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# Soil carbon-concentration and carbon-climate feedbacks in CMIP6 Earth system models

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Abstract. Achieving climate targets requires mitigation against climate change but also understanding of the response of land and ocean carbon systems. In this context, global soil carbon stocks and their response to environmental changes are key. This paper quantifies the global soil carbon feedbacks due to changes in atmospheric CO2, and the associated climate changes, for Earth system models (ESMs) in CMIP6. A standard approach is used to calculate carbon cycle feedbacks, defined here as soil carbon-concentration ( $\beta_s$ ) and carbon-climate  $(\gamma_s)$  feedback parameters, which are also broken down into processes which drive soil carbon change. The sensitivity to CO<sub>2</sub> is shown to dominate soil carbon changes at least up to a doubling of atmospheric CO2. However, the sensitivity of soil carbon to climate change is found to become an increasingly important source of uncertainty under higher atmospheric CO<sub>2</sub> concentrations.

### 1 Introduction

Global soil carbon stocks contain at least twice as much carbon than is stored in the world's vegetation, making soils the largest active store of carbon on the land surface of Earth (Canadell et al., 2021). In the absence of human disturbance and land-use change (Jones et al., 2018), future changes in soil carbon depend on the sensitivity to increases in atmospheric  $CO_2$  concentrations and the sensitivity to the associated impacts, such as increases in atmospheric temperatures

and changes in precipitation patterns (Varney et al., 2023; Todd-Brown et al., 2014). The quantification of such carbon cycle feedbacks is required to determine the overall response of the climate system to given anthropogenic CO<sub>2</sub> emissions and to help achieve Paris Agreement targets (Friedlingstein et al., 2022; Gregory et al., 2009).

Previous studies have defined land carbon cycle feedbacks within Earth system models (ESMs) from both CMIP6 and CMIP5 ensembles (Arora et al., 2020, 2013). In general, the overall response of carbon stores is separated into those due to changes in atmospheric  $CO_2$  ( $\Delta CO_2$ ) and those due to changes in global temperature ( $\Delta T$ ), with the latter assumed to represent the overall impacts of climate change on large spatial scales. These components of land carbon cycle feedbacks are called carbon-concentration feedbacks ( $\beta_L$ ) and carbon-climate feedbacks ( $\gamma_L$ ), respectively (Friedlingstein et al., 2003, 2006). An advantage of using this formulation is that it allows for the quantification of the feedbacks for a given atmospheric CO<sub>2</sub> concentration, which can then be used as a simplified measure to compare amongst ESMs despite the increasing model complexities (Arora et al., 2020, 2013; Gregory et al., 2009). For example, it provides a consistent metric to measure land carbon feedbacks despite the differing climate sensitivities amongst ESMs (Boer and Arora, 2013).

In this study, soil-carbon-driven feedbacks in ESMs are quantified using this  $\beta \gamma$  formulation (Friedlingstein et al., 2006). Additionally, the  $\beta \gamma$  formulation is combined with

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the Varney et al. (2023) framework, which breaks down future changes in soil carbon ( $\Delta C_s$ ) into individual processes which drive this response. This paper makes use of the latest generation of the Coupled Model Intercomparison Project (CMIP6) used within the Intergovernmental Panel on Climate Change 6th Assessment Report (IPCC AR6; IPCC, 2021; Eyring et al., 2016). To do this, soil carbonconcentration and carbon-climate feedback parameters are presented for CMIP6 ESMs, named  $\beta_s$  and  $\gamma_s$ , respectively, together with components which make up  $\beta_s$  and  $\gamma_s$  due to associated processes. The aim of this paper is to (1) quantify the sensitivity of soil carbon to increased atmospheric CO<sub>2</sub> concentrations and associated climate impacts by calculating  $\beta_s$  and  $\gamma_s$  for CMIP6 ESMs, (2) investigate the linearity of future soil carbon change at higher levels of atmospheric CO<sub>2</sub> increase, and (3) identify the fraction of the land carbon response to climate change that is due to global soils.

#### 2 Methods

#### 2.1 C4MIP simulations

The Coupled Climate-Carbon Cycle Model Intercomparison Project (C4MIP) was set up to provide a common framework to allow for comparison and consistent evaluation of carbon cycle feedbacks within ESMs (Friedlingstein et al., 2006) and has been used across CMIP generations (Arora et al., 2013, 2020). This framework includes a set of idealised experiments to simplify and quantify the impact of increasing atmospheric CO<sub>2</sub> on the climate system. In these experiments, additional effects such as land-use change, aerosols and non-CO<sub>2</sub> greenhouse gases are not included, and nitrogen deposition is fixed at pre-industrial values (Jones et al., 2016).

The control simulation is known as the 1% CO<sub>2</sub> run (CMIP simulation IpctCO2), where a consistent 1% increase in atmospheric CO<sub>2</sub> per year is prescribed (referred to in this study as the full 1% CO<sub>2</sub> simulation), starting from pre-industrial concentrations and running for 150 years. Additional experiments were designed to enable the CO<sub>2</sub> and climate effects to be isolated, and these are known as biogeochemically coupled (referred to here as the "BGC" simulation) and radiatively coupled (referred to here as the "RAD" simulation) runs. In the BGC runs (CMIP6 simulation 1pctCO2-bgc and CMIP5 simulation esmFixClim1), the 1% CO<sub>2</sub> increase per year only affects the carbon cycle component of the ESM, while the radiation code continues to see pre-industrial CO<sub>2</sub> values. Conversely, in the RAD runs (CMIP6 simulation 1pctCO2-rad and CMIP5 simulation esmFdbk1), the 1% CO<sub>2</sub> increase per year affects only the radiation code, and the carbon cycle component of the ESM continues to see just the pre-industrial CO<sub>2</sub> value (285 ppm).

This study uses the full 1% CO<sub>2</sub>, BGC and RAD C4MIP experiments with 10 CMIP6 ESMs (Eyring et al., 2016): ACCESS-ESM1-5, BCC-CSM2-MR, CanESM5, CESM2, GFDL-ESM4, IPSL-CM6A-LR, MIROC-ES2L, MPI-ESM1-2-LR, NorESM2-LM and UKESM1-0-LL (see Table 1). For comparison, the soil carbon feedback parameters were calculated using six CMIP5 ESMs (Taylor et al., 2012): CanESM2, GFDL-ESM2M, IPSL-CM5A-LR, MPI-ESM-LR, NorESM1-ME and HadGEM2-ES (see Table A2). The ESMs included were chosen due to the availability of the data required at the time of analysis (CMIP6: https://esgf-node.llnl.gov/search/cmip6/, last access: 4 February 2024, CMIP5: https://esgf-node.llnl.gov/search/cmip5/, last access: 6 February 2024).

### 2.2 Defining soil carbon feedbacks

### 2.2.1 Friedlingstein et al. (2006) $\beta \gamma$ formulation

The standard formulation uses a linear approximation to estimate carbon cycle feedbacks in a changing climate (Friedlingstein et al., 2003, 2006). The change in land carbon storage ( $\Delta C_L$ , PgC) is approximated linearly using feedback parameters which define separate sensitivities to changes in atmospheric CO<sub>2</sub> ( $\Delta C$  O<sub>2</sub>, ppm) and changes in global temperatures ( $\Delta T$ , °C), defined as the land carbon-concentration ( $\beta_L$ , PgC ppm<sup>-1</sup>) and carbon-climate ( $\gamma_L$ , PgC °C<sup>-1</sup>) (Eq. 1).

$$\Delta C_{\rm L} \approx \beta_{\rm L} \Delta {\rm CO}_2 + \gamma_{\rm L} \Delta T \tag{1}$$

The Friedlingstein et al. (2006) methodology uses time-integrated fluxes, which represent the total change in the size of the land carbon pool ( $\Delta C_{\rm L}$ ). This is presented for the full 1% CO<sub>2</sub> simulation (Eq. 2), BGC simulation (Eq. 3) and RAD simulation (Eq. 4) below, where  $\Delta C_{\rm L}$ ,  $\Delta C_{\rm L}^{BGC}$  and  $\Delta C_{\rm L}^{RAD}$  are the changes in global land carbon pools (PgC) and  $F_{\rm L}$ ,  $F_{\rm L}^{BGC}$  and  $F_{\rm L}^{RAD}$  are the net carbon fluxes to the land (PgC yr<sup>-1</sup>) for each simulation.

$$\Delta C_{\rm L} = \int F_{\rm L} \, \mathrm{d}t \approx \beta_{\rm L} \Delta \mathrm{CO}_2 + \gamma_{\rm L} \Delta T \tag{2}$$

$$\Delta C_{\rm L}^{\rm BGC} = \int F_{\rm L}^{\rm BGC} dt \approx \beta_{\rm L} \Delta {\rm CO}_2 + \gamma_{\rm L} \Delta T^{\rm BGC} \approx \beta_{\rm L} \Delta {\rm CO}_2 \quad (3)$$

$$\Delta C_{\rm L}^{\rm RAD} = \int F_{\rm L}^{\rm RAD} \, \mathrm{d}t \approx \gamma_{\rm L} \Delta T^{\rm RAD} \tag{4}$$

In these equations,  $\Delta \text{CO}_2(t)$  (ppm) is consistent between all the scenarios. Within the RAD simulation, however (Eq. 4), the carbon cycle does not see an increased CO<sub>2</sub>, so the  $\Delta \text{CO}_2$  is neglected and only found in the full 1% CO<sub>2</sub> and BGC simulations (Eqs. 2 and 3, respectively).  $\Delta T$ ,  $\Delta T^{\text{BGC}}$  and  $\Delta T^{\text{RAD}}$  (°C) are the changes in global temperatures in the full 1% CO<sub>2</sub>, BGC and RAD simulations, respectively. In Eq. (3),  $\Delta T^{\text{BGC}}$  is assumed to be negligible, following Friedlingstein et al. (2006). As the increased CO<sub>2</sub> within the BGC simulation does not affect the radiation code,

**Table 1.** The CMIP6 Earth system models included in this study and the relevant features of the associated land carbon cycle components: simulation of interactive nitrogen, the inclusion of dynamic vegetation, representation of fire and the soil decomposition functions used (Varney et al., 2022; Arora et al., 2020). Explanations of the temperature and moisture functions used within ESMs are given in Varney et al. (2022) and Todd-Brown et al. (2013).

