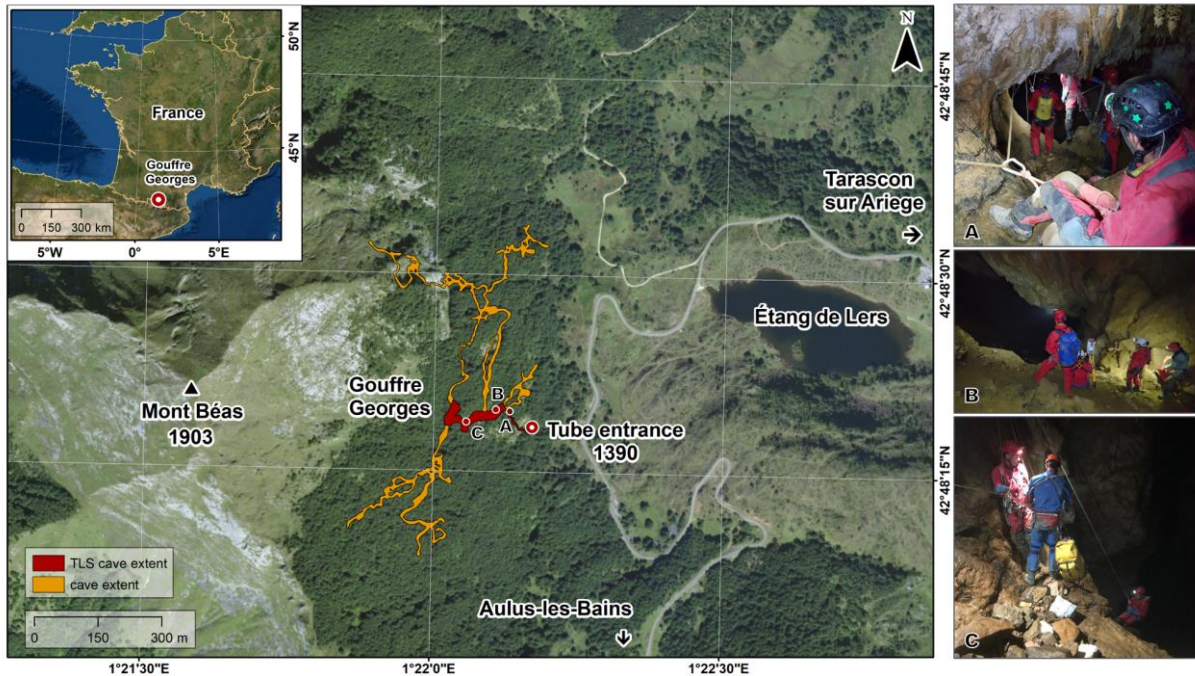
## 153 2. Study site

154 The LiDAR data were acquired by laser scanning in the Gouffre Georges, Ariège, France (Fig. 1).  
155 The cave formed in between the Mesozoic carbonate cover of the northern Pyrenees, and peridotites  
156 on the eastern slope of the Mont Béas, in the vicinity of the Étang de Lers. The cave is unique because  
157 it is carved along the tectonic contact between two distinctive rock units: variably serpentinized  
158 lherzolites of mantle origin and Jurassic and lower Cretaceous carbonate rocks. The degree of  
159 serpentinization of the peridotites varies greatly at the scale of the Lherz Massif and tends to increase  
160 near contacts, faults, and shear zones. The un-serpentinized lherzolite consists primarily of olivine  
161 (Fo<sub>89</sub>-Fo<sub>92</sub>), enstatite, Cr-diopside, and Cr-spinel (Le Roux et al., 2007). The main serpentines include  
162 chrysotile and, to a minor extent, antigorite. The carbonate rocks are massive or banded marbles, and  
163 monomictic to polymictic, locally dolomitic carbonate breccias. The most common minerals include  
164 calcite, dolomite, scapolite, phlogopite, and quartz. The dark bands in the marbles tend to be blueish  
165 due to the presence of scapolite and are continuous over several meters.

166 The terrestrial laser scanning was performed in one branch of the cave corridors accessible via the  
167 closable entrance Tube at the altitude of 1390 m. Continuously descending narrow entrance passage  
168 after about 70 meters enters a large dome of the Grande Galerie with over 10 to 15 m height, 20 m  
169 width and length of 130 m. The contact between marble and lherzolite is very well preserved in this  
170 dome. The dome continues down to the Salle de la Famine dome which formed in marble and marble  
171 breccia, but lherzolite debris was transferred from the upper parts forming the scree on the cave  
172 bottom. Overall, the surveyed part of the cave extended about 200 meters horizontally and 200 meters



173 vertically with a general slope of the cave corridors of 45°. Scanning in the Gouffre Georges challenged  
174 the standard outdoor terrestrial laser scanning by a steep ground surface often with unstable scree or  
175 passing through narrow corridors and vertical sections.



176  
177 Fig. 1. Location of the Gouffre Georges. Selected parts for the TLS survey (red) of the Gouffre Georges cave complex (orange)  
178 are accessible via the entrance Tube at the altitude of 1390 m. The photographs A, B, C illustrate the cave space and surveying  
179 conditions in different parts located in the map. Base map: ©2021 Esri

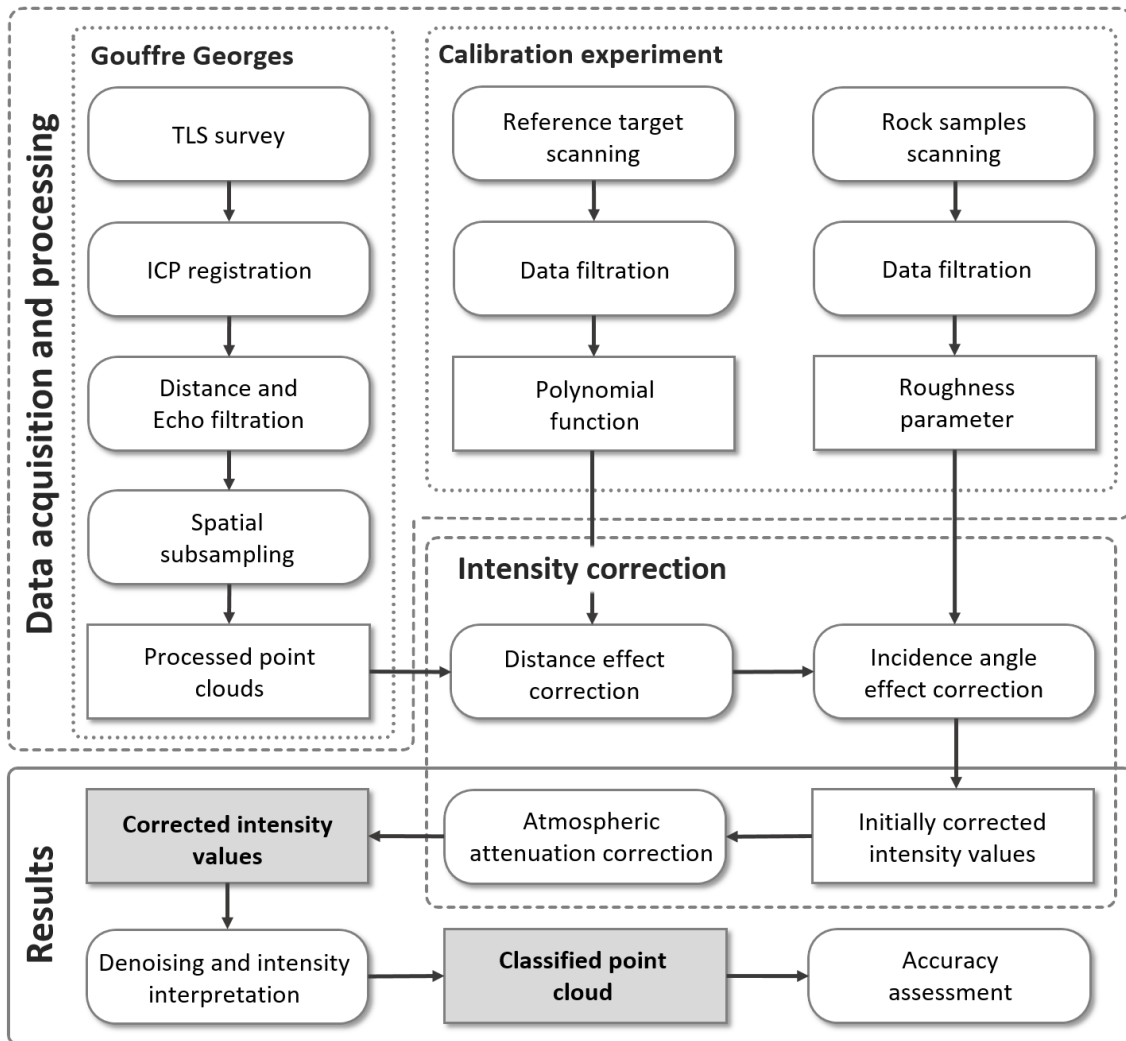
180  
181 The scanned part of the cave provides a special opportunity to directly observe the rare geological  
182 and lithological setting. More than 40 Iherzolite bodies crop out in the Pyrenean belt (Monchoux,  
183 1970). These rocks have been exhumed during the Albian-Cenomanian rifting phase (e.g., Lagabrielle  
184 et al., 2010) and have been then uplifted and put in their present-day position during the Late  
185 Cretaceous-Tertiary Pyrenean orogeny (Vergés et al., 2002; Saspiturry et al., 2020a). The North  
186 Pyrenean Zone, where such ultramafic rocks crop out, represents then the suture zone between the  
187 Iberian and the Eurasian plates. In the last decade, renewed interest has grown in the scientific  
188 community regarding the geologic history of the Pyrenean Iherzolites (e.g., Lagabrielle and Bodinier,  
189 2008; Jammes et al., 2009; Clerc and Lagabrielle, 2014). In particular, active debates have developed  
190 concerning the tectonic style related to the exhumation of mantle rocks during the Cretaceous rifting

191 (e.g., Masini et al., 2014; Asti et al., 2019; Lagabrielle et al., 2019a, b; Saspiturry et al., 2019), its thermal  
192 implications (Clerc et al., 2015; Lagabrielle et al., 2016; Ducoux et al., 2019; Duretz et al., 2019;  
193 Saspiturry et al., 2020b), the kinematics of this extensional phase (e.g., Jammes et al., 2009; Tavani et  
194 al., 2018; Angrand et al., 2020) and the genesis of lherzolite-bearing breccia which is often found in  
195 association with the ultramafic bodies all along the North Pyrenean Zone (Lagabrielle and Bodinier,  
196 2008; Debroas et al., 2010; Clerc et al., 2012; Saint Blanquat et al., 2016). The Lherz ultramafic massif  
197 is a key locality to find important constraints to all these scientific questions (see Clerc et al., 2012 and  
198 Lagabrielle et al., 2016 for exhaustive reviews).

