

Evidence of a dual African and Australian biomass burning influence on the vertical distribution of aerosol and carbon monoxide over the Southwest Indian Ocean basin in early 2020

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Nelson Bègue, Alexandre Baron, Gisèle Krysztofiak, Gwenaël Berthet, Hassan Bencherif, et al.. Evidence of a dual African and Australian biomass burning influence on the vertical distribution of aerosol and carbon monoxide over the Southwest Indian Ocean basin in early 2020. Atmospheric Chemistry and Physics Discussions, In press, 10.5194/egusphere-2023-1946. insu-04208981

HAL Id: insu-04208981 https://insu.hal.science/insu-04208981

Submitted on 15 Sep 2023

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2 Abstract

3 The pristine atmosphere of the southwest Indian Ocean (SWIO) basin underwent significant 4 perturbations during the 2020 austral summer. This study documents the complex variability of 5 aerosols and carbon monoxide (CO) over this remote oceanic region and identifies the processes governing it in the upper troposphere – lower stratosphere (UT-LS). Aerosol profiles exhibit a 6 7 multi-layer structure in the tropical UT-LS in January and February 2020. The numerical 8 models (FLEXPART and MIMOSA) showed that the modulation of the aerosol content in the 9 lower stratosphere is due to the intense and persistent stratospheric aerosol smoke layer generated during the 2019-20 extreme Australian bushfire events. One part of this stratospheric 10 aerosol smoke layer was advected zonally by the prevailing easterly winds and its passage over 11 Reunion was recorded by increased aerosol extinction profiles on 27th and 28th January. The 12 analysis of the advected potential vorticity highlights an isentropic transport of air masses 13 containing Australian biomass burning aerosol from extra-tropical latitudes to Reunion at the 14 400 K isentropic level, on 28th January. Interestingly, our results show that the BB activity in 15 16 eastern Africa, weak during this season, contributed to modulate (up to 90%) the vertical distribution of CO and aerosols in the upper troposphere over the SWIO basin. The 17 18 simultaneous presence of African and Australian aerosols smoke layers has been recorded by ground-based observations at Reunion. This study highlights for the first time the influence of 19 20 the African emissions from BB to the CO and aerosols distribution in the upper troposphere over the SWIO basin during the convective season. The results show that besides PyroCb -21 22 driven injection of BB products to the stratosphere, an alternative pathway may exist during the regular deep-convection season in the tropics. 23

24 **1. Introduction**

25 Large amounts of aerosols and active trace gases such as carbon monoxide (CO) are injected throughout the atmosphere during biomass burning (BB) events. The Southern American and 26 Southern African regions are recognized to be significant primary sources of carbonaceous 27 aerosols and active traces gases in the Southern Hemisphere through the BB season from July 28 29 to November (Bencherif et al., 2020; Garstang et al., 1996; Holanda et al., 2020). Such BB 30 activities have the potential to modulate the vertical distribution of trace gases and aerosols from the troposphere to the stratosphere (Andreae and Merlet, 2001; Duflot et al., 2010; Héron 31 et al., 2020). Under favorable meteorological conditions, pyro-convection events can take place 32 and have the potential to inject soot and smoke directly into the stratosphere (Dowdy and Pepler, 33 34 2018; Fromm et al., 2010). Radiative impact of the aerosols and traces gases is determined by





abundance, vertical distribution, , and atmospheric residence time (which, in turn, will affect
the resultant horizontal distribution following advection (Darbyshire et al., 2018; Morgan et al.,
2019)). High concentrations of trace gases and aerosols from these fires can be transported far
from the source regions. This intercontinental transport has the potential to affect the
atmospheric composition of regions considered as aerosol-free areas.

The southwest Indian Ocean (SWIO) basin is known to be one of the few pristine regions on 6 7 Earth where the aerosol concentration is mainly governed by sea salts (Duflot et al., 2022). The SWIO basin is characterized by a wet season (December to April) and a dry season (May to 8 9 November). Previous works showed that the atmospheric composition over the SWIO region during the dry season is driven by the Southern Hemisphere BB activity (Clain et al., 2009; 10 Duflot et al., 2022; Edwards et al., 2006; Kaufman et al., 2003, Swap et al., 2003). These studies 11 pointed out that BB plumes cross South Africa during the dry season. Edwards et al. (2006) 12 13 revealed that southern African BB emissions mostly find their way into the SWIO basin and 14 follow the five transportation modes identified by Garstang et al. (1996). Being located in the subtropical southern Indian Ocean at the crossroads of the transport pathway bringing air 15 16 masses from southern Africa, Reunion Island (21,0°S, 55.5°E) is a favorable location to study the effect of this regional transport on atmospheric composition over the SWIO basin. Based 17 18 on ozone radiosonde and ground-based lidar observations recorded at Reunion, Clain et al. 19 (2009) highlighted a significant annual increase of tropospheric ozone over Reunion Island during August-November period, in phase with the BB season in southern Africa and 20 Madagascar. High concentrations of ozone precursors from these fires are vented into the free 21 troposphere by convection and are subsequently advected into the SWIO basin by westerly 22 winds. In addition to regional transport, the tropical tropospheric composition over the SWIO 23 24 basin can be modulated by the long-range transport of BB plumes from South America (Duflot 25 et al., 2010, 2022; Zhou et al., 2018). By combining ground-based observations of Carbon 26 monoxide (CO) from a Fourier Transform Infrared (FTIR) spectrometer installed at Reunion 27 and the FLEXPART model simulations, Duflot et al. (2010) showed that southern African and 28 southern American BB events have the potential to inject large amounts of ozone precursors such as CO and aerosols throughout the troposphere over the SWIO basin. The synergy of CO 29 and aerosols observations are helpful in discussions of the influence of BB events on the 30 31 evolution of the aerosol burden (Bègue et al., 2021; Bencherif et al., 2020; Jones et al., 2001). 32 Most recently, the analysis of Aerosols Optical Depth (AOD) recorded from sun-photometer at Reunion over a period of 12-years has been undertaken by Duflot et al. (2022). Duflot et al. 33 (2022) showed that the BB activity explains 67 % of the variability of the AOD, within which 34





the contributions of the BB activity in Southern Africa and southern America are estimated at
 22% and 20%, respectively. Although Australia is known for its intense BB events (Fromm et
 al., 2006; 2010; De Laat et al., 2012), the contribution of the Australian BB activity on the
 observed AOD variability over Reunion is relatively low (4.7 %).

The Australian BB activity takes mainly place in the northern part of the continent between 5 September and January (Russel-Smith et al., 2007). Nevertheless, the most disastrous fires burn 6 7 in the southeastern region of Australia. Extreme fires occurring over southeastern Australia during the austral summer can lead to the development of pyro-convection events (Dowdy and 8 9 Pepler, 2018; Fromm et al., 2010). Southeastern Australia has a long history of severe pyroconvection events which have significantly impacted the composition of the stratosphere at 10 regional and global scales. The last and largest event occurred during the 2019-20 fire season 11 (referred to as "Black Summer"). Previous works reported that this fire season is unrivaled with 12 13 a surface burnt estimated at 19 million hectares (Boer et al., 2020; Cai et al., 2022; Levin et al., 14 2021). Furthermore, this extreme fire season can be considered as unprecedented due to persistent planetary-scale perturbations induced in the stratosphere (Kablick et al., 2020; 15 16 Khaykin et al., 2020; Kloss et al., 2021; Ohneiser et al., 2020, 2022; Yu et al., 2020).

