

# **Characterizing heterogeneities in the subsurface with an ultra-wideband GPR: Application to WISDOM, the GPR of the Rosalind Franklin ExoMars mission**

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# Journal Pre-proof

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# **Characterizing heterogeneities in the subsurface with an ultra-wideband GPR: Application to WISDOM, the GPR of the Rosalind Franklin ExoMars mission**

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# **Highlights**

- Ultra-wideband GPR can provide insights on the typical size L of embedded scatterers.
- The maximum volume backscatter is reached for a wavelength (in the subsurface) of  $\lambda = 5.3L$ .
- The proposed method to retrieve L remains valid for moderately lossy media.
- The method does not require *a priori* knowledge on the subsurface permittivity distribution.
- The method is validated both on numerical and experimental WISDOM data.

# **Abstract**

Ultra-wideband Ground Penetrating Radars (GPR) are sensitive to a large range of scatterer sizes. Considering fractal heterogeneities in the subsurface, we propose a method to retrieve their typical size *L*. The determination of *L* with this method does not require *a priori* knowledge of the statistical distribution of permittivity values in the investigated subsurface. The method relies on the analysis of the backscattered signal by frequency/wavelength subbands. It is adapted to WISDOM, the GPR onboard the rover of the Rosalind Franklin ExoMars mission (ESA), but can be applied to any ultra-wideband GPR. Based on numerical simulations, a maximum in volume backscattering is reached at the wavelength (in the subsurface)  $\lambda =$  $(5.3 \pm 0.2)L$ . We demonstrate that this maximum, and therefore *L*, can be identified even in presence of moderate electrical losses, compatible with conditions expected on the Moon or Mars. Assuming an average permittivity of 5, WISDOM (0.5-3 GHz) data products could be used to estimate *L* as long as it is in the range 0.9-4.2 cm. The retrieval method for *L* is validated on experimental WISDOM data acquired in a controlled environment. deband GPR can provide insights on the typical size L of encommon volume backscatter is reached for a wavelength (in cosed method to retrieve L remains valid for moderately lost hood does not require *a priori* knowledge

# **Keywords**

- Subsurface investigation
- Ultra-wideband Ground Penetrating Radar
- Volume scattering

• Mars

#### **1. Introduction**

Characterizing the subsurface provides substantive clues to reconstruct the geological history of a given area through the knowledge of its chemical composition and geophysical properties. In planetary science, with the notable exception of the Moon, this characterization relies on unmanned exploration. There are several ways to investigate the subsurface from instruments operating at the surface. Let us cite: (i) Visual inspection of outcrops, crater walls or canyons provides clues about the subsurface structures. (ii) Seismology can reveal the internal composition and structure of a planet at different scales (e.g., Lognonné et al., 2019) (iii) Drilling cores directly provides information on the shallow crust (typically the first meters below the surface) but is very local and destructive. Missions of robotic exploration including drilling operations have already been operating on Mars: (Farley et al., 2020; Spohn et al., 2018; Vasavada, 2022). (iv) Ground Penetrating Radars (GPR) which are now commonly accommodated on missions' rover (Farley et al., 2020; Li et al., 2015; Vago et al., 2017; Zou et al., 2021) can give access to the subsurface in a non-destructive manner down to depths commensurate with the operating wavelength/frequency. They are usually designed to sound a few meters to hundreds of meters below the surface depending on the scientific objectives of the mission. The present paper focuses on the investigation of the subsurface by GPR. structure of a planet at different scales (e.g., Lognonn<br>rectly provides information on the shallow crust (typica<br>e) but is very local and destructive. Missions of robotic ex<br>s have already been operating on Mars: (Farley

Clear buried interfaces or resolvable large reflecting structures are probably the most easily interpretable features a GPR can detect in the subsurface. However, radargrams -which are the primary products of a GPR and offer an image of the subsurface- most often display the signatures of diffuse scattering in the subsurface volume rather than clear structures. As an example, the radargram acquired by the Rover ground-Penetrating Radar (RoPeR) onboard the Zhurong rover of the China's Tianwen-1 mission at Utopia Planitia on Mars points to the presence in the subsurface of two layers containing scatterers of different size or nature, but no clear interface (C. Li et al., 2022). Scattering signature was also observed in the lunar subsurface by the LPR (Lunar Penetrating Radar) instruments on board the Yutu rovers of the China's Chang'e 3 [\(Lai et al., 2016\)](https://www.zotero.org/google-docs/?SjHEvk) and Chang'e 4 missions [\(Zhang et al., 2020\)](https://www.zotero.org/google-docs/?f05Tqb) and by LRPR (Lunar Regolith Penetrating Radar) mounted on the China's Chang'e 5 lander [\(Y. Li et al.,](https://www.zotero.org/google-docs/?R0jiFT)  [2022\).](https://www.zotero.org/google-docs/?R0jiFT) In Jezero crater on Mars, the Radar Imager for Mars' Subsurface Experiment (RIMFAX) did reveal buried interfaces but also a great number of scattering features along the 3 km long path of the Perseverance rover of the Mars 2020 mission (NASA) [\(Hamran et al., 2022\).](https://www.zotero.org/google-docs/?nHtUe2)

Scattering signatures indicates the presence of heterogeneities of size comparable to the observational wavelength of the GPR, either due to inclusions embedded in a substrate of different composition or to local subtle variations of the porosity or/and composition. For instance, heterogeneities could be pyroclastic, sedimentary (fluvial, glacial, aeolian) or ejecta deposits. Their size distribution and evolution with depth as well as their shape are essential to understand the origin and transport of materials in a given site of interest and therefore to trace back the local chronology of geological events.

For example, the images acquired by the Mars Science Laboratory (MSL) Mast Camera at Gale crater show rounded pebbles with properties indicative of the fluvial activity that caused their abrasion (Williams et al., 2013). A minimal transport distance of a few kilometers was estimated. The grain size distribution with a median size <1 cm and a maximum size of less than 10 cm was used to estimate the depth and the mean velocity of the flow.

In contrast, at Jezero crater, the Perseverance rover's cameras provided images of outcrops exposing a number of strata, some of them embedding conglomerates with pebbles significantly larger than those observed in Gale crater with a median value around 16.4 cm (Mangold et al., 2021). The interpretation of the observed stratigraphy calls for episodes of very energetic fluvial flows of short-duration.

Scattering signatures can also point to macro-porosity in the subsurface. For instance, volcanic rocks like vesicular basalts are made of millimetric to centimetric void vesicles which could act as efficient scatterers at GPR wavelengths. For terrestrial volcanoes, it has been demonstrated that the information on the bubble size distribution can be used to quantitatively retrieve parameters such as the saturation pressure and magma ascent velocity in the volcanic conduit [\(Blower et al., 2003\).](https://www.zotero.org/google-docs/?8GnJoP)

So far, visual inspection was the primary mean to obtain clues on the local subsurface along a rover's path on Mars. In 2021, the Martian exploration entered a new era with the arrival of GPR operating from the surface. Indeed, a wealth of scientific results have been obtained very recently thanks to RIMFAX/Mars 2020 and RoPeR/Zhurong. For instance, (C. Li et al., 2022) put constraints on the subsurface composition in Utopia Planitia (through the study of the variations of its permittivity with depth) while (Paige et al., 2024) show how revealing the stratigraphy of Jezero crater provides insights into the regional geological history. ures can also point to macro-porosity in the subsurface. Fo<br>lar basalts are made of millimetric to centimetric void vesic<br>reres at GPR wavelengths. For terrestrial volcanoes, it has<br>tion on the bubble size distribution can

The next GPR on Mars will likely be WISDOM (Water Ice Subsurface Deposits On Mars), an ultra-wide band radar (Ciarletti et al., 2011; 2017) designed for the ExoMars rover mission [\(Vago et al., 2017\)](https://www.zotero.org/google-docs/?M926pL) recently rebaptized the ExoMars/Rosalind Franklin Mission (EXM/RFM). The main objective of the EXM/RFM mission is to find evidence of present or past life in the Martian subsurface, where organic molecules, if present, are shielded from the ionizing radiation and atmospheric oxidants. WISDOM will be on-board the Rosalind Franklin rover and investigate the first meters below the surface of the landing site, Oxia Planum [\(Quantin-](https://www.zotero.org/google-docs/?0qsi8O)[Nataf et al., 2021\),](https://www.zotero.org/google-docs/?0qsi8O) in order to understand its geological context and history. WISDOM investigation will also guide the drilling operations towards safe and scientifically-relevant locations where to sample subsurface material. Based on RIMFAX and RoPeR observations on Mars, WISDOM radargrams in Oxia Planum could prove to be difficult to interpret if the subsurface consists of a heterogenous medium including a large density of scattering structures of dimension commensurate with WISDOM wavelengths of operation. This idea is supported by field tests conducted on Earth with spare models of the WISDOM instrument, for instance in the Atacama Desert (Dorizon et al., 2014; Oudart, 2021), in the so-called "Colorado Provençal" in France (Herve, 2018) or on Svalbard Island, Norway.

Characterizing subsurface heterogeneities using data acquired by GPR is challenging. Smallscale structures can result in overlapping radar signatures as strong reflections, diffractions, and interference patterns in GPR data, making the interpretation of radargrams difficult. Similar scattering radar responses can arise from different geological materials or structures, leading to ambiguity in data interpretation. Inverting these data involve solving a highly nonlinear inverse problem with non-unique solutions that fit the observed data equally well.

