

# Village Settlements in Mountainous Tropical Areas, Hotspots of Fecal Contamination as Evidenced by Escherichia coli and Stanol Concentrations in Stormwater Pulses

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- <sup>1</sup> Village settlements in mountainous tropical areas,
- <sup>2</sup> hotspots of fecal contamination as evidenced by
- <sup>3</sup> Escherichia coli and stanol concentrations in storm

# 4 water pulses

- 5
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## 19 Abstract

20 Fecal bacteria in surface water may indicate threats to human health. Our hypothesis is that village 21 settlements in tropical rural areas are major hotspots of fecal contamination, because of the number 22 of domestic animals usually roaming in the alleys, and the lack of fecal matter treatment before 23 entering river network. By jointly monitoring the dynamics of Escherichia coli (E. coli) and of seven 24 stanol compounds during four flood events (July-August 2016) at the outlet of a ditch draining 25 sewage and surface runoff out of a village of Northern Lao PDR, our objectives were (1) to assess the 26 range of E. coli concentration in the surface runoff washing off from a village settlement, and (2) to 27 identify the major contributory sources of fecal contamination using stanol compounds during flood events. E. coli pulses ranged from 4.7\*10<sup>4</sup> to 3.2\*10<sup>6</sup> MPN 100 mL<sup>-1</sup>, with particle-attached E. coli 28 29 ranging from 83 to 100 %. Major contributory feces sources were chicken and human (about 66 and 29 %, respectively), the highest percentage switching from the human pole to the chicken pole 30 31 during flood events. Concentrations indicate a severe fecal contamination of surface water during 32 flood events and suggest that villages may be considered as major hotspots of fecal contamination 33 pulses into the river network, and thus as point-sources in hydrological models.

34

# 35 Keywords

- 36 Fecal biomarkers; Fecal Indicator Bacteria; Microbial Source Tracking; EMMA; Freshwater; E. coli
- 37 partition; Hot moments; Tropical rural areas.

38

# 39 Synopsis

- 40 Little is known about the contribution of villages to the fecal contamination of surface water in
- 41 tropical rural areas. This study documents *E. coli* and stanol concentration levels in surface runoff,
- 42 and tracks the origin of the fecal contamination during flood events.

### 44 1. Introduction

Livestock droppings or human feces, where open defecation is practiced or where sanitation systems are lacking or deficient, are primary sources of fecal bacteria in the environment <sup>1–3</sup>. Fecal pathogenic bacteria may threaten human health if present in surface water <sup>4,5</sup>, especially in least developed countries where about 40 % of the population still use unimproved water sources for domestic needs <sup>6</sup>.

Fecal Indicator Bacteria (FIB), such as *Escherichia coli* (*E. coli*), are transported in water as free cells or cells attached to soil particles, manure, or sediment <sup>7-10</sup>. In tropical humid rural areas such as the mountainous areas of Southeast Asia, the ranges of *E. coli* concentrations have already been investigated during both low flow and high flow periods at the outlet of mixed land use catchments of various spatial scales <sup>11,12</sup>, highlighting the leading role of surface runoff and soil erosion in *E. coli* dissemination <sup>13,14</sup>.

56 In rural areas of Southeast Asia, villages concentrate population and domestic animals most often 57 without wastewater treatment facilities, and the ranges of FIB exported during storms from village settlements towards river network have not been yet quantified. Similarly, the dynamics of FIB 58 59 during storms on impervious surfaces such as compacted soils in village settlements, where surface 60 runoff is the only vector of diffuse fecal bacteria dissemination, and the attachment of FIB to 61 suspended sediment in surface runoff, remain to be fully documented. This information is needed to 62 design and feed catchment-scale hydrological models capable of predicting FIB concentrations during flood events. 63

64 The sources or fecal contamination and their relative contributions to the overall fecal contamination

65 during a flood event are seldom known, although they represent essential information to (1)

66 understand and model the transfers of fecal bacteria on the catchment scale <sup>15</sup>, and (2) better

67 manage and mitigate microbial dissemination in the environment <sup>16,17</sup>. Microbial source tracking with

68 lipid biomarkers such as stanol compounds <sup>18,19</sup> can be used to identify the origin of the fecal

69 contamination in the environment <sup>20–26</sup>, including stream water <sup>16,21,27–34</sup>. The stanol fingerprint of

70 feces depends on the animals' diet, their ability to biosynthesize endogenous sterols, and the

composition of the intestinal flora responsible for sterol biohydrogenation into stanols <sup>17</sup>. Unlike

other less persistent, source-specific markers such as caffeine <sup>35</sup>, and similarly to methods using

ratios of fecal source-associated genetic markers <sup>36</sup>, the analysis of a number of stanol compounds

can help identifying different fecal sources and their apportionment. Several ratios of stanol

compounds have been proposed to identify the origin of fecal matter <sup>28,34,37–43</sup>. However, the use of

such ratios has been questioned because they could result in overlaps for a number of animal

sources <sup>44</sup>. Multivariate analyses such as principal component analyses (PCA) <sup>45</sup>, possibly associated 77 78 to end-member mixing analyses (EMMA), circumvent the problem of ratio specificity to identify the origin and spatial patterns of fecal matter <sup>17,20,27,34,44,46–49</sup>. However, PCA-based EMMA has not been 79 tested in a tropical context, although it has the potential to serve as a global method <sup>27</sup>, and it has not 80 been used to quantify the relative contribution of each fecal contamination source. A number of 81 studies measured stanol compound concentration in stream suspended sediment <sup>16,21,28,31</sup>, both at 82 83 low and high flow <sup>29,30</sup>, but the concentration dynamics and the switch in fecal contamination sources 84 during flood events, have not been yet investigated.

85 In tropical areas such the mountainous Northern Lao PDR, about 62% of the population lives in rural 86 areas <sup>50</sup>, in villages with little or no infrastructure for the containment and treatment of human and animal feces, and of wastewater <sup>6</sup>. Our hypothesis is that village settlements are major hotspots of 87 88 fecal contamination because of the number of domestic animals usually roaming in the alleys and the 89 lack of fecal matter treatment before entering river network. By jointly monitoring the dynamics of E. 90 coli and stanol compounds during four flood events (July-August 2016) at the outlet of a ditch 91 draining sewage and surface runoff out of a village of Northern Lao PDR, our objectives were thus (1) 92 to assess the range of concentrations of E. coli in the surface runoff washing off from a village 93 settlement, and (2) to identify the major contributory source of fecal contamination using stanol 94 compounds.

# 95 2. Materials and methods

#### 96 2.1. Study design

97 In this study, we quantified the range of *E. coli* concentration in water samples collected at the outlet 98 of a ditch draining sewage and surface runoff out of a village of Northern Lao PDR during four flood 99 events. We measured the concentration of E. coli in both raw water and filtered water to assess the 100 percentage of particle-attached E. coli. We quantified the concentrations and the relative 101 abundances of 7 stanol compounds (coprostanol, epicoprostanol, 24-ethylcoprostanol, 24-102 ethylepicoprostanol, cholestanol, campestanol, and sitostanol) in three feces sources, namely 103 human, porcine, and chicken feces, as well as in the suspended sediment of water samples. We 104 applied PCA-based EMMA on stanol compounds relative abundances in feces to calculate the relative 105 contribution of the three feces sources to surface runoff fecal contamination during flood events. 106 Finally, we calculated the amount of *E. coli* originating from the three sources during flood events.

#### 107 2.2. Study area

The study area is the 13.3-ha south-east part of the Laksip village (Ban Laksip), located 10-km
 southeast of Luang Prabang in Northern Lao PDR (Fig. 1a). This study area is part of the 800,000 km<sup>2</sup>

Mekong basin. The climate is sub-tropical humid and is characterized by a monsoon regime with a dry season from November to May, and a rainy season from June to October. The mean annual temperature is 23.4 °C. The mean annual rainfall is 1,366 mm (CV=0.23), about 71 % (CV=0.09) of which falls during the rainy season <sup>51</sup>.

