

# Investigation of satellite vertical sensitivity on long-term retrieved lower tropospheric ozone trends

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1	Investigation of satellite vertical sensitivity on long-term retrieved lower tropospheric ozone trends
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22 23	Key Points
24 25	<ul> <li>Satellite lower tropospheric column ozone (LTCO₃) trends in the northern hemisphere show small scale trends with large uncertainty ranges between 2008 and 2017.</li> </ul>
26 27 28	<ul> <li>Modelled LTCO<sub>3</sub> over that period is temporally stable and application of the satellite averaging kernels (AKs), accounting for the vertical sensitivity, to the model yields little impact on the simulated trends.</li> </ul>
29	Abstract
30 31	Abstract:  Ozone is a potent air pollutant in the lower troposphere and an important short-lived climate forcer (SLCF) in
32	the upper troposphere. Studies investigating long-term trends in tropospheric column ozone (TCO <sub>3</sub> ) have
33	shown large-scale spatiotemporal inconsistencies. Here, we investigate the long-term trends in lower
34	tropospheric column ozone (LTCO <sub>3</sub> , surface-450 hPa sub-column) by exploiting a synergy of satellite and
35 36	ozonesonde datasets and an Earth System Model (UKESM) over North America, Europe and East Asia for the decade 2008-2017. Overall, we typically find small LTCO <sub>3</sub> linear trends with large uncertainty ranges from the
30 37	Ozone Monitoring Instrument (OMI) and the Infrared Atmospheric Sounding Interferometer (IASI), while
38	model simulations indicate a stable LTCO <sub>3</sub> tendency. Trends in the satellite a priori datasets show negligible





- 39 trends indicating year-to-year sampling is not an issue. The application of the satellite averaging kernels
- 40 (AKs) to the UKESM ozone profiles, accounting for the satellite vertical sensitivity and allowing for like-for-
- 41 like comparisons, has a limited impact on the modelled LTCO<sub>3</sub> tendency in most cases. While, in relative
- 42 terms, this is more substantial (e.g. in the order of 100%), the absolute magnitudes of the model trends
- 43 show negligible change. However, as the model has a near-zero tendency, artificial trends were imposed on
- 44 the model time-series (i.e. LTCO<sub>3</sub> values rearranged from smallest to largest) to test the influence of the AKs
- 45 but simulated LTCO₃ trends remained small. Therefore, the LTCO₃ tendency between 2008 and 2017 in
- 46 northern hemispheric regions are likely small, with large uncertainties, and it is difficult to detect any small
- 47 underlying linear trends due to inter-annual variability or other factors which require further investigation.

## 1. Introduction

- 49 Tropospheric ozone (TO₃) is a short-lived climate forcer (SLCF) and an important greenhouse gas (GHG;
- 50 Myhre et al., 2013; Forster et al., 2021). TO₃ is also a hazardous air pollutant with adverse impacts on human
- 51 health (Doherty et al., 2017; WHO, 2022) and agricultural/natural vegetation (Sitch et al., 2007; Hollaway et
- 52 al., 2012). Since the pre-industrial (PI) period, anthropogenic activities have increased the atmospheric
- 53 loading of ozone (O₃) precursor gases, most notably methane (CH₄) and nitrogen oxides (NOx) resulting in an
- 54 increase in TO₃ of 25-50% since 1900 (Gauss et al., 2006; Lamarque et al., 2010; Young et al., 2013). The PI to
- 55 present day (PD) radiative forcing (RF) from TO₃ is estimated by the Intergovernmental Panel on Climate
- 56 Change (IPCC) to be 0.47 Wm<sup>-2</sup> (Forster et al., 2021) with an uncertainty range of 0.24-0.70 Wm<sup>-2</sup>.
- 57 During the satellite-era (i.e. since the mid-1990s), extensive records of TO<sub>3</sub> have been produced, e.g. by the
- 58 European Space Agency Climate Change Initiative (ESA-CCI; ESA, 2019). However, the large presents of
- 59 stratospheric O<sub>3</sub>, coupled with the different vertical sensitivities and sources of error associated with
- observations in different wavelength regions (e.g. Eskes and Boersma 2003; Ziemke et al., 2011; Miles et al.,
- 61 2015) means large-scale inconsistencies in time and space exist between the records of satellite
- 62 tropospheric column ozone (TCO<sub>3</sub>) (as shown by Gaudel et al., 2018).
- 63 The work by Gaudel et al. (2018) was part of the Tropospheric Ozone Assessment Report (TOAR), which
- 64 represented a large global effort to understand spatio-temporal patterns and variability in TO<sub>3</sub>. However,
- 65 their investigation of ozonesondes (2003-2012) and products from nadir viewing satellites in polar orbits
- 66 (three from the Ozone Monitoring Instrument (OMI) (2005-2015/6) and two from the Infrared Atmospheric
- 67 Sounding Interferometer (IASI) (2008-2016)) displayed discrepancies in the spatial distribution, magnitude,
- 68 direction and significance of the TCO₃ trends. They noted that the records cover slightly different time
- 69 periods but were unable to provide any definitive reasons for these discrepancies beyond briefly suggesting
- 70 that differences in measurement techniques and retrieval methods were likely to be causing the observed
- 71 spatial inconsistencies.
- 72 The vertical sensitivity of each retrieved product (function of measurement technique and retrieval
- 73 methodology) used by Gaudel et al. (2018) will have had an impact on which part of the troposphere the O<sub>3</sub>
- 74 signal is weighted towards. This was evident in the OMI and IASI TCO<sub>3</sub> trends, where OMI showed
- 75 predominantly positive trends between 60°S and 60°N while the opposite was the case for IASI. The vertical
- 76 sensitivity is represented by the "averaging kernel" (AK), which provides the relationship between
- 77 perturbations at different levels in the retrieved and true profiles (Eskes and Boersma, 2003). Typically, for
- 78 the products used by Gaudel et al., (2018), the peak AK sensitivities for TO₃ are in the 0-6 km range for OMI
- 79 (Miles et al., 2015) and around 11-12 km for IASI (Keim et al., 2009). In the case of the Rutherford Appleton
- 80 Laboratory (RAL) Space OMI data, used in Gaudel et al., (2018),TCO<sub>3</sub> values were derived from retrieved
- 81 surface 450hPa layer average mixing ratios applied also to the overlying 450hpa tropopause layer using



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ERA-Interim profiles. As the TO₃ values were derived from different (UV and IR) sensors and methodologies 82 83 whose vertical sensitivities differ, they were likely representing O₃ controlled by different contributions of 84 atmospheric processes (e.g. precursor emissions from the surface and stratosphere-troposphere exchanges). 85 Therefore, TCO₃ trends from the different satellite products are not necessarily expected to be similar. In this study, we undertake the first assessment of spatio-temporal variability in satellite-derived lower 86 87 tropospheric column ozone (LTCO3, surface-450 hPa) from three instruments over a consistent decade 88 (2008-2017). In combination with an Earth System Model (ESM), we aim to quantify the impact of year-to-89 year sampling, the satellite instrument uncertainties and the instrument vertical sensitivity on long-term 90 LTCO₃ trends. We focus our analysis on North America, Europe and East Asia given their large emissions of 91 ozone precursor gases and temporal variability. In our manuscript, Section 2 discusses the 92 satellite/ozonesonde datasets and model used, Section 3 presents our results, and our discussion/

### 2. Methodology and Datasets

conclusions are summarised in **Sections 4 and 5**.

