

# Quantifying the tropospheric ozone radiative effect and its temporal evolution in the satellite-era

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Key Points:
<ul> <li>Using satellite data and model simulations, we quantify the long-term (2008-2017) global average tropospheric ozone radiative effect to range between 1.21 and 1.28 W/m<sup>2</sup>.</li> </ul>
<ul> <li>Satellite/modelled long-term trends in the tropospheric ozone radiative effect have remained stable (2008-2017) yielding no substantial changing influences on climate.</li> </ul>
<ul> <li>Meteorological variability has been important in stabilising the global tropospheric ozone radiative effect with time.</li> </ul>
Abstract:
Using state-of-the-art satellite ozone profile products, and chemical transport model, we provide an updated estimate of the tropospheric ozone radiative effect (TO₃RE) and observational constraint or its variability over the decade 2008-2017. Previous studies have shown the short-term (i.e. a few years) globally weighted average TO₃RE to be 1.17±0.03 W/m², while our analysis suggests that the





that ozone precursor emissions (meteorological factors) have had limited (substantial) impacts on the long-term tendency of globally weighted average TO<sub>3</sub>RE. Here, the meteorological variability in the tropical/sub-tropical upper troposphere is dampening any tendency in TO<sub>3</sub>RE from other factors (e.g. emissions, atmospheric chemistry).

### Plain Language Summary:

Tropospheric ozone is a potent air pollutant and an important short-lived climate forcer (SLCF). It is a secondary pollutant formed through chemical reactions of precursor gases and sunlight. As a SLCF, it influences the incoming solar short-wave radiation and the outgoing long-wave radiation in the upper troposphere (approximately at altitudes of 10-15 km) where the balance between the two yields a net positive (i.e. warming) effect at the surface. The majority of previous estimates of the tropospheric ozone radiative effect (TO<sub>3</sub>RE) have been quantified from atmospheric chemistry climate model simulations. However, satellite retrievals of tropospheric ozone in recent decades have provided the opportunity to estimate these model TO<sub>3</sub>RE estimates. In this study, we utilise satellite ozone profile retrievals from the Infrared Atmospheric Sounding Interferometer (IASI), onboard the MetOp-A satellite, to derive a long-term average TO<sub>3</sub>RE estimate of 1.21-1.28 W/m². While this builds upon previous studies (e.g. TO<sub>3</sub>RE estimates of 1.17±0.03 W/m²), the improved spatial coverage and temporal record of IASI also allows for the assessment of TO<sub>3</sub>RE variability and tendencies on a decadal scale. Here, we find negligible trends in the TO<sub>3</sub>RE (2008-2017) suggesting that the contribution of tropospheric ozone to climate, via radiative properties, remained stable over that period.

### 1. Introduction

Tropospheric ozone ( $TO_3$ ) is a short-lived climate forcer (SLCF). It is the third most important greenhouse gas (GHG; Myhre et al., 2013) and a hazardous air pollutant with adverse impacts on human health (WHO, 2018) and the biosphere (e.g. agricultural and natural vegetation; Sitch et al., 2007). Since the pre-industrial (PI) period, anthropogenic activities have increased the atmospheric loading of ozone ( $O_3$ ) precursor gases, most notably nitrogen oxides ( $NO_x$ ) and methane (CH<sub>4</sub>), resulting in an increase in  $TO_3$  of 25-50% since 1900 (Gauss et al., 2006; Lamarque et al., 2010; Young et al., 2013). The PI to present day (PD) radiative forcing (RF) from  $TO_3$  is estimated to be 0.4 (0.2-0.6) Wm<sup>-2</sup> (Myhre et al., 2013; Stevenson et al., 2013) based on model simulations.

While models provide a valuable framework to quantify the  $TO_3$  RF, observations are required to validate the models' representation of  $TO_3$  and  $TO_3$  RF. Observations are not available for the PI, but multiple satellite products of  $TO_3$  are readily available in the PD (e.g. Richards et al., 2008; Boynard et al., 2018; Barret et al., 2020). The tropospheric ozone radiative effect ( $TO_3$ RE) is defined as the radiative flux imbalance at the tropopause between incoming short-wave solar radiation and the outgoing long-wave radiation due to the presence of  $TO_3$  (Rap et al., 2015). Therefore, satellite ozone profile datasets from infrared instruments, in combination with off-line ozone radiative kernels to account for vertical sensitivity (e.g. Bowman et al., (2013); Rap et al., 2015), can be used to quantify the PD  $TO_3$ RE and thus provide some constraint on modelled  $TO_3$ RE which is used to derive the  $TO_3$ RF.

Several studies have previously used satellite data to derive short-term estimates of the TO<sub>3</sub>RE (i.e. from a few months of data). Joiner et al., (2009) used tropospheric column ozone (TCO<sub>3</sub>) data based on two satellite instruments: Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder



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79 (MLS) measurements, also known as OMI-MLS product for January and July 2005, to estimate the 80 resultant instantaneous TO₃RE at the tropopause to be 1.53 W/m². Worden et al., (2008) used ozone 81 profile data for 2006 from the Tropospheric Emissions Spectrometer (TES), on-board NASA's Aura 82 satellite, to estimate the average instantaneous long-wave TO₃RE at the top-of-the-atmosphere 83 (TOA) over the oceans (45°S-45°N) to be 0.48±0.14 W/m<sup>2</sup>. Worden et al., (2011), using TES data for 84 August 2006, estimated the instantaneous long-wave TO₃RE at TOA to be 0.33 W/m². Later, Bowman 85 et al., (2013) also used TES data (averaged between 2005 and 2009) to constrain the simulated 86 instantaneous long-wave TO₃RE from an ensemble model average. They found that seasonally, TES 87 long-wave TO₃RE peaks in northern Africa/Mediterranean/Middle East in June-July-August over 1.0 88 W/m<sup>2</sup> with minimum values (0.0-0.2 W/m<sup>2</sup>) over the winter-time high-latitudes. Overall, the 89 ensemble average long-wave TO₃RE low bias was 0.12 W/m². Doniki et al., (2015) took this further 90 by calculating the instantaneous long-wave TO<sub>3</sub>RE from the Infrared Atmospheric Sounding 91 Interferometer (IASI), though using a small subset of the data, and found estimates from Worden et 92 al., (2008), using TES, had a low bias of ~25%. Rap et al., (2015) also used TES satellite ozone profile 93 observations (2005-2008) in combination with the TOMCAT chemical transport model (CTM) and 94 provided the first robust satellite constraint on annual globally weighted resultant TO<sub>3</sub>RE (after 95 stratospheric temperature adjustment) with a range of 1.17±0.03 W/m<sup>2</sup>.

Following the methodology adopted in Rap et al. (2015), we exploit satellite ozone profile data from IASI, on the MetOp-A satellite, which has a long-term record and substantial spatial coverage, in combination with the TOMCT CTM, to improve the  $TO_3RE$  estimate and investigate its long-term variability and implications for climate. The satellite data, radiation model and CTM used are discussed in Section 2, our results are presented in Section 3 and Section 4 summarises our conclusions.

## 2. Observations and Model

### 2.1. Satellite Observations

IASI is a Michelson interferometer with a nadir-viewing spectral range between 645 and 2760 cm<sup>-1</sup> with spectral sampling of 0.25 cm<sup>-1</sup> (Illingworth et al., 2011). It measures simultaneously in four fields of view (FOV, each circular at nadir with a diameter of 12 km) in a 50 x 50km square which are scanned across track to sample a 2200 km-wide swath (Clerbaux et al., 2009). IASI, on Eumetsat's MetOp-A satellite, is in a sun-synchronous polar orbit with equator crossing local times of 9.30 (day) and 21.30 (night).

110 The three IASI products we use in this study are the IASI-FORLI product (vn 20151001, IASI-FORLI, 111 2020; Boynard et al., 2018; Wespes et al., 2018), the IASI-SOFRID product (vn 3.5, IASI-SOFRID, 2022; 112 Barret et al., 2020) and the RAL IASI-IMS product (IASI-IMS, 2022; Pope et al., 2021; Pimlott et al. 113 ,2022) between 2008 and 2017 (i.e. period of consistent data coverage for all the IASI products). All 114 three products use an optimal estimation method (OEM, Rogers, 2000) to retrieve ozone. Both IASI-115 SOFRID and IASI-IMS use the RTTOV radiative transfer model (Saunders et al. 1999), while the IASI-116 FORLI product uses look-up tables to speed up its radiative transfer calculations (Hurtmans et al., 117 2012). Meteorological inputs (pressure, water vapour, temperature and clouds) for IASI-FORLI come 118 from Eumetsat level-2 data, while IASI-SOFRID uses ECMWF operational analyses and IASI-IMS uses 119 ECMWF surface pressures and co-retrieves other meteorological and surface variables. For the

ozone apriori, IASI-FORLI and IASI-IMS use the ozone climatology of McPeters et al., (2007), while

121 IASI-SOFRID uses the dynamical ozone climatology described in Sofieva et al., (2014).





The IASI-FORLI level-2 data are filtered for a geometric cloud fraction <0.2, degrees of freedom > 2.0,  $O_3$  values > 0.0, solar zenith angle < 80.0° and the surface to 450 hPa sub-column  $O_3$  / total column  $O_3$  < 0.085. The IASI-SOFRID data were provided on a 1.0°×1.0° horizontal grid (i.e. level-3 product, but daily temporal resolution — we used daytime retrievals only) with filtering already applied as in Barret et al., (2020). Here, only  $O_3$  values > 0.0 were used. For IASI-IMS level-2, the data are filtered for a geometric cloud fraction <0.5,  $O_3$  values > 0.0, solar zenith angle < 80.0° and a cost function < 1000.0. However, for IASI-IMS, we relaxed the geometric cloud fraction threshold to 0.5 as it retains more data as the data product in this study has only been processed for 1 in 10 days and 1 in 4 pixels.