The sampling and gauging station (latitude: 19.848421; longitude: 102.168295), named BLS after
village name, is located at the outlet of the village drainage area, in a ditch alongside the National
Road 13 (NR13); this ditch collects surface runoff from the drainage area and sewage from several
pipes (piped latrines, bathroom, and kitchen outflows). The drainage area considered in this study is
located between two concrete tubes evacuating water beneath the NR13. Altitude in the considered
drainage area ranges from 374 to 449 m (Fig. 1b). Soil is classified as Acrisol.

120 In the study area, around 5 % of households have no latrines and practice open defecation. Around 121 80 % of households are equipped with pit latrines that are not connected to the ditch. When these 122 latrines are full, they are emptied using a pump truck, or they are condemned and new pit latrines 123 are built. Around 15 % of households are equipped with piped latrines connected to the ditch. These 124 are the houses built along the ditch, in the downstream part of the study area. Residents take 125 advantage of certain intense and prolonged rainfall events to open the tap and drain off the 126 supernatant liquid. However, during the four flood events monitored in our study, we did not 127 observe flushes from piped latrines. We do not expect sewage piped from bathroom and kitchen to 128 carry fecal contamination. As for animal droppings, they are sometimes stored as manure or applied 129 in vegetable gardens, but no manure is imported from outside the village for fertilization. There is 130 virtually no wildlife due to hunting pressure. Therefore, the fecal contamination in the ditch mostly 131 comes from surface runoff during rainfall events, through human open defecation and domestic animal droppings. The ditch is dry during non-storm events, except some intermittent discharge from 132 133 sinks and showers.

134 In August 2016, we conducted a detailed land-use survey within the drainage area with a handheld 135 GPS (Fig. 1c). We classified land use into seven classes, namely: teak tree plantation (20 to 22 years 136 old monospecific teak tree plantations, predominantly without understory), vegetable gardens, 137 secondary forest, built-up area, bamboo, fallow (2 to 8 years old spontaneously regrown vegetation following annual crops), and maize <sup>52</sup>. The built-up area is characterized by impervious surfaces such 138 139 as roofs and compacted anthropized soil between dwellings. We also conducted a survey among the 24 households of the built-up area to qualify the possible feces sources (120 humans, 23 pigs, and 140 111 chickens). Humans, occasionally practicing open defecation <sup>6</sup>, and domestic animals, may traffic 141 142 in the surroundings of the built-up area.



143

Fig. 1. (a) Location of the BLS gauging and sampling station in Northern Lao PDR, at the outlet of the
Laksip village (Ban Laksip) drainage area; (b) Elevation within the drainage area (in meters above
mean sea level; ALOS PALSAR ©JAXA, METI); (c) Land use in August 2016 within the drainage area.

147 2.3. Sampling of feces sources

To quantify stanol compounds in chicken feces, we collected chicken feces within six randomly 148 149 chosen households of the Laksip village. We mixed equivalent masses of the feces from the six 150 households to get a composite sample. The same method was applied to quantify stanol compounds 151 in porcine feces. To quantify stanol compounds in human feces, we collected human feces from ten 152 randomly chosen inhabitants of the Laksip village. We mixed equivalent masses of the feces from the 153 ten inhabitants to get a composite sample. Composite feces samples were dried and kept in the refrigerator until the stanol compounds were analyzed. We chose to analyze composite samples of 154 155 feces sources to measure the average concentration of each stanol compound in each feces source, 156 and to incorporate the variability of each feces source, with a limited number of analyses of stanol 157 compounds.

158 Study procedures for human sample collection and laboratory analysis were reviewed and approved

by the National Ethics Committee for Health Research, Lao Ministry of Health Council of Medical

160 Sciences (reference no. 51/NECHR) <sup>53,54</sup>.

161 2.4. Flood event monitoring and water sampling

# 162 Rainfall

163 Rainfall was measured using an automatic weather station (Campbell ARG100, 0.2 mm capacity

- 164 tipping-bucket) located at station BLS (Fig. 1b). Rainfall data was recorded at 1-min time intervals.
- 165 Flow rate measurements and water sampling

166 We measured ditch water level at the BLS gauging station (Fig. 1) with a water level recorder (OTT

167 Thalimedes) connected to a data logger, with 1-mm vertical precision at a minimum of 3-min time

interval. To relate water level to surface runoff discharge (Q), a control rating curve was determinedusing both the velocity area method and the salt dilution method by slug injection.

170 We collected samples of ditch water at BLS sampling station in clean, plastic bottles using an

automatic sampler for the measurement of total suspended sediment concentration ([TSS]), E. coli

172 concentration in raw water ([*E. coli*]), *E. coli* concentration in filtered water ([*E. coli*]<sub>FREE</sub>), and stanol

173 compound concentrations. The automatic sampler <sup>55</sup> was triggered by the water level recorder to

174 collect water every time the water level rose by 2-cm and every time it fell by 4-cm. The bottles were

- 175 new empty bottles, supplied by a plastic bottle plant producing bottles for mineral water packaging.
- 176 We regularly verified that the bottles were free from *E. coli* <sup>56</sup>.
- 177 2.5. Laboratory analyses of water samples

Data of [TSS], [*E. coli*], [*E. coli*]<sub>FREE</sub>, and of stanol compound concentrations and percentages, are
 available on a public repository <sup>57</sup>.

180 *E. coli* 

181 We removed water samples from the water sampler immediately after the flood event, and stored 182 them in a cool-box until analysis, which took place within one hour of sampling. We measured [E. coli] with the standardized microplate method (ISO 9308-3) <sup>56</sup>. A water sub-sample was incubated at 183 184 four dilution rates (i.e. 1:2, 1:20, 1:200 and 1:2000) in a 96-well microplate (MUG/EC, BIOKAR 185 DIAGNOSTICS) for 48 h at 44 °C. Ringers' Lactate solution was used for the dilutions and one plate 186 was used per sample. The number of positive wells for each microplate was noted and the Most 187 Probable Number (MPN) per 100 mL was determined using the Poisson distribution. The upper limit of quantification of [*E. coli*] using dilution rates 1:2, 1:20, 1:200, and 1:2000, is 3.2\*10<sup>6</sup> MPN 100 mL<sup>-1</sup>. 188 189 We measured [*E. coli*]<sub>FREE</sub> by first filtering 5 mL raw water with polycarbonate filters (3 µm porosity; 190 Whatman) and then applying the standardized microplate method to filtered water.

We calculated the percentage of *E. coli* in the particle-attached fraction, i.e. *E. coli* attached to
suspended sediment ([*E. coli*]<sub>ATT%</sub>) with equation Eq. 1:

$$[E. coli]_{ATT\%} = \frac{[E. coli] - [E. coli]_{FREE}}{[E. coli]} \times 100$$
 Eq. 1

- 193 where [*E. coli*] is the concentration of *E. coli* in raw water (MPN 100 mL<sup>-1</sup>), [*E. coli*]<sub>FREE</sub> is the
- 194 concentration of *E. coli* in the free-living fraction, measured in the filtered water (MPN 100 mL<sup>-1</sup>), and
- 195 [*E. coli*]<sub>ATT%</sub> is the percentage of *E. coli* in the particle-attached fraction.

#### 196 Total suspended sediment

- 197 We measured [TSS] in each sample after filtration of a 200 mL water sub-sample on polycarbonate
- 198 filters (0.2 µm porosity; Whatman) followed by evaporation at ambient temperature for 48 h. Dry
- 199 filters were kept in the refrigerator until the analysis of dry suspended sediment for stanol
- 200 compounds.