199

### 200 **3. Data and methods**

201 The work undertaken in the presented research involved the workflow shown in Fig. 2. The  
202 research commenced with the 3D mapping in the cave by TLS survey and processing of the recorded  
203 data. It was followed by scanning in laboratory conditions. Subsequently, the effects of the acquisition  
204 geometry and different surface conditions were analysed and the parameters required for the  
205 corrections steps were derived. After correcting the intensity of each scan for the effect of distance  
206 and incidence angle, the derivation of the atmospheric attenuation coefficient was performed based  
207 on the data in overlaps of the individual scans. The last step involved denoising, i.e., removing the  
208 outliers by the nearest neighbour analysis and interpretation of the obtained corrected intensity  
209 values. Each step of the workflow is characterized in the following subsections.



210

211 Fig. 2. Schematic workflow of processing the data obtained by TLS survey and experimental scanning and subsequent  
 212 parameter estimation for the intensity correction.

213

### 214 3.1. Cave laser scanning and data processing

215 The selected part of the cave was scanned from 47 positions in total (Fig. 3) representing the  
 216 entrance narrow passage from Tube and the domes of Salle de la Famine and Grande Galerie. Data  
 217 were acquired by the time-of-flight TLS RIEGL VZ-1000 with 360° horizontal and 100° vertical field of  
 218 view emitting near-infrared laser pulses laser of 1,550 nm wavelength (RIEGL, 2015). The specification  
 219 for the used TLS device is listed in Tab. 1. The robust construction of the device enables scanning in  
 220 adverse conditions and prevents its damage during transportation and abseiling in the cave. Besides

221 high-ranging precision and accuracy, implemented echo digitization and on-line waveform processing  
222 enables assigning additional attributes to each point measurement (Pfenningbauer and Ullrich, 2010).

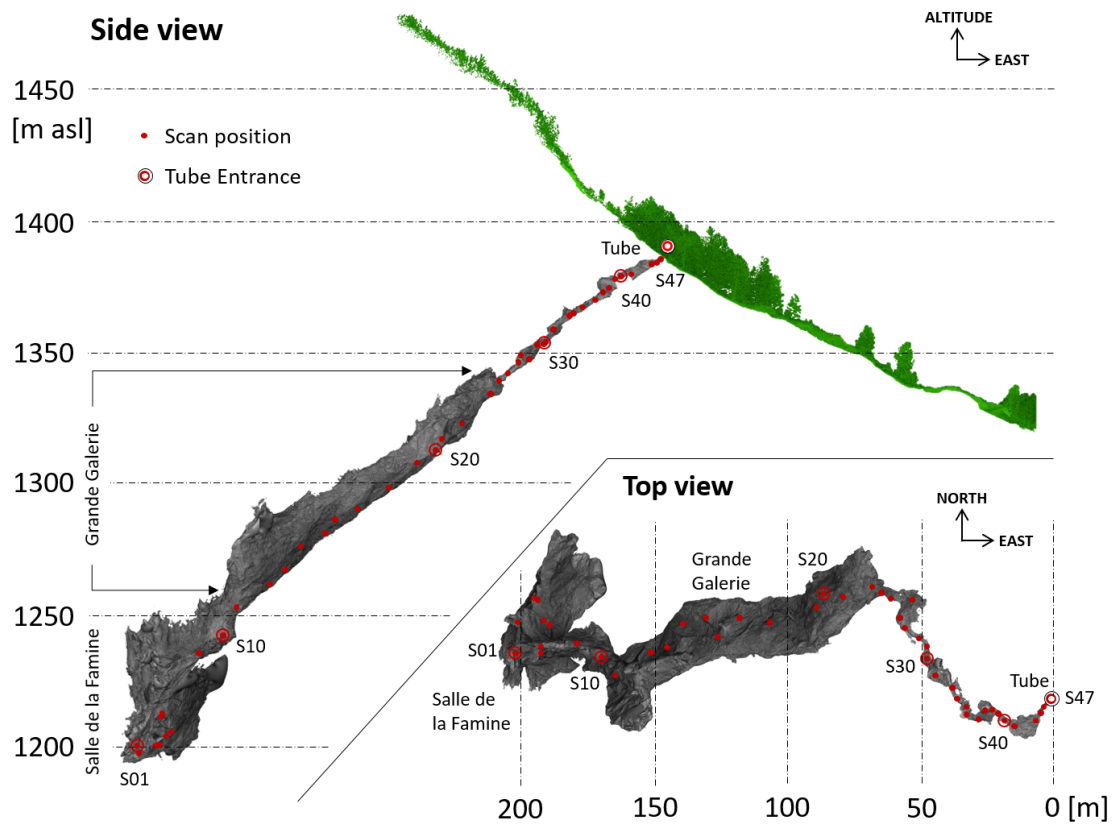
223 Tab. 1. Specification for the used laser scanner

<b>RIEGL VZ-1000</b>			
Laser wavelength	1550 nm	Accuracy	8 mm
Field of view	100° x 360°	Precision	5 mm
Pulse repetition rate	70 – 300 kHz	Beam divergence	0.3 mrad
Maximum range	1400 m	Initial beam diameter	7 mm
Minimum range	2.5 m	Beam-widening	30 mm per 100 m

224

225 The first 26 positions were captured with an angular step width set to Panorama 40 with an  
226 angular increment of 0.04° between two successive emitted laser beams. This ensured a high density  
227 of points and detailed scanning in those cave sections where terrain and wall geometry are complex  
228 and rock boundaries are well detectable. The remaining 21 positions were located in the narrow  
229 passage from the Grande Galerie to the cave entrance of Tube. This was performed to geolocate the  
230 cave interior with the above-ground terrain surface and global geodetic coordinate system (i.e., global  
231 data registration) using the scanner's inbuilt GNSS receiver. In these narrow parts, the scanning density  
232 of Panorama 60 with an angular increment of 0.06° was sufficient to record the high level of cave  
233 surface detail. The data of the individual 47 scanning positions from the cave comprised almost 656  
234 million points in total. Subsequent mutual registration of the scanning positions was processed in the  
235 RiSCAN PRO software by RIEGL using the iterative closest point adjustment of the consecutive scans  
236 termed Multi-Station Adjustment (MSA) (Ullrich et al., 2003). The resulting internal data registration  
237 error (standard deviation) of mutual orientation of all scans was 6.7 mm. Points captured within the  
238 manufacturer-defined minimum scanning range of 2.5 m from the scanner were removed from further  
239 processing due to the high probability of positional inaccuracy. For obtaining the intensity values  
240 corresponding with the surface reflectance only, points recorded with the full emitted pulse captured  
241 as a single echo were used. The points recorded as multiple echoes or stray points with a high pulse

242 shape deviation were filtered out. After registration and filtering, the final point cloud comprised  
243 218,378,760 points with regular spacing set to 5 mm at a 10-meter range.

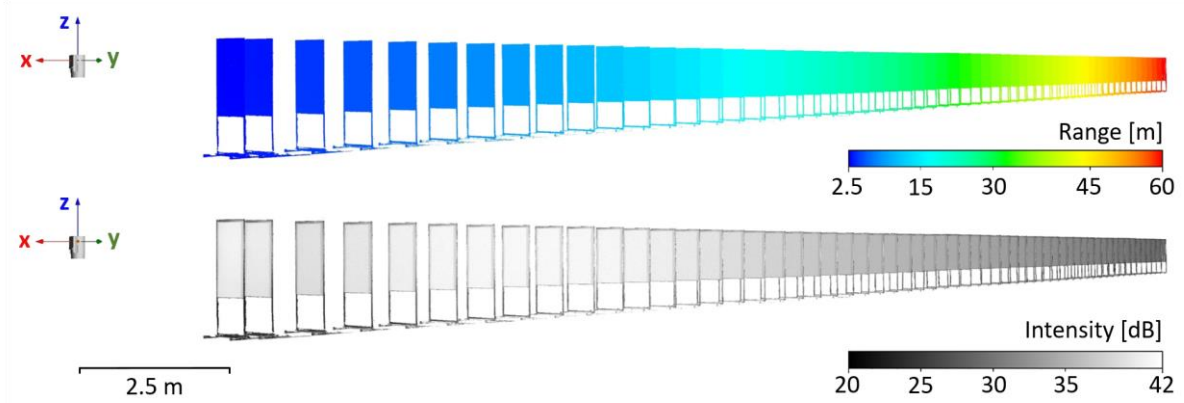


244  
245 Fig. 3. The top view and side view of the laser-scanned part of Gouffre Georges comprising the domes of Salle de la Famine  
246 and Grande Galerie accessible via the Tube entrance with marked TLS positions (red dots) and cave exterior (green).  
247

### 248 3.2. Intensity calibration experiment

249 Two sets of indoor experiments with different targets and varying acquisition geometry were  
250 conducted. The angular step width was set to Panorama 10 with an angular increment of  $0.01^\circ$  to  
251 ensure sufficient point density. The experimental settings are summarized in Tab. 2. The effect of  
252 distance on the recorded laser intensity was investigated by consecutive scanning of a white reference  
253 target with the size of  $1 \times 0.5$  m mounted on a panel stand. Scanning started at the target's position of  
254 2.5 m away from the scanner with the next scanning position at the distance of 3 m from the device,  
255 and then each position with an increment of 1 m up to 60 m for the last position (Fig. 4). For each scan,  
256 the target was perpendicular to the scanner to eliminate the influence of the incidence angle. During

257 the data processing, the incidence angles were computed and only the data of incidence angle below  
 258  $2^\circ$  were kept. Points captured beyond the white reference target, including the panel stand, were  
 259 removed.