Earth system model	Nitrogen cycle	Dynamic vegetation	Fire	Temperature and moisture functions
ACCESS-ESM1.5	Yes	No	No	Arrhenius and Hill
BCC-CSM2-MR	No	No	No	Hill and Hill
CanESM5	No	No	No	Q <sub>10</sub> and Hill
CESM2	Yes	No	Yes	Arrhenius and Increasing
GFDL-ESM4	No	Yes	Yes	Hill and Increasing
IPSL-CM6A-LR	No	No	No	Q <sub>10</sub> and Increasing
MIROC-ES2L	Yes	No	No	Arrhenius and Increasing
MPI-ESM1.2-LR	Yes	Yes	Yes	Q <sub>10</sub> and Increasing
NorESM2-LM	Yes	No	Yes	Arrhenius and Increasing
UKESM1-0-LL	Yes	Yes	No	$Q_{10}$ and Hill

there is no direct increase in atmospheric temperatures within the model. Arora et al. (2020) explain however that local changes in the carbon cycle arising from increases in  $CO_2$  affect latent and sensible heat fluxes at the land surface, including changes to evaporative fluxes from stomatal closure over land and changes in vegetation structure and coverage if dynamic vegetation is included within the ESM (see Table 1). This study assumes that the global temperature changes in the BGC simulation are negligible in the context of the  $\beta\gamma$  formulation (Fig. A1).

# 2.2.2 Soil carbon-concentration and carbon-climate feedbacks

Global  $\Delta C_{\rm L}$  can be written as the sum of the changes in vegetation carbon ( $\Delta C_{\rm v}$ ) and changes in soil carbon ( $\Delta C_{\rm s}$ ). Following the  $\beta\gamma$  formulation, a similar breakdown of the land carbon-concentration and carbon-climate feedback parameters can be derived, where  $\beta_{\rm L} = \beta_{\rm v} + \beta_{\rm s}$  and  $\gamma_{\rm L} = \gamma_{\rm v} + \gamma_{\rm s}$  (Eq. 5).

$$\Delta C_{\rm L} \approx (\beta_{\rm v} + \beta_{\rm s}) \, \Delta {\rm CO}_2 + (\gamma_{\rm v} + \gamma_{\rm s}) \, \Delta T$$
 (5)

$$\Delta C_{\rm v} \approx \beta_{\rm v} \Delta {\rm CO}_2 + \gamma_{\rm v} \Delta T \tag{6}$$

$$\Delta C_{\rm s} \approx \beta_{\rm s} \Delta {\rm CO}_2 + \gamma_{\rm s} \Delta T \tag{7}$$

Therefore, an equation for  $\Delta C_s$  can be obtained, with soil-specific carbon-concentration ( $\beta_s$ ) and carbon-climate ( $\gamma_s$ ) feedback parameters, which represent the sensitivity of  $\Delta C_s$  to CO<sub>2</sub> and T, respectively (Eq. 7).

# 2.3 Processes driving soil carbon change and the relation to the $\beta \gamma$ formulation

To isolate the processes which make up each soil carbon feedback, we follow the framework presented in Varney et al. (2023). An equation for soil carbon (Eq. 8) is derived using the definition of soil carbon turnover time ( $\tau_{\rm s}=C_{\rm s}/R_{\rm h}$ ), which is defined as the ratio of soil carbon storage ( $C_{\rm s}$ ) to the carbon output flux from the soil (heterotrophic respiration,  $R_{\rm h}$ ; Varney et al., 2020). Future soil carbon can then be defined as initial soil carbon ( $C_{\rm s,0}$ ) plus a change in soil carbon ( $\Delta C_{\rm s}$ ), as shown by Eq. 9, where the subscript 0 denotes the initial state (decadal time average at the start of C4MIP simulation). Equation (9) can be expanded to give Eq. (10), which can be simplified to give Eq. (11), as shown below.

$$C_{\rm s} = R_{\rm h} \tau_{\rm s} \tag{8}$$

$$C_{s,0} + \Delta C_s = (R_{h,0} + \Delta R_h) (\tau_{s,0} + \Delta \tau_s) \tag{9}$$

$$C_{s,0} + \Delta C_s = R_{h,0} \tau_{s,0} + \tau_{s,0} \Delta R_h + R_{h,0} \Delta \tau_s + \Delta R_h \Delta \tau_s \quad (10)$$

$$\Delta C_{\rm s} = \tau_{\rm s,0} \Delta R_{\rm h} + R_{\rm h,0} \Delta \tau_{\rm s} + \Delta R_{\rm h} \Delta \tau_{\rm s} \tag{11}$$

To consider the above- and below-ground effects on soil carbon separately, the effects due to changes in vegetation productivity, represented by net primary productivity (NPP), and effects due to changes in soil carbon turnover time due to increased heterotrophic respiration ( $\tau_s$ ) are considered (Todd-Brown et al., 2014). However, due to the difference between the global fluxes NPP and  $R_h$  in a transient climate, an additional term is included which is defined as net ecosystem productivity (NEP = NPP –  $R_h$ ). Using the definition of NEP, this can be substituted into Eq. (11) to give Eq. (12) and expanded to give an equation for  $\Delta C_s$  in terms of NPP, NEP and  $\tau_s$  (Eq. 13, where the subscript 0 denotes the initial state).

$$\Delta C_{\rm S} = \tau_{\rm S,0} \Delta (\text{NPP} - \text{NEP}) + (\text{NPP}_0 - \text{NEP}_0) \, \Delta \tau_{\rm S}$$
$$+ \Delta (\text{NPP} - \text{NEP}) \Delta \tau_{\rm S}$$
 (12)

$$\Delta C_{s} = \tau_{s,0} \Delta NPP + NPP_{0} \Delta \tau_{s} + \Delta NPP \Delta \tau_{s} - \tau_{s,0} \Delta NEP - NEP_{0} \Delta \tau_{s} - \Delta NEP \Delta \tau_{s}$$
 (13)

The individual terms in Eq. (13) are the change in soil carbon due to NPP changes ( $\Delta C_{s,NPP} \approx \tau_{s,0} \Delta NPP$ ), the change in soil carbon due to the NEP transient term ( $\Delta C_{s,NEP} \approx -\tau_{s,0} \Delta NEP$ ), the change in soil carbon due to  $\tau_s$  changes ( $\Delta C_{s,\tau} \approx NPP_0 \Delta \tau_s$ ) and the transient effect on  $\tau_s$  ( $\Delta C_{s,\tau_{NEP}} \approx -NEP_0 \Delta \tau_s$ ). The two additional terms are the non-linear term between NPP and  $\tau_s$  ( $\Delta NPP \Delta \tau_s$ ) and the non-linear term between NEP and  $\tau_s$  ( $\Delta NEP \Delta \tau_s$ ).

The NEP term is used to represent the transient state of the system where NPP  $\neq R_h$ . However, it is noted that, if the initial state is in equilibrium, then the initial NEP (NEP<sub>0</sub>) will be approximately equal to zero. This would mean the  $\Delta C_{s,\tau_{NEP}}$  term (representing the difference in  $\tau_s$  from using NPP or  $R_h$  in the definition) will be negligible. Despite initialising at the start of the C4MIP simulations (decadal time average at the start of C4MIP simulation), this term is included within the analysis for completeness to ensure exact values of  $\Delta C_s$ .

Following on from this Varney et al. (2023) framework, the equation for  $\Delta C_s$  (Eq. 13) can also be defined for the change in soil carbon in both the BGC simulations ( $\Delta C_s^{\rm BGC}$ , Eq. 14) and RAD simulations ( $\Delta C_s^{\rm RAD}$ , Eq. 15), where the superscripts denote the BGC and RAD simulations, respectively.

$$\begin{split} \Delta C_{\rm s}^{\rm BGC} &= \tau_{\rm s,0}^{\rm BGC} \Delta \rm NPP^{\rm BGC} \\ &+ \rm NPP_0^{\rm BGC} \Delta \tau_{\rm s}^{\rm BGC} + \Delta \rm NPP^{\rm BGC} \Delta \tau_{\rm s}^{\rm BGC} \\ &- \tau_{\rm s,0}^{\rm BGC} \Delta \rm NEP^{\rm BGC} - \rm NEP_0^{\rm BGC} \Delta \tau_{\rm s}^{\rm BGC} \\ &- \Delta \rm NEP^{\rm BGC} \Delta \tau_{\rm s}^{\rm BGC} \end{split} \tag{14}$$

$$\begin{split} \Delta C_{s}^{\text{RAD}} &= \tau_{s,0}^{\text{RAD}} \Delta \text{NPP}^{\text{RAD}} \\ &+ \text{NPP}_{0}^{\text{RAD}} \Delta \tau_{s}^{\text{RAD}} + \Delta \text{NPP}^{\text{RAD}} \Delta \tau_{s}^{\text{RAD}} \\ &- \tau_{s,0}^{\text{RAD}} \Delta \text{NEP}^{\text{RAD}} - \text{NEP}_{0}^{\text{RAD}} \Delta \tau_{s}^{\text{RAD}} \\ &- \Delta \text{NEP}^{\text{RAD}} \Delta \tau_{s}^{\text{RAD}} \end{split} \tag{15}$$

These equations can be used to investigate the sensitivity of these isolated processes to changes in atmospheric  $CO_2$  and global temperature (T), as shown by Eqs. (16) and (17). This is done by the explicit differentiation of Eqs. (14) and (15) with respect to  $CO_2$  and T, respectively.