Several experimental studies investigated specific cases of small-scale permittivity variations. For instance (Rea and Knight, 1998) were able to determine the paleo-flow direction and the correlation length of a fine-grained lithological sedimentary structures through 2-D and 3-D correlation analysis of the GPR data. (Van Dam et al., 2003) noticed qualitatively that interferences of the reflected signals in a subcentimeter-scale sedimentary layering change with the centre frequency of narrow-band the GPR operating at 900 and 450 MHz. (Dai et al., 2022) propose a two-stage deep learning-based method for GPR data inversion under heterogeneous soil conditions, but obtain results limited to the reconstruction of the shape, orientation and size of one to three 2-D objects buried in a 2-D simulated heterogeneous subsurface. Theoretical investigations have also been carried out; due to the complexity of the configuration, they are generally based on simplified assumptions that limit the validity domain of the obtained results. For instance, Mie theoretical model (Mie, 1908) only applies for one spherical or ellipsoidal scatter and assumes an incident plane wave. (Van Der Baan, 2001) investigates and models the frequency variation of volume scattering induced by Gaussian distributed heterogeneities but in 1D, assuming plane waves, and focusing on transmission through the heterogeneous medium (rather than reception after propagation and scattering). ut obtain results limited to the reconstruction of the shape,<br>-D objects buried in a 2-D simulated heterogeneous subs<br>we also been carried out; due to the complexity of the con<br>n simplified assumptions that limit the valid

This paper proposes a method to statistically estimate the typical size of buried scatterers from WISDOM radargrams. The method takes advantage of the broad frequency bandwidth of WISDOM, but it can be applied to any ultra-wideband GPR. The proposed method is described in Section 2, which shows how numerical simulations are performed to generate realistic synthetic WISDOM data on heterogeneous subsurfaces. Section 3 focuses on the main results, namely how the typical size of the embedded heterogeneities can be estimated from the synthetic dataset and the validation of the method on experimental data acquired in a partially documented environment. Section 4 is dedicated to discussions about the robustness and limitations of the method. The last section is devoted to conclusions.

#### **2. Method**

The study of the volume scattering phenomenon is based on synthetic data representative of the experimental data that WISDOM would acquire on heterogeneous subsurfaces.

#### 2.1 Generation of WISDOM synthetic data

The numerical simulations run to generate the synthetic data take into account both the characteristics of the WISDOM radar and a number of heterogenous 3D subsurface models that are described further in this section.

#### 2.1.1 The WISDOM GPR

WISDOM is a dual-polarimetric ground penetrating radar designed to characterize the shallow subsurface of Mars along the Rosalind Franklin rover path. It will be operated during traverses before any drilling activity and provide high-resolution radargrams of the first meters below the surface. The mission drill is designed to dig down to 2 meters and collect 3cm–long core samples of subsurface material. The drill capacity served as guideline for WISDOM design. WISDOM will produce images of the subsurface down to a depth of 2-5 m with a vertical resolution of a few centimeters, depending on the subsurface geoelectrical characteristics. It has three main objectives. First, to understand the 3-D geology and geologic evolution of the site in synergy with surface images acquired by other instruments, such as PanCam [\(Coates et](https://www.zotero.org/google-docs/?jIve6h)  [al., 2017\)](https://www.zotero.org/google-docs/?jIve6h) and CLUPI [\(Josset et al., 2017\).](https://www.zotero.org/google-docs/?onMUmL) This analysis will be based on the buried structures revealed by WISDOM (stratigraphy, spatial heterogeneity…), and on the effective electrical properties of the detected units (interpreted in terms of physical and compositional properties). Second, WISDOM will investigate the local distribution and state of subsurface water. This includes the search for potential segregated bodies of ground ice and transient occurrence of liquid water/brine in the near-surface. Third, WISDOM will identify the safest and most promising scientific targets for subsurface sampling by the drill and provide an accurate estimate of the depth for the sample acquisition. After a drilling, WISDOM data products will be used to extrapolate in a 2-D (or 3-D depending on the Rover's path) map the compositional information provided along the vertical direction by the Ma\_MISS infrared spectrometer [\(De](https://www.zotero.org/google-docs/?N3fYo8)  [Sanctis et al., 2017\)](https://www.zotero.org/google-docs/?N3fYo8) located inside the drill (Altieri et al., 2023). SDOM (stratigraphy, spatial heterogeneity...), and on the detected units (interpreted in terms of physical and compo M will investigate the local distribution and state of sub ch for potential segregated bodies of ground

WISDOM is a step frequency radar operating on a broad frequency bandwidth  $B = 2.5$  GHz in the UHF domain, from 0.5 GHz to 3 GHz, which corresponds in vacuum to wavelengths from 10 cm to 60 cm. For each sounding, a series of N = 1001 harmonic pulses (of duration  $\tau = 1$ ) ms) with a frequency step of  $\Delta f = \frac{B}{N}$  $\frac{b}{N-1}$  = 2.5 MHz is generated and transmitted by the transmitting antenna. The N resulting electromagnetic waves propagate toward the surface and into the subsurface and are reflected/scattered by permittivity contrasts in the sounded volume. The portion of the waves that returns to the radar is eventually converted into a signal by the receiving antenna. Inside the receiver, for each frequency, the received signal is multiplied by the transmitted signal, low-pass filtered and sampled. The resulting voltage value is recorded. Therefore, WISDOM raw data for one sounding consists of a series of N values corresponding to the sounded environment's transfer function at each frequency. The processing applied to these frequency domain data is further explained in sections 2.2.1 and 2.2.2.

Moreover, WISDOM has polarimetric capabilities. The instrument is able to perform sounding in four polarization set-ups [\(Plettemeier et al., 2009\):](https://www.zotero.org/google-docs/?pdLjYs) two co-polarized (HH, VV) and two cross-polarized (HV, VH). The cross-polarization is especially useful for the identification of rough interfaces or buried structures which depolarized the electric field.

#### 2.1.2 Numerical simulations of WISDOM operations

The electromagnetic simulations used in this paper have been performed using a FDTD (Finite Difference Time Domain) code called TEMSI-FD and developed at XLIM (Limoges, France) by C. Guiffaut and A. Reineix (Besse, 2004; Le Gall, 2007). The FDTD method (Kunz and Luebbers, 1993; Taflove, 1995) is a numerical method widely used in electromagnetic simulations (Sullivan, 2013; Taflove et al., 2005), that computes the electromagnetic field within the considered volume as a function of space and propagation time. Compared to other methods operating in the frequency domain, which may run faster, it offers many advantages for our study. First, it is based on a rigorous formulation of Maxwell equations and solves directly the set of equations without any physical approximation. Secondly, it is a versatile method relatively easy to implement and the accuracy of the numerical scheme can be controlled by the time-steps and/or grid spacing. Working in the time domain is clearly an advantage since the actual waveform transmitted by the antenna (that has been experimentally characterized, see Hervé et al., 2020) can be used as the input signal and subsequent propagation and scattering of the waves can be followed in a straightforward manner. Lastly, it is a threedimensional approach which makes it possible to take into account for sophisticated subsurface models, described by the values taken, at each cell of the considered volume, for the geoelectric parameters (namely the permeability, permittivity and conductivity) that drive the electromagnetic waves propagation. For the simulations, the permittivity and conductivity are combined in a complex parameter which is normalized by the permittivity of vacuum. In the following, for the sake of simplicity we refer to as "permittivity"  $\varepsilon$  the real part of the complex relative permittivity and  $\varepsilon$ " the absolute value of its imaginary part, which is responsible for electrical losses. In the frame of the work presented in this paper only non-magnetic (i.e. with a permeability value equal to  $\mu_0$ ) and non-dispersive ( $\varepsilon$  and  $\varepsilon$ " are not frequency dependant) materials are considered. e time-steps and/or grid spacing. Working in the time de-<br>time-steps and/or grid spacing. Working in the time de-<br>the actual waveform transmitted by the antenna (that has be Hervé et al., 2020) can be used as the input si

The whole computational volume is divided in 3-D cells (Yee, 1966), each cell being characterized by a set of  $\varepsilon$  and  $\varepsilon$ " values. Doing so, sophisticated subsurface structures can be modelled down to the scale of the spatial mesh with no increase of the computational and memory resources. To obtain reliable simulated data, the size of the FDTD cells must be small enough to correctly capture the wave propagation through space and avoid numerical dispersion. The mesh grid spacing is commonly set to a value smaller than one tenth of the wavelength in the medium (Luebbers et al., 1993). In the case of WISDOM, this translates into cell size of typically less or equal to 5 mm. TEMSI-FD includes suitably absorbing boundary conditions with Perfectly Matched Layers (PML) [\(Berenger, 1994\),](https://www.zotero.org/google-docs/?b3dND7) to eliminate the parasitic reflections that occur on the walls of the computational volume. As a consequence, a part of the electromagnetic waves propagating in the vicinity of the volume's edges is lost in the PML. It is therefore essential to ensure that the calculation volume is large enough to minimize this effect. According to the radargram of Fig. 2e obtained on homogeneous layers, the first sounding not impacted by this boundary effect is 50 cm away from the vertical walls of the box. The minimal horizontal dimensions of the computational volume needed to correctly simulate one sounding are 1 m for the horizontal axes. The computational volume displayed in Fig. 1 and Fig. 2a  $(3 \times 1 \times 1.5 \text{ m}^3)$  is long enough to allow the horizontal displacement of the radar needed to build a radargram.