### 2.2. Ozonesondes

Despite the three IASI ozone profile products using the same radiance data, the three retrieval schemes produced systematic differences between the products in the long-term  $TCO_3$  average (e.g. Figures S2 and S3 from the Supporting Information (SI)). Though, the spatial structure in the three products compares well. Therefore, to harmonise the three IASI  $TCO_3$  data sets (i.e. absolute values but not long-term variability) we use ozonesonde data from the World Ozone and Ultraviolet Radiation Data Centre (WOUDC; WOUDC, 2023), the Southern Hemisphere ADditional Ozonesondes (SHADOZ; SHADOZ, 2023) project and the Global Monitoring Laboratory, National Oceanic and Atmospheric Administration (NOAA; NOAA, 2023). Here,  $O_3$  measurements were rejected if the  $O_3$  or pressure values were unphysical (i.e. < 0.0), if the  $O_3$  partial pressure > 2000.0 mPa or the  $O_3$  value was set to 99.9, and whole ozonesonde profiles were rejected if least 50% of the measurements did not meet these criteria. These criteria are similar to those applied by Keppins et al., (2018) and Hubert et al., (2016). To allow for direct like-for-like comparisons between the two quantities, accounting for the vertical sensitivity of the satellite, the instrument averaging kernels (AKs) are applied the ozonesonde profiles as:

$$sonde_{AK} = AK. (sonde_{int} - apr) + apr$$
 (1)

where **sonde**<sub>AK</sub> is the modified ozonesonde sub-column profile, **AK** is the averaging kernel matrix, **sonde**<sub>int</sub> is the ozonesonde sub-column profile interpolated on the satellite pressure grid and **apr** is the a priori for the satellite retrieval. For the application of the AKs to the ozonesonde profiles, the full ozone profile is required which is not available from the ozonesondes (i.e. mid-stratosphere and above). Therefore, the ozonesonde profile above its minimum pressure level is extended using the apriori profile from the corresponding satellite product. The profile is smoothed vertically across the joining pressure level to avoid a profile discontinuity.

Once the ozonesondes had been co-located with the satellite data (i.e. within 6-hours and 500 km) and the AKs applied, the two datasets were compared across the full 2008-2017 period. We typically find a global annual TCO<sub>3</sub> systematic bias of 14.9%, 2.7% and 17.4% for IASI-FORLI, IASI-SOFRID and IASI-IMS, respectively, which is consistent with Boynard et al., (2018), Barret et al., (2020) and Pimlott et al., (2022). Here, we generated annual-latitude (30° bins) bias correction factors (BCF) which were applied to the gridded satellite records (see SI-2) to harmonise the retrieved TCO<sub>3</sub> (i.e. remove the systematic errors) and scale the derived TO<sub>3</sub>RE. This is an important exercise as it provides a more accurate absolute range in satellite retrieved TCO<sub>3</sub> (and the ozone values used to derive the TO<sub>3</sub>RE) but as the ozonesondes generally have poor spatial coverage, the global coverage and spatial distribution of the satellite data is critical in our analysis. Note, that as a climatology was



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used, the systematic biases in the satellite records were affected but their long-term temporal variability retained.

#### 2.3. **TOMCAT**

167 In this study, we use the 3D global chemical transport model TOMCAT (Chipperfield, 2006), which has a detailed tropospheric chemistry scheme including 229 gas-phase reactions and 82 advected 169 tracers (Monks et al., 2017). Model heterogeneous chemistry uses size-resolved aerosol from the 170 GLOMAP module (Mann et al., 2010). The model was run between 2008 and 2017 at a 2.8°×2.8° spatial resolution with 31 vertical levels between the surface and 10hPa. The model is forced by 172 meteorological reanalyses (ERA-Interim) from the European Centre for Medium-Range Weather 173 Forecasts (ECMWF; Dee et al., 2011) including reanalysis cloud fields and mass fluxes (e.g. as in 174 Rowlinson et al., 2020, Pimlott et al., 2022). Annually varying anthropogenic emissions come from 175 the Coupled Model Intercomparison Project Phase 6 (CMIP6, Feng et al., 2020). Climatological 176 biogenic emissions are from the Chemistry-Climate Model Initiative (CCMI; Morgenstern et al., 2017) 177 but isoprene and monoterpene emissions are annually varying from the Joint UK Land Environment 178 Simulator (JULES, Pacifico et al., 2011) within the free-running UK Earth System Model (UKESM, 179 Sellar et al., 2019). Other natural emissions come from the Precursors of Ozone and their Effects in 180 the Troposphere (POET, Olivier et al., 2003) and biomass burning emissions from the Global Fire Emissions Database (GFED) version 4 (van der Werf et al., 2017). For methane (CH<sub>4</sub>), the model 182 tracer is scaled to the annually varying global averaged surface CH<sub>4</sub> value from NOAA (Dlugokencky, 183 2020). The model was spun up for 1-year (2007) and the model tracers output daily at 09:30 local 184 time (LT) globally to match the MetOp-A daytime overpass time. When comparing with IASI, the 185 satellite AKs are applied to the TOMCAT vertical ozone profiles in the same way as the ozonesondes (i.e. Equation 1). Here, the TOMCAT ozone profile (already temporally co-located) is co-located from 187 the model grid box the retrieval sits in. To investigate the importance of emissions and meteorology 188 on TO₃ and TO₃RE, two sensitivity experiments were run between 2008 and 2017 using repeating emissions and meteorology for 2008 (i.e. start of the time-series) annually in the model simulation 190 over the time period.

#### 2.4. **Radiative Transfer Model and Kernel**

The TO₃RE was calculated using the SOCRATES off-line radiative transfer model (Edwards and Slingo, 1996) in combination with TOMCAT and the three IASI ozone products. SOCRATES has six bands in the short-wave and nine in the long-wave. To account for stratospheric temperature adjustments, Rap et al., (2015) used the dynamical heating approximation (Fels et al., 1980). This involved accounting for changes in the stratospheric heating rate determined from the model due to the O<sub>3</sub> perturbation, which were applied to the temperature field, with the model run iteratively until stratospheric temperatures reached equilibrium (Rap et al., 2015). This approach of using the SOCRATES off-line radiative kernel with output from model simulations to derive the TO<sub>3</sub> radiative effect has been used in several studies e.g. Rap et al., (2015), Scott et al., (2018), Iglesias-Suarez et al. (2018) and Rowlinson et al., (2020).