$$\Delta C_{\rm s}^{\rm BGC} = \frac{\partial}{\partial {\rm CO}_2} \left[ \Delta C_{\rm s}^{\rm BGC} \right] \Delta {\rm CO}_2 \tag{16}$$

$$\Delta C_{\rm s}^{\rm RAD} = \frac{\partial}{\partial T} \left[ \Delta C_{\rm s}^{\rm RAD} \right] \Delta T \tag{17}$$

Equations (16) and (17) can be used to relate these  $CO_2$  and T sensitivities to the  $\beta\gamma$  formulation, where  $\beta$  is used to represent the sensitivity to  $CO_2$  and  $\gamma$  is used to represent the sensitivity to T. Equation (7), which defines  $\Delta C_s$  in terms of the soil carbon-concentration ( $\beta_s$ ) and carbon-climate ( $\gamma_s$ ) feedback parameters, can be rewritten in terms of partial derivatives, as shown by Eq. (18).

$$\Delta C_{\rm s} = \frac{\partial C_{\rm s}}{\partial {\rm CO}_2} \, \Delta {\rm CO}_2 + \frac{\partial C_{\rm s}}{\partial T} \, \Delta T$$
where,  $\beta_{\rm s} = \partial C_{\rm s} / \partial {\rm CO}_2$ 
and  $\gamma_{\rm s} = \partial C_{\rm s} / \partial T$  (18)

Then, Eqs. (16) and (17) can be used together with Eq. (18) to combine the  $\beta\gamma$  formulation with the Varney et al. (2023) framework. In this case, therefore,  $\beta_s$  and  $\gamma_s$  can be defined as the contributions to  $\Delta C_s$  based on the individual sensitivities of the soil carbon controls to  $CO_2$  and T (by substituting Eqs. (14) and (15) into Eqs. (16) and (17), respectively), as shown by Eqs. (20) and (21).

$$\Delta C_{\rm s} = \frac{\partial}{\partial \rm CO_2} \left[ \Delta C_{\rm s}^{\rm BGC} \right] \Delta \rm CO_2 + \frac{\partial}{\partial T} \left[ \Delta C_{\rm s}^{\rm RAD} \right] \Delta T, \quad (19)$$

where

$$\begin{split} \beta_{s} &= \tau_{s,0}^{BGC} \frac{\partial NPP^{BGC}}{\partial CO_{2}} \\ &+ NPP_{0}^{BGC} \frac{\partial \tau_{s}^{BGC}}{\partial CO_{2}} + \frac{\partial \Delta NPP^{BGC} \Delta \tau_{s}^{BGC}}{\partial CO_{2}} \\ &- \tau_{s,0}^{BGC} \frac{\partial NEP^{BGC}}{\partial CO_{2}} - NEP_{0}^{BGC} \frac{\partial \tau_{s}^{BGC}}{\partial CO_{2}} \\ &- \frac{\partial \Delta NEP^{BGC} \Delta \tau_{s}^{BGC}}{\partial CO_{2}}, \end{split} \tag{20}$$

$$\begin{split} \gamma_{s} &= \tau_{s,0}^{\text{RAD}} \frac{\partial \text{NPP}^{\text{RAD}}}{\partial T} + \text{NPP}_{0}^{\text{RAD}} \frac{\partial \tau_{s}^{\text{RAD}}}{\partial T} \\ &+ \frac{\partial \Delta \text{NPP}^{\text{RAD}} \Delta \tau_{s}^{\text{RAD}}}{\partial T} \\ &- \tau_{s,0}^{\text{RAD}} \frac{\partial \text{NEP}^{\text{RAD}}}{\partial T} - \text{NEP}_{0}^{\text{RAD}} \frac{\partial \tau_{s}^{\text{RAD}}}{\partial T} \\ &- \frac{\partial \Delta \text{NEP}^{\text{RAD}} \Delta \tau_{s}^{\text{RAD}}}{\partial T}. \end{split} \tag{21}$$

Equations (20) and (21) can be rewritten by defining the  $\beta_s$  and  $\gamma_s$  contribution terms, where each component of the equations makes up the total  $\beta_s$  and  $\gamma_s$  sensitivities, as shown below for  $\beta_s$  (Eq. 22) and  $\gamma_s$  (Eq. 23):

$$\beta_{S} = \beta_{NPP} + \beta_{\tau} + \beta_{\Delta NPP\Delta\tau} - \beta_{NEP} - \beta_{NEP_{\tau}} - \beta_{\Delta NEP\Delta\tau}, \quad (22)$$

$$\gamma_{S} = \gamma_{NPP} + \gamma_{\tau} + \gamma_{\Delta NPP\Delta\tau} - \gamma_{NEP} - \gamma_{NEP_{\tau}} - \gamma_{\Delta NEP\Delta\tau}, \quad (23)$$

where  $\beta_{\text{NPP}}$  and  $\gamma_{\text{NPP}}$  are the  $\beta\gamma$  contributions due to  $\Delta \text{NPP}$ ,  $\beta_{\tau}$  and  $\gamma_{\tau}$  are the  $\beta\gamma$  contributions due to  $\Delta\tau_s$ ,  $\beta_{\text{NEP}}$  and  $\gamma_{\text{NEP}}$  are the  $\beta\gamma$  contributions due to the transient NEP term, including  $\beta_{\text{NEP}_{\tau}}$  and  $\gamma_{\text{NEP}_{\tau}}$  representing the  $\beta\gamma$  contributions due to the transient NEP term on  $\Delta\tau_s$ , and then  $\beta_{\Delta\text{NPP}\Delta\tau}$ ,  $\beta_{\Delta\text{NEP}\Delta\tau}$ ,  $\gamma_{\Delta\text{NPP}\Delta\tau}$  and  $\gamma_{\Delta\text{NEP}\Delta\tau}$  are the non-linear effects on  $\beta\gamma$ .

#### 2.4 Calculation of feedback parameters

### 2.4.1 Defining climate variables

For each of the CMIP6 ESMs, the CMIP output variables cSoil, cLitter and cVeg are considered in the land carbon storage analysis. Soil carbon ( $C_s$ ) is defined as the sum of carbon stored in soils and the carbon stored in the litter (CMIP variable cSoil + CMIP variable cLitter), allowing for a more consistent comparison between the models despite differences in how soil carbon and litter carbon are simulated (Varney et al., 2022; Todd-Brown et al., 2013). For models that do not report a separate litter carbon pool (CMIP variable cLitter), soil carbon is taken to be simply the CMIP variable cSoil (UKESM1-0-LL). Land carbon ( $C_L$ ) is defined as the sum of carbon stored in soil + litter ( $C_s$ ) plus the carbon stored in vegetation ( $C_v$ , CMIP variable cVeg). Global total values for  $C_s$  and  $C_L$  (PgC) are calculated using an area-weighted sum (using the model land surface fraction, CMIP variable sftlf).

In the breakdown analysis of the  $\beta\gamma$  feedbacks, NPP (CMIP variable npp) is defined as the net carbon assimilated by plants via photosynthesis minus loss due to plant respiration and is used to represent the net carbon input flux to the system. Heterotrophic respiration ( $R_h$ , CMIP variable rh) is defined as the microbial respiration within global soils and is used to define an effective global soil carbon turnover time ( $\tau_s$ ).  $\tau_s$  (years) is defined as the ratio of mean soil carbon to annual mean heterotrophic respiration, given as  $\tau_s = C_s/R_h$  (where the mean represents an area-weighted global average). Carbon fluxes (NPP and  $R_h$ ) in the calculation of feed-

back contributions are considered area-weighted global totals (PgC yr<sup>-1</sup>, using the model land surface fraction, CMIP variable *sftlf*).

Increases in global temperatures ( $\Delta T$ ) are considered using CMIP variable tas, which is defined as the change in near-surface air temperature (°C). To calculate changes in atmospheric CO<sub>2</sub> ( $\Delta$ CO<sub>2</sub>) in the C4MIP 1 % CO<sub>2</sub> simulations, initial pre-industrial CO<sub>2</sub> concentrations are assumed to be 285 ppm and then cumulatively increased by 1 % each year for 70 years (approximately  $2 \times \text{CO}_2$ ) or 140 years (approximately  $4 \times \text{CO}_2$ ).

### 2.4.2 Carbon-concentration feedback parameter $(\beta)$

To calculate the soil carbon-concentration feedback parameter ( $\beta_s$ ), the BGC run was used. For each ESM, the change in soil carbon in the BGC run ( $\Delta C_s^{BGC}$ , PgC) was divided by the change in CO<sub>2</sub> concentration (ppm) up to that point in time (expressed in units of carbon uptake or release per unit change in CO<sub>2</sub>, PgC ppm<sup>-1</sup>). For this study,  $\beta_s$  was calculated at the time of  $2 \times \text{CO}_2$  and  $4 \times \text{CO}_2$ . To calculate the land carbon-concentration feedback parameter ( $\beta_L$ ), the same method was used but replacing  $C_s^{BGC}$  with  $C_L^{BGC}$ .

## 2.4.3 Carbon-climate feedback parameter $(\gamma)$

To calculate the soil carbon-climate feedback parameter ( $\gamma_s$ ), the RAD run was used. For each ESM, the change in soil carbon in the RAD run ( $\Delta C_s^{\rm RAD}$ , PgC) was divided by the change in temperature T (°C) up to that point in time (expressed in units of carbon uptake or release per unit change in temperature, PgC °C<sup>-1</sup>). For this study,  $\gamma_s$  was calculated at  $2 \times {\rm CO}_2$  and  $4 \times {\rm CO}_2$ . To calculate the land carbon-climate feedback parameter ( $\gamma_{\rm L}$ ), the same method was used but replacing  $C_s^{\rm RAD}$  with  $C_s^{\rm RAD}$ .

## 2.4.4 Feedback parameter contributions

To calculate the isolated contributions which make up  $\beta$  and  $\gamma$ , as shown in Eqs. (22) and (23), the BGC and RAD simulations are again used for each CMIP6 ESM. To calculate gradients with respect to CO<sub>2</sub> and T, the methodology presented above is used but with the relevant component against CO<sub>2</sub> or T, such as NPP or  $\tau_s$ . The  $\beta_s$  contributions are expressed in units of carbon uptake or release per unit change in CO<sub>2</sub> (PgC ppm<sup>-1</sup>) and the  $\gamma_s$  contributions are expressed in units of carbon uptake or release per unit change in temperature (PgC °C<sup>-1</sup>), using the definitions presented in Eqs. (22) and (23).