260  
 261 Fig. 4. Scene of the calibration experiment showing the position of the scanner with respect to the scanned white target for  
 262 distances from 2.5 to 60 m. Recorded intensity decreases for longer ranges.

263 The second part of the experiment involved scanning samples of rocks collected from the cave  
 264 during the TLS survey. Each sample of marble and lherzolite was fixed on a revolvable base on a tripod  
 265 and scanned at a fixed distance of 5 m while varying the incidence angle. The initial  $0^\circ$  incidence angle  
 266 was maintained by a perpendicular position to the scanner. The samples were rotated along their  
 267 vertical axis by a  $10^\circ$  increment starting from  $0^\circ$  with respect to the scanner approaching  $90^\circ$  for the  
 268 last scan. The data of different incidence angles required for further analysis were selected during the  
 269 post-processing. Lastly, the samples were scanned from the 5 m distance and  $0^\circ$  incidence angle as  
 270 dry samples. Afterward, the rocks were soaked in water for simulating condensation of air humidity  
 271 on the rock surface in the cave environment and analysing its influence on the recorded intensity.

272 Tab. 2. Summary of the settings for the calibration experiments

	Range experiment	Incidence angle experiment
Scanned object	White reference target	Rock samples
Measurement program	Panorama 10	Panorama 10
Angular increment	$0.01^\circ$	$0.01^\circ$
Scanning range	2.5 – 60 m	5 m
Incidence angle	close to $0^\circ$	$0^\circ$ – close to $90^\circ$

### 278 3.3. Intensity correction

279 The character of the cave environment, especially the complex cave walls geometry and variable  
280 dimensions of the cave spaces can considerably influence the recorded intensity values. The  
281 temperature in a cave is influenced by the exchange of the cave air with the surface air, especially in  
282 passages near the entrance. Further in the cave, the temperature in most caves is close to the mean  
283 annual temperature of the region (James, 2013). The sensibility to temperature appears to be  
284 observable in the case of continuous-wave LiDAR instruments more than for the time-of-flight laser  
285 scanners. Errington and Daku (2017) have shown that the temperature compensation for radiometric  
286 correction is not necessary for the RIEGL device. However, a high concentration of particulates in the  
287 form of aerosol consisting of spores, dust, and water droplets is common in the cave, diminishing the  
288 laser pulse while propagating. The relative humidity varies depending on the vicinity of the entrance,  
289 ranging typically between 50 and 99 %. Deeper into the cave and further inside from the entrance, and  
290 where vadose seepage or flowing water is present, relative humidity is stable and close to 100 %  
291 (James, 2013). Under these circumstances, the atmospheric attenuation factor should be taken into  
292 consideration when laser intensity is to be corrected.

293 For analysing the corrected intensity values  $I_c$  proportional to the object surface reflectance  $I_c \propto$   
294  $f(\rho_\lambda)$ , other influencing factors should be eliminated. According to Equation (4) effects of the main  
295 physical parameters affecting the value of intensity can be described as a function of the surface  
296 reflectance  $f(\rho_\lambda)$ , distance effect  $f_1(R)$ , incidence angle  $f_2(\alpha)$  and for the presented research with  
297 the inclusion of the atmospheric attenuation effect  $f_3(\eta_{atm})$ :

$$298 \quad I = f(\rho_\lambda) * f_1(R) * f_2(\alpha) * f_3(\eta_{atm}) \quad (\text{Eq. 5})$$

#### 299 3.3.1. Correction of the distance effect

300 For the extended target, when the target is larger than the size of a laser footprint, so the entire  
301 energy of the laser footprint reflects on its surface, the backscattered signal power is inversely  
302 proportional to the squared range proceeding from the radar equation. However, the distance effect

303 is dependent on the instrumental properties and does not follow the  $1/R^2$  relation for near distances  
 304 less than 10 - 15 m varying for different laser scanners (Kaasalainen et al., 2011). The explanation for  
 305 the near-distance effect links to the defocusing effect of the receiver's optics (Fang et al., 2014). As the  
 306 continuous function in a closed interval can be approximated by the polynomial series, the range  
 307 function for the particular device can be data-driven from the reference measurements (Tan et al.,  
 308 2016). Therefore, the function of the distance effect  $f_1(R)$  for a specific instrument can be expressed  
 309 as:

$$310 \quad f_1(R) = \sum_{k=0}^n p_k R^k \quad (\text{Eq. 6})$$

311 where  $p_k$  stands for the polynomial coefficients, which were estimated from the measured intensity  
 312 of the reference target of the calibration experiment using least-squares adjustment.

### 313 **3.3.2. Correction of the incidence angle effect**

314 The incidence angle enclosed by the surface normal vector and the incident laser beam can be  
 315 calculated from the measured point coordinates and the scanner position. Assuming the surface has  
 316 perfect diffuse scattering characteristics (i.e., Lambertian surface), the backscattered intensity is  
 317 directly proportional to the cosine of the incidence angle (Nayar et al., 1991; Rees, 2013; Xu et al.,  
 318 2017). With increasing irregularities of the natural surfaces, the deviation from the Lambertian model  
 319 increases. These surfaces can be modelled using Oren-Nayar model based on the bidirectional  
 320 reflectance distribution function (Oren and Nayar 1995, 1994). The geometry of the natural surface is  
 321 described as a set of micro-facets with different orientations, returning more light in the direction of  
 322 the light source more than the surface following the Lambertian reflectance model. We used the Oren-  
 323 Nayar reflectance model implemented by Carrea et al. (2016) for the correction of the incidence angle  
 324 effect taking into account the microstructures as the surface roughness. As in TLS the incident and  
 325 reflected laser beams are coincident, function using the Oren-Nayar model can be simplified as follows:

$$326 \quad f_2(\alpha) = \cos(\alpha)(A + B \sin(\alpha) \tan(\alpha)) \quad (\text{Eq. 7})$$

$$327 \quad A = 1 - 0.5 \frac{\sigma_{slope}^2}{\sigma_{slope}^2 + 0.33} \quad B = 0.45 \frac{\sigma_{slope}^2}{\sigma_{slope}^2 + 0.09}$$



328 where coefficients in A and B were defined by Oren and Nayar (1995) and parameter  $\sigma_{slope}$  represents  
329 the standard deviation of slope angle distribution of the micro-facets. As  $\sigma_{slope}$  depends on the surface  
330 roughness only, it was estimated from the reference rock samples calibration experiment dataset of  
331 different incidence angles after correcting the distance effect, therefore the intensities were  
332 dependent primarily on the surface properties.

333 Since the scanned surface of the rock samples contained many irregularities causing deviations of  
334 the incidence angle, only sample points with the integer values starting from 0° with a 10° increment  
335 up to 80° and the last dataset containing points with a maximum incidence angle of 89° were selected  
336 for the  $\sigma_{slope}$  parameter estimation. Sampled dataset of the selected points was then repeatedly  
337 corrected for the incidence angle effect according to Equation (7) with the value of  $\sigma_{slope}$  from 0° to  
338 90° with an increment interval of 1°. By comparing the corrected intensity data, the  $\sigma_{slope}$  can be  
339 found as the optimal value minimizing the differences between intensities of different incidence  
340 angles.

### 341 **3.3.3. Correction of the atmospheric attenuation effect**

342 The atmospheric attenuation effect represents the diminution of the laser pulse while propagating  
343 through the atmosphere. It is related to the average atmospheric conditions during scanning, e.g.,  
344 humidity, ambient temperature, aerosol concentration, and it can be described as (Höfle and Pfeifer,  
345 2007; Ding et al., 2013):

$$346 \quad f_3(\eta_{atm}) = 10^{-2Ra/10000} \quad (\text{Eq. 8})$$

347 where  $a$  is the atmospheric attenuation coefficient. The attenuation of laser power for the  
348 horizontal propagation can range from 0.2 dB/km for clear conditions, 3.9 dB/km for haze conditions  
349 (Jelalian, 1992) up to more than 300 dB/km in very thick fog (Kim et al., 2001). For modelling the  
350 atmospheric effect without detailed meteorological data, an approximated value has to be defined.  
351 The attenuation coefficient  $a$  was determined for the selected cave parts based on the overlapping

352 data of different scanning positions after correcting the data for distance (range) and incidence angle  
353 effects.

### 354 **3.4. Denoising**

355 After applying the intensity correction, all scanning positions were merged into a single point  
356 cloud and again spatially homogenized with a regular point spacing of 5 mm to remove duplicate points  
357 in the overlapping parts of several scanning positions. The corrected intensity of the final point cloud  
358 was smoothed and outliers of extreme intensity were removed to obtain the most satisfactory results  
359 for intensity interpretation. This was achieved by neighbourhood analysis within 100 nearest points  
360 surrounding a location. Given the recorded point density such a neighbourhood represents an area of  
361 about 5x5 cm. Denoising, data classification, and visualization were performed in the CloudCompare  
362 software (Girardeau-Montaut, 2020).