#### 2.1. Satellite Datasets

96 The satellite products (see Table 1) used here are from nadir-viewing polar-orbiting platforms providing 97 ozone sub-column profiles. This includes ozone profile data from the OMI product developed by the RAL Space and the IASI products from the Laboratoire d'aérologie (IASI-SOFRID) and the Université Libre de 98 99 Bruxelles, in collaboration with the Laboratoire Atmosphères, Observations Spatiales (ULB-LATMOS) (IASI-100 FORLI). OMI and IASI are on NASA's Aura and Eumetsat's MetOp-A satellites in sun-synchronous low Earth orbits with local overpass times of 13.30 and 9.30, respectively. OMI and IASI are ultraviolet-visible (UV-Vis) 101 102 and infrared (IR) sounders with spectral ranges of 270-500 nm (Boersma et al., 2008, Boersma et al., 2011) 103 and 645-2760 cm<sup>-1</sup> (Illingworth et al., 2011), respectively. OMI has a spatial footprint at nadir of 24 km × 13 104 km, while IASI measures simultaneously in four fields of view (FOV, each circular at nadir with a diameter of 105 12 km) in a 50 km x 50 km square which are scanned across track to sample a 2200 km-wide swath (Clerbaux 106 et al., 2009). The OMI retrieval scheme is based on an optimal estimation (OE) approach, produced by RAL 107 Space, which is described in detail by Miles et al., (2015). The retrieval schemes for IASI-FORLI and IASI-108 SOFRID O₃ are discussed in detail by Boynard et al., (2018) and Barret et al., (2020). In this work, the OMI 109 data were filtered for good quality retrievals where the geometric cloud fraction was <0.2, the sub-column  $O_3$  values were > 0.0, the solar zenith angle < 80.0°, the retrieval convergence flag = 1.0 and the normalised 110 cost function was < 2.0. The IASI-FORLI data were filtered for a geometric cloud fraction <0.13 (pre-filtered), 111 112 degrees of freedom > 2.0, O<sub>3</sub> values > 0.0, solar zenith angle  $< 80.0^{\circ}$  and the surface to 450 hPa sub-column 113 O<sub>3</sub> / total column O<sub>3</sub> < 0.085. The IASI-SOFRID data were provided on a 1.0°×1.0° horizontal grid (i.e. level 3 product, but at a daily temporal resolution – we use the daytime data in this study) with filtering already 114 115 applied in Barret et al., (2020). Here, only  $O_3$  values > 0.0 were used. To remove systematic biases between 116 the satellite records, ozonesondes were used to generate bias correction factors (2008-2017) to help 117 harmonise the data sets. This is discussed in the Supplementary Material (i.e. S1). The application of the 118 satellite AKs to the ozonesondes and the model is also discussed in \$1. To investigate long-term trends over 119 North America, Europe and East Asia, the Hemispheric Transport of Air Pollution (HTAP) regional sea-land 120 mask (European Commission (2016); see S2, Figure S2), is used to generate average monthly time-series for 121 each product over each region of interest. In Section 3.2, where we discuss the impact of satellite retrieval 122 errors on derived LTCO<sub>3</sub> linear trends, the OMI and IASI-FORLI retrieval errors are provided in their product 123 files, but are not available for IASI-SOFRID. Therefore, while not a perfect metric to represent the error in the 124 IASI-SOFRID data, we use the standard deviation in the monthly-spatial average of the regional time-series.



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## 2.2. United Kingdom Earth System Model (UKESM)

The UK's Earth System Model, UKESM1.0, is a state-of-the-art ESM with fully interactive coupled component 126 127

models (e.g. atmosphere, ocean, land surface, atmospheric chemistry), which has been developed by the UK

128 Met Office and the Natural Environment Research Council (NERC). The detailed coupling of all the Earth

System components is described by Sellar et al. (2019). However, in this study, we run UKESM1.0 in an 129

130 atmosphere only configuration (e.g. similar to Archibald et al., (2020)). The aim is to use UKESM1.0 to

131 investigate long-term trends in TO<sub>3</sub> and help explore inconsistencies between satellite records, so it is

computationally more time efficient as only the atmospheric dynamics and chemistry components are

simulated. Over the 2008-2017 time period (with a 1-year spin up), the UKESM1.0 model tracers and 133

134 diagnostics (e.g. ozone, pressure) are output as 3D fields at sub-daily (6-hourly) time steps to allow robust

135 comparisons between the model and satellite data sets (i.e. model-satellite spatio-temporal co-location to

136 reduce representation biases and application of the satellite AKs to map the instrument vertical sensitivity

137 onto the model yielding like-for-like comparisons).

138 Here, the UKESM1.0 land and atmosphere share a regular latitude-longitude grid with a resolution of 1.25°

139 ×1.875° with 85 vertical levels on a terrain-following hybrid height coordinate with a model lid at 85 km

140 above sea level (50 levels are below 18 km). All the key inputs to the model from other Earth system

141 components (e.g. sea surface temperature (SST) and land surface vegetation) were prescribed from ancillary

142 files. The ocean and ice forcing are represented by the monthly Reynolds sea ice and SSTs data from the

143 National Oceanic and Atmospheric Administration (NOAA, https://climatedataguide.ucar.edu/climate-

144 data/). Solar forcings are provided by Phase 6 of the Coupled Model Intercomparison Project (CMIP6;

145 Matthes et al., 2017; Eyring et al., 2016), as is the stratospheric aerosol climatology to represent

146 contributions from volcanic eruptions (Sellar et al., 2019). The land cover is provided from output from the

147 land surface component of the ESM (JULES; Wiltshire et al., 2021) from a fully coupled historical simulation.

148 Anthropogenic and biomass burning emissions from Hoesly et al. (2018) and van Marle et al. (2017) are

149 prescribed for the period 2008 to 2014. After 2014, anthropogenic and biomass burning emissions are from

150 the Shared Socioeconomic Pathway (SSP, Rao et al., 2017) 2-4.5 (i.e. a middle-of-the-road climate and

151 emissions scenario).

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152 Biological emissions are a climatology between 2001 and 2010 from the MEGAN-MACC data base

153 (Sindelarova et al., 2014), while natural emissions are from the Precursors of Ozone and their Effects in the

Troposphere (POET, http://accent.aero.jussieu.fr/database\_table\_inventories.php) based on 1990. Dry 154

155 deposition of O<sub>3</sub> to the land surface is represented by the Wesley scheme, which is applied as in O'Connor et

al., (2014). The model is also in a nudged or "specified dynamics" configuration (i.e. meteorological analyses

are used to "nudge" the model's meteorological variables, i.e. u- and v-wind components, and potential 157

158 temperature, towards reality; Telford et al., 2008) using 6-hourly reanalysis data from the European Centre

159 for Medium-Range Weather Forecasts (ECMWF) ERA-Interim product. A similar configuration of UKESM1.0

was used by Archibald et al., (2020), in which a thorough evaluation against multiple observations (e.g. 160

161 surface, aircraft and satellite) was carried out.

#### 2.3. Trend Approach

LTCO<sub>3</sub> trends are calculated using the linear least squares fit approach of van der A et al., (2006; 2008), and utilised by Pope et al., (2018) who investigated LTCO3 trends. Here, the monthly LTCO3 time-series are represented by the function:

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$$Y_t = C + BX_t + A\sin(\omega X_t + \phi) + N_t$$
 (1)





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where  $Y_t$  is the observed monthly LTCO<sub>3</sub> for month t,  $X_t$  is the number of months since the start of the record, C is the first month of the record, C is the first month of the record, C is the monthly linear trend and C is the seasonal model component (Weatherhead et al., 1998). C is the amplitude, C is the frequency (set to 1 year; C and C is the phase shift. C, C, C and C are the fit parameters from the linear least squares fit. C0 is the seasonal model the phase shift. C1 is the observed monthly linear trend end of the record, C2 is the first month of the record, C3 is the monthly linear trend end of C3 is the seasonal model to end of C4 is the first month of the record, C5 is the first month of the record, C5 is the number of months since the start of the record, C6 is the first month of the record, C7 is the first month of the record, C8 is the number of months since the start of the record, C8 is the first month of the record, C9 is the first month of the record, C9 is the first month of t

$$\sigma_B = \left[\frac{\sigma_N}{\frac{3}{n^2}} \sqrt{\frac{(1+\alpha)}{(1-\alpha)}}\right] \quad (2)$$

where n is the number of years,  $\alpha$  is the autocorrelation in the residuals ( $N_t$ ) and  $\sigma_N$  is the standard deviation in the residuals. As in van der A et al., (2006) and Pope et al., (2018), we calculate the autocorrelation for each time-series using a lag of one-time step (i.e. one month). The autocorrelation in **Equation 1** is not accounted for directly, so is factored into the trend uncertainty (**Equation 2**), as used and discussed by van der A et al., (2006) and Weatherhead et al., (1998), respectively.

#### 3. Results

A detailed evaluation of UKESM1.0 LTCO<sub>3</sub> through comparisons with the three satellite products and ozonesondes is presented in **S3**. Overall, UKESM1.0 robustly simulates LTCO<sub>3</sub> spatially and seasonally in comparison to the ozonesondes and satellite instruments (i.e. typically within the ozonesonde variability and satellite uncertainty range).