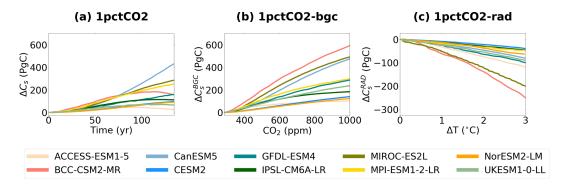


Figure 1. Time series of projected changes in soil carbon ( $\Delta C_s$ ) in CMIP6 ESMs for the (a) idealised 1% CO<sub>2</sub>, (b) biogeochemically coupled 1% CO<sub>2</sub> (BGC) and (c) radiatively coupled 1% CO<sub>2</sub> (RAD) simulations. This figure has been adapted from Fig. A2 in Varney et al. (2023).

#### 3 Results

### 3.1 Projections of soil carbon change

Projections of soil carbon change in CMIP6 ESMs for the full 1% CO<sub>2</sub> ( $\Delta C_s$ ), BGC ( $\Delta C_s^{BGC}$ ) and RAD ( $\Delta C_s^{RAD}$ ) simulations are presented in Fig. 1. Soil carbon is projected to increase in the full 1 % CO<sub>2</sub> simulation amongst CMIP6 ESMs (ensemble mean  $88.2 \pm 40.4$  PgC at  $2 \times CO_2$ and  $177 \pm 141 \, \text{PgC}$  at  $4 \times \text{CO}_2$ ). However, the magnitude of the increase varies amongst the ESMs, with a range of 38 PgC (NorESM2-LM) to 145 PgC (BCC-CSM2-MR) at  $2 \times CO_2$  and a range of 15 PgC (ACCESS-ESM1-5) to 502 PgC (CanESM5) at  $4 \times CO_2$ . Six of the ESMs (CanESM5, CESM2, GFDL-ESM4, MIROC-ES2L, MPI-ESM1-2-LR, NorESM2-LM) see an increased  $\Delta C_s$  value with increasing climate forcing. However, the remaining four ESMs (ACCESS-ESM1-5, BCC-CSM2-MR, IPSL-CM6A-LR, UKESM1-0-LL) see a saturation in the rate of increase or even a turning point where carbon starts to decrease again, from 70 years ( $\approx 2 \times CO_2$ ) in the simulation (Fig. 1a).

The projected increase in soil carbon can be approximated by the increases projected in the BGC run ( $\Delta C_s^{\rm BGC}$ ; ensemble mean  $132\pm66.5\,{\rm PgC}$  at  $2\times{\rm CO_2}$  and  $348\pm203\,{\rm PgC}$  at  $4\times{\rm CO_2}$ , Fig. 1b) and the decreases projected in the RAD run ( $\Delta C_s^{\rm RAD}$ ; ensemble mean  $-45.5\pm22.9\,{\rm PgC}$  at  $2\times{\rm CO_2}$  and  $-170\pm94.7\,{\rm PgC}$  at  $4\times{\rm CO_2}$ , Fig. 1c). The responses due to increases in atmospheric CO<sub>2</sub> (BGC simulation) are found to dominate the overall response (full 1% CO<sub>2</sub> simulation) in the majority of the models, where greater magnitudes of change are seen compared with the RAD simulation (exception ACCESS-ESM1-5). The BGC simulation also sees a greater spread in projected  $\Delta C_s$ , with ranges of  $218\,{\rm PgC}$  at  $2\times{\rm CO_2}$  and  $603\,{\rm PgC}$  at  $4\times{\rm CO_2}$  ( $\Delta C_s^{\rm BGC}$ ) compared with ranges of  $68\,{\rm PgC}$  at  $2\times{\rm CO_2}$  and  $312\,{\rm PgC}$  at  $4\times{\rm CO_2}$  in the RAD simulation ( $\Delta C_s^{\rm RAD}$ ).

Figure 2 shows patterns of soil carbon changes at  $4 \times CO_2$  for the full 1%  $CO_2$  ( $\Delta C_s$ ), BGC ( $\Delta C_s^{BGC}$ ) and RAD ( $\Delta C_s^{RAD}$ ). In the BGC simulation, increases in  $\Delta C_s^{BGC}$  are

seen across the majority of regions within CMIP6 ESMs, though exceptions are found at the northern latitudes for two ESMs (CanESM5 and NorESM2-LM). Across the ensemble, the projected increases in  $\Delta C_s^{BGC}$  have spatially varying magnitudes, where generally the greatest increases are seen in the tropical regions. Conversely, the RAD simulation generally sees reductions in  $\Delta C_s^{\text{RAD}}$  globally, with the greatest reductions seen in the tropical regions. However, disagreement is seen at the northern latitudes, where four models (ACCESS-ESM1-5, CanESM5, MIROC-ES2L, UKESM1-0-LL) see an increased  $\Delta C_s^{\text{RAD}}$  and three models (BCC-CSM2-MR, CESM2, NorESM2-LM) see a decreased  $\Delta C_s^{\rm RAD}$ . The overall  $\Delta C_s$  values seen in the full 1 % CO<sub>2</sub> simulation are again found to be mostly dominated by the BGC simulation (Fig. 2), though exceptions are seen where the RAD simulation is shown to dominate the response for certain regions. Specifically, the reduced  $\Delta C_s$  within the RAD simulation dominates the net response at the northern latitudes of three ESMs (BCC-CSM2-MR, CESM2 and NorESM2-LM, the only models where decreases were seen) as well as in the tropical regions of three different ESMs (ACCESS-ESM1-5, GFDL-ESM4 and UKESM1-0-LL).

# 3.2 Soil carbon-concentration and carbon-climate feedback parameters

The calculated  $\beta_s$  and  $\gamma_s$  values for CMIP6 ESMs are presented in Table 2. Values for  $\beta_s$  are found to be positive amongst the CMIP6 ESMs, which is consistent with increased  $C_s$  with increasing CO<sub>2</sub>, and values for  $\gamma_s$  are found to be negative, which is consistent with decreased  $C_s$  with increasing temperature (Fig. 3). The magnitudes of the feedback parameters ( $\beta_s$  and  $\gamma_s$ ) are found to vary amongst the CMIP6 ensemble, suggesting uncertainty in the magnitude of the soil carbon response to climate change. Generally, models with higher sensitivities to CO<sub>2</sub> ( $\beta_s$ ) also have higher sensitivities to temperature ( $\gamma_s$ ), where  $r^2$  values of 0.64 (2 × CO<sub>2</sub>) and 0.60 (4 × CO<sub>2</sub>) are found between the  $\beta_s$  and  $\gamma_s$  values (Table 2). The ranges in projected  $\beta_s$  param-

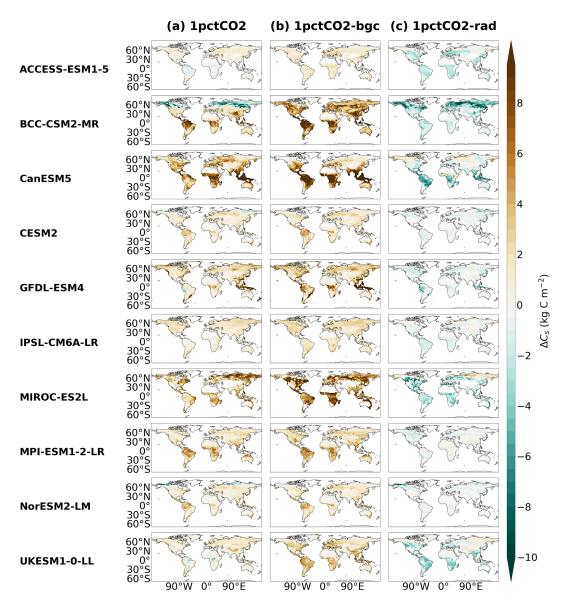
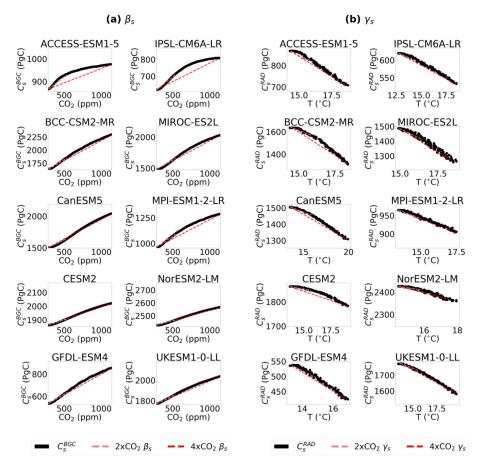


Figure 2. Maps showing the changes in soil carbon ( $\Delta C_s$ ) at  $4 \times CO_2$  in CMIP6 ESMs, for the (a) idealised simulation 1 %  $CO_2$  (left column), (b) biogeochemically coupled 1 %  $CO_2$  (BGC, middle column) and (c) radiatively coupled 1 %  $CO_2$  (RAD, right column).

eters are found to be relatively consistent between  $2 \times CO_2$  and  $4 \times CO_2$  (where a small decrease is seen), with a range of  $0.704\,\mathrm{PgC}\,\mathrm{ppm^{-1}}$  and a range of  $0.636\,\mathrm{PgC}\,\mathrm{ppm^{-1}}$ , respectively. Conversely, the ranges of calculated  $\gamma_s$  parameters are found to be less consistent between  $2 \times CO_2$  and  $4 \times CO_2$  (increasing range with increased global warming), with ranges of 42.7 and  $68.0\,\mathrm{PgC}\,^{\circ}\mathrm{C^{-1}}$ , respectively (Table 2).