#### 201 Stanol compounds

We measured the concentrations and the relative abundances of seven stanol molecules in both the dried feces and the dried suspended sediment: coprostanol, epicoprostanol, 24-ethylcoprostanol, 24ethylepicoprostanol, cholestanol, campestanol, and sitostanol.

205 We extracted the total lipid fraction with dichloromethane using an accelerated solvent extractor (ASE 200, Dionex). Extraction conditions were described previously <sup>44</sup>. The total lipid extract was then 206 207 fractionated using solid/liquid chromatography (silica column) to separate the polar fraction. The 208 polar fraction was derivatized with a mixture of N,O-bis-(trimethylsilyl)trifluoroacetamide and 209 trimethylchloro-silane (BSTFA + TMCS, 99/1, v/v, Supelco) after addition of 5α-cholestane (CDN 210 isotope) as an internal standard. Derivatized samples were analyzed by gas chromatography-mass 211 spectrometry (GC-MS) using a Shimadzu QP2010plus equipped with a capillary column (Supelco, 60 212 m x 0.25 mm ID, 0.25  $\mu$ m film thickness). The temperature of the transfer line was set at 280 °C, and 213 molecules were ionized by electron impact at an energy of 70 eV. The temperature of the ionization 214 source was set at 200 °C. Samples were injected in splitless mode at 310 °C. The oven temperature 215 was programmed from an initial temperature of 200 °C (held for 1 min) then rising to 310 °C at 15 °C 216 min<sup>-1</sup> (held for 35 min). Helium was used as the carrier gas, with a flow rate of 1.0 mL min<sup>-1</sup>. The 217 analyses were made in Selective Ion Monitoring (SIM) mode. The compound identification was 218 performed based on the comparison of the retention times and the mass spectra with available standards or the literature data (Table SI1)<sup>20</sup>. The quantification was achieved with a 5-point internal 219 220 calibration curve (0.1, 0.3, 0.5, 0.7, and 1 ppm) of stanol standards with a constant internal standard 221 concentration at 0.5 ppm. To ensure the absence of potential contaminants and to verify system performance during GC-MS measurements <sup>58</sup>, we analyzed two to five blank samples (empty vials) 222 223 when beginning the GC-MS sequence, and we ran the 5-point internal calibration curve of stanol 224 standards at the start, middle and end of each GC-MS sequence (one sequence = 20 samples). A 225 blank was also analyzed after each real sample during the GC-MS sequence.

226 Limit of quantification (LQ) was 0.01  $\mu$ g g<sup>-1</sup>. Limit of detection (LD) ranged from 0.005 to 0.01  $\mu$ g g<sup>-1</sup>

- 227 depending on the stanol compound (Table SI1). For the stanol compounds for which we found
- 228 concentrations below the LQ (here epicoprostanol and 24-ethylepicoprostanol), we replaced the

229 concentrations values by the value of the LD. Concentrations of stanol compounds were expressed in 230  $\mu$ g g<sup>-1</sup> of dry matter and converted to  $\mu$ g L<sup>-1</sup> by multiplying the concentrations of stanol compounds 231 by [TSS] in the case of water samples. Hereafter, we refer to  $[copros]_{F}$ ,  $[epicop]_{F}$ ,  $[24etco]_{F}$ , 232 [choles]<sub>F</sub>, [campes]<sub>F</sub>, and [sitost]<sub>F</sub>, and to [copros]<sub>WS</sub>, [epicop]<sub>WS</sub>, [24etco]<sub>WS</sub>, [24etep]<sub>WS</sub>, [choles]<sub>WS</sub>, 233 [campes]ws, and [sitost]ws as the concentration of coprostanol, epicoprostanol, 24-ethylcoprostanol, 234 24-ethylepicoprostanol, cholestanol, campestanol, and sitostanol in feces and water samples, 235 respectively. We calculated the concentration of total stanol compounds in feces ( $[stanol]_F$ ) and 236 water samples ([stanol]ws) as the sum of the concentrations of the seven stanol compounds in feces 237 and water samples, respectively. We analyzed separately coprostanol and the other three 5β-stanol 238 compounds (epicoprostanol, 24-ethylcoprostanol, and 24-ethylepicoprostanol) because we expected coprostanol to be the major stanol compound in human feces <sup>17</sup>. We thus calculated the 239 240 concentration of 5 $\beta$ -stanol compounds without coprostanol ([5 $\beta$ -w/ocop]<sub>F</sub>) by summing 241 concentrations of epicoprostanol, 24-ethylcoprostanol, and 24-ethylepicoprostanol in both feces and 242 water samples ( $[5\beta-w/ocop]_{ws}$ ). We calculated the concentration of  $5\alpha$ -stanol compounds in feces 243  $([5\alpha-\text{stanol}]_F)$  and water samples  $([5\alpha-\text{stanol}]_{WS})$  as the sum of the concentrations of  $5\alpha-\text{stanol}$ 244 compounds, namely cholestanol, campestanol, and sitostanol, in feces and water samples, 245 respectively.

246 Finally, we calculated the relative abundance, or distribution, in percentage, of the stanol compounds 247 in the three feces sources and in each water sample by measuring the relative abundance of each 248 stanol compound compared to the internal standard from the GC-MS characteristic peaks. For each stanol compound, we integrated the peak area of the selected m/z (Table SI1). We then calculated 249 250 the relative abundance of each stanol compound by dividing the area of the compound by the sum of 251 the peak areas of all the analyzed compounds, and expressed the ratio as a percentage. This way, we 252 could compare the relative abundances of stanol compounds in both feces sources and water 253 samples by avoiding stanol concentrations gaps between feces sources (more concentrated) and 254 water samples (less concentrated), even when concentrations were below the limit of quantification. 255 Similar to concentrations, we refer to %copros<sub>F</sub>, %epicop<sub>F</sub>, %24etco<sub>F</sub>, %24etep<sub>F</sub>, %choles<sub>F</sub>, %campes<sub>F</sub>, 256 and %sitost<sub>F</sub>, and to %copros<sub>Ws</sub>, %epicop<sub>Ws</sub>, %24etco<sub>Ws</sub>, %24etep<sub>Ws</sub>, %choles<sub>Ws</sub>, %campes<sub>Ws</sub>, and 257 %sitostws as the relative abundance of coprostanol, epicoprostanol, 24-ethylcoprostanol, 24-258 ethylepicoprostanol, cholestanol, campestanol, and sitostanol in feces and water samples, 259 respectively. We calculated the sum of the relative abundances of epicoprostanol, 24-260 ethylcoprostanol, and 24-ethylepicoprostanol in both feces ( $\%5\beta$ -w/ocop<sub>F</sub>) and water samples ( $\%5\beta$ -261 w/ocopws). We calculated the sum of the relative abundances of cholestanol, campestanol, and

sitostanol in both feces ( $\%5\alpha$ -stanol<sub>F</sub>) and water samples ( $\%5\alpha$ -stanol<sub>WS</sub>).

#### 263 2.6. Correlation analysis

264 We calculated Spearman's rank correlation coefficients between Q, [TSS], [E. coli], [copros]<sub>WS</sub>,

265 [epicop]<sub>ws</sub>, [choles]<sub>ws</sub>, [24etco]<sub>ws</sub>, [24etep]<sub>ws</sub>, [campes]<sub>ws</sub>, [sitost]<sub>ws</sub>, [stanol]<sub>ws</sub>, [5β-w/ocop]<sub>ws</sub>, and

266  $[5\alpha-\text{stanol}]_{WS}$ , with R statistical package version 4.0.3. We considered a correlation to be statistically

- significant when its p-value adjusted for multiple comparisons (adjustment method "fdr") was lower
- 268 than 0.05.