Kablick et al. (2020) showed at least 18 pyro-convection outbreaks occurred between 29th 17 December 2019 and 12th January 2020 with the largest event occurring around 1st January 2020. 18 Based on satellite observations and CESM-CARMA model results, Yu et al. (2021) showed 19 that Australian wildfires burning from December 2019 to January 2020 injected approximately 20 0.9 Tg of smoke into the stratosphere. The analysis of the numerical simulations suggest that 21 the smoke mass contained 2.5% black carbon which induced a 1 K warming in the stratosphere 22 23 of the Southern Hemisphere mid-latitude for more than 6 months following its injection. The 24 carbon-rich aerosol clouds were confined during their solar-driven rise by a persistent synoptic-25 scale anticyclone (Kablick et al., 2020; Khaykin et al., 2020). As a consequence, the highly-26 concentrated absorbing aerosols were lofted into the middle stratosphere, which prolongs their 27 stratospheric residence time and radiative effect on climate. The combustion products injected 28 into the stratosphere were advected by westerly winds and dispersed across all of the southern hemisphere extra-tropical latitudes (Khaykin et al., 2020; Ohneiser et al., 2020, 2022; Tencé et 29 al., 2022; Yu et al., 2020). The stratospheric smoke layer was rapidly advected westward and 30 31 its optical characteristics were measured by the lidar system installed at Punta Arena (53.2°S, 70.9°E; Chile) and Rio Grandé (53.8° S, 67.7° W, Argentina) one week following its injection 32 (Ohneiser et al., 2022). Ohneiser et al. (2022) pointed out that the presence of the smoke layers 33 extended, on average, from 9 to 24 km in height, with one part ascending to more than 30 km 34





1 height as a result of self-lofting processes. The maximum smoke AOD was around 1.0 over Punta Arenas in January 2020 and thus 2 to 3 orders of magnitude above the stratospheric 2 3 aerosol background of 0.005 (Ohneiser et al., 2022). Their results suggest an influence of this stratospheric smoke layer on the record breaking ozone hole over Antarctica in September-4 November 2020. This is consistent with the results reported by Tencé et al. (2022) from lidar 5 and ozonesondes measurements at the French Antarctic station Dumont d'Urville 6 7 (66.6°S,140.0°E). Tencé et al. (2022) pointed out the persistence of smoke aerosols in the southern high-latitude stratosphere following the pyro-convection events. They also reported 8 9 that the 2020 stratospheric ozone depletion is above the decadal average at Dumont d'Urville. The common point among these studies is their interest for the perturbation induced by the 10 11 2019-20 Australian fires on the stratospheric composition, and on the dynamical circulation over the extra-tropical latitudes. In contrast, relatively little attention has been paid to the 12 13 perturbation induced by this Australian smoke layer over the tropical/subtropical latitudes.

14 This study has two aims: 1) document the transport of the Australian smoke layer in the southern 15 subtropics over Indian Ocean during the January-February period which correspond to the wet 16 season, and 2) to investigate the influence of Australian aerosol smoke layers on variability of the aerosol optical properties and CO in the Upper Troposphere-Lower Stratosphere (UT-LS) 17 18 of the SWIO basin accounting for convective activity. Convective activity is more intense during this season as the Inter-Tropical Convergence Zone (ITCZ) is present over the whole 19 basin (Lashkari et al., 2017). Furthermore, the convective activity is often synonym of tropical 20 depression which can reach the stage of tropical cyclone (Barthe et al., 2021; Neuman et al., 21 1993). The Regional Specialized Meteorological Centre (RSMC) at Reunion reported that the 22 23 cyclonic season 2019-20 was characterized by the development of 6 tropical cyclones and 4 24 tropical storms in the SWIO basin.

25 The study is organized as follows: Section 2 describes the observations and the model used for 26 the investigation of the transport of the aerosol smoke layer. A review of the formation and the 27 transport of the Australian aerosol smoke layer over the Southern Hemisphere is provided in 28 Section 3. An analysis of the influence of the Australian aerosol smoke layer on the aerosol and CO variability over the SWIO basin is given in Section 4. The discussion on the influence of 29 the convective activity on the transport of the aerosol smoke layer over the SWIO basin is 30 31 provided in Section 5. A summary and the perspectives of this study are given in Section 6. 2. Instrumentation and Model description 32

33 2.1 Aerosols data sets





- 1 The aerosol datasets used in this study resulted mainly from two ground-based observations
- 2 sites from the Network for the Detection of Atmospheric Composition Change (NDACC,
- 3 <u>www.ndacc.org</u>) network as well as a suite of spaceborne sensors products.
- 4

2.1.1 Lauder ground-based lidar

Aerosol optical properties measurements at Lauder (45.0°S; 169.7°E) have been performed 5 using lidars since 1992. A detailed description of the lidar system operating at Lauder is given 6 7 by Sakai et al. (2016), and it is summarized hereafter. The emitter system consists of a Nd:YAG laser beam at 532 nm and is linearly polarized. The lidar detects Rayleigh-Mie backscattering 8 9 at 532 nm with parallel and perpendicular components. The methodology described by Fernald et al. (1984) is used to obtain the extinction and backscatter coefficient from a Rayleigh-Mie 10 lidar. This methodology involves the use of an extinction-to-backscatter ratio for aerosol, also 11 called lidar ratio (LR). For the January-May 2020 period, the values of LR derived with the 12 13 lidar, are 88 and 60 sr for altitude above and below 23 km respectively. These values are 14 obtained from the attenuation of the lidar signals by using the methodology described by Uchino et al. (1983) and by Young (1995). The aerosol depolarization is computed from the backscatter 15 16 coefficient and the total linear volume depolarization ratio (Sakai et al., 2003). This latter is obtained by taking the ratio of the perpendicular to total components of the backscattered signal 17 18 at 532 nm. To investigate the aerosol variability induced by the Australian fires, it is needed to 19 define a background profile. The background profile is built from measurements performed 20 when atmosphere is not undergoing major disturbance (e.g, volcanic eruptions, pyro-convection outbreaks). In the present work, the background extinction profile at Lauder is built from 21 measurements performed between 1997 and 2004. 22

23

2.1.2 Reunion Island ground-based lidars

24 The Atmospheric Physics Observatory of La Réunion (OPAR) is a permanent station for long 25 term atmospheric observations (Baray et al., 2013). In particular, two lidar systems operating 26 in the UV (355 nm) and visible part of the light spectrum (532 nm) are used to retrieve ozone 27 and aerosols profiles at the Maïdo Observatory situated at 2200 m above mean sea level 28 (AMSL). These systems, named LiO3T (532 nm) and LiO3S (355 nm) are described by Duflot et al. (2017) and by Baray et al. (2006), respectively. These lidars measure aerosol optical 29 properties (extinction, backscatter ratio) from ~15 km to the middle stratosphere, up to ~35 km, 30 31 with a high vertical resolution (15 m). Operating at two distinct wavelengths, the synergy of 32 Reunion lidar profiles allows us to assess the Angström exponent of aerosols between 355 nm and 532 nm. In this study, the inversion process used the Klett method (Klett, 1985) with an 33 assumed lidar ratio of 60 sr, typical of aged BB aerosols (Müller et al., 2007). In the present 34





study we used 9 lidar profiles recorded during the January-March 2020 period. The background
 extinction profile at Reunion is built from measurements performed between 2017 and 2019

3 excluding the perturbation induced by the Calbuco eruption in April 2015 (Bègue et al., 2017).

4 2.1.3 CALIOP

Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) is a nadir pointing lidar orbiting 5 6 the Earth onboard the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation 7 (CALIPSO) satellite since 2006. CALIOP is a two-wavelength polarization-sensitive lidar (532 and 1064 nm) that measures total attenuated backscatter vertical profiles with altitude-varying 8 9 vertical (30-300 m) and horizontal (300-5000 m) resolution. In the present study, we used CALIOP product version 3.3 level 1B which includes calibrated attenuated backscatter along 10 with collocated meteorological information provided by the National Aeronautics and Space 11 Administration Global Modeling and Assimilation Office (GMAO). These data are 12 13 postprocessed using a treatment described and validated by Vernier et al. (2009). The Scattering 14 Ratio (SR) profiles used for the detection of the smoke plume are calculated following the methodology described by Khaykin et al. (2018). As a first step, the collocated GMAO data is 15 16 used to correct the backscatter profiles of molecular attenuation and ozone absorption. Then, the SR is calculated as the ratio of total and molecular backscatter coefficients, with the latter 17 18 derived from GMAO air density. The SR profiles are recalibrated at 36-39 km, following the methodology given by Vernier et al. (2009). The data with depolarization larger than 30 % are 19 discarded to the treatment in order to avoid aliasing cirrus clouds above the thermal tropopause. 20 The CALIOP data were obtained from the ACDISC data archive (ftp://acdisc.gsfc.nasa.gov) 21 hosted by NASA Goddard Space Flight Center. 22

23

2.1.4 Sun photometry measurements: Sky radiometer

24 A sky radiometer is a scanning sun-sky photometer able to perform measurements of direct sun 25 and diffuse sky irradiance under clear sky conditions, at seven wavelengths (between 315 and 26 1020 nm) and at several scattering angles. The direct solar extinction and diffuse sky radiance 27 measurements are used to derive the aerosol optical properties such as Aerosol Optical Depth 28 (AOD), Single Scattering Albedo (SSA) and aerosol size-distributions using the algorithm developed by Nakajima et al. (1996). A detailed description of the sky radiometer and the 29 associated data retrieval is given by Hashimoto et al. (2012). In the present study, we used the 30 31 sky radiometer observations performed at Lauder in the framework of the SKYNET network. 32 SKYNET is a ground-based network of sky radiometers with observation sites spread over Asia and other areas. Previous works have shown that the AOD from SKYNET is obtained with high 33 accuracy similar with that of the standard Langley method and with those from AERONET 34