To derive the satellite TO<sub>3</sub>RE, the annual average IASI 3D ozone field is multiplied by the off-line radiative kernel (grid box by grid box) and then summed from the surface to the tropopause pressure. Here, the IASI ozone data is mapped onto the spatial resolution of the radiative kernel and then interpolated vertically onto its pressure grid. The equation for each grid box is:





 $TO_3RE = \sum_{i=surf}^{trop} RK_i \times O_{3i} \times dp_i/100$  (2)

where  $TO_3RE$  is the tropospheric ozone radiative effect (W/m²), RK is the radiative kernel (W/m²/ppbv/100 hPa),  $O_3$  is the satellite ozone grid box value (ppbv), dp is the pressure difference between vertical levels (hPa) and i is the grid box index between the surface pressure level and the tropopause pressure. The tropopause pressure is based on the World Meteorological Organisation (WMO) definition of "the lowest level at which the temperature lapse rate decreases to 2 K/km or less" (Bethan et al., 1996).

### 3. Results

### 3.1. Tropospheric Ozone Radiative Effect

Figure 1 shows the IASI derived  $TCO_3$ ,  $TO_3RE$  and normalised  $TO_3RE$  (NTO $_3RE$ , i.e. the  $TO_3RE$  divided by its  $TCO_3$  as in Rap et al., (2015)). For the  $TCO_3$ , all three harmonised IASI products have good spatial agreement in the long-term (2008-2017) average with a background north-south hemisphere gradient of approximately 30.0-40.0 to 15.0-25.0 DU. Peak  $TCO_3$  (>40.0 DU) occurs over East Asia, the Middle East and ozone outflow from central Africa (e.g. from lightning and biomass burning precursor gases (Moxim & Levy, 2000)). The global average  $TCO_3$  values for IASI-FORLI, IASI-SOFRID and IASI-IMS are 32.6 DU, 29.9 DU and 29.9 DU, respectively (**Figure 1 left column**).

When the TO<sub>3</sub>RE is calculated (**Figure 1 middle column**), peak values occur over the sub-tropics, Africa and Australia ranging between approximately 2.0 and 2.5 W/m<sup>2</sup> consistently for each IASI product. The minimum values are in the high latitudes ranging between 0.0 and 0.8 W/m<sup>2</sup> where TO<sub>3</sub> appears to have limited impact on the TO<sub>3</sub>RE. The bottom panel of **Figure 1** supports this as the zonally average profiles, weighted by the cosine of degrees latitude, show that TCO<sub>3</sub> is near-zero in the high-latitudes, approximately 15.0-20.0 DU in the mid-latitudes, peaking at 28.0-33.0 DU in the sub-tropics and then decreasing by several DU at the tropics. The corresponding TO<sub>3</sub>RE profiles follow a similar pattern with near-zero values at the high-latitudes, approximately 0.5-1.0 W/m<sup>2</sup> in the mid-latitudes, peak at 1.5 W/m<sup>2</sup> in the sub-tropics and then decrease to 1.1-1.2 W/m<sup>2</sup> in the tropics. Therefore, the sub-tropics have the largest contribution to the global TO<sub>3</sub>RE. The global weighted TO<sub>3</sub>RE averages for IASI-FORLI, IASI-SOFRID and IASI-IMS are 1.23, 1.21 and 1.21 W/m<sup>2</sup>, respectively.

The NTO<sub>3</sub>RE (**Figure 1 right column**) provides an estimate of where the TO<sub>3</sub>RE is most sensitive to changes in TCO<sub>3</sub> (i.e. the unit of TO<sub>3</sub>RE per unit of TCO<sub>3</sub>). Peak NTO<sub>3</sub>RE (>45.0 mW/m²/DU) occurs in similar locations to the peak TO<sub>3</sub>RE (e.g. sub-tropics, Africa and Australia), while the minimum values (10.0-20.0 mW/m²/DU) occur in the high-latitudes. However, while the South Pacific TCO<sub>3</sub> values (23.0-30.0) are lower than other ocean regions (e.g. >30.0 DU), the NTO<sub>3</sub>RE values are of similar magnitude (approximately 50.0 mW/m²/DU). Therefore, while the sub-tropical/mid-latitude oceans have reasonable large TCO<sub>3</sub> and TO<sub>3</sub>RE values, the South Pacific is more effective at contributing to the TO<sub>3</sub>RE, despite its lower TCO<sub>3</sub> values (i.e. more positive radiative effect per unit of TO<sub>3</sub>).

Overall, the global weighted average NTO<sub>3</sub>RE is 37.78, 40.43 and 40.60 mW/m²/DU for IASI-FORLI, IASI-SORID and IASI-IMS, respectively. Based on the AKs, the tropospheric degrees of freedom of signal (DOFS, between the surface and 170 hPa – approximate tropopause) is approximately 1.0 for all three IASI products (not shown here). However, it is likely that differences in the IASI ozone profiles are driving the contrasting globally averaged NTO<sub>3</sub>RE values. As the IASI-FORLI NTO<sub>3</sub>RE is lower, while having the highest global average TCO<sub>3</sub> and TO<sub>3</sub>RE, it suggests that IASI-FORLI has more





 $TO_3$  in the mid-troposphere where the radiative kernel has less sensitivity. Further to this, as the IASI ozone products only have approximately 1.0 DOFS, the harmonisation of the products using the

ozonesondes can only be done on a tropospheric column level and thus the scaling of the satellite

derived TO₃RE (i.e. even though the upper troposphere is the most sensitive region to ozone

252 radiative properties, the scaling of the TO<sub>3</sub>RE is applied based on the satellite-ozonesonde TCO<sub>3</sub>

253 relative differences).