#### 269 2.7. End-member mixing analysis

- We performed an end-member mixing analysis (EMMA) based on a principal component analysis (PCA) <sup>45,59</sup> to estimate the relative contributions of the three feces sources (i.e., human, porcine, and chicken) at the time of each water sampling at the outlet of the Laksip village <sup>44</sup> during the four flood events. We applied PCA-based EMMA on stanol compounds relative abundances to attenuate the dilution effect of stanol compounds between feces source samples and water samples, and to
- 275 include stanol compound concentrations below LQ.

We first built mixing diagrams <sup>60</sup> of stanol compound relative abundances, and checked that the stanol signatures of the water samples were included in the triangles defined by the three endmembers, i.e., the three feces sources. We discarded stanol compounds for which none of the points representing a water sample were included in the triangles (here cholestanol): these compounds are suspected of not having a conservative behavior.

281 We then derived eigenvalues and eigenvectors using PCA (performed with XLSTAT 20.1.1, Addinsoft, 282 2010) by considering the three end-members as individuals, along with six variables, i.e., the stanol 283 compound relative abundances in human, porcine, and chicken feces sources, after discarding 284 cholestanol. We confirmed the a priori choice of the three end-members using eigenvalues, and we 285 used eigenvectors to orthogonally project stanol compound relative abundances in end-members and in water samples, the latter as supplementary individuals <sup>47</sup>. We used orthogonal projections 286 along with mixing equations <sup>61</sup> to estimate the relative contributions of the feces sources at each 287 288 sampling time.

We assessed the uncertainty within the end-members using a Monte-Carlo method <sup>61</sup>: the mathematical expectation and the standard deviation of the relative abundance of each stanol compound were estimated for each end-member, based on a literature review of stanol compound concentration in human <sup>20,27</sup>, chicken <sup>17,46</sup>, and pig <sup>20,27</sup> feces. For each compound, 1000 relative abundance values were inferred by randomly sampling without replacement a normal distribution corresponding to both the estimated expectation and the estimated standard deviation. These 1000 295 new individuals were then included in the PCA to derive the corresponding 1000 eigenvectors and to296 calculate the mean and the standard deviation.

### 297 3. Results and discussion

### 3.1. Concentration and relative abundance of stanol compounds in feces

299 As for concentrations, [stanol]<sub>F</sub> in human, porcine, and chicken feces, were 9642.9, 956.2, and 375.4 300 µg g<sup>-1</sup>, respectively (Table SI2). As for relative abundances of stanol compounds (Fig. 2), the relative 301 abundance of 5 $\beta$ -stanol compounds (i.e., %copros<sub>F</sub> and %5 $\beta$ -w/ocop<sub>F</sub>) in human feces was 94 %, 302 while  $\%5\alpha$ -stanol<sub>F</sub> was 6 %. Coprostanol was the major compound (%copros<sub>F</sub>=77 %), followed by 24-303 ethylcoprostanol (%24etco<sub>F</sub>=16 %). In porcine feces, the relative abundance of 5 $\beta$ -stanol compounds 304 was 78 %, while %5α-stanol<sub>F</sub> was 22 %. Among 5β-stanol compounds, the relative abundance of 5β-305 stanol compounds derived from the hydrogenation of cholesterol (coprostanol and epicoprostanol), 306 was similar to the relative abundance of  $5\beta$ -stanol compounds derived from the hydrogenation of 307 sitosterol (24-ethylcoprostanol and 24-ethylepicoprostanol) at 40 and 38 %, respectively. 5β-stanol 308 compounds without coprostanol ( $\%5\beta$ -w/ocop<sub>F</sub>) was 54 %. In chicken feces, the relative abundance 309 of  $5\alpha$ -stanol compounds ( $\%5\alpha$ -stanol<sub>F</sub>) was 78 %, with sitostanol being the major compound 310 (%sitost<sub>F</sub>=36 %). 5β-stanol compounds were 22 %, with %copros<sub>F</sub>, %epicop<sub>F</sub>, %24etco<sub>F</sub>, and %24etep<sub>F</sub>, 311 representing less than 10 % each.

312 Our results are consistent with the relative abundances of stanol compounds documented in the 313 literature for human, porcine, and chicken feces. Coprostanol is known to be the major stanol 314 compound in human feces, while other 5β-stanol compounds such as 24-ethylcoprostanol (19%) and 315 24-ethylepicoprostanol (20 %) are often major stanol compounds in porcine feces <sup>17,20,62</sup>. 24-316 ethylcoprostanol and 24-ethylepicoprostanol are also found in human feces but are less abundant 317 compared to coprostanol. 5α-stanol compounds are often major stanol compounds in chicken feces <sup>17,39,46</sup>, although stanol profiles of birds feces are known to greatly vary depending on the animals' 318 319 diet <sup>16,17</sup>. These results allow to infer that coprostanol, 5β-stanol compounds without coprostanol, 320 and  $5\alpha$ -stanol compounds, mainly originate from human, porcine, and chicken fecal sources, 321 respectively, and use such inference for further analysis hereafter.



322

Fig. 2. Pie charts of the relative abundances of stanol compounds in (a) human feces, (b) porcine
feces, and (c) chicken feces. %copros<sub>F</sub>: relative abundance of coprostanol (%); %epicop<sub>F</sub>: relative
abundance of epicoprostanol (%); %24etco<sub>F</sub>: relative abundance of 24-ethylcoprostanol (%);
%24etep<sub>F</sub>: relative abundance of 24-ethylepicoprostanol (%); %sitost<sub>F</sub>: relative abundance of
sitostanol (%); %campes<sub>F</sub>: relative abundance of campestanol (%); %choles<sub>F</sub>: relative abundance of
cholestanol (%).

## 329 3.2. Concentration of TSS, *E. coli*, and stanol compounds in water samples

330 The four flood events sampled in July and August 2016 covered wide ranges of rainfall duration, 331 depth, and intensity (Fig. SI1). Rainfall depths on July 20, July 23, August 8, and August 19 were 27, 332 51, 25, and 40 mm, respectively, while rainfall durations were 101, 102, 105, and 233 minutes. Maximum rainfall intensities were 108, 252, 84, and 132 mm h<sup>-1</sup>, respectively. The rainfall event of 333 334 August 8 actually was a sequence of two rainfall events with maximum intensities of 84 and 72 mm h<sup>-</sup> 335 <sup>1</sup>, respectively. According to rainfall time-series analysis for the synoptic station of Luang Prabang <sup>63</sup>, 336 the flood events of July 20 and of August 8 correspond to a cumulative probability lower than 0.01 337 (return period of 1 year) and can be classified as "small" events. The flood event of August 19 corresponds to a cumulative probability ranging between 0.01 and 0.1 and can be classified as a 338 339 "medium" event. The flood event of July 23 corresponds to a cumulative probability ranging between 340 0.1 and 0.5 (return period of 2 years) and can be classified as a "large" event. The four flood events 341 were thus representative of the common rainfall conditions prevailing during the rainy season in northern Lao PDR. Q ranged from 10 to 3491 L s<sup>-1</sup>, with maxima of 410, 3491, 561, and 3085 L s<sup>-1</sup> on 342 343 July 20, July 23, August 8, and August 19, respectively. A total of 29 water samples were collected during the four flood events, including five, five, nine, and ten water samples on July 20, July 23, 344 August 8, and August 19, respectively (Fig. SI1). 345

[TSS] ranged from 1.0 to 20.2 g L<sup>-1</sup> (Fig. 3a), with maximum [TSS] of 9.1, 6.3, 11.7, and 20.2 g L<sup>-1</sup> on
July 20, July 23, August 8, and August 19, respectively. These concentrations are in the range of [TSS]
measured during twelve flood events sampled from 2011 to 2015 at the outlet of the adjacent 60-ha

mixed land use Houay Pano catchment (0.1-25.7 g L<sup>-1</sup>) <sup>13</sup>. As in the case of these previous [TSS]
measurements, eroded suspended particles were detached from bare soil surfaces found in the
alleys of the village and in the teak tree plantations grown without understory (Fig. 1). Bare soils
most often display a high rate of crusting, which induces high surface runoff <sup>64,65</sup> and, in turn,

353 generates high soil erosion in areas of lower surface shear-stress resistance <sup>66</sup>.