363

### 364 **3.5. Point cloud classification and accuracy assessment**

365 The corrected intensity of the denoised final point cloud was used to differentiate the lithology  
366 apparent on the cave surface. For this task, the points were classified using threshold values derived  
367 from the experimental rock samples scanning dataset after applying the intensity correction for the  
368 scanning geometry influence. The atmospheric attenuation effect was neglected as the experimental  
369 rock samples scanning was performed in laboratory conditions at a short scanning range. We used the  
370 standard deviation with respect to the mean value of corrected intensity to classify the points as  
371 marble or Iherzolite. We also considered the assumption that the rock samples do not entirely  
372 represent the rock properties within the cave environment. Hence, after the denoising, threshold  
373 values were defined based on the entire TLS dataset. Using the histogram clustering, we calculated the  
374 histogram limits of the corrected intensity values to separate classes of marble and Iherzolite. We have  
375 also tested the Otsu method for thresholding (Otsu, 1979) by using the R plugin autothresholdr (Nolan

376 et al., 2022) and the K-means clustering, to which it is supposed to be equivalent in the multilevel  
 377 thresholding (Liu and Yu, 2009).

378 The accuracy of the point cloud classification was assessed by comparing with the photographs  
 379 taken in the approachable part of the Grande Galerie dome, where it was possible to ensure sufficient  
 380 light conditions. The photographs were spatially aligned to the intensity-corrected TLS point cloud in  
 381 the Meshlab software (Cignoni et al., 2008) via raster registration using mutual correspondences. The  
 382 points were assigned with the natural colour as RGB triplets and the outlines of the lherzolite and  
 383 marble rock were identified by visual interpretation of the natural colour. The classification accuracy  
 384 was assessed using a confusion matrix (Tab. 3). True positives (TP) refer to all coincidentally identified  
 385 marble points and true negative (TN) to all coincidentally identified lherzolite points according to the  
 386 RGB and corrected intensity values. False positives (FP) stand for all marble points falsely identified as  
 387 lherzolite and false negatives (FN) denote all lherzolite points falsely identified as marble.

388 Tab. 3. Confusion matrix used for the classification accuracy assessment.

		Reference	
		Marble	Lherzolite
Predicted	Marble	TP	FP
	Lherzolite	FN	TN

389  
 390 To evaluate the spatial correspondence between the classification based on the referenced RGB  
 391 values and corrected intensity values representing different rock types, three indices were calculated,  
 392 including marble accuracy (recall), lherzolite accuracy (specificity), and overall accuracy defined as  
 393 follows:

394 
$$\text{Marble accuracy} = \frac{TP}{TP+FN} \quad (\text{Eq. 9})$$

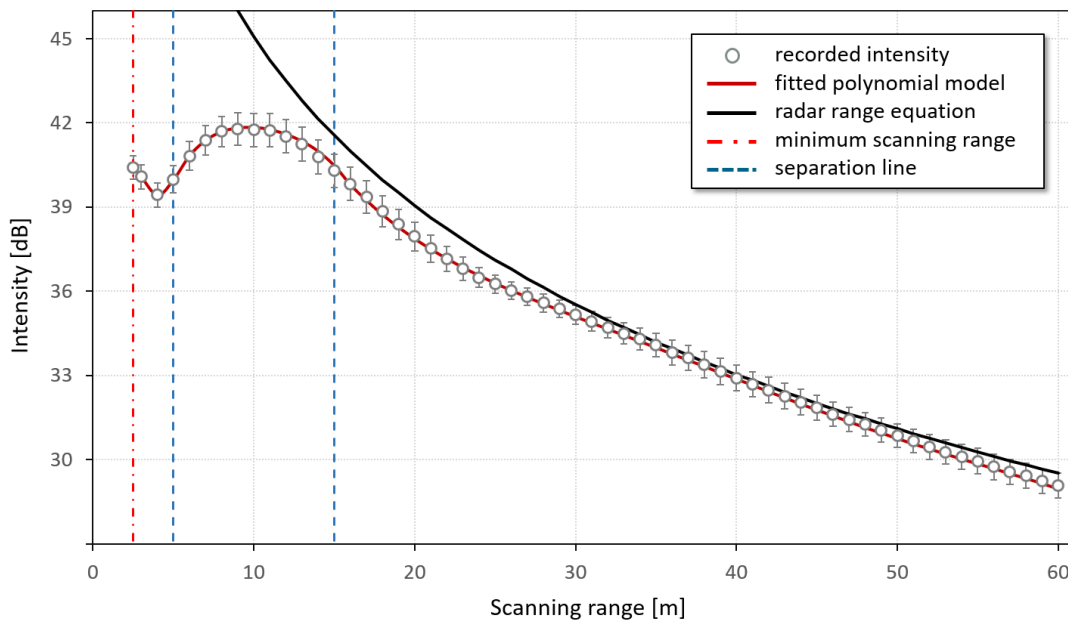
395 
$$\text{Lherzolite accuracy} = \frac{TN}{TN+FP} \quad (\text{Eq. 10})$$

396 
$$\text{Overall accuracy} = \frac{(TP+TN)}{(TP+FP+FN+TN)} \quad (\text{Eq. 11})$$

## 397 4. Results

### 398 4.1. Intensity correction

399 The final and unified single point cloud contains the corrected intensity values which resulted  
400 from applying the proposed approach. The originally recorded laser intensity was corrected  
401 individually for each of the 47 scans. First, the intensity data were corrected for the influence of the  
402 scanning range based on the polynomial model fitted to the acquired empirical relationship between  
403 range and mean intensity values from the calibration experiment (Fig. 5).



404

405 Fig. 5. Mean values of the recorded laser intensity of the reference target as the function of scanning range acquired by the  
406 RIEGL VZ-1000 in the laboratory experiment. The vertical bars show standard deviations of intensity measurements.

407 The relationship between scanning range and raw intensity recorded by RIEGL VZ-1000 markedly  
408 deviates from the radar range equation. Starting from the 2.5 m, declared as the minimum scanning  
409 range, intensity first rapidly decreases up to 4 m and then increases as a result of instrumental effect  
410 for the near distances. The peak is observed at the distance of around 10 m and then the recorded  
411 intensity values are considerably attenuated with the increasing range, approaching the radar  
412 equation function. To achieve a higher accuracy of fitting the model curve to the empirical data, we  
413 applied the piecewise fitting approach and divided the ranges into three intervals: below 5 m

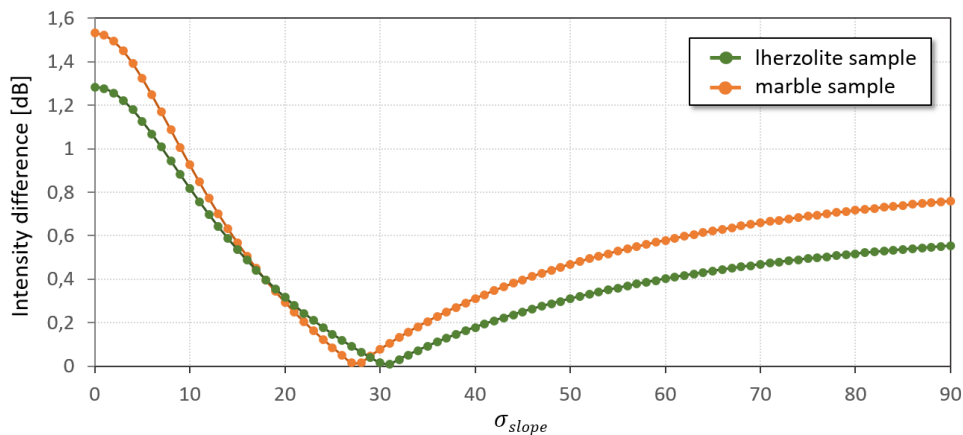
414 comprising 4 data points including the local minimum, 5 - 15 m, and above 15 m. The derived  
 415 polynomial coefficients are reported in Tab. 4.

416 Tab. 4. Polynomial coefficients used for the distance correction.

Range	$p_0$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$
R < 5 m	34.877	6.406	-2.279	0.241	-	-
5 - 15 m	27.106	4.725	-0.569	$3.1361 \times 10^{-2}$	$-7.016 \times 10^{-4}$	-
R > 15 m	64.714	-3.287	0.154	$-3.808 \times 10^{-3}$	$4.594 \times 10^{-5}$	$-2.158 \times 10^{-7}$

417

418 For correction of the incidence angle effect, parameter  $\sigma_{slope}$  was estimated from the rock  
 419 samples intensity data after applying the proposed distance effect correction. Subsequently, the  
 420 incidence angle correction was applied on the sample dataset of different incidence angles according  
 421 to Equation (7) with the varying  $\sigma_{slope}$  in the interval from 0° to 90°. Fig. 6 shows differences between  
 422 mean corrected intensity values of incidence angle in the interval of 0 - 10° and the entire range of 0°  
 423 to 89° for Iherzolite and marble samples. The lowest variation of intensities of 0.0154 [dB] for marble  
 424 was observed for  $\sigma_{slope}$  of 27° and 0.0083 [dB] for Iherzolite at  $\sigma_{slope}$  of 31°. The mean  $\sigma_{slope}$  value  
 425 of 29° was determined as the optimal value minimizing the variation of corrected intensity values of  
 426 the observed incidence angles for both materials.