254 TOMCAT allows for a further quantification of the TO₃RE in the satellite-era and the ability to run 255 sensitivity experiments to explore important processes. Therefore, the TOMCAT equivalent metrics 256 from Figure 1 are presented in Figure 2. Evaluation of the model using the IASI products and 257 ozonesondes (see SI-2, Figure S3 & S4) shows the model generally captures the TCO₃ spatial pattern 258 and absolute values. In the tropics (mid/high-latitudes), the model underestimates (overestimates) 259 by approximately 10-20% on average. These biases are comparable with other modelling studies 260 evaluating models against satellite TO<sub>3</sub> observations (e.g. Archibald et al., 2020; Monks et al., 2017; 261 Nassar et al., 2009; Young et al., 2013), indicating that TOMCAT is a suitable modelling framework in

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The globally mean TCO₃ from TOMCAT (2008-2017) with the three sets of AKs applied (Figure 2 left column) ranges between 31.6 and 32.5 DU, so it slightly larger than the IASI data sets in Figure 1. When translated into TO₃RE, the peak values from TOMCAT (with AKs applied) ranges between 2.0 and >2.5 W/m<sup>2</sup> over Africa, Australia and the sub-tropics. The globally weighted TO₃RE for TOMCAT with the IASI-FORLI and IASI-SOFRID AKs applied is 1.28 W/m<sup>2</sup> and thus moderately higher than IASI (1.21-1.23 W/m<sup>2</sup>) but comparable overall. However, the globally weighted TO₃RE for TOMCAT with IASI-IMS AKs applied is larger at 1.34 W/m<sup>2</sup>. As TOMCAT has a positive TCO<sub>3</sub> bias with the observations in the sub-tropics, where the TO<sub>3</sub>RE influence is most pronounced, this probably explains the larger model TO₃RE values. In the bottom panel of Figure 2, the zonal profiles (weighted by cosine of degree latitude) for TCO<sub>3</sub> (TO₃RE) are consistent with IASI as high-latitude values are near-zero, mid-latitude values range between 10.0 and 20.0 DU (0.5 to 1.0 W/m²) and sub-tropical values range between 30.0 and 38.0 DU (1.5 and 1.7 W/m<sup>2</sup>). There is a decrease to approximately 25.0 DU (1.0-1.3 W/m²) in the tropics. In terms of the NTO₃RE, the TOMCAT (with AKs applied) global weighted values range between 39.4 and 42.4 mW/m²/DU, which is similar to IASI. The peak NTO₃RE values are over the oceans (50.0-60.0 mW/m<sup>2</sup>/DU) and over Africa/Australia (>60.0 mW/m<sup>2</sup>/DU). Like for IASI, the TCO₃ values over the South Pacific are lower than the other ocean values but the NTO<sub>3</sub>RE values are similar, again showing that despite the lower TO<sub>3</sub>, the South Pacific region is important for the global TO<sub>3</sub>RE given its greater sensitivity (i.e. more radiative effect per unit of TO<sub>3</sub>).

### 3.2. Temporal Evolution of the Tropospheric Ozone Radiative Effect

As IASI has daily global coverage (Clerbaux et al., 2009), we are able to derive annual average 3D ozone fields between 2008 and 2017, thus providing the first assessment of temporal variability and tendency in satellite derived TO<sub>3</sub>RE. **Figure 3** shows the annual TO<sub>3</sub>RE time series for all three IASI products. First thing to note, is that the Eumetsat meteorological data used to retrieve ozone for the IASI-FORLI product is subject to inhomogeneities (Boynard et al., 2018; Wespes et al., 2018). As a result, we include long-term analysis of the IASI-FORLI data for the full time period (2008-2017) and then a sub-time period (2011-2017) given the large inhomogeneity in September 2010 reported by Boynard et al., (2018) and Wespes et al., (2018). Here, we can derive the TO<sub>3</sub>RE to quantify the absolute values (e.g. are they generally similar year to year) and how they compare between