(Campanelli et al., 2007; Che et al., 2008). At Lauder, the sky radiometer measurements have
 been made since 2011. These observations are used in the present study to investigate the
 aerosol variability induced by the passage of the Australian BB plume over Lauder. The
 background evolution of AOD is built with measurements performed between 2011 and 2018.
 The sky radiometer data used in this work are available on the SKYNET platform:
 https://www.skynet-isdc.org/

2.1.5 OMPS-LP

7

8 The Ozone Mapper and Profiler Suite Limb profiler (OMPS-LP) has been flying on the Suomi National Polar Partnership (NPP) satellite platform since October 2011. In the present study, 9 10 we use aerosol extinction profile from the NASA OMPS data product version 2.0 (Taha et al., 2021). The aerosol extinction profiles are retrieved from the limb scattering solar radiation. The 11 12 V2.0 algorithm uses OMPS-LP measurements at wavelengths 510, 600, 675, 745, 869 and 997 nm, selected to minimize the effect of gaseous absorption (Taha et al., 2021). Aerosol extinction 13 measurements are provided from 10 to 40 km altitude on a 1 km vertical grid. A near-global 14 coverage is produced within 3-4 days. The OMPS data are used in the present study to 15 16 investigate the global transport of aerosol BB plume and its influence on the aerosol variability 17 over Reunion. As recommended by Taha et al. (2021), we use aerosol extinction measurements at 745 nm. The background extinction profile is built with measurements performed from 2012 18 to 2014 and from 2016 to 2018. These periods are chosen in order to discard the perturbation 19 induced by the Calbuco eruption (Bègue et al., 2015). The OMPS data are downloaded from: 20 21 https://ozoneaq.gsfc.nasa.gov/.

The aerosol absorbing index (AAI) data from OMPS are also used to describe the transport of the aerosol BB plume. This index allows the detection of absorbing aerosols through the spectral difference between a given pair of UV wavelength. When its value is positive, it indicates the presence of UV-absorbing aerosols such as dust and smoke. Conversely, a negative value indicates the presence of non-absorbing aerosols while values close to zero are found in the presence of clouds. The AAI data used in this work are available on the NASA Earth Data platform: https://earthdata.nasa.gov/earth-observation-data

29 30

2.2 CO and water vapor measurements

2.2.1 FTIR

The total columns and volume mixing ratio profiles of trace gases such as CO are retrieved with high accuracy and precision with ground-based Fourier Transform Infrared (FTIR) spectrometers (Clerbaux et al., 2008; Vigouroux et al., 2015; Zhou et al., 2019). In the present study, we use the FTIR observations performed at Lauder and Reunion sites in the framework





1 of the NDACC and Total Carbon Column Observing Network (TCCON) networks respectively. A detailed description of the FTIR systems involved in both networks and the 2 3 associated data retrieval is given by de Mazière et al. (2018) and Wunch et al. (2015). A brief description is given hereafter. The CO measurements from FTIR at Lauder have been made 4 since the early 1990s. The measurements are made using a Bruker high-resolution spectrometer 5 over a wide spectral range (around 600-4500 cm⁻¹). The CO dataset used in this study is the 6 7 same as that used by Bègue et al., (2021) and Kloss et al., (2019). Details on the spectral 8 measurements, CO retrieval strategy and derived CO column abundances can be found within 9 these references. The CO total columns and volume mixing ratio profiles on 48-layer atmosphere (0.37-100 km asl) used in this study for the Lauder site are downloaded from the 10 NDACC website (http://www.ndacc.org). 11

The FTIR measurements at Reunion have been routinely performed in the framework of the 12 13 TCCON network since 2011. A Bruker high-resolution spectrometer over a wide spectral range 14 is also used at Reunion. The CO and O₂ total columns are simultaneously retrieved by using the GGG2014 code (Wunch et al., 2015). Column-averaged dry-air mole fraction of CO are 15 16 obtained from the retrieval of these two components. TCCON uses the O₂ total to determine the total column of the dry air. Then, the column-averaged dry-air mole fraction of CO is 17 18 calculated as the ratio between the retrieved CO total columns and the total columns of the dry air. The abundance of CO used in the study for Reunion are downloaded from the TCCON 19 (http://www.ndaccdemo.org/about/cooperating-networks/total-carbon-column-20 database observing-network). In the present study, the background evolution of CO is built with 21 measurements performed between 2015 and 2018 (De Mazière et al., 2017). 22

2.2.2 IASI

23

24 The Infrared Atmospheric Sounding Interferometer (IASI) measures chemical species such as 25 CO by using a Fourier Transform spectrometer (Clerbaux et al., 2009; Coheur et al., 2009). It 26 is flying onboard the three Metop satellites. Retrieval of CO total and partial columns occurs in 27 near real-time from the nadir radiances measured by the instrument in the thermal infrared 28 radiation covering wavelengths from 6.62 to $15.5 \,\mu$ m. Global distributions are obtained for day and night measurements, with a vertical range covering the troposphere and the lower 29 30 stratosphere. The total and partial column of CO are retrieved by using the Fast-Optimal 31 Retrievals on Layers for IASI (FORLI-CO, Hurtmans et al., 2012). Furthermore, the 32 contamination of the data by clouds is checked and flagged. In the present study, we used CO columns from IASI instruments on Metop-A and Metop-B, which have been operating since 33





- 1 2006 and 2012, respectively. The IASI products used in this work are available on the AERIS
- 2 platform: <u>https://iasi.aeris-data.fr/CO.</u>

3 2.2.3 MLS

The Microwave Limb Sounder (MLS) performs vertical profile measurements of multiple trace 4 gases in the UT-LS onboard Aura satellite since 2004 (Waters et al., 2006). In the present study, 5 the CO and water vapor observations (version 5) from January 2017 to January 2020 over a 6 7 global domain extending between 10° S and 25° S in latitude and 30° E and 60° E in longitude have been used. All MLS version 5 retrieval quality flags (quality, status, convergence, and 8 9 precision) were properly adhered to for all of our analyses (Livesey et al., 2020). Generally, recommended pressure levels for science applications with CO and water vapor MLS data range 10 from 0.0215 to 215 hPa (http://mls.jpl.nasa.gov/ data/v4-2 data quality document.pdf). The 11 CO and water vapor profiles from MLS are obtained from the Atmospheric Composition Data 12 and Information Services Center (ACDISC) archive (<u>ftp://acdisc.gsfc.nasa.gov</u>) hosted by the 13 14 NASA Goddard Space Flight Center.

15 16

2.3 Numerical Modelling

2.3.1 FLEXPART Model

The Lagrangian transport and diffusion model FLEXPART version 10.4 is used to simulate 17 18 long-range transport of atmospheric tracers (Pisso et al., 2019; Stohl et al., 2005). This version of FLEXPART includes improvements in different aspects such as physico-chemical 19 parameterizations (Pisso et al., 2019). Source identification occurs via the release of particles 20 from a receptor location and the simulation of backward trajectories. Model calculations are 21 based on ERA5 (Hersbach et al., 2020) meteorological data from the European Center for 22 Medium-Range Weather Forecasts (ECMWF) extracted at 3-hourly intervals with a horizontal 23 resolution of $0.5^{\circ} \times 0.5^{\circ}$ and a vertical resolution of 137 hybrid model levels (from the ground 24 25 to 0.01 hPa pressure altitude).