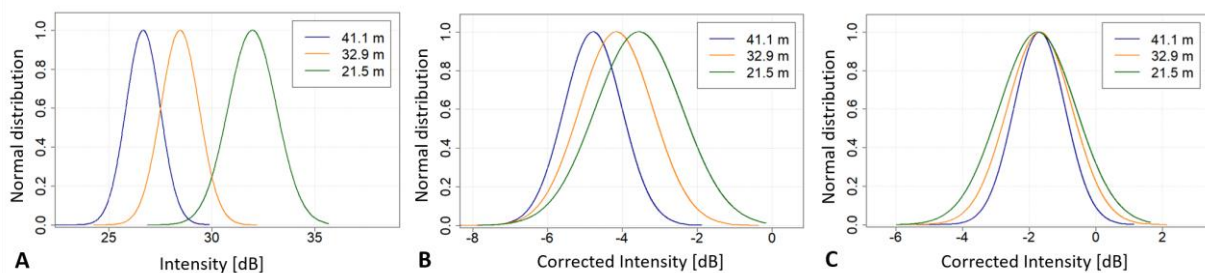


427

428 Fig. 6. Differences between intensity values of various incidence angles corrected using different  $\sigma_{slope}$  values for Iherzolite  
 429 and marble samples.

430 Determination of the  $\sigma_{slope}$  parameter for each material might improve the results of the  
431 intensity correction, however, the implementation is unfeasible without prior material differentiation  
432 within the entire dataset. Even a single optimized parameter significantly reduces the overcorrection  
433 of the high incidence effect for the rock surfaces with non-Lambertian behaviour (Carrea et al., 2016).  
434 Therefore a single  $\sigma_{slope}$  value of  $29^\circ$  was determined to be applicable for the entire Gouffre Georges  
435 data set minimizing the incidence angle effect.

436 After applying the primary correction to eliminate the influencing factors related to the scanning  
437 geometry on each of 47 cave scans, differences of the intensity values were observed in the  
438 overlapping parts of the scans, attributed to the energy loss due to the atmospheric conditions. Fig. 7  
439 and Tab. 5 demonstrate this problem on a representative dataset of 3 overlapping scans of a wall  
440 comprising of marble in the Salle de la Famine dome acquired within distances of 21.5, 32.9, and 41.1  
441 m.



442 **A** **B** **C**

443 Fig. 7. Distribution of laser intensity data recorded from three overlapping scanning positions, i.e., three different ranges  
444 (41.1 m, 32.9 m, 21.5 m) capturing the same cave wall in the Salle de la Famine dome: (A) raw intensities, (B) intensities after  
445 the correction for distance and incidence angle, (C) final intensity values after the correction for the atmospheric attenuation.

446  
447  
448  
449  
450  
451  
452

453 Tab. 5. Summary statistics of the intensity distribution presented in Fig. 7: (A) raw intensities, (B) intensities after the  
 454 correction for distance and incidence angle, (C) final intensity values after the correction for the atmospheric attenuation.  
 455 The low index values refer to the scanning ranges as in Fig. 7.

	A <sub>41.1</sub>	A <sub>32.9</sub>	A <sub>21.5</sub>	B <sub>41.1</sub>	B <sub>32.9</sub>	B <sub>21.5</sub>	C <sub>41.1</sub>	C <sub>32.9</sub>	C <sub>21.5</sub>
Minimum	22.360	24.240	26.850	-9.070	-8.303	-7.887	-5.920	-5.813	-5.999
Maximum	29.860	32.200	35.670	-1.880	-0.364	-0.162	1.143	2.128	1.648
Range	7.500	7.960	8.820	7.190	7.939	7.726	7.063	7.940	7.647
Mean	26.660	28.450	31.970	-4.782	-4.163	-3.556	-1.681	-1.679	-1.743
Std	0.832	0.929	1.175	0.777	0.978	1.163	0.771	0.975	1.158
1st quartile	26.180	27.900	31.280	-5.234	-4.756	-4.277	-2.128	-2.272	-2.468
Median	26.740	28.510	32.030	-4.718	-4.075	-3.459	-1.616	-1.591	-1.642
3rd quartile	27.230	29.120	32.780	-4.255	-3.481	-2.725	-1.154	-0.996	-0.920

456  
 457 The three curves of raw intensity distribution do not match and the highest intensity occurs at the  
 458 shortest range and vice-versa (Fig. 7A). After the corrections for distance and incidence angle effect,  
 459 the differences between the distribution curves are reduced although the range dependence is still  
 460 apparent (Fig. 7B). The reason is in the energy loss of a laser pulse due to the atmospheric attenuation  
 461 with increasing distance from the scanner. The atmospheric attenuation coefficient was determined  
 462 for the particular cave sections based on selected small areas of overlapping data with consistent  
 463 intensities at each scanning position. That prevented the confusion of diverse intensity values caused  
 464 by the atmospheric attenuation with the influence of the different surface materials. Depending on  
 465 the distance to the cave entrance or the waterflow, the coefficient of the atmospheric attenuation  $\alpha$   
 466 varied for the selected overlaps of scans from 0.7 at 10 m to 1.8 dB for the maximal scanning ranges  
 467 of 60 m. After correcting the atmospheric effect according to Equation (8), the intensity values at the  
 468 overlapping scanning positions of different scanning ranges became very similar (Fig. 7C). We  
 469 conducted the Kruskal-Wallis rank sum test to ascertain whether the three sample groups within the  
 470 batch A, B, C are significantly different at  $p=0.01$ . This test was preferred from analysis of variance test

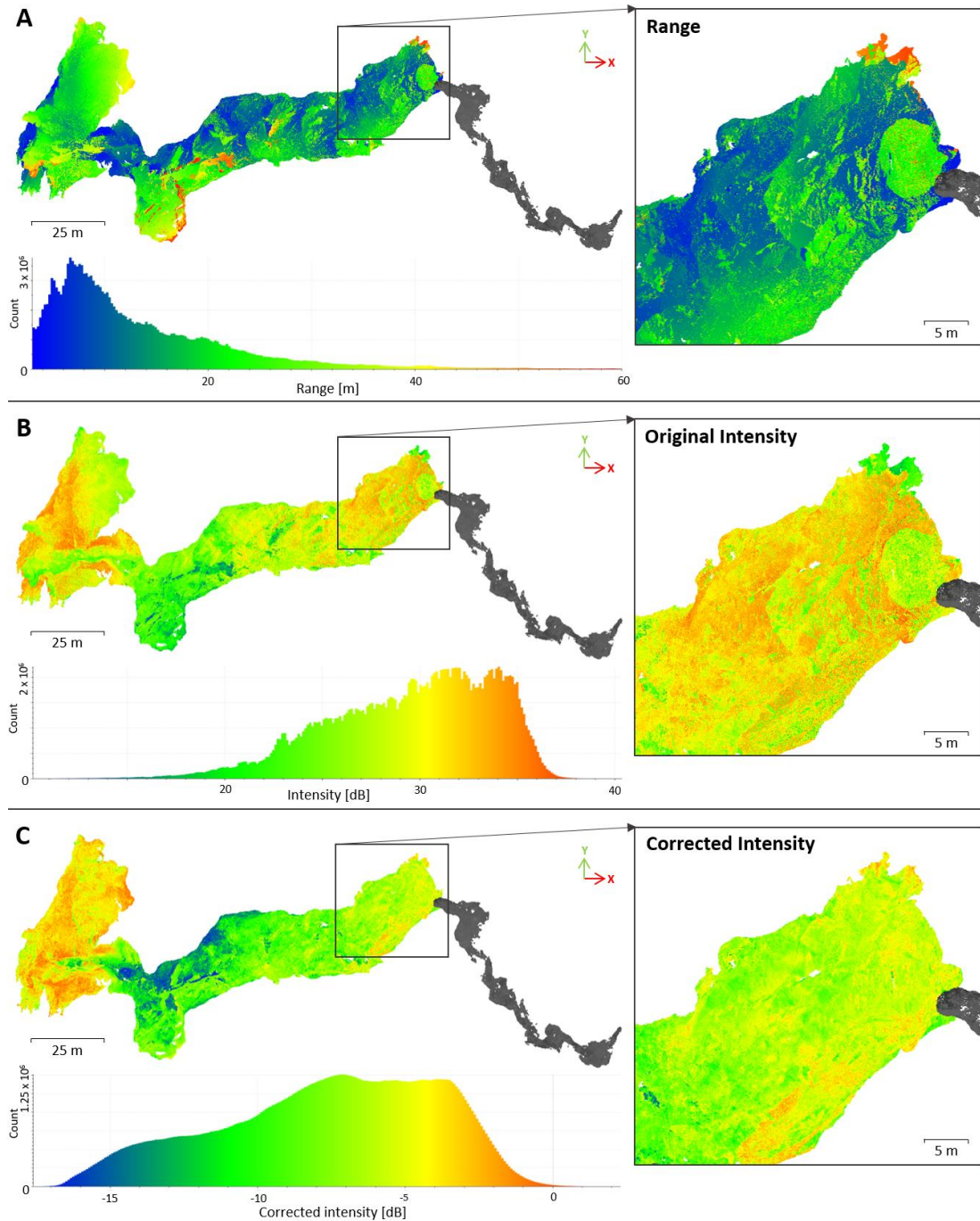
471 as the residuals of some sample groups were not normally distributed according to the Shapiro-Wilks  
 472 tests and quantile-quantile plots. The results are reported in Tab. 6. The resulting p-value increases  
 473 from A to C sample group and it is higher than the significance  $p=0.01$  for the batch C. This supports  
 474 the statistically significant similarity of the three groups in batch C.

475 Tab. 6. Results of the Kruskal-Wallis rank sum test of sample groups within the batches A (raw intensity), B (distance and  
 476 incidence angle corrected), C (atmospheric attenuation corrected).