## 3.1. UKESM1.0 and Satellite LTCO3 Trends

184 LTCO<sub>3</sub> trends from OMI, IASI-FORLI, IASI-SOFRID and ozonesondes are derived between 2008 and 2017 (i.e. 185 consistent time record for all instruments) using the linear-seasonal trend model (Equation 1). For each 186 satellite product, the corresponding UKESM1.0 time-series (with and without AKs) are analysed as well as 187 the satellite apriori. For the North America OMI metrics (Figure 1 - top left, Table 2), there is clear 188 seasonality in the apriori ranging between approximately 17.0 and 22.0 Dobson Units (DU). As this is based 189 on the climatology of McPeters et al., (2007), there is no trend and there is a very good model fit (i.e. 190 R<sup>2</sup>=1.0). The key point is that, as a climatology, the apriori will have no trend but if there are substantial 191 temporal sampling differences between years, then an artificial trend could be introduced. OMI LTCO3 192 ranges between 20.0 and 27.0 DU with substantial variability. There is a drop in LTCO₃ to 19.0 DU in 2009 193 before peaking at 25.0-27.0 DU between 2010 and 2015. Peak LTCO₃ then drops to 22.0-24.0 DU in 2016 and 194 2017. As a result, the linear-seasonal trend model, which does not account for interannual variations such as 195 this, only has a fit skill of R<sup>2</sup>=0.59. The corresponding OMI LTCO<sub>3</sub> trend is -0.79 (-7.07, 5.48; 95% confidence 196 interval, p-value = 0.80) DU/decade showing a negligible trend with a large uncertainty range. Here, -0.79 197 DU/decade is the trend while the -7.07 and 5.48 DU/decade values are the 95% confidence interval. The 198 UKESM1.0 LTCO₃ time-series ranges between 17.0 and 22.0 DU with clear seasonality, though somewhat less 199 inter-annual variation than OMI, and the linear-seasonal trend model therefore has a considerably better fit 200 with  $R^2$ =0.95. The model trend has the opposite sign at 0.21 (-0.37, 0.78; p = 0.59) DU/decade. Here, the 201 model trend is near-zero with a relatively large uncertainty range (though not as sizable as OMI). When the 202 AKs are applied to the model, the trend switches sign to -0.57 (-1.58, 0.45, p = 0.98) DU/decade and the 203 linear-seasonal trend model fit decreases in skill to R<sup>2</sup>=0.90. The trend switch of sign, though small, is 204 potentially linked to the application of the AKs, which also increases LTCO₃ by 2.0-3.0 DU in general. 205 The IASI-FORLI LTCO<sub>3</sub> time-series (Figure 1 – top right) tends to be lower than OMI and range between 17.0 206 and 22.0 DU. There is a substantial negative IASI-FORLI trend (-1.42 (-2.35, -0.50; p = 0.00) DU/decade); 207 Table 2) though as suggested by Boynard et al., (2018) and Wespes et al., (2018), the input IASI Level-1 data

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209 September 2010 which degrades the robustness of this trend. While we are aware of the artificial trend in 210 the IASI-FORLI dataset, it is still a valuable long-term product allowing us to quantify multiple factors (e.g. 211 impact of AKs on model tendencies/absolute values and year-to-year sampling stability – i.e. near-zero trend 212 in the apriori). The apriori has a negligible trend but there is no clear seasonality in the apriori time-series. As 213 a result, the linear-seasonal trend model has a more limited fit skill (i.e. R<sup>2</sup>=0.67). The impact of the satellite AKs appears to have less impact for IASI-FORLI as both UKESM1.0 and UKESM1.0+AKs have time-series 214 215 ranging between approximately 17.0 and 21.0 (though slightly smaller UKESM1.0+AKs range) and linear-216 seasonal trend model fits of R<sup>2</sup>=0.93 and R<sup>2</sup>=0.92, respectively. The corresponding trends are small at -0.13 (-217 0.75, 0.49; p = 0.67) and -0.32 (-0.82, 0.20; p = 0.22) DU/decade, but the introduction of the AKs does move 218 the UKESM1.0 trend slightly towards that of the satellite. For IASI-SOFRID (Figure 1 – bottom left), there is 219 little difference between any of the time-series as they all range between 16.0 and 21.0 DU with 220 corresponding linear-seasonal trend model fits of R<sup>2</sup>=0.94 to 0.98 and negligible trends. The IASI-SOFRID and 221 apriori trends are 0.12 (-0.59, 0.82; p = 0.74) and 0.11 (-0.17, 0.39; p = 0.43) DU/ decade; **Table 2**), 222 respectively, with the model showing near-zero trends in both cases. Given the close agreement between 223 the satellite and apriori time series and fit metrics, it is suggestive that IASI-SOFRID TO<sub>3</sub> is more closely 224 confined to the apriori profile than are the other products. The ozonesondes show a substantial trend of -225 1.15 (-2.0, -0.10; p = 0.03) DU/decade, while the model trend sampled as the sondes is -0.16 (-1.67, 1.35; p =0.63) DU/decade. The co-located model and ozonesonde linear-seasonal trend model fits are R<sup>2</sup>=0.62 and 226 227 0.64, respectively. The noise and lack of seasonality in the ozonesonde time-series is slightly unexpected 228 given the reasonable density of stations over North America, though the spatial coverage and temporal 229 sampling is much less than the satellite products. 230 In Europe, the OMI LTCO<sub>3</sub> values are larger than in North America, ranging between 19.0 and 30.0 DU (Figure 231 2 - top left). The same inter-annual variability exists, peaking between 2010 and 2015 with the minimum in 232 2009. Hence, the linear-seasonal trend model, which does not represent interannual variation, does not 233 have high skill and R<sup>2</sup>=0.72. The corresponding trend is -0.80 (-7.29, 5.69; p = 0.80) DU/decade, so has a 234 similar direction and magnitude to that for North America, though is not substantial. The apriori ranges between 17.0 and 22.5 DU with a trend of -0.12 (-0.26, 0.03; p = 0.10; Table 2) DU/decade. Given the 235 236 relatively small trend and uncertainty range, unlike the OMI equivalent, it suggests there is unlikely to be an 237 artificial trend arising through year-to-year changes in geographical sampling across the European region. 238 UKESM1.0 LTCO<sub>3</sub> ranges between approximately 19.0 and 22.0 DU with a good linear-seasonal trend model 239 fit of R<sup>2</sup>=0.99 and a trend of -0.11 (-0.50, 0.29; p = 0.59) DU/decade. As for North America, when the OMI 240 AKs are applied, the UKESM LTCO<sub>3</sub> values systematically increase by 2.0-3.0 DU, move further away from the 241 satellite apriori and more closely follow the variability of OMI (although R<sup>2</sup> decreases slightly to 0.95). The 242 trend tends towards that of OMI at -0.72 (-1.77, 0.32; p = 0.16) DU/decade. As in the case of North America, 243 the European IASI-FORLI apriori has no seasonal cycle (and moderate R<sup>2</sup> of 0.48 in the linear-seasonal trend 244 model fit) with a near-zero trend (0.09 (-0.09, 0.27; p = 0.32) DU/decade) (Figure 2 - top right, Table 2). The 245 IASI-FORLI data exhibit a substantial negative trend of -1.83 (-2.78, 0.89; p = 0.00) DU/decade, again 246 potentially attributable to step changes in the IASI Level-1 processor, with a good linear-seasonal trend 247 model fit of  $R^2$ =0.92. UKESM1.0 LTCO<sub>3</sub> trends, without and with AKs applied, are -0.28 (-0.77, 0.20; p = 0.25) 248 and -0.43 (-1.21, 0.35; p = 0.27) DU/decade. Again, though a small change, the application of the AKs 249 introduces a slight perturbation of the model trend compared to IASI-FORLI. The IASI-SOFRID apriori, ranging between 17.0 and 21.0 DU, has a trend of 0.17 (-0.12, 0.45; p =0.24) DU/decade with good fit skill of R<sup>2</sup>=0.98 250 251 (Figure 2 - bottom left). The IASI-SOFRID and UKESM1.0 metrics, with and without averaging kernels

sets into the FORLI retrieval are not consistent with time; they suffer from a specific discontinuity in