The model simulations are run with the aerosol (BC and OC) and CO tracers assuming removal 26 27 by dry and wet deposition for aerosols and OH reactions for CO. Each simulation consists of 28 20,000 particles released over Reunion during one day at altitudes between 15 and 19 km every 0.5 km and followed backward in time during one month. The simulation of backward 29 trajectories with FLEXPART for a long period (1-2 months) were previously explored in 30 31 previous studies (Aliaga et al., 2021; Eckhardt et al., 2017; Xu et al., 2021). The simulations 32 include the parameterization of turbulence and the activation of the convection. FLEXPART model outputs are distributed over a regular vertical grid of 0.5° x 0.5° from ground to 25 km in 33 altitude. The model outputs are used to discuss the residence time of the BB aerosols and CO, 34





1 and their contributions on the variability of the aerosol optical properties and CO over the SWIO basin. Discussions are based on the analysis of the emission sensitivity obtained from backward 2 3 simulations. The residence time of particles are integrated over the entire atmospheric column and over the latitude to create averaged-map and longitudinal cross-section map and to provide 4 information on the geographical and vertical dispersion of BB aerosols in the atmosphere. The 5 BB contributions on the vertical distribution of CO and the aerosol optical properties can be 6 7 calculated by combining the potential emission sensitivity (PES) with an emission inventory. 8 PES represents FLEXPART particles only in the layer/altitude at which the emissions are 9 injected. Pyro-convection is not taking into account in the model (nor ECMWF data). Then, a mass concentration profile of BB aerosol and CO is extracted by summing all the output grid-10 points. A layer between 0 and 3 km is used for the BB in Africa (as observed in GFAS "top 11 altitude of plume", Kaiser et al., 2012) and between 9 and 16 km for the Australian fires (as 12 13 observed by CALIOP observations) 14 In the present study, the Global Fire Assimilation System (GFAS) version 1.2 emission (Kaiser et al., 2012) has been used for the calculation. In addition, the Global Air Pollutant Emissions 15 16 - EDGAR v6.1 emission inventory (Kaiser et al., 2012, http://edgar.jrc.ec.europa.eu) is used for CO for the year 2018 with a $0.1^{\circ} \times 0.1^{\circ}$ grid. These emissions represent the total CO emissions 17 18 by anthropogenic activities by excluding large scale BB with Savannah burning, forest fires. As for the BB emissions, multiplying the CO emission flux from this inventory with the 19 20 FLEXPART emission sensitivity for a layer between 0 and 1 km gives access to the contribution of anthropogenic sources to the total CO abundance. At the end of the process, in the case of 21 22 aerosols, the mass concentration profile is converted in extinction profile in order to discuss on 23 the contribution of BB aerosols on the vertical distribution of the aerosol extinction observed from Lidar over Reunion. The conversion is performed by the use of Mie scattering model 24 assuming spherical particles with a density of 2 $g.cm^{-3}$ and a refractive index of 2.0 + 0.64i25 26 adapted to optically absorbing aerosols.

27 2.3.2 MIMOSA Model

The Modèle Isentropique de transport Mésoéchelle de l'Ozone Stratosphérique par Advection (MIMOSA) model is a potential vorticity (PV) advection model running on isentropic surfaces at a resolution of $0.3^{\circ} \times 0.3^{\circ}$ (Hauchecorne et al., 2002). The advection scheme is semi-Lagrangian with a time step of 1 h and driven by ECMWF meteorological analyses. The model can be run continuously in order to follow the evolution of PV filaments for several months. The accuracy of the model has been evaluated by Hauchecorne et al. (2002) and validated against airborne lidar ozone measurements using a correlation between PV and ozone, a quasi-



7



- 1 conserved chemical tracer on timescales of a week or so within most of the lower stratosphere
- 2 (Heese et al., 2001). The MIMOSA model can also be used to determine the origin of air masses
- 3 influencing a given site, similar to an isentropic Lagrangian trajectory model (Bencherif et al.,
- 4 2011; Hauchecorne et al., 2002; Portafaix et al., 2003; Bègue et al., 2017).

5 **3. Transport of the Australian BB plume over the Southern** 6 Hemisphere

3.1 Formation of an intense stratospheric smoke layer

Following the strongest outbreak during New Year's Eve, a wide plume of BB aerosol with 8 9 large values of AAI (higher than 12) is transported toward the Tasman Sea on 1st January 2020 (Fig. 1a). Figure 1b depicts the CALIOP attenuated scattering ratio (SR) profiles on 1st January 10 2020 above New-Zealand. The CALIOP attenuated SR profiles are calculated along the 11 CALIOP track (blue line in Fig. 1a) crossing the absorbing aerosol plume. CALIOP 12 observations reveal a broad region of high values (ranging from 10 to 25) between 36° S and 13 46°S centered at 16.5 km altitude (Fig. 1b). Khaykin et al. (2018) reported similar values during 14 the passage of the 2017 Canadian smoke plume over Observatoire de Haute-Provence (OHP, 15 16 France). Figure 1 reveals that New Zealand was significantly exposed to the transport of the Australian BB plume. The ground-based measurements recorded in New-Zealand are helpful 17 to investigate the evolution of the aerosol smoke. Figure 2a illustrates the daily extinction 18 profiles at 532 nm derived from lidar measurements over Lauder between 1st December 2019 19 and 1st April 2020. Note that a strong convective activity prevented lidar operations between 20 21 mid-December 2019 and the 1st January 2020. Figure 2a reveals a sharp increase in the extinction in the stratosphere over Lauder starting from mid-January 2020 with values ranging 22 from 3×10^{-3} km⁻¹ to 9×10^{-3} km⁻¹, one order of magnitude above the typical stratospheric 23 aerosol background (Vernier et al., 2012). The vertical extent of the plume increased 24 significantly between mid-January and 1st April 2020 with an aerosol layer spanning from 11.5 25 26 to 20 km. The ascent of the aerosol plume could be attributed to efficient adiabatic heating as a 27 result of the strong absorption of solar radiation by this black carbon rich plume. This is consistent with the results found by De Laat et al. (2012) during the Australian Black Saturday 28 29 fires occurring on February 2009. They showed a strong ascent of the BB aerosol due to 30 adiabatic heating effect. Figure 2b depicts the daily evolution of CO mixing ratio profile 31 obtained from FTIR measurements over Lauder between 1st December 2019 and 1st April 2020. 32 Prior to the convective period, the maximum of CO mixing ratio (120-130 ppbv) is observed in 33 the troposphere. An increase of CO mixing ratio in the lower stratosphere is visible from mid-





- 1 December 2019. One can observe that the maximum of the CO mixing ratio (50-90 ppbv) is
- 2 mainly observed in the UT-LS (9-13 km) during the February-April 2020 period.
- 3 The injection of BB aerosols and CO in the stratosphere induced significant disturbance evident
- 4 in the total columns over Lauder (Fig. 3a). Figure 3a depicts the monthly mean evolution of
 5 AOD and total columns of CO (TCO) at Lauder between 1st December 2019 and 1st April 2020
- 5 TIOD and total columns of CO (TCO) at Eaudor between T December 2019 and T Tipin 2020
- obtained from sky-radiometer and FTIR measurements respectively. AOD reaches its
 maximum value (0.17, 3 times higher than background value) in January 2020, decreasing to
- 8 background values in February 2020 (Fig. 3a). The similar evolution is also observed for the
- 9 TCO values. An abrupt increase in TCO (~9 % of the pre-event levels) is observed in January
- 10 2020 and the return to pre-event values is observed as of February 2020 as also already shown
- 11 in Kloss et al. (2021). Conversely, the perturbation on the stratospheric columns still persisting
- 12 after February 2020. Figure 3b illustrates the monthly mean evolution of stratospheric AOD
- 13 (sAOD) and CO columns (sCO) at Lauder between 1st December 2019 and 1st April 2020. The
- sAOD and sCO are calculated between 12 and 30 km from lidar and FTIR measurements,
- respectively. Figure 3b indicates that the evolution of sAOD and sCO is fairly similar. A statistically significant increase of sAOD is observed in January 2020 (2.5 times higher than
- background value) and still visible in April 2020 with same amplitude. sCO reaches its
- maximum values (~24 % of the pre-event levels) in January 2020 and slightly decreases in April
 2020 (~14% of the pre-event). This result is consistent with results found by Khaykin et al.
- 20 (2020). Based on the MLS observations, Khaykin et al. (2020) showed that the stratospheric
- mass of CO within the southern extra-tropics increased abruptly by around 20% compared tothe observed value during the pre-event period.
- Our results agree with previous studies (Kablick et al., 2020; Khaykin et al., 2020, Kloss et al.,
 2021) and confirm a persistent perturbation on the atmospheric composition of the extratropical stratosphere. In order to extend the discussion, the spatial dispersion of the smoke layer
- 26 will be discussed in the next section.