354 [*E. coli*] ranged from 4.7\*10<sup>4</sup> to 3.2\*10<sup>6</sup> MPN 100 mL<sup>-1</sup> (Fig. 3b). Maximum [*E. coli*] were 1.8\*10<sup>6</sup>,

355 3.2\*10<sup>6</sup>, 1.6\*10<sup>6</sup>, and 2.9\*10<sup>5</sup> MPN 100 mL<sup>-1</sup> on July 20, July 23, August 8, and August 19,

respectively. The two first water samples collected on July 23 resulted in [*E. coli*] above the limit of

quantification, to be related to the *a priori* choice of the four dilution rates with the standardized

358 microplate method. This implies that [*E. coli*] are under-estimated for these two time points. Overall,

the concentrations are higher than the range of [*E. coli*] measured at the outlet of the adjacent

Houay Pano catchment  $(1.6*10^2-7.4*10^4 \text{ MPN } 100 \text{ mL}^{-1})^{13}$ , or during high flow in a range of Mekong

tributaries in Lao PDR ( $8.0*10^4$  MPN 100 mL<sup>-1</sup>)<sup>11</sup>, but they are in the range of the maximum [*E. coli*]

measured in the Mekong Delta at the end of the rainy season  $(1.1*10^6 \text{ MPN } 100 \text{ mL}^{-1})^{21}$ . [*E. coli*] are

also within the range of [*E. coli*] measured in the influent of wastewater treatment plants (WWTP)

ranging from  $10^4$  to  $10^7$  CFU or MPN 100 mL<sup>-1 67-71</sup>. All these values exceed the 500 cells per 100 mL<sup>-1</sup>

FIB threshold provided by the World Health Organization for freshwater <sup>72</sup>, associated to a 10 %

366 chance of gastroenteritis per single exposure <sup>73</sup>. Bathing in such running water, as children often do

to refresh themselves from the heat during the hot and rainy season <sup>4</sup>, may thus pose a serious

368 health risk.

369 [*E. coli*]<sub>ATT%</sub> ranged from 83 to 100 %, with a median of 97 % (Fig. 3c). These percentages are similar 370 to the ranges measured in *in situ* mesocosms installed within the Houay Pano catchment (91-99 %), 371 for [TSS] up to 0.5 g L<sup>-1 74</sup>. The range of [*E. coli*]<sub>ATT%</sub> is narrower than the range measured in grab 372 samples along the Red River during one year (9.7-100 %), for [TSS] up to 0.6 g L<sup>-1 9</sup>. Hence, [*E. coli*] at 373 the outlet of the ditch may be mostly driven by the detachment of soil-associated human and animal 374 feces with soil erosion during flood events, and most of the fecal contamination is attached to

375 particles, as are stanol compounds <sup>21,23</sup>.

376 None of the stanol compound concentrations measured in the particulate phase during the four

377 flood events was below the detection limit. Two stanol compounds were often below the

378 quantification limit: epicoprostanol and 24-ethylepicoprostanol. [stanol]<sub>ws</sub> ranged from 1.3 to 591.0

379  $\mu$ g L<sup>-1</sup>, [copros]<sub>ws</sub> ranged from 0.3 to 293.0  $\mu$ g L<sup>-1</sup>, [5 $\beta$ -w/ocop]<sub>ws</sub> ranged from 0.4 to 222.3  $\mu$ g L<sup>-1</sup>,

380 while  $[5\alpha$ -stanol]<sub>ws</sub> ranged from 0.4 to 75.7 µg L<sup>-1</sup> (Fig. 3d,e,f,g).

The range of  $[copros]_{WS}$  is higher than the ranges measured in the Mekong Delta (0.001-97.1 µg L<sup>-1</sup>) <sup>29</sup>, in Western Malaysia rivers and in the Mekong Delta (2\*10<sup>-5</sup>-13.5 µg L<sup>-1</sup>) <sup>21</sup>, in streams of Manaus area in Brazil (0.03-46.92 µg L<sup>-1</sup>) <sup>34</sup>, and in Solo and Serayu rivers in Indonesia (<LD-0.68 µg L<sup>-1</sup>) <sup>31</sup>, but in general lower than the range of  $[copros]_{WS}$  reported for WWTP influent in Japan (290.03-327.8 µg L<sup>-1</sup>) <sup>21</sup> and in the USA (385 µg L<sup>-1</sup>) <sup>75</sup>.

The range of [epicop]<sub>WS</sub> (<LQ-12.8  $\mu$ g L<sup>-1</sup>) is higher than the ranges measured in Western Malaysia rivers and in the Mekong Delta (5\*10<sup>-6</sup>-1.579  $\mu$ g L<sup>-1</sup>)<sup>21</sup>, and in streams of Manaus area in Brazil (<LD-2.28  $\mu$ g L<sup>-1</sup>)<sup>34</sup>, but in general lower than the range of [epicop]<sub>WS</sub> reported for WWTP influents in Japan (5.08-12.18  $\mu$ g L<sup>-1</sup>)<sup>21</sup>. [24etep]<sub>WS</sub> ranged from <LQ to 3.9  $\mu$ g L<sup>-1</sup> while [24etco]<sub>WS</sub> ranged from 0.4 to 205.6  $\mu$ g L<sup>-1</sup> (Fig. SI2). [24etco]<sub>WS</sub> reported for the streams of Manaus area in Brazil ranged from <LQ to 9.03  $\mu$ g L<sup>-1 34</sup>. [24etco]<sub>WS</sub> reported for the WWTP influent in the USA was 140  $\mu$ g L<sup>-1</sup> while [epicop]<sub>WS</sub> and [24etep]<sub>WS</sub> were below the limit of detection <sup>75</sup>. In our study, given the number of

- 393 values below the quantification limit for both  $[epicop]_{ws}$  and  $[24etep]_{ws}$ ,  $[5\beta-w/ocop]_{ws}$  mostly
- 394 reflects [24etco]<sub>WS</sub>.
- The range of  $[choles]_{WS}$  (0.2-53.0 µg L<sup>-1</sup>; Fig. SI2) is higher than the ranges measured in Western
- 396 Malaysia rivers and in the Mekong Delta ( $6*10^{-4}$ -3.485 µg L<sup>-1</sup>)<sup>21</sup>, in streams of Manaus area in Brazil
- 397  $(0.02-2.67 \ \mu g \ L^{-1})^{34}$ , and in Solo and Serayu rivers in Indonesia  $(0.052-0.468 \ \mu g \ L^{-1})^{31}$ . [choles]<sub>ws</sub> was
- 398 in the range reported for WWTP influent in Japan (23.95-31.27  $\mu$ g L<sup>-1</sup>) <sup>21</sup>. The ranges of [campes]<sub>WS</sub>
- and of [sitost]<sub>WS</sub> (0.1-7.7  $\mu$ g L<sup>-1</sup> and 0.1-15.0  $\mu$ g L<sup>-1</sup>, respectively; Fig. SI2) are higher than the
- 400  $[campes]_{WS}$  and  $[sitost]_{WS}$  measured in Solo and Serayu rivers in Indonesia (<LD-0.261  $\mu$ g L<sup>-1</sup> and <LD-
- 401 0.476 μg L<sup>-1</sup>, respectively) <sup>31</sup>. [sitost]<sub>ws</sub> measured in streams of Manaus area in Brazil ranged from <LQ
- 402 to 1.54  $\mu$ g L<sup>-1 34</sup>. [choles]<sub>WS</sub>, [campes]<sub>WS</sub>, and [sitost]<sub>WS</sub> reported for the WWTP influent in the USA
- 403 were 40, 55, and 23  $\mu$ g L<sup>-1</sup>, respectively <sup>75</sup>. Hence, the range of [5 $\alpha$ -stanol]<sub>WS</sub> is higher than the [5 $\alpha$ -
- stanol]<sub>ws</sub> measured in Solo and Serayu rivers in Indonesia (0.072-1.206  $\mu$ g L<sup>-1</sup>) <sup>31</sup>, but lower than the
- 405  $[5\alpha$ -stanol]<sub>WS</sub> measured in the USA WWTP influent (118 µg L<sup>-1</sup>)<sup>75</sup>.