Modeling WISDOM operations in TEMSI-FD requires to accurately model its Vivaldi antennas. The physical WISDOM antennas radiate toward the surface but are not very directive. Their radiation pattern has a 30° large main beam and side-lobes [\(Ciarletti et al., 2011\).](https://www.zotero.org/google-docs/?X8EXPS) The WISDOM antennas are modelled to mimic these characteristics. Emission and reception antennas are represented by oblique wires [\(Guiffaut et al., 2012\)](https://www.zotero.org/google-docs/?fjbENY) derived from Holland wires [\(Holland and Simpson, 1981\)](https://www.zotero.org/google-docs/?Zb8L0o) following accurately the edges of the slot of the Vivaldi antennas where current is concentrated. A resistive profile has been added to reduce reflections at the tips of the antennas [\(Wu and King, 1965\).](https://www.zotero.org/google-docs/?GugA5D) The emission antenna is fed by a sine-Gaussian model of the WISDOM time-domain equivalent impulse, experimentally determined by (Herve, 2018). The simulation output is the signal measured by the reception antenna. As on the Rosalind Franklin rover, the distance between the emission and reception antennas is about 20 cm and both antennas are in the air, 38 cm above the modelled ground. Due to space constraints onboard, the antennas are also 45° tilted with respect to the motion direction of the rover (see Fig.1).

TEMSI-FD also provides adequate fractal models to simulate non-homogeneous environments that will be presented in details in section 2.1.3.



**Fig 1**: Example of TEMSI-FD generated synthetic volume. The modeled WISDOM antennas are in red ; they are located in the air (relative permittivity of 1), 38 cm above the surface and oriented at 45° to the motion direction, as on the Rosalind Franklin rover. The spatial axes x, y and z are expressed in cells; one cell is  $5 \times 5 \times 5$  mm<sup>3</sup>. The total simulated subsurface is  $3 \times 1 \times 1.5$  m<sup>3</sup>. The subsurface is composed of two homogeneous layers, the interface is a steep slope. The upper layer relative permittivity is 3 and the lower one is 8.

The WISDOM GPR operates in the frequency-domain (Fig 2b), but the interpretation of the data is most commonly performed in time domain (Fig 2c) after an Inverse Fourier Transform. A sounding in time-domain, usually referred to as a "A-scan" (Fig 2c), displays the time delays and amplitudes of echoes from reflectors within the volume illuminated by the antenna radiation pattern in a nadir-looking viewing geometry. A GPR traverse (Fig 2a) consists in performing equal-step separated soundings along a path at the surface. The combination of all A-scans acquired during the GPR traverse provides a 2-D "map" of the subsurface called "radargram" (Fig 2e) and also known as "B-scan". Because the antenna radiation pattern illuminates a broad volume in 3-D, a radargram is actually a projection of the sounded volume on a plane.



**Fig 2** : From single sounding to radargram. (a) Slice of the simulated GPR traverse illustrated in Fig 1; soundings performed every 10 cm. (b) Frequency spectrum of one single sounding. (c) Temporal spectrum (A-scan) after Inverse Fast Fourier transform of the frequency spectrum. We observe 2 echoes from top to bottom; the ground echo ( $\sim$ 5 ns) and the buried interface echo ( $\sim$ 12.5 ns). (d) Color scale displays the amplitude of the temporal spectrum in arbitrary units. (e) Side by side combination of Ascans provides a radargram.

#### 2.1.3 Generation of realistic heterogenous subsurface models

In order to statistically study the effect of realistic heterogeneities on simulated WISDOM data, we chose to model the variability of the permittivity value in the subsurface by a band-limited fractal process. The TEMSI-FD code allows to generate synthetic subsurfaces with embedded heterogeneities of fractal properties with the diamond-square splitting technique [\(Miller, 1986\).](https://www.zotero.org/google-docs/?1ZlkbM)

The heterogeneity is modelled by spatial variations of  $\varepsilon$  and/or  $\varepsilon$ " values. The diamond-square algorithm is usually applied to generate fractal terrains for instance for scenery generator in computing graphics.

In two dimensions, the algorithm begins with a 2-D square array of width and height  $2^N + 1$ , where N is the total number of iterations. The four corner points of the array must first be set to initial values. The three following steps are repeated until all array values have been assigned (thus N times):

- (i) The diamond phase: For each square in the array, the midpoint of that square is set to be the average of the four corner points plus a random value.
- (ii) The square phase: For each diamond in the array, the midpoint of that diamond is set to be the average of the four corner points plus a random value.
- (iii) The spatial step (or width of the squares in the array) is divided by 2.

At each iteration, the magnitude of the random value decreases to maintain consistency in the texture of the terrain.

In TEMSI-FD, this algorithm is applied on 3-D array to generate volumes of fractal properties. Our study relies on these fractal heterogeneous subsurfaces. Fig. 3a, 3b and 3c display three of them as examples. The values set by the algorithm in the volume are permittivity values (or electrical conductivity values) (see color scale on Fig. 3). The distribution of permittivity values in the synthetic subsurface can be either gaussian (Fig. 3a and 3b) or bi-modal (Fig. 3c, i.e., half of the cells have the same permittivity value and the other half have another permittivity value). relative. To take the mannonic in the array, the image of the four corner points plus a random value.<br>
ial step (or width of the squares in the array) is divided by<br>
the magnitude of the random value decreases to maintain<br>

In the gaussian case, the fractal heterogeneous features generated with TEMSI-FD consist of smooth and continuous variations of the permittivity value which has a gaussian distribution in the generated volume (Fig. 3d). In the bi-modal case, there is only two possible values of permittivity, which results in sharp permittivity discontinuities at the interfaces between fractal heterogeneous features (Fig 3c). Such synthetic heterogeneous volumes can be described by a number of physical parameters, which will be presented in detail in the following section.

TEMSI-FD also allows to generate different stochastic realizations with the same physical parameter values. Their statistical physical properties are the same, but the algorithm is initialized by an integer value that ensures that the very same random generation can be obtained several times when needed, which is useful to modify only one physical parameter at a time and compare results (see examples of Fig. 3b and Fig. 3c). For these specific simulations involving heterogeneous subsurface models, the dimensions of the computational volume have been studied. (i) Because of volume scattering, a larger distance to the edge of the box is required. A series of tests led to the conclusion that a distance of 80 cm is needed (rather than the 50 cm suitable for homogenous media). (ii) the WISDOM GPR has been multiple times tested on the field (e.g., Hervé, 2018 ; Oudart 2021); it is expected to detect reflectors buried at a depth of 2 to 3 meters (Dorizon et al., 2014), exceptionally >5 meters in especially low-loss (mostly icy) environments (Dorizon et al., 2016). To account for this reality, we chose a depth of 3 meters for our synthetic media. Hence, to simulate a single sounding, we decide to consider a box size of  $(1.7 \text{ m} \times 1.7 \text{ m} \times 3 \text{ m})$  which is large enough as long as the antennas are located at the center of the box.

For a box size set to (1.7 m  $\times$  1.7 m  $\times$  3 m) with a cell size of 5 mm  $\times$  5 mm  $\times$  5 mm, a time step of  $4 \cdot 10^{-3}$  ns, one sounding simulation requires about 2 hours of computation for an 8 cores parallelized calculation on an Intel Xeon E5-4627 v2 Processor. The computational time is independent of the physical parameters of the medium.

### 2.1.4 Physical descriptors of the generated heterogeneous subsurfaces

A given subsurface can be characterized by a number of physical parameters (composition, density, porosity, level and type of homogeneity, size of inclusions, etc.). Yet, GPR only sense spatial variations in the value of permittivity in a given medium (provided it is a non-magnetic medium as assumed for this work). These changes cause reflections and/or scattering and absorption of the transmitted waves that are eventually received by the receiving antennas. Thus, the relevant parameters for our study, that will be used in the TEMSI-FD code to generate a heterogeneous medium are: ace can be characterized by a number of physical param, level and type of homogeneity, size of inclusions, etc.). Y<br>in the value of permittivity in a given medium (provided imed for this work). These changes cause reflect

- (i) the mean permittivity  $\varepsilon$  of the subsurface
- (ii) the contrast of permittivity  $\Delta \varepsilon$
- (iii) the typical size of the scatterers L

### The mean permittivity  $\varepsilon$  of the subsurface

For sake of simplicity and as a starting point, the imaginary part of the permittivity is assumed to be null (i.e.,  $\varepsilon$ " = 0). In other words, the media are considered as loss-less (the impact of electrical losses will be analyzed later in section 4.3).