291 products over the two time periods. In the near future, a new consistent IASI-FORLI ozone climate 292 data record will be available using homogeneous level-2 Eumetsat meteorological data. 293 For IASI-SOFRID and IASI-IMS, the annual TO₃RE values range between 1.19 and 1.24 W/m² across 294 the 2008-2017 time period. IASI-FORLI has somewhat larger values at the start of the record (1.26-295 1.28 W/m<sup>2</sup>) before tending to that of IASI-SOFRID/IASI-IMS from 2011 onwards. Correlations 296 (squared) in the annual TO₃RE time-series between IASI-FORLI and IASI-SOFRID (IASI-IMS) are poor at 297 R<sup>2</sup>=0.148 (R<sup>2</sup>=0.132). However, IASI-SOFRID and IASI-IMS have a much stronger agreement with 298 R<sup>2</sup>=0.591 (significant at the 95<sup>th</sup> confidence level, CL95%) sharing nearly 60% of the temporal 299 variability. We also calculate the coefficient of variation (CoV, i.e., time series standard deviation 300 divided by its mean) to assess the inter-annual variability. For IASI-SOFRID and IASI-IMS, this is 1.1%, 301 but for IASI-FORLI it is 2.5%. Therefore, there is more year-to-year variability in the IASI-FORLI TO₃RE 302 record. However, when focussing on IASI-FORLI data for 2011-2017, the CoV drops to 1.2% in-line 303 with IASI-SOFRID and IASI-IMS. The correlation (squared) values are now R<sup>2</sup>FORLI-SOFRID=0.496 304 (significant at the CL95%) and R<sup>2</sup>FORLI-IMS=0.137, which shows improved agreement between IASI-305 FORLI and IASI-SOFRID, but slightly surprisingly not with IASI-IMS. Using ordinary least squares fit 306 regression, IASI-FORLI, IASI-SORFRID and IASI-IMS have global average weighed TO₃RE linear trends 307 of -0.6%/year (CL95%), 0.0%/year (non-significant) and -0.1%/year (non-significant). As the IASI-308 FORLI product has known inhomogeneities (hence the larger CoV), the insignificant IASI-SOFRID and 309 IASI-IMS trends are more robust. This is supported by IASI-FORLI when only considering 2011-2017 310 with an insignificant linear trend of -0.2%/year. Therefore, this suggests negligible change in the 311 contribution of TO<sub>3</sub> to the tropospheric radiative effect and thus climate over the recent past (i.e. 312 2008-2017). 313 TOMCAT global average weighed TO<sub>3</sub>RE (without AKs applied) ranges between 1.24 and 1.29 W/m<sup>2</sup> 314 between 2008 and 2017. The CoV is 1.5% for TOMCAT, so it is larger than both IASI-SOFRID and IASI-315 IMS. When the IASI AKs are applied to TOMCAT, there is a substantial shift in the modelled absolute 316 TO₃RE values. TOMCAT with IASI-SOFRID and IASI-FORLI AKs applied ranged between 1.28 and 1.30 317 W/m<sup>2</sup>. And for TOMCAT with the IASI-IMS AKs applied, the TO₃RE values peak at 1.33 to 1.34 W/m<sup>2</sup> 318 between 2008 and 2017. As well as the increase in TO₃RE values, the application of the AKs squashes 319 the TOMCAT inter-annual variability with corresponding CoV values between 0.4 and 0.6%, which is 320 smaller than the original CoV of 1.5%. Interestingly, without the application of the AKs, the TOMCAT 321 TO₃RE time-series has similar temporal variability (e.g. peaks in 2008, 2010 and 2017 and troughs in 322 2009 and 2014. Overall, all the TOMCAT TO₃RE time-series (with and without AKs applied have 323 insignificant linear trends ranging between -0.1%/year and 0.1%/year. Therefore, even with the 324 influence of the IASI AKs on the TOMCAT TO₃RE time-series, there appears to be a negligible trend in 325 the modelled TO₃RE, supporting that of the IASI records. As a result, between 2008 and 2017, there 326 has been limited change in TO<sub>3</sub> and TO<sub>3</sub>RE, thus the impact of TO<sub>3</sub> on climate has remained stable. 327 To investigate the importance of emissions and meteorology on the long-term TO₃RE trends, 328 TOMCAT was run using repeating emissions and repeating meteorology for 2008 (i.e. start of the 329 time-series) in two sensitivity experiments for the full time-period. Here, we find that in absolute 330 terms, using fixed emissions reduces the TO<sub>3</sub> burden and the TO<sub>3</sub>RE as the time-series drops to 1.22 331 to 1.28 W/m<sup>2</sup> (i.e. minima in 2014 and 2015 more pronounced). However, the trend in TO₃RE (-332 0.2%/year) remains insignificant and that emissions are only moderately important in driving long-333 term tendencies in TO₃RE. On the other hand, meteorological factors, while not dramatically altering 334 the absolute simulated TO₃RE values, are more important as fixing the meteorology yields a steady



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and significant increase (0.3%/year). Thus, without year-to-year variability in meteorology, temporal variability in TO<sub>3</sub> would likely have a more substantial impact on the present day climate.