27

3.2 Spatial dispersion of sAOD and CO

Figure 4 depicts a time-averaged map of partial columns of aerosols (between 16 and 30 km, sAOD) and CO (between 9 and 30 km) obtained from OMPS and IASI observations between 9^{th} and 16^{th} January 2020, respectively. One can observe the correlation in the shape and spatiotemporal distribution between CO and sAOD over the Southern Pacific (Figs 4a and 4b). It is worthwhile mentioning that the transport of the aerosol (with values ranging from 6×10^{-3} to $1 \times 10^{-2} \text{ km}^{-1}$) and CO (with values ranging from 6 to 8×10^{17} molecules. cm⁻²) plume over the Southern Pacific occurred mainly within the 18°S – 60°S latitudinal band. The plume's structure





1 over the Southern Pacific can be explained by the fact that the core plume started to encapsulate into a compact bubble-like structure (with 4 km vertically extended and 1000 km horizontally 2 wide) on 7th January 2020 (Khaykin et al., 2020). Figures 4a and 4b reveals that the aerosol and 3 CO plume cross the Southern Pacific fairly quickly. Based on CALIOP and Lidar observations 4 at Punta Arenas (53.2°S, 70.9°E, Chile), Ohneiser et al. (2020) showed that aerosols injected 5 into the lower stratosphere over southeastern Australia crossed the Pacific Ocean within 10 6 days. On 10th January 2020, the aerosol plume is already visible over Punta Arenas and 7 structured into two layers. One can observe an aerosol band (with values ranging from 5×10^{-3} 8 to 9×10^{-3} km⁻¹) across the Southern Hemisphere between 40°S and 60°S during the 9-16th 9 January 2020 period (Figure 4a). Therefore, the Australian aerosol plume has already circled 10 11 the Southern Hemisphere during the first two weeks of January 2020. Based on MLS and CALIOP observations, Khaykin et al. (2020) showed that the smoke layer dispersed across the 12 whole Southern Hemisphere extra-tropics in less than two weeks whereas the carbon-rich core 13 remained bounded within the mid-latitudes (30°S-50°S). The fastest patches of smoke returned 14 over Australia by 13th January 2020 (Khaykin et al., 2020). The same conclusion cannot be 15 made for CO from space-borne observations (Figure 4b). One can observe weak values of CO 16 (less than 5×10^{17} molecule.cm⁻²) over southern Atlantic and without real link with the large 17 plume observed over southern Pacific (Fig. 4b). Except for the bubble structure, it seems that 18 19 the main part of the Australian BB plume has been transported bounded within the extra-tropical latitudinal band. Further discussions the dispersion of the Australian smoke layer over the 20 21 SWIO basin will be given in the next section.

22 23

4. Presence of aerosols smoke layer over the SWIO basin

4.1 Aerosol and CO variability over a subtropical site: Reunion

24 Figure 5a depicts the evolution of the sAOD at 532 nm calculated between 15 and 30 km from the ground-based lidars (LiO3T and LiO3S) and OMPS observations over Reunion from 1st 25 January to 1st March 2020. The Angström exponent for the 532–745 nm wavelength pair is 26 adopted from methodology in Taha et al. (2021) and set to 1.9. lidar observations over Reunion 27 are also used to calculate the aerosol Angstrom exponent (using 355 nm and 532 nm pairs). An 28 abrupt increase in the aerosol loading is clearly observed over Reunion as of 16th January 2020 29 30 according to satellite observations. This increase of the aerosol loading, three times above the typical background, was still visible until 1st March 2020. It is worthwhile mentioning that the 31 sAOD values observed between 16th January and 1st March 2020 are higher than those observed 32 during the passage of the Calbuco plume over Reunion site, which did not exceed 0.013 (Bègue 33 et al., 2017). The increase of sAOD in mid-January coincided with an increase of CO, as shown 34





1 in Figure 5b based on the use of total columns and CO abundance from IASI and FTIR at the same site and over the same period. The evolution of CO obtained from IASI and FTIR 2 3 measurements correlate. The ground-based and satellite observations clearly indicate a significant increase of CO with a peak observed in mid-January. The ground-based observations 4 show that the CO abundance observed during this increased phase is on average 20% higher 5 than the values observed during the background period (Fig. 5b). The evolution of sAOD and 6 7 CO observations in mid-January suggests that Reunion, and its surrounding, have been influenced by the transport of smoke aerosol layers. 8

9 Figures 6a and 6b illustrate the night-averaged extinction profiles at 355 nm derived from lidar measurements over Reunion on January and February 2020, respectively. The two first weeks 10 of January 2020 are representative of the January typical background (shaded area), as 11 illustrated on 13th January 2020 (Fig. 6a). Conversely, the extinction profiles at the end of 12 January 2020 (27th and 28th) are marked by a significant increase (4 times higher than the 13 14 background values) located in the lower stratosphere between 16.8 and 18 km altitude (equivalent to potential temperature levels 380-404 K). One can observe that the structure of 15 16 the extinction profile in the lower stratosphere has changed between these two days. On 28^{th} January, the extinction profile exhibits a sudden increase at 17.4 km (~400 K) and quickly 17 18 decreased afterwards to values observed the previous day (Fig. 6a). It is worthwhile mentioning that the values of extinction (10 to 17×10^{-3} km⁻¹) observed in the lower stratosphere on these 19 20 two days are of the same order as those observed at Lauder a few days after the pyro-convective event (Fig. 2). Figure 6a reveals a statistically significant increase (4 times higher than 21 background values) in aerosol extinction between 15 and 16.5 km altitude (361-375 K), on 27th 22 and 28th January 2020. Over Reunion, the lidar observations hence confirm the presence of a 23 significant aerosol layer in the UT-LS by the end of January. In February, the extinction profiles 24 25 clearly exhibit two significant aerosol layers with the first one located between 16 and 19.5 km (370-440 K) and the second one between 20 and 22.5 km (465-500 K) (Fig. 6b). 26

27 To further discuss the optical properties of these aerosol layers, the Angstrom exponent has been calculated between 355 nm and 532 nm from the LiO3S and LiO3T measurements (Figs. 28 29 6c and 6d). In February, the Angström exponent values reveal that the two aerosol layers consist mainly of small aerosol particles (Fig. 6d), consistent with a stratospheric smoke layer (Haarig 30 31 et al., 2018; Hu et al., 2019; Ohneiser et al., 2021). In January, the profile of Angström exponent exhibits more variability in the UT-LS (Fig. 6c) with values ranging from 0.6 to 1.9, on 27th 32 33 and 28th January. Based on the literature, the largest proportion of the observed Angström exponent range (up to ~ 1.5) within the plume, points to the presence of an aerosol smoke layer 34





1 (Das et al., 2021; Haarig et al., 2018; Hu et al., 2019; Kloss et al., 2019). The wide range of Angström exponent values suggests that the aerosol layer is not homogeneously distributed at 2 3 this stage and might be interpreted as a mixture of fresh and aged smoke layers (Fig. 6c). This may indicate growth and removal processes (e.g., coagulation, condensation, sedimentation) 4 which can modulate the morphology and mixing state of the aerosol layer during its transport 5 (Burton et al., 2015; Hamil et al., 1997). The residence time of the aerosol particles in the 6 7 atmosphere depends on the balance between the growth processes and the removal processes, which are likely to be controlled by the dynamical context. Previous works showed that the 8 9 dynamical context can modulate the structure and optical properties of the aerosol layer over a given site from day to day (Bègue et al., 2017; Kremser et al., 2016). Fresh aerosols can be 10 rapidly transported and mixed with pre-existing aged aerosol. Given the fact that the Angström 11 exponent values decrease with the duration of transport, we cannot exclude that the vertical 12 distribution of optical properties of aerosol over Reunion may also be explained by the regional 13 transport of air masses. 14

15

4.2 Origin of the air masses

To analyze the origin of air masses at Reunion on 27th and 28th January, one-month backward trajectories are calculated using FLEXPART (Aliaga et al., 2021; Eckhardt et al., 2017; Xu et al., 2021). A period of one month is chosen because it refers to time separating the pyroconvective outbreak event and the day of the measurement at Reunion. The representation of the PES (potential emission sensitivity) from back-trajectories simulations initialized at 18 km originating from Reunion on 27th and 28th January 2020 are presented in Figure 7.

Figures 7A-1 and 7B-1 display the horizontal trajectory paths, whilst vertical movement is 22 shown in Figures 7A-2 and 7B-2, respectively. The vertical transect of FLEXPART back 23 trajectories in Figure 7A-2 confirms a high probability of air mass contribution from the 24 25 Australia if the fires emissions are directly injected into the stratosphere by convection (black 26 rectangle in the figure), (i.e. layer of 9 to 16 km of injection taken for the PES, see section 2.3.1). Then, according to FLEXPART results, the air masses at 18 km over Australia moved 27 westward and reached Reunion on 27th January. One can observe that the same pattern is 28 observed on 28th January 2020 (Figs. 7B-1 and 7B-2). One part of the Australian smoke layer 29 is advected zonally by the prevailing easterly winds and is observed over Reunion on 27th and 30 28th January 2020 at 18 km. It is worthwhile mentioning that the same pattern has been observed 31 32 during the volcanic eruption of the Hunga Tonga on January 2022 (Baron et al, 2023, Kloss et 33 al., 2022; Sellitto et al., 2022). The FLEXPART simulations also suggest that Reunion is also influenced by eastward transport of air masses. This pathway is clearly visible on 28th January 34





2020 (Figs. 7B-1 and 7B-2). Figure 7B-1 reveals that air masses coming from the South
 American region and Australia region both reach the SWIO basin by passing over southern
 Africa. Furthermore, air masses from high latitudes seem to cross the subtropical latitudes
 following a wave shape and reach the SWIO basin by passing over the Cape of Good Hope
 (Figure 7B-1).