Furthermore, we highlight that the relevant physical quantity that affects EM waves propagating within a heterogeneous subsurface in terms of amplitude and wavelength is not the permittivity but the square root of the permittivity. Indeed, the wave velocity  $v$  is proportional to  $\frac{1}{\sqrt{2}}$  $\frac{1}{\sqrt{\varepsilon}}$  as well as the wavelength in a medium  $\lambda \propto \frac{1}{\sqrt{\varepsilon}}$  $\frac{1}{\sqrt{\varepsilon}}$ . Furthermore, the transmissivity and reflectivity Fresnel coefficients for an incident plane nadir-looking wave at a horizontal smooth interface between two semi-infinite media of permittivity  $\varepsilon_1$  and  $\varepsilon_2$  are also functions of the permittivity square root:  $T_{Fresnel} \propto \left(\frac{\sqrt{\varepsilon_1}}{\sqrt{\varepsilon_2}+\varepsilon_1}\right)$  $\frac{\sqrt{\varepsilon_1}}{\sqrt{\varepsilon_1}+\sqrt{\varepsilon_2}}$ 2 and  $R_{Fresnel} \propto \left(\frac{\sqrt{\varepsilon_1} - \sqrt{\varepsilon_2}}{\sqrt{\varepsilon_1} + \sqrt{\varepsilon_2}}\right)$  $\frac{\sqrt{\varepsilon_1-\sqrt{\varepsilon_2}}}{\sqrt{\varepsilon_1}+\sqrt{\varepsilon_2}}$ 2 . We therefore define the mean permittivity and contrast of permittivity from the distribution of the square root of the permittivity values.

For a given synthetic numerical volume (built with N cells), for any type of permittivity distribution (gaussian or bi-modal), the mean effective permittivity is defined as  $\underline{\varepsilon} = \left[\frac{1}{N}\right]$  $\frac{1}{N}\sum_{i=1}^{N}\sqrt{\varepsilon_{i}}\right]^{2}$ . This parameter is referred to as an "effective permittivity" since, in a heterogeneous medium, the subsurface consists in a mixture of materials of different electrical properties.

## The contrast of permittivity  $\Delta \varepsilon$

The parameter describing the dispersion of the square root of permittivity values around the square root value of the effective permittivity is the standard deviation of the distribution of

the square root of the permittivity values  $std(\sqrt{\varepsilon}) = \sqrt{\frac{1}{N}}$  $\frac{1}{N}\sum_{i=1}^{N}(\sqrt{\underline{\varepsilon}}-\sqrt{\varepsilon_{i}})^{2}.$ 

For a bi-modal permittivity distribution characterized by two values  $\varepsilon_+$  and  $\varepsilon_-$ , the two

parameters defined above give  $\epsilon = \sqrt{\frac{\xi + \sqrt{\xi - 1}}{2}}$  $\frac{1}{2}$ 2 and  $std(\sqrt{\varepsilon}) = \frac{1}{2}$  $\frac{1}{2}(\sqrt{\varepsilon_+}-\sqrt{\varepsilon_-}).$ 

We choose to define the contrast of permittivity as  $\Delta \varepsilon_b = \varepsilon_+ - \varepsilon_-$ , which corresponds to  $\Delta \varepsilon_b = 4\sqrt{\underline{\varepsilon}} \times (\sqrt{\varepsilon_+} - \sqrt{\underline{\varepsilon_-}}).$ 

For a gaussian distribution of permittivity, we choose the same definition to define the permittivity contrast as  $\Delta \varepsilon_a = 4\sqrt{\varepsilon} \times std(\sqrt{\varepsilon}).$ 

## **The typical size of the scatterers L**

We define the typical size  $L$  of the heterogeneities from the 3-D auto-correlation of the permittivity values in the volume. More specifically, to properly estimate the L value, we retrieve the correlation length value as the spatial shift for which the auto-correlation function has decreased by a factor  $\frac{1}{e}$  (0.37, Fig 3e). below the effects of the effects of the effects of the effects of these 3 parameters of the same defination of permittivity as  $\Delta \varepsilon_b = \varepsilon_+ - \varepsilon_-$ , which  $\Delta \varepsilon_b = 4\sqrt{\frac{\varepsilon}{2}} \times (\sqrt{\varepsilon_+} - \sqrt{\varepsilon_-})$ .<br>For a gaussian dist

In this work, we demonstrate that the WISDOM data acquired on a heterogeneous terrain can be used to retrieve the value of one or several of these 3 physical parameters. We therefore below explore the effects of these 3 parameters on the returned signal.

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**Fig 3** : 3-D examples of simulated volumes with fractal heterogeneous subsurfaces and determination of their physical parameters. (a) Gaussian distribution subsurface characterized by "large" typical size of heterogeneities and "small" permittivity contrasts. (b) Gaussian distribution subsurface characterized by "small" typical size of heterogeneities and "strong" permittivity contrasts. (c) Bi-modal distribution subsurface characterized by "small" typical size of heterogeneities. (d) Permittivity values distribution and associated  $\Delta \varepsilon_{g1}$ ,  $\Delta \varepsilon_{g2}$  and  $\Delta \varepsilon_b$ . (e) Correlation lengths  $L_1$  and  $L_2$  of heterogeneities determined from the correlation curve. L is given by the intersection of the correlation curve with the gray horizontal line at 1/e by convention (see dotted arrows).

#### 2.2 Impact of the size of heterogeneities on the radar data

The heterogeneous 3-D subsurfaces generated as explained above are used to produce simulated GPR data, which are then the basis for the proposed method able to retrieve the typical size of the heterogeneities.

#### 2.2.1 Pre-processing of the GPR data

When performing a sounding, WISDOM transmits and receives a series of 1001 harmonic signals of frequencies *f* ranging between  $f_{min} = 0.5$  GHz and  $f_{max} = 3$  GHz and separated by a constant frequency step of  $\Delta f = 2.5$  MHz. Only the real part of the returned signal is recorded by the instrument, and the imaginary part is reconstructed by a Hilbert transform. Fig. 4a displays a typical WISDOM spectrum.



**Fig 4**: Whitening process in the frequency domain. a) Raw spectrum directly measured by WISDOM on Fig 3b medium. The surface is smooth and horizontal. Note that this spectrum includes the surface echo plus the volume backscatter by the heterogeneous subsurface. b) Calibration spectrum obtained by performing a synthetic sounding on a perfect metallic plate. c) Compensation of the raw spectrum by the envelope of the calibration spectrum to obtain the whitened spectrum.

The data processing pipeline developed for WISDOM is described in details in (Hervé et al., 2020). Here we only describe the most relevant processing step mandatory for this study: the signal whitening. Indeed, the end-to-end frequency response of the instrument (including transmission and reception channels) is not constant over the whole WISDOM bandwidth (Fig 4b). As a consequence, in order to estimate correctly the frequency dependency of the subsurface response, a "signal whitening" or "spectrum compensation" has to be performed prior to any analysis. This consists in dividing the measured spectrum by the instrument transfer function which can be obtained from the WISDOM spectrum of an echo on a perfect reflector (Fig 4b). We usually use a metallic plate located at the same distance to the antenna as the ground as a perfect reflector, both for synthetic data and experimental data (Fig. 5). This processing step ensures that any frequency-dependent phenomena observed in the radar data are caused by the propagation medium. Lency (GHz)<br>
Frequency (GHz)<br>
Frequency (GHz)<br>
Frequency (GHz)<br>
Frequency (GHz)<br>
Frequency domain. a) Raw spectrum dig 3b medium. The surface is smooth and horizontal. Nota<br>
The face echo plus the volume backscatter by th



**Fig 5 :** (a) Example of calibration performed in 2002 on a metallic plate during a field campaign on Svalbard, Arctic Norway. (b) TEMSI-FD calibration simulation. The antenna height above the ground (38 cm) is the same as on the Rosalind Franklin rover.

### 2.2.2 Analysis of the radargrams by sub-bands in the frequency domain

Fig. 2 shows an example of synthetic radargram obtained for a subsurface composed of two homogeneous media separated by a clear interface (i.e., a discontinuity in permittivity). The resulting radargram (Fig. 2e) is an image of the subsurface projected on the plane vertical to the radar (Fig. 2a). The upper layer permittivity can be inferred by comparing the surface echo intensity to the metallic plate echo intensity (Dorizon et al., 2016). Thanks to the derived permittivity value, the depth and slope of the buried interface can be estimated. le of calibration performed in 2002 on a metallic plate during<br>Jorway. (b) TEMSI-FD calibration simulation. The antenna hei<br>e as on the Rosalind Franklin rover.<br>ysis of the radargrams by sub-bands in the frequency dom-<br>exa

In contrast, when soundings are performed over a heterogeneous medium with spatial variations of permittivity at small scales (typically < 10 cm for WISDOM wavelengths, Fig. 6 top panel), the resulting radargram shows no recognizable features (Fig. 6 three lower panels). Waves are scattered by these heterogeneities and signals arrive at various time delays, from various directions and with various intensities which appear randomly spread over the radargrams.

However, these signals contain information: they result from multiples reflections /scattering on a statistical number of small scatterers. Because the efficiency of such reflections/scattering depends on the propagating wavelength with respect to the size of the scatterers, such dependence should appear when investigating WISDOM radargrams on restrained bands (or sub-bands) of the initial wide frequency bandwidth as illustrated by Fig. 6.