Figure 4 shows the horizontal and vertical impact of the two sensitivity experiments on TOMCAT O<sub>3</sub> radiative effect (note the different colour bar scales). Consistent with Figures 1 and 2, the TOMCAT control TO<sub>3</sub>RE has peak values (>2.50 W/m<sup>2</sup>) over northern Africa and throughout the sub-tropics (approximately 2.0 W/m², Figure 4a). Vertically, the TOMCAT peak ozone radiative effect (>0.25 W/m<sup>2</sup>) is in the upper troposphere (Figure 4b) with the largest impact in the sub-tropics of both hemispheres (500-200 hPa). Similar values extend through the hemispheric mid-latitudes but in a smaller pressure range (400-300 hPa). As shown in Figure 3, the fixed meteorological run imposes a significant TO<sub>3</sub>RE trend on the modelled tendency between 2008 and 2017. From Figure 4c, the difference between the fixed meteorology and control runs shows mainly positive TO₃RE differences of 0.1 to >0.2 W/m<sup>2</sup> throughout the tropics and sub-tropics, though there is considerable spatial variation due to changes in the global circulation. In the high and mid-latitudes, there are smaller scale negative differences ranging between -0.1 and 0.0 W/m2 (though some differences up to -0.15 W/m<sup>2</sup> in the sub-tropical Pacific). In the upper troposphere (Figure 4d), the zonal average O<sub>3</sub> radiative effect is consistent with positive differences of up to 0.02 W/m<sup>2</sup> at approximately 200 hPa in the tropics and sub-tropics. The positive differences (approximately 0.01 W/m²) filter down to 600 hPa in the same latitudinal range. In the mid-latitudes, the peak negative differences are approximately -0.02 to -0.015 W/m<sup>2</sup> at 300 hPa, with a reach down to 500 hPa at -0.005 W/m<sup>2</sup>. Overall, as shown in Figure 3, the fixed meteorology run increases the global average TO₃RE. While this could be a specific signal related to the 2008 meteorology (i.e. it is conducive to TO₃ formation), it clearly shows that the upper tropospheric tropical and sub-tropical regions predominantly control the global TO₃RE average and its temporal variability (i.e. the region where the meteorological interannual variability is buffering underlying increases in TO₃RE). With fixed emissions, there is a general increase (decrease) in TO<sub>3</sub>RE in the tropics/sub-tropics (northern mid-latitudes) by 0.02 (-0.02) W/m<sup>2</sup>. However, over tropical Asia, Indonesia and Australia, the decrease in TO₃RE is more substantial at -0.05 to -0.04 W/m2 (Figure 4e). Vertically, there are decreases (increases) in the O<sub>3</sub> radiative effect of -0.005 (0.003) W/m<sup>2</sup> in the tropics/sub-tropics (northern mid-latitudes) between 600 and 200 (800 and 400) hPa (Figure 4f). Overall, the meteorological variability, in comparison to the long-term emission changes in O<sub>3</sub> precursor gases, has substantially more influence on the interannual variability of the global TO₃RE over this decade.

### 4. Conclusions

By using state-of-the-art satellite ozone profile retrievals from the Infrared Atmospheric Sounding Interferometer (IASI), on-board MetOp-A, in combination with the TOMCAT chemical transport model (CTM), we provide an updated estimate of the tropospheric ozone radiative effect (TO<sub>3</sub>RE) and provide the first observational constraint on its variability over the decade 2008-2017. Building upon the previous study of Rap et al., (2015), who quantified the globally weighed average TO<sub>3</sub>RE to be 1.17±0.03 W/m² (based on data between 2005 and 2008), we find the long-term average TO<sub>3</sub>RE, between 2008 and 2017, to range from 1.21 and 1.28 W/m². Secondly, neither the modelled, nor the observed TO<sub>3</sub>RE suggest any substantial change during this period. Therefore, the tropospheric ozone contribution to climate, through its infrared radiative properties, has remained stable with time during 2008-2017. Investigations of the importance of ozone precursor emissions and meteorology, through targeted sensitivity experiments repeating emissions and meteorology for 2008 (i.e. year at start of time-series), suggest that emissions have a limited impact on the globally



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379 380 381 382	weighted average $TO_3RE$ . Meanwhile, fixing the meteorology to a specific year (i.e. 2008) introduces a significant positive trend in global $TO_3RE$ , indicating that the meteorological variability in the tropical/sub-tropical upper troposphere has been important in stabilising the tropospheric ozone contribution to climate, via radiative properties, in the recent past (i.e. satellite-era).
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389	Data Availability
390 391 392 393 394 395 396	The IASI-FORLI and IASI-SOFRID data can be obtained from https://iasi.aeris-data.fr/O3 and https://iasi-sofrid.sedoo.fr/. The IASI-IMS data is available via the NERC Centre for Environmental Data Analysis (CEDA) Jasmin platform subject to data requests. However, the IASI-IMS data and TOMCAT simulations used in this study are available from <a href="https://homepages.see.leeds.ac.uk/~earrjpo/to3re/">https://homepages.see.leeds.ac.uk/~earrjpo/to3re/</a> . The ozonesonde data for WOUDC, SHADOZ and NOAA is available from <a href="https://woudc.org/">https://tropo.gsfc.nasa.gov/shadoz/</a> and <a href="https://gml.noaa.gov/ozww/ozsondes/">https://gml.noaa.gov/ozww/ozsondes/</a> .
397	Author Contributions
398 399 400 401 402	RJP conceptualised, planned and undertook the research study. AR provided the SOCRATES radiative kernel. BB, ELF, BJK, RS, BGL, LJV, AB and CW provided the IASI ozone data and advice on using the products. MAP performed the TOMCAT model simulations with support from MPC and WF. CR provided advice and help during RP's ESA CCI fellowship. RJP prepared the manuscript with contributions from all co-authors.
403	Conflicts of Interest
404	The authors declare no conflicts of interest.
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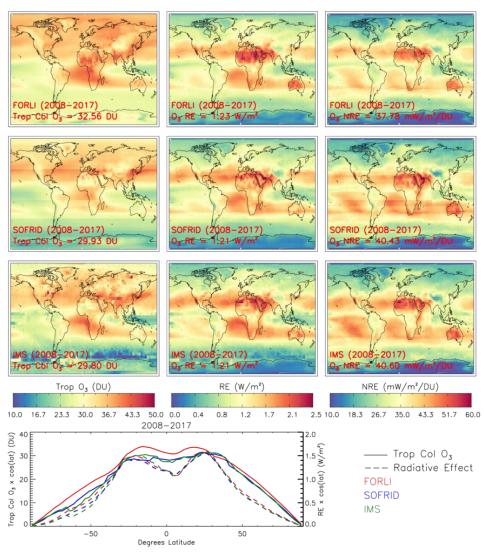
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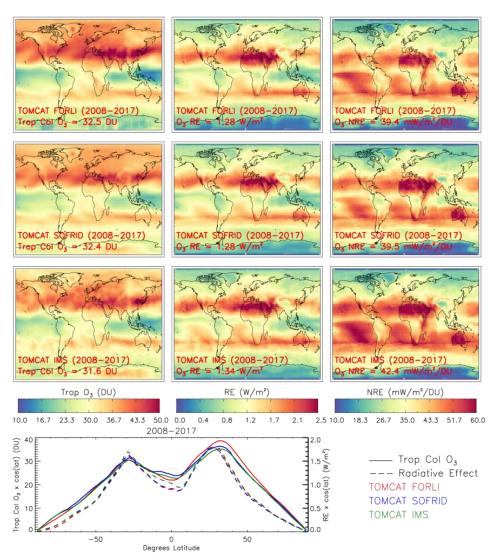
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**Figure 1**: Tropospheric column  $O_3$  (TCO<sub>3</sub>, DU), tropospheric  $O_3$  radiative effect (TO<sub>3</sub>RE, W/m²) and normalised TO<sub>3</sub>RE (NTO<sub>3</sub>RE, mW/m²/DU) averaged for 2008 to 2017 for IASI-FORLI (top row), IASI-SOFRID (middle row) and IASI-IMS (bottom row). Zonal averages of TCO<sub>3</sub> (DU, solid lines) and TO<sub>3</sub>RE (W/m², dashed lines), both weighted by cosine of latitude, is shown in the bottom panel from all the IASI instruments.