The linearity of future soil carbon changes can be investigated by comparing the  $2\times CO_2$  and  $4\times CO_2$  lines for  $\beta_s$  and  $\gamma_s$  in Fig. 3. A future linear response is shown to be a good approximation. However, the figure suggests a slight non-linearity in the soil carbon response to both  $CO_2$   $(\Delta C_s^{BGC})$  and temperature  $(\Delta C_s^{RAD})$  in the majority

of ESMs. The BGC simulation generally sees greater consistency between  $2 \times \text{CO}_2$  and  $4 \times \text{CO}_2$   $\beta_s$  values, for example in the CESM2 and NorESM2-LM models. However, the majority of ESMs (ACCESS-ESM1-5, BCC-CSM2-MR, GFDL-ESM4, IPSL-CM6A-LR, MIROC-ES2L, MPI-ESM1-2-LR and UKESM1-0-LL) see a reduction in  $\beta_s$  and a saturation in the sensitivity with greater CO<sub>2</sub> levels (Fig. 3a). In the RAD simulation, generally inconsistencies are seen between  $2 \times \text{CO}_2$  and  $4 \times \text{CO}_2$  (exception MPI-ESM1-2-LR), and an increased sensitivity of  $C_s^{\text{RAD}}$  to temperature (T) with increased climate forcing is suggested by the majority of CMIP6 ESMs (Fig. 3b). As an example, in CESM2, where one of the lowest sensitivities to T at  $2 \times \text{CO}_2$  is seen, the



**Figure 3.** Time series plots used to calculate the soil feedback parameters. (a) Soil carbon in the BGC simulation ( $C_s^{BGC}$ , PgC) vs. CO<sub>2</sub> (ppm) for the carbon-concentration feedback parameters ( $\beta_s$ , PgC ppm<sup>-1</sup>) and (b) soil carbon in the RAD simulation ( $C_s^{RAD}$ , PgC) vs. temperature ( $T_s$ , °C) for the soil carbon-climate feedback parameters ( $\gamma_s$ , PgC °C<sup>-1</sup>), for each CMIP6 ESM. The lines show the gradients at  $2 \times \text{CO}_2$  (lighter line) and  $4 \times \text{CO}_2$  (darker line), respectively.

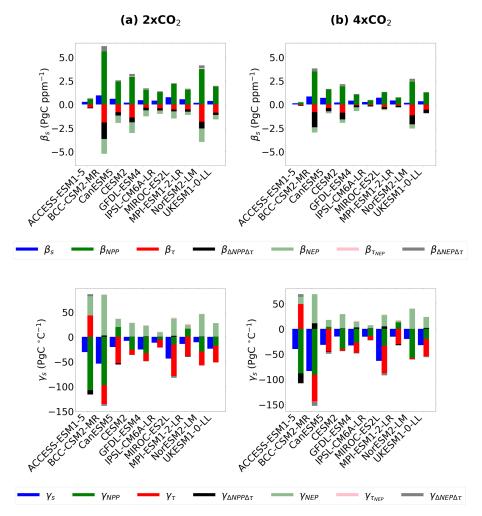
ESM sees an approximate 50 % increase in  $\gamma_s$  by  $4 \times CO_2$  (Table 2).

The  $\beta_s$  and  $\gamma_s$  values were also calculated for CMIP5 ESMs (Table A3), which can be compared with a subset of generationally related CMIP6 ESMs considered in this study (Fig. A3). The CMIP6 ensemble means for both  $\beta_s$ and  $\gamma_s$  parameters are found to be lower compared with the CMIP5 ensemble means (Tables 2 and A3). The relationship of  $\beta_s$  and  $\gamma_s$  values between CMIP5 and CMIP6, however, is not found to be consistent amongst the ensembles. For  $\beta_s$ , reductions are seen in four ESMs (GFDL-ESM2M vs. GFDL-ESM4, IPSL-CM5A-LR vs. IPSL-CM6A-LR, MPI-ESM-LR vs. MPI-ESM1-2-LR and HadGEM2-ES vs. UKESM1-0-LL) compared with increases in the remaining two (CanESM2 vs. CanESM5 and NorESM1-ME vs. NorESM2-LM). For  $\gamma_s$ , a greater value (closer to 0) is seen in four ESMs (CanESM2 vs. CanESM5, GFDL-ESM2M vs. GFDL-ESM4, IPSL-CM5A-LR vs. IPSL-CM6A-LR and MPI-ESM-LR vs. MPI-ESM1-2-LR) compared with a lower value (greater negative) seen in the remaining two ESMs (NorESM1-ME vs. NorESM2-LM and HadGEM2-ES vs. UKESM1-0-LL).

# 3.3 Breakdown of the feedback parameters into soil carbon drivers

In this section,  $\beta_s$  and  $\gamma_s$  are broken down into the individual sensitivities of drivers of soil carbon change which make up the net response. As shown in Fig. 4, the total soil carbon sensitivities ( $\beta_s$  and  $\gamma_s$ , blue bars) can be considered as the sum of the sensitivity due to  $\Delta \text{NPP}$  ( $\beta_{\text{NPP}}$  and  $\gamma_{\text{NPP}}$ , green bars), the sensitivity due to  $\Delta \tau_s$  ( $\beta_\tau$  and  $\gamma_\tau$ , red bars) and additional terms due to the transient land carbon sink, such as NEP ( $\beta_{\text{NEP}}$  and  $\gamma_{\text{NEP}}$ , light green bars) and the NEP effect on  $\tau_s$  ( $\beta_{\tau_{\text{NEP}}}$  and  $\gamma_{\tau_{\text{NEP}}}$ , pink bars). Additionally, there are nonnegligible contributions due to non-linear sensitivities between NPP and  $\tau_s$  ( $\beta_{\Delta \text{NPP}\Delta\tau}$  and  $\gamma_{\Delta \text{NPP}\Delta\tau}$ , black bars) and a small contribution from non-linear sensitivities between NEP and  $\tau_s$  ( $\beta_{\Delta \text{NEP}\Delta\tau}$  and  $\gamma_{\Delta \text{NEP}\Delta\tau}$ , grey bars).

Investigating the sensitivity of soil carbon to  $\triangle$ NPP,  $\beta_{NPP}$  is found to be positive amongst CMIP6 ESMs (Fig. 4). At



**Figure 4.** Investigating the contribution of individual soil carbon drivers to the soil carbon-concentration ( $β_s$ , top row) and carbon-climate ( $γ_s$ , bottom row) feedback parameters, for each CMIP6 ESM, for (a)  $2 \times \text{CO}_2$  and (b)  $4 \times \text{CO}_2$ . The figure shows soil carbon feedback parameter contributions from NPP ( $β_{NPP}$  and  $γ_{NPP}$ ),  $τ_s$  ( $β_τ$  and  $γ_τ$ ), the non-linearity in NPP and  $τ_s$  ( $β_{\Delta NPP} Δ_τ$  and  $γ_{\Delta NPP} Δ_τ$ ) and the effect from the non-equilibrium term NEP ( $β_{NEP}$ ,  $β_{\tau_{NEP}}$ ,  $β_{\Delta NEP} Δ_τ$  and  $γ_{\tau_{NEP}}$ ,  $γ_{\tau_{NEP}}$ ,  $γ_{\tau_{NEP}}$ ,  $γ_{\tau_{NEP}} Δ_τ$ ).

 $2 \times \text{CO}_2$ ,  $\beta_{\text{NPP}}$  ranges from 0.567 PgC ppm<sup>-1</sup> (ACCESS-ESM1-5) to 5.62 PgC ppm<sup>-1</sup> (BCC-CSM2-MR), with an ensemble mean of  $2.37 \pm 1.37 \,\mathrm{PgC}\,\mathrm{ppm}^{-1}$ . There is some evidence of a saturation of global NPP at higher CO<sub>2</sub>, with the sensitivity of NPP to  $CO_2$  ( $\beta_{NPP}$ ) decreasing at  $4 \times \text{CO}_2$  to an ensemble mean of  $1.44 \pm 0.933 \,\text{PgC ppm}^{-1}$ . The sensitivity of NPP to global temperature changes ( $\gamma_{NPP}$ ) is found to be more variable in the ensemble. The majority of models find  $\gamma_{NPP}$  to be negative. However, it is found to be positive in two ESMs (CanESM5 and MPI-ESM1-2-LR). The sensitivity of NPP to temperature ( $\gamma_{NPP}$ ) is found to be more consistent with climate change than the sensitivity to CO<sub>2</sub> ( $\beta_{NPP}$ ), where the  $\gamma_{NPP}$  ensemble mean changes from  $-29.4 \pm 40.1 \,\mathrm{PgC}\,^{\circ}\mathrm{C}^{-1}$  at  $2 \times \mathrm{CO}_2$  to  $-35.3 \pm 33.1 \,\mathrm{PgC} \,^{\circ}\mathrm{C}^{-1}$  at  $4 \times \mathrm{CO}_2$  (Fig. 4). At  $4 \times \mathrm{CO}_2$ , the lowest sensitivity of NPP to temperature is seen in CanESM5  $(3.95 \, PgC \, ^{\circ}C^{-1})$  and the highest sensitivity in BCC-CSM2-MR ( $-90.8 \, PgC \, ^{\circ}C^{-1}$ ).