In order to improve the discussion on this eastward transport of air masses over the SWIO basin, 6 the MIMOSA model has been used to produce a continuous evolution of PV fields for the period 7 from 1st to 31st January 2020. Two advected PV maps derived for the 400 K isentropic level 8 9 from the MIMOSA model are depicted in Figure 8. The localization of the aerosol plume obtained from OMPS observations at the 400 K \pm 5 K isentropic level are also superimposed 10 (Fig. 8). The 400 K isentropic level is chosen according to the layers observed in the extinction 11 profiles over Reunion between 390 and 404 K isentropic level on 27th and 28th January 2020 12 (Fig. 6a). Figure 8 reveals significant wave activity during these two days. It is clearly shown 13 14 that air masses from mid-latitudes (40-60°S) cross the subtropical latitudes (20-40°S) and are advected eastward between South Africa and Madagascar following a wave shape. Given the 15 16 Australian BB aerosol are mainly located in the mid-latitudes (Fig. 4a), we can reasonably conclude that the filament reaching the SWIO basin contains aerosol from Australian BB event. 17 On 27th January, air masses containing aerosol are observed at Madagascar and its surroundings 18 (Fig. 8a). These air masses are advected eastward following the displacement of the wave shape 19 and reach Reunion, on 28th January (Fig. 8b). These results demonstrate that the unusual aerosol 20 load observed in the UT-LS above SWIO is to be linked to the Australian fires. Parts of the 21 smoke plume underwent an isentropic transport from the mid to tropical latitudes following two 22 23 distinct pathways to reach Reunion.

24 Nevertheless, the contribution of the Australian BB event on the variability of aerosols in the 25 upper troposphere (15-16.5 km; 361-375 K) remains ambiguous. The representation of the PES from back-trajectories simulations initialized at 16 km originating from Reunion for the 27th 26 and 28th January 2020 are presented in Figure 9. Figure 9A-1 and 9B-1 reveal that the 27 trajectories from Reunion at 16 km pass over southern Africa and Madagascar. One can observe 28 that the highest values of PES are located over southern Africa and Madagascar (Figs. 9A-1 29 and 9B-1). Furthermore, the FLEXPART simulations suggest that air masses from southern 30 Africa and Madagascar might have reached altitudes up to 16 km between 25°E and 55°E in 31 longitude and reached Reunion on 27th and 28th January 2020 (Figs. 9A-2 and 9A-2). The results 32 of Figure 9 show an influence of air masses coming from Africa and reaching the SWIO basin 33 at 16 km. Thus, the moderate increase in aerosol extinction observed in the upper troposphere 34





- 1 (between 16 and 17 km altitude) on 27th and 28th January in Figure 6a may be attributed to air
- 2 masses from regional sources, namely southern Africa and Madagascar.
- 3 In summary, our analysis suggests that the variability of CO and aerosols in the UT-LS over
- 4 the SWIO basin in January is explained both by long-range and regional transport of air masses.
- 5 The extent to which regional sources have contributed to the variability of the atmospheric
- 6 compounds over the SWIO is now investigated.

7 5. Discussion on the influence of the regional sources

8 We investigate the contribution of the African and Australian BB activity on the atmospheric 9 composition in the UT-LS over the SWIO basin. Because a significant simultaneous increase 10 of CO and sAOD is observed over Reunion and its surroundings from 16th to 29th January 2020, 11 the discussion will focus on this period. In order to assess the contribution of African and 12 Australian BB activity, FLEXPART is coupled with the GFAS inventory.

13

5.1 Presence of African air masses in the UT-LS

The location of fire-flagged pixels and the associated Fire Radiation Power (FRP) values from 14 MODIS between 16th and 29th January 2020 are reported in Figure 10a. FRP gives quantitative 15 information on combustion rates and its intensity. The sparse activity of the African fires in 16 17 January is clearly illustrated in Figure 10a with moderate values of FRP ranging from 20 to 200 MW.m⁻². These values are ten times lower than those observed over the southeastern Australia 18 between 30th December 2019 and 12th January 2020 (Bègue et al., 2021). One can observe that 19 the African BB activity in January 2020 is mainly located over the northwestern (near the 20 21 Equator) and southeastern side of southern Africa. The most intense values (100-200 MW.m⁻²) are observed over the southeastern side. 22

Despite this sparse activity of BB, the amount of CO injected into the atmosphere is fairly 23 significant and ranging from 5 to 6×10^{17} molecules.cm⁻². Time-averaged map of the partial 24 column of CO (between 9 and 30 km) from IASI observations between 16th and 29th January 25 2020 over the SWIO basin is reported on Figure 10b. The partial column of CO over the 26 southern Africa is characterized by two regions of high values (higher than 5 $\times 10^{17}$ 27 molecules.cm⁻²). The first region stretches between the eastern side of southern Africa and 28 western side of Madagascar which corresponds to a domain extending between 10°S and 25°S 29 in latitude and 30°E and 45°E in longitude (Fig. 10b). The second region is located on the 30 31 opposite side, over a domain extending between 10°S and 15°S in latitude and 5°E and 15°E in longitude. These regions of high values of CO seem to be mainly related to BB activities located 32 33 over the northwestern side of southern Africa (Fig. 10a). Although these BB activities are less intense than those observed over the southeastern side, they seem to have the potential to impact 34





the variability of CO between 9 and 30 km over the SWIO basin. This could be explained by
convective activity which can rapidly transport air masses from the boundary layer to the upper
troposphere (Pfister et al., 2010; Blamey and Reason, 2012). Previous works showed that,
during the southern summer, the convective activity over southern Africa and the SWIO basin
is triggered either from the ITCZ or tropical storms (Barimala et al., 2018; Héron et al., 2020;
Lashkari et al., 2017).

Figure 10c depicts a time-averaged map of OLR (Outgoing Longwave Radiation) anomalies 7 from NCEP analysis between 16th and 29th January 2020. One can observe that the main 8 9 convective regions (region of negative OLR anomalies) are located in mainland Africa between 12° S and 25° S and the northern side of the SWIO basin. It is worthwhile mentioning that the 10 daily brightness temperature values obtained from MODIS between 16th and 29th January 2020 11 (not shown) are ranging from 195 to 210 K over the eastern side of southern Africa and the 12 13 Mozambique Channel (around the north tip of Madagascar). These values of brightness 14 temperature can be attributed to deep convection clouds (Héron et al., 2020; Young et al., 2013). In most tropical basins, the deep convection can be separated into local convection and tropical 15 16 storms (Bovalo et al., 2012). In our case, the deep convection activity could be explained by the ITCZ activity moving southward, near the subtropical latitudes, during the austral summer 17 18 (Barimala et al., 2018; Lashkari et al., 2017). Through the analysis of ERA-Interim data over a period of 66 years, Lashkari et al. (2017) investigated the annual and seasonal displacement of 19 the ITCZ. On average, the motion of ITCZ over southern Africa on January is characterized by 20 southward move from 5°N to 20°S in latitude occurring between 20°E and 35°E in longitude 21 (Lashkari et al., 2017). Over the Mozambique Channel, the deep convection can be explained 22 by the tropical storm activity. Indeed, a tropical depression has been formed in the east side of 23 the Mozambique Channel (near the northwestern side of Madagascar) between 20th and 22nd 24 January 2020. This tropical depression reached the stage of strong tropical storm on 24th January 25 26 2020 and was called Diane by the RMSC (Regional Specialized Meteorological Center) of Reunion. The intensification of the tropical depression into strong tropical storm occurred 27 around the north tip of Madagascar. Diane passed near Reunion on 25th January 2020 (Fig. 10c). 28 In the present study, the convective activity over southern Africa and the SWIO basin may 29 hence be due to both ITCZ proximity and Diane activity. This convective activity also explains 30 31 the gap of CO observations from satellites over Madagascar and the northern side of southern 32 Africa (Fig. 10b).