The three lower panels of Fig. 6 show, for different frequency sub-bands, the synthetic radargrams obtained over four different heterogeneous subsurfaces with variable typical size of heterogeneities L but constant  $(\Delta \varepsilon, \varepsilon)$ . The WISDOM frequency domain has been subdivided into 3 frequency windows of equal width: LF (Low Frequency) between 0.5 and 1.33 GHz, MF (Medium Frequency) between 1.33 and 2.16 GHz and HF (High Frequency) 2.16 and 3 GHz.

At first sight, the resulting radargrams significantly differ from a frequency window to another. The smallest heterogeneities (first column in Fig. 6) generate significantly more backscattered signal at HF than at LF. With increasing L, the maximum backscattering is reached at the lowest frequencies. For  $L = 6.22$  cm, there is almost no volume scattering anymore, but only early echoes due to near-surface reflections.

To allow a quantitative study of this frequency dependent phenomenon, we introduce  $P_{mean}$ (expressed in dB), the normalized backscattered signal intensity. More specifically,  $P_{mean}$  =  $10 \times \log \frac{1}{M} \sum_{s=1}^{M} \frac{I_{s_{[10-50]ns}}}{I_{DC}}$ I<sub>DC</sub>  $\frac{M}{s=1} \frac{I_{s[10-50]ns}}{I_{p,s}}$  where  $I_{s}$  is the mean signal intensity computed over M soundings and over the time range [10 - 50] ns (10 ns being the earliest delay after surface echo and 50 ns the average delay to reach the 3-meter deep bottom of the computational volume for a mean effective permittivity value of 5).  $I_s$  is normalized by the direct coupling signal intensity  $I_{DC}$ , which is the strongest signal in WISDOM radargram. We highlight that the surface echo is excluded from this study, hence  $P_{mean}$  is exclusively computed on backscattered signal and is therefore a relevant metric for quantitative comparison.

In nominal configuration, the effective dynamic range of WISDOM is 66 dB (Hervé, 2018). Thus, we can consider that any signal whose power exceeds −66 dB with respect to the direct coupling power is above the noise level and therefore significant and actually due to volume scattering. Despite the relatively low contrast in permittivity value  $\Delta \varepsilon = 0.5$  in Fig. 6 (upper panel),  $P_{mean}$  is ranging between -40 dB and -56 dB, which remains significantly above the −66 dB threshold.



**Fig 6**: Four synthetic subsurfaces with increasing sizes of heterogeneities (upper figures) and the corresponding radargrams below.  $\epsilon = 5$  and  $\Delta \epsilon = 0.5$  are the same for all four media. The radargrams are built from different frequency windows in the frequency domain of WISDOM.

From top to bottom: Low Frequency (LF) is the window [0.5 - 1.33] GHz, Medium Frequency (MF) is [1.33 - 2.16] GHz and High Frequency is [2.16 - 3] GHz. The same color scale is used for all radargrams.  $P_{mean}$  is the normalized volume backscatter intensity averaged on each radargram (mean computed on the range 10 to 50 ns).

The frequency dependent behavior observed on the four simulated radargrams in Figure 6 suggests that it is possible to use of the ultra-wide bandwidth of the GPR to perform a quantitative analysis and recover the typical size of subsurface heterogeneities.

## 2.2.3 Quantitative analysis of the synthetic data in the frequency domain

Fig. 6 illustrates the sensitivity of WISDOM data to the size of the heterogeneity parameter using only 3 frequency sub-bands, we propose to go further by using a sliding sub-frequency window of a given width  $SW_{BW}$  to sweep the whole instrument bandwidth. For each of the N positions of the sliding window, we compute the mean volume backscatter intensity  $P_{mean}$  as explained in section 2.2.2.

In addition, to exhibit a possible general trend in the relationship between volume backscattering and frequency, more than a single sounding on a single synthetic heterogeneous subsurface must be simulated. We thus achieve a number (typically 60) of different stochastic realizations with the same subsurface physical properties  $(L, \Delta \varepsilon, \varepsilon)$  (Fig. 7a). Furthermore, to guarantee a set of uncorrelated GPR data, only one sounding is performed on each synthetic medium as illustrated by Fig. 7a. From these stochastic realizations, we then perform ensemble averages to obtain a mean value of the backscatter power and its statistical variability. quency sub-bands, we propose to go further by using a sline m width  $SW_{BW}$  to sweep the whole instrument bandwidth sliding window, we compute the mean volume backscatter ion 2.2.2.<br>exhibit a possible general trend in the

Fig. 7a and 7b illustrate the method. Fig. 7c represents, for  $N = 20$ ,  $P_{mean}$  as a function of  $[f_i]_{i \in [1,N]}$  where  $f_i$  is the central frequency of the i-th sliding frequency window. It clearly shows, for the example considered (i.e.,  $L = 1.4$  cm,  $\Delta \varepsilon_g = 0.3$  ,  $\underline{\varepsilon} = 5$ ), the dependency of backscattering by heterogeneities with respect to frequency. For each of these N frequency bands, the mean and the standard deviation of  $P_{mean}$  are computed over a set of 60 independent soundings as shown in Figure 7.

For this study to be statistically relevant, the computational box must also contain a large number of scatterers. This is the case as for the largest investigated size of heterogeneities  $L =$ 6 cm, the considered synthetic subsurface  $(1.7 \text{ m} \times 1.7 \text{ m} \times 3 \text{ m})$  contains more than ten thousand scatterers.



**Fig 7** : (a) One single sounding is performed at the center of each synthetic volume. The operation is repeated on 60 independently generated volumes having the same physical parameter values  $L = 1.4$  cm,  $\Delta \varepsilon_g = 0.3$ ,  $\underline{\varepsilon} = 5$ . (b) The sliding window process is conducted on each sounding. The window width is here 600 MHz and the frequency step is 90 MHz. (c) P<sub>mean</sub> as a function of the center frequency for each window and its associated standard deviation computed over the 60 independent soundings*.* 

As anticipated and illustrated by Fig. 7c, all WISDOM frequencies are not equally backscattered. For a *L* value of 1.4 cm, a maximum emerges around 1.8 GHz.

Additional simulations show that the position of this maximum changes with the value of  $L$  and that a more relevant quantity than frequency or wavelength to investigate  $P_{mean}$  variations is the ratio  $\frac{L}{\lambda}$  where  $\lambda = \frac{c}{f}$  $rac{c}{f\sqrt{\epsilon}}$  is the wavelength in the subsurface of mean effective permittivity  $\underline{\mathcal{E}}$ .

Examples illustrating, for a given permittivity distribution but with different  $L$  values, the frequency dependence of  $P_{mean}$  are presented in Figure 8 as a function of kL where  $k = 2\pi/\lambda$ is the wave number in the medium. Figure 8a corresponds to a case of Gaussian distribution and Figure 8b to a case of bimodal distribution. Both curves show a clear maximum occurring for a  $kL$  value close to 1.25, followed by oscillations for larger  $kL$  values. They bear similarities with the curve obtained for the Mie scattering radar cross section of a sphere (Mie, 1908), which is consistent with the fact that the explored range of  $kL$  values actually corresponds to the Mie scattering region for  $kL > 0.7$  and to the Rayleigh region for  $kL < 0.7$ .



Fig. 8:  $P_{mean}$  as a function of  $kL$  for different values of  $L$  obtained for heterogenous subsurfaces with (a) a gaussian permittivity distribution with parameters ( $\Delta \varepsilon_{\rm g} = 0.55$ ;  $\underline{\varepsilon} = 5$ ) and (b) a bi-modal permittivity distribution with parameters  $(\Delta \epsilon_b = 1, \epsilon = 5)$ .

The global maximum observed on Figure 8 is actually close to the first Mie resonance, which arises at  $kr = 1$  for a perfect electromagnetic conductor sphere of radius r. Theoretical computation using analytical functions for a dielectric sphere (Bohren and Huffman, 1983) of permittivity 5.5 in a medium of permittivity 5 (a similar permittivity contrast and mean effective permittivity as in Fig. 8) leads to a first resonance maximum at  $kr = 1.36$ .  $\frac{1}{2}$   $\frac{1}{4}$   $\frac{1}{4}$ 

The results obtained in this paper exclusively rely on the position of the global maximum observed for  $P_{mean}$ .

#### **3 Results**

The method described in the previous section is used to obtain a quantitative relationship to recover the size of heterogeneities using radargrams.

3.1 Relationship between the position of the backscatter maximum and the size of the heterogeneities

To obtain a relationship usable for relevant configurations, we generated synthetic radar data for a variety of subsurface models exploring a large parameter space for  $(L, \Delta \varepsilon, \varepsilon)$ :

- $\varepsilon$  varies from 1.2 to 9, with most of the simulations at  $\varepsilon = 5$ .
- $\Delta \varepsilon$  varies from 0.01 to 4 (but in a majority of cases between 0.3 and 2).
- L varies from 0.7 cm to 6 cm.

As mentioned previously, we also consider two very different distributions of permittivity values to account for a variety of configurations in natural environments: the gaussian

distribution representing smooth variations in permittivity and the bi-modal distribution representing small inclusions in a homogeneous matrix. All simulations run show a shape of the scattering curve around its maximum similar to those presented in figure 8, regardless of the mean effective permittivity  $\varepsilon$  and the permittivity contrast  $\Delta \varepsilon$  (within the explored range). We therefore chose to establish the position of the maximum by a method based on the whole set of simulated data i.e., obtained for both types of distributions and all values of (L, Δε, ε). Of prime importance, this implies that when analyzing experimental data in an unknown

environment, determining the size of the scatterers does not require *a priori* knowledge of the geoelectrical properties of the materials in the subsurface (values and distribution).