Investigating the sensitivity of soil carbon to  $\Delta \tau_s$ , negative  $\beta_{\tau}$  and  $\gamma_{\tau}$  values are mostly found amongst the CMIP6 models (Fig. 4). An anomaly is found where  $\tau_s$  is found to increase with temperature in the ACCESS-ESM1-5 model, where the reason for this is unclear (Fig. A2). The sensitivity of  $\tau_s$  to T ( $\gamma_{\tau}$ ) is also found to be more consistent with increasing climate change than the sensitivity to CO<sub>2</sub>, where an ensemble mean of  $-25.2 \pm 27.9 \, \mathrm{PgC} \, ^{\circ}\mathrm{C}^{-1}$  at  $2 \times \mathrm{CO}_2$  and  $-20.5 \pm 29.5 \, \mathrm{PgC} \, ^{\circ}\mathrm{C}^{-1}$  at  $4 \times \mathrm{CO}_2$  is seen. At  $4 \times \mathrm{CO}_2$ , the greatest sensitivity of  $\tau_s$  to temperature is seen in the MIROC-ES2L model ( $-54.6 \, \mathrm{PgC} \, ^{\circ}\mathrm{C}^{-1}$ ) and the lowest sensitivity is seen in the NorESM2-LM model ( $-2.80 \, \mathrm{PgC} \, ^{\circ}\mathrm{C}^{-1}$ ).  $\tau_s$  is found to decrease non-linearly with increasing  $\mathrm{CO}_2$  ( $\beta_{\tau}$ ). At  $2 \times \mathrm{CO}_2$ ,  $\beta_{\tau}$  ranges from  $-0.329 \, \mathrm{PgC} \, \mathrm{ppm}^{-1}$  (ACCESS-ESM1-

**Table 2.** The soil carbon-concentration ( $\beta_s$ , PgC ppm<sup>-1</sup>) and carbon-climate ( $\gamma_s$ , PgC °C<sup>-1</sup>) feedback parameters for  $2 \times CO_2$  and  $4 \times CO_2$  for the CMIP6 ESMs.

Earth system	$2 \times \text{CO}_2$		$4 \times CO_2$	
model	$eta_{ m s}$	$\gamma_{ m s}$	$\beta_{ m s}$	$\gamma_{ m s}$
ACCESS-ESM1.5	0.242	-29.2	0.127	-37.3
BCC-CSM2-MR	0.861	-50.5	0.763	-83.1
CanESM5	0.544	-21.4	0.620	-31.8
CESM2	0.175	-7.67	0.183	-15.1
GFDL-ESM4	0.397	-25.0	0.371	-31.4
IPSL-CM6A-LR	0.357	-11.9	0.222	-15.3
MIROC-ES2L	0.684	-49.4	0.630	-63.1
MPI-ESM1-2-LR	0.494	-14.4	0.375	-15.6
NorESM2-LM	0.157	-12.0	0.161	-19.5
UKESM1-0-LL	0.351	-24.7	0.307	-32.7
Ensemble mean	0.426	-24.6	0.376	-34.5
Ensemble SD	$\pm 0.213$	$\pm 14.2$	$\pm 0.212$	$\pm 21.3$

5) to  $-1.90\,\mathrm{PgC}\,\mathrm{ppm^{-1}}$  (BCC-CSM2-MR), with an ensemble mean of  $-0.900\pm0.574\,\mathrm{PgC}\,\mathrm{ppm^{-1}}$ . Due to the non-linearity, a reduced ensemble mean of  $-0.450\pm0.359\,\mathrm{PgC}\,\mathrm{ppm^{-1}}$  is found at  $4\times\mathrm{CO_2}$  compared with  $2\times\mathrm{CO_2}$  (Fig. 4).

It is apparent from Fig. 4 that the sensitivities of NPP and  $\tau_s$  to both CO<sub>2</sub> and T must be accounted for to understand and quantify the sensitivities of soil carbon. The magnitude of  $\beta_{\tau}$  is found to be approximately one-third of the magnitude of  $\beta_{NPP}$  at both  $2 \times CO_2$  and  $4 \times CO_2$  but with counteracting signs of change. Models with the lowest  $\beta_{NPP}$  sensitivities also see the lowest  $\beta_{\tau}$  sensitivities (e.g. ACCESS-ESM1-5) and vice versa. The magnitude of  $\gamma_{NPP}$  is generally found to be greater across the ensemble compared with  $\gamma_{\tau}$ , with however a greater range of sensitivities. Additionally, the apparent sensitivity of soil carbon to CO<sub>2</sub> is less than the individual sensitivities of NPP and  $\tau_s$ , due to a cancellation effect from opposing signs, leading to a lower apparent  $\beta_s$ . The magnitudes of  $\beta_{NPP}$  and  $\beta_{\tau}$  are lower at  $4 \times CO_2$  than  $2 \times CO_2$ , which means a reduced sensitivity of NPP and  $\tau_s$  to CO<sub>2</sub> at greater levels of climate change. However, due to this cancellation effect, the same reduced sensitivity is not seen in  $\beta_s$ . Conversely, a reduced sensitivity of NPP and  $\tau_s$  to temperature is not suggested under increasing climate forcing. No clear relationship between  $\gamma_{NPP}$  and  $\gamma_{\tau}$  is seen amongst the CMIP6 ESMs (Fig. 4).

The contribution of the non-linearity between NPP and  $\tau_s$  to the net soil carbon sensitivity is also investigated  $(\beta_{\Delta NPP\Delta\tau})$  and  $(\beta_{\Delta NPP\Delta\tau})$ . Figure 4 suggests that the non-linearity between NPP and  $(\gamma_s)$  is more robustly projected to result from increasing CO<sub>2</sub>  $(\beta_s)$ . However, non-linearities in  $(\gamma_s)$  are also seen in the models with the greatest temperature sensitivities. The ensemble mean predicted  $(\beta_{\Delta NPP\Delta\tau})$  is found to be  $(-0.462\pm0.462)$  at (2.462) and

 $-0.463 \pm 0.468 \, \mathrm{PgC} \, \mathrm{ppm}^{-1}$  at  $4 \times \mathrm{CO}_2$ . As expected from Fig. 4, predicted  $\gamma_{\Delta \mathrm{NPP}\Delta \tau}$  is found to have a low sensitivity, where the ensemble means of  $-0.374 \pm 3.12$  at  $2 \times \mathrm{CO}_2$  and  $-0.0478 \pm 7.42 \, \mathrm{PgC} \, ^{\circ}\mathrm{C}^{-1}$  at  $4 \times \mathrm{CO}_2$  are found. Additionally, the NEP terms ( $\beta_{\mathrm{NEP}}$  and  $\gamma_{\mathrm{NEP}}$ ) are shown to contribute to both  $\mathrm{CO}_2$  and T sensitivities (Fig. 4), due to the disequilibrium of land carbon changes under 1% increasing  $\mathrm{CO}_2$ .

# 3.4 Investigating the robustness of the $\Delta C_s$ approximation

Projections of  $\Delta C_s$  in ESMs in the full 1% CO<sub>2</sub> simulation were compared with the estimated  $\Delta C_s$  derived using Eq. (7), which uses the derived  $\beta_s$  and  $\gamma_s$  feedback parameters together with model-specific  $\Delta T$  and estimates for  $\Delta CO_2$  (Fig. 5). This investigates the approximation that changes in the full 1 % CO<sub>2</sub> simulation are equal to the sum of changes in the BGC and RAD simulations. At  $2 \times CO_2$ , the approximation is found to predict  $\Delta C_s$  within 20 % of the actual projected values in the 1 % CO<sub>2</sub> simulation for 7 out of the 10 CMIP6 ESMs (BCC-CSM2-MR, CESM2, GFDL-ESM4, IPSL-CM6A-LR, MIROC-ES2L, MPI-ESM1-2-LR and UKESM1-0-LL). At  $4 \times CO_2$ , the robustness of the assumption between the BGC and RAD simulations decreases for future changes in soil carbon. However,  $\beta_s \Delta CO_2 + \gamma_s \Delta T$ is within 20% of the projected  $\Delta C_s$  for 5 out of the 10 ESMs (GFDL-ESM4, IPSL-CM6A-LR, MIROC-ES2L, MPI-ESM1-2-LR and UKESM1-0-LL). The models where the approximation is the least consistent with projected  $\Delta C_{\rm s}$  are ACCESS-ESM1-5 and BCC-CSM2-MR, where at  $4 \times CO_2$  the greatest non-linearities are present between BGC and RAD simulations (Fig. 5).

# 3.5 Comparisons between soil and land feedback parameters

The contribution of the sensitivity of soil carbon stocks  $(C_s)$ to the total sensitivity of land carbon stocks  $(C_L)$  was investigated by comparing the  $\beta$  and  $\gamma$  feedback parameters for land (Table A1) and soil (Table 2) for both  $2 \times CO_2$  and  $4 \times CO_2$  in CMIP6 ESMs (Fig. 6). Here, the assumption from Eq. (5) is followed that the land sensitivity is made up of the sum of the soil and vegetation responses. For the carbonconcentration feedback  $(\beta)$ , the portion of the land sensitivity to  $CO_2$  ( $\beta_L$ ) that is due to global soils ( $\beta_s$ ) ranges from 19 % (NorESM2-LM) to 53 % (BCC-CSM2-MR), with a mean of 38  $\pm$  11 % seen across the CMIP6 ESMs at 2  $\times$  CO<sub>2</sub> (Fig. 6a). Similar proportions are found at  $4 \times CO_2$ , ranging from 22 % (NorESM2-LM) to 58 % (MIROC-ES2-L), with a mean of  $42 \pm 12\%$  seen across the CMIP6 ESMs (Fig. 6b). The portion of  $\beta_{\rm L}$  due to  $\beta_{\rm S}$  is estimated to be close to half of the total land response. For the carbon-climate feedback  $(\gamma)$ , the portion of the land sensitivity to climate  $(\gamma_L)$  that is due to global soils ( $\gamma_s$ ) ranges from approximately 42 % (CESM2)

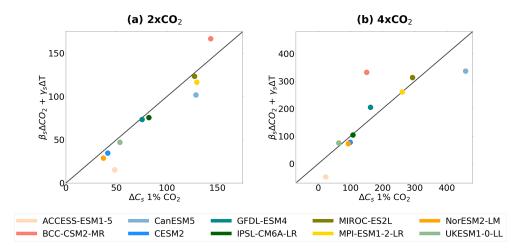


Figure 5. Comparison of  $\Delta C_S$  (PgC) in the full 1% CO<sub>2</sub> simulation (x axis) against the estimated  $\Delta C_S$  using the calculated  $\beta_S$  and  $\gamma_S$  feedback parameters (y axis), where estimated  $\Delta C_S \approx \beta_S \Delta CO_2 + \gamma_S \Delta T$  for each CMIP6 ESM at (a) 2 × CO<sub>2</sub> and (b) 4 × CO<sub>2</sub>.

to 147% (MPI-ESM1-2-LM), with a mean of  $75\pm30$ % seen across the CMIP6 ESMs at  $2\times CO_2$  (Fig. 6a), and at  $4\times CO_2$  the range is from 48% (ACCESS-ESM1-5) to 157% (MPI-ESM1-2-LM), with a mean of  $75\pm31$ % seen across the CMIP6 ESMs (Fig. 6b). Therefore, the portion of  $\gamma_L$  due to  $\gamma_s$  is estimated to be the majority of the sensitivity, suggesting that soil dominates the response of land carbon to climate. Note that the MPI-ESM1-2-LR model sees a greater  $\gamma_s$  value compared with  $\gamma_L$ , resulting in the percentage of the land response attributed to soil being greater than 100%. This suggests a positive  $\gamma_v$  response in this model, meaning a predicted increased vegetation carbon globally with global warming.