To further discuss the vertical distribution of CO in the UT-LS within the region of deep convection, the vertical cross section of CO and water vapor mixing ratio anomalies calculated





from MLS observations between 16th to 29th January 2020 are analyzed (Figs. 11a and 11b). 1 The CO and water vapor mixing ratio anomalies are calculated as a relative difference by 2 3 considering the monthly background means as the reference values. The calculations are performed over a domain extending between 10°S and 25°S in latitude and 30°E and 60°E in 4 longitude (black box in Fig. 10b). The altitude-longitude cross-section is averaged for all 5 latitudes covering the study domain. This domain includes both the region of deep convection 6 7 and the first region of high values of CO. The monthly background is calculated from available MLS observations between 2017 and 2019. The altitude-longitude cross-section is averaged for 8 9 all latitudes covering the study domain. Figure 11a exhibits two regions of high values of CO mixing ratio anomalies (higher than 15%) centered at 37°E and 50°E in longitude. The 10 maximum CO mixing ratio anomalies in the first region are centered at 215 hPa (~12 km) with 11 anomalies ranging from 25% to 30%. The values of the anomalies decreased rapidly with 12 13 altitude. Indeed, the anomalies values obtained at 146 hPa (~15 km) and 100 hPa (~17 km) 14 ranged from 20% to 25% and from 15% to % respectively. In the second region, the maximum CO mixing ratio anomalies are also centered in the middle troposphere (215 hPa). One can 15 16 observe that these regions of CO mixing ratio anomalies are in coincidence with two regions of high values (higher than 20%) of water vapor mixing anomalies (Fig. 11b). The maxima of 17 18 water vapor mixing ratio anomalies are centered at 146 hPa with values ranging from 40% to 50%. This coincidence suggests a significant perturbation of the vertical distribution of CO and 19 water vapor mixing ratio induced by the convective activity over southern Africa and the SWIO 20 basin. Given the localization of the Diane tropical storm over the SWIO basin, we can 21 reasonably assume that it contributed significantly to impact the vertical distribution of CO and 22 water vapor in the second region aforementioned. One can observe that fire flagged pixels (with 23 FRP values range between 10 and 30 MW.m⁻²) are detected by MODIS over the East of 24 25 Madagascar (Fig. 10a). We can assume that the convective activity induced by Diane near 26 Madagascar may have contributed to lift air masses enriched in CO from the lower troposphere. 27 This could explain the high values of CO mixing ratio anomalies observed in the second region. 28 This is consistent with the FLEXPART simulations which highlight a lift of air masses from the lower troposphere to lower stratosphere between 25°E to 55° E in longitude (Figure 9). One 29 can observe that the most significant anomalies of the vertical distribution of CO and water 30 31 vapor mixing ratio stretch from the middle troposphere (215 hPa) up to the tropopause layer 32 (100 hPa,). The values observed in the lower stratosphere are less than those observed in the troposphere. Indeed, the CO and water vapor mixing ratio anomalies at 68 hPa (~19 km) are 33 less than 10 % and 20 % respectively. At 68 hPa, it can be observed that high values of water 34





vapor mixing ratio anomalies are not in coincidence with high values of CO mixing ratio
anomalies, but located along the vertical extent of the maxima of CO mixing ratio anomalies in
the troposphere.

Our analysis corroborates the results found by Héron et al. (2020). Based on radiosonde and 4 satellite observations, Héron et al. (2020) showed that convective activity over the SWIO basin 5 6 has the potential to influence the variability of ozone and water vapor in the upper-troposphere 7 during the austral summer. In the present study, the satellite observations and FLEXPART simulations strongly suggests that African air masses enriched in CO and aerosols were lifted 8 9 from the lower troposphere to the lower stratosphere due to convective activity. Our results demonstrate that the variability of CO and aerosol over the SWIO basin can be explained both 10 by the influence of long-range transport of the Australian fires plumes, together with regional 11 transport from southern Africa, enhanced by convective activity due to the passage of a tropical 12 13 storm.

14

5.2 Discussion on the variability of the total and partial column of CO

15 The African and Australian BB contributions to CO measured concentrations over Reunion are assessed by coupling the FLEXPART model with gases emissions from the GFAS inventory. 16 17 The emission sensitivity from FLEXPART, only at the altitude where the emissions are injected (0-3 km for Africa fires and 9-16 km for Australian fires), is combined with CO emission 18 19 inventory. The CO emissions due to anthropogenic activity are also taken considered by coupling the FLEXPART model with the EDGAR inventory. The evolutions of the total and 20 partial column of CO observed by IASI and simulated by FLEXPART from 15th to 29th January 21 22 over Reunion are depicted in Figure 12.

23 Despite the underestimation of the simulated total and partial column of CO, their overall temporal evolution is fairly well reproduced by FLEXPART (Fig. 12). The discrepancies 24 25 between FLEXPART and IASI may be attributable to several possible caveats. A possible 26 source of error can be due to the fact that the vertical motion induced by pyro-convection is not included in FLEXPART. We address this issue by applying an injection height in agreement 27 28 with CALIOP observations (9-16 km, Fig. 1) for the Australian plume (Khaykin et al., 2020). 29 An injection height ranging up to 3 km was chosen for the African fires (Labonne et al., 2007). 30 The injection height of the plume plays a key role in its long-range transport (Sofiev et al., 31 2012). An inappropriate or unrealistic injection height can lead to either a dilution or an 32 overestimation of the plume. The injection height depends on the intensity of the fire, as well 33 as on the meteorological conditions. Another possible explanation in these differences can come 34 from the duration of the backward calculation (1 month) (Brocchi et al., 2018). Underestimation





1 of the total and partial columns of CO may also be the result of an underestimation of the CO emissions by GFAS. In the framework of their FLEXPART simulation, Brocchi et al. (2018) 2 3 reveal that an amplification factor of 2 has been applied to CO emissions from GFAS to get similar CO quantities to observations. Nevertheless, these simulations can reasonably be used 4 to discuss the contribution of CO emissions from Africa and Australia on the variability of the 5 total and partial columns of CO over the SWIO basin. 6 7 The contribution of the CO emissions from Africa and Australia on the total and partial columns of CO over Reunion is isolated and depicted in Figure 12. On average, the CO emissions from 8 9 Africa contribute up to 90% of the enhancement of the total and partial column of CO from 15th

to 29th January. The transport of the CO plume induced by the Australian sources have not been efficient over the SWIO basin. This could be explained by the fact that CO decays quickly due to photochemical oxidation whose efficiency increase with altitude. Khaykin et al. (2020) showed that the decay of CO within the bubble occurred during its transport over the Southern Pacific on its way to the Indian ocean. The variability of CO over the SWIO basin is hence mainly explained by the regional transport of air masses.

16

5.3 Discussion on the variability of the aerosols

17 The FLEXPART simulations for the contribution of aerosol (BC and OC) emissions from Africa and Australia on the sAOD over Reunion use the same parameters as for CO, in 18 19 particular the injection height. The emission sensitivity from FLEXPART is combined with BC and OC from the GFAS emission inventory. Figure 13 depicts the evolution of the sAOD 20 observed by OMPS and simulated by FLEXPART from 15th to 29th January over Reunion. 21 Unfortunately, few data have been recorded during the period aforementioned. Nevertheless, 22 the simulated sAOD compare fairly well with the available OMPS observations during this 23 period, and the sAOD peak observed on 19th January is acceptably well reproduced. Figure 13 24 25 reveals that the variability of the sAOD between 15 and 30 km altitude is mainly driven by the 26 aerosol emissions from Australia. On average, the aerosol emissions from Australia contributed up to 95 % of the sAOD variability over Reunion from 15th to 29th January. One can observe 27 28 that the evolution of sAOD is not correlated to the evolution of the aerosol emission from Africa which is marked by an increase from 21th to 29th January. The weak contribution of African 29 30 component on the sAOD can be explained by the fact that the amounts of African BB aerosols injected in the atmosphere by the convective activity decrease with altitude. Moreover, it is 31 32 likely that aerosol would be scavenged by cloud droplets (taken into account in FLEXPART) 33 in a strongly convective environment such as tropical storm Diane. Overall, FLEXPART simulations clearly suggested the contribution of African and Australian BB aerosols. 34