Fig. 9 represents the bivariate histogram of  $P_{mean}$  and kL for all simulated data. We chose to fit the scatterplot of  $P_{mean}$  as a function of the dimensionless parameter  $kL$  around its global maximum (considering the  $kL$  values ranging between 0.45 and 2.1) by a third-degree polynomial (a second-degree polynomial cannot fit the left-right asymmetry around the maximum) using the least square method. We then derived from the best-fit polynomial the position of the global maximum backscatter.



Fig. 9 : Bivariate histogram of  $P_{mean}$  and  $kL$ . The best fit by a third-degree polynomial fit is shown as a black continuous line. The position of the maximum obtained using the fit is represented by a dotted vertical line.

The associated uncertainties are obtained by a Monte Carlo method. This results in  $k_{peak}L =$ 1.18  $\pm$  0.03, which translates in  $\frac{\lambda_{peak}}{L}$  = 5.3  $\pm$  0.2. The position of the global maximum therefore offers a direct mean to estimate the typical size  $L$  of buried heterogeneities. The relative error on the value 5.3 is less than 4%, which leads to an error smaller than 1 mm in the retrieval of a scatterer size of  $L = 2.5$  cm.

This error is small compared to the many other potential sources of errors when applying this method in the field (mean permittivity estimate, mixture of different sizes of scatterers, instrumental artefacts having a frequency dependence…).

#### 3.2 Validation on experimental data

Relying on numerical simulations, we explored the effect of heterogeneities on the backscatter signal for a large variety of media with full control on physical parameters. However, measurements in natural environments are contaminated by different sources of noise and artefacts which can complicate the analysis. Therefore, the method proposed in this paper has to be tested on experimental data acquired on natural but documented environments.

#### 3.2.1 Description of the experimental environment

To test the ability of the proposed method to estimate  $L$ , we selected media where the typical size of the embedded scatterers can be measured and where the standard deviation of the typical size distribution remains smaller than the typical size value itself. More specifically, we performed a series of measurements with WISDOM on three gravel piles near a construction site. Each pile is composed of gravels with a similar composition but a different granulometry. This provides a set of three scattering media composed of the same material but with three different typical sizes of scatterers (Fig 10d). ich can complicate the analysis. Therefore, the method pro-<br>on experimental data acquired on natural but documented e<br>ription of the experimental environment<br>lity of the proposed method to estimate  $L$ , we selected med<br>de

The respective size distributions of the sounded media were characterized by measuring the size of hundreds of gravels randomly collected in each pile. The mean estimated sizes are 3.2 cm (gravel *a*, blue), 2.0 cm (gravel *b*, green) and 0.9 cm (gravel *c*, yellow), which is compatible with the scatterer size range that can be identified from WISDOM observations (see section 4.1). As shown by Fig. 10d, the grains have no specific shape; they can be angular or rounded. The voids between the gravels are mostly filled with air ( $\varepsilon = 1$ ) and sand ( $\varepsilon = 3 - 4$ ), which, at the epoch of the field test, was not totally dry in the interior of the piles. The permittivity of the mixture is not well constrained, we assume that the pile effective permittivity ranges from  $\underline{\varepsilon}_{pile} = 6$  (Feitor et al., 2011; Salat and Junge, 2010) in the "dry limit", and up to  $\underline{\varepsilon}_{pile} = 10$ (Arcone et al., 2003) in the moist case. Due to the high permittivity value and perhaps the humidity, the signal likely did not penetrate more than a few tens of centimeters. The permittivity distribution of the piles can be regarded as bi-modal somewhat similar as those simulated under TEMSI-FD except that gravels are not fractal in shape. Since the permittivity of the investigated media is not well known, we here only focus on testing our ability to retrieve the typical size of the gravels.

3.2.2 Analysis of the experimental data

The analysis (section 3.1) of a large synthetic data set demonstrated that a good estimate of the typical size of heterogeneities is  $L = \frac{\lambda_{peak}}{5.3}$  $\frac{mean}{5.3}$  where  $\lambda_{peak}$  is the wavelength in the medium corresponding to the global maximum of  $P_{mean}$ . Fig 10a, 10b and 10c display  $P_{mean}$  as a function of  $\frac{\lambda}{5.3}$  for each gravel piles, assuming a value  $\underline{\epsilon}_{pile} = 6$ . The global maximum is highlighted by a grey vertical dotted line. The error bars are, as in simulations, the standard deviations of  $P_{mean}$  computed on the set of soundings (11 soundings for pile a, 14 for pile b and 10 for pile c). Though less readily interpretable than the simulated ones, each curve shows a different frequency dependence. We focus, as previously explained, on the area of the global maximum of each curve, which also stands out because of its associated smaller error bars. The other parts of the curves, where the error bars are larger, show no clear trend with wavelength.

According to these observations, the ranges of values where we should retrieve L are:

- a. Pile a (Fig 10a):  $\lambda_{peak}$  possibly exceeds the range of possible values. If so,  $L_a$  is beyond 3 cm. Based on the increasing values of  $P_{mean}$  on the right-hand side of the plot (dark arrow), we identify a lower bound value for  $L_a: L_a \geq 2.7$  cm. We also note a local maximum around 1 cm (light grey arrow), which could be due to the typical size of the voids between the gravels. Those voids are typically 1-cm wide and could be seen by WISDOM as a second and smaller scatterer population in the pile. In the other piles (*b*  and *c*), the voids are too small to be identified on the curves. se observations, the ranges of values where we should retry a 10a):  $\lambda_{peak}$  possibly exceeds the range of possible values sed on the increasing values of  $P_{mean}$  on the right-hand si ve identify a lower bound value for  $L_a$
- b. Pile b (Fig 10b): 1.6 cm  $\leq L_b \leq 2.6$  cm.
- c. Pile c (Fig 10c): The global maximum indicates:  $0.8 \text{ cm} \le L_c \le 1.4 \text{ cm}$ . A thin local maximum is also remarkable around 1.9 cm (light grey arrow), its origin is not understood.

We then derived from the best-fit polynomial in these areas (except for gravel *a* whose maximum is possibly out of frame) the position of the global maximum backscatter and the associated uncertainty as explained in section 3.1. We obtain:

- a. Pile a (Fig 10a):  $L_a \geq 2.7$  cm (no polynomial fit is possible)
- b. Pile b (Fig 10b):  $L_b = 2.2 \pm 0.3$  cm
- c. Pile b (Fig 10b):  $L_c = 1.1 \pm 0.2$  cm

The position of estimated maximum is represented on Fig 10b and 10c by the grey dotted line, and the black rectangle show the associated uncertainties.

The retrievals of  $L_b$  and  $L_c$  are in agreement with the actual typical sizes of gravels *b* and *c,* as illustrated by Fig. 10d. In contrast, gravels *a* are slightly too large to be well characterized in term of size, only a lower bound but still consistent with the actual values.

Discussion about these results will be presented in section 4.4.



**Fig 10** : (a), (b) and (c) display  $P_{mean}$  as a function of  $\frac{\lambda}{5.3}$  from the soundings of three gravel piles with different granulometry as shown with a scale in (d). The grey dotted vertical lines represent the position of the maximum of  $P_{mean}$ , namely  $L = \frac{\lambda_{peak}}{5.3}$  $\frac{peak}{5.3}$  as determined by the thirddegree polynomial fitting. The black rectangles indicate, for each pile, the error range on the estimate of L from the polynomial fitting. The wavelength  $\lambda$  propagating in the piles is calculated for a permittivity  $\varepsilon_{pile} = 6$ .

#### **4 Discussion**

We have demonstrated that the typical size of heterogeneities can be retrieved using the same simple relation for all the heterogenous media we considered. In this section, we now investigate the robustness and limits of the method.

#### 4.1 Range of size values that can be determined by the method

This method allows to retrieve the size of heterogeneities L if their value lies between  $\frac{\lambda_{min}}{5.3}$  and  $\lambda_{max}$  $\frac{max}{5.3}$ , with  $\lambda_{min} = \frac{c}{f_N}$ .  $rac{c}{f_N\sqrt{\underline{\epsilon}}}$  and  $\lambda_{max} = \frac{c}{f_{1\sqrt{\underline{\epsilon}}}}$  $\frac{c}{f_1\sqrt{\epsilon}}$ , where  $f_1$  and  $f_N$  are respectively the central frequencies of the first and last positions of the sliding window. For  $\epsilon = 5$  and for a typical sliding window bandwidth  $SW_{BW} = 200 \text{ MHz}$ , this translates into  $\lambda_{min} = 4.6 \text{ cm}$  and  $\lambda_{max} =$ 22.4 cm which implies that the size *L* of heterogeneities WISDOM is sensitive to, is between 0.9 cm and 4.2 cm. For a GPR operating at lower frequencies, the size of heterogeneities that could be detected and characterized is larger. Fig. 11 is a color scaled map of the typical size L that could be retrieved for any mean effective permittivity value in the range  $\epsilon = [1.2 - 10]$ 

and for any frequency value in the range  $[10 - 4000]$  MHz. The horizontal arrows display the frequency ranges for WISDOM and for the two GPR already operated on Mars (RoPeR/Tianwen-1, RIMFAX/Mars2020).