#### 4 Discussion

Quantifying the future sensitivity of global soil carbon stocks to anthropogenic CO<sub>2</sub> emissions and their role in future land carbon storage is vital in order to understand future changes in the Earth's climate system (Canadell et al., 2021). Global changes in soil carbon ( $\Delta C_s$ ), in the absence of human disturbance and land-use change, will result from responses due to changes in atmospheric CO<sub>2</sub> and the associated changes in global temperatures (T), which are used to represent climate effects on a global scale. By separating the sensitivities due to increasing CO<sub>2</sub> and T, the idealised C4MIP ESM simulations allow for these effects on soil carbon to be examined individually, and the use of the  $\beta \gamma$  formulation allows these sensitivities to be quantified and compared for CMIP6 ESMs (Jones et al., 2016; Friedlingstein et al., 2006). Further, combining the  $\beta \gamma$  formulation with the Varney et al. (2023)  $\Delta C_s$ framework allows us to isolate the sensitivities of soil carbon processes which influence  $\beta_s$  and  $\gamma_s$  within models.

Across CMIP6 ESMs, soil carbon is projected to increase in the BGC simulation ("CO<sub>2</sub>-only") and decrease in the

RAD simulation ("climate-only"), consistent with projections of the overall land carbon response (Arora et al., 2020). The BGC simulation has been used to quantify the sensitivity of soil carbon to  $\Delta CO_2$  ( $\beta_s$ ), where positive  $\beta_s$  values were defined according to the projected increase in soil carbon with increased atmospheric CO<sub>2</sub> (Fig. 1b). The positive  $\beta_s$  has been shown here to mostly be a result of a positive  $\beta_{\text{NPP}}$  term (Fig. 4), which represents the increased CO<sub>2</sub> fertilisation effect describing increased vegetation productivity under higher atmospheric CO<sub>2</sub> concentrations, which leads to an increased input of litter carbon into soil carbon pools (Schimel et al., 2015; Koven et al., 2015). A negative contribution of  $\beta_{\tau}$  to  $\beta_{s}$  is also shown (Fig. 4). Previously, Varney et al. (2023) presented a transient reduction in  $\tau_s$  in CMIP6 ESMs due to an increased rate of carbon input into the soil (i.e. negative  $\beta_{\tau}$  due to positive  $\beta_{NPP}$ ), a phenomenon known as false priming (Koven et al., 2015). However, it can be seen that the magnitude of this effect is small compared with the CO<sub>2</sub> fertilisation effect across the ESMs ( $\beta_{\tau}$  vs.  $\beta_{NPP}$ , Fig. 4). Despite agreement on a net increase in soil carbon stocks globally (positive  $\beta_s$ ), this study highlights uncertainty in the projected magnitude of this sensitivity amongst the CMIP6 models, which is seen to be driven by uncertainties in  $\beta_{NPP}$ (Fig. 4).

The RAD simulation has been used to quantify the sensitivity of soil carbon to changes in climate ( $\Delta T$ ;  $\gamma_s$ ), where negative  $\gamma_s$  values were defined due to the projected decrease in soil carbon with global warming (Fig. 1c). The negative  $\gamma_s$  term has been shown here to be a result of negative  $\gamma_\tau$  and, in many cases, negative  $\gamma_{NPP}$  (Fig. 4). The negative sensitivity of  $\tau_s$  to global warming (negative  $\gamma_\tau$ ) is known to be due to an increased rate of heterotrophic respiration ( $R_h$ ) at warmer temperatures as a result of increased microbial activity (Varney et al., 2020; Crowther et al., 2016). The global sensitivity of NPP to climate changes ( $\gamma_{NPP}$ ) is less certain where both negative and positive values are seen across the CMIP6

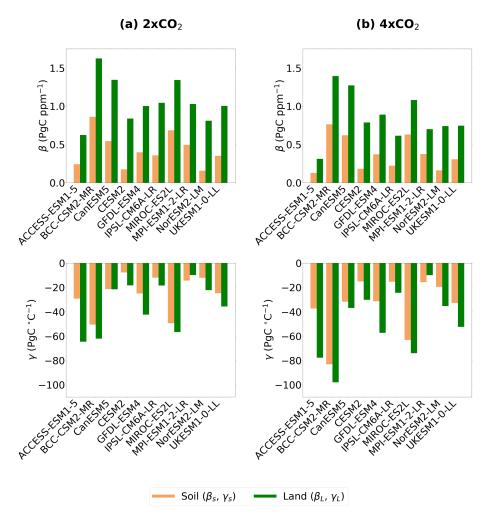


Figure 6. Comparisons of the land carbon-concentration ( $\beta_L$ ) feedback parameters with the soil carbon-concentration ( $\beta_S$ ) feedback parameters (top row) and the land carbon-climate ( $\gamma_L$ ) feedback parameters with the soil carbon-climate ( $\gamma_S$ ) feedback parameters (bottom row), for (a)  $2 \times CO_2$  and (b)  $4 \times CO_2$ .

ESMs (Fig. 4). This is likely due to more spatially varying responses, where the resultant  $\Delta C_s$  can be seen in Fig. 2. For example, increased temperatures at northern latitudes could result in the northward expansion of boreal forests (Pugh et al., 2018), which would increase forest productivity and subsequently carbon storage in these regions. However, future changes in precipitation patterns could lead to regions with reduced soil moisture, which would conversely lead to reduced vegetation productivity and carbon uptake (Green et al., 2019). The uncertainties associated with projected spatial changes ( $\gamma_{NPP}$ ), together with the uncertainties in the magnitude of carbon turnover times within the soil ( $\gamma_{\tau}$ ; Varney et al., 2020; Koven et al., 2017), result in uncertainties in the sensitivity of soil carbon to climate changes ( $\gamma_s$ ) amongst the CMIP6 models.

This paper highlights the importance of soils within the land carbon response to global warming (Fig. 6). Despite the  $\Delta C_s$  sensitivity to CO<sub>2</sub> dominating net soil carbon changes

 $(\beta_s)$ , it could be argued that the significance of the  $\Delta C_s$  climate sensitivity ( $\gamma_s$ ) will increase under more extreme levels of climate change. This is suggested by both a projected saturation of  $\beta_s$  and an increase in  $\gamma_s$  between  $2 \times CO_2$  and  $4 \times CO_2$  shown in the CMIP6 ensemble means (Table 2). The saturation, or reduced rate of increase, in  $\beta_s$  seen in CMIP6 is likely due to a limit of the CO<sub>2</sub> fertilisation effect, based on the reduced  $\beta_{NPP}$  values between  $2 \times CO_2$  and  $4 \times CO_2$ (Fig. 4). The rate of CO<sub>2</sub> fertilisation in the future is expected to be limited by nutrient availability (Wieder et al., 2015), which in CMIP6 is now more explicitly represented by the inclusion of an interactive nitrogen cycle in multiple models (see Table 1). This implementation is expected to limit the increased productivity from CO<sub>2</sub> fertilisation within ESMs (Davies-Barnard et al., 2020) and has previously been found to lower the magnitude of the land feedback parameters (Arora et al., 2020). However, it is noted that warming within the soil could accelerate nutrient mineralisation, which could result in a liberation of nitrogen due to increased microbial breakdown of plant litter, alleviating the nutrient limitation in plants (Todd-Brown et al., 2014).

Unlike the  $\beta_s$  parameter, the sensitivity of soil carbon to climate changes  $(\gamma_s)$  has been shown to increase with global warming in CMIP6. The greater  $\gamma_s$  values at  $4 \times CO_2$  compared with  $2 \times CO_2$  found here imply an increased rate of soil carbon loss under increased amounts of global warming (Table 2). Additionally, it could be hypothesised that limitations within CMIP6 ESMs in the representation of soil carbon and related processes could lead to a potential underestimation of  $\gamma_s$ . In Fig. 2, reductions in soil carbon stocks at the high northern latitudes are only seen in three models for the full 1 % CO<sub>2</sub> simulation (BCC-CSM2-MR, CESM2 and NorESM2-LM). Varney et al. (2022) find that these CMIP6 models represent quantities of northern-latitude carbon stocks most consistently with observational estimates, which could imply an increased likelihood of soil carbon loss from the northern latitudes based on consistency with observations. It is noted however that CESM2 and NorESM2-LM contain the same land surface model and so are expected to show similar results (Lawrence et al., 2019). Furthermore, the majority of ESMs do not include explicit representation of permafrost carbon (Burke et al., 2020). Including permafrost within ESMs would result in increased quantities of carbon within the soil known to be especially sensitive to global warming (increased  $\gamma_s$ ), which currently are not included in the calculation of these feedbacks (Schuur et al., 2015).

The  $\beta\gamma$  formulation has many benefits in allowing the quantification and comparison of land and soil carbon feedbacks amongst ESMs. However, one limitation is due to  $\Delta C_{\rm S}$  not being consistently linear with increasing  ${\rm CO_2}$  and temperature (Fig. 3), so the parameter values depend on the point in time at which they are calculated (for example,  $2\times{\rm CO_2}$  or  $4\times{\rm CO_2}$ ). This has been shown to be due to non-linearities in the processes driving soil carbon feedbacks (Fig. 4), such as the discussed saturation of the  ${\rm CO_2}$  fertilisation effect ( $\beta_{\rm NPP}$ ; Wang et al., 2020) and additionally a known  ${\rm Q_{10}}$  dependence of heterotrophic (soil) respiration on temperature ( $\gamma_{\tau}$ ; Zhou et al., 2009).