6. Summary and Conclusion

The complex aerosol and CO variabilities over the SWIO basin during the 2020 austral summer 2 3 have been investigated. The meteorological context and the extensive fires over southeastern Australia were favorable to trigger pyro-convective events between 29th December 2019 and 4 12th January 2020. These pyro-convective events led to massive injection of combustion 5 products in the stratosphere. The ground-based and space-borne lidars revealed the presence of 6 7 an intense stratospheric smoke layer over the southeastern Australia region. Over the Lauder site in New Zealand, this smoke layer was detected in the stratosphere (centered at 16 km) until 8 9 April and beyond. The analysis of the spatial and temporal dispersion of the Australian smoke layer highlighted its quick transport circling the entire Southern Hemisphere in less than two 10 weeks. Furthermore, the satellite observations revealed that the transport of the Australian 11 12 smoke layer has been mainly bounded within an extra-tropical latitudinal band. Nevertheless, the numerical models clearly showed the influence of the Australian smoke layer 13 on the variability of aerosol over the SWIO basin. Over Reunion, the aerosol extinction profiles 14 exhibited a significant increase in the lower stratosphere during the end of January. The 15 16 MIMOSA simulations highlighted the isentropic transport of the Australian BB aerosols from extra-tropical latitudes to Reunion at 400 K isentropic level, on 28th January. As a consequence, 17 18 the corresponding aerosol extinction profile exhibited a sudden increase by drawing a structure similar to a laminae at the 400 K isentropic level. The aerosol extinction profiles also exhibited 19 20 a moderate increase in the upper troposphere. This paper investigates for the first time the possibility of the African emissions from BB to 21 influence the CO and aerosol distribution in the UT-LS during the convective season. Despite 22 the fact that African BB activity is usually sparse in January, it contributed to modulate the 23 24 vertical distribution of CO and aerosols in the upper troposphere over the SWIO basin. The

analysis of satellite observations and FLEXPART simulations suggested that because of the
convective activity, air masses enriched in CO and aerosols have been lifted from the lower
troposphere to the lower stratosphere. Air masses from Africa contributed up to 90% of the total
and partial column (between 9 and 30 km) of CO variability over Reunion and its surroundings.
The simulations shows that the modulation of the aerosol extinction in the upper troposphere
and the lower stratosphere, over Reunion, was driven by the transport of air masses from both
Africa and Australia, respectively. Our findings suggest simultaneous presence of African and

- 32 Australian aerosol smoke layers at Reunion.
- 33
- 34





1 Acknowledgements

We thank Isamu Morino and his staff for their effort in establishing and maintaining the sun-2 3 sky radiometer at Lauder. Data used in this publication were obtained from NDACC network and are available through its website (http://www.ndacc.org/). The work of S. Khaykin has been 4 supported by the Agence Nationale de la Recherche PyroStrat project (21-CE01- 335 0007-01). 5 IASI was developed and built under the responsibility of the "Centre National d'Etudes 6 Spatiales" (CNES, France) and flown on board the MetOp satellites as part of the EUMETSAT 7 8 Polar System. The authors thank the AERIS infrastructure (<u>https://iasi.aeris-data.fr/</u>) for 9 providing access to the IASI data, the National Aeronautical and Space Administration (NASA) for providing CALIOP data, MLS and MODIS fire products. We would especially like to thank 10 11 the staff of the team working on the lidar systems at the Maïdo observatory. The French Research Infrastructure ACTRIS-FR, CNES and the EU project REALISTIC (GA 101086690) 12 are also acknowledged for their support in the upgrade and operation of the Maïdo observatory 13 lidars. Lauder observations are funded by the New Zealand Government's Strategic Science 14 Investment Fund (SSIF), administered by the Ministry of Business, Innovation and 15 16 Employment (MBIE). The TCCON site at Réunion Island has been operated by the Royal Belgian Institute for Space Aeronomy with financial support since 2014 by the EU project 17 ICOS-Inwire, the ministerial decree for ICOS (FR/35/IC1 to FR/35/C6), ESFRI-FED ICOS-18 BE project and local activities supported by LACy/UMR8105 and by OSU-R/UMS3365 -19 Université de La Réunion. The lidar measurements are supported by the funding of GOSAT 20 series project. 21

22 Data availability

The data used for this study are available and open access by request to scientist mentioned or through the link hereafter: Lidar measurements (<u>tetsu@mri-jma.go.jp</u>, <u>nelson.begue@univ-</u> <u>reunion.fr</u>), radiometer measurements (<u>ben.liley@niwa.co.nz</u>), FTIR measurements from TCCON network (<u>mahesh.sha@aeronomie.be</u>); Lauder FTIR data available on the NDACC public access database (https://www-air.larc.nasa.gov/missions/ndacc/data.html); The satellite observations and emission inventory used are available on-line from the sources as stated in the manuscript.





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Figure 1: (a) Map of Aerosol Absorbing Index obtained from OMPS observations and (b)
scattering ratio profiles at 532 nm obtained from CALIOP observations on 1st January 2020.
The orbit overpass of CALIOP is indicated by the blue curve, while the blue square corresponds
to the Lauder site in plot (a). The black dashed line in (b) corresponds to the 380 K isentropic
level calculated from CALIOP observations.







Figure 2: Time series of daily profiles at Lauder of (a) aerosol extinction at 532 nm obtained
from lidar and (b) CO mixing ratio obtained from FTIR between 1st December 2019 and 1st
April 2020. In order to screen non-aerosol contributors (such as clouds) on the extinction
measurements, a mask based on the method reported by Nicolae et al. (2013) that includes
consideration on plausible aerosols properties was used. Namely, we only kept profile parts
with positive depolarization values, and Angström exponent ranges from 0.1 to 4. The grey line
indicates the tropopause height obtained from radiosonde measurements.







Figure 3: (a) Monthly mean evolution of total column of aerosol (AOD at 500 nm) and CO obtained from SKYNET radiometer and FTIR respectively over Lauder from 1st December 2019 to 1st April 2020. (b) Monthly mean evolution of the stratospheric AOD (sAOD) and CO (sCO) columns at Lauder between 1st December 2019 and 1st April 2020. The sAOD and sCO are calculated between 12 and 30 km from lidar and FTIR measurements respectively. The background evolution of aerosol data (AOD: 2011-2018 and sAOD: 1997-2004) and the associated standard deviation are given in black lines and grey areas, respectively.







Figure 4: Time-averaged map (from 9th to 16th January 2020) of (a) sAOD (between 16 and 30

4 km at 745 nm) obtained from OMPS observations and (b) partial column of CO (averaged
5 between 9 and 30 km) obtained from IASI observations. The location of Reunion and Lauder

6 sites are indicated by R and L respectively.





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Figure 5: Daily mean evolution of aerosol (a) and CO (b) abundances obtained from groundbased and satellite observations at Reunion between 1st January and 1st March 2020. Total
column (molecule.cm⁻²) and abundance (ppb) of CO obtained from IASI (blue line) and FTIR
(red line) respectively are given in the lower panel (b), while sAOD obtained from OMPS (red
line) and Lidar (blue and green dots) are given in the upper panel (a). The black and dashed
lines correspond to monthly mean and the associated standard deviation calculated during the
background period.







Figure 6: Aerosol extinction (at 355 nm) (a, b) and Angström exponent (355-532 nm) (c, d)
 obtained from lidar observations at Reunion in the months January and February 2020. The
 tropopause height is indicated by the orange horizontal lines.







13 integration of the trajectory positions over the whole altitude range. A-2 and B-2 are the vertical

- view integrated over the whole latitude range of the back trajectories A-1 and B-1. The blackrectangle represented the injection height of the biomass burning aerosols.
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Figure 8: Advected PV map at the 400 K level obtained from the MIMOSA model (a) on 27
January 2022 and (b) on 28 January 2022. The white dots represent the localization of the
aerosol plume at 400 K ± 5 K obtained from OMPS observations, while the black cross indicates
Reunion.







Figure 9: Same as figure 7 with an injection height initialized at 16 km.

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Figure 10: a) The total number of fire pixel and the associated fire radiative power obtained 2 from MODIS observation between 16th and 29th January 2020. b) Time-average map of partial 3 column of CO (calculated between 9 and 30 km) obtained from IASI observations averaged 4 between 16th and 29th January 2020. The black square corresponds to the study domain where 5 the vertical cross-section of CO and water vapor mixing ratio are calculated and reported in 6 7 Figure 11. c) Time-average map of outgoing longwave radiation anomalies obtained from NCEP between 16th and 29th January 2020. The red curve corresponds the trajectory followed 8 9 by the Diane strong tropical storm from 22nd to 29th January 2020. This trajectory is obtained from the RSMC (Regional Specialized Meteorological Center) of Reunion best-track database. 10

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- 3 Figure 11: Vertical cross section of (a) CO and (b) water vapor mixing ratio anomalies obtained
- 4 from MLS observation over southern Africa and the SWIO basin (black box in Figure 10b)
- 5 between 16th and 29th January 2020.
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Figure 12: Daily evolution of (a) total column and (b) partial column (calculated between 9 and 30 km) of CO observed by IASI (red line) and simulated by FLEXPART (black line) over
Reunion from 15th to 29th January 2020. The CO evolution is simulated by FLEXPART in considering only the CO emission (including BB and anthropogenic activity) from African (cyan line) and Australian (orange line) BB sources.





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Figure 13: Daily evolution of sAOD (calculated between 15 and 30 km at 532 nm) obtained
from OMPS (red line), lidar (blue dots) and simulated by FLEXPART (black line) over Reunion
from 15th to 29th January 2020. The simulated sAOD are calculated in considering only the
aerosol emission (BC and OC) from African (cyan line) and Australian (orange line) BB
sources.