- 252 applied, are similar, with LTCO $_3$  trends of 0.05 (-0.91, 1.01; p = 0.92), -0.27 (-0.72, 0.19; p = 0.24) and 0.08 (-0.91, 1.01; p = 0.92), -0.27 (-0.72, 0.19; p = 0.24) and 0.08 (-0.91, 1.01; p = 0.92), -0.27 (-0.72, 0.19; p = 0.94) and 0.08 (-0.91, 1.01; p = 0.92), -0.27 (-0.72, 0.19; p = 0.94) and 0.08 (-0.91, 1.01; p = 0.92), -0.27 (-0.72, 0.19; p = 0.94) and 0.08 (-0.91, 1.01; p = 0.94). 0.33, 0.49; p = 0.69) DU/decade, respectively, and with R<sup>2</sup> values between 0.93 and 0.98. The ozonesonde 253 254 monthly regional means (Figure 2 - bottom right) has a more pronounced time-series than North America, 255 yielding a less noisy time-series of LTCO<sub>3</sub>. Here, there is clear seasonality ranging between 17.0 and 24.0 DU 256 with a large  $R^2$  value of 0.95. The ozonesonde trend is relatively small at -0.61 (-1.39, 0.17; p = 0.12) 257 DU/decade while the UKESM1.0 equivalent is more substantial at -0.96 (-1.56, 0.35; p = 0.00) DU/decade. 258 For East Asia, OMI LTCO<sub>3</sub> again has both a pronounced seasonal cycle and inter-annual variability (19.0-27.0 259 DU), consistent with the other two regions discussed above (Figure 3 – top left). This yields a moderate skill fit to the linear-seasonal trend model of  $R^2$ =0.52 and near-zero trend (-0.09 (-7.88, 7.70; p = 0.98) 260 261 DU/decade). The apriori has a trend of -0.25 (-0.71, 0.22; p = 0.29) DU/decade, so year-to-year sampling changes could be influencing the robustness of OMI retrieved time-series in this region. However, both the 262 263 instrument and apriori trend uncertainties intersect with 0.0. UKESM1.0 LTCO₃ ranges between approximately 16.0 and 22.0 DU with a good fit R2 of 0.98. Like the other regions, the application of the OMI 264 AKs increases the model values systematically by several DUs. The UKESM1.0 LTCO₃ trend is -0.16 (-0.94, 265 266 0.62; p = 0.67) DU/decade, which is small, but the AKs increase the trend magnitude to -0.62 (-2.24, 1.00; p = 267 0.44) DU/decade, which moves it away from the OMI trend. IASI-FORLI (Figure 3 - top right, Table 2), like the other two regions, has a substantial negative trend of -1.52 (-2.16, 0.88; p = 0.00) DU/decade. The apriori 268 269 again exhibits virtually no seasonal cycle but non-zero year-to-year variation so a low fit skill of R2=0.21 and 270 shows a near-zero trend of -0.03 (-0.22, 0.16; p = 0.76) DU/decade. For UKESM1.0, the East Asian seasonal range is much larger than other regions, ranging between 17.0 and 27.0 DU (i.e. seasonal amplitude of 271 272 approximately ±5.0 DU). When the AKs are applied, this range shrinks to approximately 19.0 to 23.0 DU, 273 more in-line with the IASI-FORLI LTCO<sub>3</sub> values. The corresponding model trends are -0.03 (-0.62, 0.56; p = 274 0.93) DU/decade and -0.29 (-0.80, 0.22; p = 0.25) DU/decade, so the AKs are pushing the model tendency 275 towards that of the instrument, though the impact is small in absolute terms (large in relative terms). IASI-276 SOFRID and its apriori LTCO₃ seasonality are again very similar, ranging between 16.0 and 21.0 DU with very 277 little interannual variability and with linear seasonal trend model fit skills of R2=0.96 and 0.98 (Figure 3 -278 bottom left, Table 2). The IASI-SOFRID and apriori linear trends are therefore also consistent at -0.19 (-1.01, 279 0.63; p = 0.65) and -0.15 (-0.73, 0.58; p = 0.82) DU/decade. The UKESM1.0 seasonal variability is again large, 280 between 17.0 and 26.0 DU, and, as in the case of IASI-FORLI, when the instrument AKs are applied to the 281 model, the seasonal range shrinks (i.e. 16.0-22.0 DU) to be much closer to those of the retrieval and its prior. 282 The model trends are -0.42 (-0.97, 0.13; p =0.12) and -0.24 (-0.67, 0.20; p = 0.28) (with AKs) DU/decade, 283 where there is a minor shift in the model tendency towards that of IASI-SOFRID and its prior. For the 284 ozonesondes (Figure 3 – bottom right), there is a substantial LTCO<sub>3</sub> trend of 3.17 (0.16, 6.17; p = 0.04) 285 DU/decade with a fit skill of R<sup>2</sup>=0.79, which is larger than those for North America and Europe. LTCO₃ 286 increases from 18.0-25.0 in 2008 to 21.0-28.0 in 2011. This remains similar in 2012 and 2013 before 287 dropping by several DUs between 2014 and 2017. The UKESM1.0 sampled as the ozonesondes has considerably less inter-annual variability with a smaller trend of 0.37 (-0.90, 1.64; p = 0.56) DU/decade. 288 289 Therefore, UKESM1.0 and the satellite product trends are generally smaller (in magnitude) than the 290 ozonesonde tendencies. However, it is worth considering that there are only a few sites (e.g. Hong Kong and 291 Taiwan) where ozonesonde data is available in East Asia.
  - 3.2. Influence of Satellite Averaging Kernels on UKESM1.0 LTCO<sub>3</sub>
- To investigate the impact of applying the satellite averaging kernels to UKESM1.0, and thus learn something about vertical sensitivity influence on retrieved LTCO<sub>3</sub>, three different metrics are considered for the 2008 to 2017 time-period. These are the absolute LTCO<sub>3</sub> value, amplitude of the LTCO<sub>3</sub> seasonal cycle and the linear





297 UKESM1.0+AKs for the three regions discussed above. 298 From Figure 4, average OMI LTCO₃ is approximately 22.0, 24.0 and 23.0 DU for North America, Europe and 299 East Asia, respectively. This represents a substantial deviation away from the apriori values of 17.5, 20.0 and 16.0 DU, respectively. However, the average error term for OMI LTCO $_3$  is sizeable at approximately  $\pm 8.0$  to 300 301 ±9.0 DU for all regions. The average UKESM1.0 value for each region is approximately 19.5, 21.5 and 19.0 DU 302 but the application of the AKs increases this by several DU to 22.0, 24.0 and 21.0 DU. In comparison, mean values for both IASI products vary less between the three geographical areas: IASI-FORI (IASI-SOFRID) LTCO3 303 values are 20.0 (18.5), 19.0 (18.5) and 22.0 (18.0) DU, respectively. The corresponding error ranges, in 304 305 comparison with OMI, are smaller between 17.0 and 23.0 (16.0 and 21.5), 16.0 and 21.5 (16.0 and 21.0) and 306 18.0 and 23.5 (14.5 and 21.5) DU for North America, Europe and East Asia, respectively. With the IASI-FORLI 307 AKs applied to UKESM1.0, LTCO<sub>3</sub> decreases from 19.5 to 19.25 DU, 21.25 to 19.5 DU and 22.75 to 21.25 DU 308 for the three regions. For IASI-SOFRID, there is a decrease from 21.0 to 19.5 DU in Europe and a decrease 309 from 22.0 to 19.5 DU in East Asia, while no change occurs in North America. Overall, OMI has the largest 310 error range and the application of the AKs to UKESM1.0 systematically increases the model LTCO₃ time-311 series by several DU. The opposite occurs for the IASI products where there is a smaller decrease to UKESM1.0 LTCO<sub>3</sub> of 1.0-2.0 DU. The error ranges are also smaller than that of OMI. 312 313 In terms of the LTCO<sub>3</sub> seasonal amplitude (Figure 5), OMI (including the error terms) is approximately 2.6 314 (for all) DU, 3.3-3.8 DU and 2.3-2.6 DU for North America, Europe and East Asia. The apriori seasonal 315 amplitude ranges from 2.7 to 2.9 DU across the regions. The IASI-FORLI averages (including the error terms) 316 tend to be lower than OMI but have similar seasonal ranges. North America, Europe and East Asia have 317 amplitudes of 2.3-2.5 DU, 2.3-2.5 DU and 1.6-1.8 DU, respectively. It is noteworthy that this seasonal cycle is 318 despite the IASI-FORLI prior exhibiting virtually no seasonal cycle at all. IASI-SOFRID has a European range of 319 2.4-2.6 DU, and comparable ranges for North America and East Asia at 1.8-2.5 DU and 2.3-3.0 DU. Therefore, 320 seasonal amplitude in IASI-SOFRID is more sensitive to the error metric but as the "error" term is based on 321 the LTCO₃ standard deviation, given the lack of an error term in the product, it is unsurprising that there is 322 more variability in the seasonal amplitude. For the OMI comparisons, the application of the AKs to 323 UKESM1.0 shifts the simulated amplitude slightly upwards from 2.0 to 2.1 DU, 3.1 to 3.3 DU and 4.0 to 4.4 324 DU for the respective regions. The IASI-FORLI AK impacts are a decrease from 1.9 to 1.4 DU, 3.0 to 2.1 DU and 4.2 to 1.9. For IASI-SOFRID, the corresponding impact on UKESM1.0 is 2.2 to 2.4 DU, 3.3 to 2.9 and 4.5 to 325 326 3.2 DU. Therefore, the OMI AKs have a minimal impact, increasing the model seasonal amplitude by 0.1-0.3 327 DU, but the IASI products suppress the simulated amplitude by 1.0-2.0 DU at the most extreme. 328 The impact of the satellite LCTO<sub>3</sub> error terms on the derived linear trends are shown in Figure 6. For OMI, 329 the range in trends calculated (i.e. satellite ± error term) is approximately -1.50 (-7.04, 4.04; p = 0.59) to -330 0.09 (-6.98, 6.81; p = 0.98) DU/decade, -1.65 (-6.92, 3.62; p = 0.53) to 0.05 (-7.44, 7.53; p = 0.99) DU/decade and -1.05 (-6.61, 4.52; p = 0.70) to 0.87 (-8.24, 9.98; p = 0.85) DU/decade for North America, Europe and East 331 332 Asian, respectively. The IASI-FORLI trends (i.e. satellite ± error term) are substantial, ranging from -1.50 (-333 2.51, -0.50; p =0.00) to -1.34 (-2.21, -0.47; p = 0.00) DU/ decade, -1.87 (-2.87, -0.87; p =0.00) to -1.80 (-2.72, -334 0.88; p = 0.00) DU/decade and -1.62 (-2.27, -0.98; p = 0.00) to -1.42 (-2.06, -0.78; p = 0.00) for the three 335 regions, respectively. The corresponding IASI-SOFRID trends were 0.09 (-0.48, 0.66; p =0.75) to 0.14 (-0.59, 336 0.88; p = 0.70) DU/decade, -0.07 (-0.91, 0.78; p = 0.87) to 0.16 (-0.74, 1.07; p = 0.72) DU/decade and -0.30 (-0.88) to -0.88; p = -0.70) DU/decade and -0.80 (-0.88) to -0.88; p = -0.70) DU/decade and -0.80 (-0.88) to -0.88; p = -0.70) DU/decade and -0.80 (-0.88) to -0.88; p = -0.87) to -0.88; p = -0.87) DU/decade and -0.80 (-0.88) to -0.88; p = -0.87) DU/decade and -0.80 (-0.88) to -0.88; p = -0.88) to -0.88; p = -0.88) DU/decade and -0.88; p = -0.88) to -0.88; p = -0.88) DU/decade and -0.88; p = -0.88) DU/decade and -0.88; p = -0.88) to -0.88; p = -0.88) DU/decade and -0.88; p = -0.88; p = -0.88) DU/decade and -0.88; p = -0.337 1.02, 0.42; p = 0.41) to -0.08 (-0.73, 0.58; p =0.82), respectively. Therefore, only the IASI-FORLI trends (i.e. 338 satellite ± error term) are substantially different from zero (i.e. p < 0.05). However, that is likely due in part