**Fig. 11** : Map of the typical size  $L$  that can be retrieved as a function of the mean effective permittivity  $\varepsilon$  of the subsurface (vertical axis) and of the frequency of the GPR (horizontal axis). The frequency ranges of operation of the two channels of RoPeR/Tianwen-1, of RIMFAX/Mars2020 and of WISDOM/ExoMars are indicated by the black arrows. The value of  $L = \frac{\lambda_{peak}}{5.3}$  $\frac{peak}{5.3}$  is given by the logarithmic color scale.

4.2 Impact of  $\frac{\Delta \varepsilon}{\varepsilon}$  values on the validity domain

Due to the limitation of radar sensitivity, in order to apply the proposed method to estimate the L value,  $P_{mean}$  value must be above the noise level. In the case of WISDOM,  $P_{mean}$  must be above -66 dB. We thus define  $\frac{\Delta \varepsilon}{2}$  $\frac{\epsilon}{\epsilon}$ threshold the threshold permittivity relative contrast value for a given mean permittivity  $\underline{\varepsilon}$  such as  $P_{mean} \left( \left| \frac{\Delta \varepsilon}{\varepsilon} \right| \right)$  $\frac{\epsilon}{\epsilon}$ threshold  $) = -66$  dB. Simulations performed on heterogeneous media with a very small permittivity contrast led to the threshold value  $\frac{\Delta \varepsilon}{2}$  $\frac{\epsilon}{\epsilon}$ threshold  $= 2.5 \times 10^{-2}$ . This value is consistent with (Van Dam et al., 2003) which have already noticed that the minimum required textural variation necessary to produce GPR reflections is small. For such small permittivity contrast values (i.e., very slight variations in composition or porosity in the subsurface), the method remains valid and the relation  $L =$  $\lambda_{peak}$ /5.3 that provides the value is unchanged.

On the other hand, we conducted simulations on relative permittivity contrast values up to  $\frac{\Delta \varepsilon}{\varepsilon}$  = 0.84 (e.g.,  $\Delta \varepsilon = 4$ ,  $\underline{\varepsilon} = 4.8$ ). The maximum of scattering intensity is still reached for the value of  $\frac{\lambda_{peak}}{L}$ . Nevertheless, high contrasts generate strong scattering losses thus a strong decrease of the signal intensity with depth. As a result,  $P_{mean}$  is computed over a shorter range of time delay, and the statistical deviation of  $P_{mean}$  is higher than for lower  $\frac{\Delta \varepsilon}{\varepsilon}$ . This phenomenon occurs regardless of the mean permittivity  $\underline{\varepsilon}$  that have been tested (within the explored range of values). The value  $\frac{\Delta \varepsilon}{\varepsilon} = 0.84$  roughly corresponds to the maximum value expected on Mars or on the Moon according to the values of real part of the permittivity reported in Table 1 Appendix. Higher values of  $\frac{4\varepsilon}{\varepsilon}$  may exist and should be investigated but this would require smaller mesh size to avoid numerical divergence or slow convergence of the solution during FDTD simulation (Hirono et al., 2000; Kunz and Luebbers, 1993; Taflove, 1995).

#### 4.3 Impact of electrical losses

In the previous sections, the investigated media were considered loss-less ( $\varepsilon$ " = 0). However natural materials can be lossy. The imaginary part of the permittivity can vary over several orders of magnitude; in particular it increases with moisture. On Mars and on the Moon, liquid water is neither stable at the surface, nor in the first meters of the subsurface because of very low-pressure conditions. We therefore expect  $\varepsilon$ " values to be lower than those measured on terrestrial rock analogs. Nevertheless, our current knowledge of  $\varepsilon$ " for Martian and Lunar terrains remains limited;  $\varepsilon$ " values published in the literature are estimated either from laboratory measurements performed on samples or derived from recent GPR observations (see Table 1 in Appendix). Note that in GPR studies we generally indifferently refer to  $\varepsilon$ " or to the loss tangent defined as:  $tan\delta = \frac{\varepsilon^{n}}{2}$  $\frac{\varepsilon}{\varepsilon}$ .  $\frac{\varepsilon}{2}$  may exist and shold be investigated of this would be<br>unnerical divergence or slow convergence of the solution of et al., 2000; Kunz and Luebbers, 1993; Taflove, 1995).<br>Pelectrical losses<br>ections, the investiga

In presence of electric losses and/or scatterers in the subsurface, the power density of the signal decreases exponentially along the propagating axis (vertically down-looking in the subsurface for GPR), hence with the depth z. We denote  $\kappa_a$  the power attenuation or extinction coefficient due to absorption by the material, and  $\kappa_s$  the extinction coefficient due to scattering within the medium. Both losses contribute to the exponential decrease of the power density ( $\propto$  $e^{-(\kappa_a+\kappa_s)z}$ ) and depend on the wavelength  $\lambda$  (or wavenumber k) of the wave propagating in the medium. As a consequence, both losses impact the frequency content of the signal.  $\kappa_a$  also depends on the real and imaginary parts of the effective permittivity as follows:

$$
\kappa_a = \frac{4\pi}{\lambda} \left\{ \frac{1}{2} \left[ \sqrt{1 + \left(\frac{\varepsilon''}{\varepsilon}\right)^2} - 1 \right] \right\}^{1/2} \tag{1}
$$

Most of the materials in Table 1 in Appendix have a loss tangent small enough ( $tan\delta < 10^{-2}$ ) to be regarded as low-loss materials, which simplifies the expression of  $\kappa_a$  at first order using Taylor's series:

$$
\kappa_a \approx \frac{2\pi\varepsilon''}{\lambda\varepsilon} = \frac{2\pi}{\lambda} \tan\delta = k \cdot \tan\delta \tag{2}
$$

According to equations (1) and (2), higher frequency content is more attenuated than lower one. As a result, the vertical resolution of GPR radargrams gets degraded with depth. This degradation adds to the variations of the frequency content due to the presence of heterogeneities of a given size. In this sub-section, we assess the influence of electrical losses on the frequency analysis presented in the previous sections, for a range of  $\varepsilon$ " values chosen in agreement with the state of art (Table 1 in Appendix).

Most rocks and materials in the table are laboratory-made simulants of Martian and Lunar soils, and their  $\varepsilon$ " values strongly depend on the density, porosity, temperature, moisture and impurities, but they appear to vary between 0.001 and 0.3. JSC Mars-1 contains palagonitic tephra, a glassy volcanic ash altered at low temperature that was mined from a quarry at the Pu`u Nene volcanic cone, on the southern flank of Mauna Kea in Hawai'I [\(Allen et al., 1998\).](https://www.zotero.org/google-docs/?zFSSxH) The iron oxide content of the volatile-free JSC Mars-1 is relatively close to the values of 15.6 wt% measured by the Viking and Pathfinder landers on Mars (Banin et al., 1992; Rieder et al., 1997). Clays may be the less transparent material at WISDOM frequencies. It must be considered since extended clay-bearing units are expected in Oxia Planum, the landing site of the ExoMars/Rosalind Franklin mission (Quantin-Nataf et al., 2021). he state of art (Table 1 in Appendix).<br>
nateri[al](https://www.zotero.org/google-docs/?Uv74tn)s in the table are laboratory-made simulants of Mart<br>
ues strongly depend on the density, porosity, tempera<br>
ney appear to vary between 0.001 and 0.3. JSC Mars-1 c<br>
volcanic

Measurements of the permittivity of the Moon regolith samples returned by the Apollo missions have been conducted for decades and  $\varepsilon$ " values are therefore well constrained (Carrier et al., [1991\).](https://www.zotero.org/google-docs/?XxBOi6) Samples from Mars will not be available before the completion of the Mars Sample Return mission now planned after 2030 (Muirhead et al., 2020).

In addition, losses have been estimated from *in situ* GPR data on the Moon (with LPR/Chang'e-3 and LPR/Chang'e4; [Ding et al., 2020; Lai et al., 2020\)](https://www.zotero.org/google-docs/?IiKGLn) and on Mars (with RIMFAX/Mars 2020; Eide et al., 2023), at frequencies close to those of WISDOM by applying the centroid frequency-shift (CFS) method [\(first described in Quan and Harris, 1997\).](https://www.zotero.org/google-docs/?nuwD3H) The CFS method relies on the fact that the centroid of the signal spectrum experiences a downshift during propagation into a lossy medium. However, if the medium is heterogenous, attenuation due to absorption and volume scattering being both frequency dependent, the CFS method rather provides an estimate of the total attenuation which includes both absorption and scattering attenuations. The derived and published loss tangent values must therefore be interpreted as a "global loss tangent". Consequently the  $\varepsilon$ " values estimated on the Moon and on Mars and reported in Table 1 Appendix must be regarded as upper bounds for the imaginary part of permittivity. For comparison, the extinction coefficient computed based on RIMFAX/Mars 2020 data is  $\kappa_{\text{lezero}} = 2.6 \pm 0.3 \text{ [dB/m]}$  at the 675 MHz center frequency, whereas the extinction coefficient due only to volume scattering obtained from the simulations we performed on loss-less heterogeneous media is  $\kappa_{\text{fractal}} \approx 1.3 \text{ [dB/m]}$  for relatively low permittivity contrast  $\left(\frac{\Delta \varepsilon}{\sigma}\right)$  $\frac{\pi}{\epsilon} \leq 0.2$ ) and rises up to  $\kappa_{\text{fractal}} \approx 4.5 \text{ [dB/m]}$  for high permittivity contrast  $\left(\frac{\Delta \varepsilon}{2}\right)$  $\frac{\pi}{\epsilon} \geq 0.6$ ). The attenuation rate caused by volume scattering is therefore of the same order of magnitude than the global attenuation measured on Mars near Jezero crater.