The concentrations of *E. coli* and of stanol compounds measured at the outlet of the Laksip village during the four flood events were generally higher than the concentrations measured in the other tropical rivers, whatever the hydrological conditions, while they were lower or in the same range than the concentrations measured in the WWTP influent. Since concentrations are measured in surface runoff and are thus diluted by rainfall, the range of both [*E. coli*] and [stanol]<sub>ws</sub> can be considered high. In the environment, the highest stanol compound concentrations are often found at sampling sites located near domestic outfalls, and concentrations tend to decrease with distance

413 from the sources, involving mixing and dilutions processes <sup>23,34,76–78</sup>. Hence, lower concentrations of

stanol compounds found in e.g. the Mekong Delta <sup>21,29</sup> are consistent with higher concentrations at 414 415 the outlet of a village located within a headwater catchment such as the Laksip village. A common 416 feature in rural areas of developing countries is that although some families have individual 417 treatment systems (septic tanks), surface runoff water and most of wastewater are not stored nor 418 treated before entering the river network. Besides, [E. coli] in surface runoff and at the soil surface are positively associated <sup>79</sup>, and urbanized areas are known to increase FIB concentration due to 419 impervious surfaces and failing septic systems <sup>80</sup>, two features common to our study area. Hence, 420 both high [stanol]ws and high [E. coli] suggest that ditch water is highly contaminated by fecal matter 421 422 and that villages in rural areas can be considered as hotspots of fecal contamination during flood 423 events. Villages may thus be described as point-sources of fecal contamination in distributed or semi-424 distributed hydrological models.



425

426 Fig. 3. Water quality during four flood events sampled in 2016 at the BLS sampling station, Laksip

427 village, Northern Lao PDR. (a) [TSS]: concentration of total suspended sediment (g L<sup>-1</sup>); (b) [*E. coli*]:

428 concentration of *Escherichia coli* (MPN 100 mL<sup>-1</sup>); (c) [*E. coli*]<sub>ATT%</sub>: percentage of *Escherichia coli* 

429 attached to suspended sediment (%); (d) [stanol]<sub>WS</sub>: sum of the concentrations of seven stanol

430 compounds (coprostanol, epicoprostanol, cholestanol, 24-ethylcoprostanol, 24-ethylepicoprostanol,

- 431 campestanol, and sitostanol) (μg L<sup>-1</sup>); (e) [copros]<sub>ws</sub>: concentration of coprostanol (μg L<sup>-1</sup>); (f) [5β-
- 432 w/ocop]<sub>WS</sub>: sum of the concentrations of epicoprostanol, 24-ethylcoprostanol, and 24-
- 433 ethylepicoprostanol ( $\mu$ g L<sup>-1</sup>); (g) [5 $\alpha$ -stanol]<sub>WS</sub>: sum of the concentrations of cholestanol,
- 434 campestanol, and sitostanol (µg L<sup>-1</sup>). Y-axes for [*E. coli*] and stanol compounds concentration are

shown on log scales. Each boxplot contains the extreme of the lower whisker (dashed line), the lower
hinge (thin line), the median (bold line), the upper hinge (thin line), and the extreme of the upper
whisker (dashed line). The whiskers extend to the most extreme data point which is no more
than 1.5 times the interquartile range from the box.

3.3. Spatial origin of TSS, E. coli, and stanol compounds measured in water samples 439 440 [TSS] was positively correlated to [campes]<sub>WS</sub> (r=0.46, p-value=0.026) and [sitost]<sub>WS</sub> (r=0.54, p-441 value=0.007) while [E. coli] was positively correlated to [copros]<sub>WS</sub> (r=0.81, p-value<0.001), [epicop]<sub>WS</sub> 442 (r=0.55, p-value=0.007), [choles]<sub>ws</sub> (r=0.53, p-value=0.009), [24etco]<sub>ws</sub> (r=0.83, p-value<0.001), 443  $[24etep]_{WS}$  (r=0.64, p-value=0.001), and to  $[5\beta-w/ocop]_{WS}$  (r=0.81, p-value<0.001) (Fig. SI3). Concentrations of the stanol compounds were in general positively correlated among each other. Q 444 445 was positively correlated to [TSS] (r=0.76, p-value<0.001) and negatively correlated to [E. coli] (r=-446 0.55, p-value=0.006). No significant correlation was found between [E. coli] and [TSS].

447 Soil erosion is expected to drive both [E. coli], E. coli being mostly transported attached to suspended 448 particles, and [stanol]<sub>ws</sub>, stanol compounds being mostly bound to particulate matter <sup>21,23</sup>. The 449 discrepancy in correlations suggests that the sources of suspended sediment, E. coli, and stanol 450 compounds, may not always coincide: suspended sediment may come either closely from the alleys 451 of the village or more remotely from the teak tree plantations grown without understory 65, while E. coli may preferentially come from the built-up area <sup>81</sup> (Fig. 1). E. coli-free suspended sediment may 452 453 get enriched with attached E. coli while transferred from remote areas towards the built-up area and 454 eventually to the ditch, or conversely, dilute the concentration of suspended sediment loaded with 455 attached E. coli originating from soil eroded in the built-up area. The absence of significant 456 correlation between [*E. coli*] and  $[5\alpha$ -stanol]<sub>ws</sub> suggests that the origin of  $[5\alpha$ -stanol]<sub>ws</sub> may be not only the chicken contamination source in the built-up area <sup>17,31</sup>, but also teak tree plantations where 457 458 soil erosion is known to be high <sup>64</sup>. Indeed, campesterol and sitosterol have been commonly used as plant biomarkers or as markers of terrigenous organic matter <sup>17,20,25,46</sup>, and if chicken were roaming in 459 460 the teak tree plantations, the correlation between [E. coli] and both [TSS] and Q would have been 461 stronger and positive. In addition, [E. coli] and [stanol]ws generally decreased when reaching the flood event peak (see detail provided in Supporting Information, including Fig. SI1 and Fig. SI2), 462 463 indicating a dilution of the concentration by surface runoff. Hence, the correlation between [E. coli] 464 and both [copros]<sub>ws</sub> and  $[5\beta$ -w/ocop]<sub>ws</sub> suggests that fecal contamination is mostly associated to human and porcine feces sources <sup>79</sup> and seems to imply that fecal matter production is concentrated 465 466 in the area close to the ditch outlet, i.e., in the built-up area (Fig. 1).