477	<b>Sample groups</b>	<b>chi-sq</b>	<b>Degree of freedom</b>	<b>p-value</b>
478	A <sub>44.1</sub>	14.013	2	0.000906
	A <sub>32.9</sub>			
479	A <sub>21.5</sub>			
480	B <sub>44.1</sub>	11.942	2	0.002552
	B <sub>32.9</sub>			
481	B <sub>21.5</sub>			
482	C <sub>44.1</sub>	9.0364	2	0.010912
	C <sub>32.9</sub>			
	C <sub>21.5</sub>			

483 The range dependence of the original raw intensity values is most evident when combining the  
 484 individual scanning positions (Fig. 8A, 8B). Data shadows occurred primarily in the most constricted  
 485 parts and also in vertical transitions, where stabilization of the scanning positions was difficult. In this  
 486 case, no 3D surface model was interpolated from the TLS data. Even though it would interpolate points  
 487 in the areas of evident data shadows, it would also distort the resulting interpretation of real recorded  
 488 intensity values and the mapped objects. In the narrow passage leading from the Tube entrance down  
 489 to the Grande Galerie dome, the data scanned closer to the scanner than the recommended minimum  
 490 scanning range of 2.5 m were kept for visualization purposes. In these confined cave sections, the TLS  
 491 captured points at a distance of ca. 0.9 m, but with a certain position inaccuracy. Therefore, the further  
 492 results on the interpretation of the corrected intensity data concern the large domes of Grande Galerie  
 493 and Salle de la Famine.





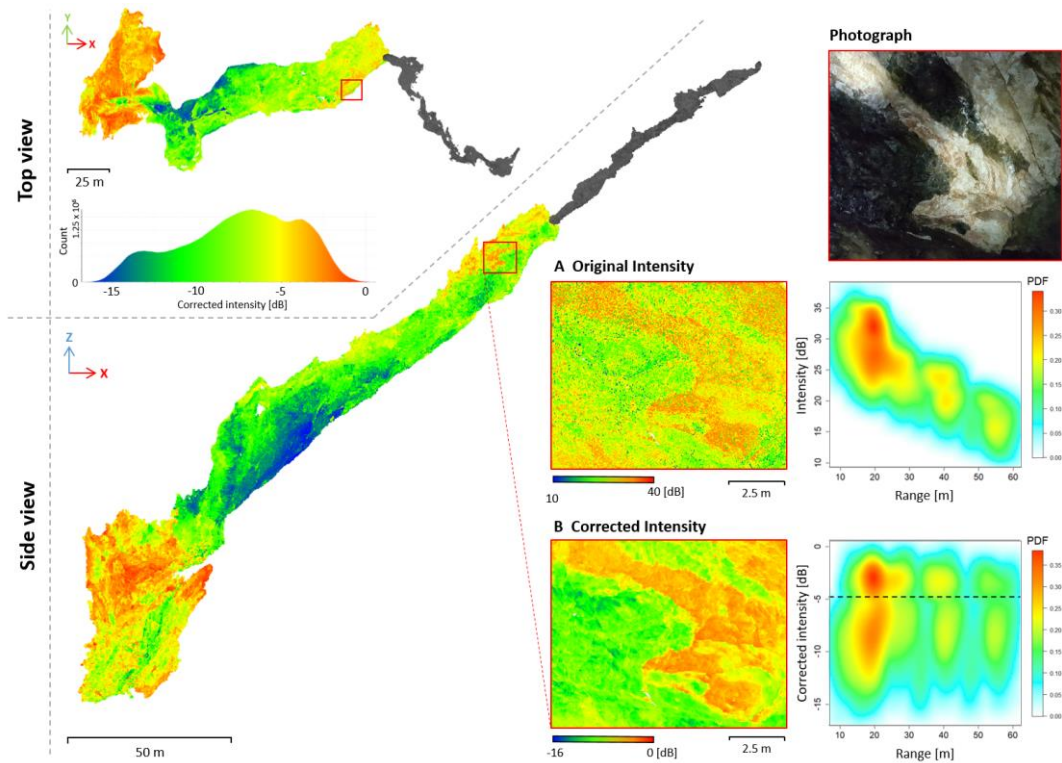
494

495 Fig. 8. Final point cloud comprising of 47 scanning positions coloured by the (A) range values (B) original intensity (C)  
 496 corrected intensity.

497 The original intensity data of each scanning position rapidly decreases with the longer scanning  
 498 ranges and the highest intensity values are primarily near the centre of the scanned point cloud (Fig.  
 499 8B). Merging these datasets into a single point cloud without any correction would lead to the  
 500 misinterpretation of the surface materials. After eliminating the distance, incidence angle, and

501 atmospheric attenuation effects, the resulting corrected intensity values depend primarily on the  
502 surface material. Even though data before applying the correction indicates different materials,  
503 acquired corrected intensity values delimited material borders and sections with water occurrence and  
504 the watercourse in the lower part of the Grande Galerie dome. Areas in the vicinity of the watercourse  
505 evince the lowest intensity values as a consequence of the water droplets. Similarly, the histogram  
506 distribution of the corrected intensity indicates the presence of three main different types of surface  
507 materials.

508 By applying the denoising process of nearest neighbour analysis, outliers comprising 0.015%  
509 points were removed from the entire dataset. After this step, the histogram distribution is also more  
510 delimited representing intensity limits for the different types of materials (Fig. 9). Although smoothing  
511 may increase the uncertainty of the boundary position between the observed rocks by its shifting in  
512 the direction of the prevailing values, it allows better distinguishing of the significant areas of different  
513 rock types contact. One of these most noticeable features, the significant contact of lherzolite and  
514 marble is visible in the upper part of the Grande Galerie dome. The indicator of this strong contrast  
515 was visually recognizable from the original intensity values. However, the influence of the distance  
516 effect is apparent resulting in the intensity values decrease with increasing range for the displayed  
517 scanning positions acquired from about 20, 40, and 55 metres described within the upper right  
518 scatterplot of the originally recorded intensity (Fig. 9A). After the intensity correction, the dependence  
519 on distance is eliminated and the boundaries are clearly demarcated, the overlapping data of different  
520 ranges evince similar intensity values (Fig. 9B). The distribution indicates two distinct materials in the  
521 scatterplot of corrected intensity values with dashed line representing the boundary between them.  
522 For high point density of each scanning position, the points are expressed by values of the probability  
523 density function (PDF).



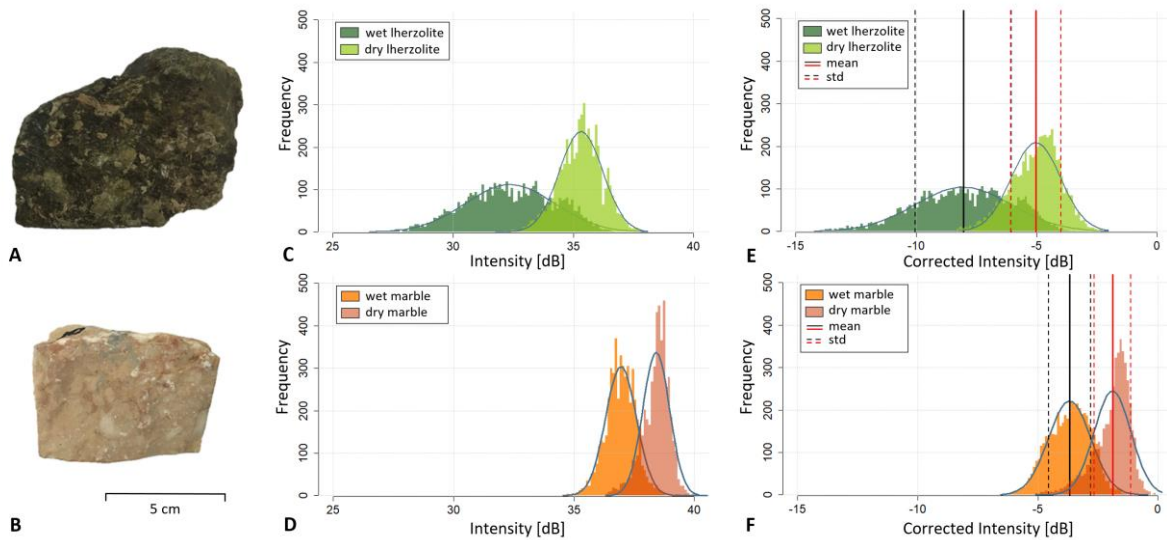
524

525 Fig. 9. Top and side view of the selected Gouffre Georges cave parts point cloud with corrected intensity values after removing  
 526 the outliers by the denoising, revealing the lithological contacts and the strong water occurrence. The dashed line in the  
 527 scatterplot indicates the boundary between marble and Iherzolite.

528

#### 529 **4.2. Lithological differentiation based on the corrected laser intensity**

530 The primary lithological differentiation was performed by classifying the 3D point cloud based on  
 531 the determined intensity intervals for the observed materials. The first classification approach was  
 532 based on the thresholds defined by the mean and standard deviation of the corrected intensities of  
 533 the rock samples (Tab. 7), thus derived in the lab experiment. Moistening the rock samples surfaces  
 534 caused a shift towards lower intensity values for both rock types as a result of absorbing the near-  
 535 infrared wavelengths by the water (Fig. 10).



536

537 Fig. 10. Results of scanning of the (A) lherzolite and (B) marble samples: distribution of the original intensities for the samples  
 538 of (C) lherzolite and (D) marble, and the distribution of the corrected intensities for the samples of (E) lherzolite and (F)  
 539 marble, each observed under the dry and wet surface conditions. The blue bell-shaped curve indicates normal distribution  
 540 model based on the sample mean and standard deviation as reported in Tab. 7 and vertical dashed and solid lines,  
 541 respectively.

542

543 Tab. 7. Mean and standard deviation of the corrected intensity values of the scanned rock samples.

Sample	Condition	Mean	Std
Lherzolite	wet	-8.043	1.995
	dry	-5.036	1.022
Marble	wet	-3.676	0.875
	dry	-1.895	0.763

544

545 The intensity values of lherzolite decreased on average by 3 dB and marble by 1.8 dB, while the  
 546 decrease in the surface reflectivity represents almost 13 % for lherzolite and 22 % for marble. Different  
 547 rate of decline is related to the amount of applied water, the rock properties, and its ability to absorb  
 548 the water. The laser intensities of the samples for both rock types remained distinguishable for both  
 549 wet and dry conditions after the intensity correction. Tab. 8 reports the statistics of Welch Two sample  
 550 t-test with null hypothesis that the two samples are not significantly different at  $p=0.01$ . Results of all  
 551 four combinations allow for accepting the statistically significant difference between the wet and dry

552 samples of the two rock types. Therefore, we could further use the corrected intensity values for  
 553 differentiating the Iherzolite and marble even in the cave environment.