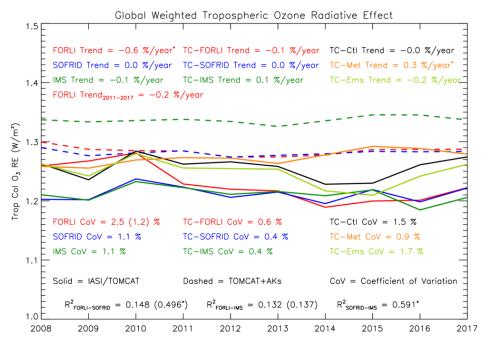






**Figure 2**:  $TCO_3$  (DU),  $TO_3RE$  ( $W/m^2$ ) and  $NTO_3RE$  ( $mW/m^2/DU$ ) averaged for 2008 to 2017 for TOMCAT with the averaging kernels (AKs) applied from IASI-FORLI (top row), IASI-SOFRID (middle row) and IASI-IMS (bottom row). Zonal averages of  $TCO_3$  (DU, solid lines) and  $TO_3RE$  ( $W/m^2$ , dashed lines), both weighted by cosine of latitude, is shown in the bottom panel from all the IASI instruments.





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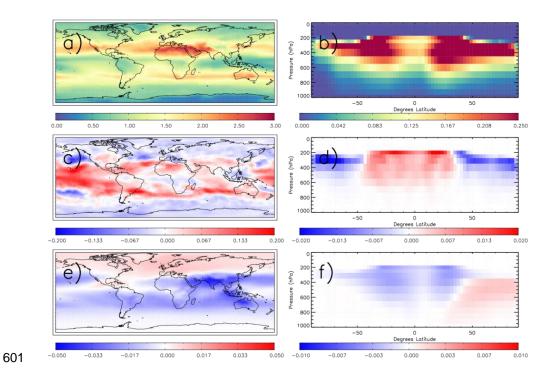
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**Figure 3**: Annual global mean time-series of TO₃RE (W/m²), between 2008 and 2017, for IASI-FORLI (red-solid), IASI-SOFRID (blue-solid) and IASI-IMS (green-solid). TOMCAT with the IASI-FORLI (reddashed), IASI-SOFRID (blue-dashed) and IASI-IMS (green-dashed) AKs applied, original TOMCAT simulation (black-solid), TOMCAT with fixed emissions (lime-solid) and TOMCAT with fixed meteorology (orange-solid) are also shown. The linear trend (%/year) is shown as well as the percentage coefficient of variation (CoV). The correlation between IASI time-series are shown by the  $R^2$  values. Significant linear trends and correlations in the  $TO_3RE$  are shown by an \*. TC represents TOMCAT. The IASI-FORLI trend for 2011 to 2017 is also shown as well as the CoV and R<sup>2</sup> in brackets in addition to the statistical metrics over the full time period due to record inhomogeneities prior to 2011 (Boynard et al., 2018).







**Figure 4**: a) TOMCAT control run  $TO_3RE$  ( $W/m^2$ ), b) TOMCAT control run zonal average grid box  $O_3$  radiative effect ( $W/m^2$ ), c) TOMCAT fixed meteorology – TOMCAT control  $TO_3RE$  difference ( $W/m^2$ ), d) TOMCAT fixed meteorology – TOMCAT control zonal average grid box  $O_3$  radiative effect difference ( $W/m^2$ ), e) TOMCAT fixed emissions – TOMCAT control  $TO_3RE$  difference ( $W/m^2$ ), f) TOMCAT fixed emissions – TOMCAT control zonal average grid box  $O_3$  radiative effect difference ( $W/m^2$ ).