trend. These metrics are compared for the satellite, the satellite ± error term, the apriori, UKESM1.0 and





- 339 to discontinuities in the Level-2 input meteorological data used to generate this version of the product
- 340 (Boynard et al., 2018).
- 341 The application of the OMI AKs to UKESM1.0 had the largest impacts on the simulated trends with changes
- 342 in a negative direction from of 0.21 (-0.37, 0.78; p = 0.59) to -0.57 (-1.58, 0.45, p = 0.98) DU/decade, -0.11 (-
- 343 0.50, 0.29; p = 0.59) to -0.72 (-1.77, 0.32; p = 0.16) DU/decade and -0.16 (-0.94, 0.62; p = 0.67) to -0.62 (-0.94)
- 344 2.24, 1.00; p = 0.44) DU/decade for the respective regions. IASI-FORLI AKs introduced small decreases from -
- 345 0.13 (-0.75, 0.49; p = 0.67) to -0.32 (-0.82, 0.20; p = 0.22) DU/decade, -0.28 (-0.77, 0.20; p = 0.25) to -0.43 (-0.75, 0.49; p = 0.67) to -0.43 (-0.75, 0.49; p = 0.48) to -0.43 (-0.75, 0.49; p
- 346 1.21, 0.35; p = 0.27) DU/decade and -0.03 (-0.62, 0.56; p = 0.93) to -0.29 (-0.80, 0.22; p = 0.25) DU/decade.
- 347 IASI-SOFRID AKs introduced small increases in the LTCO₃ trend from -0.24 (-0.85, 0.37; p = 0.44) to -0.04 (-
- 348 0.53, 0.45; p = 0.87) DU/decade, -0.27 (-0.72, 0.19; p = 0.24) to 0.08 (-0.33, 0.49; p = 0.69) DU/decade and -0.27
- 349 0.42 (-0.97, 0.13; p = 0.12) to -0.24 (-0.67, 0.20; p = 0.28) DU/decade.
- 350 As the absolute model trends are small, it is difficult to determine the impact of the AKs on the simulated
- 351 trends. In relative terms, it can have impacts of several 100% but the model and model+AK trend ranges
- 352 (95% confidence interval) always intersect. Therefore, in an attempt to derive more substantial UKESM1.0
- 353 LTCO₃ trends (without and with AKs applied), to assess the maximum impact the AKs can have on UKESM
- 354 LTCO<sub>3</sub> trends, the modelled data were sorted from lowest to highest and the trend re-calculated. In North
- 355 America, this approach forced positive model trends, sub-sampled to OMI, IASI-FORLI and IASI-SOFRID, of
- 356 0.73 (0.22, 1.25; p = 0.00), 0.64 (-3.50, 4.77; p = 0.76) and 0.80 (0.41, 1.19; p = 0.00) DU/decade. When the
- 357 AKs were applied, it yielded trends of -0.74 (-1.89, 0.40; p = 0.20), 0.55 (0.08, 1.03; p = 0.02) and 0.58 (0.24,
- 358 0.92; p =0.00) DU/decade. In Europe, this forced positive trends model trends, of 0.62 (0.14, 1.10; p = 0.01),
- 359 0.37 (-0.05, 0.79; p = 0.08) and 0.46 (0.09, 0.84; p = 0.01) DU/decade, respectively. With the AKs applied, the
- 360 trends become 0.47 (-0.51, 1.44; p = 0.34), 0.28 (-0.38, 0.94; p = 0.40) and 0.10 (-0.32, 0.51; p = 0.64)
- 361 DU/decade. Finally, in East Asia, the forced model trends are 0.90 (0.34, 1.47; p = 0.00), 0.66 (0.15, 1.17; p =
- 362 0.01) and 0.63 (0.26, 1.00; p = 0.00) DU/decade. The application of the AKs introduced model trends of 1.02
- 363 (-0.04, 2.09; p = 0.05), 0.08 (-0.44, 0.61; p = 0.75) and 0.20 (-0.20, 0.61; p = 0.31) DU/decade.
- 364 Even with forced trends in the UKESM1.0 regional time-series, the trends are relatively small (i.e. typically
- 365 less than 1.0 DU/decade in magnitude). Therefore, the application of the AKs to the forced UKESM LTCO<sub>3</sub>
- 366 time-series still yields small scale change tendencies and there is overlap in the two model trend uncertainty
- ranges (i.e. 95% confidence level). However, in relative terms, the trend changes are larger (e.g. >100% in
- 368 multiple cases) and there is often a shift of the modelled LTCO<sub>3</sub> trend uncertainty range either intersecting
- or no longer intersecting with zero (i.e. a shift in p-value regime from <0.05 to >0.05). Therefore, in modelled
- 370 and satellite datasets with more substantial trends, the impacts of the AKs, and thus the satellite vertical
- 371 sensitivity, on LTCO₃ trends would be much greater and potentially help pinpoint sources of differences
- 372 between satellite products in their TO<sub>3</sub> temporal evolution.

## 4. Discussion

- 374 Investigation of satellite LTCO₃ focussed on 2008 to 2017, representing a decade of overlap of the OMI and
- 375 IASI records. The analysis focussed on North America, Europe and East Asia as these regions are subject to
- 376 large emissions of and temporal changes in O<sub>3</sub> precursor gases. LTCO<sub>3</sub> is typically spatially homogeneous
- 377 with shallow gradients between background and source-induced O<sub>3</sub> concentrations. Secondly, individual
- 378 retrievals of LTCO₃ are subject to multiple issues (e.g. influences on radiative transfer and vertical sensitivity
- 379 of stratospheric ozone, cloud and other particulates, surface spectral reflectivity/emissivity and temperature
- 380 profile) which can result in noisy LTCO₃ time-series at high resolution (e.g. when gridded on a scale of 0.5° or
- 381 1.0°). Both of these factors supported analysis at a regional scale (e.g. continental scale).