Non-linearities between CO<sub>2</sub> and *T* responses are also known and have previously been shown within ESMs in the future land carbon responses (Schwinger et al., 2014; Zickfeld et al., 2011; Gregory et al., 2009). Zickfeld et al. (2011) suggest that the non-linearity in the land response is due to significantly differing vegetation responses which depend on whether or not climate effects are combined with the CO<sub>2</sub> fertilisation effect, e.g. forest dieback (Cox et al., 2004). However, this is model-dependent, as not all models within CMIP6 simulate dynamic vegetation (Table 1). The spatial variations in the response of soil carbon to CO<sub>2</sub> and climate that are seen in Fig. 2 could also contribute to the non-linearity. For example, a different spatial pattern of soil carbon under elevated CO<sub>2</sub> could lead to a different over-

all temperature response, e.g. if more carbon is at the high latitudes where greater temperature changes are seen. Arora et al. (2020) find that climate responses in the BGC simulation account for a difference of 1%-5% in the calculation of the feedbacks, suggesting a small but non-negligible effect of climate in the BGC runs. This response was shown to be dependent on the representation of vegetation within the model, as with the non-linearities found in Zickfeld et al. (2011). Despite this, isolating and quantifying the key sensitivities with the  $\beta\gamma$  method provides a useful benchmark for feedbacks within CMIP.

#### 5 Conclusions

The Friedlingstein et al. (2006) methodology adapted in this study suggests that  $\beta_s$  and  $\gamma_s$  linearity is a valid assumption for projected soil carbon changes in ESMs up until a doubling of CO<sub>2</sub>. However, under more extreme levels of climate change, the results here suggest the need for the non-linearity in feedbacks to be further investigated. Soil carbon is found to have a greater impact on carbon-climate feedbacks than vegetation carbon responses, which means that the sensitivity of soil carbon to changes in global temperature is the dominant response of the land carbon cycle when considering climate effects. Therefore, further understanding and quantifying the sensitivity of global soils under global warming is necessary to quantify future changes in the climate system. Moreover, the sensitivity of soil carbon to temperature increases with increasing climate forcing, suggesting that soil carbon is particularly important in the long-term land carbon response under extreme levels of global warming.

### Appendix A

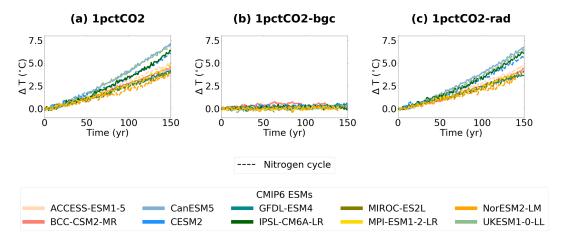
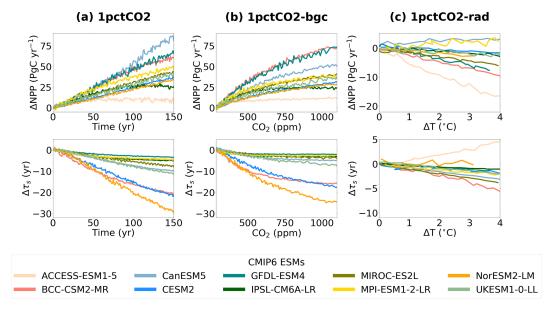
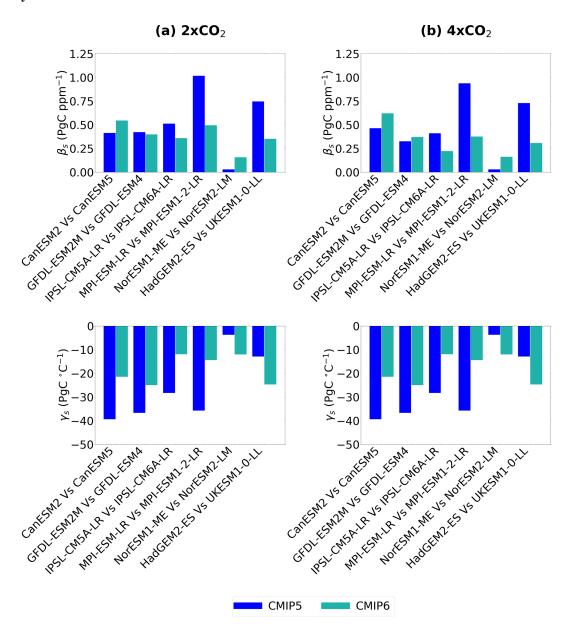


Figure A1. Time series of projected global mean temperature changes ( $\Delta T$ ) in CMIP6 ESMs for the idealised simulations 1 % CO<sub>2</sub> (a), biogeochemically coupled 1 % CO<sub>2</sub> (BGC, b) and radiatively coupled 1 % CO<sub>2</sub> (RAD, c).



**Figure A2.** Time series of projected changes in net primary productivity ( $\Delta$ NPP, top row) and soil carbon turnover time ( $\Delta \tau_s$ , bottom row) in CMIP6 ESMs for the idealised simulations 1 % CO<sub>2</sub> (**a**), BGC (**b**) and RAD (**c**). This figure has been adapted from Fig. A2 in Varney et al. (2023).



**Figure A3.** Comparison of the soil carbon-concentration ( $\beta_s$ ) feedback parameters (top row) and the soil carbon-climate ( $\gamma_s$ ) feedback parameters (bottom row) from generationally related ESMs from CMIP5 and CMIP6, for (**a**)  $2 \times CO_2$  and (**b**)  $4 \times CO_2$ .

**Table A1.** The land carbon-concentration ( $\beta_L$ , PgC ppm $^{-1}$ ) and carbon-climate ( $\gamma_L$ , PgC  $^{\circ}$ C $^{-1}$ ) feedback parameters for  $2 \times CO_2$  and  $4 \times CO_2$  for the CMIP6 ESMs.

Earth system	$2 \times \text{CO}_2$		$4 \times CO_2$	
model	$eta_{ m L}$	γL	$eta_{ m L}$	γL
ACCESS-ESM1.5	0.624	-64.5	0.312	-77.7
BCC-CSM2-MR	1.63	-62.1	1.39	-98.0
CanESM5	1.34	-21.6	1.27	-36.9
CESM2	0.839	-18.3	0.787	-30.1
GFDL-ESM4	1.00	-42.3	0.891	-57.3
IPSL-CM6A-LR	1.05	-18.4	0.614	-24.5
MIROC-ES2L	1.34	-56.7	1.08	-74.0
MPI-ESM1-2-LR	1.03	-9.81	0.699	-9.98
NorESM2-LM	0.811	-22.2	0.740	-35.3
UKESM1-0-LL	1.00	-35.6	0.746	-52.4
Ensemble mean	1.07	-35.2	0.854	-49.6
Ensemble SD	$\pm 0.281$	$\pm 19.1$	$\pm 0.304$	$\pm 26.0$

**Table A2.** The CMIP5 Earth system models included in this study and the relevant features of the associated land carbon cycle components: simulation of interactive nitrogen, the inclusion of dynamic vegetation and the soil decomposition functions used (Varney et al., 2022; Arora et al., 2013; Anav et al., 2013; Friedlingstein et al., 2014). Explanations of the temperature and moisture functions used within ESMs are given in Varney et al. (2022) and Todd-Brown et al. (2013).

Nitrogen cycle	Dynamic vegetation	Temperature and moisture functions
No	No	$Q_{10}$ and Hill
No	Yes	Hill and Increasing
No	No	Q <sub>10</sub> and Increasing
No	Yes	Q <sub>10</sub> and Increasing
Yes	No	Arrhenius and Increasing
No	Yes	$Q_{10}$ and Hill
	cycle No No No Yes	cycle vegetation  No No  No Yes  No No  No Yes  Yes No

Code availability. Code is available on GitHub (https://github.com/rebeccamayvarney/CMIP6-soil-beta-gamma, last access: 10 January 2024) and Zenodo (https://doi.org/10.5281/zenodo.10927091, Varney, 2024).

*Data availability.* The CMIP6 and CMIP5 data analysed during this study are available online (CMIP6: https://esgf-node.llnl.gov/search/cmip6/, ESGF, 2024a, CMIP5: https://esgf-node.llnl.gov/search/cmip5/, ESGF, 2024b).

**Table A3.** The soil carbon-concentration ( $\beta_s$ , PgC ppm<sup>-1</sup>) and carbon-climate ( $\gamma_s$ , PgC °C<sup>-1</sup>) feedback parameters for  $2 \times CO_2$  and  $4 \times CO_2$  for the CMIP5 ESMs.

Earth system	$2 \times \text{CO}_2$		$4 \times CO_2$	
model	$\beta_{ m s}$	$\gamma_{ m s}$	$\beta_{\rm s}$	$\gamma_{\rm s}$
CanESM2	0.413	-39.4	0.463	-54.2
GFDL-ESM2M	0.421	-36.7	0.326	-73.5
IPSL-CM5A-LR	0.511	-28.3	0.410	-39.5
MPI-ESM-LR	1.02	-35.7	0.937	-63.6
NorESM1-ME	0.0281	-3.76	0.0287	-7.80
HadGEM2-ES	0.745	-12.9	0.729	-18.0
Ensemble mean	0.522	-26.1	0.482	-42.8
Ensemble SD	$\pm 0.306$	$\pm 13.3$	$\pm 0.290$	$\pm 23.7$

Author contributions. RMV and PMC outlined the study. RMV completed the analysis and produced the figures. All the co-authors provided useful guidance on the study at various times and suggested edits to the draft manuscript.

Competing interests. The contact author has declared that none of the authors has any competing interests.

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