554 Tab. 8. Results of Welch Two Sample t-test of difference between corrected laser intensities of wet and dry samples of  
 555 Iherzolite and marble.

	<b>Combination of two samples</b>	<b>t-value</b>	<b>Degrees of freedom</b>	<b>p-value</b>
557	Iherzolite dry and Iherzolite wet	-97.072	7723	< 2.2e-16
	Marble dry and marble wet	-106.10	9465	< 2.2e-16
558	Iherzolite dry and marble dry	-175.66	9796	< 2.2e-16
559	Iherzolite wet and marble wet	-143.83	7250	< 2.2e-16
560	Iherzolite dry and marble wet	-72.359	10179	< 2.2e-16
561	Iherzolite wet and marble dry	-206.26	6841	< 2.2e-16

562 The threshold intensities were determined independently of the surface wetness conditions to  
 563 classify the LiDAR points into the two rock classes within the cave environment. The intensity limit was  
 564 set from -10.038 to -4.014 dB and -4.551 to -1.132 dB, for Iherzolite and marble respectively. The points  
 565 with intensities below the lower threshold of Iherzolite (-10.038 dB) were considered as strongly  
 566 influenced by the presence of water as their intensity values were similar to the values in the lower  
 567 part of Grande Galerie with the watercourse. Hence, the points were assigned to the class of water  
 568 (Fig. 9C). With the slight overlap of the lower-intensity threshold for the wet marble and the upper-  
 569 intensity threshold of the dry Iherzolite, a misinterpretation of the surface materials is expected for  
 570 5.14 % of points from the entire dataset. The second classification approach used the intensity limits  
 571 determined from the histogram distribution of the corrected intensity values of the entire final point  
 572 cloud (Fig. 9), thus derived from recordings from the natural cave environment. The threshold of -4.783  
 573 dB was used to distinguish Iherzolite and marble and -12.207 dB was applied to separate Iherzolite  
 574 from water class. The other approaches also proceeded from the entire cave point cloud. The third  
 575 classification used the Otsu method to define the threshold of -6.736 dB between marble and Iherzolite  
 576 after removing the water class based on the previous limit. And the fourth classification method, K-

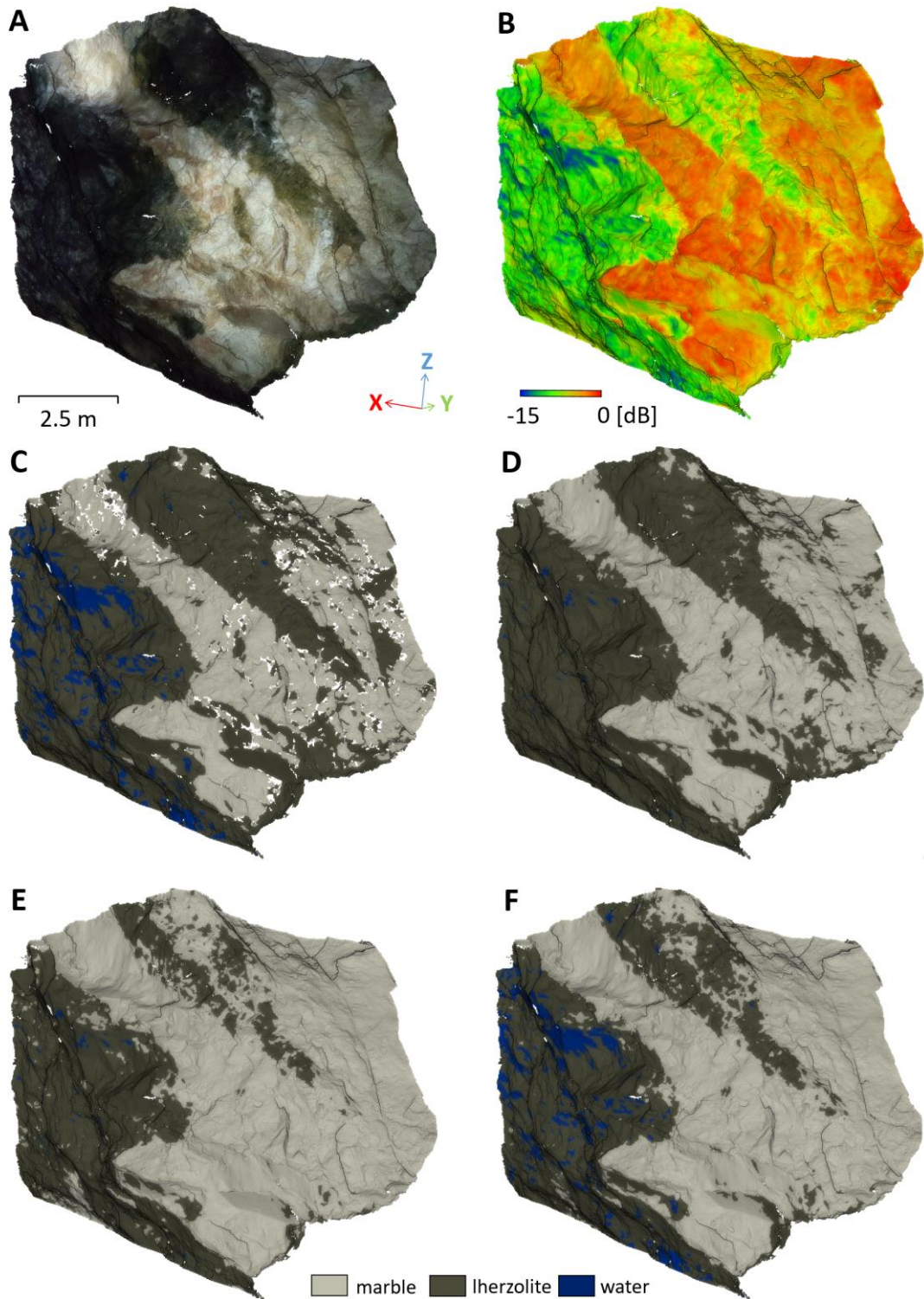
577 means clustering, determined the threshold of -5.996 dB between marble and lherzolite and -10.231  
578 dB between lherzolite and the water class.

579 The classification assessment is presented on the example of the upper part of Grande Galerie,  
580 where there is no presumable strong water occurrence, on a wall with no present mud and flowstone  
581 coverage (Fig. 9, Fig. 11A). The assessment of the classification accuracy was performed on the selected  
582 point cloud containing over 1.896 million points with assigned RGB values and three classes  
583 determined from the lab and the cave environment data. As the water occurrence is not recognizable  
584 from the RGB information, the points representing this class identified by all approaches were not  
585 included in the assessment. This class comprised 108,380 points (5.71 %) based on the first approach  
586 and 5,105 points (0.26 %) based on the second and third classification approach and 89,493 points  
587 (4.72 %) based on the fourth classification method. Tab. 9 reports the calculated classification accuracy  
588 with the measures defined in Section 3.5. For all approaches, the overall accuracy is high (over 84 %).  
589 The most successful results with highest overall accuracy was achieved by using the second approach  
590 for which the intensity limits were determined from the point cloud histogram distribution of the  
591 corrected intensity values.

592 Tab. 9. Classification accuracy of the four approaches based on the lab-recorded intensity (Classification 1), the thresholds  
593 from the histogram distribution of the corrected intensity of the cave point cloud (Classification 2), the Otsu thresholding  
594 (Classification 3), the K-means clustering (Classification 4).

	<b>Marble Accuracy</b>	<b>Lherzolite Accuracy</b>	<b>Overall Accuracy</b>
Classification 1	97.96 %	80.90 %	86.18 %
Classification 2	95.19 %	85.47 %	89.24 %
Classification 3	74.51 %	97.41 %	84.03 %
Classification 4	82.90%	95.52 %	88.73 %

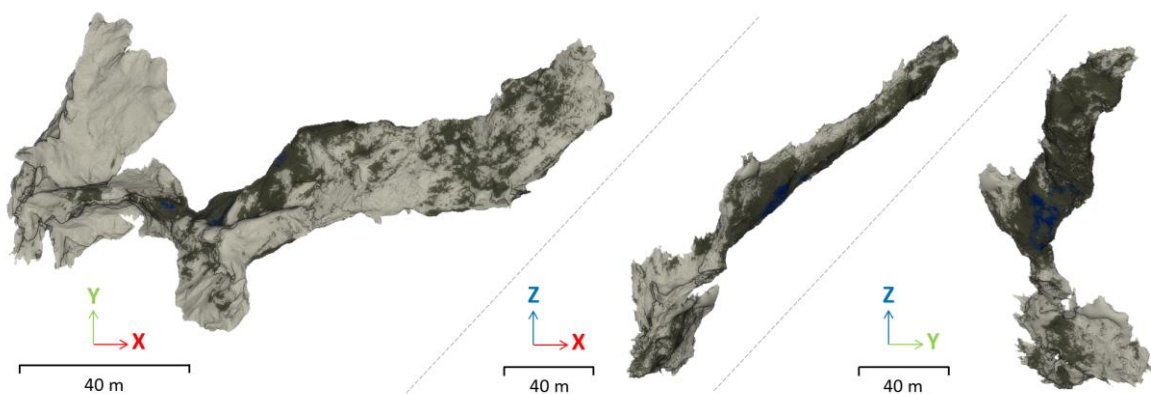
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596

597 Fig. 11. Section of the 3D TLS point cloud from Grande Galerie (Fig. 9) comprising a cave wall coloured by (A) photographed  
 598 natural colours with dark Iherzolite and light marble, (B) the corrected intensity values, (C) classification based on the  
 599 thresholds from corrected intensities of the rock samples, (D) classification based on the thresholds from the histogram of  
 600 the corrected intensity of the cave, (E) classification based on the Otsu thresholding, and (F) classification based on the K-  
 601 means clustering.