quantify long-term trends in LTCO<sub>3</sub> and investigate the potential reasons for any discrepancies, as shown by 383 384 Gaudel et al., (2018) for TCO<sub>3</sub>. While RAL Space, and other providers, have generated UV-Vis profile O<sub>3</sub> 385 products for more instruments, e.g. from the Global Ozone Monitoring Experiment 1 & 2 (GOME-1 & GOME-386 2) and the SCanning Imaging Absorption spectroMeter for Atmospheric CartograpHY (SCIAMACHY), the 387 GOME-1 and SCIAMACHY records do not overlap for as long with IASI and step changes in the GOME-2A 388 Level-1 processing scheme used to produce the available LTCO<sub>3</sub> Level-2 version mean it is not sufficiently 389 homogeneous (see Pope et al., (2023)). For the IR instruments, other potential sensors include the 390 Tropospheric Emissions Spectrometer (TES; Richards et al., 2008) and the RAL Space IASI Extended Infrared 391 Microwave Sounding (IMS; Pimlott et al., 2022) scheme applied to IASI. Unfortunately, the TES record only 392 covers 2005 to 2013, with decreasing spatial coverage with time, and at the time of this work the IASI-IMS 393 product had only been processed on a sub-sampled basis of 1 in 10 days. 394 In this work, we some find discrepancies in the observed long-term tendencies from the utilised LTCO<sub>3</sub> 395 products in these northern hemispheric regions. The OMI product is subject to large-scale interannual 396 variability over the 2008-17 decade, in comparison with which the underlying linear trends are small in 397 absolute terms with large confidence ranges (i.e. 95% confidence intervals) intersecting with zero. . However, the OMI LTCO<sub>3</sub> product has been shown to be stable over this period relative to ozonesondes by 398 399 Pope at el., (2023). IASI-FORLI has substantial negative LTCO₃ tendencies, but this is driven by a specific 400 discontinuity in 2010 due to inhomogeneity in Eumetsat (water vapour, temperature) data used in IASI-401 FORLI Level-2 processing (Boynard et al., 2018; Wespes et al., 2018). It induces an artificial drift that explains 402 the substantial negative LTCO<sub>3</sub> trends reported here and in Gaudel et al., (2018). The IASI-SOFRID LTCO<sub>3</sub> and 403 apriori are very similar, with little inter-annual variability, which suggests that the IASI-SOFRID O₃ retrieval in 404 this height-range is more constrained by the apriori (i.e. less TO<sub>3</sub> sensitivity than the other products). 405 Importantly, analysis of the three products' apriori LTCO<sub>3</sub> records show negligible trends meaning that year-406 to-year sampling differences (i.e. the number of retrievals used in the spatial-monthly regional averages) are 407 not skewing long-term satellite trends. In summary: any underlying linear trend in LTCO₃ occurring during 408 the decade 2008-17 was masked by interannual variability in the OMI retrieval and by constraint to the 409 apriori in the IASI-SOFRID retrieval and, although substantial for IASI-FORLI retrieval, that is believed to be 410 due to changing meteorological input to the data processing. 411 For UKESM1.0, the model exhibits negligible temporal variability in LTCO₃ for all regions and instruments' 412 samplings. Modelled LTCO3 trends never exceeded 1.0 DU/decade in magnitude, all of which were deemed 413 to be insignificant due to large associated p-values by the linear-seasonal trend model detailed in Section 2.4 414 and Equations 4 & 5. The ozonesondes for each region were included to ground truth the model and satellite 415 trends. The North American sites' LTCO3 time-series was relatively noisy and exhibited considerable inter-416 annual variability in its seasonal cycle. The comparatively low level of inter-annual variability in the European 417 UKESM1.0 record of LTCO3 was in good agreement with the ozonesondes, however, and so was its low 418 trend, providing confidence in the model over that region. For East Asia, the interannual variability differed 419 substantially between UKESM1.0 and ozonesondes and the reported ozonesonde trend was significantly 420 much larger than for UKESM1.0. Therefore, when considering UKESM1.0 and the ozonesondes, no consistent 421 LTCO<sub>3</sub> trends can be determined for any of the regions. Overall, taking all data sets into account, LTO<sub>3</sub> 422 appears to have neither increased nor decreased markedly over these three regions between the beginning 423 and end of the study decade (i.e. 2008 to 2017). 424 One key aspect of this work was to exploit UKESM1.0 to determine the importance of vertical sensitivity on 425 retrieved LTO<sub>3</sub> and how this influences the reported long-term tendency. In terms of the absolute model

Ideally, this analysis would have utilised several more records (e.g. several UV-Vis and IR products) to



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- 426 trends (with and without the satellite AKs), the impact on LTCO3 was small with typically near-zero 427 tendencies and large uncertainty ranges (i.e. the 95% confidence interval). In relative terms, the changes in 428 model trend values were more substantial in the order of 100%. To explore this further, the UKESM1.0 LTCO<sub>3</sub> 429 time-series (with and without the satellite AKs) were sorted from lowest to highest (based on annual 430 averages) to impose the most substantial trend in the model data. When the trends were re-calculated, the 431 largest model LTCO<sub>3</sub> trends ranged between 0.37 and 0.90 DU/decade. When the AKs were applied, the 432 LTCO<sub>3</sub> trends ranged from -0.74 to 1.02 DU/decade. Again, in relative terms, this represents a relatively large 433 impact of the AKs on simulated LTO₃ tendencies but in absolute terms, these are small changes. Though, it 434 should be noted that many of the 95% confidence intervals for these trends either shifted to intersect with 435 zero or vice versa once the AKs were applied to the model. Gaudel et al., (2018) suggested two potential 436 reasons for the TCO<sub>3</sub> trend discrepancies in their study:
- 437 Time varying instrument biases/drift.
  - The impact of satellite vertical sensitivity.
- 439 A further two important reasons are:
  - Changes over time in latitude/longitude domains sampled by satellite sensors (e.g. GOME-1 has substantial issues after 2003).
  - The time-period used for the trend analysis.

443 According to Boynard et al., (2018) and Wespes et al., (2018), the IASI-FORLI-v20151001 products has an 444 artificial negative drift with time explained by a discontinuity found in the Level-2 meteorological inputs 445 taken from Eumetsat. However, in the near future, a new consistent IASI-FORLI ozone climate data record 446 will be available using homogeneous Level-1 and Level-2 Eumetsat meteorological data. Analysis of OMI 447 LTCO<sub>3</sub> by Pope et al., 2023 showed OMI LTCO<sub>3</sub> to be temporally stable against ozonesondes. A similar 448 analysis (not shown here) indicates IASI-SOFRID LTCO₃ to also be temporally stable with near-zero drift in 449 bias. For the satellite vertical sensitivity, some of our results were unexpected. While the application of the 450 AKs to UKESM1.0 can substantially shift the simulated absolute LTO₃ values and squash/stretch the seasonal 451 amplitude, the impact on the simulation LTCO3 tendencies are small in absolute terms. In relative terms, the 452 impacts can be large (e.g. 100% change in trend rate). However, as the UKESM1.0 simulated LTCO3 trends 453 are generally near-zero, it is difficult to confidently say either way if the vertical sensitivity, when retrieving 454 LTCO<sub>3</sub>, is important for influencing long-term tendencies, even when a more substantial trend is forced upon 455 UKESM1.0. Future work on this would probably need to look at artificial model data which already has 456 substantial TO<sub>3</sub> trends in it (e.g. 5.0 or 10.0 DU/decade). This will obviously not match reality but would 457 provide some further quantification on how important vertical sensitivity is from different 458 instruments/sounders in LTO<sub>3</sub> trend determination.

459 As for year-to-year sampling, our results suggest negligible trends for the product LTCO₃ apriori time-series 460 and thus monthly sampling biases are unlikely to be introducing artificial trends as the apriori datasets are 461 trendless. Finally, the time-period over which the trend analysis is undertaken is critically important. Gaudel et al., (2018), using the available data at the time, focussed on 2005-2015/6 and 2008-2015/6 for the OMI 462 463 and IASI products they used. For the IASI products, using a slightly extended time-period, the trends show 464 similar tendencies. However, for OMI, 2016 and 2017 represent lower years of TO<sub>3</sub>. As a result, this dampens 465 the strong significant positive trends reported by Gaudel et al., (2018) in TCO<sub>3</sub>. It is notable that the 466 substantial positive increase in tropical LTO₃ between 1995 and 2017 reported by Pope et al., (2023) from a series of UV-Vis sounders, included the same OMI global dataset as that is used here, further suggests the 467



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selection of time period and geographical region to be crucial in regard to the role of interannual variability on linear trend detection.

#### 5. Conclusions

Gaudel et al., (2018) undertook a multi-satellite analysis of long-term trends in tropospheric column ozone (TCO<sub>3</sub>). They found large scale differences between these products with no clear consensus on the signs or drivers of these TCO<sub>3</sub> trends. To avoid complications with tropopause definition and reduce influence of stratospheric ozone on retrieved values, this study has undertaken a detailed follow-up assessment of decadal trends in LTCO<sub>3</sub> (surface – 450 hPa layer) rather than TCO<sub>3</sub> exploiting ozonesonde records, model simulations and accounting carefully for satellite O₃ metrics (e.g. averaging kernels, AKs, apriori information and satellite uncertainties). We have focussed on LTCO<sub>3</sub> data sets from Ozone Monitoring Instrument (OMI) produced by the RAL Space scheme and from Infrared Atmospheric Sounding Interferometer produced by the IASI-FORLI and IASI-SOFRID schemes, for which there were consistent records from 2008-2017.