467 The complementary information given by both the microbial indicator and the biomarkers provides a multi-metrics evaluation of water quality <sup>19,82,83</sup>. In our study, [E. coli] was correlated to [copros]ws 468 469 (r=0.81;  $r^2$ =0.66) and to [24etco]<sub>WS</sub> (r=0.83;  $r^2$ =0.69) (Fig. SI3). Strong positive associations between 470 [*E. coli*] and [copros]<sub>ws</sub> were already highlighted in the Mekong Delta ( $r^2$ =0.86 and 0.91 during rainy and dry seasons, respectively) <sup>21,29</sup>. Besides, reported half-lives of dissolved-phase coprostanol, 24-471 ethylcoprostanol, and sitostanol were 3.8, 4.4, and 5.4 days in aerobic and dark conditions at 18 °C <sup>35</sup>, 472 473 while reported half-lives of E. coli near the study area were 0.5-5 days depending on the suspended sediment settling and sunlight exposure conditions <sup>74</sup>. The interval between each monitored flood 474 475 event and the previous one of similar return period was 1.8-5.7 days. Since the production of fecal 476 matter is virtually constant in the Laksip village, and considering the half-lives of both stanol 477 compounds and E. coli, no decay of neither E. coli nor stanol compounds is expected between fecal 478 matter production and flood events, and during flood events (typically 1-2 hours for the four flood 479 events). However, positive correlation between [E. coli] and [stanol]<sub>ws</sub> (r=0.67, p-value<0.001) (Fig. 480 SI3) imply that the source of E. coli likely coincides with most of the sources of stanol compounds, 481 and that fecal input in ditch water is fresh <sup>17</sup>. Hence, the dynamics of [copros]<sub>WS</sub>, [5β-w/ocop]<sub>WS</sub>, and 482 to a lesser extent [stanol]<sub>ws</sub> are consistent with the dynamics of [E. coli], and confirm that E. coli is a 483 relevant FIB in the study area.

### 484 3.4. Relative abundance of stanol compounds in water samples

485 The relative abundance of stanol compounds in water samples varied during flood events (Fig. SI4): % copros<sub>ws</sub> ranged from 7 to 56 %, while %5 $\beta$ -w/ocop<sub>ws</sub> ranged from 13 to 28 %, and %5 $\alpha$ -stanol<sub>ws</sub> 486 487 ranged from 19 to 79 %. %coprosws decreased from the onset of the flood event until a minimum 488 occurring after the discharge peak, i.e., when  $\%5\alpha$ -stanol<sub>ws</sub> reached its maximum, while  $\%5\beta$ -489 w/ocop<sub>ws</sub> remained relatively stable. Maximum %copros<sub>ws</sub> and  $\%5\alpha$ -stanol<sub>ws</sub> indicate that the 490 contribution of both human and chicken feces sources, along with possible terrigenous stanol compounds, are high in the surface runoff washing off the alleys of the Laksip village <sup>17,20</sup>. 491 492 Epicoprostanol is usually used as an indicator of the level of treatment or age of the fecal matter. 493 High %copros<sub>ws</sub> (7-56 %) and low %epicop<sub>ws</sub> (3-8 %) suggest that the fecal matter is little or not treated before entering the river water network <sup>37,38</sup>, confirming that villages in rural areas contribute 494 to decrease surface water quality. 495

496

#### 3.5. Separation of feces sources with PCA in a tropical context

497 Mixing diagrams show that the relative abundances of the stanol compounds in water samples were
498 mostly included in the triangles defined by the relative abundances of the stanol compounds in feces
499 sources, except for %choles<sub>ws</sub>, for which none of the relative abundances were included in the
500 triangles (Fig. SI5). Hence, we discarded cholestanol before computing PCA.

501 Stanol compounds in water samples not included in the triangles defined by the relative abundance 502 of the stanol compounds in feces sources may mean that a source, represented by a pole of the 503 triangle, is inaccurately defined, highly variable, or even missing. In this study, a source other than 504 the chicken could contribute to the concentration of cholestanol, campesterol, and sitosterol, in 505 surface runoff: these three compounds have been commonly used as plant biomarkers or as markers of terrigenous organic matter <sup>17,20,25,46</sup>, but they may also originate from domestic vegetable oils 506 discharged in sewage <sup>76,84</sup>. As for %24etco<sub>ws</sub> and %24etep<sub>ws</sub>, a source other than the porcine could 507 508 contribute, such as caprine <sup>20</sup>. This source could have been missed during the survey because 509 possibly present in a small number, or not owned by the 24 households located in the built-up area. 510 Similarly, uncounted dogs could contribute to %copros<sub>ws</sub><sup>20</sup>.

511 The first (F1) and second (F2) components of the PCA explained 59 % and 41 %, respectively, of the 512 total variance (Fig. 4a). The main contributive variables on the axis F1, in decreasing order of 513 importance, were %campes<sub>F</sub> (28 %), %sitost<sub>F</sub> (28 %), %24etco<sub>F</sub> (25 %), and %copros<sub>F</sub> (18 %), while the 514 main contributive variables on the axis F2 were %24etep<sub>F</sub> (41 %), %epicop<sub>F</sub> (38 %), and %copros<sub>F</sub> (16 515 %) (Table SI3). The PCA analysis clearly separated the  $5\alpha$ -stanol compounds and the  $5\beta$ -stanol 516 compounds without coprostanol along axis F1, and revealed that %copros<sub>F</sub> discriminated the human 517 feces source, while %epicop<sub>F</sub> and %24etep<sub>F</sub> discriminated the porcine feces source, and %sitost<sub>F</sub> and 518 %campes<sub>F</sub> discriminated the chicken feces source (Fig. 4b). The case of %24etco<sub>F</sub> is ambiguous, since 519 it is located between the poles defined by the porcine and the human feces sources: %24etco<sub>F</sub> in 520 both human and porcine feces sources represents 16 and 19% of the stanol compounds, 521 respectively, thus a similar percentage that prevents the discrimination of the two feces sources by 522 using %24etco<sub>F</sub> only <sup>20</sup>. It confirms that PCA based on six conservative stanol compounds measured in 523 human, porcine, and chicken feces, was more powerful to separate the three feces sources than using a single compound, and that PCA was successful to separate feces sources in a tropical context 524 27. 525

526 When plotted within the stanol signature of the feces sources (Fig. 4b), the stanol signatures of the 527 29 water samples collected during the four flood events were mostly discriminated along axis F1, 528 with most of the samples located near the pole defined by the chicken feces source, and to a lesser 529 extent towards the pole defined by the human feces source.



530

Fig. 4. Principal component analysis (PCA) applied to three individuals (human, porcine, and chicken
feces sources) and to six variables (%copros<sub>F</sub>, %epicop<sub>F</sub>, %24etco<sub>F</sub>, %24etep<sub>F</sub>, %campes<sub>F</sub>, %sitost<sub>F</sub>): (a)
PCA correlation circle; (b) PCA score plot of stanol compounds relative abundance in feces sources,
with the stanol signature in dry suspended sediment of 29 water samples collected during four flood
events in 2016 at the BLS sampling station, Laksip village, Northern Lao PDR. F1: principal component
1; F2: principal component 2. The numbers between brackets are the variance explained by each
component. Standard deviation is shown as error bars.

3.6. Dynamics of the contributions of the fecal contamination sources during flood events 538 539 The relative contributions of human, porcine, and chicken feces sources at each sampling time during flood events ranged from 14±9 to 84±8 %, -6±9 to 34±8 %, and -3±9 to 84±8 %, respectively (Fig. 5). 540 541 Negative values reflect an inaccurately defined pole, the variability of the pole, or the absence of a 542 pole in the analysis, or stanol compounds that are not fully conservative. Here however, these 543 negative values are within the confidence interval, the average standard deviation of the relative 544 contributions of the three feces sources being ±8 %. The contribution of the human feces source 545 generally decreased from flood event onset to shortly after flood event peak, while the contribution 546 of the chicken feces source generally increased during flood event. The increasing contribution of the 547 chicken source may be explained by the fact that (1) human sources are generally closer to the ditch 548 outlet than the chicken source; with a shorter travel time to the outlet, human sources are thus first 549 detected and exhausted, and (2)  $5\alpha$ -stanol compounds may not only originate from the chicken 550 source, but also from terrigenous organic matter originating from agricultural and forest areas 551 located more remotely than the built-up area.