602 The classification results for the entire cave environment are presented for the most successful  
603 classification approach based on the histogram clustering. Proceeding from the histogram distribution  
604 of the corrected intensity values, marble represents 24.49 %, Iherzolite 63.43 %, and water 12.08 % of  
605 points in the analysed dataset. The classification was focused on the three, most dominant classes, not  
606 considering other surface materials or surface changes. As it was applied on the entire cave dataset  
607 including the cave floor, the occurrence of mud is presumable. The problematic are also the areas in  
608 the vicinity of the watercourse, which evince the lowest intensity values as a consequence of the water  
609 droplets. The strong water occurrence near the water course or in a form of condensed water mainly  
610 on the ceiling could significantly influence the intensity values and subsequent material differentiation.  
611 This can be partially solved by the local histogram clustering applied on the selected cave sections.  
612 After segmenting the cave to the central part strongly influenced by water and parts with minimum  
613 water occurrence during the data acquisition, i.e., Salle de la Famine dome and the upper part of the  
614 Grande Galerie or even smaller section, e.g., ceiling or cave floor to discriminate the scree material,  
615 the threshold values for the cave section with strong water occurrence were defined individually based  
616 on the repeated histogram clustering. After combining all of these segments, the cave point cloud  
617 comprises 59.11 % marble, 40.42 % Iherzolite, and only 0.47 % of points were assigned as water (Fig.  
618 12). The water occurrence caused the decrease of the intensity values of 3 to almost 5 dB, therefore  
619 the marble in some section was assigned as Iherzolite and Iherzolite was classified as water.



620  
621 Fig. 12. Results of the material differentiation based on the histogram clustering applied individually on the segmented cave  
622 sections.



## 623 5. Discussion

624 Results of the range calibration experiment performed with a reference target evinced that the  
625 TLS recorded intensity values are not inversely proportional to the squared value of range, especially  
626 at near distances. This relationship may even vary for different TLS devices. The range dependence is  
627 also present for the attenuation effect, which is besides the scanning range influenced by the vicinity  
628 of the entrance or waterflow. Therefore, the irregular morphology and cave variable dimensions  
629 captured by multiple scanning positions determine the need for a complex intensity correction  
630 approach. Our results also show that TLS intensity data is applicable for distinguishing the rock material  
631 of the scanned surface in the cave environment. Laser scanning of the cave thus provides a highly  
632 detailed perception of the 3D geometry and also information about the properties of the rock surface.

633 The data acquired by the one-wavelength LiDAR instrument may be limited due to the restricted  
634 spectral information. Overlapping intensity distributions of mapped rock types restrict the material  
635 differentiation (Matasci et al., 2015). Marble and lherzolite evince distinct reflectances at 1,550 nm  
636 enabling the lithological classification using the presented approach. Rock types with small spectral  
637 differences at one wavelength can be distinguished based on the spectral information from different  
638 channels (Kaasalainen and Malkamäki, 2020; Chen et al., 2018). However, multi-wavelength TLS  
639 instrument is not commercially available yet.

640 Recent studies demonstrated the applicability of digital photogrammetry for acquiring 3D cave  
641 datasets for analysis of morphologies and geological structures, using a digital camera for ground-  
642 captured photographs (De Waele et al., 2018) or combined with an unmanned aerial vehicle (UAV)  
643 (Triantafyllou et al., 2019). Also, a combination of digital photogrammetry and laser scanning was  
644 successfully used in a cave to reveal painted black rock art behind graffiti and moss (Jalandoni et al.,  
645 2021). The cave dimensions and primarily the light conditions in the cave are the main limiting factor  
646 of applying passive remote sensing. Artificial illumination must be used either in the form of a flashlight  
647 on the camera or spotlights in the scene. Also, the irregularity and complexness of cave morphology  
648 introduce shadows and overexposure, resulting in low-quality 3D model reconstruction. The exposure

649 variation can lead to the incorrect differentiation of materials and can be problematic for further  
650 analysis and interpretation of lithology. The extent of cave space in Gouffre Georges was in the order  
651 of tens and hundreds of meters which is too large to ensure optimum illumination. In the abseiling  
652 parts, the use of photography is hardly possible.

653 Material differentiation and classification of the point cloud to map not only the observed  
654 Iherzolite and marble based on the corrected intensity has some limitations. The presence of water  
655 considerably affects the discrimination of mapped materials as the moisture content causes decrease  
656 of the intensity values. The water occurrence covering the rocks in the close vicinity of the watercourse  
657 complicates the material differentiation based on the corrected intensity in the lower part of the  
658 Grande Galerie. Consequently, in these parts of the cave, the determined threshold values of corrected  
659 intensity for marble can be assigned as Iherzolite. This fact indicates the limitation of using of the  
660 corrected intensity for the material differentiation in the water course surroundings or places of  
661 significant water seepage characteristic for the cave environment. Therefore, it is necessary to map  
662 and interpret the wet parts as well as in case of the flowstone or mud occurrence in the cave  
663 individually and use supplementing data sources and field measurements. The results of the LiDAR  
664 survey in the Gouffre Georges cave show that intensity data, albeit a by-product of the survey and  
665 acquired 3D geometry, provide valuable information for detailed mapping of rock types in  
666 underground environments.

## 667 **6. Conclusion**

668 The presented case study in Gouffre Georges demonstrated an efficient and complex intensity  
669 correction procedure for data acquired in the cave environment for mapping the surface material. The  
670 key step in this approach is the elimination of the influencing factors related to the scanning geometry,  
671 surface roughness, and atmospheric attenuation. Such conditions have to be considered before  
672 applying the recorded laser intensity to mapping.

673 The geological and lithological setting of the Gouffre Georges is rather unique in the whole Pyrenean  
674 belt since it allows to observe with a spectacular continuity and without any vegetal cover the contact  
675 between marble and Iherzolite. The laser scanning of the cave geometry assigned with the corrected  
676 laser intensity is an invaluable tool to unravel the complexity of such a lithological environment. This  
677 work contributes to the growing body of studies demonstrating the potential of LiDAR remote sensing  
678 even in the underground environment by showing that it has the potential to differentiate material  
679 using laser intensity data. Furthermore, the presented approach is transposable to artificial  
680 underground spaces, such as tunnels, cellars, or mining shafts, which are similar to caves in terms of  
681 environmental conditions.

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683

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## 929 **List of Figure Captions**

930 Fig. 1. Location of the Gouffre Georges. Selected parts for the TLS survey (red) of the Gouffre Georges cave complex (orange)  
931 are accessible via the entrance Tube at the altitude of 1390 m. The photographs A, B, C illustrate the cave space and surveying  
932 conditions in different parts located in the map. Base map: ©2021 Esri

933

934 Fig. 2. Schematic workflow of processing the data obtained by TLS survey and experimental scanning and subsequent  
935 parameter estimation for the intensity correction.

936

937 Fig. 3. The top view and side view of the laser-scanned part of Gouffre Georges comprising the domes of Salle de la Famine  
938 and Grande Galerie accessible via the Tube entrance with marked TLS positions (red dots) and cave exterior (green).

939

940 Fig. 4. Scene of the calibration experiment showing the position of the scanner with respect to the scanned white target for  
941 distances from 2.5 to 60 m. Recorded intensity decreases for longer ranges.

942

943 Fig. 5. Mean values of the recorded laser intensity of the reference target as the function of scanning range acquired by the  
944 RIEGL VZ-1000 in the laboratory experiment. The vertical bars show standard deviations of intensity measurements.

945  
946 Fig. 6. Differences between intensity values of various incidence angles corrected using different  $\sigma_{slope}$  values for Iherzolite  
947 and marble samples.

948  
949 Fig. 7. Distribution of laser intensity data recorded from three overlapping scanning positions, i.e., three different ranges  
950 (41.1 m, 32.9 m, 21.5 m) capturing the same cave wall in the Salle de la Famine dome: (A) raw intensities, (B) intensities after  
951 the correction for distance and incidence angle, (C) final intensity values after the correction for the atmospheric attenuation.

952 Fig. 8. Final point cloud comprising of 47 scanning positions coloured by the (A) range values (B) original intensity (C)  
953 corrected intensity.

954  
955 Fig. 9. Top and side view of the selected Gouffre Georges cave parts point cloud with corrected intensity values after removing  
956 the outliers by the denoising, revealing the lithological contacts and the strong water occurrence. The dashed line in the  
957 scatterplot indicates the boundary between marble and Iherzolite.

958  
959 Fig. 10. Results of scanning of the (A) Iherzolite and (B) marble samples: distribution of the original intensities for the samples  
960 of (C) Iherzolite and (D) marble, and the distribution of the corrected intensities for the samples of (E) Iherzolite and (F)  
961 marble, each observed under the dry and wet surface conditions. The blue bell-shaped curve indicates normal distribution  
962 model based on the sample mean and standard deviation as reported in Tab. 7 and vertical dashed and solid lines,  
963 respectively.

964  
965 Fig. 11. Section of the 3D TLS point cloud from Grande Galerie (Fig. 9) comprising a cave wall coloured by (A) photographed  
966 natural colours with dark Iherzolite and light marble, (B) the corrected intensity values, (C) classification based on the  
967 thresholds from corrected intensities of the rock samples, (D) classification based on the thresholds from the histogram of  
968 the corrected intensity of the cave, (E) classification based on the Otsu thresholding, and (F) classification based on the K-  
969 means clustering.

970  
971 Fig. 12. Results of the material differentiation based on the histogram clustering applied individually on the segmented cave  
972 sections.

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