Evaluation of satellite LTO<sub>3</sub> from these three products over the North American, European and East Asian regions resulted in linear trends which varied over a small range close to zero and with confidence intervals intersecting with zero. This was consistent with simulations from the UK Earth System Model (UKESM1.0). There were no large-scale trends in the apriori information, so changes in satellite year-to-year sampling has not been driving inconsistencies between products. When convolving UKESM1.0 with the satellite AKs (i.e. to assess the impact of the satellite vertical sensitivity) it did change the size of the model trend, and in some instances, the direction of the trend, but as the simulated LTO<sub>3</sub> trends were small and insignificant, they had limited influence. Overall, our results show that changes in LTO₃ during the decade 2008-2017 in North America, Europe and East Asia were dominated by variability in processes which control LTO<sub>3</sub> on shorter timescales.

In the future, new polar orbiting missions including the IASI Next Generation and Sentinel-5 UV/VIS sounders on the MetOp Second Generation will provide tropospheric ozone products to extend current missions through to the mid-2040s. There will also be the new geostationary platforms like the Infrared Sounder (IRS) and Sentinel 4 UV/VIS sounder on Meteosat-Third Generation (MTG-S) and the already in orbit Geostationary Environment Monitoring Spectrometer (GEMS) and Tropospheric Emissions: Monitoring of

495 Pollution (TEMPO), which will provide large volumes of data (e.g. diurnal observations) and over a long-time 496

scale on tropospheric ozone for future regional trend analyses.

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## 511 Data Availability

- 512 The IASI-FORLI and IASI-SOFRID data can be obtained from https://iasi.aeris-data.fr/O3 and https://iasi-
- 513 sofrid.sedoo.fr/. The RAL OMI data is available via the NERC Centre for Environmental Data Analysis (CEDA)
- 514 Jasmin platform subject to data requests. However, the RAL Space satellite data, as well as the UKESM1.0
- 515 simulations, will be uploaded to the Zenodo open access portal (https://zenodo.org/) if this manuscript is
- 516 accepted for publication in ACP after the peer-review process. The ozonesonde data for WOUDC, SHADOZ
- and NOAA is available from <a href="https://woudc.org/">https://tropo.gsfc.nasa.gov/shadoz/</a> and
- 518 <a href="https://gml.noaa.gov/ozwv/ozsondes/">https://gml.noaa.gov/ozwv/ozsondes/</a>.

#### 519 Author Contributions

- 520 RJP conceptualised, planned and undertook the research study. BB, ELF, BJK, RS, BGL, LJV, AB and CW
- 521 provided the OMI and IASI ozone data and advice on using the products. FO and MD provided advice and
- 522 expertise on using and running UKESM. CR provided advice and help during RP's ESA CCI fellowship. RJP
- 523 prepared the manuscript with scientific and technical contributions from all co-authors.

#### 524 Conflicts of Interest

525 The authors declare no conflicts of interest.

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## 713 Figures & Tables:

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Data Provider	Satellite Profile	Product Link	Data	Data Size
	Products & Version		Range	
RAL Space	OMI-fv214	http://www.ceda.ac.uk/	2004-2018	1442 GB
ATMOS-ULB	IAS-FORLI-v20151001	https://iasi.aeris-	2008-2019	9.1 TB
		data.fr/catalog/		
Université de	IASI-SOFRID vn3.5	https://iasi-sofrid.sedoo.fr/	2008-2017	3.0 TB
Toulouse				

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**Table 1:** List of the satellite ozone profile data sets.

Satellite	Quantity	Trend	Trend Lower	Trend Upper	p-value	Fit (R²)
	Trend	-0.79	-7.07	5.48	0.80	0.58
	Trend Error 1	-1.50	-7.04	4.04	0.59	0.68
	Trend Error 2	-0.09	-6.98	6.81	0.98	0.50
=	Apriori Trend	-0.05	-0.21	0.11	0.56	1.00
OMI	UKESM Trend	0.21	-0.37	0.78	0.47	0.95
	UKESM+AKs Trend	-0.57	-1.58	0.45	0.26	0.90
	UKESM Trend Forced	0.73	0.22	1.25	0.00	0.95
	UKESM+AKs Trend Forced	-0.74	-1.89	0.40	0.20	0.89
	Trend	-1.42	-2.35	-0.50	0.00	0.93
	Trend Error 1	-1.34	-2.21	-0.47	0.00	0.93
	Trend Error 2	-1.50	-2.51	-0.50	0.00	0.93
FORLI	Apriori Trend	0.00	-0.11	0.12	0.94	0.67
ᅙ	UKESM Trend	-0.13	-0.75	0.49	0.67	0.93
	UKESM+AKs Trend	-0.32	-0.83	0.20	0.22	0.92
	UKESM Trend Forced	0.64	-3.50	4.77	0.76	0.46
	UKESM+AKs Trend Forced	0.55	0.08	1.03	0.02	0.93
	Trend	0.12	-0.59	0.82	0.74	0.94
	Trend Error 1	0.14	-0.59	0.88	0.70	0.90
	Trend Error 2	0.09	-0.48	0.66	0.75	0.94
SOFRID	Apriori Trend	0.11	-0.17	0.39	0.43	0.98
SOF	UKESM Trend	-0.24	-0.85	0.37	0.44	0.95
	UKESM+AKs Trend	-0.04	-0.53	0.45	0.87	0.97
	UKESM Trend Forced	0.80	0.41	1.19	0.00	0.97
	UKESM+AKs Trend Forced	0.58	0.24	0.92	0.00	0.98
	Trend	-0.80	-7.29	5.69	0.80	0.71
	Trend Error 1	-1.65	-6.92	3.62	0.53	0.76
	Trend Error 2	0.05	-7.44	7.53	0.99	0.67
OMI	Apriori Trend	-0.12	-0.26	0.03	0.10	1.00
ō	UKESM Trend	-0.11	-0.50	0.29	0.59	0.99
	UKESM+AKs Trend	-0.72	-1.77	0.32	0.16	0.95
	UKESM Trend Forced	0.62	0.14	1.10	0.01	0.98
	UKESM+AKs Trend Forced	0.47	-0.51	1.44	0.34	0.94





	Trend	-1.83	-2.78	-0.89	0.00	0.92
	Trend Error 1	-1.80	-2.72	-0.88	0.00	0.93
	Trend Error 2	-1.87	-2.87	-0.87	0.00	0.92
=	Apriori Trend	0.09	-0.09	0.27	0.32	0.48
FORLI	UKESM Trend	-0.28	-0.77	0.20	0.25	0.98
	UKESM+AKs Trend	-0.43	-1.21	0.35	0.27	0.94
	UKESM Trend Forced	0.37	-0.05	0.79	0.08	0.98
	UKESM+AKs Trend Forced	0.28	-0.38	0.94	0.40	0.93
	Trend	0.05	-0.91	1.01	0.92	0.93
	Trend Error 1	0.16	-0.74	1.07	0.72	0.91
	Trend Error 2	-0.07	-0.91	0.78	0.87	0.93
SE CE	Apriori Trend	0.17	-0.12	0.45	0.24	0.98
SOFRID	UKESM Trend	-0.27	-0.72	0.19	0.24	0.98
	UKESM+AKs Trend	0.08	-0.33	0.49	0.69	0.98
	UKESM Trend Forced	0.46	0.09	0.84	0.01	0.99
	UKESM+AKs Trend Forced	0.10	-0.32	0.51	0.64	0.98
	Trend	-0.09	-7.88	7.70	0.98	0.51
	Trend Error 1	-1.05	-6.61	4.52	0.70	0.66
	Trend Error 2	0.87	-8.24	9.98	0.85	0.38
Wo	Apriori Trend	-0.25	-0.71	0.22	0.29	0.98
ō	UKESM Trend	-0.16	-0.94	0.62	0.67	0.98
	UKESM+AKs Trend	-0.62	-2.24	1.00	0.44	0.95
	UKESM Trend Forced	0.90	0.34	1.47	0.00	0.99
	UKESM+AKs Trend Forced	1.02	-0.04	2.09	0.05	0.97
	Trend	-1.52	-2.16	-0.88	0.00	0.93
	Trend Error 1	-1.42	-2.06	-0.78	0.00	0.93
	Trend Error 2	-1.62	-2.27	-0.98	0.00	0.92
FORLI	Apriori Trend	-0.03	-0.22	0.16	0.76	0.21
윤	UKESM Trend	-0.03	-0.62	0.56	0.93	0.98
	UKESM+AKs Trend	-0.29	-0.80	0.22	0.25	0.95
	UKESM Trend Forced	0.66	0.15	1.17	0.01	0.98
	UKESM+AKs Trend Forced	0.08	-0.44	0.61	0.75	0.93
	Trend	-0.19	-1.01	0.63	0.65	0.96
	Trend Error 1	-0.08	-0.73	0.58	0.82	0.90
_	Trend Error 2	-0.30	-1.02	0.42	0.41	0.93
SOFRID	Apriori Trend	-0.15	-0.39	0.09	0.21	0.98
SO	UKESM Trend	-0.42	-0.97	0.13	0.12	0.99
	UKESM+AKs Trend	-0.24	-0.67	0.20	0.28	0.98
	UKESM Trend Forced	0.63	0.26	1.00	0.00	0.99
	UKESM+AKs Trend Forced	0.20	-0.20	0.61	0.31	0.98