In addition to attenuation, another effect of electrical losses is to shift the position of the maximum volume scattering towards smaller values of  $kL$  (see Fig 12, equations (1) and (2)). This leads to an underestimation of the scatterer size L. As shown in Fig. 11, when  $\varepsilon$ " reaches 0.1 to 0.2, the maximum even disappears or cannot be unambiguously identified. We therefore define  $\varepsilon^{n}_{max} = 0.1$  as an upper limit for the imaginary part of the permittivity value for which the method we propose to retrieve L remains valid. The method is thus reliable and robust for most materials presented in Table 1 Appendix and for  $\varepsilon$ " values measured on the Moon. Indeed Fig 12 shows only a slight degradation of the curve shape for  $\varepsilon$ "  $\leq$  0.05. For clays and Mars JSC-1,  $\varepsilon$ " may exceed  $\varepsilon$ "<sub>max</sub>, but, as explained above, the  $\varepsilon$ " value retrieved from RIMFAX data in Jezero crater, namely 0.13 (Eide et al., 2023), must be regarded as an upper limit and is likely smaller than  $\varepsilon^{n}_{max}$ .



**Fig 12** : P<sub>mean</sub> as a function of kL for  $(L, \Delta \varepsilon, \underline{\varepsilon}) = (1.4 \text{ cm}, 0.3, 5)$  and for increasing values of the imaginary part of the permittivity  $\varepsilon$ " (from 0 to 0.2). The location of the maximum volume scattering is shifted to smaller values of kL with  $\varepsilon$ " and tends to disappear when  $\varepsilon$ " > 0.1 – 0.2.

#### 4.4 Experimental result summary and discussion

The method applied to the experimental data (section 3.2.2.) made it possible to use the WISDOM data to provide constraints on the size of the gravels, which are consistent with the actual size ranges.

However, assumptions about the permittivity value of the gravel were necessary. Considering that  $\underline{\epsilon}_{pile} = 6$ , the dry gravel case, is a lower bound for the bulk permittivity of the medium, the propagating wavelengths are therefore likely overestimated and, as a consequence, the values of  $L_a$ ,  $L_b$  and  $L_c$  given in section 3.2.2. are likely upper bounds. The other extreme case to be explored is the moisty gravel case, where  $\varepsilon_{pile} = 10$  (Arcone et al., 2003). For the sake of clarity, the diagram in Fig. 13 compares the retrieved typical sizes in both extreme cases with the true gravel sizes.



Fig 13 : For each gravel pile, comparison between the true sizes of the gravels and the retrieved typical sizes by our method. The retrieved size depends on the assumed permittivity of the piles. Results are here given for both the "moist gravel" (circles bounded line, with  $\epsilon_{pile} = 10$ ) and "dry gravels" (squares bounded line, with  $\varepsilon_{nile} = 6$ ) cases.

The retrievals of  $L<sub>b</sub>$  and  $L<sub>c</sub>$  are in agreement with the real typical sizes of gravels *b* and *c*, as illustrated by Fig. 13. In contrast, gravels *a* are slightly too large to be well characterized in term of size ( $L_a = 3.2$  cm is close or can be above  $\frac{\lambda_{max}}{5.3} \in [2.5 - 3.3]$  cm). Nevertheless, the curve (Fig 12) suggests the lower bound  $L_a \ge 2.7$  cm, which is consistent with the actual size of gravels *a* (Fig 13).

The gravel pile volumes being only a few cubic meters, the number of "uncorrelated" soundings we could perform with WISDOM was limited. Larger piles allowing more soundings might reduce the error bars.

In summary, despite an experimental field relatively different from the simulated heterogeneous media, a limited number of soundings and a limited penetration depth, the analysis of  $P_{mean}(\frac{\lambda}{5})$  $\frac{\lambda}{5.3}$ ) provides valuable clues about the typical size of the gravels and establishes unambiguously that the three investigated piles are composed of scatterers of different typical size.

#### **5 Conclusion and perspectives**

The frequency analysis of the backscattered signal conducted on a statistical number of fractal heterogeneous media reveals a maximum reached at  $\lambda_{peak} \approx 5.3$  L, regardless the value of L and the permittivity distribution in the sounded volume. Any broad band GPR can be used to retrieve this typical size  $L = \frac{\lambda_{peak}}{5.3}$  $\frac{peak}{5.3}$  as long as the scatterers size commensurate with the GPR wavelengths of operation. For a mean permittivity  $\epsilon = 9$  as measured by RIMFAX on Mars in Jezero crater (Hamran et al., 2022), WISDOM can be used to retrieve size L in the range [0.6 – 3.1] cm. For a mean permittivity  $\epsilon$  = 3.2 as measured by RoPeR on Mars in Utopia Planitia (Zou et al., 2024), this range is  $[1.1 - 5.3]$  cm. Lower frequency GPR will be sensitive to larger size of heterogeneities. It is essential to note that the determination of the size of the scatterers with this method does not require *a priori* knowledge of the permittivity distribution in the subsurface, which makes it easily usable when analyzing experimental data in an unknown environment. peration. For a mean permittivity  $\underline{\varepsilon} = 9$  as measured by R<br>umran et al., 2022), WISDOM can be used to retrieve size<br>mean permittivity  $\underline{\varepsilon} = 3.2$  as measured by RoPeR on Mar<br>, this range is [1.1 – 5.3] cm. Lower

The method we proposed in this paper is inevitably affected by electrical losses that are frequency dependent. However, simulations show that it is only slightly altered in most materials relevant to the Moon or Mars. Further, if the imaginary part of the permittivity of the sounded medium is known (for instance based on laboratory measurements on returned samples), we could apply a factor  $e^{\kappa_a z}$  to correct the data from the absorption losses. In such cases, the method to retrieve L can be applied even for relatively high value of  $\varepsilon$ ".

The method has been tested and remains valid in simulation for relative permittivity contrast up to  $\frac{\Delta \varepsilon}{\Delta}$  $\frac{\partial E}{\partial \epsilon} = 0.84$ . Simulation on higher permittivity contrast should be considered in future studies. For WISDOM dynamic range, simulations show that even an extremely small permittivity contrast can be discriminated from noise  $\left(\frac{\Delta \varepsilon}{\Delta \varepsilon}\right)$  $\frac{1}{\epsilon}$ threshold  $= 2.5 \times 10^{-2}$  and used for retrieving L, allowing to constrain the spatial scale of very subtle changes of composition or porosity in the investigated subsurface.

Further studies on volume scattering by a statistical number of buried spheres or ellipsoids are ongoing. Such studies can be useful for e.g. the characterisation of the permittivity values for streambed pebbles. The effect of volume scattering on cross-polarized measurements is also under investigation.

Future works will include testing the method on other well controlled or documented environments. The method will also be applied on field test campaign data; for instance, collected in 2019 in the Atacama Desert, Chile; in 2017 in Colorado Provençal, France; in 2022 on Svalbard, Norway ; and in 2023 on Lanzarote, Canary Island, Spain. Ultimately, it will be used to analyze observations on Mars from present GPR and WISDOM.

# **Appendix**

Table 1 Imaginary part of the permittivity measured on Martian and Lunar simulants/samples or estimated from GPR data acquired on the Moon or on Mars



## From GPR measurements

 $\varepsilon$ " is obtained from published  $\varepsilon$  values and loss tangent  $tan\delta$  values  $\varepsilon$ " =  $\varepsilon \times tan\delta$ 



From laboratory measurements on returned samples



- 1. 23 different Mars JSC-1 samples [\(Brouet et al., 2019\)](https://www.zotero.org/google-docs/?I9bCSZ)
- 2. (Williams, 2004)
- 3. (Ciarletti et al., 2017)
- 4. (Pettinelli et al., 2007)
- 5. Derived from LPR data in Ziwei crater [\(Ding et al., 2020\)](https://www.zotero.org/google-docs/?sHqeDS)
- 6. Returned regolith samples by the Apollo missions [\(Carrier et al., 1991\)](https://www.zotero.org/google-docs/?NOvgLB)
- 7. Derived from RIMFAX data in Jezero Crater [\(Eide et al., 2023\)](https://www.zotero.org/google-docs/?UF63R2)
- 8. Derived from LPR data on basalt mare at the lunar far side (Li et al., 2020)
- 9. Derived from RoPeR data in Utopia Planitia (Zhang et al., 2023)
- 10. (Casademont et al., 2023)
- 11. (Zou et al., 2024)

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#### **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