552 Discharge-weighted average contributions per flood event of human, porcine, and chicken feces

sources ranged from 26 to 51 %, 1 to 30 %, and 18 to 73 %, respectively (Fig. 5). The average

contribution per flood event of porcine feces sources was in general the smallest. The highest

average contributions of the chicken feces source were found for the highest values of rainfall depth,

rainfall maximal intensity, and maximal discharge, while the highest average contributions of both

557 human and porcine feces sources were found for the lowest values of rainfall depth, rainfall maximal

558 intensity, and maximal discharge. Discharge-weighted average contributions over the four flood

events of human, porcine, and chicken feces sources were 29, 5, and 66 %, respectively.

560 The three feces sources produce different concentrations of *E. coli*: humans produce about 1.6\*10<sup>11</sup> 561 *E. coli* cap<sup>-1</sup> day<sup>-1</sup>, while pigs and chickens produce about  $1.3*10^{10}$  and  $6.8*10^8$  *E. coli* cap<sup>-1</sup> day<sup>-1</sup>, respectively <sup>79</sup>. Multiplied by the units of each feces source in the village (see Section 2.2), the 562 563 amount of *E. coli* produced is 1.92\*10<sup>13</sup>, 2.99\*10<sup>11</sup>, and 7.55\*10<sup>10</sup> *E. coli* day<sup>-1</sup> for human, pigs, and 564 chickens, respectively. The proportions of *E. coli* load from each feces source calculated from the 565 latter, i.e. the *E. coli* input into the system from literature review, is therefore 98.1, 1.5, and 0.4 % for 566 human, pigs, and chickens, respectively. The discrepancies between the proportions calculated from 567 E. coli input and from stanol-based discharge-weighted average contributions can be explained by (1) preferential surface runoff paths (e.g., is the area convex or concave), and (2) human habits and 568 animal management (e.g., surface runoff flow into areas favored for open defecation and/or animal 569 570 droppings; animals free-ranging or restricted to a fenced area; surface runoff flow into fenced areas). 571 As an example, for the human source, the difference between the *E. coli* load calculated from human 572 density and the E. coli load calculated from stanol-based average contributions depends in part on 573 human access to sanitation, i.e., latrines that could store fecal matter which would therefore not be 574 measured at the village outlet during the four flood events; however, having latrines at home does 575 not imply that open defecation is not practiced from time to time.

576 E. coli exports at the ditch outlet, calculated over the flood events defined as the period lasting from 577 the first sampling to the last sampling, ranged from  $2.6^{*}10^{7}$  to  $6.4^{*}10^{7}$  MPN. Using the above-578 mentioned stanol-based contributions for each sampling time, E. coli originating from human, porcine, and chicken feces sources ranged from 1.2\*10<sup>7</sup> to 2.8\*10<sup>7</sup> MPN, 0.1\*10<sup>7</sup> to 1.0\*10<sup>7</sup> MPN, 579 580 and 0.6\*10<sup>7</sup> to 3.3\*10<sup>7</sup> MPN, respectively, during flood events. Surface water fecal contamination of 581 human origin is especially a concern in terms of risk assessment, since the risk posed to human health appears substantially higher when ingested water is contaminated by human sources 582 compared to other animal sources such as birds, poultry, or pigs<sup>85</sup>. One explanation for this is the 583 host-specific nature of viruses such as noroviruses <sup>86,87</sup>. 584



Fig. 5. Rainfall, discharge, and contribution in percentage (%) of porcine, chicken, and human feces sources in dry suspended sediment during four flood events sampled in 2016 at the BLS sampling station, Laksip village, Northern Lao PDR. Rf: rainfall (mm min<sup>-1</sup>); Q: discharge (L s<sup>-1</sup>). Standard deviation is shown as error bars. Dotted lines are the average contributions of the feces source calculated over the flood event defined as the period lasting from the first sampling to the last sampling.

592 **3.7.** Summary

To our knowledge, this study is the first one to document the concentrations of fecal bacteria
exported out of a village settlement in a tropical mountainous area of Southeast Asia. It is also the

first one to describe the dynamics of in-stream fecal contamination using biomarkers such as stanolcompounds during flood events.

We showed that *E. coli* pulses in surface runoff ranged from 4.7\*10<sup>4</sup> to 3.2\*10<sup>6</sup> MPN 100 mL<sup>-1</sup>: such concentrations indicate a severe fecal contamination of surface water, and suggest that village settlements in tropical rural areas may be considered as major hotspots of fecal contamination pulses into the river network. Major contributory sources of fecal contamination were human and chicken (up to 51 and 73 %, respectively), the highest percentage switching from the human pole to the chicken pole during flood events. The chicken source may be overestimated since chickenassociated stanol compounds may also be terrigenous or plant-related.

604 In addition, we showed that the range of *E. coli* attached to suspended sediment in surface runoff

605 was 83-100 %. Concentration of total stanol compounds ranged from 1.3 to 591.0 μg L<sup>-1</sup> during flood

606 events. Concentrations of 5β-stanol, including coprostanol and 24-ethylcoprostanol, were consistent

607 with the concentrations of *E. coli*, confirming that *E. coli* is a relevant FIB in the context of tropical

608 village settlements.

609 The transferability of these results to other tropical humid catchments or village areas is ensured

610 provided (1) the production of fecal matter is virtually constant, (2) there is no contributions from pit

611 latrines with aged sewage, and (3) the travel time of fecal contaminants during flood events is short.

612 Our results concerning the export of *E. coli* and its attachment to suspended sediment will make it

613 possible to better parameterize village settlements as point-sources in distributed or semi-

distributed hydrological models. Such models can be used to test scenarios (improved hygiene

615 practices and sanitation <sup>6</sup>, improved land use management to increase rain water infiltration and

616 decrease surface runoff and soil erosion <sup>64</sup>, improved management of livestock and manure <sup>88</sup>), and

to suggest better ways of managing and mitigating microbial dissemination in the environment.

618

### 619 Supporting Information

620 Details regarding hysteresis loops analysis from the concentration of TSS, *E. coli*, and stanol

621 compounds measured in water samples (Pages S2-S3); Rainfall, discharge, and water quality (Fig. SI1,

622 page S4); [TSS]-Q, [E. coli]-Q, [copros]<sub>ws</sub>-Q, [choles]<sub>ws</sub>-Q, [24etco]<sub>ws</sub>-Q, [24etep]<sub>ws</sub>-Q, [campes]<sub>ws</sub>-Q,

and [sitost]<sub>ws</sub>-Q hysteresis loops (Fig. SI2, page S5); Spearman's rank correlation coefficient matrix of

624 log-transformed discharge and concentrations of water quality variables in water samples (Fig. SI3,

page S6); Rainfall, discharge, and relative abundance of stanol compounds in water samples (Fig. SI4,

626 page S7); Mixing diagrams of the relative abundance of seven stanol compounds in feces sources and

- 627 in water samples (Fig. SI5, page S8); Names of the stanol compounds analyzed in this study, m/z
- values used for the identification and quantification, and information on quantification compounds
- 629 (Table SI1, page S9); Concentration and relative abundance of the seven stanol compounds measured
- 630 in porcine, chicken, and human feces (Table SI2; page S10); Factorial coordinates and contribution of
- 631 variables on the F1 and F2 axes calculated from the Principal Component Analysis (PCA) based on the
- 632 relative abundance of six stanol compounds measured in human, porcine, and chicken feces sources
- 633 (Table SI3, page S11); [TSS]-Q, [*E. coli*]-Q, [copros]<sub>ws</sub>-Q, [choles]<sub>ws</sub>-Q, [24etco]<sub>ws</sub>-Q, [24etep]<sub>ws</sub>-Q,
- 634 [campes]<sub>ws</sub>-Q, and [sitost]<sub>ws</sub>-Q hysteresis loops (Table SI4, page S12).

635

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# 973 Graphical abstract

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