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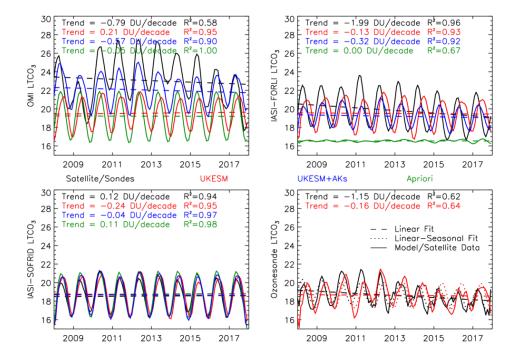
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**Table 2:** LTCO<sub>3</sub> trends (DU/decade) for the satellite trend (Trend), the satellite-uncertainty trend (Trend Error 1), the satellite+uncertainty trend (Trend Error 2), the satellite apriori trend (Apriori Trend), UKESM trend (UKESM Trend), UKESM with AKs applied trend (UKESM+AKs Trend), UKESM forced trend (UKESM Trend Forced) and UKESM with AKs applied forced trend (UKESM+AKs Trend Forced). The trends from OMI, IASI-





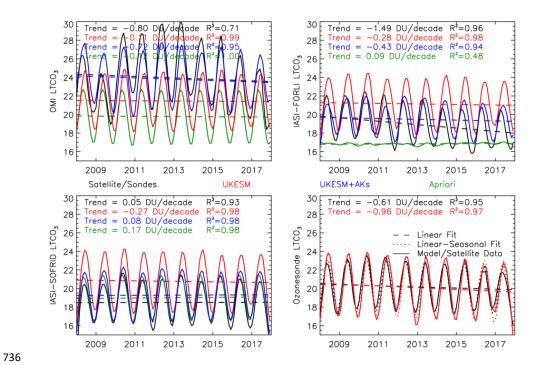
FORLI and IASI-SOFRID are for North America (red), Europe (blue) and East Asia (green). The trend lower and trend upper represent the trend 95% confidence interval.  $R^2$  is the trend fit skill (i.e. correlation squared) and the p-value is also shown.



**Figure 1:** Lower tropospheric column ozone (LTCO $_3$ , surface to 450 hPa, DU) regional time-series for North America, based on the HTAP land mask, from OMI (top-left), IASI-FORLI (top-right), IASI-SOFRID (bottom-left) and ozonesondes (bottom-right) are shown by the black lines in the respective panels. UKESM simulations without and with satellite averaging kernels (AKs) applied are shown in red and blue lines. Green lines show the satellite apriori. Dashed lines show the LTCO $_3$  linear trend which are labelled in the top of each panel. The  $R^2$  squared values show the linear-seasonal trend model fit to the corresponding LTCO $_3$  time-series (i.e. correlation squared).



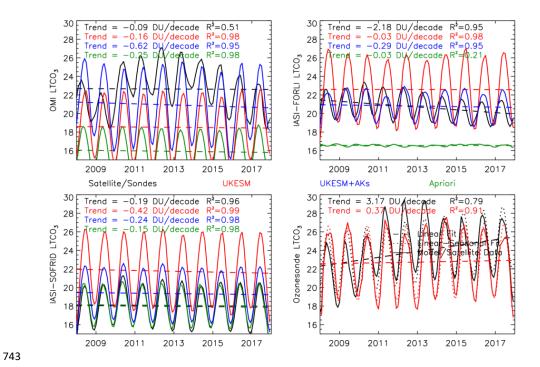




**Figure 2:** LTCO $_3$  (DU) regional time-series for Europe, based on the HTAP land mask, from OMI (top-left), IASI-FORLI (top-right), IASI-SOFRID (bottom-left) and ozonesondes (bottom-right) are shown by the black lines in the respective panels.. UKESM simulations without and with satellite AKs applied are shown in red and blue lines. Green lines show the satellite apriori. Dashed lines show the LTCO $_3$  linear trend which are labelled in the top of each. The  $R^2$  squared values show the linear-seasonal trend model fit to the corresponding LTCO $_3$  time-series (i.e. correlation squared).

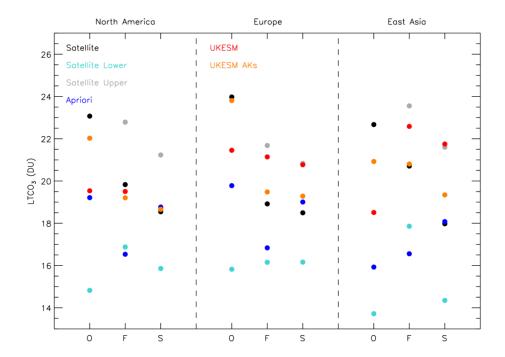






**Figure 3:** LTCO $_3$  (DU) regional time-series for East Asia, based on the HTAP land mask, from OMI (top-left), IASI-FORLI (top-right), IASI-SOFRID (bottom-left) and ozonesondes (bottom-right) are shown by the black lines in the respective panels. UKESM simulations without and with satellite AKs applied are shown in red and blue lines. Green lines show the satellite apriori. Dashed lines show the LTCO $_3$  linear trend which are labelled in the top of each panel. The  $R^2$  squared values show the linear-seasonal trend model fit to the corresponding LTCO $_3$  time-series (i.e. correlation squared).





**Figure 4**: Average LTCO $_3$  (DU) values across the 2008-2017 time-period for the satellite (black), satellite-lower (cyan), satellite-upper (grey), apriori (blue), UKESM (red) and UKESM+AKs (orange). The satellite-lower and satellite-upper values are the average of the satellite  $\pm$  its error term time-series (note: these values do not always fit in the y-axis range). O, F and S represent OMI, IASI-FORLI and IASI-SOFRID for North America (left), Europe (centre) and East Asia (right).





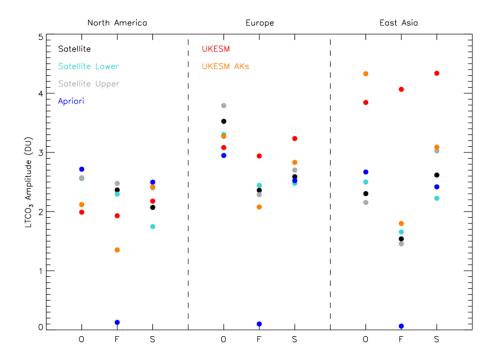


Figure 5: Average LTCO $_3$  seasonal cycle amplitude (DU) values across the 2008-2017 time-period for the satellite (black), satellite-lower (cyan), satellite-upper (grey), apriori (blue), UKESM (red) and UKESM+AKS (orange). The satellite-lower and satellite-upper values are the average of the satellite  $\pm$  its error term time-series (note: these values do not always fit in the y-axis range). O, F and S represent OMI, IASI-FORLI and IASI-SOFRID for North America (left), Europe (centre) and East Asia (right).





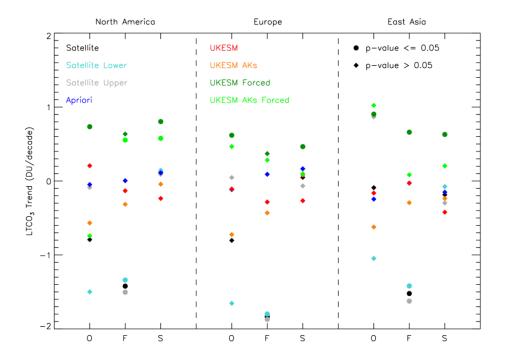


Figure 6: Average LTCO $_3$  linear trends (DU/decade) values across the 2008-2017 time-period for the satellite (black), satellite-lower (cyan), satellite-upper (grey), apriori (blue), UKESM (red), UKESM+AKS (orange), UKESM forced (dark green) and UKESM+AKS forced (light green). The satellite-lower and satellite-upper values are the average of the satellite  $\pm$  its error term time-series (note: these values do not always fit in the y-axis range). O, F and S represent OMI, IASI-FORLI and IASI-SOFRID for North America (left), Europe (centre) and East Asia (right). Triangle and circular symbols represent linear trends with p-values > 0.05 or p <= 0.05, respectively.